

**RTIA**

Road Traffic Infringement Agency

Justice in Adjudication

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                              |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>BID NUMBER:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | <b>RFP04/2021/2022</b>                                                                                                                                                       |
| <b>DESCRIPTION:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | <b>Appointment of a service provider to provide research and development services for the road traffic infringement agency (RTIA) for three years.</b>                       |
| <b>VALIDITY PERIOD:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | <b>120 Days from the closing date.</b>                                                                                                                                       |
| <b>CLOSING DATE:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | <b>24 November 2021</b>                                                                                                                                                      |
| <b>PROPOSALS MUST BE HAND DELIVERED/ COURIERED TO:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | <b>Road Traffic Infringement Agency<br/>Head Office (at the reception)<br/>Waterfall Edge B, Howick Close<br/>Waterfall Office Park<br/>Bekker Road<br/>Midrand<br/>1685</b> |
| <b>ATTENTION:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | <b>Supply Chain Management Office: Mr Kwena G Moloko</b>                                                                                                                     |
| <b>Enquiries</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | <b><u><a href="mailto:bids@rtia.co.za">bids@rtia.co.za</a></u> and <u><a href="mailto:kwena.moloko@rtia.co.za">kwena.moloko@rtia.co.za</a></u></b>                           |
| <b>Proposals must be deposited inside the RTIA bid box situated at building mentioned above on or before closing date and time.</b>                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                              |
| <b>Compulsory Clarification Session:</b><br><b>Visual Meeting using the below link;</b><br><br>JOIN WEBEX MEETING<br><a href="https://rtia.my.webex.com/rtia.my/j.php?MTID=mbe945751d6ceff3aae9ee23779e869b0">https://rtia.my.webex.com/rtia.my/j.php?MTID=mbe945751d6ceff3aae9ee23779e869b0</a><br>Meeting number (access code): 2378 128 1361<br><br>Meeting password: miEMP3Jdu77 (64367353 from phones and video systems)<br><br><b>Kindly connect using the company name when joining the meeting.</b><br><b>Date: 17 November 2021</b><br><b>Time: 11: 00am</b> |                                                                                                                                                                              |



## YOU ARE HEREBY INVITED TO THE BID FOR ROAD TRAFFIC INFRINGEMENT AGENCY

**BID NO:** RFP04/2021/2022

**CLOSING TIME:** 11:00

**CLOSING DATE:** 24 November 2021

### **BIDS RECEIVED AFTER THE CLOSING TIME AND DATE ARE LATE AND WILL AS A RULE NOT BE ACCEPTED FOR CONSIDERATION**

1. Kindly furnish us with the bid for services reflected on the attached forms
2. Attached please find:
  - 2.1 Authority to sign Standard Bidding Document (SBD's) on behalf of an Entity. Pg. 3-4
  - 2.2 Invitation to bid (SBD1).....Pg. 5-6
  - 2.3 Pricing Schedule Services) (SBD .3.3).....Pg.7-8
  - 2.4 Declaration of interest (SBD 4).....Pg. 9-12
  - 2.5 Preference points claim form (SBD 6.1)..... Pg. 13-19
  - 2.6 Declaration of Bidder's past Supply Chain Management Practices. (SBD 8)....Pg.20-21
  - 2.7 Certificate of Independent Bid Determination (SBD 9).....Pg.22-23
  - 2.8 Terms of References .....Pg.24-37
  - 2.9 General Conditions of the contract.....Pg.38-53
3. If you are a sole agent or sole supplier, you should indicate your market price after discount to your clients or if that is not possible your percentage net profit before tax in order to decide whether the price quoted is fair and reasonable.
4. The attached forms must be completed in detail and returned with your bid. Failure to comply may disqualify your proposal. Each Bid document must be submitted in a separate sealed envelope stipulating the following information: Name and address of the bidder, bid number and closing date of the bid.
5. Bid proposals must be deposited into the tender box situated Road Traffic Infringement Agency, Head Office (at the reception), Waterfall Edge B, Howick Close, Waterfall Office Park, Bekker Road, Midrand 1685, by not later than the closing date and time indicated above. Bid proposals which are not inside the Tender or bid box on the closing date and time will not be considered.

Yours faithfully

**SIGNED**

**MR K MOLOKO**

**SENIOR SPECIALIST SCM**

**DATE:03-011-2021**

**AUTHORITY TO SIGN THE STANDARD BIDDING DOCUMENTS (SBD's) ON BEHALF OF AN ENTITY**

**Only authorized signatories may sign the original and all copies of the tender offer where required.**

In the case of a **ONE-PERSON CONCERN** submitting a tender, this shall be clearly stated.

In Case of a **COMPANY** submitting a tender, include a copy of a **resolution by its board of directors** authorizing director or other official of the company to sign the documents on behalf of the company.

In a case of a **CLOSE CORPORATION** submitting a tender, include a copy of a **resolution by its members** authorizing a member or other official of the corporation to sign the documents on each member's behalf.

In a case of a **PARTNERSHIP** submitting a tender, **all the partners shall** sign documents unless one partner or a group of partners has been authorized to sign on behalf of each partner, in which a **proof of such authorization** shall be included in the Tender.

In a case of a **JOINT VENTURE** submitting a tender, include **a resolution** of each company of the Joint Venture together with a resolution by its members authorizing a member of the Joint Venture to sign the documents on behalf of the Joint Venture.

**Accept that failure to submit proof of Authorization to sign the tender shall result in a tender offer being regarded as non-responsive**

## **EXAMPLE OF THE RESOLUTION OF AUTHORITY TO SIGN BIDDING DOCUMENTS**

Signatories for Companies, Close Corporation, and Partnerships must establish their authority by **ATTACHING TO THIS FORM, ON THEIR ORGANIZATION'S LETTER HEAD, a copy of the relevant resolution by their Board of Directors, Members or Partners, duly signed and dated.**

**MAGEZA ZINTO (Pty) Ltd**

**By resolution of the Board of Directors taken on 16 June 2021**

**Mrs K Kodue**

**Has been duly authorized to sign all documents in connection  
with:**

**Appointment of a service provider to provide research and  
development services for the road traffic infringement agency  
(RTIA) for three years. With Bid Number RFP04/2021/2022**

**On Behalf of MAGEZA ZINTO (Pty) Ltd**

**Signed on behalf of the Company; (Signature of Managing  
Director)**

| <b>NAME AND SURNAME</b> | <b>SIGNATURE</b> |
|-------------------------|------------------|
|                         |                  |
|                         |                  |
|                         |                  |
|                         |                  |
|                         |                  |

## PART A INVITATION TO BID

|                                                                                                                                                                    |                                                                                                                                                  |                                                                                                             |                                                  |                                                                                                                                                                                |         |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|--------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|
| <b>YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF THE ROAD TRAFFIC INFRINGEMENT AGENCY</b>                                                                      |                                                                                                                                                  |                                                                                                             |                                                  |                                                                                                                                                                                |         |
| BID NUMBER:                                                                                                                                                        | RFP04/2021/2022                                                                                                                                  | CLOSING DATE:                                                                                               | 24 NOVEMBER 2021                                 | CLOSING TIME:                                                                                                                                                                  | 11:00   |
| DESCRIPTION                                                                                                                                                        | APPOINTMENT OF A SERVICE PROVIDER TO PROVIDE RESEARCH AND DEVELOPMENT SERVICES FOR THE ROAD TRAFFIC INFRINGEMENT AGENCY (RTIA) FOR THREE YEARS.. |                                                                                                             |                                                  |                                                                                                                                                                                |         |
| <b>THE SUCCESSFUL BIDDER WILL BE REQUIRED TO FILL IN AND SIGN A WRITTEN CONTRACT FORM (SBD7).</b>                                                                  |                                                                                                                                                  |                                                                                                             |                                                  |                                                                                                                                                                                |         |
| <b>BID RESPONSE DOCUMENTS MAY BE SUBMITTED TO:</b>                                                                                                                 |                                                                                                                                                  |                                                                                                             |                                                  |                                                                                                                                                                                |         |
| Road Traffic Infringement Agency<br>Head Office (at the reception)<br>Waterfall Edge B, Howick Close<br>Waterfall Office Park<br>Bekker Road<br>Midrand            |                                                                                                                                                  |                                                                                                             |                                                  |                                                                                                                                                                                |         |
| <b>SUPPLIER INFORMATION</b>                                                                                                                                        |                                                                                                                                                  |                                                                                                             |                                                  |                                                                                                                                                                                |         |
| NAME OF BIDDER                                                                                                                                                     |                                                                                                                                                  |                                                                                                             |                                                  |                                                                                                                                                                                |         |
| POSTAL ADDRESS                                                                                                                                                     |                                                                                                                                                  |                                                                                                             |                                                  |                                                                                                                                                                                |         |
| STREET ADDRESS                                                                                                                                                     |                                                                                                                                                  |                                                                                                             |                                                  |                                                                                                                                                                                |         |
| TELEPHONE NUMBER                                                                                                                                                   | CODE                                                                                                                                             |                                                                                                             | NUMBER                                           |                                                                                                                                                                                |         |
| CELLPHONE NUMBER                                                                                                                                                   |                                                                                                                                                  |                                                                                                             |                                                  |                                                                                                                                                                                |         |
| FACSIMILE NUMBER                                                                                                                                                   | CODE                                                                                                                                             |                                                                                                             | NUMBER                                           |                                                                                                                                                                                |         |
| E-MAIL ADDRESS                                                                                                                                                     |                                                                                                                                                  |                                                                                                             |                                                  |                                                                                                                                                                                |         |
| VAT REGISTRATION NUMBER                                                                                                                                            |                                                                                                                                                  |                                                                                                             |                                                  |                                                                                                                                                                                |         |
|                                                                                                                                                                    |                                                                                                                                                  | TCS PIN:                                                                                                    |                                                  | OR                                                                                                                                                                             | CSD No: |
| B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE<br>[TICK APPLICABLE BOX]                                                                                              |                                                                                                                                                  | <input type="checkbox"/> Yes<br><input type="checkbox"/> No                                                 |                                                  | B-BBEE STATUS LEVEL SWORN AFFIDAVIT<br><input type="checkbox"/> Yes<br><input type="checkbox"/> No                                                                             |         |
| IF YES, WHO WAS THE CERTIFICATE ISSUED BY?                                                                                                                         |                                                                                                                                                  |                                                                                                             |                                                  |                                                                                                                                                                                |         |
| AN ACCOUNTING OFFICER AS CONTEMPLATED IN THE CLOSE CORPORATION ACT (CCA) AND NAME THE APPLICABLE IN THE TICK BOX                                                   |                                                                                                                                                  | <input type="checkbox"/> AN ACCOUNTING OFFICER AS CONTEMPLATED IN THE CLOSE CORPORATION ACT (CCA)           |                                                  |                                                                                                                                                                                |         |
|                                                                                                                                                                    |                                                                                                                                                  | <input type="checkbox"/> A VERIFICATION AGENCY ACCREDITED BY THE SOUTH AFRICAN ACCREDITATION SYSTEM (SANAS) |                                                  |                                                                                                                                                                                |         |
|                                                                                                                                                                    |                                                                                                                                                  | <input type="checkbox"/> A REGISTERED AUDITOR                                                               |                                                  |                                                                                                                                                                                |         |
|                                                                                                                                                                    |                                                                                                                                                  | NAME:                                                                                                       |                                                  |                                                                                                                                                                                |         |
| <b>[A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE/SWORN AFFIDAVIT(FOR EMEs&amp; QSEs) MUST BE SUBMITTED IN ORDER TO QUALIFY FOR PREFERENCE POINTS FOR B-BBEE]</b> |                                                                                                                                                  |                                                                                                             |                                                  |                                                                                                                                                                                |         |
| ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED?                                                                      |                                                                                                                                                  | <input type="checkbox"/> Yes <input type="checkbox"/> No<br><br>[IF YES ENCLOSE PROOF]                      |                                                  | ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED?<br><br><input type="checkbox"/> Yes <input type="checkbox"/> No<br><br>[IF YES ANSWER PART B:3 BELOW] |         |
| SIGNATURE OF BIDDER                                                                                                                                                |                                                                                                                                                  |                                                                                                             |                                                  | DATE                                                                                                                                                                           |         |
| CAPACITY UNDER WHICH THIS BID IS SIGNED (Attach proof of authority to sign this bid; e.g. resolution of directors, etc.)                                           |                                                                                                                                                  |                                                                                                             |                                                  |                                                                                                                                                                                |         |
| TOTAL NUMBER OF ITEMS OFFERED                                                                                                                                      |                                                                                                                                                  |                                                                                                             |                                                  | TOTAL BID PRICE (ALL INCLUSIVE)                                                                                                                                                |         |
| <b>BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO:</b>                                                                                                             |                                                                                                                                                  |                                                                                                             | <b>TECHNICAL INFORMATION MAY BE DIRECTED TO:</b> |                                                                                                                                                                                |         |
| DEPARTMENT/ PUBLIC ENTITY                                                                                                                                          |                                                                                                                                                  | Road Traffic Infringement                                                                                   |                                                  | CONTACT PERSON                                                                                                                                                                 |         |
|                                                                                                                                                                    |                                                                                                                                                  |                                                                                                             |                                                  | Mr Kwena Moloko                                                                                                                                                                |         |

