



REQUEST FOR PROPOSAL

**APPOINTMENT OF A SERVICE PROVIDER FOR THE
OUTSOURCING OF THE INTERNAL AUDIT FUNCTION FOR A
PERIOD OF THREE (3) YEARS.**

RFP No: GGB/RFP/002/2024-2025

Date of issue	20 March 2025
Non - Compulsory Briefing Session	28 March 2025 @ 11:00 am
Briefing session venue	GGB Offices – Waverly Office Park, 125 Corlett Drive – Bramley, 2018
Closing Date	21 April 2025
Closing time	11:00am
Closing Address	125 Corlett Drive, Bramley, Johannesburg, 2018

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LIST OF ACRONYMS

AGSA	Auditor General South Africa
ARC	Audit and Risk Committee
CPIX	Consumer Price Index
CA(SA)	Chartered Accountant
CIA	Certified Internal Auditor
CISA	Certified Information Systems Auditor
COBIT	Control Objectives for Information and Related Technologies
CV	Curriculum Vitae
GGB	Gauteng Gambling Board
GRAP	Generally Recognised Accounting Practice
HDI	Historical Disadvantaged Individual
IFRS	International Financial Reporting Standards
IIA SA	Institute of Internal Auditors South Africa
ISACA	Information Systems Audit and Control Association
MEC	Member of the Executive Council
PAIA	Promotion of Access to Information Act
P.A.	Per Annum
PFMA	Public Finance Management Act
POPIA	Protection of Personal Information Act
PPPFA	Preferential Procurement Policy Framework Act
PSP	Professional Service Provider
RFP	Request for Proposal
SAICA	South African Institute of Chartered Accountants
SARS	South African Revenue Service
SBD	Standard Bidding Document
SCM	Supply Chain Management
TA	Technical Assistance
TOR	Terms of Reference

DEFINITIONS

In this Request for Proposal, unless a contrary intention is apparent:

Business Day means a day which is not a Saturday, Sunday, or public holiday.

Bid means a written offer in a prescribed or stipulated form lodged by a Bidder in response to an invitation in this Request for Proposal, containing an offer to provide goods, works or services in accordance with the Terms of Reference as provided in RFP Part B.

Closing Time means the time, specified as such under the clause “Indicative Timetable” ,
of this RFP Part A, by which Tenders must be received.

Evaluation Criteria means the criteria set out under the clause “Evaluation Criteria Format”,
of this RFP Part A.

GGB means the Gauteng Gambling Board; a PFMA Schedule 3(C) listed Provincial Public Entity, established in terms of the Gauteng Gambling Act, *No 4 of 1995 as amended*.

Intellectual Property Rights includes copyright and neighbouring rights, and all proprietary rights in relation to inventions (including patents) registered and unregistered trademarks (including service marks), registered designs, confidential information (including trade secrets and know how) and circuit layouts, and all other proprietary rights resulting from intellectual activity in the industrial, scientific, literary, or artistic fields.

Official means an official of the GGB.

Proposed Contract means the agreement including any other terms and conditions contained in or referred to in this RFP that may be executed between the GGB and the successful Bidder.

Request for Proposal or **RFP** means this document (comprising each of the parts identified under RFP Part A, Part B and Part C) including all annexure and any other documents so designated by the GGB.

Services means the services required by the GGB, as specified in this RFP Part B.

Terms of Reference means any Terms of Reference or description of the GGB’s requirements contained in this RFP Part B.

State means the Republic of South Africa.

Statement of Compliance means the statement forming part of a Tender indicating the Bidders compliance with the Terms of Reference.

Bidder means a person or organisation that submits a Bid.

Tendering Process means the process commenced by the issuing of this Request for Proposal and concluding upon formal announcement by the GGB of the selection of a successful Bidder(s) or upon the earlier termination of the process.

Website means the website administered by GGB located at www.ggb.org.za

In this RFP, unless expressly provided otherwise:

A reference to:

- (a) “includes” or “including” means includes or including without limitation; and
- (b) “R” or “Rand” is a reference to the lawful currency of the Republic of South Africa.

INTRODUCTION

The Gauteng Gambling Board is a statutory body established in terms of Section 3 of the Gauteng Gambling Act, No 4 of 1995, as amended (the Act).

The Board falls under the definition of “provincial public entity” in terms of Section 1 of the Public Finance Management Act, No 1 of 1999 (“PFMA”), and is listed in Schedule 3 to that Act as being one of the public entities in respect of which the legislation applies.

Prior to August 1996, betting on horse-racing and other sporting events was the only form of legal gambling in Gauteng. During August 1996, the Act was promulgated. This Act legalised other forms of gambling in the province and provided for the establishment of the GGB Board.

The following forms of activities are regulated by the GGB in Gauteng:

- Casino gaming
- Betting on horse-racing and sporting events
- Bingo
- Limited pay out gaming machines (Route and site operators)
- The manufacturing and supply of gambling equipment

The GGB is inviting responses to this request for proposal (reference number RFP ref. no. GGB/RFP/002/2024-2025) appointment of a service provider for the outsourcing of the internal audit function for a period of three (3) years, as stated in RFP Part B– terms of reference. interested bidders are required to provide a detailed response to be evaluated accordingly.

The appointment of successful service provider is subject to the conclusion of a service level agreement between the GGB and the service providers.

RFP OBJECTIVES

The objective of the tender is to find suitable and capable service providers to provide internal audit services to the GGB.

INDICATIVE TIMETABLE

ACTIVITY	DATE
Advertisement of RFP	20 March 2025
Non – compulsory briefing session	28 March 2025 at 11:00m
Closing Date and Time	21 April 2025 at 11:00am

**This timetable is provided as an indication of the timing of the tender process. It is indicative only and subject to change by the GGB.*

SUBMISSION OF TENDERS

Hardcopy Submission

Physical Address of Tender Box	125 Corlett Drive, Waverly.
Hours of access to Tender Box	During office hours only 08H00 – 16H30
Information to be marked on envelope containing Tender	Gauteng Gambling Board SCM Unit RFP Ref. No. GGB/RFP/002/2024-2025 Name of Bidder:

1. Bidders are to provide one (1) original and one (1) hard copy of the Bidders Response (Bid) plus one electronic copy in a CD or USB Drive that are labelled well.
2. Bidders must include an electronic copy of the Bidders Response for each proposal in PDF or Microsoft Office 2010 format as part of the hardcopy submission. All responses must be submitted in a sealed envelope in accordance with the conditions of Tendering and on the official forms included in this document. **PLEASE DO NOT e-mail your responses.**
3. All documents must be virus checked by the Bidder before lodgement. In this case of inconsistency between the electronic and hardcopy submissions, the hardcopy submission will prevail.

RULES GOVERNING THIS RFP AND THE TENDERING PROCESS

APPLICATION OF RULES

4. Participation in the tender process is subject to compliance with the rules contained in this RFP Part A.
5. All persons (whether a Participant in this tender process) having obtained or received this RFP may only use it, and the information contained therein, in compliance with the rules contained in this RFP Part A.
6. All Bidders are deemed to accept the rules contained in this RFP Part A.
7. The rules contained in this RFP Part A apply to:
 - a. the RFP and any other information given, received, or made available in connection with this RFP, and any revisions or annexure.
 - b. the Tendering Process; and
 - c. any communications (including any briefings, presentations, meetings, and negotiations) relating to the RFP or the Tendering Process.

