

# **SANRAL**



**BUILDING SOUTH AFRICA  
THROUGH BETTER ROADS**

**THE SOUTH AFRICAN NATIONAL ROADS AGENCY SOC LIMITED**

**PROGRAMME SANRAL: CDP/001/2026**

**CALL FOR THE EXPRESSION OF INTEREST (EOI) TO PARTICIPATE IN  
THE SANRAL CONTRACTOR DEVELOPMENT PROGRAMME**

**ISSUE DATE: 12 June 2026**

**BRIEFING SESSION DATE: 23 June 2026**

**CLOSING DATE: 10 July 2026**

**CLOSING TIME: 12:00**

## LIST OF DOCUMENTS

The conditions of the Call for Expression of Interest are the Standard Conditions for the Calling for Expressions of Interest contained in Annexure D of the CIDB Standard for Uniformity in Construction Procurement, published in Government Gazette No. 42622 of 8 August 2019, as amended from time to time (see [www.cidb.org.za](http://www.cidb.org.za)).

<https://www.cidb.org.za/wp-content/uploads/2021/04/cidb-Standard-Conditions-for-the-Calling-for-Expressions-of-Interest.pdf>

[Competence Standard for Contractors as published in Government Gazette No. 41237 of 10 November 2017 and as amended from time to time \(see \[www.cidb.org.za\]\(http://www.cidb.org.za\)\)](#)

<https://www.cidb.org.za/wp-content/uploads/2021/04/Guidelines-for-Implementing-Contractor-Development-Programmes.pdf>

<https://www.cidb.org.za/wp-content/uploads/2021/04/Competence-Standard-for-Contractors.pdf>

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# **PART T1: EXPRESSION OF INTEREST PROCEDURES**

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**SBD1 FORM  
INVITATION TO RESPOND**

EOI NUMBER:	<b>CDP/001/2026</b>	ISSUE DATE:	<b>12 June 2026</b>	CLOSING DATE:	<b>10 July 2026</b>	CLOSING TIME:	<b>12pm</b>
DESCRIPTION	<b>CALL FOR THE EXPRESSION OF INTEREST (EOI) TO PARTICIPATE IN THE SANRAL CONTRACTOR DEVELOPMENT PROGRAMME</b>						
VALIDITY PERIOD	12 weeks (84 calendar days), calculated from the closing date and including the first and last day.						
EOI PROCEDURE ENQUIRIES MAY BE DIRECTED TO							
CONTACT PERSON	<a href="mailto:procurementsr2@sanral.co.za">procurementsr2@sanral.co.za</a>						
TELEPHONE NUMBER	012 844 8000						
E-MAIL ADDRESS	<a href="mailto:procurementsr2@sanral.co.za">procurementsr2@sanral.co.za</a>						
SUPPLIER INFORMATION							
NAME OF RESPONDENT							
POSTAL ADDRESS							
STREET ADDRESS							
TELEPHONE NUMBER	CODE			NUMBER			
CELLPHONE NUMBER							
E-MAIL ADDRESS							
VAT REGISTRATION NUMBER							
SUPPLIER COMPLIANCE STATUS	TAX COMPLIANCE SYSTEM PIN:		OR	CENTRAL SUPPLIER DATABASE	UNIQUE REGISTRATION REFERENCE NUMBER:	MAAA	
B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE	[TICK APPLICABLE BOX] <input type="checkbox"/> Yes <input type="checkbox"/> No		B-BBEE STATUS LEVEL SWORN AFFIDAVIT		[TICK APPLICABLE BOX] <input type="checkbox"/> Yes <input type="checkbox"/> No		
[A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE/ SWORN AFFIDAVIT MUST BE SUBMITTED FOR PURPOSES OF COMPLIANCE WITH THE B-BBEE ACT]							
ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED?					<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES, ANSWER QUESTIONNAIRE BELOW]		
QUESTIONNAIRE TO RESPONDENTS FOREIGN SUPPLIERS							
IS THE ENTITY A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)? YES <input type="checkbox"/> NO <input type="checkbox"/>							<input type="checkbox"/>
DOES THE ENTITY HAVE A BRANCH IN THE RSA? YES <input type="checkbox"/> NO <input type="checkbox"/>							<input type="checkbox"/>
DOES THE ENTITY HAVE A PERMANENT ESTABLISHMENT IN THE RSA? <input type="checkbox"/> YES <input type="checkbox"/> NO							
DOES THE ENTITY HAVE ANY SOURCE OF INCOME IN THE RSA? YES <input type="checkbox"/> NO <input type="checkbox"/>							<input type="checkbox"/>
IS THE ENTITY LIABLE IN THE RSA FOR ANY FORM OF TAXATION? <input type="checkbox"/> YES <input type="checkbox"/> NO IF THE ANSWER IS "NO" TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER FOR A TAX COMPLIANCE STATUS SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 1.3 BELOW.							

**PART B  
TERMS AND CONDITIONS FOR EOI**

1. TAX COMPLIANCE REQUIREMENTS
1.1 RESPONDENTS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.
1.2 RESPONDENTS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER'S PROFILE AND TAX STATUS.
1.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE WWW.SARS.GOV.ZA.
1.4 RESPONDENTS MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE EOI.
1.5 IN RESPONDENTS WHERE UNINCORPORATED CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER.
1.6 WHERE NO TCS IS AVAILABLE BUT THE RESPONDENT IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.

NB: FAILURE TO PROVIDE / OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER THE EOI INVALID.

SIGNATURE OF RESPONDENT: .....

CAPACITY UNDER WHICH THIS EOIS SIGNED: .....

(Proof of authority must be submitted e.g. company resolution)

DATE: .....

## **T1.1 NOTICE AND INVITATION TO SUBMIT AN EXPRESSION OF INTEREST**

### **THE SOUTH AFRICAN NATIONAL ROADS AGENCY SOC LIMITED (SANRAL) INVITES RELEVANT EXPERIENCED BLACK CONTRACTORS (as defined in the B-BBEE Act) TO RESPOND TO THE EXPRESSION OF INTEREST (EOI) TO PARTICIPATE IN THE SANRAL CONTRACTOR DEVELOPMENT PROGRAMME**

The Projects identified for the SANRAL Contractor Development Programme will be based in all 9 Provinces of South Africa and will depend on the Board approved projects to participate in the programme. Participation in the SANRAL Contractor Development Programme (CDP) is limited to a maximum duration of five (5) years.

Interested parties shall confirm and indicate provinces that they will be willing to perform work in for the purpose of the SANRAL CDP. The number of the Participants on the SANRAL CDP will be determined by the number of approved projects set aside and budget availability. SANRAL has set aside projects of which 10% will be ring-fenced for black construction companies owned by people with disabilities provided they meet the qualifying criteria.

Only participants who are:

- Active CIDB-registered Black owned construction companies (with experience within their grading designation and class of work, and who are registered in the Civil Engineering (CE) class for grades 5CE to 9CE. The black contractors with the Potentially Emerging status will also be considered (excluding 4CE PE)
- Registered on the National Treasury Central Supplier Database.
- Companies in existence (not dormant or suspended) and operational for at least three consecutive years at the date of closure of the EOI.
- A respondent that is currently doing business with SANRAL is not automatically excluded; however, all current SANRAL contracts, conflicts of interest, related-party relationships and capacity constraints must be fully disclosed and will be considered in assessing programme suitability and concentration risk. Therefore, only contractors with a maximum of two active projects will be considered for the programme, including their subsidiaries.
- Only South African citizens are eligible to participate in the programme.

### **EXPRESSION OF INTEREST DOCUMENTS**

Expression of Interest documents are available from [https://www.nra.co.za/sanral-tenders/status?region\\_id=national](https://www.nra.co.za/sanral-tenders/status?region_id=national) for free download and from National Treasury's eTender Publication Portal (<http://www.etenders.gov.za>).

### **A compulsory briefing session**

A compulsory virtual clarification meeting will be conducted on the 23 June 2026, at 10:00 for a period of approximately 2 hours. The meeting will start punctually, and attendance will be verified from the electronic attendance report or equivalent platform record.

Participants must join the link provided for the meeting on the date and time of the meeting

#### **Join the meeting now**

Join: <https://teams.microsoft.com/meet/365597833513010?p=Jowa1fvKeUBeavcbqb>

Meeting ID: 365 597 833 513 010

Passcode: qF79yc3K

Each respondent must ensure that its authorised representative attends the compulsory virtual clarification meeting for the full required duration. A representative may not represent more than one respondent at the meeting. Late arrivals (15 Minutes late) will not be allowed to participate in the meeting.

The EOI briefing presentation will be sent to all respondents who attended the briefing session. It is the responsibility of the respondent to ensure they receive the presentation.

**Closing Date**

The closing date and time for receipt of EOI documents is **10 July 2026 @ 12 pm** (South African Time). EOI documents must be placed inside the tender box at:

**Location of the tender box:**

**Reception area**

**The Provincial Head (Western and Northern Cape Provinces)  
The South African National Roads Agency SOC Ltd  
1 Havenga Street, Oakdale, Bellville  
7530**

or

**The Provincial Head (Free State and Kwa-Zulu Natal Provinces)  
The South African National Roads Agency SOC Ltd  
58 Van Eck Place, Mkondeni, Pietermaritzburg  
3201**

or

**The Provincial Head (Gauteng, Northwest, Limpopo and Mpumalanga Provinces)  
The South African National Roads Agency SOC Ltd  
38 Ida Street, Menlo Park, Pretoria  
0081**

or

**The Provincial Head (Eastern Cape Province)  
The South African National Roads Agency SOC Ltd  
20 Shoreward Drive, Baywest, Port Elizabeth  
6025**

- Telephonic, telegraphic, telex, facsimile, or e-mailed EOI documents will NOT be accepted.
- No late EOI documents will be accepted after the closing date and time.
- Interested parties are to ensure that their names and contact details are reflected on the cover page of the EOI document.
- Expression of Interest may only be submitted on the documentation that is issued.
- Requirements for sealing, addressing, delivery, opening and assessment of the expression of interest are stated in the Submission Data.