|                  |                         |                  |                 |
|------------------|-------------------------|------------------|-----------------|
|                  | Agency                  |                  |                 |
| CONTACT PERSON   | Mr Kwen Moloko          | TELEPHONE NUMBER |                 |
| TELEPHONE NUMBER | 087 287 7994            | FACSIMILE NUMBER |                 |
| FACSIMILE NUMBER | NA                      | E-MAIL ADDRESS   | Bids@rtia.co.za |
| E-MAIL ADDRESS   | Kwena.moloko@rtia.co.za |                  |                 |

## PART B TERMS AND CONDITIONS FOR BIDDING

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>1. BID SUBMISSION:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| <p>1.1. BIDS MUST BE DELIVERED BY THE STIPULATED TIME TO THE CORRECT ADDRESS. LATE BIDS WILL NOT BE ACCEPTED FOR CONSIDERATION.</p> <p>1.2. ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED (NOT TO BE RE-TYPED) OR ONLINE</p> <p>1.3. BIDDERS MUST REGISTER ON THE CENTRAL SUPPLIER DATABASE (CSD) TO UPLOAD MANDATORY INFORMATION NAMELY: (BUSINESS REGISTRATION/ DIRECTORSHIP/ MEMBERSHIP/IDENTITY NUMBERS; TAX COMPLIANCE STATUS; AND BANKING INFORMATION FOR VERIFICATION PURPOSES). B-BBEE CERTIFICATE OR SWORN AFFIDAVIT FOR B-BBEE MUST BE SUBMITTED TO BIDDING INSTITUTION.</p> <p>1.4. WHERE A BIDDER IS NOT REGISTERED ON THE CSD, MANDATORY INFORMATION NAMELY: (BUSINESS REGISTRATION/ DIRECTORSHIP/ MEMBERSHIP/IDENTITY NUMBERS; TAX COMPLIANCE STATUS MAY NOT BE SUBMITTED WITH THE BID DOCUMENTATION. B-BBEE CERTIFICATE OR SWORN AFFIDAVIT FOR B-BBEE MUST BE SUBMITTED TO BIDDING INSTITUTION.</p> <p>1.5. THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT 2000 AND THE PREFERENTIAL PROCUREMENT REGULATIONS, 2017, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER LEGISLATION OR SPECIAL CONDITIONS OF CONTRACT.</p> |
| <b>2. TAX COMPLIANCE REQUIREMENTS</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <p>2.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.</p> <p>2.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VIEW THE TAXPAYER'S PROFILE AND TAX STATUS.</p> <p>2.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) OR PIN MAY ALSO BE MADE VIA E-FILING. IN ORDER TO USE THIS PROVISION, TAXPAYERS WILL NEED TO REGISTER WITH SARS AS E-FILERS THROUGH THE WEBSITE WWW.SARS.GOV.ZA.</p> <p>2.4 BIDDERS MAY ALSO SUBMIT A PRINTED TCS TOGETHER WITH THE BID.</p> <p>2.5 IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE PROOF OF TCS / PIN / CSD NUMBER.</p> <p>2.6 WHERE NO TCS IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.</p>                                                                                                                                                                                                                                                                                                                                       |
| <b>3. QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| <p>3.1. IS THE BIDDER A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)? <span style="float: right;"><input type="checkbox"/> YES <input type="checkbox"/> NO</span></p> <p>3.2. DOES THE BIDDER HAVE A BRANCH IN THE RSA? <span style="float: right;"><input type="checkbox"/> YES <input type="checkbox"/> NO</span></p> <p>3.3. DOES THE BIDDER HAVE A PERMANENT ESTABLISHMENT IN THE RSA? <span style="float: right;"><input type="checkbox"/> YES <input type="checkbox"/> NO</span></p> <p>3.4. DOES THE BIDDER HAVE ANY SOURCE OF INCOME IN THE RSA? <span style="float: right;"><input type="checkbox"/> YES <input type="checkbox"/> NO</span></p> <p><b>IF THE ANSWER IS "NO" TO ALL OF THE ABOVE, THEN, IT IS NOT A REQUIREMENT TO OBTAIN A TAX COMPLIANCE STATUS / TAX COMPLIANCE SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 2.3 ABOVE.</b></p>                                                                                                                                                                                                                                                                                           |

**NB: FAILURE TO PROVIDE ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.**

## PRICING SCHEDULE – NON FIRM PRICES (SERVICES)

**NOTE: FIRM PRICES WILL BE ACCEPTED FOR THE FIRST TWO YEARS. NON-FIRM PRICES WILL BE CONSIDERED FOR THE 3 LAST REMAINING YEARS.**

|                     |                               |
|---------------------|-------------------------------|
| Name of bidder..... | Bid number RFP04-2021-22      |
| Closing Time 11:00  | Closing date 24 November 2021 |

OFFER TO BE VALID FOR 120 DAYS FROM THE CLOSING DATE OF BID.

| ITEM NO. | QUANTITY | DESCRIPTION | BID PRICE IN RSA CURRENCY<br>** (ALL APPLICABLE TAXES INCLUDED) |
|----------|----------|-------------|-----------------------------------------------------------------|
|----------|----------|-------------|-----------------------------------------------------------------|

**NOTE:** All prices must be VAT inclusive and must be quoted in South African Rand (ZAR).

**The Bidder must transfer total offer on the Annexure A2 on will be required to price on the following (from proposed Lease Agreement):**

### PRICING SCHEDULE:

| Item                                                    | Price (Annual)       |
|---------------------------------------------------------|----------------------|
| Total (VAT Incl) (annual - Year 1)                      | <b>VAT inclusive</b> |
| Total (VAT Incl) (annual - Year 2) incl. escalation - % | <b>VAT inclusive</b> |
| Total (VAT Incl) (annual - Year 3) incl. escalation - % | <b>VAT inclusive</b> |
| <b>Total(VAT inclusive)(Over 3 years)</b>               | <b>VAT inclusive</b> |

\* Additional areas, parking, levies, etc. (please specify).

**Are the rates quoted firm for the full period of the contract?**

If not firm for the full period, provide details of the basis on which adjustments shall be applied e.g. CPI, and also details of the cost breakdown. Detail which cost items are subject to escalation specified in the proposed Lease Agreement and which cost items are subject to escalation at other rates.

.....  
Signature

.....  
Date

.....  
Position

.....  
Name of bidder



## SBD 4

## DECLARATION OF INTEREST

1. Any legal person, including persons employed by the state<sup>1</sup>, or persons having a kinship with persons employed by the state, including a blood relationship, may make an offer or offers in terms of this invitation to bid (includes an advertised competitive bid, a limited bid, a proposal or written price quotation). In view of possible allegations of favouritism, should the resulting bid, or part thereof, be awarded to persons employed by the state, or to persons connected with or related to them, it is required that the bidder or his/her authorised representative declare his/her position in relation to the evaluating/adjudicating authority where-
  - the bidder is employed by the state; and/or
  - the legal person on whose behalf the bidding document is signed, has a relationship with persons/a person who are/is involved in the evaluation and or adjudication of the bid(s), or where it is known that such a relationship exists between the person or persons for or on whose behalf the declarant acts and persons who are involved with the evaluation and or adjudication of the bid.
2. In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.
  - 2.1 Full Name of bidder or his or her representative: .....
  - 2.2 Identity Number:.....
  - 2.3 Position occupied in the Company (director, trustee, shareholder<sup>2</sup>, member): .....
  - 2.4 Registration number of company, enterprise, close corporation, partnership agreement or trust: .....
  - 2.5 Tax Reference Number: .....
  - 2.6 VAT Registration Number: .....
  - 2.6.1 The names of all directors / trustees / shareholders / members, their individual identity numbers, tax reference numbers and, if applicable, employee / PERSAL numbers must be indicated in paragraph 3 below.

<sup>1</sup>"State" means –

- (a) any national or provincial department, national or provincial public entity or constitutional institution within the meaning of the Public Finance Management Act, 1999 (Act No. 1 of 1999);
- (b) any municipality or municipal entity;
- (c) provincial legislature;
- (d) national Assembly or the national Council of provinces; or
- (e) Parliament.

<sup>2</sup>"Shareholder" means a person who owns shares in the company and is actively involved in the management of the enterprise or business and exercises control over the enterprise.

2.7 Are you or any person connected with the bidder presently employed by the state? YES / NO

2.7.1 If so, furnish the following particulars:

Name of person / director / trustee / shareholder/ member: .....

Name of state institution at which you or the person connected to the bidder is employed : .....

Position occupied in the state institution: .....

Any other particulars:

.....  
.....  
.....

2.7.2 If you are presently employed by the state, did you obtain the appropriate authority to undertake remunerative work outside employment in the public sector? YES / NO

2.7.2.1 If yes, did you attach proof of such authority to the bid document? YES / NO

(Note: Failure to submit proof of such authority, where applicable, may result in the disqualification of the bid.

2.7.2.2 If no, furnish reasons for non-submission of such proof:

.....  
.....  
.....

2.8 Did you or your spouse, or any of the company's directors / trustees / shareholders / members or their spouses conduct business with the state in the previous twelve months? YES / NO

2.8.1 If so, furnish particulars:

.....  
.....  
.....

2.9 Do you, or any person connected with the bidder, have any relationship (family, friend, other) with a person employed by the state and who may be involved with the evaluation and or adjudication of this bid? YES / NO

2.9.1 If so, furnish particulars.

.....