REQUEST FOR PROPOSAL

STATUS OF REQUEST FOR PROPOSAL

8. This RFP is an invitation for service provider/s to submit a proposal(s) for the provision of the services as set out in the terms of reference (TOR) Terms of Reference contained in this RFP Part B. Accordingly, this RFP must not be construed, interpreted, or relied upon, whether expressly or implied, as an offer capable of acceptance by any person(s), or as creating any form of contractual, promissory or other rights.
9. No binding contract or other understanding for the supply of the Services will exist between the GGB and any Bidder unless and until the GGB has executed a formal written contract with the successful Bidder.

ACCURACY OF REQUEST FOR PROPOSAL

10. Whilst all due care has been taken in connection with the preparation of this RFP, the GGB makes no representations or warranties that the content in this RFP or any information communicated to or provided to Bidders during the Tendering Process is, or will be, accurate, current, or complete. The GGB, and its officers, employees and advisors will not be liable with respect to any information communicated which is not accurate, current, or complete.
11. If a Bidder finds or reasonably believes it has found any discrepancy, ambiguity, error or inconsistency in this RFP or any other information provided by the GGB (other than minor clerical matters), the Bidder must promptly notify the GGB in writing of such discrepancy, ambiguity, error,

or inconsistency to afford the GGB an opportunity to consider what corrective action is necessary (if any).

12. Any actual discrepancy, ambiguity, error or inconsistency in this RFP or any other information provided by the GGB will, if possible, be corrected and provided to all Bidders without attribution to the Bidder who provided the written notice.

ADDITIONS AND AMENDMENTS TO THE RFP

13. The GGB reserves the right to change any information in, or to issue any addendum to this RFP before the Closing Date and Time. The GGB and its officers, employees and advisors will not be liable in connection with either the exercise of, or failure to exercise this right.

REPRESENTATIONS

14. No representations made by or on behalf of the GGB in relation to this RFP will be binding on the GGB unless that representation is expressly incorporated into the contract ultimately entered between the GGB and the successful Bidder.

CONFIDENTIALITY

15. All persons (including all Bidders) obtaining or receiving this RFP and any other information in connection with this RFP, or the Tendering Process must keep the contents of the RFP and other such information confidential, and not disclose or use the information except as required for the purpose of developing a proposal in response to this RFP.

COMMUNICATIONS DURING THE TENDERING PROCESS

REQUESTS FOR CLARIFICATION OR FURTHER INFORMATION

16. All communication and attempts to solicit information of any kind relative to this tender should be in writing and channelled to the Supply Chain Management Unit via email to: tenders@ggb.org.za
17. Any communication by a Bidder to the GGB will be effective upon receipt by the SCM Unit.
18. The GGB has restricted the period during which it will accept questions or requests for further information or clarification and reserves the right not to respond to any enquiry or request, irrespective of when such enquiry or request is received. Such restricted times are Saturday, Sunday, and public holidays from 9 am to 4H30 pm and on Fridays from 9 am to 3 pm. The GGB will only respond to such requests from **31 March 2025 until 16 April 2025**, as **21 April 2025** is the closing date for bids and bidders would then be too late to act upon responses.
19. Except where the GGB is of the opinion that issues raised apply only to an individual Bidder, questions submitted and answers provided will be made available to all Bidders by e-mail, as well as on the GGB's website without identifying the person or organisation which submitted the

question. Such responses/clarifications will be posted on the GGB website no later than **16 April 2025 at 11:00am**.

20. In all other instances, the GGB may directly provide any written notification or response to a Bidder by email to the address of the Bidder (as notified by the Bidder to the SCM Unit).
21. A Bidder may, by notifying the SCM Unit in writing, withdraw a question submitted in accordance with the tender, in circumstances where the Bidder does not wish the GGB to publish its response to the question to all Bidders.

UNAUTHORISED COMMUNICATIONS

22. Communications (including promotional or advertising activities) with staff of the GGB or advisors assisting with the Tendering Process are not permitted during the Tendering. Nothing in this clause is intended to prevent communications with staff of, or advisors of the GGB to the extent that such communications do not relate to this RFP or the Tendering Process.
23. Bidders must not otherwise engage in any activities that may be perceived as, or that may have the effect of, influencing the outcomes of the Tendering Process in any way.

IMPROPER ASSISTANCE, FRAUD AND CORRUPTION

24. Bidders may not seek or obtain the assistance of employees, contractors, or advisors of the GGB in the preparation of their tender responses.
25. The GGB may in its absolute discretion, immediately disqualify a Bidder that it believes has sought or obtained such improper assistance.
26. Bidders are to be familiar with the implications of contravening the Prevention and Combating of Corrupt Activities Act, No. 12 of 2004 and any other relevant legislation.

ANTI-COMPETITIVE CONDUCT

27. Bidders and their respective officers, employees, agents, and advisors must not engage in any collusion, anti-competitive conduct, or any other similar conduct in respect of this Tendering Process with any other Bidder or any other person(s) in relation to:
 - a. The preparation or lodgement of their Tender
 - b. the evaluation and clarification of their Tender; and
 - c. the conduct of negotiations with the GGB.
28. For this tender collusion, anti-competitive conduct or any other similar conduct may include disclosure, exchange, and clarification of information whether such information is confidential to the GGB or any other Bidder or any other person or organisation.

29. In addition to any other remedies available to it under law or contract, the GGB may, in its absolute discretion, immediately disqualify a Bidder that it believes has engaged in any collusive, anti-competitive conduct or any other similar conduct during the entire Tendering Process.

COMPLAINTS ABOUT THE TENDERING PROCESS

30. Any complaint about the RFP or the Tendering Process must be submitted to the CEO in writing, (preferably by email), immediately upon the cause of the complaint arising or becoming known to the Bidder.
31. The written complaint must set out:
- a. The basis for the complaint, specifying the issues involved.
 - b. How the subject of the complaint affects the organisation or person making the complaint.
 - c. Any relevant background information; and
 - d. The outcome desired by the person or organisation making the complaint.
32. If the matter relates to the conduct of an official, employee or advisor of the GGB, the complaint should be addressed in writing marked for the attention of the Chief Executive Officer of the GGB, and delivered to the physical address of the GGB, as notified.

CONFLICT OF INTEREST

33. A Bidder must not, and must ensure that its officers, employees, agents and advisors do not, place themselves in a position that may give rise to actual, potential or perceived conflict of interest between the interests of the GGB and/or the Gauteng Provincial Government and the Bidders interests during the Tender Process.
34. The Bidders Response in this RFP Part C requires the Bidder to provide details of any interests, relationships or clients which may or do give rise to a conflict of interest in relation to the supply of the services under any contract that may result from this RFP.
35. If the Bidder submits its Tender and a subsequent conflict of interest arises, or is likely to arise, which was not disclosed in the Tender, the Bidder must notify the GGB immediately in writing of that conflict.
36. The GGB may immediately disqualify a Bidder from the Tendering Process if the Bidder fails to notify the GGB of the conflict as required.

