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## **Transnet Port Terminal**

**Tender Number: PPA - 122409**

**DESCRIPTION OF THE WORKS: SUPPLY AND INSTALLATION OF GALLERY LIGHTS, ELECTRICAL WORKS, AND CONVEYOR ELECTRICAL WORKS FOR TRANSNET SOC LTD (REG. NO 1990/000900/30) OPERATING AS TRANSNET PORT TERMINALS (HEREINAFTER REFERRED TO AS "TPT") FOR PORT OF RICHARDS BAY — PORT RAIL TERMINAL (PRT) CHROME SHUTDOWN, AS ONCE OFF.**

### **REQUEST FOR PROPOSAL (RFP)**

**DESCRIPTION OF THE WORKS: SUPPLY AND INSTALLATION OF GALLERY LIGHTS, ELECTRICAL WORKS, AND CONVEYOR ELECTRICAL WORKS FOR TRANSNET SOC LTD (REG. NO 1990/000900/30) OPERATING AS TRANSNET PORT TERMINALS (HEREINAFTER REFERRED TO AS "TPT") FOR PORT OF RICHARDS BAY — PORT RAIL TERMINAL (PRT) CHROME SHUTDOWN, AS ONCE OFF.**

|                               |                                     |
|-------------------------------|-------------------------------------|
| <b>RFP NUMBER</b>             | <b>: PPA - 122409</b>               |
| <b>ISSUE DATE</b>             | <b>: 10 JUNE 2026</b>               |
| <b>COMPULSORY BRIEFING</b>    | <b>: 17 JUNE 2026 @10:00AM</b>      |
| <b>CLOSING DATE</b>           | <b>: 25 JUNE 2026</b>               |
| <b>CLOSING TIME</b>           | <b>: 12h00pm</b>                    |
| <b>TENDER VALIDITY PERIOD</b> | <b>: 12 weeks from closing date</b> |

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## T1.1 TENDER NOTICE AND INVITATION TO TENDER

### SECTION 1: NOTICE TO TENDERERS

#### 1. INVITATION TO TENDER

Responses to this Tender [hereinafter referred to as a **Tender**] are requested from persons, companies, close corporations or enterprises [hereinafter referred to as a Tenderer].

Compilers please note all information provided highlighted in yellow may be revised/amended depending on the nature of the Operating Division, Specialist Units and specific tender.

|                           |   |
|---------------------------|---|
| <b>DESCRIPTION</b>        | <b>Description of the works: supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.</b>   |
| <b>TENDER DOWNLOADING</b> | <b>This Tender may be downloaded directly from the National Treasury eTender Publication Portal at <a href="http://www.etenders.gov.za">www.etenders.gov.za</a> and the Transnet website at <a href="https://esupplierportal.transnet.net/portal/advertisedTenders">https://esupplierportal.transnet.net/portal/advertisedTenders</a> (please use Google Chrome to access Transnet link) <b>FREE OF CHARGE.</b></b> |

|  |   |
|--|---|
| <b>COMPULSORY TENDER CLARIFICATION MEETING</b> | <p>A Compulsory Tender Clarification Meeting will be conducted at Transnet Port Terminal, Umhlathuze Building (Harbour) <b>on the 17 June 2026 at 10:00am [10 O'clock]</b> for a period of ± two (2) hours. [Tenderers to provide own transportation and accommodation].</p> <p>The Compulsory Tender Clarification Meeting will start punctually, and information will not be repeated for the benefit of Tenderers arriving late.</p> <p><b><i>Bidders that are interested in attending the compulsory briefing must be early with an allowance of two (2) hours for the permit office queue /line, permit office will require a copy of the Identity Document (ID) to arrange Permits to gain entry to the Port of Richards Bay.</i></b></p> |
|--|---|



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|                     |   |
|---------------------|---|
|                     | <p><b>A Site visit/walk will take place, tenderers are to note:</b></p> <ul style="list-style-type: none"> <li>• Tenderers are required to wear safety shoes, goggles, long sleeve shirts, high visibility vests and hard hats.</li> <li>• Tenderers without the recommended PPE will not be allowed on the site walk.</li> <li>• Tenderers and their employees, visitors, clients and customers entering Transnet Offices, Depots, Workshops and Stores will have to undergo breathalyser testing.</li> <li>• All forms of firearms are prohibited on Transnet properties and premises.</li> <li>• The relevant persons attending the meeting must ensure that their identity documents, passports or drivers licences are on them for inspection at the access control gates.</li> </ul> <p>Certificate of Attendance in the form set out in the <b>Returnable Schedule T2.2.1</b> hereto must be completed and submitted with your Tender as proof of attendance is required for a <b>compulsory</b> site meeting and/or tender briefing.</p> <p><b>Tenderers are required to bring this Returnable Schedule T2.2.1 to the Compulsory Tender Clarification Meeting to be signed by the Employer’s Representative.</b></p> <p><b>Tenderers failing to attend the compulsory tender briefing will be disqualified.</b></p> |
| <b>CLOSING DATE</b> | <p><b>12:00pm on (2026/06/25)</b></p> <p>Tenderers must ensure that tenders are uploaded timeously onto the system.<br/><b>If a tender is late, it will not be accepted for consideration.</b></p>  |

## 2. TENDER SUBMISSION

Transnet has implemented a new electronic tender submission system, the e-Tender Submission Portal, in line with the overall Transnet digitalization strategy where suppliers can view advertised tenders, register their information, log their intent to respond to bids and upload their bid proposals/responses on to the system.

a) The Transnet e-Tender Submission Portal can be accessed as follows:

Log on to the Transnet eTenders management platform website (<https://transnetetenders.azurewebsites.net>);

- Click on "ADVERTISED TENDERS" to view advertised tenders;
- Click on "SIGN IN/REGISTER – for bidder to register their information (must fill in all mandatory information);

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- Click on "SIGN IN/REGISTER" - to sign in if already registered;
  - Toggle (click to switch) the "Log an Intent" button to submit a bid;
  - Submit bid documents by uploading them into the system against each tender selected.
  - **Tenderers are required to ensure that electronic bid submissions are done at least a day before the closing date to prevent issues which they may encounter due to their internet speed, bandwidth or the size of the number of uploads they are submitting. Transnet will not be held liable for any challenges experienced by bidders as a result of the technical challenges. Please do not wait for the last hour to submit. A Tenderer can upload 30mb per upload and multiple uploads are permitted.**
- b) The tender offers to this tender will be opened as soon as possible after the closing date and time. Transnet shall not, at the opening of tenders, disclose to any other company any confidential details pertaining to the Tender Offers / information received, i.e. pricing, delivery, etc. The names and locations of the Tenderers will be divulged to other Tenderers upon request.
- c) Submissions must not contain documents relating to any Tender other than that shown on the submission.

### 3. CONFIDENTIALITY

All information related to this RFP is to be treated with strict confidentiality. In this regard Tenderers are required to certify that they have acquainted themselves with the Non-Disclosure Agreement. All information related to a subsequent contract, both during and after completion thereof, will be treated with strict confidence. Should the need however arise to divulge any information gleaned from provision of the Works, which is either directly or indirectly related to Transnet's business, written approval to divulge such information must be obtained from Transnet.

### 4. DISCLAIMERS

Tenderers are hereby advised that Transnet is not committed to any course of action as a result of its issuance of this Tender and/or its receipt of a tender offer. In particular, please note that Transnet reserves the right to:

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- 4.1. Award the business to the highest scoring Tenderer/s unless objective criteria justify the award to another tenderer.
- 4.2. Not necessarily accept the lowest priced tender or an alternative Tender;
- 4.3. Go to the open market if the quoted rates (for award of work) are deemed unreasonable;
- 4.4. Should the Tenderers be awarded business on strength of information furnished by the Tenderer, which after conclusion of the contract is proved to have been incorrect, Transnet reserves the right to terminate the contract;
- 4.5. Request audited financial statements or other documentation for the purposes of a due diligence exercise;
- 4.6. Not accept any changes or purported changes by the Tenderer to the tender rates after the closing date;
- 4.7. Verify any information supplied by a Tenderer by submitting a tender, the Tenderer/s hereby irrevocably grant the necessary consent to the Transnet to do so;
- 4.8. Conduct the evaluation process in parallel. The evaluation of Tenderers at any given stage must therefore not be interpreted to mean that Tenderers have necessarily passed any previous stage(s);
- 4.9. Unless otherwise expressly stated, each tender lodged in response to the invitation to tender shall be deemed to be an offer by the Tenderer. The Employer has the right in its sole and unfettered discretion not to accept any offer.
- 4.10. Not be held liable if tenderers do not provide the correct contact details during the clarification session and do not receive the latest information regarding this RFP with the possible consequence of being disadvantaged or disqualified as a result thereof.
- 4.11. Transnet reserves the right to exclude any Tenderers from the tender process who has been convicted of a serious breach of law during the preceding 5 [five] years including but not limited to breaches of the Competition Act 89 of 1998, as amended. Tenderers are required to indicate in tender returnable on T2.2-13, [**Breach of Law**] whether or not they have been found guilty of a serious breach of law during the past 5 [five] years.
- 4.12. Transnet reserves the right to perform a risk analysis on the preferred tenderer to ascertain if any of the following might present an unacceptable commercial risk to the employer:



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- *unduly high or unduly low tendered rates or amounts in the tender offer;*
- *contract data of contract provided by the tenderer; or*
- *the contents of the tender returnables which are to be included in the contract.*

5. Transnet will not reimburse any Tenderer for any preparatory costs or other work performed in connection with this Tender, whether or not the Tenderer is awarded a contract.

**6. NATIONAL TREASURY’S CENTRAL SUPPLIER DATABASE**

Tenderer are required to self-register on National Treasury’s Central Supplier Database (CSD) which has been established to centrally administer supplier information for all organs of state and facilitate the verification of certain key supplier information. The CSD can be accessed at <https://secure.csd.gov.za/>. Tenderer are required to provide the following to Transnet in order to enable it to verify information on the CSD:

Supplier Number..... and Unique registration reference number.....(Tender Data)

**Transnet urges its clients, suppliers and the general public  
to report any fraud or corruption to  
TIP-OFFS ANONYMOUS: 0800 003 056 OR [Transnet@tip-offs.com](mailto:Transnet@tip-offs.com)**

## T1.2 TENDER DATA

The conditions of tender are the Standard Conditions of Tender as contained in Annex C of the CIDB Standard for Uniformity in Engineering and Construction Works Contracts. The Standard for Uniformity in Construction Procurement was first published in Board Notice 62 of 2004 in Government Gazette No 26427 of 9 June 2004. It was subsequently amended in Board Notice 67 of 2005 in Government Gazette No 28127 of 14 October 2005, Board Notice 93 of 2006 in Government Gazette No 29138 of 18 August 2006, Board Notice No 9 of 2008 in Government Gazette No 31823 of 30 January 2009, Board Notice 86 of 2010 in Government Gazette No 33239 of 28 May 2010, Board Notice 136 of 2015 in Government Gazette 38960 of 10 July 2015 and Board Notice 423 of 2019 in Government Gazette No 42622 of 8 August 2019.

This edition incorporates the amendments made in Board Notice 423 of 2019 in Government Gazette 42622 of 8 August 2019. (see [www.cidb.org.za](http://www.cidb.org.za)).

The Standard Conditions of Tender make several references to Tender data for detail that apply specifically to this tender. The Tender Data shall have precedence in the interpretation of any ambiguity or inconsistency between it and the Standard Conditions of Tender.

Each item of data given below is cross-referenced in the left-hand column to the clause in the Standard Conditions of Tender to which it mainly applies.

| <b>Clause</b> | <b>Data</b>   |
|---------------|---|
| C.1.1         | The <i>Employer</i> is<br><b>Transnet SOC Ltd<br/>(Reg No. 1990/000900/30)</b>  |
| C.1.2         | The tender documents issued by the <i>Employer</i> comprise:<br><b>Part T: The Tender</b><br>Part T1: Tendering procedures<br>Part T2 : Returnable documents<br><b>Part C: The contract</b><br>Part C1: Agreements and contract data<br>Part C2: Pricing data     |
|               | T1.1 Tender notice and invitation to tender<br>T1.2 Tender data<br>T2.1 List of returnable documents<br>T2.2 Returnable schedules<br>C1.1 Form of offer and acceptance<br>C1.2 Contract data (Part 1 & 2)<br>C1.3 Form of Securities<br>C2.1 Pricing instructions |

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|                           |  |                        |
|---------------------------|--|------------------------|
|                           |  | C2.2 Activity Schedule |
| Part C3: Scope of work    |  | C3.1 Works Information |
| Part C4: Site information |  | C4.1 Site information  |

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|       |                          |  |
|-------|--------------------------|--|
| C.1.4 | The Employer's agent is: | Procurement Officer /Procurement Manager               |
|       | Name:                    | Nthombi Mtshali  |
|       | Address:                 | Gordon's Road, uMhlathuze Building, Richards Bay, 3900 |
|       | Tel No.                  | 035 905 3350   |
|       | E – mail                 | Nthombi.mtshali@transnet.net                           |

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C.2.1 Only those tenderers who satisfy the following eligibility criteria are eligible to submit tenders:

**1. Stage One - Eligibility with regards to attendance at the compulsory clarification meeting:**

An authorised representative of the tendering entity or a representative of a tendering entity that intends to form a Joint Venture (JV) must attend the compulsory clarification meeting in terms C2.7

**2. Stage Two - Eligibility in terms of the Construction Industry Development Board:**

a) Only those tenderers who are registered with the CIDB, or are capable of being so prior to the evaluation of submissions, in a contractor grading designation equal to or higher than a contractor grading designation determined in accordance with the sum tendered or a value determined in accordance with Regulation 25 (1B) or 25(7A) of the Construction Industry Development Regulations, designation of **3EP or higher** class of construction work, are eligible to have their tenders evaluated.

b) Joint Venture (JV)

Joint ventures are eligible to submit tenders subject to the following:

1. every member of the joint venture is registered with the CIDB;
2. the lead partner has a contractor grading designation of not lower than one level below the required class of construction works under consideration and possesses the required recognition status; and
3. the combined Contractor grading designation calculated in accordance with the Construction Industry Development Regulations is equal to or higher than a Contractor grading designation determined in accordance with the sum tendered for a 3EP or higher class of construction work or a value determined

in accordance with Regulation 25(1B) or 25(7A) of the Construction Industry Development Regulations

The tenderer shall provide a certified copy of its signed joint venture agreement

### 3. Stage Three – Technical Pre-qualification:

- **Accreditation** The contractor must show proof that they are registered with Electrical Contractors Board (ECB) or Department of Labour, by attaching a valid Electrical Contractor's Certificate.

***Any tenderer that fails to meet the stipulated eligibility criteria will be regarded as an unacceptable tender.***

### 4. Stage Four - Functionality:

Only those tenderers who obtain the minimum qualifying score for functionality will be evaluated further in terms of price and the applicable preference point system. The minimum qualifying for score for functionality is **80 points**.

The evaluation criteria for measuring functionality and the points for each criteria and, if any, each sub-criterion are as stated in C.3.11.3 below.

***Any tenderer that fails to meet the stipulated pre-qualifying criteria will be regarded as an unacceptable tender.***

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C.2.7 The arrangements for a compulsory clarification meeting are as stated in the Tender Notice and Invitation to Tender. **Tenderers must complete and sign the attendance register.** Addenda will be issued to and tenders will only be received from those tendering entities including those entities that intends forming a joint venture appearing on the attendance register.

Tenderers are also **required to bring their RFP document to the briefing session and have their returnable document T2.2-01 certificate of attendance** signed off by the Employer's authorised representative.

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C.2.12 No alternative tender offers will be considered.

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C.2.13.3 Each tender offer shall be in the **English Language**.

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C.2.13.5 The *Employer's* details and identification details that are to be shown on each tender offer are as follows:

|                         |   |
|-------------------------|---|
| Identification details: | The tender documents must be uploaded with: |
|                         | ▪ Name of Tenderer:                         |
|                         | ▪ Contact person and details:               |

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- The Tender Number: PPA - 122409 supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.

Documents must be marked for the attention of:

***Employer's Agent:***

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C.2.13.9 Telephonic, telegraphic, facsimile or e-mailed tender offers will not be accepted.

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C.2.15 The closing time for submission of tender offers is:  
Time: **12:00pm** on the **25 June 2026**  
Location: The Transnet e-Tender Submission Portal:  
(<https://transnetetenders.azurewebsites.net>);

**NO LATE TENDERS WILL BE ACCEPTED**

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C.2.16 The tender offer validity period is **12 weeks** after the closing date. Tenderers are to note that they may be requested to extend the validity period of their tender, on the same terms and conditions, if Transnet's internal evaluation and governance approval processes has not been finalised within the validity period.

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- C.2.23 The tenderer is required to submit with his tender:
1. A valid Tax Clearance Certificate issued by the South African Revenue Services.  
**Tenderers also to provide Transnet with a TCS PIN to verify Tenderers compliance status.**
  2. A **valid B-BBEE Certificate** from a Verification Agency accredited by the South African Accreditation System [**SANAS**], or a **sworn affidavit** confirming annual turnover and level of black ownership in case of all EMEs and QSEs with 51% black ownership or more together with the tender;
  3. A valid CIDB certificate in the correct designated grading;
  4. Proof of registration on the Central Supplier Database;
  5. Letter of Good Standing with the Workmen's compensation fund by the tendering entity or separate Letters of Good Standing from all members of a newly constituted JV.

**Note:** Refer to Section T2.1 for List of Returnable Documents

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C3.11 The minimum number of evaluation points for functionality is: **80**

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The procedure for the evaluation of responsive tenders is Functionality, Price and Preference:

**Only those tenderers who attain the minimum number of evaluation points for Functionality will be eligible for further evaluation, failure to meet the minimum threshold will result in the tender being disqualified and removed from any further consideration.**

### Functionality Criteria

The functionality criteria and maximum score in respect of each of the criteria are as follows:

Functionality shall be scored independently by not less than 3 (three) evaluators and averaged in accordance with the following schedules

- T2.2-06 Previous Experience

**(Please see CIDB Compiler guidance note T1.2 – Tender Data).**

| Functionality criteria   | %  | Target  |
|--|----|---|
| <p><b>Experience:</b> The service provider must send proof of similar work done (electrical work on conveyor systems) in the past 2 years, with a value of at least R350k per purchase order by sending copies of 5 purchase orders (copies of purchase orders must be provided, PO numbers only will not be accepted)</p> <p><b>Transnet reserves the right for verification of proof provided and should the document found to be fictitious or fraudulent the bidder will score zero.</b></p> | 60 | Five (5) PO provided for similar work done (electrical work on conveyor systems) in the past 2 years, with a value of at least R350k per PO = <b>100 points</b> |
|  |    | Four (4) PO provided for similar work done (electrical work on conveyor systems) in the past 2 years, with a value of at least R350k per PO = <b>80 points</b>  |
|  |    | Three (3) PO provided for similar work done (electrical work on conveyor systems) in the past 2 years, with a value of at least R350k per PO = <b>60 points</b> |
|  |    | Two (2) PO provided for similar work done (electrical work on conveyor systems) in the past 2 years, with a value of at least R350k per PO = <b>40 points</b>   |
|  |    | One (1) PO provided for similar work done (electrical work on conveyor systems) in the past 2   |

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|   |            |  |
|---|------------|--|
|   |            | years, with a value of at least R350k = <b>20 points</b>                           |
|   |            | No purchase order/s submitted = <b>0</b>   |
| <b>Lead time:</b> The supplier must provide a confirmation letter in the company letter head confirming a lead time of 16 days or less after receiving of the official purchase order | 40         | Lead time in (7) days upon receipts of official purchase order = <b>100 points</b> |
|   |            | More than 7 - 10 days upon receipts of official purchase order = <b>80 points</b>  |
|   |            | More than 10 - 12 days upon receipts of official purchase order = <b>60 points</b> |
|   |            | More than 12 - 14 days upon receipts of official purchase order = <b>40 points</b> |
|   |            | More than 14 - 16 days upon receipts of official purchase order = <b>20 points</b> |
|   |            | More than 16 days = <b>0 points</b>  |
| <b>Maximum possible score for Functionality</b>   | <b>100</b> |  |

Functionality shall be scored independently by not less than 3 (three) evaluators and averaged in accordance with the following schedules:

Each evaluation criteria will be assessed in terms of scores of 0, 20, 40, 60, 80 or 100  
The scores of each of the evaluators will be averaged, weighted and then totalled to obtain the final score for functionality, unless scored collectively. (See CIDB Inform Practice Note #9).

**Note: Any tender not complying with the above-mentioned requirements, will be regarded as non-responsive and will therefore not be considered for further evaluation. This note must be read in conjunction with Clause C.2.1.**

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C.3.11. Only tenders that achieve the minimum qualifying score for functionality will be evaluated further in accordance with the 80/20 preference points systems as described in Preferential Procurement Regulations.

80 where the financial value of one or more responsive tenders received have a value equal to or below R50 million, inclusive of all applicable taxes,

Up to 100 minus  $W_1$  tender evaluation points will be awarded to tenderers who complete the preferencing schedule and who are found to be eligible for the preference claimed. **Should the BBEE rating not be provided, tenderers with no verification will score zero points for preferencing.**

**The following Table represents the evidence to be submitted for claiming preference points for applicable specific goals in a particular tender:**

| DESCRIPTION  |    | POINTS                             |
|--|----|------------------------------------|
| <b>PRICE</b>                                       |    | <b>80</b>                          |
| <b>SPECIFIC GOALS</b>                              |    |                                    |
| Selected Specific Goal                             |    | Number of points allocated (80/20) |
| B-BBEE Level of contributor – Level 1 or 2         | 10 |                                    |
| 30% Black Women Owned Entities                     | 10 |                                    |
| Non-Compliant and/or B-BBEE Level 3-8 contributors | 0  |                                    |

In terms of Transnet Preferential Procurement Policy (TPPP) and Procurement Manuals, preference points must be awarded to a bidder for providing evidence in accordance with the table below:

| Specific Goals                 | Acceptable Evidence  |
|--------------------------------|--|
| B-BBEE                         | B-BBEE Certificate / Sworn- Affidavit / B-BBEE CIPC Certificate (in case of JV, a consolidated scorecard will be accepted) as per DTIC guideline |
| 30% Black Women Owned Entities | B-BBEE Certificate / Sworn-Affidavit / CIPC Certificate  |

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The table below indicates the required proof of B-BBEE status depending on the category of enterprises:

| <b>Enterprise</b>      | <b>B-BBEE Certificate &amp; Sworn Affidavit</b>   |
|------------------------|---|
| <b>Large</b>           | Certificate issued by SANAS accredited verification agency  |
| <b>QSE</b>             | Certificate issued by SANAS accredited verification agency<br>Sworn-Affidavit signed by the authorised QSE representative and attested by a Commissioner of Oaths confirming annual turnover and black ownership (only black-owned QSEs - 51% to 100% Black owned)<br>[Sworn- affidavits must substantially comply with the format that can be obtained on the DTI's website at <a href="http://www.dti.gov.za/economic_empowerment/bee_codes.jsp">www.dti.gov.za/economic_empowerment/bee_codes.jsp</a> .] |
| <b>EME<sup>1</sup></b> | Sworn-Affidavit signed by the authorised EME representative and attested by a Commissioner of Oaths confirming annual turnover and black ownership<br>Certificate issued by CIPC (formerly CIPRO) confirming annual turnover and black ownership<br>Certificate issued by SANAS accredited verification agency only if the EME is being measured on the QSE scorecard   |

**Note:** Transnet reserves the right to carry out an independent audit of the tenderer's scorecard components at any stage from the date of close of the tenders until completion of the contract.