**2.10 Are you, or any person connected with the bidder, aware of any relationship (family, friend, other) between any other bidder and any person employed by the state who may be involved with the evaluation and or adjudication of this bid?**

2.10.1 If so, furnish particulars.

**2.11 Do you or any of the directors / trustees / shareholders / members of the company have any interest in any other related companies whether or not they are bidding for this contract?**

**YES/NO**

**2.11.1 If so, furnish particulars:**

### 3 Full details of directors / trustees / members / shareholders.

[illegible]

**4 DECLARATION**

I, THE UNDERSIGNED (NAME).....

CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 2 and 3 ABOVE IS CORRECT.  
I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME SHOULD THIS  
DECLARATION PROVE TO BE FALSE.

.....  
Signature

.....  
Date

.....  
Position

.....  
Name of bidder

November 2011

**PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL  
PROCUREMENT REGULATIONS 2017**

This preference form must form part of all bids invited. It contains general information and serves as a claim form for preference points for Broad-Based Black Economic Empowerment (B-BBEE) Status Level of Contribution

**NB: BEFORE COMPLETING THIS FORM, BIDDERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF B-BBEE, AS PRESCRIBED IN THE PREFERENTIAL PROCUREMENT REGULATIONS, 2017.**

**1. GENERAL CONDITIONS**

1.1 The following preference point systems are applicable to all bids:

- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
- the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

1.2

- a) The value of this bid is estimated to exceed/not exceed R50 000 000 (all applicable taxes included) and therefore the ..... preference point system shall be applicable; or
- b) Either the 80/20 or 90/10 preference point system will be applicable to this tender (*delete whichever is not applicable for this tender*).

1.3 Points for this bid shall be awarded for:

- (a) Price; and
- (b) B-BBEE Status Level of Contributor.

1.4 The maximum points for this bid are allocated as follows:

|                                                          | POINTS     |
|----------------------------------------------------------|------------|
| <b>PRICE</b>                                             |            |
| <b>B-BBEE STATUS LEVEL OF CONTRIBUTOR</b>                |            |
| <b>Total points for Price and B-BBEE must not exceed</b> | <b>100</b> |

1.5 Failure on the part of a bidder to submit proof of B-BBEE Status level of contributor together with the bid, will be interpreted to mean that preference points for B-BBEE status level of contribution are not claimed.

1.6 The purchaser reserves the right to require of a bidder, either before a bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the purchaser.

## 2. DEFINITIONS

- (a) **"B-BBEE"** means broad-based black economic empowerment as defined in section 1 of the Broad-Based Black Economic Empowerment Act;
- (b) **"B-BBEE status level of contributor"** means the B-BBEE status of an entity in terms of a code of good practice on black economic empowerment, issued in terms of section 9(1) of the Broad-Based Black Economic Empowerment Act;
- (c) **"bid"** means a written offer in a prescribed or stipulated form in response to an invitation by an organ of state for the provision of goods or services, through price quotations, advertised competitive bidding processes or proposals;
- (d) **"Broad-Based Black Economic Empowerment Act"** means the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);
- (e) **"EME"** means an Exempted Micro Enterprise in terms of a code of good practice on black economic empowerment issued in terms of section 9 (1) of the Broad-Based Black Economic Empowerment Act;
- (f) **"functionality"** means the ability of a tenderer to provide goods or services in accordance with specifications as set out in the tender documents.
- (g) **"prices"** includes all applicable taxes less all unconditional discounts;
- (h) **"proof of B-BBEE status level of contributor"** means:
  - 1) B-BBEE Status level certificate issued by an authorized body or person;
  - 2) A sworn affidavit as prescribed by the B-BBEE Codes of Good Practice;
  - 3) Any other requirement prescribed in terms of the B-BBEE Act;
- (i) **"QSE"** means a qualifying small business enterprise in terms of a code of good practice on black economic empowerment issued in terms of section 9 (1) of the Broad-Based Black Economic Empowerment Act;
- (j) **"rand value"** means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes;

### 3. POINTS AWARDED FOR PRICE

### 3.1 THE 80/20 OR 90/10 PREFERENCE POINT SYSTEMS

**A maximum of 80 or 90 points is allocated for price on the following basis:**

80/20

or

**90/10**

$$P_s = 80 \left( 1 - \frac{P_t - P_{\min}}{P_{\min}} \right) \quad \text{or} \quad P_s = 90 \left( 1 - \frac{P_t - P_{\min}}{P_{\min}} \right)$$

Where

**Ps** = Points scored for price of bid under consideration

**Pt** = Price of bid under consideration

$P_{min}$  = Price of lowest acceptable bid

#### 4. POINTS AWARDED FOR B-BBEE STATUS LEVEL OF CONTRIBUTOR

- 4.1** In terms of Regulation 6 (2) and 7 (2) of the Preferential Procurement Regulations, preference points must be awarded to a bidder for attaining the B-BBEE status level of contribution in accordance with the table below:

| B-BBEE Status Level of Contributor | Number of points (90/10 system) | Number of points (80/20 system) |
|------------------------------------|---------------------------------|---------------------------------|
| 1                                  | 10                              | 20                              |
| 2                                  | 9                               | 18                              |
| 3                                  | 6                               | 14                              |
| 4                                  | 5                               | 12                              |
| 5                                  | 4                               | 8                               |
| 6                                  | 3                               | 6                               |
| 7                                  | 2                               | 4                               |
| 8                                  | 1                               | 2                               |
| Non-compliant contributor          | 0                               | 0                               |

## 5. BID DECLARATION

5.1 Bidders who claim points in respect of B-BBEE Status Level of Contribution must complete the following:

## 6. B-BBEE STATUS LEVEL OF CONTRIBUTOR CLAIMED IN TERMS OF PARAGRAPHS 1.4 AND 4.1

6.1 B-BBEE Status Level of Contributor: . = .....(maximum of 10 or 20 points)  
(Points claimed in respect of paragraph 7.1 must be in accordance with the table reflected in paragraph 4.1 and must be substantiated by relevant proof of B-BBEE status level of contributor.)

## 7. SUB-CONTRACTING

7.1 Will any portion of the contract be sub-contracted?

(Tick applicable box)

|     |                          |    |                          |
|-----|--------------------------|----|--------------------------|
| YES | <input type="checkbox"/> | NO | <input type="checkbox"/> |
|-----|--------------------------|----|--------------------------|

7.1.1 If yes, indicate:

- What percentage of the contract will be subcontracted.....%
- The name of the sub-contractor.....
- The B-BBEE status level of the sub-contractor.....
- Whether the sub-contractor is an EME or QSE

(Tick applicable box)

|     |                          |    |                          |
|-----|--------------------------|----|--------------------------|
| YES | <input type="checkbox"/> | NO | <input type="checkbox"/> |
|-----|--------------------------|----|--------------------------|

- Specify, by ticking the appropriate box, if subcontracting with an enterprise in terms of Preferential Procurement Regulations, 2017:

| Designated Group: An EME or QSE which is at least 51% owned by:   | EME<br>√                 | QSE<br>√                 |
|-------------------------------------------------------------------|--------------------------|--------------------------|
| Black people                                                      | <input type="checkbox"/> | <input type="checkbox"/> |
| Black people who are youth                                        | <input type="checkbox"/> | <input type="checkbox"/> |
| Black people who are women                                        | <input type="checkbox"/> | <input type="checkbox"/> |
| Black people with disabilities                                    | <input type="checkbox"/> | <input type="checkbox"/> |
| Black people living in rural or underdeveloped areas or townships | <input type="checkbox"/> | <input type="checkbox"/> |
| Cooperative owned by black people                                 | <input type="checkbox"/> | <input type="checkbox"/> |

|                                        |  |  |
|----------------------------------------|--|--|
| Black people who are military veterans |  |  |
| <b>OR</b>                              |  |  |
| Any EME                                |  |  |
| Any QSE                                |  |  |

**8. DECLARATION WITH REGARD TO COMPANY/FIRM**

8.1 Name of company/firm:.....

8.2 VAT registration number:.....

8.3 Company registration number:.....

**8.4 TYPE OF COMPANY/ FIRM**

Partnership/Joint Venture / Consortium  
 One person business/sole propriety  
 Close corporation  
 Company  
 (Pty) Limited

[TICK APPLICABLE BOX]

**8.5 DESCRIBE PRINCIPAL BUSINESS ACTIVITIES**

.....  
 .....  
 .....  
 .....  
 .....

**8.6 COMPANY CLASSIFICATION**

Manufacturer  
 Supplier  
 Professional service provider  
 Other service providers, e.g. transporter, etc.

[TICK APPLICABLE BOX]

8.7 Total number of years the company/firm has been in business:.....

8.8 I/we, the undersigned, who is / are duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the B-BBE status level of contributor indicated in paragraphs 1.4 and 6.1 of the foregoing certificate, qualifies the company/ firm for the preference(s) shown and I / we acknowledge that:

- i) The information furnished is true and correct;
- ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;
- iii) In the event of a contract being awarded as a result of points claimed as shown in paragraphs 1.4 and 6.1, the contractor may be required to furnish documentary proof to the satisfaction of the purchaser that the claims are correct;
- iv) If the B-BBEE status level of contributor has been claimed or obtained on a

fraudulent basis or any of the conditions of contract have not been fulfilled, the purchaser may, in addition to any other remedy it may have –

- (a) disqualify the person from the bidding process;
- (b) recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;
- (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
- (d) recommend that the bidder or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted by the National Treasury from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied; and
- (e) forward the matter for criminal prosecution.

**WITNESSES**

1. ....

2. ....

.....  
**SIGNATURE(S) OF BIDDERS(S)**

**DATE:** .....

**ADDRESS** .....

.....

.....



## DECLARATION OF BIDDER'S PAST SUPPLY CHAIN MANAGEMENT PRACTICES

- 1 This Standard Bidding Document must form part of all bids invited.
- 2 It serves as a declaration to be used by institutions in ensuring that when goods and services are being procured, all reasonable steps are taken to combat the abuse of the supply chain management system.
- 3 The bid of any bidder may be disregarded if that bidder, or any of its directors have-
  - a. abused the institution's supply chain management system;
  - b. committed fraud or any other improper conduct in relation to such system; or
  - c. failed to perform on any previous contract.
- 4 In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.

| Item  | Question                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Yes                             | No                             |
|-------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------|
| 4.1   | Is the bidder or any of its directors listed on the National Treasury's Database of Restricted Suppliers as companies or persons prohibited from doing business with the public sector?<br>(Companies or persons who are listed on this Database were informed in writing of this restriction by the Accounting Officer/Authority of the institution that imposed the restriction after the <i>audi alteram partem</i> rule was applied).<br><br>The Database of Restricted Suppliers now resides on the National Treasury's website( <a href="http://www.treasury.gov.za">www.treasury.gov.za</a> ) and can be accessed by clicking on its link at the bottom of the home page. | Yes<br><input type="checkbox"/> | No<br><input type="checkbox"/> |
| 4.1.1 | If so, furnish particulars:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                 |                                |
| 4.2   | Is the bidder or any of its directors listed on the Register for Tender Defaulters in terms of section 29 of the Prevention and Combating of Corrupt Activities Act (No 12 of 2004)?<br>The Register for Tender Defaulters can be accessed on the National Treasury's website ( <a href="http://www.treasury.gov.za">www.treasury.gov.za</a> ) by clicking on its link at the bottom of the home page.                                                                                                                                                                                                                                                                           | Yes<br><input type="checkbox"/> | No<br><input type="checkbox"/> |
| 4.2.1 | If so, furnish particulars:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                 |                                |
| 4.3   | Was the bidder or any of its directors convicted by a court of law (including a court outside of the Republic of South Africa) for fraud or corruption during the past five years?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Yes<br><input type="checkbox"/> | No<br><input type="checkbox"/> |
| 4.3.1 | If so, furnish particulars:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                 |                                |

|       |                                                                                                                                                                   |                                 |                                |
|-------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------|
| 4.4   | Was any contract between the bidder and any organ of state terminated during the past five years on account of failure to perform on or comply with the contract? | Yes<br><input type="checkbox"/> | No<br><input type="checkbox"/> |
| 4.4.1 | If so, furnish particulars:                                                                                                                                       |                                 |                                |