LATE TENDERS

37. Tenders must be lodged by the Closing Time. Where a tender document is not in the tender box at the time of the bid closing, such a bid document will be regarded as a late bid. Late bids will not be considered.
38. Tenders lodged after the Closing Time or lodged at a location or in a manner that is contrary to that specified in this RFP will be disqualified from the Tendering Process and will be ineligible for consideration.

39. The determination of the GGB as to the actual time that a Tender is lodged is final. All Tenders lodged in the Tender Box after the Closing Time will be recorded by the GGB and will only be opened for the purposes of identifying a business name and address of the Bidder. The GGB will inform a Bidder whose Tender was lodged after the Closing Time of its ineligibility for consideration. The general operating practice is for the late tender to be returned within 5 (Five) working days of receipt or within 5 (Five) working days after determination not to accept a late tender.

BIDDERS RESPONSIBILITIES

40. Bidders are responsible for:
- a. Examining this RFP and any documents referenced or attached to this RFP and any other information made or to be made available by the GGB to Bidders in connection with this RFP.
 - b. fully informing themselves in relation to all matters arising from this RFP, including all matters regarding the GGB's requirements for the provision of the Services, as well as general conditions and specific conditions of contract.
 - c. ensuring that their Tenders are accurate and complete.
 - d. making their own enquiries and assessing all risks regarding this RFP, and fully considering and incorporating the impact of any known and unknown risks into their Tender.
 - e. ensuring that they comply with all applicable laws regarding the Tendering Process particularly as specified by National Treasury Regulations, Guidelines, Instruction Notes and Practice Notes and other relevant legislation as published from time to time in the Government Gazette.
 - f. Submitting an Original Valid Tax Clearance status pin and failure to provide the required information could result in disqualification of the bidder.

PREPARATION OF TENDERS

- a. Bidders must ensure that their Tender is submitted in the required format as stipulated in this RFP Part C; and
- b. all the required information fields in RFP Part C are completed in full and contain the information requested by the GGB.

Note to Bidders: The GGB may in its absolute discretion reject a Tender that does not include the information requested.

41. Unnecessarily elaborate responses or other presentations beyond that which is sufficient to present a complete and effective tender proposal are not desired or required. Elaborate and expensive visual and other presentation aids are not necessary.

ILLEGIBLE CONTENT, ALTERATION AND ERRORS

42. Incomplete Tenders may be disqualified or evaluated solely on information contained in the Tender.
43. The GGB may disregard any content in a Tender that is illegible and will be under no obligation whatsoever to seek clarification from the Bidder.

44. The GGB is entitled to amend any bid conditions, validity period, Terms of References, or extend the closing date of bids before the closing date. All bidders, to whom the bid documents have been issued, will be advised in writing of such amendments in good time to enable them to respond effectively / positively.

OBLIGATION TO NOTIFY ERRORS

45. Amended bids may be sent, together with the original bid, in an envelope marked "Amendment to bid" and should be placed in the bid box before the closing date and time. Amended bids without the original bid document will not be considered.

RESPONSIBILITY FOR TENDERING COSTS

46. The Bidders participation or involvement in any stage of the Tendering Process is at the Bidders sole risk, cost, and expense. The GGB will not be held responsible for, or pay for, any expense or loss that may be incurred by Bidders in relation to the preparation or lodgement of their Tender.
47. The GGB is not liable to the Bidder for any costs based on any contractual, or promissory or restitution ARY grounds whatsoever because of any matter relating to the Bidders participation in the Tendering Process, including without limitation, instances where:
- a. The Bidder is not engaged to perform under any contract; or
 - b. The GGB exercises any right under this RFP or at law.

DISCLOSURE OF TENDER CONTENTS AND TENDER INFORMATION

48. All Tenders received by the GGB will be treated as confidential. The GGB will not disclose any Tender contents and Tender information, except:
- a. As required by law.
 - b. for the purpose of investigations by other government authorities having relevant jurisdiction.
 - c. to external consultants and advisors of the GGB engaged to assist with the Tendering Process; or
 - d. for the general information of Bidders required to be disclosed as per National Treasury Regulations, Guidelines, Instruction Notes or Practice Notes.

USE OF TENDERS

49. Upon submission in accordance with the requirements of submission of Tenders, all Tenders submitted become the property of the GGB. Bidders will retain all ownership rights in any intellectual property contained in the Tender.
50. Each Bidder, by submission of their Tender, is deemed to have licensed the GGB to reproduce the whole, or any portion, of their Tender for the purposes of enabling the GGB to evaluate the Tender.

51. Further, in submitting a Tender, the Bidder accepts that the GGB shall, in accordance with the requirements of Treasury Regulation No. 16A.6.3(d) and the National Treasury Instruction Note 3 of 2021/22 on *Enhancing Compliance Monitoring and Improving Transparency and Accountability in Supply Chain Management*, publish (on the internet or otherwise):
- The names of all Bidders that submitted bids in relation to this RFP within 10 (Ten) working days after the closing date of this RFP, if the bid is above the threshold value of R1 000 000 and
 - on award of the bid, the name of the successful Bidder, the contract price, B-BBEE level of contribution status, the contract number and description of the contract awarded.

PERIOD OF VALIDITY

52. All Tenders received must remain valid and open for acceptance for a minimum of **90** (Ninety) days from the Closing Time. This period may be extended by written mutual agreement between the GGB and the Bidder.

STATUS OF TENDER

53. Each Tender constitutes an irrevocable offer by the Bidder to the GGB to provide the Services required and otherwise to satisfy the requirements of the Terms of Reference as set out in this RFP Part B.
54. A Tender must not be conditional on:
- The Board approval of the Bidder or any related governing body of the Bidder being obtained.
 - the Bidder conducting due diligence or any other form of enquiry or investigation.
 - the Bidder (or any other party) obtaining any regulatory approval or consent.
 - the Bidder obtaining the consent or approval of any third party; or
 - the Bidder stating that it wishes to discuss or negotiate any commercial terms of the contract.
55. The GGB may, in its absolute discretion, disregard any tender that is, or is stated to be, subject to any one or more of the conditions detailed above (or any other relevant conditions).
56. The GGB reserves the right to accept a Tender in part or in whole or to negotiate with a Bidder in accordance with SCM prescripts. (Unreasonable disadvantage) of this RFP Part A.

TENDER RESPONSE

COMPLIANCE WITH TERMS OF REFERENCE

57. Under Part C of this RFP, a Bidder must submit a tabulated statement showing its level of compliance to the Terms of Reference contained in this RFP Part B.
58. Bidders must state if they will not comply with the Terms of Reference or will only comply with the Terms of Reference subject to conditions. Full details of the non-compliance (including the nature and extent of the non-compliance and any reasons for such non-compliance) must be stated in the space provided in the tabulated statement.