C.3.13 Tender offers will only be accepted if:

1. The tenderer or any of its directors/shareholders is not listed on the Register of Tender Defaulters in terms of the Prevention and Combating of Corrupt Activities Act of 2004 as a person prohibited from doing business with the public sector;
2. the tenderer does not appear on Transnet's list for restricted tenderers and National Treasury's list of Tender Defaulters;
3. the tenderer has fully and properly completed the Compulsory Enterprise Questionnaire and there are no conflicts of interest which may impact on the tenderer's ability to perform the contract in the best interests of the Employer or potentially compromise the tender process and persons in the employ of the state.
4. Transnet reserves the right to award the tender to the tenderer who scores the highest number of points overall, unless there are **objective criteria** which will justify the award of the tender to another tenderer. Objective criteria include but are not limited to the outcome of a due diligence exercise to be conducted. The due diligence exercise may take the following factors into account inter alia;

the tenderer:

- a) is not under restrictions, or has principals who are under restrictions, preventing participating in the employer's procurement,
- b) can, as necessary and in relation to the proposed contract, demonstrate that he or she possesses the professional and technical qualifications, professional and technical competence, financial resources, equipment and other physical facilities, managerial capability, reliability, experience and reputation, expertise and the personnel, to perform the contract,
- c) has the legal capacity to enter into the contract,

Transnet Port Terminal  
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Description of the works: supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.

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- d) is not insolvent, in receivership, under Business Rescue as provided for in chapter 6 of the Companies Act, 2008, bankrupt or being wound up, has his affairs administered by a court or a judicial officer, has suspended his business activities, or is subject to legal proceedings in respect of any of the foregoing,
  - e) complies with the legal requirements, if any, stated in the tender data and
  - f) is able, in the option of the employer to perform the contract free of conflicts of interest.
- 

C.3.17 The number of paper copies of the signed contract to be provided by the Employer is 1 (one).

---

## **T2.1 List of Returnable Documents**

### **2.1.1 These schedules are required for pre-qualification and eligibility purposes:**

- T2.2-01 **Stage one as per CIDB: Eligibility Criteria Schedule** - CIDB Registration
- T2.2-02 **Stage two as per CIDB: Eligibility Criteria Schedule** - Certificate of attendance at Compulsory Tender Clarification Meeting
- T2.2-03 **Stage three as per CIDB: Eligibility Criteria Schedule** – Accreditation

### **2.1.2 Stage Four as per CIDB: these schedules will be utilised for evaluation purposes:**

- T2.2-04 **Evaluation Schedule** : Previous Experience
- T2.2-05 **Evaluation Schedule**: Lead time

### **2.1.3 Returnable Schedules:**

#### **General:**

- T2.2-06 Authority to submit tender
- T2.2-07 Record of addenda to tender documents
- T2.2-08 Letter of good standing
- T2.2-09 Risk Elements

Valid proof of Respondent's compliance to Specific Goals evidence (Preference Claim Form) requirements stipulated in SBD6.1.

#### **Agreement and Commitment by Tenderer:**

- T2.2-10 CIDB SFU ANNEX G Compulsory Enterprise Questionnaire
- T2.2-11 Non-Disclosure Agreement
- T2.2-23 RFP Declaration Form
- T2.2-13 RFP – Breach of Law
- T2.2-14 Certificate of Acquaintance with Tender Document
- T2.2-15 Service Provider Integrity Pact
- T2.2-16 Supplier Code of Conduct

### **1.3.2 Bonds/Guarantees/Financial/Insurance:**

- T2.2-17 Insurance provided by the Contractor
- T2.2-18 Supplier declaration form

## **2.2 C1.1 Offer portion of Form of Offer & Acceptance**

### **2.3 C1.2 Contract Data**

### **2.4 C2.1 Pricing Instructions (Activity Schedule)**

### **2.5 C2.2 Activity Schedule**

### **2.6 C3 Works Information**

### **2.7 C4 Site Information**

## T2.2-01: Eligibility Criteria Schedule:

### Certificate of Attendance at Tender Clarification Meeting

This is to certify that

(Company Name)

Represented  
by:

(Name and  
Surname)

Was represented at the compulsory tender clarification meeting

|           |  |                |
|-----------|--|----------------|
| Held at:  |  |                |
| On (date) |  | Starting time: |

#### Particulars of person(s) attending the meeting:

Name

Signature

Capacity

#### Attendance of the above company at the meeting was confirmed:

Name

Signature

**For and on Behalf of the  
Employers Agent.**

Date

## T2.2-02: Eligibility Criteria Schedule - CIDB Grading Designation

### Note to tenderers:

Tenderers are to indicate their CIDB Grading by filling in the table below. **Attach a copy of the valid CIDB Grading Designation or evidence of being capable of being so registered.**

Service provider is required to submit valid CIDB grade EP level 3 or higher

NB\* certificate/s will be verified during the evaluations and should the certificate/s be found to be fraudulent /invalid during the evaluations / after the award /during the contract period the bidder will be held liable for misrepresentation and the matter will be addressed in line with TPT policies and National Treasury guidelines\*

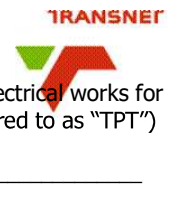
| CRS Number | Status | Grading | Expiry Date |
|------------|--------|---------|-------------|
|            |        |         |             |

1. Only those tenderers who are registered with the CIDB, or are capable of being so prior to the evaluation of submissions, in a contractor grading designation equal to or higher than a contractor grading designation determined in accordance with the sum tendered or a value determined in accordance with Regulation 25 (1B) or 25(7A) of the Construction Industry Development Regulations, for a **3EP OR HIGHER** class of construction work, are eligible to have their tenders evaluated.

### 2. Joint Venture (JV)

Joint ventures are eligible to submit tenders subject to the following:

1. every member of the joint venture is registered with the CIDB;
2. the lead partner has a contractor grading designation of not lower than one level one level below the required grading designation in the class of construction works under consideration and possesses the required recognition status; and
3. the combined Contractor grading designation calculated in accordance with the Construction Industry Development Regulations is equal to or higher than a Contractor grading designation determined in accordance with the sum tendered for a **3EP OR HIGER** class of construction work or a value determined in accordance with Regulation 25(1B) or 25(7A) of the Construction Industry Development Regulations



4. the Contractor shall provide the employer with a certified copy of its signed joint venture agreement;
5. and in the event that the joint venture is an 'Incorporated Joint Venture' the Memorandum of Incorporation to be provided within 4 (four) weeks of the Contract Date.

## T2.2-03: Eligibility Criteria Schedule - Accreditation

### Note to tenderers:

The tenderer must show proof that they are registered with Electrical Contractors Board (ECB) or Department of Labour, by attaching a valid Electrical Contractor's Certificate

**NB\* certificate/s will be verified during the evaluations, and should the certificate/s be found to be fraudulent /invalid during the evaluations / after the award /during the contract period the bidder will be held liable for misrepresentation and the matter will be addressed in line with TPT policies and National Treasury guidelines\***

| Tenderer   | Electrical Contractor's Certificate |
|--|-------------------------------------|
| The contractor must show proof that they are registered with Electrical Contractors Board (ECB) or Department of Labour, by attaching a valid Electrical Contractor's Certificate. |                                     |

## T2.2-04: Evaluation Schedule: Experience

### Note to tenderers:

Tenderers are required to demonstrate performance in comparable projects of similar size and nature by servicing the following:

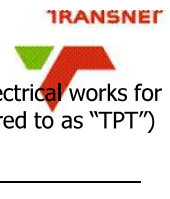
- The tenderer must send proof of similar work done (electrical work on conveyor systems) in the past 2 years, with a value of at least R350k per purchase order by sending copies of 5 purchase orders (copies of purchase orders must be provided, PO numbers only will not be accepted)
- **Transnet reserves the right for verification of proof provided and should the document found to be fictitious or fraudulent the bidder will score zero.**

### Index of documentation attached to this schedule

|   | DOCUMENT NAME |
|---|---------------|
| 1 |               |
| 2 |               |
| 3 |               |
| 4 |               |
| 5 |               |

| Score | Previous Experience  |
|-------|--|
| 0     | No purchase order/s submitted  |
| 20    | One (1) PO provided for similar work done (electrical work on conveyor systems) in the past 2 years, with a value of at least R350k          |
| 40    | Two (2) PO provided for similar work done (electrical work on conveyor systems) in the past 2 years, with a value of at least R350k per PO   |
| 60    | Three (3) PO provided for similar work done (electrical work on conveyor systems) in the past 2 years, with a value of at least R350k per PO |
| 80    | Four (4) PO provided for similar work done (electrical work on conveyor systems) in the past 2 years, with a value of at least R350k per PO  |

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|            |   |
|------------|---|
| <b>100</b> | Five (5) PO provided for similar work done (electrical work on conveyor systems) in the past 2 years, with a value of at least R350k per PO |
|------------|---|

## T2.2-05: Evaluation Schedule: Lead time

### Note to tenderers:

Tenderers are required to demonstrate performance in comparable projects of similar size and nature by servicing the following:

- **Lead time:** The supplier must provide a confirmation letter in the company letterhead confirming a lead time of 16 days or less after receiving of the official purchase order

### Index of documentation attached to this schedule

|   | DOCUMENT NAME |
|---|---------------|
| 1 |               |
| 2 |               |
| 3 |               |
| 4 |               |
| 5 |               |

| Score | Previous Experience   |
|-------|---|
| 0     | More than 16 days   |
| 20    | More than 14 – 16 days upon receipts of official purchase order |
| 40    | More than 12 – 14 days upon receipts of official purchase order |
| 60    | More than 10 – 12 days upon receipts of official purchase order |
| 80    | More than 7 – 10 days upon receipts of official purchase order  |
| 100   | Lead time in (7) days upon receipts of official purchase order  |

## T2.2-06: Authority to submit a Tender

Indicate the status of the tenderer by ticking the appropriate box hereunder. The tenderer must complete the certificate set out below for his category of organisation or alternatively attach a certified copy of a company / organisation document which provides the same information for the relevant category as requested here.

| A - COMPANY | B - PARTNERSHIP | C - JOINT VENTURE | D - SOLE PROPRIETOR |
|-------------|-----------------|-------------------|---------------------|
|             |                 |                   |                     |

### A. Certificate for Company

I, \_\_\_\_\_ chairperson of the board of directors \_\_\_\_\_  
\_\_\_\_\_, hereby confirm that by resolution of the  
board taken on \_\_\_\_\_ (date), Mr/Ms \_\_\_\_\_,  
acting in the capacity of \_\_\_\_\_, was authorised to sign all  
documents in connection with this tender offer and any contract resulting from it on behalf of  
the company.

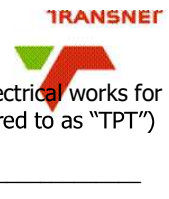
Signed

Date

Name

Position

Chairman of the Board of Directors



## B. Certificate for Partnership

We, the undersigned, being the **key partners** in the business trading as \_\_\_\_\_  
\_\_\_\_\_ hereby authorise Mr/Ms \_\_\_\_\_  
acting in the capacity of \_\_\_\_\_, to sign all documents in  
connection with the tender offer for Contract \_\_\_\_\_ and any  
contract resulting from it on our behalf.

| Name | Address | Signature | Date |
|------|---------|-----------|------|
|      |         |           |      |
|      |         |           |      |
|      |         |           |      |
|      |         |           |      |

**NOTE:** This certificate is to be completed and signed by the full number of Partners necessary to commit the Partnership. Attach additional pages if more space is required.

### C. Certificate for Joint Venture

We, the undersigned, are submitting this tender offer in Joint Venture and hereby authorise

Mr/Ms \_\_\_\_\_, an authorised signatory of the company  
\_\_\_\_\_, acting in the capacity of lead  
partner, to sign all documents in connection with the tender offer for Contract \_\_\_\_\_  
\_\_\_\_\_ and any contract resulting from it on our behalf.

This authorisation is evidenced by the attached power of attorney signed by legally authorised signatories of all the partners to the Joint Venture.

Furthermore we attach to this Schedule a copy of the joint venture agreement which incorporates a statement that all partners are liable jointly and severally for the execution of the contract and that the lead partner is authorised to incur liabilities, receive instructions and payments and be responsible for the entire execution of the contract for and on behalf of any and all the partners.

| <b>Name of firm</b> | <b>Address</b> | <b>Authorising signature, name (in caps) and capacity</b> |
|---------------------|----------------|---|
|                     |                |   |
|                     |                |   |
|                     |                |   |
|                     |                |   |



**D. Certificate for Sole Proprietor**

I, \_\_\_\_\_, hereby confirm that I am the sole owner of the  
business trading as \_\_\_\_\_.

Signed

Date

Name

Position

Sole Proprietor



## T2.2-07: Record of Addenda to Tender Documents

This schedule as submitted confirms that the following communications received from the *Employer* before the submission of this tender offer, amending the tender documents, have been taken into account in this specific tender offer:

|    | <b>Date</b> | <b>Title or Details</b> |
|----|-------------|-------------------------|
| 1  |             |                         |
| 2  |             |                         |
| 3  |             |                         |
| 4  |             |                         |
| 5  |             |                         |
| 6  |             |                         |
| 7  |             |                         |
| 8  |             |                         |
| 9  |             |                         |
| 10 |             |                         |
| 11 |             |                         |
| 12 |             |                         |
| 13 |             |                         |
| 14 |             |                         |
| 15 |             |                         |



## **T2.2-08 Letter/s of Good Standing with the Workmen's Compensation Fund**

Attached to this schedule is the Letter/s of Good Standing.

Name of Company/Members of Joint Venture:

|       |
|-------|
| ..... |
| ..... |
| ..... |
| ..... |
| ..... |
| ..... |
| ..... |
| ..... |
| ..... |
| ..... |
| ..... |





Tender Number: PPA - 122409

Description of the works: supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.

**T2.2-10: ANNEX G Compulsory Enterprise Questionnaire**

The following particulars hereunder must be furnished.

In the case of a Joint Venture, separate enterprise questionnaires in respect of each partner/member must be completed and submitted.

**Section 1: Name of enterprise:** \_\_\_\_\_

**Section 2: VAT registration number, if any:** \_\_\_\_\_

**Section 3: CIDB registration number, if any:** \_\_\_\_\_

**Section 4: CSD number:** \_\_\_\_\_

**Section 5: Particulars of sole proprietors and partners in partnerships**

| Name | Identity number | Personal income tax number |
|------|-----------------|----------------------------|
|      |                 |                            |
|      |                 |                            |
|      |                 |                            |

\* Complete only if sole proprietor or partnership and attach separate page if more than 3 partners

**Section 6: Particulars of companies and close corporations**

Company registration number \_\_\_\_\_

Close corporation number \_\_\_\_\_

Tax reference number: \_\_\_\_\_

**Section 7: The attached SBD4 must be completed for each tender and be attached as a tender requirement.**

**Section 8: The attached SBD 6 must be completed for each tender and be attached as a requirement.**



Tender Number: PPA - 122409

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The undersigned, who warrants that he / she is duly authorised to do so on behalf of the enterprise:

- i) authorizes the Employer to obtain a tax clearance certificate from the South African Revenue Services that my / our tax matters are in order;
- ii) confirms that the neither the name of the enterprise or the name of any partner, manager, director or other person, who wholly or partly exercises, or may exercise, control over the enterprise appears on the Register of Tender Defaulters established in terms of the Prevention and Combating of Corrupt Activities Act of 2004;
- iii) confirms that no partner, member, director or other person, who wholly or partly exercises, or may exercise, control over the enterprise appears, has within the last five years been convicted of fraud or corruption;
- iv) confirms that I / we are not associated, linked or involved with any other tendering entities submitting tender offers and have no other relationship with any of the tenderers or those responsible for compiling the scope of work that could cause or be interpreted as a conflict of interest; and
- v) confirms that the contents of this questionnaire are within my personal knowledge and are to the best of my belief both true and correct.

|                       |                |
|-----------------------|----------------|
| Signed _____          | Date _____     |
| Name _____            | Position _____ |
| Enterprise name _____ | _____          |

Tender Number: PPA - 122409

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**SBD 6.1****PREFERENCE POINTS CLAIM FORM**

This preference form must form part of all bids invited. It contains general information and serves as a claim for preference points for Specific Goals contribution. Transnet will award preference points to companies who provide valid proof of evidence as per the table of evidence in paragraph 4.1 below.

**1. GENERAL CONDITIONS**

- 1.1 The following preference point systems are applicable to all bids:
- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
- 1.2 The value of this bid is estimated to not exceed R50 000 000 (all applicable taxes included) and therefore the 80/20 preference point system shall be applicable. Despite the stipulated preference point system, Transnet shall use the lowest acceptable bid to determine the applicable preference point system in a situation where all received acceptable bids are received outside the stated preference point system.
- 1.3 Preference points for this bid shall be awarded for:
- (a) Price;
  - (b) B-BBEE Status Level of Contribution; and
  - (c) Any other specific goal determined in the Transnet preferential procurement policy
- 1.4 The maximum points for this bid are allocated as follows:

| DESCRIPTION  |    | POINTS |
|--|----|--------|
| PRICE  |    | 80     |
| SPECIFIC GOALS                                     |    | 20     |
| <b>SPECIFIC GOALS</b>                              |    |        |
| B-BBEE Level of contributor – Level 1 or 2         | 10 |        |
| 30% Black Women Owned Entities                     | 10 |        |
| Non-Compliant and/or B-BBEE Level 3-8 contributors | 0  |        |

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|  | POINTS     |
|--|------------|
| <b>PRICE</b>   | <b>80</b>  |
| <b>B-BBEE STATUS LEVEL OF CONTRIBUTION Level 1 or 2</b>  | <b>10</b>  |
| <b>30% Black Women Owned Entities</b>                    | <b>10</b>  |
| <b>Total points for Price and B-BBEE must not exceed</b> | <b>100</b> |

- 1.5 Failure on the part of a bidder to submit proof of evidence required for any of the specific goals together with the bid will be interpreted to mean that preference points for that specific goal are not claimed.
- 1.6 The purchaser reserves the right to require of a bidder, either before a bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the purchaser.

## 2. DEFINITIONS

- (a) **"all applicable taxes"** includes value-added tax, pay as you earn, income tax, unemployment insurance fund contributions and skills development levies;
- (b) **"B-BBEE"** means broad-based black economic empowerment as defined in section 1 of the Broad-Based Black Economic Empowerment Act;
- (c) **"B-BBEE status level of contributor"** means the B-BBEE status received by a measured entity based on its overall performance using the relevant scorecard contained in the Codes of Good Practice on Black Economic Empowerment, issued in terms of section 9(1) of the Broad-Based Black Economic Empowerment Act;
- (d) **"bid"** means a written offer in a prescribed or stipulated form in response to an invitation by an organ of state for the supply/provision of services, works or goods, through price quotations, advertised competitive bidding processes or proposals;
- (e) **"Broad-Based Black Economic Empowerment Act"** means the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);
- (f) **"EME"** means an Exempted Micro Enterprise as defines by Codes of Good Practice under section 9 (1) of the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);
- (g) **"functionality"** means the ability of a bidder to provide goods or services in accordance with specification as set out in the bid documents
- (h) **"Price"** includes all applicable taxes less all unconditional discounts.
- (i) **"Proof of B-BBEE Status Level of Contributor"**
- i) the B-BBBEE status level certificate issued by an authorised body or person;
  - ii) a sworn affidavit as prescribed by the B-BBEE Codes of Good Practice; or
  - iii) any other requirement prescribed in terms of the B-BBEE Act.

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- (j) **"QSE"** means a Qualifying Small Enterprise as defines by Codes of Good Practice under section 9 (1) of the Broad-Based Black Economic Empowerment Act, 2003 ( Act No. 53 of 2003);
- (k) **"rand value"** means the total estimated value of a contract in South African currency, calculated at the time of bid invitations, and includes all applicable taxes and excise duties.
- (l) **"Specific goals"** means targeted advancement areas or categories of persons or groups either previously disadvantaged or falling within the scope of the Reconstruction and Development Programme identified by Transnet to be given preference in allocation of procurement contracts in line with section 2(1) of the PPPFA.