**SBD 8**

### **CERTIFICATION**

**I, THE UNDERSIGNED (FULL NAME).....  
CERTIFY THAT THE INFORMATION FURNISHED ON THIS DECLARATION  
FORM IS TRUE AND CORRECT.**

**I ACCEPT THAT, IN ADDITION TO CANCELLATION OF A CONTRACT,  
ACTION MAY BE TAKEN AGAINST ME SHOULD THIS DECLARATION  
PROVE TO BE FALSE.**

.....  
**Signature**

.....  
**Date**

.....  
**Position**

.....  
**Name of Bidder**

Js365bW

**CERTIFICATE OF INDEPENDENT BID DETERMINATION**

- 1 This Standard Bidding Document (SBD) must form part of all bids<sup>1</sup> invited.
- 2 Section 4 (1) (b) (iii) of the Competition Act No. 89 of 1998, as amended, prohibits an agreement between, or concerted practice by, firms, or a decision by an association of firms, if it is between parties in a horizontal relationship and if it involves collusive bidding (or bid rigging).<sup>2</sup> Collusive bidding is a *per se* prohibition meaning that it cannot be justified under any grounds.
- 3 Treasury Regulation 16A9 prescribes that accounting officers and accounting authorities must take all reasonable steps to prevent abuse of the supply chain management system and authorizes accounting officers and accounting authorities to:
  - a. disregard the bid of any bidder if that bidder, or any of its directors have abused the institution's supply chain management system and or committed fraud or any other improper conduct in relation to such system.
  - b. cancel a contract awarded to a supplier of goods and services if the supplier committed any corrupt or fraudulent act during the bidding process or the execution of that contract.
- 4 This SBD serves as a certificate of declaration that would be used by institutions to ensure that, when bids are considered, reasonable steps are taken to prevent any form of bid-rigging.
- 5 In order to give effect to the above, the attached Certificate of Bid Determination (SBD 9) must be completed and submitted with the bid:

<sup>1</sup> Includes price quotations, advertised competitive bids, limited bids and proposals.

<sup>2</sup> Bid rigging (or collusive bidding) occurs when businesses, that would otherwise be expected to compete, secretly conspire to raise prices or lower the quality of goods and / or services for purchasers who wish to acquire goods and / or services through a bidding process. Bid rigging is, therefore, an agreement between competitors not to compete.

**CERTIFICATE OF INDEPENDENT BID DETERMINATION**

I, the undersigned, in submitting the accompanying bid:

\_\_\_\_\_  
(Bid Number and Description)

in response to the invitation for the bid made by:

\_\_\_\_\_  
(Name of Institution)

do hereby make the following statements that I certify to be true and complete in every respect:

I certify, on behalf of: \_\_\_\_\_ that:

(Name of Bidder)

1. I have read and I understand the contents of this Certificate;
2. I understand that the accompanying bid will be disqualified if this Certificate is found not to be true and complete in every respect;
3. I am authorized by the bidder to sign this Certificate, and to submit the accompanying bid, on behalf of the bidder;
4. Each person whose signature appears on the accompanying bid has been authorized by the bidder to determine the terms of, and to sign the bid, on behalf of the bidder;
5. For the purposes of this Certificate and the accompanying bid, I understand that the word "competitor" shall include any individual or organization, other than the bidder, whether or not affiliated with the bidder, who:
  - (a) has been requested to submit a bid in response to this bid invitation;
  - (b) could potentially submit a bid in response to this bid invitation, based on their qualifications, abilities or experience; and
  - (c) provides the same goods and services as the bidder and/or is in the same line of business as the bidder

6. The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However communication between partners in a joint venture or consortium<sup>3</sup> will not be construed as collusive bidding.
7. In particular, without limiting the generality of paragraphs 6 above, there has been no consultation, communication, agreement or arrangement with any competitor regarding:
  - (a) prices;
  - (b) geographical area where product or service will be rendered (market allocation)
  - (c) methods, factors or formulas used to calculate prices;
  - (d) the intention or decision to submit or not to submit, a bid;
  - (e) the submission of a bid which does not meet the specifications and conditions of the bid; or
  - (f) bidding with the intention not to win the bid.
8. In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications and conditions or delivery particulars of the products or services to which this bid invitation relates.
9. The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.

<sup>3</sup> Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

**SBD 9**

10. I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

.....  
**Signature**

.....  
**Date**

.....  
**Position**

.....  
**Name of Bidder**

**Js914w 2**

## **TERMS OF REFERENCES FOR THE APPOINTMENT OF A SERVICE PROVIDER /CONSULTANT TO RENDER RESEARCH AND DEVELOPMENT SERVICES FOR THE ROAD TRAFFIC INFRINGEMENT AGENCY (RTIA) FOR THREE YEARS**

### **1. PURPOSE**

The Road Traffic Infringement Agency (RTIA) would like to invite bid proposals from competent service providers to render Research and Development services for three (3) years.

### **2. BACKGROUND**

In 2020, the new Board of the Agency decided to assign management to prioritise Research and Development as a function within the RTIA. This assignment was commissioned as part of the new strategic direction of the Agency, 2020-2024. Thus, the RTIA business will be anchored on research and development to ensure that the chosen strategic plans are derived and supported by research evidence. Secondly, research is also needed to regularly monitor the impact of road safety management tools, serving as a controlling instrument for the appropriateness of road safety management efforts. Lastly, the R&D to research road traffic and transport contravention and driver/operator behavioural change towards road safety reduces road crashes and fatalities. The R&D will ensure that the RTIA respond to the AARTO problems in a scientific manner.

Upon the finalisation of both the approved Annual Operational Plan (APP), 2021/2022 and the newly created organisational structure, Exco and Manco jointly expressed a view that it is imperative to appoint the necessary requisite skills and partner with research institutions to be able to fulfil the targets on the APP. The internal capacity needed to be supplemented by the external expert research service provider, seeing that none of the internal capacity may isolate time to provide research information that would lead to new road safety products and initiatives. Contracting a panel of the R&D service provider will also address the natural shortcomings of inward-looking and not objectively focus on other external factors that may imperil such research's sustainability. Therefore, EXCO decided to partner with institutions of higher learning, research institutions or consultants, which mainly specialise in R&D in road safety.

## **TERMS OF REFERENCES FOR THE APPOINTMENT OF A SERVICE PROVIDER /CONSULTANT TO RENDER RESEARCH AND DEVELOPMENT SERVICES FOR THE ROAD TRAFFIC INFRINGEMENT AGENCY (RTIA) FOR THREE YEARS**

It was further agreed that during the 2021/22 financial year, the R&D unit would focus on delivering the policy, procedure and research agenda. Thus, these deliverables must be informed by expert knowledge.

The challenge is that the organisational structure is under capacitated. There is only one resource appointed in the R&D function and will not fulfil the desired targets of the APP. Central to the organisational challenge is the need to continuously improve the AARTO regulatory regime by advancing its products and services by benchmarking the adopted approach with the best in the world and align approaches to suit the South African limitations.

The call for research and development service providers aims to foster evidence-based planning, policy and strategy formulation and decision-making in the road traffic and transport contravention and related research sector to:

- 2.1 Increase the role of scientific policy, relevant and applied research in contributing to achieving an inclusive and sustainable reduction of road traffic crashes and fatalities.
- 2.2 Improve quality and evidence-based research outputs in information, knowledge, reports, frameworks or models.
- 2.3 Promote multi-disciplinary solution-based research with tangible outcomes.

### **3. SCOPE OF WORK**

**6.1 Research and Development Policy:** To produce the R&D policy

**6.2 Research and Development Standard Operating Procedure (SOP):** To produce the R&D SOP

**6.3 Research plan:** To develop the research agenda for the Agency.

**6.4 Road Traffic Law Enforcement of other drugs other than Alcohol:** To research and produce a report on the road traffic law enforcement of other drugs other than alcohol.

**6.5 AARTO Regulation on Foreign Drivers and Vehicles:** To research and produce a report on the AARTO regulations on foreign drivers and vehicles.

**6.6 Advancement of road safety and related postgraduate student research as part of human capital development:** To fund the Postgraduate student programmes for Masters, PhD students and Post-Doctoral Fellows in road safety.

**6.7 Road traffic contraventions, both infringements and offences:** To produce an impact study report on road traffic contraventions and offences on road safety in Tshwane and Johannesburg jurisdictions.

**6.8 Point Demerit System (PDS):** To produce the research paper introducing the PDS on changing road user behaviour.

**TERMS OF REFERENCES FOR THE APPOINTMENT OF A SERVICE PROVIDER /CONSULTANT TO RENDER RESEARCH AND DEVELOPMENT SERVICES FOR THE ROAD TRAFFIC INFRINGEMENT AGENCY (RTIA) FOR THREE YEARS**

**6.9 Rehabilitation programme:** To produce the research paper introducing the rehabilitation programme on changing road user behaviour.

**6.10 Compliance revenue collection actuarial models:** To produce a research report on revenue collection, penalty fees and related costs based on actuarial models.

**6.11 Compliance revenue collection actuarial models:** To produce the impact research report on penalty fees and related costs on changing road user behaviour.

**6.12 Appeals Tribunal:** The research report introduces the Appeals Tribunal in strengthening fairness in the AARTO process.

**6.13 AARTO education and awareness campaigns:** AARTO education and awareness campaign on changing the road user behaviour.