59. No response is required in respect of a particular section of the Terms of Reference where Bidders will comply with the Terms of Reference. Only sections that Bidders have not complied with, or will only comply with subject to conditions, should be noted in the tabulated statement.
60. The GGB is prepared to contemplate minor variations or departures from the Terms of Reference proposed by Bidders.
61. However, Bidders should note that significant or substantive variations or departures from the Terms of References will not be viewed favourably unless the Bidder is able to clearly demonstrate to the satisfaction of the GGB the necessity for such variations or departures.

Note to Bidders: The GGB will assume that a Bidders Response complies in all relevant respects with the Terms of Reference unless the Bidder states otherwise. Failure to notify the GGB of any non-compliance may result in a Bidders Response being disregarded.

GENERAL

62. Indefinite responses such as “noted”, “to be discussed” or “to be negotiated” are not acceptable.
63. Where the Bidder is unwilling to accept a specified condition, the non-acceptance must be clearly and expressly stated. Prominence must be given to the statement detailing the non-acceptance. It is not sufficient that the statement appears only as part of an attachment to the Tender or be included in a general statement of the Bidders usual operating conditions.
64. An incomplete Tender may be disqualified or assessed solely on the information completed or received with the Tender.

INNOVATIVE SOLUTIONS

65. Bidders are encouraged to offer options or solutions which may, in an innovative way, contribute to the GGB’s ability to carry out its operations in a more cost-effective manner.
66. These options or solutions may be related to:
- a. The outputs, functional, performance and technical aspects of the requirement; or
 - b. Opportunities for more advantageous commercial arrangements.
67. Any such options or solutions will be considered by the GGB on a “commercial in confidence” basis if so, requested by the Bidder.
68. Where a Bidder submits an offer which meets the requirements of this RFP in an alternative and practical manner, the Tender must also include any supplementary material (including such pricing and costing details as may be necessary to enable the GGB to fully assess the financial impact of the alternative proposal), which demonstrates in detail that such an alternative will fully achieve and/or exceed all the specified requirements, together with references as to why the additional features may be advantageous.
69. The GGB reserves the right to consider such offers on their merits or not to consider them at all.

CONTRACT DISCLOSURE REQUIREMENTS

DISCLOSURE OF INFORMATION

70. The Conditions of Tendering include a provision for the disclosure of contract information (refer to this RFP Part A dealing with “Use of Tenders”).
71. If a Bidder wishes to withhold the disclosure of specific contract information, the Bidder must clearly outline how the release of this information will expose trade secrets, business strategy or unique methodologies that may expose the business unreasonably to disadvantage.

TRADE SECRETS

72. In considering whether specific information should be categorised as a trade secret, Bidders should assess:
- a. The extent to which it is known outside of the Bidders business.
 - b. the extent to which it is known by the persons engaged in the Bidders business.
 - c. any measures taken to guard its secrecy.
 - d. its value to the Bidders business and to any competitors.
 - e. the amount of money and effort invested in developing the information; and
 - f. the ease or difficulty with which others may acquire or develop this information.

UNREASONABLE DISADVANTAGE

73. In determining whether disclosure of specific information will expose a Bidders business unreasonably to disadvantage, the following should be considered:
- a. Whether the information is generally available to competitors; and
 - b. Whether it could be disclosed without causing substantial harm to the competitive position of the business.

GGB will consider these applications in the Tender evaluation and negotiations (if any) with Bidders.

EVALUATION OF TENDERS

EVALUATION PROCESS

74. Following the Closing Time, the GGB intends to evaluate the Tenders received.
75. Tenders will be evaluated against the Evaluation Criteria specified under the section “Evaluation Criteria Format”.
76. Without limiting the GGB’s rights in the RFP, the GGB may at any time during the Tendering Process choose to:
- a. Shortlist one or more Bidders; and
 - b. Accept one or more of the Tenders.

77. Unless the Evaluation Criteria explicitly require, the GGB may, but is not in any way bound to, shortlist, to select as successful, or to accept the Tender offering the lowest price.
78. Should the GGB choose to include a short-listing stage in its evaluation process, the GGB is not, at any time, required to notify Bidders or any other person or organisation interested in submitting a Tender.
79. A Bidders Response will not be deemed to be unsuccessful until such time as the Bidder is formally notified of that fact by the GGB. The commencement of negotiations by the GGB with one or more other Bidders is not to be taken as an indication that any Bidders Response has not been successful.

EVALUATION CRITERIA FORMAT

80. The evaluation criteria are weighted to reflect the importance of project requirements noted in the Terms of Reference.
81. In evaluating Bidders Responses, the GGB will have regard to:
- a. Specific evaluation criteria identified in the list below.
 - b. the overall value for money proposition presented in the Bidders Response; and
 - c. weighting assigned to any or all of the evaluation criteria specified below.
82. For the purposes of this RFP 'value for money' is a measurement of financial and non-financial factors, including:
- a. Quality levels; and
 - b. performance standards.
83. Value for money will be assessed on a 'whole of life' basis (including the transitioning-in, the contract term and the transitioning-out phases of the relationship between the GGB and a Bidder), with a view to long-term sustainability of the value for money proposition and with a focus on ensuring that value for money outcomes are promoted and protected following the conclusion of any contract that may result from this RFP.
84. Administrative compliance will be determined in accordance with the conditions listed in this RFP.
85. The evaluation criteria will be in line with the approved GGB Supply Chain Management Policy together with the Preferential Procurement Policy Framework Act (Act 5 of 2000) and the Preferential Procurement Regulations 2022, as amended.
86. The evaluation will be based on functionality and preference point system. As a pre-requisite, a bid must comply with the requirements of the bid solicitation and meet the minimum threshold of the functionality evaluation criteria to be declared responsive and qualify for the next evaluation stage.
87. A minimum threshold for functionality of seventy (70) points is required. Bids that do not meet this threshold will automatically be disqualified from further evaluation.
88. Thereafter, only the qualifying bids will be evaluated using the 80/20 preference point system, where a maximum of 80 points are allocated for price, and a maximum of 20 points are allocated in respect of the specific goals allocated to the bidder.

89. The value of this bid is estimated to be less than R 50,000 000.00, so the 80/20 preference point system shall apply. The following is the weighting awarded for each element and the threshold score.

Evaluation element	Weighting	Threshold score
Technical proposal (Functionality)	100	70 Points

Evaluation element	Weighting	Threshold score
Price proposal	80	N/A
Specific goals	20	N/A
Total	100	

90. The determining of the Preference Point will be in line with Regulation 4 of the PPR, 2022, to which the following table will be applied.

The Specific Goals Allocated points in terms of this tender	Number of Points Allocated (80/20 system)
Women-owned companies.	10
Youth (Between 18 years and 35 years).	5
Black-Owned (Africans, Indians, Coloureds).	5
TOTAL	20

CRITERIA FOR BREAKING DEADLOCK IN SCORING

91. The criteria for breaking deadlock will be applied in accordance with Regulation 8 of the Preferential Procurement Regulation, 2022.
92. In Regulation 8 of the Preferential Procurement Regulations (PPR) 2022, if two or more tenderers score equal total points, the contract is awarded to the tenderer with the highest points for specific goals, and if a deadlock persists after considering specific goals, the award is decided by drawing lots.