### 3. POINTS AWARDED FOR PRICE

#### 3.1 THE 80/20 PREFERENCE POINT SYSTEMS

A maximum of 80 points is allocated for price on the following basis:

80/20

$$P_s = 80 \left( 1 - \frac{P_t - P_{\min}}{P_{\min}} \right)$$

Where

$P_s$  = Points scored for comparative price of bid under consideration

$P_t$  = Comparative price of bid under consideration

$P_{\min}$  = Comparative price of lowest acceptable bid

#### 4. EVIDENCE REQUIRED FOR CLAIMING SPECIFIC GOALS

- 4.1 In terms of Transnet Preferential Procurement Policy (TPPP) and Procurement Manuals, preference points must be awarded to a bidder for providing evidence in accordance with the table below:

| Specific Goals                 | Acceptable Evidence  |
|--------------------------------|--|
| B-BBEE Status contributor      | B-BBEE Certificate / Sworn- Affidavit / B-BBEE CIPC Certificate (in case of JV, a consolidated scorecard will be accepted) as per DTIC guideline |
| 30% Black Women Owned Entities | B-BBEE Certificate / Sworn-Affidavit / CIPC Certificate  |

- 4.2 The table below indicates the required proof of B-BBEE status depending on the category of enterprises:

| Enterprise | B-BBEE Certificate & Sworn Affidavit |
|------------|--------------------------------------|
|------------|--------------------------------------|

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|                        |  |
|------------------------|--|
| <b>Large</b>           | Certificate issued by SANAS accredited verification agency   |
| <b>QSE</b>             | <p>Certificate issued by SANAS accredited verification agency</p> <p>Sworn Affidavit signed by the authorised QSE representative and attested by a Commissioner of Oaths confirming annual turnover and black ownership (only black-owned QSEs - 51% to 100% Black owned)</p> <p>[Sworn affidavits must substantially comply with the format that can be obtained on the DTI's website at <a href="http://www.dti.gov.za/economic_empowerment/bee_codes.jsp">www.dti.gov.za/economic_empowerment/bee_codes.jsp</a>.]</p> |
| <b>EME<sup>1</sup></b> | <p>Sworn Affidavit signed by the authorised EME representative and attested by a Commissioner of Oaths confirming annual turnover and black ownership</p> <p>Certificate issued by CIPC (formerly CIPRO) confirming annual turnover and black ownership</p> <p>Certificate issued by SANAS accredited verification agency only if the EME is being measured on the QSE scorecard</p>   |

- 4.3 A trust, consortium or joint venture (including unincorporated consortia and joint ventures) must submit a consolidated B-BBEE Status Level verification certificate for every separate bid.
- 4.4 Tertiary Institutions and Public Entities will be required to submit their B-BBEE status level certificates in terms of the specialized scorecard contained in the B-BBEE Codes of Good Practice.
- 4.5 A person will not be awarded points for B-BBEE status level if it is indicated in the bid documents that such a bidder intends sub-contracting more than 25% of the value of the contract to any other enterprise that does not qualify for at least the points that such a bidder qualifies for, unless the intended sub-contractor is an EME that has the capability and ability to execute the sub-contract.
- 4.6 A person awarded a contract may not sub-contract more than 25% of the value of the contract to any other enterprise that does not have an equal or higher B-BBEE status level than the person concerned, unless the contract is sub-contracted to an EME that has the capability and ability to execute the sub-contract.
- 4.7 Bidders are to note that the rules pertaining to B-BBEE verification and other B-BBEE requirements may be changed from time to time by regulatory bodies such as National Treasury or the DTI. It is the Bidder's responsibility to ensure that his/her bid complies fully with all B-BBEE requirements at the time of the submission of the bid.

## 5. BID DECLARATION

<sup>1</sup> In terms of the Implementation Guide: Preferential Procurement Regulations, 2017, Version 2, paragraph 11.11 provides that in the Transport Sector, EMEs can provide a letter from accounting officer or get verified and be issued with a B-BBEE certificate by SANAS accredited professional or agency as the Transport Sector Code has not been aligned to the generic Codes. EMEs in the Transport Sector are not allowed to provide a sworn affidavit as the generic codes are not applicable to them.



Tender Number: PPA - 122409

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5.1 Bidders who claim points in respect of B-BBEE Status Level of Contribution must complete the following:

**6. B-BBEE STATUS LEVEL OF CONTRIBUTION CLAIMED IN TERMS OF PARAGRAPHS 1.4 AND 6.1**

6.1 B-BBEE Status Level of Contribution: . = .....(maximum of 20 points)  
 (Points claimed in respect of paragraph 6.1 must be in accordance with the table reflected in paragraph 4.1 and must be substantiated by relevant proof of B-BBEE status level of contributor.

**7. SUB-CONTRACTING**

7.1 Will any portion of the contract be sub-contracted?

( *Tick applicable box* )

|     |                          |    |                          |
|-----|--------------------------|----|--------------------------|
| YES | <input type="checkbox"/> | NO | <input type="checkbox"/> |
|-----|--------------------------|----|--------------------------|

7.1.1 If yes, indicate:

- i) What percentage of the contract will be subcontracted.....%
- ii) The name of the sub-contractor.....
- iii) The B-BBEE status level of the sub-contractor.....
- iv) Whether the sub-contractor is an EME or QSE.

( *Tick applicable box* )

|     |                          |    |                          |
|-----|--------------------------|----|--------------------------|
| YES | <input type="checkbox"/> | NO | <input type="checkbox"/> |
|-----|--------------------------|----|--------------------------|

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**8. DECLARATION WITH REGARD TO COMPANY/FIRM**

8.1 Name of company/firm:.....

8.2 VAT registration number:.....



Tender Number: PPA - 122409

Description of the works: supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.

8.3 Company registration number:.....

8.4 TYPE OF COMPANY/ FIRM

- Partnership/Joint Venture / Consortium
- One person business/sole propriety
- Close corporation
- Company
- (Pty) Limited

[TICK APPLICABLE BOX]

8.5 DESCRIBE PRINCIPAL BUSINESS ACTIVITIES

.....  
.....  
.....

8.6 COMPANY CLASSIFICATION

- Manufacturer
- Supplier
- Professional Supplier/Service provider
- Other Suppliers/Service providers, e.g. transporter, etc.

[TICK APPLICABLE BOX]

8.7 Total number of years the company/firm has been in business:.....

8.8 I/we, the undersigned, who is / are duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the B-BBE status level of contribution indicated in paragraphs 1.4 and 6.1 of the foregoing certificate, qualifies the company/ firm for the preference(s) shown and I / we acknowledge that:

- i) The information furnished is true and correct;
- ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;
- iii) In the event of a contract being awarded as a result of points claimed as shown in paragraph 1.4 and 6.1, the contractor may be required to furnish documentary proof to the satisfaction of the purchaser that the claims are correct;
- iv) If a bidder submitted false information regarding its B-BBEE status level of contributor,, which will affect or has affected the evaluation of a bid, or where a bidder has failed to declare any subcontracting arrangements or any of the conditions of contract have not been fulfilled, the purchaser may, in addition to any other remedy it may have
  - (a) disqualify the person from the bidding process;
  - (b) recover costs, losses or damages it has incurred or suffered as a result of that person’s conduct;
  - (c) cancel the contract and claim any damages which it has suffered



Tender Number: PPA - 122409

Description of the works: supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.

- as a result of having to make less favourable arrangements due to such cancellation;
- (d) if the successful bidder subcontracted a portion of the bid to another person without disclosing it, Transnet reserves the right to penalise the bidder up to 10 percent of the value of the contract;
- (e) recommend that the bidder or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted by the National Treasury from obtaining business from any organ of state for a period not exceeding 10 years, after the audi alteram partem (hear the other side) rule has been applied; and
- (f) forward the matter for criminal prosecution.

|  |
|--|
| <p>WITNESSES</p> <p>1. ....</p> <p>2. ....</p> |
|--|

|   |
|---|
| <p>.....</p> <p>SIGNATURE(S) OF BIDDERS(S)</p> <p>DATE: .....</p> |
|---|

### BIDDER’S DISCLOSURE

#### 1. PURPOSE OF THE FORM

Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

#### 2. Bidder’s declaration

2.1 Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest<sup>2</sup> in the enterprise,

\_\_\_\_\_

<sup>2</sup> the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.



Tender Number: PPA - 122409

Description of the works: supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.

employed by the state? **YES/NO**

2.1.1 If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

| Full Name | Identity Number | Name of institution | State |
|-----------|-----------------|---------------------|-------|
|           |                 |                     |       |
|           |                 |                     |       |
|           |                 |                     |       |
|           |                 |                     |       |
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|           |                 |                     |       |
|           |                 |                     |       |

2.2 Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution? **YES/NO**

2.2.1 If so, furnish particulars:

.....  
 .....

2.3 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are bidding for this contract? **YES/NO**

2.3.1 If so, furnish particulars:

.....  
 .....

**3 DECLARATION**

I, \_\_\_\_\_ the \_\_\_\_\_ undersigned, (name)..... in submitting the accompanying bid, do hereby make the following statements that I certify to be true and complete in every respect:

- 3.1 I have read and I understand the contents of this disclosure;
- 3.2 I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect;
- 3.3 The bidder has arrived at the accompanying bid independently from, and without



Tender Number: PPA - 122409

Description of the works: supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.

consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium<sup>3</sup> will not be construed as collusive bidding.

3.4 In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.

3.4 The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.

3.5 There have been no consultations, communications, agreements or arrangements made by the bidder with any official of the procuring institution in relation to this procurement process prior to and during the bidding process except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.

3.6 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 1, 2 and 3 ABOVE IS CORRECT.

I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

.....  
Signature

.....  
Date

<sup>3</sup> Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.



Tender Number: PPA - 122409

Description of the works: supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.

.....  
Position Name of bidder



## **T2.2-11 NON-DISCLOSURE AGREEMENT**

**[..... 2020]**



**Note to tenderers: This Non-Disclosure Agreement is to be completed and signed by an authorised signatory:**

**THIS AGREEMENT** is made effective as of ..... day of ..... 20..... by and between:

**TRANSNET SOC LTD**

(Registration No. 1990/000900/30), a company incorporated and existing under the laws of South Africa, having its principal place of business at Transnet Corporate Centre 138 Eloff Street , Braamfontein , Johannesburg 2000

**and**

.....

(Registration No. ....), a private company incorporated and existing under the laws of South Africa having its principal place of business at

.....

.....

**WHEREAS**

Transnet and the Company wish to exchange Information [as defined below] and it is envisaged that each party may from time to time receive Information relating to the other in respect thereof. In consideration of each party making available to the other such Information, the parties jointly agree that any dealings between them shall be subject to the terms and conditions of this Agreement which themselves will be subject to the parameters of the Tender Document.

**IT IS HEREBY AGREED**

**1. INTERPRETATION**

In this Agreement:

- 1.1 **Agents** mean directors, officers, employees, agents, professional advisers, contractors or sub-contractors, or any Group member;
- 1.2 **Bid or Bid Document** (hereinafter Tender) means Transnet’s Request for Information [**RFI**] Request for Proposal [**RFP**] or Request for Quotation [**RFQ**], as the case may be;
- 1.3 **Confidential Information** means any information or other data relating to one party [the **Disclosing Party**] and/or the business carried on or proposed or intended to be carried on by that party and which is made available for the purposes of the Bid to the other party [the **Receiving Party**] or its Agents by the Disclosing Party or its Agents or recorded in agreed minutes following oral disclosure and any other information otherwise made available by the Disclosing Party or its Agents to the Receiving Party or its Agents, whether before, on or after the date of this Agreement, and whether in writing or otherwise, including any information, analysis or specifications derived from, containing or reflecting such information but excluding information which:

- 1.3.1 is publicly available at the time of its disclosure or becomes publicly available [other than as a result of disclosure by the Receiving Party or any of its Agents contrary to the terms of this Agreement];  
or
- 1.3.2 was lawfully in the possession of the Receiving Party or its Agents [as can be demonstrated by its written records or other reasonable evidence] free of any restriction as to its use or disclosure prior to its being so disclosed; or
- 1.3.3 following such disclosure, becomes available to the Receiving Party or its Agents [as can be demonstrated by its written records or other reasonable evidence] from a source other than the Disclosing Party or its Agents, which source is not bound by any duty of confidentiality owed, directly or indirectly, to the Disclosing Party in relation to such information;
- 1.4 **Group** means any subsidiary, any holding company and any subsidiary of any holding company of either party; and
- 1.5 **Information** means all information in whatever form including, without limitation, any information relating to systems, operations, plans, intentions, market opportunities, know-how, trade secrets and business affairs whether in writing, conveyed orally or by machine-readable medium.

## 2. CONFIDENTIAL INFORMATION

- 2.1 All Confidential Information given by one party to this Agreement [the **Disclosing Party**] to the other party [the **Receiving Party**] will be treated by the Receiving Party as secret and confidential and will not, without the Disclosing Party's written consent, directly or indirectly communicate or disclose [whether in writing or orally or in any other manner] Confidential Information to any other person other than in accordance with the terms of this Agreement.
- 2.2 The Receiving Party will only use the Confidential Information for the sole purpose of technical and commercial discussions between the parties in relation to the Tender or for the subsequent performance of any contract between the parties in relation to the Tender.
- 2.3 Notwithstanding clause 2.1 above, the Receiving Party may disclose Confidential Information:
  - 2.3.1 to those of its Agents who strictly need to know the Confidential Information for the sole purpose set out in clause 2.2 above, provided that the Receiving Party shall ensure that such Agents are made aware prior to the disclosure of any part of the Confidential Information that the same is confidential and that they owe a duty of confidence to the Disclosing Party. The Receiving Party shall at all times remain liable for any actions of such Agents that would constitute a breach of this Agreement; or
  - 2.3.2 to the extent required by law or the rules of any applicable regulatory authority, subject to clause 2.4 below.

- 2.4 In the event that the Receiving Party is required to disclose any Confidential Information in accordance with clause 2.3.2 above, it shall promptly notify the Disclosing Party and cooperate with the Disclosing Party regarding the form, nature, content and purpose of such disclosure or any action which the Disclosing Party may reasonably take to challenge the validity of such requirement.
- 2.5 In the event that any Confidential Information shall be copied, disclosed or used otherwise than as permitted under this Agreement then, upon becoming aware of the same, without prejudice to any rights or remedies of the Disclosing Party, the Receiving Party shall as soon as practicable notify the Disclosing Party of such event and if requested take such steps [including the institution of legal proceedings] as shall be necessary to remedy [if capable of remedy] the default and/or to prevent further unauthorised copying, disclosure or use.
- 2.6 All Confidential Information shall remain the property of the Disclosing Party and its disclosure shall not confer on the Receiving Party any rights, including intellectual property rights over the Confidential Information whatsoever, beyond those contained in this Agreement.

### **3. RECORDS AND RETURN OF INFORMATION**

- 3.1 The Receiving Party agrees to ensure proper and secure storage of all Information and any copies thereof.
- 3.2 The Receiving Party shall keep a written record, to be supplied to the Disclosing Party upon request, of the Confidential Information provided and any copies made thereof and, so far as is reasonably practicable, of the location of such Confidential Information and any copies thereof.
- 3.3 The Company shall, within 7 [seven] days of receipt of a written demand from Transnet:
- 3.3.1 return all written Confidential Information [including all copies]; and
- 3.3.2 expunge or destroy any Confidential Information from any computer, word processor or other device whatsoever into which it was copied, read or programmed by the Company or on its behalf.
- 3.4 The Company shall on request supply a certificate signed by a director as to its full compliance with the requirements of clause 3.3.2 above.

### **4. ANNOUNCEMENTS**

- 4.1 Neither party will make or permit to be made any announcement or disclosure of its prospective interest in the Tender without the prior written consent of the other party.
- 4.2 Neither party shall make use of the other party's name or any information acquired through its dealings with the other party for publicity or marketing purposes without the prior written consent of the other party.

### **5. DURATION**

The obligations of each party and its Agents under this Agreement shall survive the termination of any discussions or negotiations between the parties regarding the Tender and continue thereafter for a period of 5 [five] years.



## **6. PRINCIPAL**

Each party confirms that it is acting as principal and not as nominee, agent or broker for any other person and that it will be responsible for any costs incurred by it or its advisers in considering or pursuing the Tender and in complying with the terms of this Agreement.

## **7. ADEQUACY OF DAMAGES**

Nothing contained in this Agreement shall be construed as prohibiting the Disclosing Party from pursuing any other remedies available to it, either at law or in equity, for any such threatened or actual breach of this Agreement, including specific performance, recovery of damages or otherwise.

## **8. PRIVACY AND DATA PROTECTION**

8.1 The Receiving Party undertakes to comply with South Africa's general privacy protection in terms Section 14 of the Bill of Rights in connection with this Tender and shall procure that its personnel shall observe the provisions of such Act [as applicable] or any amendments and re-enactments thereof and any regulations made pursuant thereto.

8.2 The Receiving Party warrants that it and its Agents have the appropriate technical and organisational measures in place against unauthorised or unlawful processing of data relating to the Tender and against accidental loss or destruction of, or damage to such data held or processed by them.

## **9. GENERAL**

9.1 Neither party may assign the benefit of this Agreement, or any interest hereunder, except with the prior written consent of the other, save that Transnet may assign this Agreement at any time to any member of the Transnet Group.

9.2 No failure or delay in exercising any right, power or privilege under this Agreement will operate as a waiver of it, nor will any single or partial exercise of it preclude any further exercise or the exercise of any right, power or privilege under this Agreement or otherwise.

9.3 The provisions of this Agreement shall be severable in the event that any of its provisions are held by a court of competent jurisdiction or other applicable authority to be invalid, void or otherwise unenforceable, and the remaining provisions shall remain enforceable to the fullest extent permitted by law.

9.4 This Agreement may only be modified by a written agreement duly signed by persons authorised on behalf of each party.

9.5 Nothing in this Agreement shall constitute the creation of a partnership, joint venture or agency between the parties.

9.6 This Agreement will be governed by and construed in accordance with South African law and the parties irrevocably submit to the exclusive jurisdiction of the South African courts.



Description of the works: supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.

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|          |       |          |       |
|----------|-------|----------|-------|
| Signed   | _____ | Date     | _____ |
| Name     | _____ | Position | _____ |
| Tenderer | _____ |          |       |

## T2.2-12: RFP DECLARATION FORM

NAME OF COMPANY: \_\_\_\_\_

We \_\_\_\_\_ do hereby certify that:

1. Transnet has supplied and we have received appropriate tender offers to any/all questions (as applicable) which were submitted by ourselves for tender clarification purposes;
2. we have received all information we deemed necessary for the completion of this Tender;
3. at no stage have we received additional information relating to the subject matter of this tender from Transnet sources, other than information formally received from the designated Transnet contact(s) as nominated in the tender documents;
4. we are satisfied, insofar as our company is concerned, that the processes and procedures adopted by Transnet in issuing this tender and the requirements requested from tenderers in responding to this tender have been conducted in a fair and transparent manner; and
5. furthermore, we acknowledge that a direct relationship exists between a family member and/or an owner / member / director / partner / shareholder (unlisted companies) of our company and an employee or board member of the Transnet Group as indicated below:

*[Respondent to indicate if this section is not applicable]*

FULL NAME OF OWNER/MEMBER/DIRECTOR/

PARTNER/SHAREHOLDER:

ADDRESS:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Indicate nature of relationship with Transnet:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

*[Failure to furnish complete and accurate information in this regard may lead to the disqualification of your response and may preclude a Respondent from doing future business with Transnet]*

We declare, to the extent that we are aware or become aware of any relationship between ourselves and Transnet (other than any existing and appropriate business relationship with Transnet) which could unfairly advantage our company in the forthcoming adjudication process, we shall notify Transnet immediately in writing of such circumstances.

6. We accept that any dispute pertaining to this tender will be resolved through the Ombudsman process and will be subject to the Terms of Reference of the Ombudsman. The Ombudsman process must first be exhausted before judicial review of a decision is sought. (Refer "Important Notice to respondents" below).
7. We further accept that Transnet reserves the right to reverse a tender award or decision based on the recommendations of the Ombudsman without having to follow a formal court process to have such award or decision set aside.
8. We have acquainted ourselves and agree with the content of T2.2-16 "Service Provider Integrity Pact".

|  |
|--|
| For and on behalf of<br>.....<br>duly authorised thereto |
| Name:  |
| Signature:   |
| Date:  |

### IMPORTANT NOTICE TO TENDERERS

- Transnet has appointed a Procurement Ombudsman to investigate any material complaint in respect of tenders exceeding R5,000,000.00 (five million S.A. Rand) in value. Should a Tenderer have any material concern regarding an tender process which meets this value threshold, a complaint may be lodged with Transnet's Procurement Ombudsman for further investigation.
- It is incumbent on the Tenderer to familiarise himself/herself with the Terms of Reference for the Transnet Procurement Ombudsman, details of which are available for review at Transnet's website [www.transnet.net](http://www.transnet.net).

Description of the works: supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.

- 
- An official complaint form may be downloaded from this website and submitted, together with any supporting documentation, within the prescribed period, to [procurement.ombud@transnet.net](mailto:procurement.ombud@transnet.net)
  - For transactions below the R5,000,000.00 (five million S.A. Rand) threshold, a complaint may be lodged with the Chief Procurement Officer of the relevant Transnet Operating Division.
  - All Tenderers should note that a complaint must be made in good faith. If a complaint is made in bad faith, Transnet reserves the right to place such a tenderer on its List of Excluded Bidders.

## T2.2-13: REQUEST FOR PROPOSAL – BREACH OF LAW

NAME OF COMPANY: \_\_\_\_\_

I / We \_\_\_\_\_ do hereby certify that ***I/we have/have not been*** found guilty during the preceding 5 (five) years of a serious breach of law, including but not limited to a breach of the Competition Act, 89 of 1998, by a court of law, tribunal or other administrative body. The type of breach that the Tenderer is required to disclose excludes relatively minor offences or misdemeanours, e.g. traffic offences.

*Where found guilty of such a serious breach, please disclose:*

NATURE OF BREACH:

---

---

---

DATE OF BREACH:

---

Furthermore, I/we acknowledge that Transnet SOC Ltd reserves the right to exclude any Tenderer from the tendering process, should that person or company have been found guilty of a serious breach of law, tribunal or regulatory obligation.