**4. PROJECT DELIVERABLES**

| Deliverables                                                                                                                                                                                                                     | Timeframe        |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|
| <b>Research and Development Policy:</b> To produce the R&D policy                                                                                                                                                                | 31 March 2022    |
| <b>Research and Development Standard Operating Procedure (SOP):</b> To produce the R&D SOP                                                                                                                                       | 31 March 2022    |
| <b>Research plan:</b> To develop the research agenda for the Agency.                                                                                                                                                             | 31 March 2022    |
| <b>Road Traffic Law Enforcement of other drugs other than Alcohol:</b> To research and produce a report on the road traffic law enforcement of other drugs other than alcohol                                                    | 31 December 2022 |
| <b>AARTO Regulation on Foreign Drivers and Vehicles:</b> To research and produce a report on the AARTO regulations on foreign drivers and vehicles.                                                                              | 31 December 2022 |
| <b>Advancement of road safety and related postgraduate student research as part of human capital development:</b> To fund the Postgraduate student programmes for Masters, PhD students and Post-Doctoral Fellows in road safety | 01 April 2022    |

**TERMS OF REFERENCES FOR THE APPOINTMENT OF A SERVICE PROVIDER /CONSULTANT TO RENDER RESEARCH AND DEVELOPMENT SERVICES FOR THE ROAD TRAFFIC INFRINGEMENT AGENCY (RTIA) FOR THREE YEARS**

|                                                                                                                                                                                                             |                   |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|
| <b>Road traffic contraventions, both infringements and offences:</b> To produce an impact study report on road traffic contraventions and offences on road safety in Tshwane and Johannesburg jurisdictions | 31 March 2022     |
| <b>Point Demerit System (PDS):</b> To produce the research paper introducing the PDS on changing road user behaviour                                                                                        | 31 March 2023     |
| <b>Rehabilitation programme:</b> To produce the research paper introducing the rehabilitation programme on changing road user behaviour                                                                     | 31 March 2023     |
| <b>Compliance revenue collection actuarial models:</b> To produce a research report on revenue collection, penalty fees and related costs based on actuarial models                                         | 31 September 2023 |
| <b>Appeals Tribunal:</b> The research report introduces the Appeals Tribunal in strengthening fairness in the AARTO process.                                                                                | 31 March 2024     |
| <b>AARTO education and awareness campaigns:</b> AARTO education and awareness campaign on changing the road user behaviour.                                                                                 | 31 December 2023  |

**5. MANDATORY REQUIREMENTS**

**Bidders must comply with the requirements and submit all required document(s) indicated hereunder with the bid documents at the closing date and time of the bid. This phase is not scored, and bidders who fail to comply with the mandatory criteria will be disqualified.**

**TERMS OF REFERENCES FOR THE APPOINTMENT OF A SERVICE PROVIDER /CONSULTANT TO RENDER RESEARCH AND DEVELOPMENT SERVICES FOR THE ROAD TRAFFIC INFRINGEMENT AGENCY (RTIA) FOR THREE YEARS**

- 5.1. Bidders must be registered on the Central Supplier Database, and the Road, Traffic Infringement Agency, shall verify the bidder's tax compliance status through the Central Supplier Database. Where Consortia / Joint Ventures / Sub-contractors are involved, each party must be registered on the Central Supplier Database. Their tax compliance status will be verified through the Central Supplier Database. It is, therefore, a condition of this bid that the tax matters of the bidder be in order at any point in time from the closing date of the bid. This bid will only be awarded to a bidder(s) whose tax status on Central Supplier Database is compliant. Compliance should remain valid for the duration of the contract.**
- 5.2. Bidders are required to attend compulsory briefing sessions**

**6. EVALUATION CRITERIA**

- 6.1. Only bidders who have complied with mandatory requirements will be evaluated for functionality. Bidders must submit supporting documentation for all functional requirements as indicated hereunder as part of their bid documents. The Bid Evaluation Committee (BEC) responsible for scoring the respective bids will evaluate all bids based on their submissions and the information provided.**
- 6.2. The value score for each criterion will be multiplied with the specified weighting for the relevant criterion to obtain the marks scored for each criterion. These marks will be added and expressed as a fraction of the best possible score for all criteria.**
- 6.3. Functionality will be evaluated based on the supporting documentation supplied by the bidders in accordance with the below functionality criteria and values.**
- 6.4. The functionality evaluation will be evaluated individually by Members of the Bid Evaluation Committee in accordance with the below functionality criteria and values.**
- 6.5. The applicable values that will be utilized when scoring each criterion ranges from: 1 being Poor, 2 = Average 3 = Good, 4 = Very Good & 5 = Excellent.**

**TERMS OF REFERENCES FOR THE APPOINTMENT OF A SERVICE PROVIDER /CONSULTANT TO RENDER  
RESEARCH AND DEVELOPMENT SERVICES FOR THE ROAD TRAFFIC INFRINGEMENT AGENCY (RTIA) FOR  
THREE YEARS**

| <b>EVALUATION<br/>CRITERIA</b>                       | <b>GUIDELINES FOR CRITERIA APPLICATION</b>                                                                                                                                                                                                                                                                                                                                                                                                                                          | <b>WEIGHT</b> |
|------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| <b>ABILITY AND<br/>CAPABILITY</b>                    | <b>Company experience:</b> 10-15 years experience of the firm in an R&D road safety contraventions and offences services, road traffic and transport (At least three (3) reference letters under the client-company letterhead, with contactable details that confirm that the firm is successfully managing or has previously managed projects of a similar nature, must be attached). The reference letter must state the duration and if the project was completed successfully. | 25            |
|                                                      | <b>Project Leader</b> to be utilised in the execution of the contract—please attach three (3) personnel CVs with skill(s)/ experience/ qualification (s relating to R&D in road safety contraventions and offences, road traffic and transport.                                                                                                                                                                                                                                     | 25            |
| <b>METHODOLOGY<br/>AND APPROACH</b>                  | Bidders should provide a comprehensive project execution plan covering the entire scope of work, including support plan as well as proposed work schedule/ training, duty sheet/ work plan with clear deliverables and timeframes for each task to be completed                                                                                                                                                                                                                     | 25            |
|                                                      | <b>Flexibility in customer service</b> in terms of turnaround times regarding solving problems that may arise during the execution of the contract, i.e. contingency plan.                                                                                                                                                                                                                                                                                                          | 10            |
|                                                      | Training, skills development plan and transfer of skills                                                                                                                                                                                                                                                                                                                                                                                                                            | 15            |
| <b>TOTAL POINTS ON FUNCTIONALITY MUST ADD TO 100</b> |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | <b>100</b>    |
| <b>MINIMUM REQUIRED SCORE</b>                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | <b>70</b>     |

**TERMS OF REFERENCES FOR THE APPOINTMENT OF A SERVICE PROVIDER /CONSULTANT TO RENDER RESEARCH AND DEVELOPMENT SERVICES FOR THE ROAD TRAFFIC INFRINGEMENT AGENCY (RTIA) FOR THREE YEARS**

| <b>Scoring Criterion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                 | <b>1<br/>Poor</b>                                   | <b>2<br/>Average</b>                                                  | <b>3<br/>Good</b>                                              | <b>4<br/>Very Good</b>                                          | <b>5<br/>Excellent</b>                                   |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|-----------------------------------------------------------------------|----------------------------------------------------------------|-----------------------------------------------------------------|----------------------------------------------------------|
| Company experience: 10 years experience of the firm in an R&D road safety contraventions and offences services, road traffic and transport (At least three (3) reference letters under the client-company letterhead, with contactable details that confirm that the firm is successfully managing or has previously managed projects of a similar nature, must be attached). The reference letter must state the duration and if the project was completed successfully | 0 to 5 years of similar knowledge and experience =1 | 6 to 8 years of similar knowledge and experience=2                    | 9 to 10 years of similar knowledge and experience=3            | 11 to 14 years of similar knowledge and experience=4            | 15 years and over of similar knowledge and experience=5. |
| Project Leader to be utilised in the execution of the contract- please attach three (3) personnel CVs with skill(s)/ experience/ qualification (s relating to R&D in road safety contraventions and offences, road traffic and transport.                                                                                                                                                                                                                                | 0 to 5 years of similar knowledge and experience =1 | 6 to 8 years of similar knowledge and experience=2                    | 9 to 10 years of similar knowledge and experience=3            | 11 to 14 years of similar knowledge and experience=4            | 15 and over years of similar knowledge and experience=5. |
| Bidders should provide a comprehensive project execution plan covering the entire scope of work, including maintenance and support plan as well as proposed work schedule/ training, duty sheet/ work plan with clear deliverables and timeframes for each task to be completed:                                                                                                                                                                                         | No plan or irrelevant plan=1                        | A plan addressing 4 and above objectives/scope of work requirements=2 | A plan addressing 7 and above objectives of the requirements=3 | A plan addressing 10 and above objectives of the requirements=4 | A plan addressing 13 objectives of the requirements=5    |
| <b>Flexibility in customer service.</b>                                                                                                                                                                                                                                                                                                                                                                                                                                  | No plan or irrelevant=1                             | A plan that addresses the turnaround                                  | A plan that addresses the turnaround                           | A plan addresses the turnaround                                 | A plan addresses the turnaround                          |

**TERMS OF REFERENCES FOR THE APPOINTMENT OF A SERVICE PROVIDER /CONSULTANT TO RENDER RESEARCH AND DEVELOPMENT SERVICES FOR THE ROAD TRAFFIC INFRINGEMENT AGENCY (RTIA) FOR THREE YEARS**

| In terms of turnaround times regarding solving problems that may arise during the execution of the contract, i.e. contingency plan.                                                                                                               |                                | time within 5 days=2                                           | time within 3 days=3                                            | time within 2 days=4                                              | time within a day=5                                                 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------------------------------------|-----------------------------------------------------------------|-------------------------------------------------------------------|---------------------------------------------------------------------|
| <b>Training, skills development plan and transfer of skills :</b><br>1. To develop the R&D strategy<br>2. To develop the research agenda<br>3. To develop the research report<br>4. To develop the research paper<br>5. To conduct data analytics | No plan at all or irrelevant=1 | Training and skills development plan covers 1 training skill=2 | Training and skills development plan covers 2 training skills=3 | Training and skills development plan covers 3-4 training skills=4 | Training and skills development plan covers all 5 training skills=5 |

**6.6.** The Bids that fail to achieve a minimum of **70** out of **100** points for functionality will be disqualified. This means that such bids will not be evaluated on the Preference Points System stage.

**6.7 Second Stage – Evaluation in terms of 80/20 Preference Points System**

Only bids that achieve the minimum qualifying score for functionality will be evaluated further in accordance with the 80/20 preference points system.

**6.8 Calculating of points for B-BBEE status level of contribution**

Points will be awarded to a bidder for attaining the B-BBEE status level of contribution in accordance with the table below:

| <b><u>B-BBEE Status Level of Contributor</u></b> | <b><u>Number of Points</u></b> |
|--------------------------------------------------|--------------------------------|
| <b>1</b>                                         | <b>20</b>                      |
| <b>2</b>                                         | <b>18</b>                      |
| <b>3</b>                                         | <b>14</b>                      |
| <b>4</b>                                         | <b>12</b>                      |
| <b>5</b>                                         | <b>8</b>                       |
| <b>6</b>                                         | <b>6</b>                       |
| <b>7</b>                                         | <b>4</b>                       |
| <b>8</b>                                         | <b>2</b>                       |

**TERMS OF REFERENCES FOR THE APPOINTMENT OF A SERVICE PROVIDER /CONSULTANT TO RENDER RESEARCH AND DEVELOPMENT SERVICES FOR THE ROAD TRAFFIC INFRINGEMENT AGENCY (RTIA) FOR THREE YEARS**

|                                  |
|----------------------------------|
| <b>Non-compliant contributor</b> |
|----------------------------------|

|          |
|----------|
| <b>0</b> |
|----------|

- 6.9. Bidders are required to complete the preference claim form (SBD 6.1) and submit their original and valid B-BBEE status level verification certificate or a certified copy thereof or a sworn affidavit at the closing date and time of the bid to claim the B-BBEE status level point. The points scored by a bidder in respect of the level of B-BBEE contribution will be added to the points scored for price.
- 6.10. Failure on the part of the bidder to comply with paragraphs 6.9. and 6.10 above will be deemed that preference points for B-BBEE status level of contribution are not claimed and will therefore be allocated zero (0).
- 6.12. The RTIA may before a bid is adjudicated or at any time, require a bidder to substantiate claims it has made with regard to preference.
- 6.13. The points scored are recorded as-is.
- 6.14. If two or more bids have scored equal total points, the bidder will award the contract with the lowest price.
- 6.15. However, when functionality is part of the evaluation process, and two or more bidders have scored equal points, including equal preference points for B-BBEE, the contract will be awarded to the bidder scoring the highest.
- 6.16. Should two or more bids be equal in all respects, the drawing of lots shall decide the award.
- 6.17. A contract may be awarded to a bid that did not score the highest number of points on reasonable and justifiable grounds.