## **T.1.2 CONDITIONS OF CALL FOR EXPRESSION OF INTEREST**

### **Note to respondent:**

The conditions of expression of interest are the Standard Conditions for the Calling for Expressions of Interest contained in Annexure D of the CIDB Standard for Uniformity in Construction Procurement, as per Government Notice No. 423 published in Government Gazette No. 42622 of 8 August 2019 and as amended from time to time (see [www.cidb.org.za](http://www.cidb.org.za)).

<https://www.cidb.org.za/wp-content/uploads/2021/07/Standard-for-Uniformity-August-2019.pdf>

**The Standard Conditions for the Calling of Expression of Interest make several references to the submission data for details that apply specifically to this expression of interest. The submission data shall have precedence in the interpretation of any ambiguity or inconsistency between the submission data and the Standard Conditions for the Calling of Expression of Interest.**

**Each item of data given below is cross-referenced to the clause marked “D” in the above-mentioned Standard Conditions for the Calling of Expression of Interest.**

### T1.3 SUBMISSION DATA

The conditions of submission are the Standard Conditions for the Calling for Expressions of Interest contained in Annexure D of the CIDB Standard for Uniformity in Construction Procurement, published in Government Gazette No. 42622 of 8 August 2019 and as amended from time to time (see [www.cidb.org.za](http://www.cidb.org.za)).

The standard conditions for Calling for Expressions of Interest make several references to the submission data for details that apply specifically to this Call for Expression of Interest. The submission data shall have precedence in the interpretation of any ambiguity or inconsistency between the submission data and the standard conditions for the Calling of Expression of Interest.

Each item of data given below is cross-referenced to the clause marked “H” in the above-mentioned Standard Conditions for the Calling for the Expression of Interest.

Clause Number	Data
D.1	<b>GENERAL</b>
D.1.1	<p><b>Actions</b></p> <p>The Employer is the South African National Road Agency SOC Limited (SANRAL). The Employer’s domicilium citandi et executandi (permanent physical business address) is:</p> <p>48 Tambotie Avenue, Val de Grace, Pretoria, 0184</p>
D.1.2	<p><b>Submission documents</b></p> <p>The documents associated with the calling for expressions of interest issued by the Employer comprise:</p> <p><b>Part T1: Submission Procedures</b>  T1.1 Notice and invitation to submit an expression of interest  T1.2 Standard Conditions for the Calling of Expression of Interest.  T1.3 Submission data</p> <p><b>Part T2: Returnable Schedules</b>  T2.1 List of returnable documents  T2.2 Submission schedules</p> <p><b>Part T3: Scope of Contractor Development Programme</b>  T3 Scope of the programmes</p>
D.1.4	<p><b>Communication and Employer’s agent</b></p> <p>The language for communication is English.</p> <p>The Employer’s Agent is SANRAL’s Procurement Office and can be contacted on the following e-mail address: <a href="mailto:procurementsr2@sanral.co.za">procurementsr2@sanral.co.za</a></p>

Clause Number	Data
D.2	<b>Respondent’s Obligations</b>
D.2.1	<p><b>Eligibility</b></p> <p>Only those respondents who satisfy the following criteria are eligible to submit expression of interest:</p>

- a) Only active CIDB-registered black-owned contractors, with relevant experience within their grading designation and class of works and registered in the Civil Engineering (CE) class for grades 5CE to 9CE, are eligible. Contractors with Potentially Emerging (PE) status will also be considered where permitted by the CIDB prescripts (excluding 4CE PE).

Joint Ventures shall not be eligible to submit an Expression of Interest under the SANRAL Contractor Development Programme.

Only individual legal entities that meet the eligibility requirements of the programme, including the applicable Black ownership, CIDB registration, experience, and capacity requirements, may submit an Expression of Interest.

The exclusion of Joint Ventures is intended to ensure that the developmental benefits of the programme accrue directly to the qualifying contractor as a standalone enterprise, and that SANRAL is able to monitor, measure and verify the contractor's independent growth, capability development, CIDB progression, governance maturity and long-term sustainability.

For the avoidance of doubt, respondents may not submit an Expression of Interest through a Joint Venture, consortium, temporary project partnership, or any similar arrangement established for the purpose of participating in the programme.

Contractors whose CIDB registration expires, and/or suspended within the EOI validity period, need to demonstrate that there is a reasonable chance of being registered in the appropriate grading designation during the EOI evaluation period, by submitting a copy of their timely application for CIDB registration, with their EOI submission.

The respondent will be declared non-responsive if:

- The Respondent is not registered on CIDB within the required contractor grading and category prior to evaluation of submission.
- The Respondent has not declared interest in application to upgrade the grading.

- b) Registered on the National Treasury Central Supplier Database.

Respondents must be registered on the National Treasury Central Supplier Database at the closing date for submissions. If not registered as verified online at submission closing; the respondent will be declared non-responsive.

- c) Companies with interest should have been in existence (not dormant or suspended) and operational for at least three consecutive years at the date of closure of the EOI.

- Respondents are registered with CIPC, and registration is active (Not dormant) and in good standing for not less than three (3) years.

- d) Any of its directors should not be an employee of Organ of State.

- e) A respondent that is currently doing business with SANRAL is not automatically excluded; however, all current SANRAL contracts, conflicts of interest, related-party relationships and capacity constraints must be fully disclosed and will be taken into account in assessing programme suitability and concentration risk. Therefore, only contractors with a maximum of two active projects will be considered for the programme, including their subsidiaries.

- f) Only companies fully owned by South African citizens are eligible to participate in the programme.

Submit an Expression of Interest only if the respondent satisfies the criteria stated in the submission data and the respondent, or any of his principals, is not under any restriction to do business with the state.

Notify the Employer of any proposed material change in the capabilities or formation of the responding

T1.10

	<p>entity (or both) or any other criteria which formed part of the qualifying requirements used by the Employer as the basis in a prior process to invite the respondent to submit an expression on interest and obtain the Employer's written approval to do so prior to the closing time for submissions.</p> <p>Failure to satisfy the eligibility criteria will result in a non-responsive submission.</p>
D.2.2	<p><b>Cost of completing EOI</b></p> <p>Expression of Interest documents are available from the SANRAL website and the eTenders website at no cost.</p>
D.2.3.	<p><b>Check documents.</b></p> <p>Check the submission documents on receipt for completeness and notify the Employer of any discrepancy or omission.</p>
D.2.4	<p><b>Acknowledge addenda.</b></p> <p>Failure to apply instructions contained in addenda may render a respondent's submission non-responsive in terms of the condition of EOI D.3.7.</p>
D.2.5	<p><b>Virtual Compulsory Clarification meeting</b></p> <p><b>The arrangements for a compulsory briefing session are as stated in the Invitation to submit an Expression of Interest (T1.1). The meeting will start punctually, and attendance will be verified from the electronic attendance report or equivalent platform record. Respondents must sign the attendance list in the name of the respondent's entity.</b></p>
D.2.6	<p><b>Seek clarification.</b></p> <p>Request clarifications at least <b>eight (8)</b> working days before the closing date.</p>
D.2.7	<p><b>Making a Submission</b></p>
D.2.7.1	<p>The respondent is required to submit all returnable schedules as a printed copy and in .pdf format (saved on a flash drive)</p>
D.2.7.2	<p>The Employer's address for delivery of submissions as stated in the Invitation to submit an Expression of Interest (T1.1) and identification details to be shown on each submission.</p> <p>Identification details: Place the completed hard copy and flash drive in a package marked as follows:</p> <p>RESPONDENT'S FULLNAME: _____</p> <p>RESPONDENT'S POSTAL ADDRESS: _____</p> <p>RESPONDENT'S CONTACT TELEPHONE NUMBER _____</p> <p>PROGRAMME NUMBER &amp; DESCRIPTION: PROGRAMME SANRAL CDP/001/2026 CALL FOR THE EXPRESSION OF INTEREST (EOI) TO PARTICIPATE IN THE SANRAL CONTRACTOR DEVELOPMENT PROGRAMME</p> <p>Submissions must be submitted during hours (09:00 to 16:00) Monday to Friday at the Employer's address.</p>
D.2.7.3	<p>It is in the respondent's interest to ensure that the delivery of the submission is recorded in the Employer's submissions received to register in the name of the responding entity and deposited in the submission box. In cases where the submission document is couriered to the provincial office, clear instructions to the person delivering the document must be given that the register must still be completed in the name of the responding entity.</p>
D.2.8	<p><b>Only the following needs to be submitted:</b></p> <p>a) The following information to be submitted in hard copy and electronically on a flash drive:</p> <ul style="list-style-type: none"> <li>- All returnable documents and attachments and certificates (signed and scanned as .pdf)</li> <li>- Submission schedules Forms</li> </ul>