CLARIFICATION OF TENDERS

93. The GGB may seek clarification from and enter discussions with any or all the Bidders in relation to their Tender. The GGB may use such information in interpreting the Tender and evaluating the cost and risk in accepting the Tender. Failure to supply clarification to the satisfaction of the GGB may render the Tender liable to disqualification.
94. The GGB is under no obligation to seek clarification of anything in a Tender. and reserves the right to disregard any clarification that the GGB considers to be unsolicited or otherwise impermissible in accordance with the rules set out in this RFP.

DISCUSSION WITH BIDDERS

95. The GGB may elect to engage in detailed discussions with any one or more. Bidders, with a view to maximising the benefits of this RFP as measured against the evaluation criteria and in fully understanding a Bidders offer.
96. In its absolute discretion, the GGB may invite some or all Bidders to give a presentation to the GGB in relation to their submissions, that may include a demonstration of software, programs or unique methodologies proposed, if applicable.
97. The GGB is under no obligation to undertake discussions with, or to invite any presentations from Bidders.
98. In addition to presentations and discussions, the GGB may request some or all Bidders to:
- a. Conduct a site visit, if applicable.
 - b. provide references or additional information; and/or
 - c. make themselves available for panel interviews.

SUCCESSFUL TENDERS

NO LEGALLY BINDING CONTRACT

99. Selection as a successful Bidder does not give rise to a contract (express or implied) between the successful Bidder and the GGB for the supply of the services. No legal relationship will exist between the GGB and a successful Bidder for the supply of the services until such time as a binding contract is executed by them.

PRE-CONTRACTUAL NEGOTIATIONS

100. The GGB may, in its absolute discretion, decide not to enter into pre-contractual negotiations with a successful Bidder.
101. A Bidder is bound by its Tender and all other documents forming part of the Bidders Response and, if selected as a successful Bidder, must enter into a contract on the basis of the Tender without negotiation.

NO OBLIGATION TO ENTER INTO CONTRACT

102. The GGB is under no obligation to appoint a successful Bidder or Bidders (as the case may be), or to enter a contract with a successful Bidder or any other person, if it is unable to identify a Tender that complies in all relevant respects with the requirements of the GGB, or if due to changed circumstances, there is no longer a need for the services requested, or if funds are no longer available to cover the total envisaged expenditure. For the avoidance of any doubt, in these circumstances the GGB will be free to proceed via any alternative process.
103. The GGB may conduct a debriefing session for all Bidders (successful and unsuccessful). Attendance at such debriefing session is optional.

ADDITIONAL RULES

104. It is a condition of the tendering process that Bidders will be required to complete all the forms annexed to this RFP Part C.

BIDDER WARRANTIES

105. By submitting a Tender, a Bidder warrants that:
- a. In lodging its Tender, it did not rely on any express or implied statement, warranty or representation, whether oral, written, or otherwise made by or on behalf of the GGB, its officers, employees, or advisers other than any statement, warranty or representation expressly contained in the RFP.
 - b. it did not use the improper assistance of GGB employees or information unlawfully obtained from the GGB in compiling its Tender.

- c. it is responsible for all costs and expenses related to the preparation and lodgement of its Tender, any subsequent negotiation, and any future process connected with or relating to the Tendering Process.
- d. it otherwise accepts and will comply with the rules set out in this RFP; and
- e. it will provide additional information in a timely manner as requested by the GGB to clarify any matters contained in the Tender.

GGB'S RIGHTS

106. Notwithstanding anything else in this RFP, and without limiting its rights at law. or otherwise, the GGB reserves the right, in its absolute discretion at any time, to:
- a. Cease to proceed with or suspend the Tendering Process prior to the execution of a formal written contract.
 - b. alter the structure and/or the timing of this RFP or the Tendering Process.
 - c. vary or extend any time or date specified in this RFP for all or any Bidder or other persons.
 - d. terminate the participation of any Bidder or any other person in the Tendering Process.
 - e. require additional information or clarification from any Bidder or any other person or provide additional information or clarification.
 - f. call for new Tenders.
 - g. reject any Tender received after the Closing Time.
 - h. reject any Tender that does not comply with the requirements of this RFP; or
 - i. consider and accept or reject any alternative tender.

GOVERNING LAWS

107. This RFP and the Tendering Process is governed by the laws / legislation applicable to public sector SCM in the Republic of South Africa.
108. Each Bidder must comply with all relevant laws in preparing and lodging its Tender and in taking part in the Tendering Process.
109. All tenders must be completed using the English language and all costing must be in South African Rand.

INCONSISTENCY

107. If there is any inconsistency between any parts of this RFP, a descending order of precedence must be accorded to:
- (a) the conditions of tendering in Part A and Part B of this RFP, and any annexure or attachments.
 - (b) the Bidders response in Part C of this RFP.
 - (c) any other part of this RFP, so that the provision in the higher ranked document, to the extent of the inconsistency, prevails.



RFP - PART B:



TERMS OF REFERENCE

**INVITATION FOR PROPOSAL FOR THE OUTSOURCING OF
THE INTERNAL AUDIT FUNCTION FOR A PERIOD OF THREE
(3) YEARS**

TERMS OF REFERENCE

INVITATION FOR PROPOSAL FOR THE OUTSOURCING OF THE INTERNAL AUDIT FUNCTION FOR A PERIOD OF THREE YEARS

1. BACKGROUND

The Gauteng Gambling Board is a statutory body established in terms of Section 3 of the Gauteng Gambling Act, No. 4 of 1995, as amended (the Act).

The Board falls under the definition of “Provincial Public Entity” in terms of Section 1 of the Public Finance Management Act, No. 1 of 1999, (PFMA), and is listed in Schedule 3C to that Act as being one of the public entities in respect of which the legislation applies.

The main function of the Board is to oversee and control gambling activities in Gauteng, including:

- Licensing of individuals and companies to conduct gambling related activities.
- Registering of persons engaged in such activities.
- Approving and registering all gambling devices
- Collecting appropriate taxes and levies for the Gauteng Province and other specified beneficiaries
- Ensuring compliance with all legislation in connection with gambling
- Advising the Members of the Executive Council of the Gauteng Provincial Government responsible for the administration of the Act on matters relating to gambling.

A copy of the Gauteng Gambling Board’s latest Annual Report is available on the website www.ggb.org.za.

2. PURPOSE

The purpose of this document is to present the Terms of Reference for the appointment of an independent internal audit service provider with the requisite expertise and track record that can provide and maintain a professional and comprehensive internal audit service function to the Board of the Gauteng Gambling Board (GGB).

The successful service provider will be appointed for a period of three years, commencing from the date of appointment and the signed Service Level Agreement.