## **7. COMPULSORY INFORMATION SESSION**

- 7.1. A compulsory briefing session will be held at RTIA as detailed in the Advert. The mandatory briefing session provides bidders with an opportunity to clarify aspects of the process as set out in this document and to address any substantive issues that bidders may wish to raise. Any Briefing Notes that the RTIA may issue to the Service Providers should be considered part of this project.

**TERMS OF REFERENCES FOR THE APPOINTMENT OF A SERVICE PROVIDER /CONSULTANT TO RENDER RESEARCH AND DEVELOPMENT SERVICES FOR THE ROAD TRAFFIC INFRINGEMENT AGENCY (RTIA) FOR THREE YEARS**

- 7.2. Firms may ask for clarification on this ToR or any of its Annexures up to the close of business forty-eight (48) hours before the deadline for submitting bids. Any request for clarification must be submitted by email or physical mail to the Supply Chain Office. Copies of questions and answers will be emailed to all firms that register at the briefing session.

**8. SUB-CONTRACTING, PARTNERSHIP/CONSORTIUM/JOINT VENTURE AND COMPANY REQUIREMENTS**

- 8.1. The successful bidder must obtain prior RTIA approval to sub-contract and amend the sub-contracting arrangements.
- 8.2. A proposal submitted by a company, close corporation or other legal people must be accompanied by a resolution or agreement of the directors or members and be signed by a duly authorised person.
- 8.3. A written partnership agreement must accompany a proposal submitted by a partnership.
- 8.4. A proposal submitted by a consortium or joint venture of two or more parties must be accompanied by a signed memorandum of understanding between the parties to such consortium indicating:
- 8.4.1 the conditions under which the consortium will function;
  - 8.4.2 its period of duration;
  - 8.4.3 the persons authorised to represent it;
  - 8.4.4 the participation of the several parties forming the consortium;
  - 8.4.5 the benefits that will accrue to each party; and
  - 8.4.6 any other information necessary to permit a full appraisal of its functioning.

**9. SECURITY AND CONFIDENTIALITY OF INFORMATION**

No material or information derived from the provision of the services under the contract may be used for any purposes other than RTIA, except where authorised in writing to do so. All information will be held strictly confidential. The successful Service Provider may be required to sign a Confidentiality Agreement with RTIA.

**10. TERMS AND CONDITIONS**

- 10.1. The RTIA reserves the right to amend, modify or withdraw this Terms of Reference (ToR) document or amend, modify or terminate any of the procedures or requirements set out herein at any time and from time to time, without prior notice except where required by law, and without liability to compensate or reimburse any Service Provider.

**TERMS OF REFERENCES FOR THE APPOINTMENT OF A SERVICE PROVIDER /CONSULTANT TO RENDER RESEARCH AND DEVELOPMENT SERVICES FOR THE ROAD TRAFFIC INFRINGEMENT AGENCY (RTIA) FOR THREE YEARS**

**10.2.** Neither the RTIA, nor any of its respective officers, nor employees may make any representation or warranty, expressed or implied in this ToR document, and nothing contained herein is, or shall be relied upon as, a promise or representation, whether as to the past or the future.

**10.3.** The costs of preparing proposals and negotiating the contract will not be reimbursed.

**10.4.** The RTIA also reserves the right to call interviews with short-listed Service Providers before final selection and negotiate a price with the Preferred Service Providers.

**10.5.** Firms may not contact RTIA on any matter pertaining to their bid from when bids are submitted to the time the contract is awarded. Any effort by a Service Provider to influence bid evaluation, bid comparisons or award decisions in any manner may result in rejection of the bid concerned.

**10.6.** Bid submission requirements must be completed in sections and appendices provided in the bid document.

**10.7.** ALL BIDDERS MUST BE REGISTERED ON THE CENTRAL SUPPLIER DATABASE AT THE NATIONAL TREASURY. More information in this regard is available on [www.ocpo.treasury.gov.za](http://www.ocpo.treasury.gov.za). Proof of registration must be submitted together with the technical proposal.

**10.8.** Bidders may provide additional information deemed important for the RTIA to consider before the closing date.

**10.9.** Prospective Bidders must at all times comply with the RTIA's Supply Chain rules and processes about all projects and payments.

## **11. PAYMENT**

**11.1. Invoice.** The Contractor's Project Manager shall, at the end of each deliverable to be verified by the project manager, submit a consolidated invoice, certified as correct by the Contractor's Project Manager, showing the actual work performed, hours worked, and workforce inputs for the task and associated costs accompanied by all supporting documents. The invoice will be paid within 30 days of receipt an invoice.

**11.2. Detailed Pricing.** Service Providers must complete the required SBD Pricing documents and ensure that Prices are:

**TERMS OF REFERENCES FOR THE APPOINTMENT OF A SERVICE PROVIDER /CONSULTANT TO RENDER RESEARCH AND DEVELOPMENT SERVICES FOR THE ROAD TRAFFIC INFRINGEMENT AGENCY (RTIA) FOR THREE YEARS**

- 11.2.1 Firm and inclusive of all costs, including disbursements;
- 11.2.2 Inclusive of VAT, if applicable;
- 11.2.3. Correctly calculated and identical to the financial proposal;
- 11.2.4. Pricing should be detailed, with the proper cost breakdown, in line with milestones.

**11.3 Fees**

Domestic hotel accommodation may not exceed R 1440, inclusive of VAT per night per person. (incl. dinner, breakfast and parking), air travel must be restricted to economy class, and travel claims per kilometre may not exceed the rates approved by the Automobile Association of South Africa.

**11.4 Rates**

According to the 23 October 2013 Cabinet Resolution as defined in the National Treasury Instruction 01 of 2013/14: Cost Containment Measures sub-paragraph 4.2; the Consultants (or Service Provider) will only be remunerated on the following rates regime:

- 11.4.1. The 'Guidelines for fees' issued by South African Institute of Chartered Accountants (SAICA);
- 11.4.2. The "Guide on Hourly Fee Rates for Consultants", by the Department of Public Service and Administration (DPSA); or
- 11.4.3. Based on the body regulating the profession of the Consultant.

**11.5 Payment information**

- 11.5.1 An invoice only becomes due and payable:
  - a. When the Project Manager signs-off on the specific deliverable and submits the invoice for payment;
  - b. When the invoice is correct regarding calculations, the information contained, banking details and supporting documents.
- 11.5.2 It is important to ensure that invoices are correctly submitted and reference the project name and Order-Nr.
- 11.5.3 Non-compliance will delay the payment process.

**12. CONTACT DETAILS**

**TERMS OF REFERENCES FOR THE APPOINTMENT OF A SERVICE PROVIDER /CONSULTANT TO RENDER RESEARCH AND DEVELOPMENT SERVICES FOR THE ROAD TRAFFIC INFRINGEMENT AGENCY (RTIA) FOR THREE YEARS**

|                                         |
|-----------------------------------------|
| <b>Administrative Contact Details</b>   |
|                                         |
| Name: Ms Kelebogile Thipe/ Kwena Moloko |
| Directorate: CFO                        |
| Tel: 0872877995/0722735650              |
| E-mail: Kelebogile. Thipe@rtia.co.za    |

**13. APPROVAL**

These Terms of Reference has been checked and approved as follows:

**RECOMMENDED/NOT RECOMMENDED**

Electronically signed

**CHAIRPERSON: BID SPECIFICATION COMMITTEE**

**DATE: 23 August 2021**

**APPROVED/NOT APPROVED**

Electronically signed

**CHAIRPERSON: BID ADJUDICATION COMMITTEE**

**DATE: 29/10/2021**



**GOVERNMENT PROCUREMENT**  
**GENERAL CONDITIONS OF CONTRACT**  
**July 2010**

**NOTES**

The purpose of this document is to:

- (i) Draw special attention to certain general conditions applicable to government bids, contracts and orders; and
- (ii) To ensure that clients be familiar with regard to the rights and obligations of all parties involved in doing business with government.

In this document words in the singular also mean in the plural and vice versa and words in the masculine also mean in the feminine and neuter.

- The General Conditions of Contract will form part of all bid documents and may not be amended.
- Special Conditions of Contract (SCC) relevant to a specific bid, should be compiled separately for every bid (if applicable) and will supplement the General Conditions of Contract. Whenever there is a conflict, the provisions in the SCC shall prevail.

## **TABLE OF CLAUSES**

1. Definitions
2. Application
3. General
4. Standards
5. Use of contract documents and information; inspection
6. Patent rights
7. Performance security
8. Inspections, tests and analysis
9. Packing
10. Delivery and documents
11. Insurance
12. Transportation
13. Incidental services
14. Spare parts
15. Warranty
16. Payment
17. Prices
18. Contract amendments
19. Assignment
20. Subcontracts
21. Delays in the supplier's performance
22. Penalties
23. Termination for default
24. Dumping and countervailing duties
25. Force Majeure
26. Termination for insolvency
27. Settlement of disputes
28. Limitation of liability
29. Governing language
30. Applicable law
31. Notices
32. Taxes and duties
33. National Industrial Participation Programme (NIPP)
34. Prohibition of restrictive practices

## **General Conditions of Contract**

### **1. Definitions**

1. The following terms shall be interpreted as indicated:
  - 1.1 "Closing time" means the date and hour specified in the bidding documents for the receipt of bids.
  - 1.2 "Contract" means the written agreement entered into between the purchaser and the supplier, as recorded in the contract form signed by the parties, including all attachments and appendices thereto and all documents incorporated by reference therein.
  - 1.3 "Contract price" means the price payable to the supplier under the contract for the full and proper performance of his contractual obligations.
  - 1.4 "Corrupt practice" means the offering, giving, receiving, or soliciting of any thing of value to influence the action of a public official in the procurement process or in contract execution.
  - 1.5 "Countervailing duties" are imposed in cases where an enterprise abroad is subsidized by its government and encouraged to market its products internationally.
  - 1.6 "Country of origin" means the place where the goods were mined, grown or produced or from which the services are supplied. Goods are produced when, through manufacturing, processing or substantial and major assembly of components, a commercially recognized new product results that is substantially different in basic characteristics or in purpose or utility from its components.
  - 1.7 "Day" means calendar day.
  - 1.8 "Delivery" means delivery in compliance of the conditions of the contract or order.
  - 1.9 "Delivery ex stock" means immediate delivery directly from stock actually on hand.
  - 1.10 "Delivery into consignees store or to his site" means delivered and unloaded in the specified store or depot or on the specified site in compliance with the conditions of the contract or order, the supplier bearing all risks and charges involved until the supplies are so delivered and a valid receipt is obtained.
  - 1.11 "Dumping" occurs when a private enterprise abroad market its goods on own initiative in the RSA at lower prices than that of the country of origin and which have the potential to harm the local industries in the

RSA.