Signed on this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

---

SIGNATURE OF TENDER

---

## T2.2-14 Certificate of Acquaintance with Tender Documents

NAME OF TENDERING ENTITY:

---

1. By signing this certificate I/we acknowledge that I/we have made myself/ourselves thoroughly familiar with, and agree with all the conditions governing this RFP. This includes those terms and conditions of the Contract, the Supplier Integrity Pact, Non-Disclosure Agreement etc. contained in any printed form stated to form part of the documents thereof, but not limited to those listed in this clause.
2. I/we furthermore agree that Transnet SOC Ltd shall recognise no claim from me/us for relief based on an allegation that I/we overlooked any tender/contract condition or failed to take it into account for the purpose of calculating my/our offered prices or otherwise.
3. I/we understand that the accompanying Tender will be disqualified if this Certificate is found not to be true and complete in every respect.
4. For the purposes of this Certificate and the accompanying Tender, I/we understand that the word "competitor" shall include any individual or organisation, other than the Tenderer, whether or not affiliated with the Tenderer, who:
  - a) has been requested to submit a Tender in response to this Tender invitation;
  - b) could potentially submit a Tender in response to this Tender invitation, based on their qualifications, abilities or experience; and
  - c) provides the same Services as the Tenderer and/or is in the same line of business as the Tenderer
5. The Tenderer has arrived at the accompanying Tender independently from, and without consultation, communication, agreement or arrangement with any competitor. However communication between partners in a joint venture or consortium will not be construed as collusive Tendering.
6. In particular, without limiting the generality of paragraph 5 above, there has been no consultation, communication, agreement or arrangement with any competitor regarding:

- 
- a) prices;
  - b) geographical area where Services will be rendered [market allocation]
  - c) methods, factors or formulas used to calculate prices;
  - d) the intention or decision to submit or not to submit, a Tender;
  - e) the submission of a tender which does not meet the specifications and conditions of the tender; or
  - f) Tendering with the intention not winning the tender.
7. In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications and conditions or delivery particulars of the Services to which this tender relates.
8. The terms of the accompanying tender have not been, and will not be, disclosed by the Tenderer, directly or indirectly, to any competitor, prior to the date and time of the official tender opening or of the awarding of the contract.
9. I/We am/are aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to tenders and contracts, tenders that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and/or may be reported to the National Prosecuting Authority [NPA] for criminal investigation. In addition, Tenderers that submit suspicious tenders may be restricted from conducting business with the public sector for a period not exceeding 10 [ten] years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

Signed on this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_

---

SIGNATURE OF TENDERER



## **T2.2-15 Service Provider Integrity Pact**

**Important Note: All potential tenderers must read this document and certify in the RFP Declaration Form that that have acquainted themselves with, and agree with the content.**

**The contract with the successful tenderer will automatically incorporate this Integrity Pact and shall be deemed as part of the final concluded contract.**

### **INTEGRITY PACT**

Between

#### **TRANSNET SOC LTD**

Registration Number: 1990/000900/30

("Transnet")

and

The Contractor (hereinafter referred to as the "Tenderer/Service Providers/Contractor")



## **PREAMBLE**

Transnet values full compliance with all relevant laws and regulations, ethical standards and the principles of economical use of resources, fairness and transparency in its relations with its Tenderers/Service Providers/Contractors.

In order to achieve these goals, Transnet and the Tenderer/Service Provider/Contractor hereby enter into this agreement hereinafter referred to as the "Integrity Pact" which will form part of the Tenderer's/Service Provider's/Contractor's application for registration with Transnet as a vendor.

The general purpose of this Integrity Pact is to agree on avoiding all forms of dishonesty, fraud and corruption by following a system that is fair, transparent and free from any undue influence prior to, during and subsequent to the currency of any procurement and/or reverse logistics event and any further contract to be entered into between the Parties, relating to such event.

All Tenderers/Service Providers/Contractor's will be required to sign and comply with undertakings contained in this Integrity Pact, should they want to be registered as a Transnet vendor.

## **1 OBJECTIVES**

- 1.1 Transnet and the Tenderer/Service Provider/Contractor agree to enter into this Integrity Pact, to avoid all forms of dishonesty, fraud and corruption including practices that are anti-competitive in nature, negotiations made in bad faith and under-pricing by following a system that is fair, transparent and free from any influence/unprejudiced dealings prior to, during and subsequent to the currency of the contract to be entered into with a view to:
  - a) Enable Transnet to obtain the desired contract at a reasonable and competitive price in conformity to the defined specifications of the works, goods and services; and
  - b) Enable Tenderers/Service Providers/Contractors to abstain from bribing or participating in any corrupt practice in order to secure the contract.

## **2 COMMITMENTS OF TRANSNET**

Transnet commits to take all measures necessary to prevent dishonesty, fraud and corruption and to observe the following principles:

- 2.1 Transnet hereby undertakes that no employee of Transnet connected directly or indirectly with the sourcing event and ensuing contract, will demand, take a promise for or accept directly or through intermediaries any bribe, consideration, gift, reward, favour or any material or immaterial benefit or any other advantage



from the Tenderer, either for themselves or for any person, organisation or third party related to the contract in exchange for an advantage in the tendering process, Tender evaluation, contracting or implementation process related to any contract.

- 2.2 Transnet will, during the registration and tendering process treat all Tenderers/ Service Providers/Contractor with equity, transparency and fairness. Transnet will in particular, before and during the registration process, provide to all Tenderers/ Service Providers/Contractors the same information and will not provide to any Tenderers/Service Providers/Contractors confidential/additional information through which the Tenderers/Service Providers/Contractors could obtain an advantage in relation to any tendering process.
- 2.3 Transnet further confirms that its employees will not favour any prospective Tenderers/Service Providers/Contractors in any form that could afford an undue advantage to a particular Tenderer during the tendering stage, and will further treat all Tenderers/Service Providers/Contractors participating in the tendering process in a fair manner.
- 2.4 Transnet will exclude from the tender process such employees who have any personal interest in the Tenderers/Service Providers/Contractors participating in the tendering process.

### **3 OBLIGATIONS OF THE TENDERER / SERVICE PROVIDER**

- 3.1 Transnet has a '**Zero Gifts**' Policy. No employee is allowed to accept gifts, favours or benefits.
  - a) Transnet officials and employees **shall not** solicit, give or accept, or from agreeing to solicit, give, accept or receive directly or indirectly, any gift, gratuity, favour, entertainment, loan, or anything of monetary value, from any person or juridical entities in the course of official duties or in connection with any operation being managed by, or any transaction which may be affected by the functions of their office.
  - b) Transnet officials and employees **shall not** solicit or accept gifts of any kind, from vendors, suppliers, customers, potential employees, potential vendors, and suppliers, or any other individual or organisation irrespective of the value.
  - c) Under **no circumstances** should gifts, business courtesies or hospitality packages be accepted from or given to prospective suppliers participating in a tender process at the respective employee's Operating Division, regardless of retail value.
  - d) Gratuities, bribes or kickbacks of any kind must never be solicited, accepted or offered, either directly or indirectly. This includes money, loans, equity, special



privileges, personal favours, benefit or services. Such favours will be considered to constitute corruption.

3.2 The Tenderer/Service Provider/Contractor commits itself to take all measures necessary to prevent corrupt practices, unfair means and illegal activities during any stage of its Tender or during any ensuing contract stage in order to secure the contract or in furtherance to secure it and in particular the Tenderer/Service Provider/Contractor commits to the following:

- a) The Tenderer/Service Provider/Contractor will not, directly or through any other person or firm, offer, promise or give to Transnet or to any of Transnet's employees involved in the tendering process or to any third person any material or other benefit or payment, in order to obtain in exchange an advantage during the tendering process; and
- b) The Tenderer/Service Provider/Contractor will not offer, directly or through intermediaries, any bribe, gift, consideration, reward, favour, any material or immaterial benefit or other advantage, commission, fees, brokerage or inducement to any employee of Transnet, connected directly or indirectly with the tendering process, or to any person, organisation or third party related to the contract in exchange for any advantage in the tendering, evaluation, contracting and implementation of the contract.

3.3 The Tenderer/Service Provider/Contractor will not collude with other parties interested in the contract to preclude a competitive Tender price, impair the transparency, fairness and progress of the tendering process, Tender evaluation, contracting and implementation of the contract. The Tenderer / Service Provider further commits itself to delivering against all agreed upon conditions as stipulated within the contract.

3.4 The Tenderer/Service Provider/Contractor will not enter into any illegal or dishonest agreement or understanding, whether formal or informal with other Tenderers/Service Providers/Contractors. This applies in particular to certifications, submissions or non-submission of documents or actions that are restrictive or to introduce cartels into the tendering process.

3.5 The Tenderer/Service Provider/Contractor will not commit any criminal offence under the relevant anti-corruption laws of South Africa or any other country. Furthermore, the Tenderer/Service Provider/Contractor will not use for illegitimate purposes or for restrictive purposes or personal gain, or pass on to others, any information provided by Transnet as part of the business relationship, regarding plans, technical proposals and business details, including information contained or transmitted electronically.

- 3.6 A Tenderer/Service Provider/Contractor of foreign origin shall disclose the name and address of its agents or representatives in South Africa, if any, involved directly or indirectly in the registration or tendering process. Similarly, the Tenderer / Service Provider / Contractor of South African nationality shall furnish the name and address of the foreign principals, if any, involved directly or indirectly in the registration or tendering process.
- 3.7 The Tenderer/Service Provider/Contractor will not misrepresent facts or furnish false or forged documents or information in order to influence the tendering process to the advantage of the Tenderer/Service Provider/Contractor or detriment of Transnet or other competitors.
- 3.8 Transnet may require the Tenderer/Service Provider/Contractor to furnish Transnet with a copy of its code of conduct. Such code of conduct must address the compliance programme for the implementation of the code of conduct and reject the use of bribes and other dishonest and unethical conduct.
- 3.9 The Tenderer/Service Provider/Contractor will not instigate third persons to commit offences outlined above or be an accessory to such offences.
- 3.10 The Tenderer/Service Provider/Contractor confirms that they will uphold the ten principles of the United Nations Global Compact (UNGC) in the fields of Human Rights, Labour, Anti-Corruption and the Environment when undertaking business with Transnet as follows:
  - a) Human Rights
    - Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights; and
    - Principle 2: make sure that they are not complicit in human rights abuses.
  - b) Labour
    - Principle 3: Businesses should uphold the freedom of association and the effective recognition of the right to collective bargaining;
    - Principle 4: the elimination of all forms of forced and compulsory labour;
    - Principle 5: the effective abolition of child labour; and
    - Principle 6: the elimination of discrimination in respect of employment and occupation.
  - c) Environment



- Principle 7: Businesses should support a precautionary approach to environmental challenges;
  - Principle 8: undertake initiatives to promote greater environmental responsibility; and
  - Principle 9: encourage the development and diffusion of environmentally friendly technologies.
- d) Anti-Corruption
- Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.

#### **4 INDEPENDENT TENDERING**

- 4.1 For the purposes of that Certificate in relation to any submitted Tender, the Tenderer declares to fully understand that the word "competitor" shall include any individual or organisation, other than the Tenderer, whether or not affiliated with the Tenderer, who:
- a) has been requested to submit a Tender in response to this Tender invitation;
  - b) could potentially submit a Tender in response to this Tender invitation, based on their qualifications, abilities or experience; and
  - c) provides the same Goods and Services as the Tenderer and/or is in the same line of business as the Tenderer.
- 4.2 The Tenderer has arrived at his submitted Tender independently from, and without consultation, communication, agreement or arrangement with any competitor. However communication between partners in a joint venture or consortium will not be construed as collusive tendering.
- 4.3 In particular, without limiting the generality of paragraph 5 above, there has been no consultation, communication, agreement or arrangement with any competitor regarding:
- a) prices;
  - b) geographical area where Goods or Services will be rendered [market allocation];
  - c) methods, factors or formulas used to calculate prices;
  - d) the intention or decision to submit or not to submit, a Tender;
  - e) the submission of a Tender which does not meet the specifications and conditions of the RFP; or
  - f) tendering with the intention of not winning the Tender.



- 4.4 In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications and conditions or delivery particulars of the Goods or Services to which his/her tender relates.
- 4.5 The terms of the Tender as submitted have not been, and will not be, disclosed by the Tenderer, directly or indirectly, to any competitor, prior to the date and time of the official Tender opening or of the awarding of the contract.
- 4.6 Tenderers are aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to Tenders and contracts, Tenders that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and/or may be reported to the National Prosecuting Authority [**NPA**] for criminal investigation and/or may be restricted from conducting business with the public sector for a period not exceeding 10 [ten] years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.
- 4.7 Should the Tenderer find any terms or conditions stipulated in any of the relevant documents quoted in the Tender unacceptable, it should indicate which conditions are unacceptable and offer alternatives by written submission on its company letterhead, attached to its submitted Tender. Any such submission shall be subject to review by Transnet's Legal Counsel who shall determine whether the proposed alternative(s) are acceptable or otherwise, as the case may be.

## **5 DISQUALIFICATION FROM TENDERING PROCESS**

- 5.1 If the Tenderer/Service Provider/Contractor has committed a transgression through a violation of section 3 of this Integrity Pact or in any other form such as to put its reliability or credibility as a Tenderer/Service Provider/Contractor into question, Transnet may reject the Tenderer's / Service Provider's / Contractor's application from the registration or tendering process and remove the Tenderer/Service Provider/Contractor from its database, if already registered.
- 5.2 If the Tenderer/Service Provider/Contractor has committed a transgression through a violation of section 3, or any material violation, such as to put its reliability or credibility into question. Transnet may after following due procedures and at its own discretion also exclude the Tenderer/Service Provider /Contractor from future tendering processes. The imposition and duration of the exclusion will be determined by the severity of the transgression. The severity will be determined by the circumstances of the case, which will include amongst

others the number of transgressions, the position of the transgressors within the company hierarchy of the Tenderer/Service Provider/Contractor and the amount of the damage. The exclusion will be imposed for up to a maximum of 10 (ten) years. However, Transnet reserves the right to impose a longer period of exclusion, depending on the gravity of the misconduct.

- 5.3 If the Tenderer/Service Provider/Contractor can prove that it has restored the damage caused by it and has installed a suitable corruption prevention system, or taken other remedial measures as the circumstances of the case may require, Transnet may at its own discretion revoke the exclusion or suspend the imposed penalty.

## **6 TRANSNET'S LIST OF EXCLUDED TENDERERS (BLACKLIST)**

- 6.1 The process of restriction is used to exclude a company/person from conducting future business with Transnet and other organs of state for a specified period. No Tender shall be awarded to a Tenderer whose name (or any of its members, directors, partners or trustees) appear on the Register of Tender Defaulters kept by National Treasury, or who have been placed on National Treasury's List of Restricted Suppliers. Transnet reserves the right to withdraw an award, or cancel a contract concluded with a Tenderer should it be established, at any time, that a tenderer has been restricted with National Treasury by another government institution.
- 6.2 All the stipulations on Transnet's restriction process as laid down in Transnet's Supply Chain Policy and Procurement Procedures Manual (CPM included) are included herein by way of reference. Below follows a condensed summary of this restriction procedure.
- 6.3 On completion of the restriction procedure, Transnet will submit the restricted entity's details (including the identity number of the individuals and registration number of the entity) to National Treasury for placement on National Treasury's Database of Restricted Suppliers for the specified period of exclusion. National Treasury will make the final decision on whether to restrict an entity from doing business with any organ of state for a period not exceeding 10 years and place the entity concerned on the Database of Restricted Suppliers published on its official website.
- 6.4 The decision to restrict is based on one of the grounds for restriction. The standard of proof to commence the restriction process is whether a "*prima facie*" (i.e. on the face of it) case has been established.
- 6.5 Depending on the seriousness of the misconduct and the strategic importance of the Goods/Services, in addition to restricting a company/person from future



business, Transnet may decide to terminate some or all existing contracts with the company/person as well.

6.6 A Service Provider or Contractor to Transnet may not subcontract any portion of the contract to a blacklisted company.

6.7 Grounds for blacklisting include: If any person/Enterprise which has submitted a Tender, concluded a contract, or, in the capacity of agent or subcontractor, has been associated with such Tender or contract:

- a) Has, in bad faith, withdrawn such Tender after the advertised closing date and time for the receipt of Tenders;
- b) has, after being notified of the acceptance of his Tender, failed or refused to sign a contract when called upon to do so in terms of any condition forming part of the Tender documents;
- c) has carried out any contract resulting from such Tender in an unsatisfactory manner or has breached any condition of the contract;
- d) has offered, promised or given a bribe in relation to the obtaining or execution of the contract;
- e) has acted in a fraudulent or improper manner or in bad faith towards Transnet or any Government Department or towards any public body, Enterprise or person;
- f) has made any incorrect statement in a certificate or other communication with regard to the Local Content of his Goods or his B-BBEE status and is unable to prove to the satisfaction of Transnet that:
  - (i) he made the statement in good faith honestly believing it to be correct; and
  - (ii) before making such statement he took all reasonable steps to satisfy himself of its correctness;
- g) caused Transnet damage, or to incur costs in order to meet the contractor's requirements and which could not be recovered from the contractor;
- h) has litigated against Transnet in bad faith.

6.8 Grounds for blacklisting include a company/person recorded as being a company or person prohibited from doing business with the public sector on National



Treasury's database of Restricted Service Providers or Register of Tender Defaulters.

- 6.9 Companies associated with the person/s guilty of misconduct (i.e. entities owned, controlled or managed by such persons), any companies subsequently formed by the person(s) guilty of the misconduct and/or an existing company where such person(s) acquires a controlling stake may be considered for blacklisting. The decision to extend the blacklist to associated companies will be at the sole discretion of Transnet.

## **7 PREVIOUS TRANSGRESSIONS**

- 7.1 The Tenderer/Service Provider/Contractor hereby declares that no previous transgressions resulting in a serious breach of any law, including but not limited to, corruption, fraud, theft, extortion and contraventions of the Competition Act 89 of 1998, which occurred in the last 5 (five) years with any other public sector undertaking, government department or private sector company that could justify its exclusion from its registration on the Tenderer's/Service Provider's/Contractor's database or any tendering process.
- 7.2 If it is found to be that the Tenderer/Service Provider/Contractor made an incorrect statement on this subject, the Tenderer/Service Provider/Contractor can be rejected from the registration process or removed from the Tenderer/Service Provider/Contractor database, if already registered, for such reason (refer to the Breach of Law Returnable Form contained in the document.)

## **8 SANCTIONS FOR VIOLATIONS**

- 8.1 Transnet shall also take all or any one of the following actions, wherever required to:
- a) Immediately exclude the Tenderer/Service Provider/Contractor from the tendering process or call off the pre-contract negotiations without giving any compensation the Tenderer/Service Provider/Contractor. However, the proceedings with the other Tenderer/Service Provider/Contractor may continue;
  - b) Immediately cancel the contract, if already awarded or signed, without giving any compensation to the Tenderer/Service Provider/Contractor;
  - c) Recover all sums already paid by Transnet;
  - d) Encash the advance bank guarantee and performance bond or warranty bond, if furnished by the Tenderer/Service Provider/Contractor, in order to recover the payments, already made by Transnet, along with interest;
  - e) Cancel all or any other contracts with the Tenderer/Service Provider/Contractor; and

- f) Exclude the Tenderer/ Service Provider/Contractor from entering into any Tender with Transnet in future.

## **9 CONFLICTS OF INTEREST**

- 9.1 A conflict of interest includes, inter alia, a situation in which:
- a) A Transnet employee has a personal financial interest in a tendering / supplying entity; and
  - b) A Transnet employee has private interests or personal considerations or has an affiliation or a relationship which affects, or may affect, or may be perceived to affect his / her judgment in action in the best interest of Transnet, or could affect the employee's motivations for acting in a particular manner, or which could result in, or be perceived as favouritism or nepotism.
- 9.2 A Transnet employee uses his / her position, or privileges or information obtained while acting in the capacity as an employee for:
- a) Private gain or advancement; or
  - b) The expectation of private gain, or advancement, or any other advantage accruing to the employee must be declared in a prescribed form.

Thus, conflicts of interest of any Tender committee member or any person involved in the sourcing process must be declared in a prescribed form.

- 9.3 If a Tenderer/Service Provider/Contractor has or becomes aware of a conflict of interest i.e. a family, business and / or social relationship between its owner(s)/ member(s)/director(s)/partner(s)/shareholder(s) and a Transnet employee/ member of Transnet's Board of Directors in respect of a Tender which will be considered for the Tender process, the Tenderer/Service Provider/ Contractor:
- a) must disclose the interest and its general nature, in the Request for Proposal ("RFX") declaration form; or
  - b) must notify Transnet immediately in writing once the circumstances has arisen.
- 9.4 The Tenderer/Service Provider/Contractor shall not lend to or borrow any money from or enter into any monetary dealings or transactions, directly or indirectly, with any committee member or any person involved in the sourcing process, where this is done, Transnet shall be entitled forthwith to rescind the contract and all other contracts with the Tenderer/Service Provider/Contractor.

## **10 DISPUTE RESOLUTION**

- 10.1 Transnet recognises that trust and good faith are pivotal to its relationship with its Tenderer / Service Provider / Contractor. When a dispute arises between Transnet and its Tenderer / Service Provider / Contractor, the parties should use their best endeavours to resolve the dispute in an amicable manner, whenever possible. Litigation in bad faith negates the principles of trust and good faith on

which commercial relationships are based. Accordingly, following a blacklisting process as mentioned in paragraph 6 above, Transnet will not do business with a company that litigates against it in bad faith or is involved in any action that reflects bad faith on its part. Litigation in bad faith includes, but is not limited to the following instances:

- a) **Vexatious proceedings:** these are frivolous proceedings which have been instituted without proper grounds;
- b) **Perjury:** where a Tenderer / Service Provider / Contractor make a false statement either in giving evidence or on an affidavit;
- c) **Scurrilous allegations:** where a Tenderer / Service Provider / Contractor makes allegations regarding a senior Transnet employee which are without proper foundation, scandalous, abusive or defamatory; and
- d) **Abuse of court process:** when a Tenderer / Service Provider / Contractor abuses the court process in order to gain a competitive advantage during a Tender process.

## 11 GENERAL

- 11.1 This Integrity Pact is governed by and interpreted in accordance with the laws of the Republic of South Africa.
- 11.2 The actions stipulated in this Integrity Pact are without prejudice to any other legal action that may follow in accordance with the provisions of the law relating to any civil or criminal proceedings.
- 11.3 The validity of this Integrity Pact shall cover all the tendering processes and will be valid for an indefinite period unless cancelled by either Party.
- 11.4 Should one or several provisions of this Integrity Pact turn out to be invalid the remainder of this Integrity Pact remains valid.
- 11.5 Should a Tenderer/Service Provider/Contractor be confronted with dishonest, fraudulent or corruptive behaviour of one or more Transnet employees, Transnet expects its Tenderer/Service Provider/Contractor to report this behaviour directly to a senior Transnet official/employee or alternatively by using Transnet's "Tip-Off Anonymous" hotline number 0800 003 056, whereby your confidentiality is guaranteed.



The Parties hereby declare that each of them has read and understood the clauses of this Integrity Pact and shall abide by it. To the best of the Parties' knowledge and belief, the information provided in this Integrity Pact is true and correct.