3. OBJECTIVES

- 3.1. An effective Internal Audit function is to serve as corporate conscience and that as professional auditors, they must drive operational efficiency, internal controls, corporate governance, and risk management. The service provider is expected to educate and make relevant recommendations to GGB Management and the Board, to support the GGB in meeting its strategic goals and objectives.
- 3.2. The service provider is expected to be conversant with and have sufficient capacity to perform the assignment pursuant to the requirements of the PFMA and have an in-depth knowledge of the latest standardised set by the International Financial Reporting Standards (IFRS) and Generally Recognised Accounting Practice (GRAP).
- 3.3. The Internal Audit function, although outsourced, must add value and be seen as a governance and assurance tool, that enhance the internal controls environment at a reasonable cost, as well as ensuring compliance to the provisions of the PFMA, the applicable National Treasury Regulations, Risk Management Standards and Frameworks, the Standards of Professional Practice in Internal Audit (SPPIA) as set by the Institute of Internal Auditors, and the Companies Act No. 71 of 2008, as amended. This would include the application of the principles of the latest King Report on Corporate Governance, as well as any other related legislation, policies, and frameworks.
- 3.4. The Board and Management of the GGB requires a pro-active Internal Audit function that is independent, understands the importance of corporate governance systems, risk management and use of leading internal audit methodologies and techniques that can function at an executive and operational level.

4. SPECIFICATIONS OF SKILLS REQUIRED

- 4.1. The service provider must propose a dedicated internal audit team who has a sound understanding of internal audit methodologies and techniques with practical experience on similar assignments, as well as a strong knowledge and understanding of government processes, systems, and practices.
- 4.2. The Engagement Partner/s must have a professional qualification of Chartered Accountant CA(SA) and/or Certified Internal Auditor (CIA).
- 4.3. Submission of an external Quality Assessment Report on previous work conducted.
- 4.4. Specialised skills which entail but is not limited to forensic audit and investigations as required.
- 4.5. IT and Cyber audit (minimum membership with ISACA and CISA certification)
- 4.6. IT governance with COBIT training.
- 4.7. Membership with any one of the following professional institutions, i.e. IRBA, SAICA or IIA SA.

5. OWNERSHIP OF WORKING PAPERS

- 5.1. The Board shall retain ownership of all working papers, charters and methodologies used during the period of appointment.

6. SCOPE OF WORK AND DELIVERABLES

- 6.1. Conducting an annual risk assessment for the Board.
- 6.2. Developing an annual audit plan and three-year rolling plan for the Board based on the risk assessment results through discussion and agreement with the Auditor-General South Africa (AGSA), including the budget and costing of the plan. This will be completed on an annual basis.
- 6.3. Submitting the risk assessment and audit plans to the Chief Executive Officer (CEO) and the Audit and Risk Committee (ARC) for approval.
- 6.4. Executing the approved annual audit plan as it relates to the period of service in accordance with the Standard for the Professional Practice of Internal Auditing
- 6.5. Reporting the results of audits to the Management and the Audit and Risk Committee (ARC) of the Board.
- 6.6. Auditing the performance information of the Board.
- 6.7. Performing any ad hoc request(s) subject to approval of the Audit and Risk Committee (ARC) of the Board.
- 6.8. Reporting the results of reviews and the progress against plan at each Audit and Risk Committee (ARC) meeting.
- 6.9. Fulfilling all requirements relating to the internal audit as prescribed in terms of the PFMA and the National Treasury Regulations.
- 6.10. Full management and administration of the internal audit function.
- 6.11. Conducting the internal audit assignments in terms of IIA Standards.
- 6.12. Provide assurance on the three-year business automation project implementation.
- 6.13. The following are the minimum business processes/auditable areas to be covered in the three-year rolling plan, however not limited to:

Table 1: Business processes/auditable areas.

Ref #.	Business Process / Auditable Area	Audit review frequency
Management of the internal audit function		
1	Preparation of three-year rolling plan and annual operational audit plan	Annually
2	Preparation of internal audit pack and attending audit committee meetings	Quarterly
3	Review of the Internal Audit and Audit and Risk Committee Charters	Annually
Business Area/Auditable Process		
4	Review of Financial Controls	Annually
5	Revenue Management	Twice in 3 years
6	Supply Chain Management (including tender reviews as and when required)	Annually
7	Corporate Governance	Annually
8	Human Resources	Annually
9	Compliance Division: Audit	Twice in 3 years
10	Compliance Division: Gaming Control	Twice in 3 years
11	Information Technology General Controls (ITGC)	Twice in 3 years
12	Information Technology – Application Controls Review	Annually
13	Information Technology – Cyber Security	Twice in 3 years
14	Information Technology – Governance	Twice in 3 years
15	Licensing & Investigations	Annually
16	SED (SDF & CSI)	Twice in 3 years
17	Communications (Responsible Gambling)	Twice in 3 years
18	Legal	Twice in 3 years
19	Law Enforcement	Twice in 3 years
20	Risk and Business Continuity	Annually
21	Audit of Predetermined Objectives	Quarterly
22	Review of Annual Financial Statements	Annually
23	Review of Annual Performance Plan	Annually
24	Stakeholder Relations & Transformation	Annually
25	Follow Up on External and Internal Audit Reports and update of Audit Tracking Register	Quarterly
26	Review of the Annual Report	Annually
27	Document & Records Management System Audit	Annually
28	PAIA/POPIA Policy Review and effectiveness	Annually

6.14 Estimated hours per year:

Cost per hour	Year 1	Year 2	Year 3
Estimated hours per year for auditable areas	1650	1550	1350

Please note, bidders are required to complete and submit **Annexure A– Pricing Proposal**, which is part of the mandatory criteria for paragraph 8.1.

7. SPECIAL CONDITIONS

- 7.1. All ad hoc assignments would be approved by the Audit and Risk Committee (ARC), a statutory, independent committee of the Board.
- 7.2. The contract will be for a period of three years commencing on the date of the signed Service Level Agreement and renewable annually based on performance.

8. BID EVALUATION METHODOLOGY

Bid evaluation will be conducted in two stages as per GGB Supply Chain Management Policy, together with the Preferential Procurement Policy Framework Act (Act 5 of 2000) and the Preferential Procurement Regulations, 2022.

Stage One (1) will consist of the evaluation of bids on Administrative Compliance and Functionality Evaluation.

During these stages of evaluation, bidders who do not meet the prescribed criteria for mandatory requirements will not be evaluated for functionality. Bidders who do not meet the criteria or threshold for functionality will be disqualified and will not be considered for further evaluation.

Stage Two (2) of the evaluation will be based on the Preference Point System, as per Regulation 4 of the PPR, 2022.

- Price = 80 points
- Specific Goals = 20 points

STAGE 1 A – Mandatory Administrative Compliance

STAGE 1 B - Functionality Evaluation

STAGE 2 - Price and Specific Goals

Stage 1A: Mandatory Administrative Evaluation Criteria.

It is compulsory to submit all listed SBD forms, and they must be duly signed.

- Bidders must provide completed and signed SBD forms (SBD1; SBD4; SBD6.1; SBD7.2).
- Completed Annexure A - Pricing Proposal.

NB: None submission of the above will lead to the bid being non-responsive and will be disqualified from any further evaluation.