- 1.12 "Force majeure" means an event beyond the control of the supplier and not involving the supplier's fault or negligence and not foreseeable. Such events may include, but is not restricted to, acts of the purchaser in its sovereign capacity, wars or revolutions, fires, floods, epidemics, quarantine restrictions and freight embargoes.
- 1.13 "Fraudulent practice" means a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of any bidder, and includes collusive practice among bidders (prior to or after bid submission) designed to establish bid prices at artificial non-competitive levels and to deprive the bidder of the benefits of free and open competition.
- 1.14 "GCC" means the General Conditions of Contract.
- 1.15 "Goods" means all of the equipment, machinery, and/or other materials that the supplier is required to supply to the purchaser under the contract.
- 1.16 "Imported content" means that portion of the bidding price represented by the cost of components, parts or materials which have been or are still to be imported (whether by the supplier or his subcontractors) and which costs are inclusive of the costs abroad, plus freight and other direct importation costs such as landing costs, dock dues, import duty, sales duty or other similar tax or duty at the South African place of entry as well as transportation and handling charges to the factory in the Republic where the supplies covered by the bid will be manufactured.
- 1.17 "Local content" means that portion of the bidding price which is not included in the imported content provided that local manufacture does take place.
- 1.18 "Manufacture" means the production of products in a factory using labour, materials, components and machinery and includes other related value-adding activities.
- 1.19 "Order" means an official written order issued for the supply of goods or works or the rendering of a service.
- 1.20 "Project site," where applicable, means the place indicated in bidding documents.
- 1.21 "Purchaser" means the organization purchasing the goods.
- 1.22 "Republic" means the Republic of South Africa.
- 1.23 "SCC" means the Special Conditions of Contract.
- 1.24 "Services" means those functional services ancillary to the supply of the goods, such as transportation and any other incidental services, such as installation, commissioning, provision of technical assistance, training, catering, gardening, security, maintenance and other such

obligations of the supplier covered under the contract.

1.25 "Written" or "in writing" means handwritten in ink or any form of electronic or mechanical writing.

## **2. Application**

2.1 These general conditions are applicable to all bids, contracts and orders including bids for functional and professional services, sales, hiring, letting and the granting or acquiring of rights, but excluding immovable property, unless otherwise indicated in the bidding documents.

2.2 Where applicable, special conditions of contract are also laid down to cover specific supplies, services or works.

2.3 Where such special conditions of contract are in conflict with these general conditions, the special conditions shall apply.

## **3. General**

3.1 Unless otherwise indicated in the bidding documents, the purchaser shall not be liable for any expense incurred in the preparation and submission of a bid. Where applicable a non-refundable fee for documents may be charged.

3.2 With certain exceptions, invitations to bid are only published in the Government Tender Bulletin. The Government Tender Bulletin may be obtained directly from the Government Printer, Private Bag X85, Pretoria 0001, or accessed electronically from [www.treasury.gov.za](http://www.treasury.gov.za)

## **4. Standards**

4.1 The goods supplied shall conform to the standards mentioned in the bidding documents and specifications.

## **5. Use of contract documents and information; inspection.**

5.1 The supplier shall not, without the purchaser's prior written consent, disclose the contract, or any provision thereof, or any specification, plan, drawing, pattern, sample, or information furnished by or on behalf of the purchaser in connection therewith, to any person other than a person employed by the supplier in the performance of the contract. Disclosure to any such employed person shall be made in confidence and shall extend only so far as may be necessary for purposes of such performance.

5.2 The supplier shall not, without the purchaser's prior written consent, make use of any document or information mentioned in GCC clause 5.1 except for purposes of performing the contract.

5.3 Any document, other than the contract itself mentioned in GCC clause 5.1 shall remain the property of the purchaser and shall be returned (all copies) to the purchaser on completion of the supplier's performance under the contract if so required by the purchaser.

5.4 The supplier shall permit the purchaser to inspect the supplier's records relating to the performance of the supplier and to have them audited by auditors appointed by the purchaser, if so required by the purchaser.

## **6. Patent rights**

6.1 The supplier shall indemnify the purchaser against all third-party claims of infringement of patent, trademark, or industrial design rights arising from use of the goods or any part thereof by the purchaser.

**7. Performance security**

- 7.1 Within thirty (30) days of receipt of the notification of contract award, the successful bidder shall furnish to the purchaser the performance security of the amount specified in SCC.
- 7.2 The proceeds of the performance security shall be payable to the purchaser as compensation for any loss resulting from the supplier's failure to complete his obligations under the contract.
- 7.3 The performance security shall be denominated in the currency of the contract, or in a freely convertible currency acceptable to the purchaser and shall be in one of the following forms:
- (a) a bank guarantee or an irrevocable letter of credit issued by a reputable bank located in the purchaser's country or abroad, acceptable to the purchaser, in the form provided in the bidding documents or another form acceptable to the purchaser; or
  - (b) a cashier's or certified cheque
- 7.4 The performance security will be discharged by the purchaser and returned to the supplier not later than thirty (30) days following the date of completion of the supplier's performance obligations under the contract, including any warranty obligations, unless otherwise specified in SCC.

**8. Inspections, tests and analyses**

- 8.1 All pre-bidding testing will be for the account of the bidder.
- 8.2 If it is a bid condition that supplies to be produced or services to be rendered should at any stage during production or execution or on completion be subject to inspection, the premises of the bidder or contractor shall be open, at all reasonable hours, for inspection by a representative of the Department or an organization acting on behalf of the Department.
- 8.3 If there are no inspection requirements indicated in the bidding documents and no mention is made in the contract, but during the contract period it is decided that inspections shall be carried out, the purchaser shall itself make the necessary arrangements, including payment arrangements with the testing authority concerned.
- 8.4 If the inspections, tests and analyses referred to in clauses 8.2 and 8.3 show the supplies to be in accordance with the contract requirements, the cost of the inspections, tests and analyses shall be defrayed by the purchaser.
- 8.5 Where the supplies or services referred to in clauses 8.2 and 8.3 do not comply with the contract requirements, irrespective of whether such supplies or services are accepted or not, the cost in connection with these inspections, tests or analyses shall be defrayed by the supplier.
- 8.6 Supplies and services which are referred to in clauses 8.2 and 8.3 and which do not comply with the contract requirements may be rejected.
- 8.7 Any contract supplies may on or after delivery be inspected, tested or

analyzed and may be rejected if found not to comply with the requirements of the contract. Such rejected supplies shall be held at the cost and risk of the supplier who shall, when called upon, remove them immediately at his own cost and forthwith substitute them with supplies which do comply with the requirements of the contract. Failing such removal the rejected supplies shall be returned at the suppliers cost and risk. Should the supplier fail to provide the substitute supplies forthwith, the purchaser may, without giving the supplier further opportunity to substitute the rejected supplies, purchase such supplies as may be necessary at the expense of the supplier.

- 8.8 The provisions of clauses 8.4 to 8.7 shall not prejudice the right of the purchaser to cancel the contract on account of a breach of the conditions thereof, or to act in terms of Clause 23 of GCC.

#### **9. Packing**

- 9.1 The supplier shall provide such packing of the goods as is required to prevent their damage or deterioration during transit to their final destination, as indicated in the contract. The packing shall be sufficient to withstand, without limitation, rough handling during transit and exposure to extreme temperatures, salt and precipitation during transit, and open storage. Packing, case size and weights shall take into consideration, where appropriate, the remoteness of the goods' final destination and the absence of heavy handling facilities at all points in transit.

- 9.2 The packing, marking, and documentation within and outside the packages shall comply strictly with such special requirements as shall be expressly provided for in the contract, including additional requirements, if any, specified in SCC, and in any subsequent instructions ordered by the purchaser.

#### **10. Delivery and documents**

- 10.1 Delivery of the goods shall be made by the supplier in accordance with the terms specified in the contract. The details of shipping and/or other documents to be furnished by the supplier are specified in SCC.

- 10.2 Documents to be submitted by the supplier are specified in SCC.

#### **11. Insurance**

- 11.1 The goods supplied under the contract shall be fully insured in a freely convertible currency against loss or damage incidental to manufacture or acquisition, transportation, storage and delivery in the manner specified in the SCC.

#### **12. Transportation**

- 12.1 Should a price other than an all-inclusive delivered price be required, this shall be specified in the SCC.

#### **13. Incidental services**

- 13.1 The supplier may be required to provide any or all of the following services, including additional services, if any, specified in SCC:

- (a) performance or supervision of on-site assembly and/or commissioning of the supplied goods;
- (b) furnishing of tools required for assembly and/or maintenance of the supplied goods;
- (c) furnishing of a detailed operations and maintenance manual for each appropriate unit of the supplied goods;

- (d) performance or supervision or maintenance and/or repair of the supplied goods, for a period of time agreed by the parties, provided that this service shall not relieve the supplier of any warranty obligations under this contract; and
- (e) training of the purchaser's personnel, at the supplier's plant and/or on-site, in assembly, start-up, operation, maintenance, and/or repair of the supplied goods.

13.2 Prices charged by the supplier for incidental services, if not included in the contract price for the goods, shall be agreed upon in advance by the parties and shall not exceed the prevailing rates charged to other parties by the supplier for similar services.

#### **14. Spare parts**

14.1 As specified in SCC, the supplier may be required to provide any or all of the following materials, notifications, and information pertaining to spare parts manufactured or distributed by the supplier:

- (a) such spare parts as the purchaser may elect to purchase from the supplier, provided that this election shall not relieve the supplier of any warranty obligations under the contract; and
- (b) in the event of termination of production of the spare parts:
  - (i) Advance notification to the purchaser of the pending termination, in sufficient time to permit the purchaser to procure needed requirements; and
  - (ii) following such termination, furnishing at no cost to the purchaser, the blueprints, drawings, and specifications of the spare parts, if requested.

#### **15. Warranty**

15.1 The supplier warrants that the goods supplied under the contract are new, unused, of the most recent or current models, and that they incorporate all recent improvements in design and materials unless provided otherwise in the contract. The supplier further warrants that all goods supplied under this contract shall have no defect, arising from design, materials, or workmanship (except when the design and/or material is required by the purchaser's specifications) or from any act or omission of the supplier, that may develop under normal use of the supplied goods in the conditions prevailing in the country of final destination.

15.2 This warranty shall remain valid for twelve (12) months after the goods, or any portion thereof as the case may be, have been delivered to and accepted at the final destination indicated in the contract, or for eighteen (18) months after the date of shipment from the port or place of loading in the source country, whichever period concludes earlier, unless specified otherwise in SCC.

15.3 The purchaser shall promptly notify the supplier in writing of any claims arising under this warranty.

15.4 Upon receipt of such notice, the supplier shall, within the period specified in SCC and with all reasonable speed, repair or replace the defective goods or parts thereof, without costs to the purchaser.

15.5 If the supplier, having been notified, fails to remedy the defect(s) within the period specified in SCC, the purchaser may proceed to take

such remedial action as may be necessary, at the supplier's risk and expense and without prejudice to any other rights which the purchaser may have against the supplier under the contract.