D.2.9	<p><b>Closing time</b></p> <p>The closing time for submission is:  <b>12:00 pm on the 10 July 2026.</b></p> <p>Where closing date was extended, documents that were valid at the original closing date, remain valid for the extended closing date.</p>
D.2.10	<p><b>Clarification of Submission</b></p> <p>Any clarifications requested under this clause by the Employer during the evaluation of submissions must be provided within the stipulated period stated on the request.</p>
D.3	<p><b>The Employer's undertakings</b></p>
D.3.1	<p><b>Respond to clarification.</b></p> <p>The Employer shall respond to clarifications received up to 5 (five) working days before EOI closing date.</p> <p>The Employer shall respond to any clarifications from the respondents emanating from the addenda until 5 (five) working days before submission closing date.</p>
D.3.2	<p><b>Issue Addenda</b></p> <p>The Employer shall issue addenda until 5 (five) working days before submission closing date.</p>
D.3.3	<p><b>Late submissions.</b></p> <p>Submissions received after the closing time stated in the Submissions Data will be returned, unopened, (unless it is necessary to open a submission to obtain a forwarding address), to the respondent concerned.</p>
D.3.4	<p><b>Opening of Submissions</b></p> <p>A list of respondents to the call for expression of interest will be published on Employer's website and National Treasury.</p>
D.3.5	<p><b>Non-disclosure</b></p> <p>Not disclose to respondents, or to any other person not officially concerned with such processes, information relating to the evaluation and comparison of submissions until after the evaluation process is complete.</p>
D.3.6	<p><b>Grounds for rejection and disqualification due to misconduct</b></p> <p>The Employer shall inform the respondent and give the respondent an opportunity to make representations within 14 days as to why the respondent submitted should not be disqualified and as to why the respondent should not be restricted by the National Treasury from conducting any business with any organ of state for a period not exceeding 10 years.</p> <p>In the event of disqualification, the Employer may, at its sole discretion, claim damages from the respondent and impose a specified period during which tender offers and submissions will not be accepted from the offending respondent and, the Employer shall inform the National Treasury in writing.</p>
D.3.7	<p><b>Test for responsiveness</b></p> <p>Respondent submitted EOI on time.  Determine, before detailed evaluation, whether each submission is received:</p>

	<p>a) meets the requirements of these conditions for the calling for expressions of interest.</p> <p>b) has all the material information and documentation submitted and the EOI is fully completed and signed, and</p> <p>c) is responsive to the other requirements of the call for expressions of interest.</p>
D.3.8	<p><b>Non-responsive submissions</b></p> <p>Reject all non-responsive submissions.</p>
D.3.9	<p><b>Evaluation of responsive submissions</b></p> <p><b>Respondents must pass each Step of the Evaluation to proceed to the next Step of the Evaluation</b></p> <p><b>Stage 1 Test for responsiveness as outlined by clause D 2.1 above.</b></p> <p>Respondents must pass all criteria for the respondent to be eligible for further evaluation.</p> <p><b>Stage 2 Mandatory Administration Criteria</b></p> <ol style="list-style-type: none"> <li>1. Respondent has Valid Letter of Good Standing issued in accordance with the Compensation for Occupational Injuries and Diseases Act (COIDA)</li> <li>2. Respondents must indicate the province of their primary office; and not more than two other provinces they are willing to work in.</li> <li>3. The respondent and its principals must comply with the state-employee disclosure requirements in SBD 4 and must not be prohibited from doing business with the State.</li> <li>4. The respondent must disclose any relationship with an established contractor, related company, common directors or beneficial owners, and SANRAL may reject a submission where the structure creates fronting, control, conflict-of-interest or independence concerns. (SBD4, par 2.3)</li> </ol> <p><b>Stage 3 Contractor Assessment</b></p> <p>Only respondents that passed the first two stages will progress to be further assessed for stage 3 allocation for contracting rating.</p> <p>During this stage the following criteria will be applied to determine which contractors get access to contractor development programmes:</p> <ul style="list-style-type: none"> <li>• Competence</li> <li>• Financial Upgrading, and</li> <li>• Black Ownership</li> </ul> <p><b>3.1 Contractor Competence (Qualifications and Experience)</b></p> <p>The respondents will be assessed to determine the level of competence, as outlined in the Requirements and Guidelines for CIDB Contractor Competence Accreditation. The competence assessment will be done in terms of formal qualifications and experience requirements. The diagram below outlines the required competencies per grade and class of works.</p> <p><b>Contractor competency assessment</b></p> <p>Once the contractors' competence has been determined in line with inputs on Form B4 and supporting documents, contractors will be rated as indicated in the table below for assessing their suitability for enrolment into the SANRAL CDP. The competence assessment will then also be used for evaluating the mentoring and training requirements of contractors that are enrolled within a CDP.</p>

**Table 2: Minimum qualification requirements**

Description	Rating
Contractor possessing the required qualifications and minimum experience	2
Contractor possessing the minimum experience but without the required qualification.	1
Contractor possessing the required qualification but without the minimum experience	0
Contractor without the required qualification and experience	-1

**3.2 Financial assessment rating**

The contractor will be assessed to determine a financial assessment rating and to measure how close the contractor is to upgrading to the next grade (for CE 5-8) designation.

The financial assessment rating is determined in line with the requirements of the CIDB Register of Contractors based on the following:

- Best annual turnover.
- Largest contract; and
- Available capital as indicated in the table below

**Table 3: Grading and upgrading criteria.**

Grade	Upper limit of tender value range	Best annual turnover for immediate past two years		Largest Contract Completed during the 6 years immediately preceding submission		Available Capital	
		Turnover	Rating	Turnover	Rating	Amount	Rating
5	R10,000,000	R3,250,000	2	R1,500,000	2	R650,000	2
		R2,600,000	1	R1,200,000	1	R520,000	1
		R1,950,000	0	R900,000	0	R390,000	0
		R1,300,000	-1	R600,000	-1	R260,000	-1
6	R20,000,000	R6,500,000	2	R3,000,000	2	R1,300,000	2
		R5,200,000	1	R2,400,000	1	R1,040,000	1
		R3,900,000	0	R1,800,000	0	R780,000	0
		R2,600,000	-1	R1,200,000	-1	R520,000	-1
7	R60,000,000	R20,000,000	2	R9,000,000	2	R4,000,000	2
		R16,000,000	1	R7,200,000	1	R3,200,000	1
		R12,000,000	0	R5,400,000	0	R2,400,000	0
		R8,000,000	-1	R3,600,000	-1	R1,600,000	-1
8	R200,000,000	R65,000,000	2	R30,000,000	2	R13,000,000	2
		R52,000,000	1	R24,000,000	1	R10,400,000	1
		R39,000,000	0	R18,000,000	0	R7,800,000	0
		R26,000,000	-1	R12,000,000	-1	R5,200,000	-1
9	No Limit	R200,000,000	2	R90,000,000	2	R40,000,000	2
		R160,000,000	1	R72,000,000	1	R32,000,000	1
		R120,000,000	0	R54,000,000	0	R24,000,000	0
		R80,000,000	-1	R36,000,000	-1	R16,000,000	-1

Financial sponsorship as per CIDB requirements for capital will be accepted.

Once the rate for each area has been determined, the Employer will allocate an overall financial assessment rating using the following formula:

$\frac{\text{Best annual turnover} + \text{Targets contract} + \text{available capital}}{3} = \text{Overall financial assessment rating}$
---

### 3.3 Contractor Rating

The financial assessment rating will be cross referenced against the contractor's competence rating to identify the contractors which are close to upgrading to the next grade (Grades 5-8) designation but require assistance on competency. A score of 40, 60 or 70 out of a maximum of 70 is then given to contractors that fall within the highlighted areas as shown in the following table:

**Table 4: Assessment Rating for qualifications**

Grade 5-9	Competence Rating			
Financial assessment Rating	-1 - No Qualifications/No Experience	0- Qualifications/ No Experience	1 Experience & No Qualifications	2 Experience & Qualifications
2 Very Close	0	0	60	0
1 Close	0	0	70	70
0 Far	0	0	60	60
-1 Very Far	0	0	0	40

### Black ownership

The selection for the contractors will give preference to enterprises with Historically Disadvantaged Individual equity ownership which have women, persons with disabilities, military veterans and youth ownership.

The table below depicts how ownership goals will be determined and scored.

**Table 5: Scoring for Black ownership**

Priority Ownership	Assessment Steps	Distribution of Points
Ranking	Goal	Points
6	PE Status	5
5	100% Black-Owned	5
4	100% owned by black women	5
3	100% owned by black youth	5
2	100% owned by black military veterans	5
1	100% owned by black people with disabilities	5
	<b>Max Total =</b>	<b>30</b>

- The verifications will be done for compliance purposes.
- After verification, the combine score will be determined (Contractor Rating plus Black Ownership)
- Contractors will be ranked from highest to lowest points received.
- A minimum overall score of 75 will need to be obtained to be considered for selection onto the SANRAL CDP
- The qualifying contractors will move onto stage 4

	<p><b>Stage 4: Rank-Based Tie-Break System</b></p> <p>Where tied submissions exceed the available spaces on the programme, SANRAL will apply the following sequential tie-break rules:</p> <ol style="list-style-type: none"> <li>1. The contractor with the higher financial assessment rating shall be ranked first.</li> <li>2. If still tied, preference shall be given to the contractor with the highest applicable programme-priority ownership subcategory disclosed in Table 5 and verified in the submission</li> <li>3. If still tied, the contractor with the higher competence rating shall be ranked first.</li> <li>4. If a tie still persists after applying the above rules, the final selection shall be made by a transparent random draw, witnessed and recorded.</li> </ol>
D.3.1 0	<p><b>Provide written reasons for actions taken.</b></p> <p>Provide upon request written reasons to respondents for any action that is taken in applying these conditions but withhold information which is not in the public interest to be divulged, which is considered to prejudice the legitimate commercial interests of respondents or might prejudice fair competition between respondents.</p>
SC	<p><b>SPECIAL CONDITIONS</b></p> <p>The additional conditions of submission are:</p>
SC.1	<p><b>Jurisdiction</b></p> <p>Unless stated otherwise in the EOI data, each respondent and the Employer undertake to accept the jurisdiction of the law courts of the Republic of South Africa.</p>
SC.2	<p><b>Cancellation and Re-Invitation of call for Expression of interest</b></p> <p>The Employer may, prior to the finalization of the evaluation process, cancel a Call for Expression of Interest (EOI) if.</p> <ol style="list-style-type: none"> <li>a) due to changed circumstances, there is no longer a need for the services or programme specified in the invitation.</li> <li>b) funds are no longer available to cover the total envisaged programme; or</li> <li>c) no acceptable EOI submissions are received; or</li> <li>d) there is a material irregularity in the EOI process.</li> </ol> <p>The decision to cancel a Call for Expression of Interest must be published in the same manner in which the original EOI invitation was advertised.</p>
SC.3	<p><b>Confidentiality and copyright</b></p> <p>Confidentiality and copyright of documents Treat as confidential all matters arising in connection with the EOI. Use and copy the documents issued by the Employer only for the purpose of preparing and submitting an EOI in response to the invitation.</p>
SC.4	<p><b>Alterations to documents</b></p> <p>Do not make any alterations or additions to the EOI document, except to comply with instructions issued by the Employer, or necessary to correct errors made by the respondent. All signatories shall initial all such alterations.</p>
SC.5	<p><b>Restriction on Change of Ownership, Control or Beneficial Interest</b></p> <p>A respondent admitted into the SANRAL Contractor Development Programme shall, for a period of five years from the date of admission into the programme, not sell, transfer, cede, assign, dispose of, dilute or otherwise alter its ownership, management control, voting rights, beneficial interest or effective control in a manner that results in the firm being acquired, controlled, materially influenced or absorbed by an established firm, non-qualifying entity, or any person or entity whose involvement would defeat the objectives of the programme.</p> <p>Any proposed change in ownership, control, beneficial interest, management structure or B-BBEE status during the five-year period must be disclosed to SANRAL in writing before implementation and</p>

T1.16

shall be subject to SANRAL's prior written approval, in consultation with the SANRAL Legal Department.