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I ..... duly authorised by the tendering entity, hereby certify that the tendering entity are **fully acquainted** with the contents of the Integrity Pact and further **agree to abide by it** in full.

Signature .....

Date .....

## **T2.2-16 : Supplier Code of Conduct**

Transnet SOC Limited aims to achieve the best value for money when buying or selling goods and obtaining services. This however must be done in an open and fair manner that supports and drives a competitive economy. Underpinning our process are several acts and policies that any supplier dealing with Transnet must understand and support. These are:

- The Transnet Procurement Policy – A guide for Tenderers.
- Section 217 of the Constitution - the five pillars of Public PSCM (Procurement and Supply Chain Management): fair, equitable, transparent, competitive and cost effective;
- The Public Finance Management Act (PFMA);
- The Broad Based Black Economic Empowerment Act (BBBEE)
- The Prevention and Combating of Corrupt Activities Act (PRECCA); and
- The Construction Industry Development Board Act (CIDB Act).

This code of conduct has been included in this contract to formally appraise Transnet Suppliers of Transnet's expectations regarding behaviour and conduct of its Suppliers.

### ***Prohibition of Bribes, Kickbacks, Unlawful Payments, and Other Corrupt Practices***

Transnet is in the process of transforming itself into a self-sustaining State Owned Enterprise, actively competing in the logistics industry. Our aim is to become a world class, profitable, logistics organisation. As such, our transformation is focused on adopting a performance culture and to adopt behaviours that will enable this transformation.

#### ***1. Transnet SOC Limited will not participate in corrupt practices. Therefore, it expects its suppliers to act in a similar manner.***

- Transnet and its employees will follow the laws of this country and keep accurate business records that reflect actual transactions with, and payments to, our suppliers.
- Employees must not accept or request money or anything of value, directly or indirectly, from suppliers.
- Employees may not receive anything that is calculated to:
  - Illegally influence their judgement or conduct or to ensure the desired outcome of a sourcing activity;

- 
- Win or retain business or to influence any act or decision of any person involved in sourcing decisions; or
  - Gain an improper advantage.
  - There may be times when a supplier is confronted with fraudulent or corrupt behaviour of Transnet employees. We expect our Suppliers to use our "Tip-offs Anonymous" Hot line to report these acts. (0800 003 056).

**2. *Transnet SOC Limited is firmly committed to the ideas of free and competitive enterprise.***

- Suppliers are expected to comply with all applicable laws and regulations regarding fair competition and antitrust practices.
- Transnet does not engage with non-value adding agents or representatives solely for the purpose of increasing BBBEE spend (fronting).

**3. *Transnet's relationship with suppliers requires us to clearly define requirements, to exchange information and share mutual benefits.***

- Generally, suppliers have their own business standards and regulations. Although Transnet cannot control the actions of our suppliers, we will not tolerate any illegal activities. These include, but are not limited to:
  - Misrepresentation of their product (origin of manufacture, specifications, intellectual property rights, etc);
  - Collusion;
  - Failure to disclose accurate information required during the sourcing activity (ownership, financial situation, BBBEE status, etc.);
  - Corrupt activities listed above; and
  - Harassment, intimidation or other aggressive actions towards Transnet employees.
- Suppliers must be evaluated and approved before any materials, components, products or services are purchased from them. Rigorous due diligence is conducted and the supplier is expected to participate in an honest and straight forward manner.
- Suppliers must record and report facts accurately, honestly and objectively. Financial records must be accurate in all material respects.

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***Conflicts of Interest***

A conflict of interest arises when personal interests or activities influence (or appear to influence) the ability to act in the best interests of Transnet SOC Limited.

- Doing business with family members.
- Having a financial interest in another company in our industry

Where possible, contracts will be negotiated to include the above in the terms of such contracts. To the extent such terms are not included in contractual obligations and any of the above code is breached, then Transnet reserves its right to review doing business with these suppliers.

I, \_\_\_\_\_ of \_\_\_\_\_  
*(insert name of Director or as per Authority Resolution from Board of Directors)* *(insert name of Company)*

hereby acknowledge having read, understood and agree to the terms and conditions set out in the "Transnet Supplier Code of Conduct."

Signed this on day \_\_\_\_\_ at \_\_\_\_\_

\_\_\_\_\_  
Signature

## T2.2-17: Insurance provided by the *Contractor*

Clause 84.1 in NEC3 Engineering & Construction Contract (June 2005)(amended June 2006 and April 2013) requires that the *Contractor* provides the insurance stated in the insurance table except any insurance which the *Employer* is to provide as stated in the Contract Data.

Please provide the following details for insurance which the *Contractor* is still to provide. Notwithstanding this information all costs related to insurance are deemed included in the tenderer's rates and prices.

| Insurance against<br>(See clause 84.2 of the ECC)   | Name of Insurance<br>Company | Cover | Premium |
|---|------------------------------|-------|---------|
| Liability for death of or bodily injury to employees of the <i>Contractor</i> arising out of and in the course of their employment in connection with this contract   |                              |       |         |
| Motor Vehicle Liability Insurance comprising (as a minimum) "Balance of Third Party" Risks including Passenger and Unauthorised Passenger Liability indemnity with a minimum indemnity limit of R5 000 000. |                              |       |         |
| Insurance in respect of loss of or damage to own property and equipment.  |                              |       |         |
| (Other)   |                              |       |         |



## T2.2-18 SUPPLIER DECLARATION FORM

Transnet Vendor Management has received a request to load / change your company details onto the Transnet vendor master database. Please return the completed Supplier Declaration Form (SDF) together with the required supporting documents as per Appendix A to the Transnet Official who is intending to procure your company's services / products, to enable us to process this request. Please only submit the documentation relevant to your request.

**Please Note:** all organisations, institutions and individuals who wish to provide goods and/or services to organs of the State must be registered on the National Treasury's Central Supplier Database (CSD). This needs to be done via their portal at <https://secure.csd.gov.za/> **before applying to Transnet.**

### General Terms and Conditions:

**Please Note:** Failure to submit the relevant documentation will delay the vendor creation / change process.

Where applicable, the respective Transnet Operating Division processing your application may request further or additional information from your company.

The Service Provider warrants that the details of its bank account ("the nominated account") provided herein, are correct and acknowledges that payments due to the Supplier will be made into the nominated account. If details of the nominated account should change, the Service Provider must notify Transnet in writing of such change, failing which any payments made by Transnet into the nominated account will constitute a full discharge of the indebtedness of Transnet to the Supplier in respect of the payment so made. Transnet will incur no liability for any payments made to the incorrect account or any costs associated therewith. In such an event, the Service Provider indemnifies and holds Transnet harmless in respect of any payments made to an incorrect bank account and will, on demand, pay Transnet any costs associated herewith.

Transnet expects its suppliers to timeously renew their Tax Clearance and B-BBEE certificates (Large Enterprises and QSEs less than 51% black owned) as well as sworn affidavits in the case of EMEs and QSEs with more than 51% black ownership as per Appendices C and D.



**In addition, please take note of the following very important information:**

**1. If your annual turnover is R10 million or less,** then in terms of the DTI Generic Codes of Good Practice, you are classified as an Exempted Micro Enterprise (EME). If your company is classified as an EME, please include in your submission a sworn affidavit confirming your company's most recent annual turnover is less than R10 million and percentage of black ownership and black female ownership in the company (Appendix C) OR B-BBEE certificate issued by a verification agency accredited by SANAS in terms of the EME scorecard should you feel you will be able to attain a better B-BBEE score. It is only in this context that an EME may submit a B-BBEE verification certificate. These EME sworn affidavits must be accepted by the . Government introduced this mechanism specifically to reduce the cost of doing business and regulatory burden for these entities and the template for the sworn affidavit is available at no cost on the website [www.thedti.gov.za](http://www.thedti.gov.za) or EME certificates at CIPC from [www.cipic.co.za](http://www.cipic.co.za).

The B-BBEE Commission said "that only time an EME can be verified by a SANAS accredited verification professional is when it wishes to maximise its B-BBEE points and move to a higher B-BBEE recognition level, and that must be done use the QSE Scorecard".

**2. If your annual turnover is between R10 million and R50 million,** then in terms of the DTI codes, you are classified as a Qualifying Small Enterprise (QSE). A QSE which is at least 51% black owned, is required to submit a sworn affidavit confirming their annual total revenue of between R10 million and R50 million and level of black ownership (Appendix D). QSE that does not qualify for 51% of black ownership, are required to submit a B-BBEE verification certificate issued by a verification agency accredited by SANAS their QSEs are required to submit a B-BBEE verification certificate issued by a verification agency accredited by SANAS.

**Please Note:** B-BBEE certificate and detailed scorecard should be obtained from an accredited rating agency (e.g. SANAS Member).

**3. If your annual turnover exceeds R50 million,** then in terms of the DTI codes, you are classified as a Large Enterprise. Large Enterprises are required to submit a B-BBEE level verification certificate issued by a verification agency accredited by SANAS.

**Please Note:** B-BBEE certificate and detailed scorecard should be obtained from an accredited rating agency (e.g. SANAS Member).

**4. The supplier to furnish proof to the procurement department as required in the Fourth Schedule of the Income Tax Act. 58 of 1962** whether a supplier of service is to be classified as an "employee", "personal service provider" or "labour broker". Failure to do so will result in the supplier being subject to employee's tax.

Transnet Port Terminal  
Tender Number: PPA - 122409

Description of the works: supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.



5. **No payments can be made to a vendor until the** vendor has been registered / updated, and no vendor can be registered / updated until the vendor application form, together with its supporting documentation, has been received and processed. No payments can be made to a vendor until the vendor has met / comply with the procurement requirements.

6. It is in line with PPPFA Regulations, only valid B-BBEE status level certificate issued by an unauthorised body or person OR a sworn affidavit as prescribed by the B-BBEE Codes of Good Practice, OR any other requirement prescribed in terms of the Broad- Based Black Economic Empowerment Act.

7. The B-BBEE Commission advises entities and organs of state to reject B-BBEE certificates that have been issued by verification agencies or professionals who are not accredited by South African National Accreditation Systems ("SANAS) as such B-BBEE certificates are invalid for lack of authority and mandate to issue them. A list of SANAS Accredited agencies is available on the SANAS website at [www.sanas.co.za](http://www.sanas.co.za).

8. Presenting banking details. Please note: Banks have decided to enable the customers and provide the ability for customers to generate Account Confirmation/Bank Account letters via their online platform; this is a digital approach to the authentication of banking details.



## SUPPLIER DECLARATION FORM

### Supplier Declaration Form

**Important Notice:** all organisations, institutions and individuals who wish to provide goods and/or services to organs of the State must be registered on the National Treasury Central Supplier Database (CSD). This needs to be done via their portal at <https://secure.csd.gov.za/> **before applying to Transnet.**

CSD Number (MAAA xxxxxxx):

|   |                           |                        |                       |               |                       |                       |
|---|---------------------------|------------------------|-----------------------|---------------|-----------------------|-----------------------|
| Company Trading Name                                  |                           |                        |                       |               |                       |                       |
| Company Registered Name                               |                           |                        |                       |               |                       |                       |
| Company Registration No Or ID No If a Sole Proprietor |                           |                        |                       |               |                       |                       |
| Company Income Tax Number                             |                           |                        |                       |               |                       |                       |
| Form of Entity  | CC                        | Trust                  | Pty Ltd               | Limited       | Partnership           | Sole Proprietor       |
|   | Non-profit (NPO's or NPC) | Personal Liability Co  | State Owned Co        | National Govt | Provincial Govt       | Local Govt            |
|   | Educational Institution   | Specialised Profession | Financial Institution | Joint Venture | Foreign International | Foreign Branch Office |

Did your company previously operate under another name? Yes  No

If **YES** state the previous details below:

|   |                           |                        |                       |               |                       |                       |
|---|---------------------------|------------------------|-----------------------|---------------|-----------------------|-----------------------|
| Trading Name  |                           |                        |                       |               |                       |                       |
| Registered Name                                       |                           |                        |                       |               |                       |                       |
| Company Registration No Or ID No If a Sole Proprietor |                           |                        |                       |               |                       |                       |
| Form of Entity  | CC                        | Trust                  | Pty Ltd               | Limited       | Partnership           | Sole Proprietor       |
|   | Non-profit (NPO's or NPC) | Personal Liability Co  | State Owned Co        | National Govt | Provincial Govt       | Local Govt            |
|   | Educational Institution   | Specialised Profession | Financial Institution | Joint Venture | Foreign International | Foreign Branch Office |

Your Current Company's VAT Registration Status

|   |  |
|---|--|
| VAT Registration Number                                     |  |
| If <b>Exempted from VAT registration</b> , state reason and |  |

Transnet Port Terminal  
Tender Number: PPA - 122409



Description of the works: supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.

|  |  |
|--|--|
| submit proof from SARS in confirming the exemption status  |  |
| If your business entity is not VAT Registered, please submit a current original sworn affidavit (see example in Appendix I). Your Non VAT Registration must be confirmed annually. |  |

|                         |                     |  |
|-------------------------|---------------------|--|
| Company Banking Details | Bank Name           |  |
| Universal Branch Code   | Bank Account Number |  |

|                          |  |      |  |
|--------------------------|--|------|--|
| Company Physical Address |  | Code |  |
| Company Postal Address   |  | Code |  |
| Company Telephone number |  |      |  |
| Company Fax Number       |  |      |  |
| Company E-Mail Address   |  |      |  |
| Company Website Address  |  |      |  |

|                             |  |
|-----------------------------|--|
| Company Contact Person Name |  |
| Designation                 |  |
| Telephone                   |  |
| Email                       |  |

|   |           |           |
|---|-----------|-----------|
| Is your company a Labour Broker?  | Yes       | No        |
| Main Product / Service Supplied e.g. Stationery / Consulting / Labour etc.  |           |           |
| How many personnel does the business employ?  | Full Time | Part Time |
| Please Note: Should your business employ more than 2 full time employees who are not connected persons as defined in the Income Tax Act, please submit a sworn affidavit, as per Appendix II. |           |           |

|  |                           |  |  |
|--|---------------------------|--|--|
| Most recent Financial Year's Annual Turnover | <R10Million<br><b>EME</b> | >R10Million<br><R50Million<br><b>QSE</b> | >R50Million<br><b>Large Enterprise</b> |
|--|---------------------------|--|--|

|  |                         |                                      |                         |          |          |          |          |          |          |
|--|-------------------------|--------------------------------------|-------------------------|----------|----------|----------|----------|----------|----------|
| Does your company have a valid proof of B-BBEE status?     | Yes                     | No                                   |                         |          |          |          |          |          |          |
| Please indicate your Broad Based BEE status (Level 1 to 9) | <b>1</b>                | <b>2</b>                             | <b>3</b>                | <b>4</b> | <b>5</b> | <b>6</b> | <b>7</b> | <b>8</b> | <b>9</b> |
| Majority Race of Ownership                                 |                         |                                      |                         |          |          |          |          |          |          |
| % Black Ownership  | % Black Women Ownership | % Black Disabled person(s) Ownership | % Black Youth Ownership |          |          |          |          |          |          |



Description of the works: supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.

| % Black Unemployed  |  | % Black People Living in Rural Areas |  | % Black Military Veterans |  |  |  |
|---|--|--------------------------------------|--|---------------------------|--|--|--|
| <p><b>Please Note:</b> Please provide proof of B-BBEE status as per Appendix C and D:</p> <ul style="list-style-type: none"> <li>• Large Enterprise and QSEs with less than 51% black ownership need to obtain a B-BBEE certificate and detailed scorecard from an accredited rating agency;</li> <li>• EMEs and QSEs with at least 51% black ownership may provide an affidavit using the templates provided in Appendix C and D respectively;</li> <li>• Black Disabled person(s) ownership will only be accepted if accompanied with a certified letter signed by a physician on the physician's letterhead confirming the disability;</li> <li>• A certified South African identification document will be required for all Black Youth Ownership.</li> </ul> |  |                                      |  |                           |  |  |  |

| <b>Supplier Development Information Required</b>  |  |
|---|--|
| <b>EMPOWERING SUPPLIER</b>  | YES <input type="radio"/> NO <input type="radio"/> |
| <p>An Empowering Supplier is a B-BBEE compliant Entity which complies with at least three criteria if it is a large Entity, or one criterion if it is a Qualifying Small Enterprise ("QSE"), as detailed in Statement 400 of the New Codes.</p> <p>In terms of the requirements of an Empowering Supplier, numerous companies found it challenging to meet the target of 25% transformation of raw materials or beneficiation including local manufacturing, particularly so, if these companies imported goods or products from offshore. The matter was further compounded by the requirement for 25% of Cost of Sales, excluding labour cost and depreciation, to be procured from local producers or suppliers.</p> |  |
| <b>FIRST TIME SUPPLIER</b>  | YES <input type="radio"/> NO <input type="radio"/> |
| <p>A supplier that we haven't as yet Traded within Transnet and will be registered via our database for the 1<sup>st</sup> time.</p>  |  |
| <b>SUPPLIER DEVELOPMENT PLAN</b>  | YES <input type="radio"/> NO <input type="radio"/> |
| <p>Supplier Development Plan is a plan that when we as Transnet award a supplier a long term contract depending on the complexity of the Transaction. We will negotiate supplier development obligations that they must meet throughout the contract duration. e.g. we might request that they (create jobs or do skills development or encourage procurement from designated groups. (BWO, BYO &amp; BDO etc.).</p>  |  |
| <b>DEVELOPMENT PLAN DOCUMENT</b>  | YES <input type="radio"/> NO <input type="radio"/> |



Description of the works: supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.

|   |  |
|---|--|
| Agreed plan that will be crafted with the supplier in regards to their development (It could be for ED OR SD in terms of their developmental needs they may require with the company.   | *If Yes- Attach supporting documents               |
| <b>ENTERPRISE DEVELOPMENT BENEFICIARY</b><br>A supplier that is not as yet in our value chain that we are assisting in their developmental area.  | YES <input type="radio"/> NO <input type="radio"/> |
| <b>SUPPLIER DEVELOPMENT BENEFICIARY</b><br>A supplier that we are already doing business with or transacting with and we are also assisting them assisting them in their developmental area e.g. (They might require training or financial assistance etc.) | YES <input type="radio"/> NO <input type="radio"/> |
| <b>GRADUATION FROM ED TO SD BENEFICIARY</b><br>When a supplier that we assisted with as an ED beneficiary then gets awarded a business and we start Transacting with.   | YES <input type="radio"/> NO <input type="radio"/> |
| <b>ENTERPRISE DEVELOPMENT RECIPIENT</b><br>A supplier that isn't in our value chain as yet but we have assisted them with an ED intervention  | YES <input type="radio"/> NO <input type="radio"/> |

|  |  |             |  |
|--|--|-------------|--|
| <b>By signing below, I hereby verify that I am duly authorised to sign for and on behalf of firm / organisation and that all information contained herein and attached herewith are true and correct</b> |  |             |  |
| Name and Surname   |  | Designation |  |
| Signature  |  | Date        |  |

Transnet Port Terminal  
Tender Number: PPA - 122409

Description of the works: supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.



## APPENDIX B

Affidavit or Solemn Declaration as to VAT registration status

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### Affidavit or Solemn Declaration

I, \_\_\_\_\_ solemnly swear/declare that \_\_\_\_\_ is not a registered VAT vendor and is not required to register as a VAT vendor because the combined value of taxable supplies made by the provider in any 12 month period has not exceeded or is not expected to exceed R1million threshold, as required in terms of the Value Added Tax Act.

Signature: \_\_\_\_\_

Designation: \_\_\_\_\_

Date: \_\_\_\_\_

### Commissioner of Oaths

Thus signed and sworn to before me at \_\_\_\_\_ on this the \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_\_,

the Deponent having knowledge that he/she knows and understands the contents of this Affidavit, and that he/she has no objection to taking the prescribed oath, which he/she regards binding on his/her conscience and that the allegations herein contained are all true and correct.

\_\_\_\_\_  
Commissioner of Oaths



**APPENDIX C**

**SWORN AFFIDAVIT – B-BBEE QUALIFYING SMALL ENTERPRISE – GENERAL**

I, the undersigned,

|                                |  |
|--------------------------------|--|
| <b>Full name &amp; Surname</b> |  |
| <b>Identity number</b>         |  |

Hereby declare under oath as follows:

1. The contents of this statement are to the best of my knowledge a true reflection of the facts.
2. I am a Member / Director / Owner of the following enterprise and am duly authorised to act on its behalf:

|  |   |
|--|---|
| <b>Enterprise Name:</b>                                |   |
| <b>Trading Name (If Applicable):</b>                   |   |
| <b>Registration Number:</b>                            |   |
| <b>Enterprise Physical Address:</b>                    |   |
| <b>Type of Entity (CC, (Pty) Ltd, Sole Prop etc.):</b> |   |
| <b>Nature of Business:</b>                             |   |
| <b>Definition of "Black People"</b>                    | As per the Broad-Based Black Economic Empowerment Act 53 of 2003 as Amended by Act No 46 of 2013 "Black People" is a generic term which means Africans, Coloureds and Indians –<br><br>(a) who are citizens of the Republic of South Africa by birth or descent;<br>or<br>(b) who became citizens of the Republic of South Africa by naturalisation-<br>i. before 27 April 1994; or<br>ii. on or after 27 April 1994 and who would have been entitled to acquire citizenship by naturalization prior to that date;" |



|  |  |
|--|--|
| <b>Definition of "Black Designated Groups"</b> | <p>Black Designated Groups means:</p> <ul style="list-style-type: none"> <li>(a) unemployed black people not attending and not required by law to attend an educational institution and not awaiting admission to an educational institution;</li> <li>(b) Black people who are youth as defined in the National Youth Commission Act of 1996;</li> <li>(c) Black people who are persons with disabilities as defined in the Code of Good Practice on employment of people with disabilities issued under the Employment Equity Act;</li> <li>(d) Black people living in rural and under developed areas;</li> <li>(e) Black military veterans who qualifies to be called a military veteran in terms of the Military Veterans Act 18 of 2011;"</li> </ul> |
|--|--|

3. I hereby declare under Oath that:

- The Enterprise is \_\_\_\_\_ % Black Owned as per Amended Code Series 100 of the Amended Codes of Good Practice issued under section 9 (1) of B-BBEE Act No 53 of 2003 as Amended by Act No 46 of 2013,
- The Enterprise is \_\_\_\_\_ % Black Female Owned as per Amended Code Series 100 of the Amended Codes of Good Practice issued under section 9 (1) of B-BBEE Act No 53 of 2003 as Amended by Act No 46 of 2013,
- The Enterprise is \_\_\_\_\_ % Black Designated Group Owned as per Amended Code Series 100 of the Amended Codes of Good Practice issued under section 9 (1) of B-BBEE Act No 53 of 2003 as Amended by Act No 46 of 2013,
- Black Designated Group Owned % Breakdown as per the definition stated above:
- Black Youth % = \_\_\_\_\_ %
- Black Disabled % = \_\_\_\_\_ %
- Black Unemployed % = \_\_\_\_\_ %
- Black People living in Rural areas % = \_\_\_\_\_ %
- Black Military Veterans % = \_\_\_\_\_ %

Transnet Port Terminal  
Tender Number: PPA - 122409



Description of the works: supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.