- 16. Payment**
- 16.1 The method and conditions of payment to be made to the supplier under this contract shall be specified in SCC.
- 16.2 The supplier shall furnish the purchaser with an invoice accompanied by a copy of the delivery note and upon fulfillment of other obligations stipulated in the contract.
- 16.3 Payments shall be made promptly by the purchaser, but in no case later than thirty (30) days after submission of an invoice or claim by the supplier.
- 16.4 Payment will be made in Rand unless otherwise stipulated in SCC.
- 17. Prices**
- 17.1 Prices charged by the supplier for goods delivered and services performed under the contract shall not vary from the prices quoted by the supplier in his bid, with the exception of any price adjustments authorized in SCC or in the purchaser's request for bid validity extension, as the case may be.
- 18. Contract amendments**
- 18.1 No variation in or modification of the terms of the contract shall be made except by written amendment signed by the parties concerned.
- 19. Assignment**
- 19.1 The supplier shall not assign, in whole or in part, its obligations to perform under the contract, except with the purchaser's prior written consent.
- 20. Subcontracts**
- 20.1 The supplier shall notify the purchaser in writing of all subcontracts awarded under this contracts if not already specified in the bid. Such notification, in the original bid or later, shall not relieve the supplier from any liability or obligation under the contract.
- 21. Delays in the supplier's performance**
- 21.1 Delivery of the goods and performance of services shall be made by the supplier in accordance with the time schedule prescribed by the purchaser in the contract.
- 21.2 If at any time during performance of the contract, the supplier or its subcontractor(s) should encounter conditions impeding timely delivery of the goods and performance of services, the supplier shall promptly notify the purchaser in writing of the fact of the delay, its likely duration and its cause(s). As soon as practicable after receipt of the supplier's notice, the purchaser shall evaluate the situation and may at his discretion extend the supplier's time for performance, with or without the imposition of penalties, in which case the extension shall be ratified by the parties by amendment of contract.
- 21.3 No provision in a contract shall be deemed to prohibit the obtaining of supplies or services from a national department, provincial department, or a local authority.
- 21.4 The right is reserved to procure outside of the contract small quantities or to have minor essential services executed if an emergency arises, the

supplier's point of supply is not situated at or near the place where the supplies are required, or the supplier's services are not readily available.

21.5 Except as provided under GCC Clause 25, a delay by the supplier in the performance of its delivery obligations shall render the supplier liable to the imposition of penalties, pursuant to GCC Clause 22, unless an extension of time is agreed upon pursuant to GCC Clause 21.2 without the application of penalties.

21.6 Upon any delay beyond the delivery period in the case of a supplies contract, the purchaser shall, without canceling the contract, be entitled to purchase supplies of a similar quality and up to the same quantity in substitution of the goods not supplied in conformity with the contract and to return any goods delivered later at the supplier's expense and risk, or to cancel the contract and buy such goods as may be required to complete the contract and without prejudice to his other rights, be entitled to claim damages from the supplier.

## **22. Penalties**

22.1 Subject to GCC Clause 25, if the supplier fails to deliver any or all of the goods or to perform the services within the period(s) specified in the contract, the purchaser shall, without prejudice to its other remedies under the contract, deduct from the contract price, as a penalty, a sum calculated on the delivered price of the delayed goods or unperformed services using the current prime interest rate calculated for each day of the delay until actual delivery or performance. The purchaser may also consider termination of the contract pursuant to GCC Clause 23.

## **23. Termination for default**

23.1 The purchaser, without prejudice to any other remedy for breach of contract, by written notice of default sent to the supplier, may terminate this contract in whole or in part:

- (a) if the supplier fails to deliver any or all of the goods within the period(s) specified in the contract, or within any extension thereof granted by the purchaser pursuant to GCC Clause 21.2;
- (b) if the Supplier fails to perform any other obligation(s) under the contract; or
- (c) if the supplier, in the judgment of the purchaser, has engaged in corrupt or fraudulent practices in competing for or in executing the contract.

23.2 In the event the purchaser terminates the contract in whole or in part, the purchaser may procure, upon such terms and in such manner as it deems appropriate, goods, works or services similar to those undelivered, and the supplier shall be liable to the purchaser for any excess costs for such similar goods, works or services. However, the supplier shall continue performance of the contract to the extent not terminated.

23.3 Where the purchaser terminates the contract in whole or in part, the purchaser may decide to impose a restriction penalty on the supplier by prohibiting such supplier from doing business with the public sector for a period not exceeding 10 years.

23.4 If a purchaser intends imposing a restriction on a supplier or any

person associated with the supplier, the supplier will be allowed a time period of not more than fourteen (14) days to provide reasons why the envisaged restriction should not be imposed. Should the supplier fail to respond within the stipulated fourteen (14) days the purchaser may regard the intended penalty as not objected against and may impose it on the supplier.

23.5 Any restriction imposed on any person by the Accounting Officer / Authority will, at the discretion of the Accounting Officer / Authority, also be applicable to any other enterprise or any partner, manager, director or other person who wholly or partly exercises or exercised or may exercise control over the enterprise of the first-mentioned person, and with which enterprise or person the first-mentioned person, is or was in the opinion of the Accounting Officer / Authority actively associated.

23.6 If a restriction is imposed, the purchaser must, within five (5) working days of such imposition, furnish the National Treasury, with the following information:

- (i) the name and address of the supplier and / or person restricted by the purchaser;
- (ii) the date of commencement of the restriction
- (iii) the period of restriction; and
- (iv) the reasons for the restriction.

These details will be loaded in the National Treasury's central database of suppliers or persons prohibited from doing business with the public sector.

23.7 If a court of law convicts a person of an offence as contemplated in sections 12 or 13 of the Prevention and Combating of Corrupt Activities Act, No. 12 of 2004, the court may also rule that such person's name be endorsed on the Register for Tender Defaulters. When a person's name has been endorsed on the Register, the person will be prohibited from doing business with the public sector for a period not less than five years and not more than 10 years. The National Treasury is empowered to determine the period of restriction and each case will be dealt with on its own merits. According to section 32 of the Act the Register must be open to the public. The Register can be perused on the National Treasury website.

#### **24. Anti-dumping and countervailing duties and rights**

24.1 When, after the date of bid, provisional payments are required, or anti-dumping or countervailing duties are imposed, or the amount of a provisional payment or anti-dumping or countervailing right is increased in respect of any dumped or subsidized import, the State is not liable for any amount so required or imposed, or for the amount of any such increase. When, after the said date, such a provisional payment is no longer required or any such anti-dumping or countervailing right is abolished, or where the amount of such provisional payment or any such right is reduced, any such favourable difference shall on demand be paid forthwith by the contractor to the State or the State may deduct such amounts from moneys (if any) which may otherwise be due to the contractor in regard to supplies or services which he delivered or rendered, or is to deliver or render in terms of the contract or any other contract or any other amount which

may be due to him

**25. Force Majeure**

- 25.1 Notwithstanding the provisions of GCC Clauses 22 and 23, the supplier shall not be liable for forfeiture of its performance security, damages, or termination for default if and to the extent that his delay in performance or other failure to perform his obligations under the contract is the result of an event of force majeure.
- 25.2 If a force majeure situation arises, the supplier shall promptly notify the purchaser in writing of such condition and the cause thereof. Unless otherwise directed by the purchaser in writing, the supplier shall continue to perform its obligations under the contract as far as is reasonably practical, and shall seek all reasonable alternative means for performance not prevented by the force majeure event.

**26. Termination for insolvency**

- 26.1 The purchaser may at any time terminate the contract by giving written notice to the supplier if the supplier becomes bankrupt or otherwise insolvent. In this event, termination will be without compensation to the supplier, provided that such termination will not prejudice or affect any right of action or remedy which has accrued or will accrue thereafter to the purchaser.

**27. Settlement of Disputes**

- 27.1 If any dispute or difference of any kind whatsoever arises between the purchaser and the supplier in connection with or arising out of the contract, the parties shall make every effort to resolve amicably such dispute or difference by mutual consultation.
- 27.2 If, after thirty (30) days, the parties have failed to resolve their dispute or difference by such mutual consultation, then either the purchaser or the supplier may give notice to the other party of his intention to commence with mediation. No mediation in respect of this matter may be commenced unless such notice is given to the other party.
- 27.3 Should it not be possible to settle a dispute by means of mediation, it may be settled in a South African court of law.
- 27.4 Mediation proceedings shall be conducted in accordance with the rules of procedure specified in the SCC.
- 27.5 Notwithstanding any reference to mediation and/or court proceedings herein,
- (a) the parties shall continue to perform their respective obligations under the contract unless they otherwise agree; and
  - (b) the purchaser shall pay the supplier any monies due the supplier.

**28. Limitation of liability**

- 28.1 Except in cases of criminal negligence or willful misconduct, and in the case of infringement pursuant to Clause 6;
- (a) the supplier shall not be liable to the purchaser, whether in contract, tort, or otherwise, for any indirect or consequential loss or damage, loss of use, loss of production, or loss of profits or interest costs, provided that this exclusion shall not apply to any obligation of the supplier to pay penalties and/or damages to the purchaser; and

- (b) the aggregate liability of the supplier to the purchaser, whether under the contract, in tort or otherwise, shall not exceed the total contract price, provided that this limitation shall not apply to the cost of repairing or replacing defective equipment.
- 29. Governing language** 29.1 The contract shall be written in English. All correspondence and other documents pertaining to the contract that is exchanged by the parties shall also be written in English.
- 30. Applicable law** 30.1 The contract shall be interpreted in accordance with South African laws, unless otherwise specified in SCC.
- 31. Notices** 31.1 Every written acceptance of a bid shall be posted to the supplier concerned by registered or certified mail and any other notice to him shall be posted by ordinary mail to the address furnished in his bid or to the address notified later by him in writing and such posting shall be deemed to be proper service of such notice
- 31.2 The time mentioned in the contract documents for performing any act after such aforesaid notice has been given, shall be reckoned from the date of posting of such notice.
- 32. Taxes and duties** 32.1 A foreign supplier shall be entirely responsible for all taxes, stamp duties, license fees, and other such levies imposed outside the purchaser's country.
- 32.2 A local supplier shall be entirely responsible for all taxes, duties, license fees, etc., incurred until delivery of the contracted goods to the purchaser.
- 32.3 No contract shall be concluded with any bidder whose tax matters are not in order. Prior to the award of a bid the Department must be in possession of a tax clearance certificate, submitted by the bidder. This certificate must be an original issued by the South African Revenue Services.
- 33. National Industrial Participation (NIP) Programme** 33.1 The NIP Programme administered by the Department of Trade and Industry shall be applicable to all contracts that are subject to the NIP obligation.
- 34 Prohibition of Restrictive practices** 34.1 In terms of section 4 (1) (b) (iii) of the Competition Act No. 89 of 1998, as amended, an agreement between, or concerted practice by, firms, or a decision by an association of firms, is prohibited if it is between parties in a horizontal relationship and if a bidder (s) is / are or a contractor(s) was / were involved in collusive bidding (or bid rigging).
- 34.2 If a bidder(s) or contractor(s), based on reasonable grounds or evidence obtained by the purchaser, has / have engaged in the restrictive practice referred to above, the purchaser may refer the matter to the Competition Commission for investigation and possible imposition of administrative penalties as contemplated in the Competition Act No. 89 of 1998.

- 34.3 If a bidder(s) or contractor(s), has / have been found guilty by the Competition Commission of the restrictive practice referred to above, the purchaser may, in addition and without prejudice to any other remedy provided for, invalidate the bid(s) for such item(s) offered, and / or terminate the contract in whole or part, and / or restrict the bidder(s) or contractor(s) from conducting business with the public sector for a period not exceeding ten (10) years and / or claim damages from the bidder(s) or contractor(s) concerned.

Js General Conditions of Contract (revised July 2010)