Failure to disclose such a change, or implementation of such a change without SANRAL's prior written approval, may result in SANRAL taking appropriate action, including removal from the programme, disqualification from related opportunities, cancellation of programme benefits, recovery of any benefits improperly obtained, and/or referral for further legal or regulatory action where applicable. The purpose of this clause is to preserve the developmental objectives of the SANRAL Contractor Development Programme and to ensure that the benefits of the programme accrue to the intended qualifying Black contractors.

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## **PART T2: RETURNABLE SCHEDULES**

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**Notes to respondents:**

- 1. Failure to submit fully completed relevant returnable documents may render such a submission non-responsive.**
- 2. Respondents shall note that their signature appended to each returnable form represents a declaration that they vouch for the accuracy and correctness of the information provided.**
- 3. Notwithstanding any check or audit conducted by or on behalf of the Employer, the information provided in the returnable documents is accepted in good faith and as justification for registering the respondent on the panel. If subsequently any information is found to be incorrect such discovery shall be taken as willful misrepresentation by that respondent to induce the contract. In such event:**
  - a. The Employer shall inform the respondent and give the respondent an opportunity to make representations within 14 days as to why the submission should not be disqualified.**
  - b. If the Employer has already entered into a contract with the Respondent, the Employer has the discretionary right to terminate the contract.**
- 4. These forms must be completed in non-erasable ink and any alterations made prior to expressions of interest closure countersigned by an authorised signatory**

**PART T2.1 LIST OF RETURNABLE DOCUMENTS**

The list of returnable documents is shown in the following table, with the status of those documents incorporated into the contract indicated.

<b>FORM</b>	<b>LIST OF RETURNABLE DOCUMENTS</b>	<b>STATUS</b>
<b>INVITATION TO RESPOND</b>	<b>SBD 1 FORM</b>	
FORM A2:	CERTIFICATE OF AUTHORITY FOR SIGNATORY	
FORM A4:	DECLARATION OF RESPONDENT'S CURRENT STATUS OF ANY DEBT OUTSTANDING TO SANRAL	
FORM A5:	DECLARATION FORM - MANAGEMENT OF DOMESTIC PROMINENT INFLUENTIAL PERSONS, FOREIGN PROMINENT PUBLIC OFFICIALS AND FOREIGN INFLUENTIAL NATIONALS	
FORM A6	CERTIFICATE OF FRONTING PRACTICES	
FORM A7	REGISTRATION ON NATIONAL TREASURY CENTRAL SUPPLIER DATABASE	
FORM A8:	DECLARATION OF RESPONDENT'S LITIGATION HISTORY	
FORM A9:	CERTIFICATE OF TAX COMPLIANCE STATUS	
FORM A10:	SCHEDULE OF DEVIATIONS OR QUALIFICATIONS BY RESPONDENT	
FORM A11 (SBD4):	RESPONDENT'S DISCLOSURE	
FORM A12 (SBD6.1):	PREFERENCING SCHEDULE - RESPONDENT'S B-BBEE VERIFICATION	
FORM A13:	POPIA	
FORM A15:	DECLARATION OF RESPONDENT'S PAST SUPPLY CHAIN MANAGEMENT PRACTICES	
FORM A16:	SCHEDULE OF ADDENDA TO SUBMISSION DOCUMENTS	
FORM A18:	RESPONDENT'S REGISTERED FINANCIAL SERVICE PROVIDER LETTER AND BANK DETAILS	
FORM A19:	SCHEDULE OF CURRENT COMMITMENTS	
FORM A20:	CERTIFICATE OF COMPLIANCE WITH COMPENSATION FOR OCCUPATIONAL INJURIES AND DISEASES ACT, 1993	
FORM A21	REGISTRATION WITH CIDB	
FORM A23:	COMPLIANCE WITH LABOUR LEGISLATION DECLARATION	
<u>FORM A24:</u>	CERTIFICATE OF SINGLE SUBMISSION	
FORM B1	SCHEDULE OF WORK EXPERIENCE	
FORM B2:	SCHEDULE OF CONTRACTOR'S EQUIPMENT	
FORM B3:	RESPONDENT CONFIRMATION - FOOTPRINT	
FORM B4:	KEY PERSONNEL EXPERIENCE	
FORM B4.1:	REGISTRATION WITH CIPC	
FORM B4.2	FINANCIAL STATEMENTS	
FORM B4.3	DECLARATION OF RESPONDENTS HISTORICAL PARTICIPATION IN CONTRACTOR DEVELOPMENT PROGRAMMES	

**CONTINUED VALIDITY OF RETURNABLE DOCUMENTS**

The successful Respondent will be required to keep all returnable documents current for the duration of any contract or agreement emanating from this EOI, including proof of ownership status where applicable. If a Respondent placed on the SANRAL CDP fails to provide renewed documents when due, SANRAL may, subject to the applicable agreement and the requirements of law, suspend participation, withhold allocation or take other lawful contractual steps until updated documents are provided.

Signed..... Date.....

Name:..... Position.....

Respondent:.....



**FORM A4: DECLARATION OF RESPONDENT'S CURRENT STATUS OF ANY DEBT OUTSTANDING TO SANRAL**

**Notes to respondent:**

- 1. The signatory for the respondent (as per Form A2) shall complete and sign this form declaring the current status of (any) debt outstanding to SANRAL.
- 2. In the event that the respondent is a Joint Venture, a declaration is required from each member of the Joint Venture.

I, the undersigned, ..... declare that:

(i) the respondent or any of its Directors/Members do not have any debt outstanding to SANRAL, other than what is listed below:

.....

.....

.....

.....

(ii) the respondent and/or any of its Directors/Members freely, voluntarily and without undue duress unconditionally authorises SANRAL to set off any debts agreed to which is due and payable by the respondent or any of its Directors/Members in terms of this declaration against any moneys due to the respondent or any of its Directors/Members.

(iii) to the best of my knowledge the above information is true and accurate.

Signed and sworn before me at ..... on the ..... day of  
..... 20.....

.....  
SIGNATURE

The deponent having:

- 1. Acknowledged that he/she knows and understands the contents hereof.
- 2. Confirmed that he/she has not objection to the taking of the prescribed oath.
- 3. That he/she considered the prescribed oath as binding upon his/her conscience; and
- 4. The Regulations contained in the Government Gazette Notice R1258 of July 1972 and R 1648 of August 1977 having been complied with.

.....  
COMMISSIONER OF OATHS

Signed:.....Date:.....

Name:.....Position.....

Respondent.....

**FORM A5: Domestic Prominent Influential Persons (DPIP) OR Foreign Prominent Public Officials (FPPO)**

## Notes to Respondent:

1. In line with a policy on the management of Prominent Influential Persons (PIP's), the purpose of this declaration form is to ensure maintenance and monitoring of the business relationships with prominent, influential stakeholders who have domestic and/or foreign influence as far as the procurement under the management of the Employer is concerned. This is done to mitigate the Employer's perceived association, reputational, operational or legal risk, as it strives to foster and maintain fair and transparent business relations. (This policy is available on the Employer's website: [www.nra.co.za](http://www.nra.co.za))
2. It is compulsory that all prospective and existing respondents conducting business with the Employer, who potentially meet the definition of DPIP's, FPPO's or FIN's, complete this form by supplying credible information as required and submit together with their EOI document.
3. Respondents are required at the EOI stage to declare any DPIP's, FPPO's or FINs involved in their tenders, as part of their submission.
4. Further, that respondents shall at the EOI stage furnish the Employer of all information relating to namely, shareholders names, identity numbers and share certificates of the individual and/or transaction concerned using the form below, for verification purposes, including where applicable, confirmation as it relates to:
  - i. Knowledge of any offence within the meaning of Chapter 2, Section 12 and 13 of Prevention and Combating of Corrupt Practices Act No 4 of 2006; and/or
  - ii. Knowledge of any offence within the meaning of Chapter 3 of Prevention of Organised Crime Act No 121 of 1998 as it relates to any of the shareholders, directors, owners and/or individual link to the respondent.
5. Respondents undertake that should it be discovered that the information provided in the table below is fraudulently or negligently misrepresented, then Chapter 9, Section 214 and 216 of Companies Act No 17 of 2008 shall apply to shareholders, directors, owners and/or individual link to the respondent.
6. Should the respondent fail to declare or supply the Employer with credible information in the prescribed form, the respondent may be rendered invalid.
7. Should the Employer, in the process of conducting verification and investigation of information supplied by the respondent find out that the information poses a reputational risk, the respondent shall be rendered invalid.
8. The following definitions shall apply:
  - i. "Board" means the Board of Directors or the Accounting Authority of the Employer.
  - ii. "Business relationship" means the connection formed between the Employer and external stakeholders for commercial purposes.
  - iii. "DD" means Due Diligence.
  - iv. "Domestic Prominent Influential Person" means an individual who holds an influential position, including in an acting position for a period exceeding 6 (six) months, or has held at any time in the preceding 12 (twelve) months, in the Republic, as defined in the Financial Intelligence Centre Amendment Act No 1 of 2017.
  - v. "DPIP" means a Domestic Prominent Influential Person.
  - vi. "Family members and known close associates" means immediate family members and known close associates of a person in a foreign or domestic prominent position, as the case may be, as defined in the Financial Intelligence Centre Amendment Act No 1 of 2017.
  - vii. "Foreign Influential National" means an individual who is not a South African citizen or does not have a permanent residence permit issued in terms of the Immigration Act No 13 of 2002, who possesses personal power that induces another person to give consideration or to act on any basis other than the merits of the matter.
  - viii. "Foreign Prominent Public Official" means (as defined in the Financial Intelligence Centre Amendment Act No 1 of 2017) an individual who holds or has held at any time in the preceding 12 (twelve) months, in any foreign country a prominent public function.
  - ix. "FPPO" means a Foreign Prominent Public Official.
  - x. "Improper influence" means personal power that induces another person to give consideration or to act on any basis other than the merits of the matter.
  - xi. "The Employer" means the South African National Roads Agency SOC Limited (SANRAL) with registration number 1998/009584/30.
  - xii. "Senior Management" means the Executive Committee or its individual members.
9. A separate declaration is required from each DPIP, FPPO and FIN. In the event that the respondent is a Joint Venture (JV), a separate declaration from each DPIP, FPPO and Fin from each of the Joint Venture (JV) members, is required