Other Required Documents:

- Valid SARS Tax Compliance Status (TCS) Pin.
- Proof of registration with the National Treasury Central Supplier Database (CSD)
- The latest audited Financial Statements for last two years are required.
- In case where a portion of work will be subcontracted, a tenderer must submit Service Level Agreement or Contract signed by all parties of their intention to subcontract and clearly indicate the percentage to be subcontracted by a tenderer. Shareholders lists and levels of shareholding.
- Share certificates.
- List of directors
- Company profile which includes years of experience
- Internal audit approach/methodology
- A proposed internal audit plan for a three-year period indicating possible auditable areas and costing of the plan (including all costs relating to the execution of the assignment)
- Quality control strategy
- Frequency of visits to the Board
- Fee structure in relation to Auditor General's tariffs; as well as the average rate
- A list of deliverables
- CV's (including certified copies of qualifications) of key personnel to be utilised in the provision of the services indicating their roles.
- Relevant client experience with nature of assignment and a minimum of three contactable references
- Proof of company registration with IRBA, SAICA or IIA SA

Note: *Bidders must submit their SARS TCS PIN number and CSD Supplier number with their bid in order that the bidder's Tax Compliance Status can be verified. Should a bidder not be Tax Compliant at the time of verification, the bidder will be notified in writing of their non-compliant tax status and be requested to remediate their tax status within seven (7) working days. Failure to provide written proof of tax status remediation, within seven (7) working days of notification, will result in the rejection of the bid submitted by the Bidder.*

Stage 1B: Functionality Evaluation Criteria

A total of **100 points** is allocated for this stage of the evaluation. The minimum threshold for the evaluation is **70 points**. Any bidder who fails to meet this minimum requirement shall be deemed non-responsive and disqualified from further evaluation.

Ref	Evaluation Criteria	Evidence/Supporting Information Required	Points
1	Experience of the bidder (firm) in internal audit services per company profile, including specialised skills. - Below 3 years' experience - 5 Points - From 3 - 5 years' experience - 10 Points - From more than 5- 10 years' experience - 15 Points - From more than 10 or more years' experience - 20 Points	Company Profile	20
2	Experience of the bidder (firm) in the internal audit of Public Sector - Below 3 years' experience - 3 Points - From 3 - 5 years' experience - 5 Points - From more than 5 - 10 years' experience - 10 Points - From more than 10 or more years' experience - 15 Points <i>A minimum of three (3) contactable reference letters to be provided with services rendered, and the letter should not be older than 5 years. A valid reference letter must include the following: Company letter heads, Date of issue, Contract period, quality of service and be duly signed.</i>	Three (3) Reference Letters indicating internal audit of Public Sector	15
3	Partners/ Directors experience <i>Partner/ Director to have a CA (SA) and/or CIA qualification with relevant experience. CV to be attached including relevant certified copies of qualification.</i> - Below 6 years' experience - 3 Points - From 6 - 10 years' experience - 6 Points - From 10 or more years' experience - 12 Points	Certified Copies of Qualifications and a CV	12

Ref	Evaluation Criteria	Evidence/Supporting Information Required	Points
	<i>No submission of qualification and a CV will score zero points.</i>		
4	Internal Audit Senior Manager experience <i>Minimum qualification of a CA(SA) or CIA qualification with relevant experience. CV to be attached including relevant certified copies of qualification.</i> - Below 6 years' experience - 3 Points - From 6 - 10 years' experience - 5 Points - From 10 or more years' experience - 10 Points <i>No submission of qualification and CV will score zero points.</i>	Certified Copies of Qualifications and a CV	10
5	Internal Audit supervisor experience <i>Minimum qualification of an accounting degree (NQF level 7) with relevant experience in Internal Audit. CV to be attached including relevant certified copies of qualification.</i> - From 2 - 5 years' experience - 3 Points - From 5 or more years' experience - 5 Points <i>No submission of qualification and CV will score zero points.</i>	Certified Copies of Qualifications and a CV	5
6	Internal Auditors <i>Minimum qualification of a diploma in Finance (NQF Level 6). CV to be attached including relevant certified copies of qualifications.</i> - From 0 – 3 years' experience - 2 Points - From 3 or more years' experience - 3 Points <i>No submission of qualification and a CV will score zero points.</i>	Certified copies of Qualifications and a CV	3
7	IT Audit Specialist experience <i>Qualification of a Certified Information System Auditor (CISA certification and membership with Information Systems Audit and Control Association (ISACA), with relevant experience. CV to be attached including relevant certified copies of qualification.</i>	Proof of membership with Information Systems Audit and Control Association (ISACA) and a CV	6

Ref	Evaluation Criteria	Evidence/Supporting Information Required	Points
	- From 3 - 5 years' experience - 3 Points - From 5 or more years' experience - 6 Points <i>No submission of qualification and a CV will score zero points.</i>		
8	IT governance audit experience <i>Qualification of Control Objectives for Information and Related Technologies (COBIT) training, CISA, with experience in IT General Controls (ITGCs) Auditing, IT Governance Frameworks. CV to be attached including relevant certified copies of qualification.</i> - From 3 - 5 years' experience - 2 Points - From 5 or more years' experience - 4 Points <i>No submission of qualification will score zero points.</i>	Certified copies of qualifications and a CV	4
9	Ability to provide quality Internal Audit Services 1. Submission of a detailed internal audit methodology: - To be implemented - 5 Points - Timelines - 2 Points - Reporting methods - 3 Points 2. Relevant 3 year rolling audit plan with milestones including the minimum business processes/auditable areas as per paragraph 6.13 table 1 - 10 Points. 3. Quality assurance: - Latest valid quality review certification by an independent Quality Assurance reviewer. - Internal Quality Control strategies - 2 Points.	Internal Audit Methodology,	25
	Total Points		100
	Minimum Threshold		70

9. STAGE 2 PREFERENCE POINT SYSTEM

- 9.1.** At this Stage the bidders will be evaluated on price and specific goals point system according to the 80/20-point system in terms of the requirements of the Preferential Procurement Policy Framework Act (Act 5 of 2000) and the Regulation 4 of the Preferential Procurement Regulations 2022 and the GGB Supply Chain Management Policy. The preference points will be allocated in terms of the specific goals specified for this tender.
- 9.2.** A maximum of 80 points will be allocated for price and a maximum of 20 points for specific goals.
- 9.3.** The price points and the specific goals points will be added together to obtain the total score out of 100 points.
- 9.4.** The contract will be awarded in accordance with Preferential Procurement Policy Framework Act, (Act 5 of 2000) and PPR 2022.

PRICE	80
SPECIFIC GOALS	20
TOTAL PRICE AND SPECIFIC GOALS POINTS	100

TABLE - SPECIFIC GOALS ALLOCATED IN TERMS OF THIS TENDER

The specific goals allocated points in terms of this tender	Supporting Documents	Number of Points Allocated
Women-owned companies.	CIPC Documents, ID copy and valid BBEEE certificate/affidavit.	10
Youth (Between 18 years and 35 years).	CIPC Documents, ID copy and valid BBEEE certificate/affidavit.	5
Black-Owned (Africans, Indians, Coloureds)	CIPC Documents, ID copy and valid BBEEE certificate/affidavit.	5
TOTAL		20

NOTE: Points will be allocated according to the percentage of ownership confirmed in the company/firm

Sworn Affidavits and certification as a *“true copy of the original”*, must comply with the requirements outlined in the Justices of the Peace and Commissioners of Oaths Act, No.16 of 1963 and its Regulations promulgated in Government Notice GNR 1258 of 21 July 1972 Justices of the Peace and Commissioners of Oaths Act, No. 16 of 1963.