- Based on the Financial Statements/Management Accounts and other information available on the latest financial year-end of \_\_\_\_\_, the annual Total Revenue was between R10,000,000.00 (Ten Million Rands) and R50,000,000.00 (Fifty Million Rands),

- Please confirm on the table below the B-BBEE level contributor, **by ticking the applicable box.**

|                          |  |  |
|--------------------------|--|--|
| 100% Black Owned         | <b>Level One</b> (135% B-BBEE procurement recognition level) |  |
| At Least 51% black owned | <b>Level Two</b> (125% B-BBEE procurement recognition level) |  |

4. I know and understand the contents of this affidavit and I have no objection to take the prescribed oath and consider the oath binding on my conscience and on the owners of the enterprise which I represent in this matter.

5. The sworn affidavit will be valid for a period of 12 months from the date signed by commissioner.

**Deponent Signature**

.....

**Date**

.....

---

**Commissioner of Oaths**

Signature & stamp

Transnet Port Terminal  
Tender Number: PPA - 122409

Description of the works: supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.



## APPENDIX D

### **SWORN AFFIDAVIT – B-BBEE EXEMPTED MICRO ENTERPRISE – GENERAL**

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I, the undersigned,

|                                |  |
|--------------------------------|--|
| <b>Full name &amp; Surname</b> |  |
| <b>Identity number</b>         |  |

Hereby declare under oath as follows:

1. The contents of this statement are to the best of my knowledge a true reflection of the facts.

2. I am a Member / Director / Owner of the following enterprise and am duly authorised to act on its behalf:

|  |  |
|--|--|
| <b>Enterprise Name:</b>                                |  |
| <b>Trading Name (If Applicable):</b>                   |  |
| <b>Registration Number:</b>                            |  |
| <b>Enterprise Physical Address:</b>                    |  |
| <b>Type of Entity (CC, (Pty) Ltd, Sole Prop etc.):</b> |  |
| <b>Nature of Business:</b>                             |  |

|   |  |
|---|--|
| <p><b>Definition of "Black People"</b></p>            | <p>As per the Broad-Based Black Economic Empowerment Act 53 of 2003 as Amended by Act No 46 of 2013 "Black People" is a generic term which means Africans, Coloureds and Indians –</p> <p>(a) who are citizens of the Republic of South Africa by birth or descent;</p> <p>or</p> <p>(b) who became citizens of the Republic of South Africa by naturalisation-</p> <p>i. before 27 April 1994; or</p> <p>ii. on or after 27 April 1994 and who would have been entitled to acquire citizenship by naturalization prior to that date;"</p>   |
| <p><b>Definition of "Black Designated Groups"</b></p> | <p>"Black Designated Groups means:</p> <p>(a) unemployed black people not attending and not required by law to attend an educational institution and not awaiting admission to an educational institution;</p> <p>(b) Black people who are youth as defined in the National Youth Commission Act of 1996;</p> <p>(c) Black people who are persons with disabilities as defined in the Code of Good Practice on employment of people with disabilities issued under the Employment Equity Act;</p> <p>(d) Black people living in rural and under developed areas;</p> <p>(e) Black military veterans who qualifies to be called a military veteran in terms of the Military Veterans Act 18 of 2011;"</p> |

3. I hereby declare under Oath that:

- The Enterprise is \_\_\_\_\_ % Black Owned as per Amended Code Series 100 of the Amended Codes of Good Practice issued under section 9 (1) of B-BBEE Act No 53 of 2003 as Amended by Act No 46 of 2013,
- The Enterprise is \_\_\_\_\_ % Black Female Owned as per Amended Code Series 100 of the Amended Codes of Good Practice issued under section 9 (1) of B-BBEE Act No 53 of 2003 as Amended by Act No 46 of 2013,



Description of the works: supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.

- The Enterprise is \_\_\_\_\_% Black Designated Group Owned as per Amended Code Series 100 of the Amended Codes of Good Practice issued under section 9 (1) of B-BBEE Act No 53 of 2003 as Amended by Act No 46 of 2013,
- Black Designated Group Owned % Breakdown as per the definition stated above:
- Black Youth % = \_\_\_\_\_%
- Black Disabled % = \_\_\_\_\_%
- Black Unemployed % = \_\_\_\_\_%
- Black People living in Rural areas % = \_\_\_\_\_%
- Black Military Veterans % = \_\_\_\_\_%
- Based on the Financial Statements/Management Accounts and other information available on the latest financial year-end of \_\_\_\_\_, the annual Total Revenue was R10,000,000.00 (Ten Million Rands) or less
- Please Confirm on the below table the B-BBEE Level Contributor, **by ticking the applicable box.**

|                           |   |  |
|---------------------------|---|--|
| 100% Black Owned          | <b>Level One</b> (135% B-BBEE procurement recognition)        |  |
| At least 51% Black Owned  | <b>Level Two</b> (125% B-BBEE procurement recognition level)  |  |
| Less than 51% Black Owned | <b>Level Four</b> (100% B-BBEE procurement recognition level) |  |

4. I know and understand the contents of this affidavit and I have no objection to take the prescribed oath and consider the oath binding on my conscience and on the Owners of the Enterprise which I represent in this matter.

Transnet Port Terminal  
Tender Number: PPA - 122409

Description of the works: supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.



5. The sworn affidavit will be valid for a period of 12 months from the date signed by commissioner.

**Deponent Signature**

.....

**Date**

.....

---

**Commissioner of Oaths**

Signature & stamp



Description of the works: supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.

VENDOR REGISTRATION DOCUMENTS CHECKLIST

**Please note that you will have to provide the first two documents on the list (highlighted in red) and the rest will be provided by the supplier:**

|  | Yes | No |
|--|-----|----|
| 1. Complete the "Supplier Declaration Form" (SDF) (commissioned). See attachment.  |     |    |
| 2. Complete the "Supplier Code of Conduct" (SCC). See attachment.  |     |    |
| 3. Copy of cancelled cheque OR letter from the bank verifying banking details (with <b>bank stamp not older than 3 Months &amp; sign by Bank Teller</b> ). |     |    |
| 4. Certified ( <b>Not Older than 3 Months</b> ) copy of Identity document of Shareholders/Directors/Members (where applicable).                            |     |    |
| 5. Certified copy of certificate of incorporation, CM29 / CM9 (name change).   |     |    |
| 6. Certified copy of share Certificates of Shareholders, CK1 / CK2 (if CC).  |     |    |
| 7. A letter with the company's letterhead confirming both <b>Physical</b> and <b>Postal</b> address.   |     |    |
| 8. Original or certified copy of SARS Tax Clearance certificate and Vat registration certificate.  |     |    |
| 9. BBBEE certificate and detailed scorecard from a <b>SANAS</b> Accredited Verification Agency and/or Sworn Certified Affidavit.                           |     |    |
| 10. Central Supplier Database (CSD) Summary Registration Report.   |     |    |

## C1.1: Form of Offer & Acceptance

### Offer

The Employer, identified in the Acceptance signature block, has solicited offers to enter into a contract for the procurement of:

#### Title of the Contract

The tenderer, identified in the Offer signature block, has

|               |   |
|---------------|---|
| <i>either</i> | examined the documents listed in the Tender Data and addenda thereto as listed in the Returnable Schedules, and by submitting this Offer has accepted the Conditions of Tender. |
| <i>or</i>     | examined the draft contract as listed in the Acceptance section and agreed to provide this Offer.   |

By the representative of the tenderer, deemed to be duly authorised, signing this part of this Form of Offer and Acceptance the tenderer offers to perform all of the obligations and liabilities of the *Contractor* under the contract including compliance with all its terms and conditions according to their true intent and meaning for an amount to be determined in accordance with the *conditions of contract* identified in the Contract Data.

|   |          |
|---|----------|
| The offered total of the Prices exclusive of VAT is | <b>R</b> |
| Value Added Tax @ 15% is                            | <b>R</b> |
| The offered total of the Prices inclusive of VAT is | <b>R</b> |
| (in words)  |          |

This Offer may be accepted by the Employer by signing the Acceptance part of this Form of Offer and Acceptance and returning one copy of this document including the Schedule of Deviations (if any) to the tenderer before the end of the period of validity stated in the Tender Data, or other period as agreed, whereupon the tenderer becomes the party named as the *Contractor* in the *conditions of contract* identified in the Contract Data.

Transnet Port Terminal  
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---

Signature(s)

Name(s)

Capacity

**For the  
tenderer:**

*(Insert name and address of organisation)*

Name &  
signature of  
witness

Date

Tenderer's CIDB registration number:

## Acceptance

By signing this part of this Form of Offer and Acceptance, the *Employer* identified below accepts the tenderer's Offer. In consideration thereof, the *Employer* shall pay the *Contractor* the amount due in accordance with the *conditions of contract* identified in the Contract Data. Acceptance of the tenderer's Offer shall form an agreement between the *Employer* and the tenderer upon the terms and conditions contained in this agreement and in the contract that is the subject of this agreement.

The terms of the contract, are contained in:

|         |  |
|---------|--|
| Part C1 | Agreements and Contract Data, (which includes this Form of Offer and Acceptance) |
| Part C2 | Pricing Data   |
| Part C3 | Scope of Work: Works Information   |
| Part C4 | Site Information   |

and drawings and documents (or parts thereof), which may be incorporated by reference into the above listed Parts.

Deviations from and amendments to the documents listed in the Tender Data and any addenda thereto listed in the Returnable Schedules as well as any changes to the terms of the Offer agreed by the tenderer and the Employer during this process of offer and acceptance, are contained in the Schedule of Deviations attached to and forming part of this Form of Offer and Acceptance. No amendments to or deviations from said documents are valid unless contained in this Schedule.

The tenderer shall within two weeks of receiving a completed copy of this agreement, including the Schedule of Deviations (if any), contact the Employer's agent (whose details are given in the Contract Data) to arrange the delivery of any securities, bonds, guarantees, proof of insurance and any other documentation to be provided in terms of the *conditions of contract* identified in the Contract Data at, or just after, the date this agreement comes into effect. Failure to fulfil any of these obligations in accordance with those terms shall constitute a repudiation of this agreement.

Notwithstanding anything contained herein, this agreement comes into effect on the date when the tenderer receives one fully completed original copy of this document, including the Schedule of Deviations (if any).

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---

Unless the tenderer (now *Contractor*) within five working days of the date of such receipt notifies the Employer in writing of any reason why he cannot accept the contents of this agreement, this agreement shall constitute a binding contract between the Parties.

Signature(s)

Name(s)

Capacity

**for the  
Employer**

Transnet SOC Ltd

*(Insert name and address of organisation)*

Name &  
signature of  
witness

Date

### Schedule of Deviations

Note:

1. To be completed by the Employer prior to award of contract. This part of the Offer & Acceptance would not be required if the contract has been developed by negotiation between the Parties and is not the result of a process of competitive tendering.
2. The extent of deviations from the tender documents issued by the Employer prior to the tender closing date is limited to those permitted in terms of the Conditions of Tender.
3. A tenderer's covering letter must not be included in the final contract document. Should any matter in such letter, which constitutes a deviation as aforesaid be the subject of agreement reached during the process of Offer and Acceptance, the outcome of such agreement shall be recorded here and the final draft of the contract documents shall be revised to incorporate the effect of it.

| No. | Subject | Details |
|-----|---------|---------|
| 1   |         |         |
| 2   |         |         |
| 3   |         |         |
| 4   |         |         |
| 5   |         |         |

By the duly authorised representatives signing this Schedule of Deviations below, the Employer and the tenderer agree to and accept this Schedule of Deviations as the only deviations from and amendments to the documents listed in the Tender Data and any addenda thereto listed in the Tender Schedules, as well as any confirmation, clarification or changes to the terms of the Offer agreed by the tenderer and the Employer during this process of Offer and Acceptance.

Transnet Port Terminal  
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Description of the works: supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.

It is expressly agreed that no other matter whether in writing, oral communication or implied during the period between the issue of the tender documents and the receipt by the tenderer of a completed signed copy of this Form shall have any meaning or effect in the contract between the parties arising from this Agreement.

|                             | <b>For the tenderer:</b>                         | <b>For the Employer</b> |
|-----------------------------|--|-------------------------|
| Signature                   | .....  | .....                   |
| Name                        | .....  | .....                   |
| Capacity                    | .....  | .....                   |
| On behalf of                | <i>(Insert name and address of organisation)</i> | Transnet SOC Ltd        |
| Name & signature of witness | .....  | .....                   |
| Date                        | .....  | .....                   |

## C1.2 Contract Data

### Part one - Data provided by the *Employer*

(Compilers) Please read the relevant clauses in the conditions of contract before you enter data. The number of the principal clause is shown for each statement however other clauses may also use the same data.

| Clause | Statement  | Data  |
|--------|--|---|
| 1      | <b>General</b><br><br>The <i>conditions of contract</i> are the core clauses and the clauses for main Option | <b>A: Priced contract with activity schedule</b>              |
|        | dispute resolution Option<br><br>and secondary Options   | <b>W1: Dispute resolution procedure</b>                       |
|        |  | <b>X2 Changes in the law</b>                                  |
|        |  | <b>X7: Delay damages</b>                                      |
|        |  | <b>X16: Retention</b>   |
|        |  | <b>X18: Limitation of liability</b>                           |
|        |  | <b>Z: <i>Additional conditions of contract</i></b>            |
|        | of the NEC3 Engineering and Construction Contract June 2005 (amended June 2006 and April 2013)               |   |
| 10.1   | The <i>Employer</i> is:  | <b>Transnet SOC Ltd<br/>(Registration No. 1990/000900/30)</b> |

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|          |  |   |
|----------|--|---|
|          | Address  | Registered address:<br><b>Transnet Corporate Centre<br/>138 Eloff Street<br/>Braamfontein<br/>Johannesburg<br/>2000</b>   |
|          | Having elected its Contractual Address for the purposes of this contract as: | <b>Transnet Corporate Centre<br/>138 Eloff Street<br/>Braamfontein<br/>Johannesburg<br/>2000</b>  |
| 10.1     | The <i>Project Manager</i> is: (Name)  | <b>Bheka Gumede</b>   |
|          | Address  | <b>Transnet Port Terminals</b><br>Transnet Port Terminals<br>Gordon's Road<br>Umhlathuze Building<br>Richards Bay, 3900   |
|          | Tel  | <b>TBA</b>  |
|          | e-mail   | <b>TBA</b>  |
| 10.1     | The <i>Supervisor</i> is: (Name)   | <b>Bheka Gumede</b>   |
|          | Address  | <b>Transnet Port Terminals</b><br>Transnet Port Terminals<br>Gordon's Road<br>Umhlathuze Building<br>Richards Bay, 3900   |
|          | Tel No.  | <b>TBA</b>  |
|          | e-mail   | <b>TBA</b>  |
| 11.2(13) | The <i>works</i> are   | <b>Supply and installation of gallery lights, electrical works, and conveyor belt for Transnet Soc Ltd (reg. n0 1990/000900/30) operating as Transnet Port Terminals Richards Bay (hereinafter referred to as "TPT RCB") for Port of Richards Bay – Port Rail Terminal (PRT) as once off.</b> |

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|          |  |  |
|----------|--|--|
| 11.2(14) | The following matters will be included in the Risk Register                | <b>N/A</b>   |
| 11.2(15) | The <i>boundaries of the site</i> are                                      | <b>All related areas necessary for the contractor to provide the works within the boundaries of the Port of Richard Bay.</b> |
| 11.2(16) | The Site Information is in   | <b>Part C4</b>   |
| 11.2(19) | The Works Information is in  | <b>Part C3</b>   |
| 12.2     | The <i>law of the contract</i> is the law of                               | <b>the Republic of South Africa subject to the jurisdiction of the Courts of South Africa.</b>                               |
| 13.1     | The <i>language of this contract</i> is                                    | <b>English</b>   |
| 13.3     | The <i>period for reply</i> is   | <b>2 weeks</b>   |
| <b>2</b> | <b>The Contractor's main responsibilities</b>                              | <b>No additional data is required for this section of the <i>conditions of contract</i>.</b>                                 |
| <b>3</b> | <b>Time</b>  |  |
| 11.2(3)  | The <i>completion date</i> for the whole of the <i>works</i> is            | <b>TBA</b>   |
| 11.2(9)  | The <i>key dates</i> and the <i>conditions to be met</i> are:              | <b>Condition to be met                      key date</b>   |
|          |  | <b>1 Not applicable</b>  |
| 30.1     | The <i>access dates</i> are  | <b>Part of the Site                      Date</b>  |
|          |  | <b>1</b>   |
| 31.1     | The <i>Contractor</i> is to submit a first programme for acceptance within | <b>2 weeks of the Contract Date.</b>   |
| 31.2     | The <i>starting date</i> is  | <b>Upon SHE file approval and receipts of TPT access permits.</b>  |

---

32.2 The *Contractor* submits revised programmes at intervals no **2 weeks** longer than

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35.1 The *Employer* is not willing to take over the *works* before the Completion Date.

---

#### **4 Testing and Defects**

42.2 The *defects date* is **52 (fifty two) weeks after Completion of the whole of the *works*.**

---

43.2 The *defect correction period* is **2 weeks**

---

#### **5 Payment**

50.1 The *assessment interval* is **25<sup>th</sup> (twenty fifth) day of each successive monthly on the month.**

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51.1 The *currency of this contract* is **South African Rand.**  
the

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51.2 The period within which payments are made is **Payment will be effected on or before the last day of the month following the month during which a valid Tax Invoice and Statement were received.**

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51.4 The *interest rate* is **the prime lending rate of Standard Bank of South Africa.**

---

#### **6 Compensation events**

60.1(13) The *weather measurements* to be recorded for each calendar month are, **the cumulative rainfall (mm)**

**the number of days with rainfall more than 10 mm**

**the number of days with minimum air temperature less than 0 degrees Celsius**

**the number of days with snow lying at 08:00 hours South African Time**

**and these measurements:**

The place where weather is to be recorded (on the Site ) is:

**Richards Bay Port Terminals**

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The *weather data* are the records of past *weather measurements* for each calendar month which **Richards Bay** were recorded at:

and which are available from: **South African Weather Service 012 367 6023** or [info3@weathersa.co.za](mailto:info3@weathersa.co.za).