**Prominent Influential Persons (PIP's) Reporting Form**

<b>IDENTIFICATION PARTICULARS</b>				
Primary Particulars	First Name	Surname	Middle Name	ID/Passport Number
Country Details	Country of Origin	Citizenship	Current Country of Residence	
<b>CURRENT STATUS AND BACKGROUND</b>				
Current Occupation	Occupational Title		Status	
			Active	Non-active
Is the potential/business partner (mark with an "X" whichever is applicable):				
a DPIP	a FPPO	a FIN	Family member or Close Associate of a DPIP/FPPO/FIN?	
<b>KNOWN BUSINESS INTERESTS</b>				
No	Name of Entity	Role in Entity	Status	
1			Active	Non-active
2				
3				
4				
5				

<b>MEDIA REPORTS / OTHER SOURCES OF INFORMATION</b>
(Please reference all known negative or damaging media reports associated with the DPIP/FPPO/FIN)

Reporting Person/s:

Full names:		
Designation:		
Department:		
Head of Department:		
Head of Department's signature:	Date:	
Reporting Person's signature:	Date:	

**DECLARATION / UNDERTAKING BY THE RESPONDENT**

I, the undersigned, .....  
 declare that:

- i. The information furnished on this declaration form is true and correct.
- ii. I accept that any action may be taken against me should this declaration prove to be false.

Signed:.....Date:.....  
 Name:.....Position.....  
 Respondent:.....

**FORM A6: CERTIFICATE OF FRONTING PRACTICES**

**Fronting Practices**

**Window-dressing:** This includes cases in which black people are appointed or introduced to an enterprise on the basis of tokenism and may be:

- Discouraged or inhibited from substantially participating in the core activities of an enterprise; and
- Discouraged or inhibited from substantially participating in the stated areas and/or levels of their participation.

**Benefit Diversion:** This includes initiatives implemented where the economic benefits received as a result of the B-BBEE Status of an enterprise do not flow to black people in the ratio as specified in the relevant legal documentation.

**Opportunistic Intermediaries:** This includes enterprises that have concluded agreements with other enterprises with a view to leveraging the opportunistic intermediary's favourable B-BBEE status in circumstances where the agreement involves:

- Significant limitations or restrictions upon the identity of the opportunistic intermediary's suppliers, Service Providers, clients or customers.
- The maintenance of their business operations in a context reasonably considered improbable having regard to resources; and
- Terms and conditions that are not negotiated at arms-length on a fair and reasonable basis.

**Responsibility to Report Fronting**

In order to effectively deal with the scourge of Fronting, verification agencies, and/or procurement officers and relevant decision makers are encouraged to obtain a signed declaration from the clients or entities that they verify or provide business opportunities to, which states that the client or entity understands and accepts that the verification agency, procurement officer or relevant decision maker may report Fronting practices to **the dti**. Intentional misrepresentation by measured entities may constitute fraudulent practices, public officials and verification agencies are to report such cases to **the dti**.

**Fronting Indicators**

• The black people identified by an enterprise as its shareholders, executives or management are unaware or uncertain of their role within an enterprise;
• The black people identified by an enterprise as its shareholders, executives or management have roles of responsibility that differ significantly from those of their non-black peers;
• The black people who serve in executive or management positions in an enterprise are paid significantly lower than the market norm, unless all executives or management of an enterprise are paid at a similar level;
• There is no significant indication of active participation by black people identified as top management at strategic decision-making level;
• An enterprise only conducts peripheral functions and does not perform the core functions reasonably expected of other, similar, enterprises;
• An enterprise relies on a third-party to conduct most core functions normally conducted by enterprises similar to it;
• An enterprise cannot operate independently without a third-party, because of contractual obligations or the lack of technical or operational competence;
• The enterprise displays evidence of circumvention or attempted circumvention;
• An enterprise buys goods or services at a significantly different rate than the market from a related person or shareholder;

- |   |
|---|
| <ul style="list-style-type: none"><li>• An enterprise obtains loans, not linked to the good faith share purchases or enterprise development initiatives, from a related person at an excessive rate; and</li></ul>  |
| <ul style="list-style-type: none"><li>• An enterprise shares all premises and infrastructure with a related person, or with a shareholder with no B-BBEE status or a third-party operating in the same industry where the cost of such premises and infrastructure is disproportionate to market-related costs.</li></ul> |

**DECLARATION**

I, the undersigned, .....

in submitting the accompanying EOI on behalf of the respondent do hereby make the following statements that I certify to be true and complete in every respect:

1. I have read and understand the contents of this certificate.
2. I accept that the Employer may report fronting practices to the Department of Trade and Industry and the B-BBEE Commissioner.
3. I accept that intentional misrepresentation by measured entities may constitute fraudulent practices that shall be reported to the Department of Trade and Industry and the B-BBEE Commissioner.

Signed:.....Date:.....

Name:.....Position.....

Respondent.....

**FORM A7: REGISTRATION ON NATIONAL TREASURY CENTRAL SUPPLIER DATABASE**

The respondent shall provide a copy supplier registration from the National Treasury Central Supplier Database ([www.treasury.gov.za](http://www.treasury.gov.za)). Respondents who are not registered on the Central Supplier Database at EOI closure will be declared non-responsive. In the case of a Joint Venture a printed copy supplier registration from must be provided for each member of the Joint Venture.

Name of Service Provider: .....

Central Supplier Database Supplier Number: .....

Supplier Commodity: .....

Delivery Location: .....

Signed:.....Date:.....

Name:.....Position.....

Respondent:.....

**FORM A8: DECLARATION OF RESPONDENT’S LITIGATION HISTORY**

**Note to respondent:**

The respondent shall list below details of any litigation with which the respondent (including its directors, shareholders or other senior members in previous companies) has been involved with any organ of state or state department within the last ten years. The details must include the year, the litigating parties, the subject matter of the dispute, the value of any award or estimated award if the litigation is current and in whose favour the award, if any, was made.

CLIENT	OTHER LITIGATING PARTY	DISPUTE	AWARD VALUE	DATE RESOLVED

Signed.....Date.....

Name:.....Position.....

Respondent:.....

**FORM A9: CERTIFICATES OF TAX COMPLIANCE**

The Respondent shall complete the declaration below.

I, ..... (name)  
the undersigned in my capacity as ..... (position)  
on behalf of ..... (name of company)  
herewith grant consent that SARS may disclose to the South African National Roads Agency SOC Limited  
(SANRAL) our tax compliance status.

For this purpose, our unique security personal identification number (PIN) is .....

In the event of a joint venture each member shall comply with the above requirements.

If a respondent is not tax compliant for whatever reason, they will be given seven (7) days to become compliant in the event that they are shortlisted for inclusion in the SANRAL Contractor Development Programme. Failure to become compliant within the allowed time will lead to the respondent being made non-responsive.

Signed:.....Date:.....

Name:.....Position.....

Respondent:.....

**FORM A10: SCHEDULE OF DEVIATIONS OR QUALIFICATIONS BY RESPONDENT**

PAGE	DESCRIPTION

Signed.....Date.....

Name.....Position.....

Respondent.....

**FORM A11: RESPONDENT'S DISCLOSURE SBD4**

**Notes to respondent:**

- i. Definitions:
  - a) "State" means:
    - any National or Provincial Department, National or Provincial Public Entity or Constitutional Institution within the meaning of the Public Finance Management Act, 1999 (Act No 1 of 1999).
    - any Municipality of Municipal Entity.
    - Provincial Legislature.
    - National Assembly or the National Council of Provinces; or
    - Parliament.
  - b) "Shareholder" means a person who owns shares in the company and is actively involved in the management of the enterprise or business and exercises control over the enterprise.
- ii. In the case of a joint venture (JV), a separate declaration form is to be completed and submitted by each JV member.
- iii. If the Form is omitted or blank; or if the respondent found to have failed to declare conflict or declare false information, The submission will be declared non-responsive and should it be discovered after the award of a contract, contract maybe terminated and respondent will be ultimately restricted from doing business with the State.

**1. PURPOSE OF THE FORM**

Any person (natural or juristic) may make an offer or offers in terms of this invitation to respond. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the Respondent to make this declaration in respect of the details required hereunder.

Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the EOI process.

**2. Respondent's declaration**

2.1 Is the Respondent, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest<sup>1</sup> in the enterprise, employed by the state?

YES/NO

2.1.1 If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

Full Name	Identity Number	Name of State institution

---

<sup>1</sup> the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.


2.2 Do you, or any person connected with the Respondent, have a relationship with any person who is employed by the procuring institution? YES/NO

2.2.1 If so, furnish particulars:

.....  
 .....

2.3 Does the Respondent or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are a respondent for this contract? YES/NO

If so, furnish particulars:

.....  
 .....

**DECLARATION**

I, the undersigned, (name)..... in submitting the accompanying EOI, do hereby make the following statements that I certify to be true and complete in every respect:

3.1 I have read, and I understand the contents of this disclosure;

3.2 I understand that the accompanying EOI will be disqualified if this disclosure is found not to be true and complete in every respect.

3.3 The Respondent has arrived at the accompanying EOI independently from, and without consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium<sup>2</sup> will not be construed as collusive .

3.4 In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the EOI, responding with the intention not to win the EOI and conditions or delivery particulars of the products or services to which this EOI invitation relates.

3.4 The terms of the accompanying EOI have not been, and will not be, disclosed by the Respondent, directly or indirectly, to any competitor, prior to the date and time of the official EOI opening or of the awarding of the contract.

3.5 There have been no consultations, communications, agreements or arrangements made by the Respondent with any official of the procuring institution in relation to this procurement process prior to and during the EOI process except to provide clarification on the EOI submitted where so required by the institution; and the Respondent was not involved in the drafting of the specifications or terms of reference for this EOI.

3.6 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 1, 2 and 3 ABOVE IS

---

<sup>2</sup> Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

CORRECT.  
I ACCEPT THAT THE STATE MAY REJECT THE EOI OR ACT AGAINST ME IN TERMS OF  
THE APPLICABLE NATIONAL TREASURY INSTRUCTIONS ON PREVENTING AND  
COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS  
DECLARATION PROVE BE FALSE.

Signed.....Date.....

Name:.....Position.....

Respondent: .....

**FORM A12:RESPONDENT'S B-BBEE VERIFICATION CERTIFICATE (INCORPORATING SBD 6.1)****Notes to Respondent:**

A valid B-BBEE verification certificate or lawful sworn affidavit, together with supporting ownership documents where required (including the CIPC/MOI and shareholding or beneficial ownership information), must be submitted for verification of ownership and status.

1. The respondent's scorecard shall be a B-BBEE Certificate issued in accordance with:

-  
the amended Construction Sector Codes published in Notice 931 of 2017 of Government Gazette No. 41287 on 1 December 2017 by the Department of Trade and Industry; or

-  
in the event that the Measured Entity operates in more than one sector or a sub-sector, the scorecard for the sector or sub-sector in which the majority of its core activities (measured in terms of annual revenue) are located will be acceptable. The respondent must comply with the annual revenue thresholds for EME or QSE or Generic in accordance with the amended Construction Sector Codes; and

i. The scorecard shall be submitted as a certificate attached to Returnable Schedule Form C1; and

ii. The certificate shall:

- 
- be valid at the EOI closing date; and
- -
- have been issued by a verification agency accredited by the South African National Accreditation System (SANAS); or
- -
- be in the form of a sworn affidavit or a certificate issued by the Companies and Intellectual Property Commission in the case of an Exempted Micro Enterprise (EME) with a total annual revenue of less than R3 million if issued in accordance with the amended Construction Sector Codes published in Notice 931 of 2017 of Government Gazette No. 41287 on 1 December 2017 by the Department of Trade and Industry; and
- have a date of issue less than 12 (twelve) months prior to the original advertised EOI closing date (see EOI Data D.2.9); and

iii. A valid B-BBEE Certificate shall contain:

- 
- Name of enterprise as per enterprise registration documents issued by CIPC, and enterprise business address.
- Value-Added Tax number, where applicable.
- The B-BBEE Scorecard against which the certificate is issued, indicating all elements and scores achieved for each element. The actual score achieved must be linked to the total points as per the relevant Codes.
- B-BBEE status with corresponding procurement recognition level.
- The relevant Codes used to issue the B-BBEE verification certificate.
- Date of issue and expiry (e.g. 9 June 2018 to 8 June 2019). Where a measured entity was subjected to a re-verification process, due to material change, the B-BBEE Verification Certificate must reflect the initial date of issue, date of re-issue and the initial date of expiry. Re-verification does not extend the lifespan of the B-BBEE Verification Certificate.

Financial period which was used to issue the B-BBEE Verification Certificate.

iv. A valid Sworn Affidavit shall contain:

- Name/s of deponent as they appear in the identity document and the identity number.
- Designation of the deponent as either the director, owner or member must be indicated in order to know that person is duly authorised to depose of an affidavit.
- Name of enterprise as per enterprise registration documents issued by the CIPC, where applicable, and enterprise business address.
- Percentage black ownership, black female ownership and whether they fall within a designated group.
- Indicate total revenue for the year under review and whether it is based on audited financial statements or management accounts.
- Financial year-end as per the enterprise's registration documents, which was used to determine the total revenue. The valid format of the Financial Year-End is Day/Month/Year
- B-BBEE status level. An enterprise can only have one status level.

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- Date deponent signed and date of Commissioner of Oath must be the same.
- Commissioner of Oath cannot be an employee or ex officio of the enterprise because, by law, a person cannot commission a sworn affidavit in which they have an interest, and

v. Compliance with any other information requested to be attached to Returnable Schedule Form C1; and

vi. In the event of a Joint Venture (JV), a project-specific consolidated (SANRAL project number indicated) valid B-BBEE verification certificate in the name of the JV, issued by a verification agency accredited by the South African National Accreditation System (SANAS) shall be submitted.

Signed.....Date:.....

Name:.....Position.....

Respondent:.....

**FORM A13: PROTECTION OF PERSONAL INFORMATION**

1. The following terms bear the same meanings as contemplated in section 1 of the Protection of Personal Information Act 4 of 2013 ("POPIA"):  
  
consent; data subject; electronic communication; information officer; operator; person; personal information; processing; record; Regulator; responsible party; special information; as well as any terms derived from these terms.
2. SANRAL will process all information by the Respondent in terms of the requirements contemplated in Section 4(1) of the POPIA:  
  
Accountability; Processing limitation; Purpose specification; Further processing limitation; Information quality; Openness; Security safeguards and Data subject participation.
3. The parties acknowledge and agree that, in relation to personal information processed pursuant to this EOI, SANRAL is the responsible party for its processing activities and the respondent remains responsible for ensuring that any personal information submitted by it may lawfully be disclosed and processed for EOI purposes. SANRAL will process personal information in accordance with POPIA and other applicable law.
4. SANRAL reserves all rights afforded to it by POPIA in relation to information contained in this EOI, and the respondent must also comply with POPIA in relation to any personal information or SANRAL information processed for EOI purposes.
5. In responding to this EOI, SANRAL acknowledges that it will obtain and have access to personal information of the Respondent. SANRAL agrees that it shall only process the information disclosed by Respondent in their response to this EOI for the purpose of evaluating and subsequent award of business and in accordance with any applicable law.
6. In submitting information or documentation requested in this EOI, the respondent acknowledges that SANRAL may process relevant personal information for lawful procurement, due diligence, risk assessment, contract management, auditing, investigation, record-keeping and other purposes permitted by law, subject to POPIA.
7. Furthermore, SANRAL will not otherwise modify, amend or alter any personal data submitted by the Respondent or disclose or permit the disclosure of any personal data to any third party without the prior written consent from the Respondent. Similarly, SANRAL requires the Respondent to process any personal information disclosed by SANRAL in the bidding process in the same manner.
8. SANRAL shall, at all times, take reasonably appropriate technical and organisational measures required by applicable law to secure information shared or accessed pursuant to this EOI, whether physically or electronically.
9. SANRAL shall notify the Respondent in writing of any unauthorised access to information, cybercrimes or suspected cybercrimes, in its knowledge and report such crimes or suspected crimes to the relevant authorities in accordance with applicable laws, after becoming aware of such crimes or suspected crime. The Respondent must take all necessary remedial steps to mitigate the extent of the loss or compromise of personal information and to restore the integrity of the affected personal information as quickly as is possible.

- 10. The respondent may exercise applicable data-subject rights in terms of POPIA, subject to lawful limitations, and may direct requests or objections to SANRAL's Information Officer using the applicable statutory forms and channels.
- 11. In submitting any information or documentation requested in this EOI, the respondent acknowledges the processing of relevant personal information for EOI purposes and confirms awareness of applicable rights in terms of section 5 of POPIA

Respondents are required to provide consent below:

YES		NO	
-----	--	----	--

- 12. Further, the Respondent declares that they have obtained all consents pertaining to other data subject's personal information included in its submission and thereby indemnifying SANRAL against any civil or criminal action, administrative fines or other penalty or loss that may arise as a result of the processing of any personal information that the Respondent submitted.
- 13. The Respondent declares that the personal information submitted for the purpose of this RFP is complete, accurate, not misleading, is up to date and may be updated where applicable.

Signature of Respondent's authorised representative: \_\_\_\_\_

Should a respondent have any complaint or objection regarding the processing of personal information, the respondent may lodge a complaint with the Information Regulator through its official complaints channels, including [POPIAComplaints@inforegulator.org.za](mailto:POPIAComplaints@inforegulator.org.za) and the official Information Regulator website.

Signed:.....Date:.....  
Name:.....Position.....  
Respondent:.....