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|          |  |   |
|----------|--|---|
| <b>7</b> | <b>Title</b>   | <b>No additional data is required for this section of the <i>conditions of contract</i>.</b>  |
| <b>8</b> | <b>Risks and insurance</b>   |   |
| 80.1     | These are additional <i>Employer's</i> risks                           | <b>Not Applicable</b>   |
| 84.1     | The <i>Employer</i> provides these insurances from the Insurance Table |   |
| 1        | Insurance against:   | <b>Loss of or damage to the <i>works</i>, Plant and Materials is as stated in the Insurance policy for Contract Works/ Public Liability.</b>  |
|          | Cover / indemnity:   | <b>to the extent as stated in the insurance policy for Contract Works / Public Liability</b>  |
|          | The deductibles are:   | <b>as stated in the insurance policy for Contract Works / Public Liability</b>  |
| 2        | Insurance against:   | <b>Loss of or damage to property (except the <i>works</i>, Plant and Materials &amp; Equipment) and liability for bodily injury to or death of a person (not an employee of the <i>Contractor</i>) arising out of or in connection with the performance of the Contract as stated in the insurance policy for Contract Works / Public Liability</b> |
|          | Cover / indemnity  | <b>Is to the extent as stated in the insurance policy for Contract Works / Public Liability</b>   |
|          | The deductibles are  | <b>as stated in the insurance policy for Contract Works / Public Liability</b>  |

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|   |                      |   |
|---|----------------------|---|
| 3 | Insurance against:   | <b>Loss of or damage to Equipment (Temporary Works only) as stated in the insurance policy for contract Works and Public Liability</b>  |
|   | Cover / indemnity    | <b>Is to the extent as stated in the insurance policy for Contract Works / Public Liability</b>   |
|   | The deductibles are: | <b>As stated in the insurance policy for Contract Works / Public Liability</b>  |
| 4 | Insurance against:   | <b>Contract Works SASRIA insurance subject to the terms, exceptions and conditions of the SASRIA coupon</b>   |
|   | Cover / indemnity    | <b>Cover / indemnity is to the extent provided by the SASRIA coupon</b>   |
|   | The deductibles are  | <b>The deductibles are, in respect of each and every theft claim, 0,1% of the contract value subject to a minimum of R2,500 and a maximum of R25,000.</b>                     |
|   | Note:                | <b>The deductibles for the insurance as stated above are listed in the document titled "Certificate of Insurance: Transnet (SOC) Limited Principal Controlled Insurance."</b> |

|      |  |   |
|------|--|---|
| 84.1 | The minimum limit of indemnity for insurance in respect of death or bodily injury to employees of the <i>Contractor</i> arising out of and in the course of their employment in connection with this contract for any one event is | <b>The <i>Contractor</i> must comply at a minimum with the provisions of the Compensation for Occupational Injuries and Diseases Act No. 130 of 1993 as amended.</b>  |
|      | The <i>Contractor</i> provides these additional Insurances   | <b>1 Where the contract requires that the design of any part of the <i>works</i> shall be provided by the <i>Contractor</i> the <i>Contractor</i> shall satisfy the <i>Employer</i> that professional indemnity insurance cover in connection therewith has been affected</b> |



|           |  |   |
|-----------|--|---|
| 84.2      | The minimum limit of indemnity for insurance in respect of loss of or damage to property (except the works, Plant, Materials and Equipment) and liability for bodily injury to or death of a person (not an employee of the <i>Contractor</i> ) caused by activity in connection with this contract for any one event is | <b>Whatever the <i>Contractor</i> requires in addition to the amount of insurance taken out by the <i>Employer</i> for the same risk.</b>   |
| 84.2      | The insurance against loss of or damage to the works, Plant and Materials as stated in the insurance policy for contract works and public liability selected from:   | <b>Principal Controlled Insurance policy for Contract OR Project Specific Insurance for the contract</b>  |
| <b>9</b>  | <b>Termination</b>   | <b>There is no additional Contract Data required for this section of the <i>conditions of contract</i>.</b>   |
| <b>10</b> | <b>Data for main Option clause</b>   |   |
| <b>A</b>  | <b>Priced contract with Activity Schedule or Bill of Quantities</b>  | <b>No additional data is required for this Option.</b>  |
| 60.6      | The <i>method of measurement</i> is  | <b>The Bill of Quantities have been measured in accordance with CIDB unless indicated otherwise.</b>  |
| <b>11</b> | <b>Data for Option W1</b>  |   |
| W1.1      | The <i>Adjudicator</i> is  | <b>Both parties will agree as and when a dispute arises. If the parties cannot reach an agreement on the <i>Adjudicator</i>, the Chairman of the Association of Arbitrators will appoint an <i>Adjudicator</i>.</b> |
| W1.2(3)   | The <i>Adjudicator nominating body</i> is:<br><br>If no <i>Adjudicator nominating body</i> is entered, it is:  | <b>The Chairman of the Association of Arbitrators (Southern Africa)</b><br><br><b>the Association of Arbitrators (Southern Africa)</b>  |

|                    |   |  |                    |                        |
|--------------------|---|--|--------------------|------------------------|
| W1.4(2)            | The <i>tribunal</i> is:   | <b>Arbitration</b>   |                    |                        |
| W1.4(5)            | The <i>arbitration procedure</i> is   | <b>The Rules for the Conduct of Arbitrations of the Association of Arbitrators (Southern Africa)</b> |                    |                        |
|                    | The place where arbitration is to be held is                                | <b>South Africa</b>  |                    |                        |
|                    | The person or organisation who will choose an arbitrator                    |  |                    |                        |
|                    | - if the Parties cannot agree a choice or                                   | <b>The Chairman of the Association of Arbitrators (Southern Africa)</b>                              |                    |                        |
|                    | - if the arbitration procedure does not state who selects an arbitrator, is |  |                    |                        |
| <b>12</b>          | <b>Data for secondary Option clauses</b>                                    |  |                    |                        |
| <b>X2</b>          | <b>Changes in the law</b>   | <b>No additional data is required for this Option</b>  |                    |                        |
| <b>X5</b>          | <b>Sectional Completion</b>   |  |                    |                        |
| X5.1               | The <i>completion date</i> for each <i>section</i> of the <i>works</i> is:  | <b>Section</b>   | <b>Description</b> | <b>Completion date</b> |
|                    |   | <b>1</b>   |                    |                        |
|                    |   | <b>2</b>   |                    |                        |
|                    |   | <b>3</b>   |                    |                        |
| <b>X5 &amp; X7</b> | <b>Sectional Completion and delay damages used together</b>                 |  |                    |                        |
| X7.1               | Delay damages for late  |  |                    |                        |
| X5.1               | Completion of the <i>sections</i> of the <i>works</i> are:                  | <b>Section</b>   | <b>Description</b> | <b>Amount per day</b>  |
|                    |   | <b>1</b>   |                    | <b>R</b>               |
|                    |   | <b>2</b>   |                    | <b>R</b>               |
|                    |   | <b>3</b>   |                    | <b>R</b>               |
|                    | Remainder of the <i>works</i>   |  |                    | <b>R</b>               |
| <b>X7</b>          | <b>Delay damages</b>  |  |                    |                        |

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|      |   |   |
|------|---|---|
| X7.1 | Delay damages for Completion of the whole of the <i>works</i> are | <b>R5000.00 per day not exceeding 10% of the contract value</b> |
|------|---|---|

---

|            |                              |                                       |
|------------|------------------------------|---------------------------------------|
| <b>X16</b> | <b>Retention</b>             |                                       |
| X16.1      | The retention free amount is | <b>Nil</b>                            |
|            | The retention percentage is  | <b>10% on all payments certified.</b> |

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|            |   |   |
|------------|---|---|
| <b>X18</b> | <b>Limitation of liability</b>  |   |
| X18.1      | The <i>Contractor's</i> liability to the <i>Employer</i> for indirect or consequential loss is limited to:  | <b>Nil (this is the default position depending on a risk assessment, therefore this can go up to Total of the Prices)</b> |
| X18.2      | For any one event, the <i>Contractor's</i> liability to the <i>Employer</i> for loss of or damage to the <i>Employer's</i> property is limited to:                            | <b>The deductible of the relevant insurance policy</b>  |
| X18.3      | The <i>Contractor's</i> liability for Defects due to his design which are not listed on the Defects Certificate is limited to:  | <b>The cost of correcting the Defect</b>  |
| X18.4      | The <i>Contractor's</i> total liability to the <i>Employer</i> for all matters arising under or in connection with this contract, other than excluded matters, is limited to: | <b>The Total of the Prices</b>  |
| X18.5      | The <i>end of liability date</i> is   | <b>after Completion of the whole of the <i>works</i></b>  |

---

|          |  |  |
|----------|--|--|
| <b>Z</b> | <b><i>Additional conditions of contract are:</i></b> |  |
|----------|--|--|

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## **Z3 Additional clauses relating to Joint Venture**

### **Z3.1**

### **Insert the additional core clause 27.5**

**27.5. In the instance that the *Contractor* is a joint venture, the *Contractor* shall provide the *Employer* with a certified copy of its signed joint venture agreement, and in the instance that the joint venture is an 'Incorporated Joint Venture,' the Memorandum of Incorporation, within 4 (four) weeks of the Contract Date.**

**The Joint Venture agreement shall contain but not be limited to the following:**

- **A brief description of the Contract and the Deliverables;**
- **The name, physical address, communications addresses and domicilium citandi et executandi of each of the constituents and of the Joint Venture;**
- **The constituent's interests;**
- **A schedule of the insurance policies, sureties, indemnities and guarantees which must be taken out by the Joint Venture and by the individual constituents;**
- **Details of an internal dispute resolution procedure;**
- **Written confirmation by all of the constituents:**
  - i. **of their joint and several liabilities to the *Employer* to Provide the Works;**
  - ii. **identification of the lead partner in the joint venture confirming the authority of the lead partner to bind the joint venture through the *Contractor's* representative;**

iii. **Identification of the roles and responsibilities of the constituents to provide the Works.**

• **Financial requirements for the Joint Venture:**

iv. **the working capital requirements for the Joint Venture and the extent to which and manner whereby this will be provided and/or guaranteed by the constituents from time to time;**

v. **the names of the auditors and others, if any, who will provide auditing and accounting services to the Joint Venture.**

**Z3.2**

**Insert additional core clause 27.6**

**27.6. The *Contractor* shall not alter its composition or legal status of the Joint Venture without the prior approval of the *Employer*.**

**Z4 Additional obligations in respect of Termination**

**Z4.1**

**The following will be included under core clause 91.1:**

**In the second main bullet, after the word 'partnership' add 'joint venture whether incorporate or otherwise (including any constituent of the joint venture)' and**

**Under the second main bullet, insert the following additional bullets after the last sub-bullet:**

- **commenced business rescue proceedings (R22)**
- **repudiated this Contract (R23)**



---

|             |  |  |
|-------------|--|--|
| <b>Z4.2</b> | <b>Termination Table</b>   | <b>The following will be included under core clause 90.2 Termination Table as follows:</b>   |
|             |  | <b>Amend "A reason other than R1 – R21" to "A reason other than R1 – R23"</b>  |
| <b>Z4.3</b> |  | <b>Amend "R1 – R15 or R18" to "R1 – R15, R18, R22 or R23."</b>   |
| <b>Z5</b>   | <b>Right Reserved by the Employer to Conduct Vetting through SSA</b> |  |
| <b>Z5.1</b> |  | <b>The Employer reserves the right to conduct vetting through State Security Agency (SSA) for security clearances of any Contractor who has access to National Key Points for the following without limitations:</b> |
|             |  | <b>1. Confidential – this clearance is based on any information which may be used by malicious, opposing or hostile elements to harm the objectives and functions of an organ of state.</b>                          |
|             |  | <b>2. Secret – clearance is based on any information which may be used by malicious, opposing or hostile elements to disrupt the objectives and functions of an organ of state.</b>                                  |
|             |  | <b>3. Top Secret – this clearance is based on information which may be used by malicious, opposing or hostile elements to neutralise the objectives and functions of an organ of state.</b>                          |

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Description of the works: supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.

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**Z6 Additional Clause Relating to Collusion in the Construction Industry**

**Z6.1** The contract award is made without prejudice to any rights the *Employer* may have to take appropriate action later with regard to any declared tender rigging including blacklisting.

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**Z7 Protection of Personal Information Act**

**Z7.1** The *Employer* and the *Contractor* are required to process information obtained for the duration of the Agreement in a manner that is aligned to the Protection of Personal Information Act.

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## C1.2 Contract Data

### Part two - Data provided by the *Contractor*

The tendering *Contractor* is advised to read both the NEC3 Engineering and Construction Contract - June 2005 (with amendments June 2006 and April 2013) and the relevant parts of its Guidance Notes (ECC3-GN) in order to understand the implications of this Data which the tenderer is required to complete. An example of the completed Data is provided on pages 156 to 158 of the ECC3 Guidance Notes.

Completion of the data in full, according to Options chosen, is essential to create a complete contract.

| Clause   | Statement                                  | Data |
|----------|--|------|
| 10.1     | The <i>Contractor</i> is (Name):           |      |
|          | Address                                    |      |
|          | Tel No.                                    |      |
|          | Fax No.                                    |      |
| 11.2(8)  | The <i>direct fee percentage</i> is        | %    |
|          | The <i>subcontracted fee percentage</i> is | %    |
| 11.2(18) | The <i>working areas</i> are the Site and  |      |
| 24.1     | The <i>Contractor's</i> key persons are:   |      |
|          | 1 Name:                                    |      |
|          | Job:                                       |      |
|          | Responsibilities:                          |      |
|          | Qualifications:                            |      |
|          | Experience:                                |      |
|          | 2 Name:                                    |      |
|          | Job  |      |
|          | Responsibilities:                          |      |
|          | Qualifications:                            |      |
|          | Experience:                                |      |

Description of the works: supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.

|            |  |  |                         |             |
|------------|--|--|-------------------------|-------------|
|            |  | <b>CV's (and further key persons data including CVs) are appended to Tender Schedule entitled .</b>  |                         |             |
| 11.2(14)   | The following matters will be included in the Risk Register                  |  |                         |             |
| 31.1       | The programme identified in the Contract Data is                             |  |                         |             |
| <b>A</b>   | <b>Priced contract with activity schedule</b>                                |  |                         |             |
| 11.2(20)   | The <i>activity schedule</i> is in   |  |                         |             |
| 11.2(30)   | The tendered total of the Prices is  | <b>(in figures)</b><br><b>(in words), excluding VAT</b>  |                         |             |
| 11.2(31)   | The tendered total of the Prices is  | (in figures)<br>(in words), excluding VAT  |                         |             |
|            |  |  |                         |             |
|            | <b>Data for Schedules of Cost Components</b>                                 | <i>Note "SCC" means Schedule of Cost Components starting on page 60 of ECC, and "SSCC" means Shorter Schedule of Cost Components starting on page 63 of ECC.</i> |                         |             |
| <b>A</b>   | <b>Priced contract with activity schedule</b>                                | <b>Data for the Shorter Schedule of Cost Components</b>  |                         |             |
| 41 in SSCC | The percentage for people overheads is:                                      | <b>%</b>   |                         |             |
| 21 in SSCC | The published list of Equipment is the last edition of the list published by |  |                         |             |
|            | The percentage for adjustment for Equipment in the published list is         | <b>% (state plus or minus)</b>   |                         |             |
| 22 in SSCC | The rates of other Equipment are:  | <b>Equipment</b>   | <b>Size or capacity</b> | <b>Rate</b> |
|            |  |  |                         |             |
|            |  |  |                         |             |



Description of the works: supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.

|            |  |                             |                    |  |
|------------|--|-----------------------------|--------------------|--|
|            |  |                             |                    |  |
|            |  |                             |                    |  |
|            |  |                             |                    |  |
| 61 in SSCC | The hourly rates for Defined Cost of design outside the Working Areas are  | <b>Category of employee</b> | <b>Hourly rate</b> |  |
|            |  |                             |                    |  |
|            |  |                             |                    |  |
|            |  |                             |                    |  |
| 62 in SSCC | The percentage for design overheads is   | <b>%</b>                    |                    |  |
| 63 in SSCC | The categories of design employees whose travelling expenses to and from the Working Areas are included in Defined Cost are: |                             |                    |  |

|            |   |                             |                         |             |
|------------|---|-----------------------------|-------------------------|-------------|
| 22 in SSCC | The rates of other Equipment are:   | <b>Equipment</b>            | <b>Size or capacity</b> | <b>Rate</b> |
|            |   |                             |                         |             |
|            |   |                             |                         |             |
|            |   |                             |                         |             |
|            |   |                             |                         |             |
| 61 in SSCC | The hourly rates for Defined Cost of design outside the Working Areas are | <b>Category of employee</b> | <b>Hourly rate</b>      |             |
|            |   |                             |                         |             |
|            |   |                             |                         |             |
|            |   |                             |                         |             |

Transnet Port Terminal  
Tender Number: PPA - 122409



Description of the works: supply and installation of gallery lights, electrical works, and conveyor electrical works for transnet soc LTD (reg. n0 1990/000900/30) operating as transnet port terminals (hereinafter referred to as "TPT") for Port of Richards Bay — Port Rail Terminal (PRT) CHROME SHUTDOWN, as once off.

|            |  |   |
|------------|--|---|
| 62 in SSCC | The percentage for design overheads is   | % |
| 63 in SSCC | The categories of design employees whose travelling expenses to and from the Working Areas are included in Defined Cost are: |   |



## **PART 2: PRICING DATA**

### **(ELECTRICAL WORK – CHROME ROUTE SHUTDOWN)**

| <b>Document reference</b> | <b>Title</b>                   | <b>No of pages</b> |
|---------------------------|--------------------------------|--------------------|
| C2.1                      | Pricing instructions: Option A | 2                  |
| C2.2                      | Activity Schedule              | 2                  |

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## C2.1 Pricing Instructions: Option A

### 1. The *conditions of contract*

#### 1.1. How the contract prices work and assess it for progress payments

Clause 11 in NEC3 Engineering and Construction Contract, June 2005, (with amendments June 2006 and April 2013) (ECC) Option A states:

**Identified and defined terms** 11  
11.2

(20) The Activity Schedule is the *activity schedule* unless later changed in accordance with this contract.

(22) Defined Cost is the cost of the components in the Shorter Schedule of Cost Components whether work is subcontracted or not excluding the cost of preparing quotations for compensation events.

(27) The Price for Work Done to Date is the total of the Prices for

- each group of completed activities and
- each completed activity which is not in a group

A completed activity is one which is without Defects which would either delay or be covered by immediately following work.

(30) The Prices are the lump sums for each of the activities on the Activity Schedule unless later changed in accordance with this contract.

#### 1.2. Measurement and Payment

1.2.1 The Activity Schedule provides the basis of all valuations of the Price for Work Done to Date, payments in multiple currencies, price adjustments for inflation and general progress monitoring.

1.2.2 The amount due at each assessment date is based on **completed activities and/or milestones** as indicated on the Activity Schedule.

1.2.3 The Activity Schedule work breakdown structure provided by the *Contractor* is based on the Activity Schedule provided by the *Employer*. The activities listed by the *Employer* are the minimum activities acceptable and identify the specific activities which are required to achieve Completion.

1.2.4 The *Contractor's* detailed Activity Schedule summates back to the Activity Schedule provided by the *Employer* and is in sufficient detail to monitor completion of activities related to the Accepted Programme in order that payment of completed activities may be assessed.

1.2.5 The short descriptions in the Activity Schedule are for identification purposes only. All work described in the Works Information is deemed included in the activities.



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- 1.2.6 The Activity Schedule is integrated with the Prices, Accepted Programme and where required the forecast rate of payment schedule.
  - 1.2.7 Activities in multiple currencies are separately identified on both the Activity Schedule and the Accepted Programme for each currency.
  - 1.2.8 The tendered total of the prices as stated in the Contract Data is obtained from the Activity Schedule summary. The tendered total of the prices includes for all direct and indirect costs, overheads, profits, risks, liabilities and obligations relative to the Contract.

## C2.2 Activity Schedule

| Activity No | Activity Description  | Rate                   | Total quantity | Total price |
|-------------|---|------------------------|----------------|-------------|
| <b>2.1</b>  | <b>Item below to be quoted, refers to scope of work attached on pack as page 120 to 133</b> |                        |                |             |
| 2.1.1       | A01 Gallery lights  |                        | 1              |             |
| 2.1.2       | A02 Gallery lights  |                        | 1              |             |
| 2.1.3       | A02 Conveyor electrical work  |                        | 1              |             |
| 2.1.4       | D02 Conveyors electrical work   |                        | 1              |             |
| 2.1.5       | K14 Conveyor electrical work  |                        | 1              |             |
| 2.1.6       | K51 Tripper car electrical work and electrical circuit upgrade                              |                        | 1              |             |
| 2.1.7       | D03 Conveyors electrical work   |                        | 1              |             |
|             |   | <b>Price excl.</b>     |                |             |
|             |   | <b>VAT (15%)</b>       |                |             |
|             |   | <b>Price incl. VAT</b> |                |             |



DESCRIPTION OF THE WORKS SUPPLY AND INSTALLATION OF GALLERY LIGHTS, ELECTRICAL WORKS AND CONVEYOR BELT FOR TRANSNET SOC LTD (REG. NO 1990/000900/30) OPERATING AS TRANSNET PORT TERMINALS (HEREINAFTER REFERRED TO AS "TPT") FOR PORT OF RICHARDS BAY — PORT RAIL TERMINAL (PRT).

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TPT RICHARDS BAY

Supply and Installation of gallery lighting, electrical works and conveyor belt to TPT – RCB  
Chrome Route Shutdown

## **1. Project Overview**

Transnet Port Terminals is a division of Transnet core business which provides cargo handling services to a wide spectrum of customers, including shipping lines, freight forwarders, and cargo owners. Operations are divided into four major business segments, namely containers, bulk, break bulk and automotive.

Bulk terminals handle bulk commodity through the utilization of tipplers, reclaimers, conveyer belts, ship loaders and associated equipment. Ensuring the enough lighting and lux on the galleries will improve the offloading efficiencies in a safe and productive way.

## **2. Site Location and access**

The site is at the Bulk Terminal in the Port of Richards Bay. Access to the Port of Richards Bay will be through the East or West Access Gates and will be subject to the TPT security requirements and regulations.

## **3. A01 GALLERY**

The scope entails the following: Supply and installation of lights on A01 gallery.

The service provider is required to do the following:

- 3.1 The contractor is required to remove all the defective lights and install 40 x Mag44 lights.
- 3.2 The contractor shall remove all the damaged pig tail cables supplying the old faulty gallery lights
- 3.3 The contractor shall also remove and replace all damaged size 2 ccg boxes and replace them with an IP65 type.
- 3.4 The contractor must install eight additional flood lights (200w Heavy duty Led Flood lights) on A01 gallery.
- 3.5 The contractor shall also remove and replace all damaged or rusted lighting cable trays and replace them with the similar type.
- 3.6 The contractor shall fabricate all the required mounting brackets suitable for mounting and handling the magnitude of newly heavy-duty light fittings.
- 3.7 The contractor shall ensure all his/her light installation is load tested and ensured that the existing lighting circuit can and will withstand the additional load added.
- 3.8 The contractor is then required to amend or upgrade the lighting circuit and circuit breakers supplying the galleries.

TRANSNET PORT TERMINALS: TPT

DESCRIPTION OF THE WORKS: Supply and Installation of gallery lighting, electrical works and conveyor belt to TPT - RCB

- 3.9 The contractor shall test or commission the gallery lights circuits in the presence of TPT personnel.
- 3.10 Perform housekeeping after job completion and provide one (1) month backup service to Transnet at his/her own costs.

**4. A02 GALLERY**

The scope entails the following: Supply and installation of lights on A02 gallery.

- 4.1 The contractor is required to remove all the defective lights and install 40 x Mag44 lights.
- 4.2 The contractor shall remove all the damaged pig tail cables supplying the old faulty gallery lights
- 4.3 The contractor shall also remove and replace all damaged size 2 ccg boxes and replace them with an IP65 type.
- 4.4 The contractor must install eight additional flood lights (200w Heavy duty Led Flood lights) on A02 gallery.
- 4.5 The contractor shall also remove and replace all damaged or rusted lighting cable trays and replace them with the similar type.
- 4.6 The contractor shall fabricate all the required mounting brackets suitable for mounting and handling the magnitude of newly heavy-duty light fittings.
- 4.7 The contractor shall ensure all his/her light installation is load tested and ensured that the existing lighting circuit can and will withstand the additional load added.
- 4.8 The contractor is then required to amend or upgrade the lighting circuit and circuit breakers supplying the galleries.
- 4.9 The contractor shall test or commission the gallery lights circuits in the presence of TPT personnel.
- 4.10 Perform housekeeping after job completion and provide one (1) month backup service to Transnet at his/her own costs.

**5. A02 CONVEYOR ELECTRICAL WORKS**

- 5.1 Clearly mark and label all cables and terminal wires connected to terminal/connector blocks within CCG boxes.
- 5.2 Remove the existing 10 x size 1 CCG boxes and install new IP66-rated enclosures.
- 5.3 Replace all cable glands terminating control cables onto CCG boxes.
- 5.4 Replace the conveyor belt speed switch and its associated supply cable.
- 5.5 Inspect and refurbish the junction box, replace all terminal connection strips, and

|                       |                               |                            |              |        |                       |
|-----------------------|-------------------------------|----------------------------|--------------|--------|-----------------------|
| PORT RAIL<br>TERMINAL | D03 Conveyor Electrical Works |                            |              |        | PORT RAIL<br>TERMINAL |
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TRANSNET PORT TERMINALS: TPT

DESCRIPTION OF THE WORKS: Supply and Installation of gallery lighting, electrical works and conveyor belt to TPT - RCB ensure the enclosure is dust-proof.