**FORM A15: DECLARATION OF RESPONDENT'S PAST SUPPLY CHAIN MANAGEMENT PRACTICES**

**Notes to respondent:**

1. **This declaration:**
  - a. **must form part of the EOI submitted.**
  - b. **in the case of a joint venture (JV), must be completed and submitted by each member of the JV.**
2. **This form serves as a declaration to be used by institutions in ensuring that when goods and services are being procured, all reasonable steps are taken to combat the abuse and/or misused the State's procurement of the supply chain management system.**
3. **The submission of any respondent may be disregarded if that respondent or any of its directors have –**
  - a. **abused and/or misused the State's procurement and/or supply chain management system;**
  - b. **committed fraud, corruption, or any other improper conduct in relation to such State system; and/or**
  - c. **has been charged with fraud, corruption or any other improper conduct whether of a criminal or civil nature during the course and scope of rendering services to the state or any other party and/or entity; or**
  - d. **failed to perform on any previous contract [with the State].**
4. **In order to give effect to the above, the following questionnaire must be completed and submitted with this EOI.**

4.1	Is the respondent or any of its directors listed on the National Treasury's Database of Restricted Suppliers as companies or persons prohibited from doing business with the public sector? <b>Companies or persons who are listed on this Database were informed in writing of this restriction by the Accounting Officer/ Authority of the institution that imposed the restriction after the <i>audi alteram partem</i> rule was applied.</b> The Database of Restricted Suppliers now resides on the National Treasury website ( <a href="http://www.treasury.gov.za">www.treasury.gov.za</a> ) and can be accessed by clicking on its link at the bottom of the home page.	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.1.1	If Yes, furnish particulars:		
4.2	Is the respondent or any of its directors listed on the Register for Tender Defaulters in terms of Section 29 of the Prevention and Combatting of Corrupt Activities Act (No. 12 of 2004)? <b>The Register for Tender Defaulters can be accessed on the National Treasury website (<a href="http://www.treasury.gov.za">www.treasury.gov.za</a>) by clicking on its link at the bottom of the home page.</b>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.2.1	If Yes, furnish particulars:		
4.3	Was the respondent or any of its directors convicted by a court of law (including a court outside the Republic of South Africa) for fraud or corruption during the past five years?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.3.1	If Yes, furnish particulars:		
4.4	Was any contract between the respondent and any organ of State terminated during the past five years on account of failure to perform on or comply with the contract?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.4.1	If Yes, furnish particulars:		

**CERTIFICATION**

I, the undersigned, .....

certify that the information furnished on this declaration form is true and correct.

I accept that, in addition to cancellation of a contract, action may be taken against me should this declaration prove to be false.

Signed:.....Date:.....

Name:..... Position.....

Respondent:.....

**FORM A16: SCHEDULE OF ADDENDA TO SUBMISSION DOCUMENTS**

We confirm that the following communications received from the Employer before the submission of this EOI, amending the EOI document, have been taken into account in this submission:

	<b>Date</b>	<b>Title or Details</b>
1.		
2.		
3.		
4.		
5.		
6.		
7.		
8.		
9.		
10.		

Signed.....Date.....

Name:.....Position.....

Respondent:.....

**FORM A18: RESPONDENT'S REGISTERED FINANCIAL SERVICE PROVIDER LETTER AND BANK DETAILS**

Notes to respondent:

- 1. The respondent shall attach to this form:
  - 1. a letter (dated less than 3 months prior to the EOI closing date) from a registered Financial Service Provider (registered with the FSB) which:

- 1. Provides banking details and declares how the entity conducts its account; and

- 2. In the event that the respondent is a joint venture enterprise, details of all the members of the joint venture shall be similarly provided and attached to this form.

**Option 1 - Letter to be on the respondent's registered Financial Service Provider's letter head**

RE: ACCOUNT CONDUCT AND/OR CASHFLOW CONFIRMATION

To Whom It May Concern:

We hereby confirm that (Insert Respondent's Name) has been banking with xxx Bank for a period of xxx years and the account has been conducted in a satisfactory manner.

- i) Name of Account Holder:.....
- ii) Account number: .....
- iii) Bank name: .....
- iv) Branch number: .....
- v) Bank and branch contact details: .....

-

Signed:.....Date:.....

Name:..... Position.....

Respondent:.....



**FORM A20: CERTIFICATE OF COMPLIANCE WITH COMPENSATION FOR OCCUPATIONAL INJURIES AND DISEASES ACT, 1993**

Notes to respondent:

1. Discovery that the respondent has failed to make proper disclosure may result in SANRAL terminating a contract that flows from this EOI on the ground that it has been rendered invalid by the respondent's misrepresentation.
2. The respondent shall attach to this Form evidence that he is registered and in good standing with the Compensation for Occupational Injuries and Diseases Act, 1993 (Act No 130 of 1993) (COID).
3. The respondent is required to disclose, by also attaching documentary evidence to this form, all inspections, investigations and their outcomes conducted by the Department of Labour into the conduct of the respondent at any time during the 36 months preceding the date of this EOI.

Signed:..... Date:.....

Name:.....Position.....

Respondent:.....

**FORM A21: REGISTRATION WITH CIDB**

The respondent shall provide a PDF copy of the active contractor listing from the cidb website (www.cidb.org.za) or equivalent verifiable proof of active registration. If the respondent's cidb registration is due to expire shortly after EOI closure, proof of a timely renewal or annual-update application must also be attached. In the case of a Joint Venture, this proof must be provided for each JV member.

Complete the following details of his registration with the Construction Industry Development Board.

Name of Contractor:

Contractor Grading Designation:

CIDB Contractor Registration Number:

Registration expiry date: .....

In the case of a Joint Venture, Lead Respondent must hold not less than 51% share in the JV.

	<b>CIDB Grading</b>	<b>JV Shareholding %</b>
Lead Respondent:		

Signed:.....Date:.....

Name:.....Position.....

Respondent:.....

**FORM A23: COMPLIANCE WITH LABOUR LEGISLATION DECLARATION**

Note to respondent:

1. Respondents must be registered with the Bargaining Council for the Civil Engineering Industry (BCCEI) or the relevant Bargaining Council.
2. Respondents must append to this schedule appropriate proof of compliance where required by law, including a valid COIDA Letter of Good Standing or equivalent proof where applicable. SANRAL may verify such compliance and may request updated proof before admission to the programme or award of any contract.
3. Only those submissions submitted by respondents who are in good standing with the BCCEI or any relevant applicable legislated bargaining council at the time of placement on the SANRAL CDP will be declared responsive.
4. Each party to a Consortium/Joint Venture shall append separate certificates for each party in the above regard.
5. The respondent, by signing this schedule, declares that it will comply with all applicable labour and employment legislation, including health and safety obligations applicable to any eventual contract work.

Signed:.....Date:.....

Name:.....Position.....

Respondent:.....

**FORM A24: CERTIFICATE OF SINGLE SUBMISSION**

**Notes to respondent:**

- 1. This certificate serves as a declaration by the respondent that a single submission was submitted.
- 2. In the case of a Joint Venture (JV), a separate certificate is to be completed and submitted by each JV member.

**DECLARATION**

I, the undersigned, ..... in submitting the accompanying on behalf of the respondent do hereby make the following statements that I certify to be true and complete in every respect:

- 1. I have read and understand the notes to, and the contents of, this certificate.
- 2. I understand that the accompanying submission and any other submission shall be disqualified in the event that I, including participate in more than 1 (one) submission.

Signed:.....Date:.....

Name:.....Position.....

Respondent:.....

**FORM B1: SCHEDULE OF WORK EXPERIENCE**

**Respondents shall submit list of projects relevant/similar to this EOI scope of work which have been successfully completed within the last 6 years. Contactable client reference details/letter or Certificates of Practical Completion/ are required for the stated projects.**

Client Name	Client Contact Person	Client contact details: Email & Phone number	Project NAME	Project Description	Project VALUE (Incl. VAT)	Start date – End Date

**Respondent to include duly completed and signed CIDB Contractor performance report of at least one (1) of the projects listed above to be used for objective criteria if needed.**

**Total Value of work carried out by the entity in the last six (6) years including the biggest project implemented**

The applicant must indicate the value of road construction or road maintenance works carried out to date (With supporting documents from client bodies – Final Approval Certificates/ Completion Certificates). Letters of award will not be accepted as supporting documents. Where subcontract work is submitted as support, a letter from the Employer confirming that the entity was a subcontracting on the specific contract will need to be attached.

Signed.....Date.....  
 Name.....Position.....  
 Respondent.....

**FORM B2:SCHEDULE OF CONTRACTOR'S EQUIPMENT (This information will not be used as a requirement for entry into the programme)**

The respondent shall state below what roadworks or other equipment (if any) they own currently, and what further equipment (if any) is on currently order.

- a) EQUIPMENT IMMEDIATELY AVAILABLE (I)  
(Attach Proof of Ownership by Respondent (Proof of Ownership by the Equipment Owner must be attached)

Respondent to attach Proof of Ownership in the Name of the Respondent/ Respondent Partner in JV Or proof of rental in the name of the Equipment Owner and Respondent/ Respondent Partner in JV

- b) EQUIPMENT ON ORDER (O)  
(Attach Placed Order details of arrangements made, with delivery dates)
- c) EQUIPMENT THAT WILL BE ACQUIRED OR HIRED (H)

**Note to respondent: State with relevant symbol in the availability column.**

PLANT AND EQUIPMENT TYPE	QTY	DATE OF MANUFACTURE	AVAILABILITY (State either I or O)

*(the form will be used to determine equipment already owned by the respondent and not for the purposes of functionality)*

Signed.....Date.....

Name.....Position.....

Respondent.....

**FORM B3: RESPONDENT CONFIRMATION OF PROVINCE IN WHICH PRINCIPAL OFFICE IS**

**Respondents must indicate the province of their primary office; and not more than two other provinces they are willing to work in.**

<b>Province</b>	<b>Primary Office – Mark with X</b>	<b>Two other Provinces - Mark with X</b>
Limpopo Province		
Mpumalanga Province		
KZN Province		
Northwest Province		
Western Cape Province		
Eastern Cape Province		
Northern Cape Province		
Free State Province		
Gauteng Province		

**The respondent shall provide proof of its registered address for the province(s) indicated above, covering the twelve (12) months preceding the advertisement date of the EOI. The proof submitted must correlate with the information reflected on the Companies and Intellectual Property Commission (CIPC) and the Central Supplier Database (CSD) for the same period.**

**The Employer will verify the address details against the CIPC and CSD records to confirm the respondent’s area (province) of operations and the currency of the address provided.**

**In instances where no correlation is found between the proof submitted and the information reflected on the CIPC or CSD, the Employer shall, for purposes of determining the respondent’s area of operation, rely on the most recent address on record that is older than twelve (12) months as reflected on either the CIPC or CSD.**

Signed.....Date.....  
 Name.....Position.....  
 Respondent.....

**FORM B4: OWNER/S AND PERMANENT KEY PERSONNEL EXPERIENCE AND QUALIFICATIONS**

Respondent must attach Copies, Detailed Curriculum Vitae (CV), and Identity documents for Owner/s and Permanent Key Personnel

- At least 1X Owner/Director has a built environment related NQF 5 Diploma or National Certificate; or Accredited RPL Certificate with at least 3 years of individual experience in the road construction industry
- Or have on its staff at least 1 X Permanent Key Staff member that has a built environment related NQF 5 Diploma or National Certificate; or Accredited RPL Certificate with at least 3 years of individual experience in the road construction industry
- Provide a detailed CV of owner/s and (if owner/s don't have relevant qualifications) of permanent key staff member with relevant experience
- Attach certified copies of certificates for individuals of which CVs is provided

**Respondent shall submit list of projects relevant/similar to this EOI which have been successfully completed within the last 6 years. Contactable client reference details/letter or Certificates of Practical Completion/ are required for the stated projects.**

**Key Personnel should be in the permanent employ of the Respondent the submission should be accompanied by proof of permanent employment, in the form of contract of permanent employment, pay slips and proof of pay for 3-months prior to closing date of EOI . Failure to submit proof of permanent employment will result in the respondent being declared non-responsive.**

<b>Owner/Director</b>						
Name						
Professional Registration (if any)						
Professional Registration Number						
Highest Qualification						
NQF Level						
Client Name	Client Contact Person	Client contact details: Email & Phone number	Project NAME	Project Description	Project VALUE (Incl. VAT)	Start date – End Date

<b>Permanent Key Staff Member</b>						
Name						
Professional Registration (If Any)						
Professional Registration Number						
Highest Qualification						
NQF Level						
Client Name	Client Contact Person	Client contact details: Email & Phone number	Project NAME	Project Description	Project VALUE (Incl. VAT)	Start date – End Date

Signed.....Date.....

Name.....Position.....

Respondent.....

**FORM B4.1: REGISTRATION WITH CIPC**

The respondent shall provide a pdf copy of the Active CIPC Registration document. Respondents must also proof that they are in good standing by attaching a copy of the disclosure document

Complete the following details of his registration with the CIPC.

Company Name:

CIPC Registration Number:

The respondent must also attach hereto copies of the latest three (3) years financial statements and indicate if it is audited financial statements or management accounts

In the case of a Joint Venture (JV), a separate form is to be completed and submitted by each JV member.

Signed:.....Date:.....

Name:.....Position.....

Respondent:.....

**FORM B4.2: FINANCIAL STATEMENTS**

The respondent must also attach hereto copies of the latest three (3) years financial statements and indicate if it is audited financial statements or management accounts

In the case of a Joint Venture (JV), a separate form is to be completed and submitted by each JV member.

Signed:.....Date:.....

Name:.....Position.....

Respondent:.....

**FORM B4.3: DECLARATION OF RESPONDENT'S HISTORICAL PARTICIPATION IN CONTRACTOR DEVELOPMENT PROGRAMME(S)**

**Note to respondent:**

The respondent shall list below details of any contractor development programmes that the respondent has been involved in with any organ of state or state department within the last ten years. The details must include the year, the organ or state or state department that sponsored the programme, the duration of the programme, and the value of any work awarded to the respondent as part of the programme.

Client Name	Client Contact Person	Client contact details: Email & Phone number	Programme Details	Programme Description	Project VALUE (Incl. VAT)	Start date – End Date

**List reasons if you exited the programme pre-maturely and if any of the work issued to the respondent was not completed**


SANRAL reserves the right to remove a respondent(s) from the programme if it is found that the respondent has misrepresented any details of their previous participation in a contractor development programme, and that such misrepresentation influenced their selection for this programme.

In such cases, the respondent(s) shall **forfeit their placement**, and the opportunity will be **awarded to the next eligible respondent(s) in line** based on the evaluation ranking.

In the case of a Joint Venture (JV), a separate form is to be completed and submitted by each JV member.

Signed.....Date.....

Name:.....Position.....

Respondent:.....

## Part C: SCOPE OF CONTRACTOR DEVELOPMENT PROGRAMME

SANRAL has designed the Contractor Development Programme as a structured development intervention to be implemented subject to the Constitution, the SANRAL Act, the PFMA, applicable procurement legislation, CIDB prescripts and SANRAL's approved governance framework, wherein emerging and less established **black** contractors from CIDB grades 5CE to 9CE are to be selected through an expression of interest for possible participation in the programme.

The programme is intended to provide development opportunities coupled with structured training, contract mentorship and business mentorship. Participation in the programme does not of itself guarantee the award of any particular contract and remains subject to the applicable procurement process, available projects and programme rules.

The SANRAL Contractor Development Programme (CDP) further aims to:

- a) advance lawful transformation and targeted contractor-development objectives;
- b) promote the transformation and empowerment of emerging and less established black contractors;
- c) Improve contractor performance,
- d) Improve business sustainability
- e) improve the quality of work produced by participating contractors;
- f) Encourage contractors to upgrade in line with the upgrading plan of the contractor development programme.

The targeted number of contractors for entry to the Contractor Development Programme is dependent on the availability of projects. The successful contractor/s will be allocated a maximum of one project as part of the programme.

The successful contractors who will be selected on the programme are expected to comply with the following:

- a) All successful contractors will sign the Memorandum of Agreement with SANRAL
- b) All successful contractors must have all necessary insurance in place once they are awarded a contract.
- c) All successful contractors will be expected to comply with the Occupational Health and Safety Act, COIDA, project-specific health and safety requirements, and to submit the required health and safety plans before they commence the project.
- d) All successful contractors will be required to undergo accredited training and skills development programme as will be determined by a skills development audit of the contractor and his/her staff
- e) All successful contractors will be required to implement the recommendations of the business mentor
- f) All successful contractors will be required to comply with the rules of the Programme.
- g) All successful contractors will be required to progress to higher CIDB grades upon completion of their projects based on pre-defined CIDB upgrading criteria.
- h) All successful contractors will be required to exit the programme once they have completed five (5) years in the programme or the applicable project cycle, whichever occurs in accordance with the programme rules and the applicable agreement. Voluntary early exit may be permitted subject to the terms of the applicable Memorandum of Agreement or other governing instrument. The changes in the legal status of the successful contractor through insolvency, acquisition by another entity and other conditions indicated in the Memorandum of Agreement may render this contract invalid and as such, the contract may be cancelled.

The scope of work for the SANRAL CDP contractor covers the Civil Engineering scope of work for Contractors from Grade 5 to Grade 9. The summary of the indicative types and scope of work is covered in Tables below:

**Table C1: Indicative Types and scope of works**

No.	Roadworks classification/Project type	Estimated project duration	Recommended CIDB grade
1	Routine ad-hoc/(non-pavement/pavement) (drainage and minor structures/cracking sealing/ pothole repairs/ edge repair/shoulder repair)	36 – 54 months	Grade 5 - 7
2	Maintenance: periodic maintenance resurfacing double seal	12 – 15 months	Grade 5 - 7
3	Maintenance: special maintenance, pavement restoration of selected pavement areas followed by resurfacing	24 months	Grade 7 - 9
4	Development: strengthening (rehabilitation/reconstruction) structural asphalt overlay	36 – 38 months	Grade 7 - 9
5	Development: improvement (capacity/alignment) (addition of paved shoulders/climbing lanes/passing lanes/additional lanes)	36 – 38 months	Grade 7 - 9
6	Development: new facilities (upgrading/new construction) (surface class/single carriageway/non-divided 4 Lane/ divided carriageway)	36 – 38 months	Grade 7 - 9

Recommended roadwork/project allocation for a single entity is as table below:

**Table C2: Roadworks project type allocation for a single entity**

No.	Roadworks classification/Project type	Estimated project duration	Number of Roadworks/ Projects	Comments
1	Routine ad-hoc/(non-pavement/pavement) (drainage and minor structures/cracking sealing/ pothole repairs/ edge repair/shoulder Repair)	36 – 54 months	2	Single entity should be able to successfully execute two (2) Routine Road Maintenance projects
2	Maintenance: periodic maintenance resurfacing double seal	12 – 15 months	2	Single entity should be able to successfully execute two (2) Periodic Maintenance projects
3	Maintenance: special maintenance pavement restoration of selected pavement areas followed by resurfacing	24 months	2	Single entity should be able to successfully execute two (2) Special Maintenance projects
4	Development: strengthening (rehabilitation/reconstruction) structural asphalt overlay	36 – 38 months	1	Entity can only be allocated 1 because of the complexity of the project and financial risks.
5	Development: improvement (capacity/alignment) (addition of paved shoulders/climbing lanes/passing lanes/additional lanes)	36 – 38 months	1	Entity can only be allocated 1 because of the complexity of the project and financial risks.
6	Development: new facilities (upgrading/new construction) (surface class/single carriageway/non-divided 4 Lane/ divided carriageway)	36 – 38 months	1	Entity can only be allocated 1 because of the complexity of the project and financial risks.