- 5.6 Replace the 220V warning alarms for both the moving head and conveyor belt.
- 5.7 Remove and replace the chute level high detector probe, including its supply cable, and replace the mounting adapter thread on the moving head trunking or chute.
- 5.8 Replace all 16 manual trip switches, trip wires, 6mm stainless steel shackles, and all M12 eye bolts mounted on the conveyor belt structure.
- 5.9 Inspect the existing energy chain system, replace damaged links, and inspect supply/control cables, replacing only where necessary.
- 5.10 Replace the entire wire mesh cable trays mounted on the conveyor belt structure. After installation, ensure all cables are neatly strapped using suitable cable ties. (Cable tray sizes will be confirmed during the site meeting.)
- 5.11 Remove and refurbish both 7.5kW tripper car motors and their binder brakes, ensuring correct functioning after reinstallation.
- 5.12 Test, grease, and refurbish the main 400V, 110kW squirrel cage induction motor. Replace both drive-end and non-drive-end bearings, reinstall, and perform alignment between motor and gearbox. Provide test results.
- 5.13 Provide one-month post-completion support at no additional cost to Transnet.

**Conveyor Junction Box**

- 5.14 The contractor shall mark and label all cables and terminal wires connected to terminals or connector blocks.
- 5.15 The contractor shall remove the existing junction box and replace it with an IP66-rated stainless steel junction box fitted with a rubber-sealed door.
- 5.16 The junction box to be installed shall have dimensions of 400 mm × 350 mm × 75 mm.
- 5.17 The contractor shall replace all cable glands together with their associated cable shrouds.
- 5.18 The contractor shall assess, repair, or replace the junction box mounting structure to ensure secure and compliant installation.
- 5.19 The contractor shall ensure that the replacement electrical enclosure is fitted with a door latch that includes a provision for padlocking.
- 5.20 The contractor shall replace the connector strips with the following:
  - Fifty (50) × 2.5 mm<sup>2</sup>, 15–20 A connector strips
  - Ten (10) × 4–6 mm<sup>2</sup>, 15–30 A connector strips
- 5.21 The contractor shall replace all terminal numbering and ensure compliance with Transnet’s

|                       |                               |                            |              |        |                       |
|-----------------------|-------------------------------|----------------------------|--------------|--------|-----------------------|
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TRANSNET PORT TERMINALS: TPT

DESCRIPTION OF THE WORKS: Supply and Installation of gallery lighting, electrical works and conveyor belt to TPT - RCB terminal numbering standards.

- 5.22 The contractor shall replace all damaged or non-repairable cables and shall ensure correct cable sizing in accordance with relevant standards.
- 5.23 The contractor shall produce updated electrical drawings reflecting the junction box terminal layout. Both soft-copy and hard-copy drawings shall be submitted to the Transnet Supervisor at commissioning.
- 5.24 The contractor shall perform all testing and commissioning activities in the presence of TPT personnel.
- 5.25 The contractor shall provide one (1) month of post-completion backup support to Transnet SOC at no additional cost

**General**

- 5.26 Tighten and secure all loose connections to OEM torque specifications
- 5.27 Replace damaged connectors, terminals, and fasteners
- 5.28 All site activities, observations, and incidents must be recorded in the Site Daily Diary without exception.
- 5.29 Testing must be done in the presence of a TPT representative.
- 5.30 The contractor must issue a 12-month warranty on workmanship and applicable components.
- 5.31 The service provider shall conduct functional and load testing of all replaced components and systems.
- 5.32 Submit test certificates and inspection reports for motors, alarms, and electrical circuits.
- 5.33 Provide updated as-built drawings in both hard copy and soft copy formats at commissioning stage.
- 5.34 All project-related documentation, including quality records, test certificates, drawings, and inspection reports, must be compiled and maintained in a complete Data Pack.

The following Transnet specifications are included as applicable in the scope of work:

Table 1: Transnet Specifications

|   |            |
|---|------------|
| Quality Control                                 | EEAM-Q-009 |
| SPECIFICATION FOR LIGHTING ON EQUIPMENT         | EEAM-Q-018 |
| Specification For General Electrical Equipment  | EEAM-Q-012 |
| Specification For Tests On Electrical Equipment | EEAM-Q-020 |
| Structural steel Work                           | EEAM-Q-006 |

|                      |            |
|----------------------|------------|
| Corrosion Protection | EEAM-Q-008 |
|----------------------|------------|

**6. D02 CONVEYOR ELECTRICAL WORKS**

- 6.1 The Contractor shall clearly mark and label all cables and terminal wires connected to terminal or connector blocks within CCG boxes. Labelling shall comply with accepted industrial identification standards to ensure full traceability during and after commissioning.
- 6.2 The Contractor shall remove the existing twenty (x20) size-1 CCG boxes and install new IP66-rated enclosures. All installations shall comply with OEM recommendations and applicable SANS standards.
- 6.3 The Contractor shall replace all cable glands terminating control cables onto the CCG boxes. All glands must be OEM-approved or SABS-compliant.
- 6.4 The Contractor shall replace the conveyor belt speed switch, including the associated supply cable, and ensure correct calibration and operational verification.
- 6.5 The Contractor shall inspect and refurbish the junction box, replace all terminal connection strips, and ensure the enclosure is dust-proof and compliant with environmental protection standards.
- 6.6 The Contractor shall replace the 220V warning alarms for both the moving head and conveyor belt, ensuring compliance with safety and audibility requirements.
- 6.7 The Contractor shall remove and replace the chute level high detector probe, including the full supply cable, and replace the mounting adapter thread on the moving head trunking or chute.
- 6.8 The Contractor shall replace all twenty (x20) manual trip switches, including all trip wires, 6 mm stainless-steel shackles, and all M12 eye bolts mounted on the conveyor belt structure.
- 6.9 The Contractor shall inspect the existing energy chain system, replace any damaged links, and inspect all supply and control cables. Cable replacement shall be carried out only where defects are identified and approved.
- 6.10 The Contractor shall replace the entire wire-mesh cable tray system mounted on the conveyor belt structure. Upon installation, all cables shall be neatly strapped using suitably rated cable ties. Cable tray sizing will be confirmed during the site meeting.
- 6.11 The Contractor shall remove and refurbish both 7.5 kW tripper car motors and their binder brakes, ensuring proper functioning upon reinstallation and post-testing.

|                    |                               |                         |              |        |                    |
|--------------------|-------------------------------|-------------------------|--------------|--------|--------------------|
| PORT RAIL TERMINAL | D03 Conveyor Electrical Works |                         |              |        | PORT RAIL TERMINAL |
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TRANSNET PORT TERMINALS: TPT

DESCRIPTION OF THE WORKS: Supply and Installation of gallery lighting, electrical works and conveyor belt to TPT - RCB

- 6.12 The Contractor shall test, grease, and refurbish the main 3.3 kV, 132 kW squirrel-cage induction motor. Both drive-end and non-drive-end bearings shall be replaced. The motor shall be reinstalled, aligned with the gearbox, and accompanied by formal test results.
- 6.13 The Contractor shall provide one (1) month of post-completion support to Transnet at no additional cost following final handover.

**Lighting**

- 6.14 The Contractor shall install twenty-five (25) new 400 W floodlights (or equivalent MAG44 fittings) complete with compatible lamps/globes.
- 6.15 All new fittings shall be installed in accordance with OEM specifications, SANS electrical installation standards, and site safety requirements.
- 6.16 Each floodlight shall be housed in a reinforced, tamper-resistant enclosure designed to prevent theft, vandalism, and unauthorized access.
- 6.17 Enclosures shall be fabricated from corrosion-resistant materials suitable for the operational environment.
- 6.18 All lighting units shall be mounted out of arm’s reach and secured to structural elements capable of supporting the load, ensuring that the installation does not pose a hazard to personnel or operations.

**Conveyor junction box**

- 6.19 The Contractor shall execute all site work in a manner that does not interfere with other terminal operations.
- 6.20 The Contractor shall plan all resources and working hours to ensure the agreed schedule is met.
- 6.21 All employees shall wear the required PPE, including reflective vests, and strictly comply with Transnet safety standards.
- 6.22 No plant operation shall be interrupted, isolated, or interfered with without prior authorization from the Section Supervisor.
- 6.23 The Contractor shall obtain all relevant permits, including Permit to Work, Isolation Permits, and Task-specific Permits from the Transnet Supervisor/Technical Manager before commencing any work.
- 6.24 All parts, materials, and components shall be OEM-approved or fully compliant with SABS standards.
- 6.25 The Contractor shall provide a minimum 12-month warranty on all workmanship and replaced components.

**General**

- 6.26 All loose electrical and mechanical connections shall be tightened to OEM-specified torque values and verified during inspections.
- 6.27 All damaged connectors, terminals, and fasteners shall be replaced with compliant, approved equivalents.

|                       |                               |                            |              |        |                       |
|-----------------------|-------------------------------|----------------------------|--------------|--------|-----------------------|
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TRANSNET PORT TERMINALS: TPT

DESCRIPTION OF THE WORKS: Supply and Installation of gallery lighting, electrical works and conveyor belt to TPT - RCB

- 6.28 All site activities, observations, incidents, tests, and inspections shall be recorded in the Site Daily Diary without exception.
- 6.29 All testing activities shall be performed in the presence of a designated TPT representative.
- 6.30 The Contractor shall issue a 12-month warranty on workmanship and all applicable components.
- 6.31 The Contractor shall conduct functional and load testing of all replaced components, assemblies, and systems.
- 6.32 The Contractor shall submit certified test certificates and inspection reports for motors, alarms, electrical circuits, and all equipment refurbished under this scope.
- 6.33 Updated as-built drawings shall be provided in both hard-copy and soft-copy formats at the commissioning stage.
- 6.34 All project documentation, including quality records, test certificates, drawings, inspections, torque sheets, and commissioning reports—shall be compiled into a complete Data Pack for final submission and project close-out

The following Transnet specifications are included as applicable in the scope of work:

Table 1: Transnet Specifications

|   |            |
|---|------------|
| Quality Control                                 | EEAM-Q-009 |
| SPECIFICATION FOR LIGHTING ON EQUIPMENT         | EEAM-Q-018 |
| Specification For General Electrical Equipment  | EEAM-Q-012 |
| Specification For Tests On Electrical Equipment | EEAM-Q-020 |
| Structural steel Work                           | EEAM-Q-006 |
| Corrosion Protection                            | EEAM-Q-008 |

### 7. K14 CONVEYOR BELT ELECTRICAL WORKS

The scope entails the following: Remove and replace the conveyor belt’s electrical components and improving gallery lighting in a sequential form, test and commission at the completion of task.

The service provider is required to do the following:

- 7.1 The contractor shall mark all the cables, terminal wires wired on the terminals/ connector blocks that are terminated on the CCG boxes.
- 7.2 The contractor shall remove the existing 10 x size 1 CCG boxes and replace them with new ones rated IP66.
- 7.3 The contractor shall replace all cable glands that are terminating the control cables onto the ccg boxes.

TRANSNET PORT TERMINALS: TPT

DESCRIPTION OF THE WORKS: Supply and Installation of gallery lighting, electrical works and conveyor belt to TPT - RCB

- 7.4 The contractor shall replace the conveyor belt’s speed switch including its supply cable.
- 7.5 The contractor shall assess repair the junction box replace all the terminal connection strips and ensure that the enclosure is dust proofed.
- 7.6 The contractor shall replace a 220v warning alarm for both the moving head and conveyor belt.
- 7.7 The contractor shall remove and replace a chute level high detector probe including it supply cable and replace its mounting adapter thread on the moving head trunking or chute.
- 7.8 The contractor shall supply and replace all 16 x manual trip switches, replace all manual trips trip wires, 6mm stainless steel shackles and all M12 eye bolts that are mounted on the conveyor belt structure.
- 7.9 The contractor will replace the entire wire mesh cable trays that are mounted on the side of the conveyor belt structures, ensure that after installing them all cables are neatly strapped on using suitable sized cable ties. NB the cable tray sizes will be obtained in a site meeting.
- 7.10 The contractor shall remove and refurbish both the 7.5kw tripper Car motor and its binder brake and ensure their correct functioning after installation.
- 7.11 The contractor shall test, grease and refurbish the main 400v, 75 Kw squirrel cage induction motor and provide test results also replace both drive end and non - drive end bearings, re-install it and do alignment between motor and gearbox.
- 7.12 The contractor shall improve the gallery lighting by supplying and installing 25 X 400w flood lights or mag44 fittings and their globes, remove and dispose all those light fittings deemed to be redundant the flood lights should be boxed in a reinforced enclosure that will restrict people from stealing them and shall be mounted out of arms reach.
- 7.13 The contractor shall provide one-month backup service to Transnet SOE at his/ her own costs after the completion of work.

**8. K51 TRIPPER CAR ELECTRICAL WORK AND ELECTRICAL CIRCUITS UPGRADE**

**The scope entails the following:**

- *Electrical Control Panel Upgrade*
- *PLC Upgrade*

|                    |                               |                         |               |        |                    |
|--------------------|-------------------------------|-------------------------|---------------|--------|--------------------|
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- *Long travel Variable Speed Drives Upgrade*
- *Introduction and Installation of Positional Encoder*
- *HMI System Installation*

**8.1 Electrical control pane upgrade**

- 8.1.1 The contractor shall assess the existing electrical panel for compliance with current safety and operational standards.
- 8.1.2 The contractor must replace outdated components including contactors, relays, and protection devices.
- 8.1.3 The contractor must install new wiring, terminal blocks, and labelling for improved traceability.
- 8.1.4 The contractor must integrate surge protection and harmonics filtering where necessary.
- 8.1.5 The contractor shall ensure that all the circuit wiring is neatly put on the trunking that has trunking covers.
- 8.1.6 The contractor must ensure compatibility with upgraded PLC and drive systems.

**8.2 PLC system upgrade**

- 8.2.1 The contractor is required to decommission the existing Siemens S7-300 PLC system.
- 8.2.2 The contractor shall supply and install a new Siemens TIA Portal-compatible PLC (e.g., S7-1500 series).
- 8.2.3 The contractor must migrate existing logic and control programs to the new platform using TIA Portal software.
- 8.2.4 The contractor shall perform I/O mapping and reconfiguration to match the new hardware.
- 8.2.5 The contractor must Integrate PLC with upgraded drives, encoder, and HMI system.
- 8.2.6 The contractor must perform full commissioning and validation of the control system

**8.3 Drive system upgrade**

- 8.3.1 The contractor is required to remove Siemens 6SL210 series drives.

|                       |                               |                            |               |        |                       |
|-----------------------|-------------------------------|----------------------------|---------------|--------|-----------------------|
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DESCRIPTION OF THE WORKS: Supply and Installation of gallery lighting, electrical works and conveyor belt to TPT - RCB

- 8.3.2 The contractor must install latest compatible Siemens SINAMICS drives suitable for tripper motor control.
- 8.3.3 The contractor must configure drive parameters and integrate with PLC via PROFINET.
- 8.3.4 The contractor must conduct dynamic testing under operational conditions.

8.4 **Positional Encoder Installation:**

- 8.4.1 The contractor shall design and install a high-resolution encoder system to track tripper position from 0 to 300 metres.
- 8.4.2 The contractor must interface encoder with PLC for real-time feedback.
- 8.4.3 The contractor shall use positional data to automate zone-based control and implement safety interlocks.
- 8.4.4 The contractor must update system logic and visualization to reflect encoder input.

8.5 **HMI System Installation:**

- 8.5.1 The contractor shall supply and install a Siemens HMI panel (e.g., TP1200 Comfort or equivalent).
- 8.5.2 The contractor must develop intuitive screens for real-time monitoring of tripper position, motor status, alarms, and operational commands.
- 8.5.3 The contractor must integrate HMI with PLC and encoder system via TIA Portal.
- 8.5.4 The contractor must provide operator access to diagnostics, manual overrides, and system logs.
- 8.5.5 The contractor must conduct training for operators and maintenance personnel on HMI usage and troubleshooting.
- 8.5.6 The contractor is required to attain relevant permits (Permit to work, Isolations, and permit to work for a task) through the Transnet Supervisor/Technical Manager before commencing any work.
- 8.5.7 OEM or SABS approved parts to be used
- 8.5.8 The supplier is required to give at least 12 months' warranty on parts and workmanship.

|                       |                               |                            |               |        |                       |
|-----------------------|-------------------------------|----------------------------|---------------|--------|-----------------------|
| PORT RAIL<br>TERMINAL | D03 Conveyor Electrical Works |                            |               |        | PORT RAIL<br>TERMINAL |
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### 9. D03 Conveyor Electrical Works

- 9.1 The Contractor shall clearly mark and label all cables and terminal wires connected to terminal or connector blocks within CCG boxes. Labelling shall comply with accepted industrial identification standards to ensure full traceability during and after commissioning.
- 9.2 The Contractor shall remove the existing twenty (x20) size-1 CCG boxes and install new IP66-rated enclosures. All installations shall comply with OEM recommendations and applicable SANS standards.
- 9.3 The Contractor shall replace all cable glands terminating control cables onto the CCG boxes. All glands must be OEM-approved or SABS-compliant.
- 9.4 The Contractor shall replace the conveyor belt speed switch, including the associated supply cable, and ensure correct calibration and operational verification.
- 9.5 The Contractor shall inspect and refurbish the junction box, replace all terminal connection strips, and ensure the enclosure is dust-proof and compliant with environmental protection standards.
- 9.6 The Contractor shall replace the 220V warning alarms for both the moving head and conveyor belt, ensuring compliance with safety and audibility requirements.
- 9.7 The Contractor shall remove and replace the chute level high detector probe, including the full supply cable, and replace the mounting adapter thread on the moving head trunking or chute.
- 9.8 The Contractor shall replace all twenty (x20) manual trip switches, including all trip wires, 6 mm stainless-steel shackles, and all M12 eye bolts mounted on the conveyor belt structure.

|                       |                               |                            |               |        |                       |
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DESCRIPTION OF THE WORKS: Supply and Installation of gallery lighting, electrical works and conveyor belt to TPT - RCB

- 9.9 The Contractor shall inspect the existing energy chain system, replace any damaged links, and inspect all supply and control cables. Cable replacement shall be carried out only where defects are identified and approved.
- 9.10 The Contractor shall replace the entire wire-mesh cable tray system mounted on the conveyor belt structure. Upon installation, all cables shall be neatly strapped using suitably rated cable ties. Cable tray sizing will be confirmed during the site meeting.
- 9.11 The Contractor shall remove and refurbish both 7.5 kW tripper car motors and their binder brakes, ensuring proper functioning upon reinstallation and post-testing.
- 9.12 The Contractor shall test, grease, and refurbish the main 3.3 kV, 132 kW squirrel-cage induction motor. Both drive-end and non-drive-end bearings shall be replaced. The motor shall be reinstalled, aligned with the gearbox, and accompanied by formal test results.
- 9.13 The Contractor shall provide one (1) month of post-completion support to Transnet at no additional cost following final handover.

**Lighting**

- 9.14 The Contractor shall install twenty-five (25) new 400 W floodlights (or equivalent MAG44 fittings) complete with compatible lamps/globes.
- 9.15 All new fittings shall be installed in accordance with OEM specifications, SANS electrical installation standards, and site safety requirements.
- 9.16 Each floodlight shall be housed in a reinforced, tamper-resistant enclosure designed to prevent theft, vandalism, and unauthorized access.
- 9.17 Enclosures shall be fabricated from corrosion-resistant materials suitable for the operational environment.
- 9.18 All lighting units shall be mounted out of arm's reach and secured to structural elements capable of supporting the load, ensuring that the installation does not pose a hazard to personnel or operations.

**10 Other requirements**

- 10.1 The Contractor shall execute all site work in a manner that does not interfere with other terminal operations.
- 10.2 The Contractor shall plan all resources and working hours to ensure the agreed schedule is met.
- 10.3 All employees shall wear the required PPE, including reflective vests, and strictly comply with Transnet safety standards.
- 10.4 No plant operation shall be interrupted, isolated, or interfered with without prior authorization from the Section Supervisor.
- 10.5 The Contractor shall obtain all relevant permits, including Permit to Work, Isolation Permits, and Task-specific Permits from the Transnet Supervisor/Technical Manager before commencing any work.
- 10.6 All parts, materials, and components shall be OEM-approved or fully compliant with SABS standards.
- 10.7 The Contractor shall provide a minimum 12-month warranty on all workmanship and replaced components.

**General**

- 10.8 All loose electrical and mechanical connections shall be tightened to OEM-specified torque values and verified during inspections.
- 10.9 All damaged connectors, terminals, and fasteners shall be replaced with compliant, approved equivalents.
- 10.10 All site activities, observations, incidents, tests, and inspections shall be recorded in the Site Daily Diary without exception.
- 10.11 All testing activities shall be performed in the presence of a designated TPT representative.
- 10.12 The Contractor shall issue a 12-month warranty on workmanship and all

TRANSNET PORT TERMINALS: TPT

DESCRIPTION OF THE WORKS: Supply and Installation of gallery lighting, electrical works and conveyor belt to TPT - RCB applicable components.

- 10.13 The Contractor shall conduct functional and load testing of all replaced components, assemblies, and systems.
- 10.14 The Contractor shall submit certified test certificates and inspection reports for motors, alarms, electrical circuits, and all equipment refurbished under this scope.
- 10.15 Updated as-built drawings shall be provided in both hard-copy and soft-copy formats at the commissioning stage.
- 10.16 All project documentation, including quality records, test certificates, drawings, inspections, torque sheets, and commissioning reports—shall be compiled into a complete Data Pack for final submission and project close-out.

### **11. Health and safety requirements**

The service provider shall comply with TPT health safety and Environment specification number: operations -SHE contractor Specification SHEQ PRO.021

### **12. Documentation Control**

The service provider will be expected to refer EEAM-016, General Requirements for document control and **EEAM-009 Quality Assurance, Quality Specification** which defines the quality control plan requirements

### **13. Tools and Machinery**

The service provider is to provide all labour, materials, tools, machinery, equipment, appliances and services necessary to execute the works in the scope. Where required, scaffolding and rigging will be supplied by TPT.