- **NOTES TO APPLICANTS:**

- The Gauteng Gambling Board is committed to maximizing the value obtained from the available internal audit service provider.
- Evaluation of proposals will be carried out by an evaluation committee. The evaluators may, if required, contact parties who have submitted proposals to seek clarification of any aspect of a proposal.
- Gauteng Gambling Board has the discretion to condone immaterial non-compliance with the requirements of this specification.
- There will be a non-compulsory briefing session on **28 March 2025 at 11h00am** at Gauteng Gambling Board's offices.

Interested bidders should submit their proposals to:

Gauteng Gambling Board
Waverley Office Park
125 Corlett Drive
Bramley
Johannesburg
2018

The bid documentation and further details are obtained from:

GGB website : www.ggb.org.za

E-mail: tenders@ggb.org.za

Submissions must be delivered to:

Gauteng Gambling Board
Waverley Office Park
125 Corlett Drive
Bramley
Johannesburg
2018

Closing date for submission of proposals is **21 April 2025 at 11h00am**, main reception and no late proposals will be accepted.



RFP - PART C:

PART A

INVITATION TO BID

YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF GAUTENG GAMBLING BOARD					
BID NUMBER:	GGB/RFP/002/2024-2025	Closing date	21 April 2025	CLOSING TIME:	11:00am
DESCRIPTION	Appointment of a service provider for the outsourcing of the internal audit function for a period of three (3) years				
BID RESPONSE DOCUMENTS MAY BE DEPOSITED IN THE BID BOX SITUATED AT (STREET ADDRESS)					
Waverly Office Park 125 Corlett Drive					
Bramley – Johannesburg					
2018					
BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO					
CONTACT PERSON	Supply Chain Management				
TELEPHONE NUMBER	011 581 4800	E-MAIL ADDRESS	Tenders@ggb.org.za		
SUPPLIER INFORMATION					
NAME OF BIDDER					
POSTAL ADDRESS					
STREET ADDRESS					
TELEPHONE NUMBER	CODE		NUMBER		
CELLPHONE NUMBER					
FACSIMILE NUMBER	CODE		NUMBER		
E-MAIL ADDRESS					
VAT REGISTRATION NUMBER					
SUPPLIER COMPLIANCE STATUS	TAX COMPLIANCE SYSTEM PIN:		OR	CENTRAL SUPPLIER DATABASE No:	MAAA
ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS	☐ Yes ☐ No		ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED?		☐ Yes ☐ No

/SERVICES OFFERED? 	/WORKS 	[IF YES ENCLOSE PROOF] 	[IF YES, ANSWER THE QUESTIONNAIRE BELOW]
QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS			
IS THE ENTITY A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)? DOES THE ENTITY HAVE A BRANCH IN THE RSA? DOES THE ENTITY HAVE A PERMANENT ESTABLISHMENT IN THE RSA? DOES THE ENTITY HAVE ANY SOURCE OF INCOME IN THE RSA? IS THE ENTITY LIABLE IN THE RSA FOR ANY FORM OF TAXATION?			☐ YES ☐ NO ☐ YES ☐ NO ☐ YES ☐ NO ☐ YES ☐ NO ☐ YES ☐ NO
IF THE ANSWER IS “NO” TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER FOR A TAX COMPLIANCE STATUS SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 2.3 BELOW.			

PART B

TERMS AND CONDITIONS FOR BIDDING

1. BID SUBMISSION:
1.1. BIDS MUST BE DELIVERED BY THE STIPULATED TIME TO THE CORRECT ADDRESS. LATE BIDS WILL NOT BE ACCEPTED FOR CONSIDERATION. 1.2. ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED– (NOT TO BE RE-TYPED) OR IN THE MANNER PRESCRIBED IN THE BID DOCUMENT. 1.3. THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT, 2000 AND THE PREFERENTIAL PROCUREMENT REGULATIONS, 2017, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER SPECIAL CONDITIONS OF CONTRACT. 1.4. THE SUCCESSFUL BIDDER WILL BE REQUIRED TO FILL IN AND SIGN A WRITTEN CONTRACT FORM (SBD7).
2. TAX COMPLIANCE REQUIREMENTS
2.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS. 2.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER'S PROFILE AND TAX STATUS. 2.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE WWW.SARS.GOV.ZA. 2.4 BIDDERS MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE BID. 2.5 IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED; EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER. 2.6 WHERE NO TCS PIN IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED. 2.7 NO BIDS WILL BE CONSIDERED FROM PERSONS IN THE SERVICE OF THE STATE, COMPANIES WITH DIRECTORS WHO ARE PERSONS IN THE SERVICE OF THE STATE, OR CLOSE CORPORATIONS WITH MEMBERS PERSONS IN THE SERVICE OF THE STATE."

NB: FAILURE TO PROVIDE / OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.

SIGNATURE OF BIDDER:

CAPACITY UNDER WHICH THIS BID IS SIGNED:

(Proof of authority must be submitted e.g., company resolution)

DATE:

BIDDERS DECLARATION

All responses must be provided within the specified boxes, must comply with the word and page limits imposed and must respond to this RFP Part B in accordance with the Conditions of Tendering in this RFP Part A. All Annexures form part of the Bidders Response and must be completed in full and signed.

BIDDERS DECLARATION	
I the undersigned _____ (full name) hereby certify that: ☐ I have read, understood, and accept the conditions contained in this RFP. ☐ I have supplied all the required information, and all the information submitted as part of the RFP is true and correct.	
NAME OF THE BIDDER	
IDENTITY NUMBER	
POSITION	
AUTHORISED SIGNATORY	

BIDDERS RESPONSE	
FULL NAME	
POSTAL ADDRESS	
PHYSICAL ADDRESS	
ENTITY REGISTRATION NUMBER	

VAT REGISTRATION NUMBER				
CONTACT PERSON'S FULL NAME				
TELEPHONE NUMBER 1	CODE		NUMBER	
TELEPHONE NUMBER 2	CODE		NUMBER	
FACSIMILE NUMBER	CODE		NUMBER	
CELLULAR PHONE NUMBER				
E-MAIL ADDRESS				
TAX CLEARANCE CERTIFICATE	YES		NO	
FULL NAME OF AUTHORISED AGENT				
TITLE OF AUTHORISED AGENT				
SIGNATURE OF AUTHORISED AGENT				
DATE OF SIGNATURE				
TOTAL BID PRICE				
CONFLICT OF INTEREST				
Provide details of any interests, relationships or clients which may give rise to a conflict of interest and the area of expertise in which that conflict of interest may arise.	<i>Complete as attached in SBD 4</i>			

SBD 2

TAX COMPLIANCE REQUIREMENTS

A tax compliance status pin issued by SARS is required.

SBD 3.3

PRICING SCHEDULE

(Professional Services)

NAME OF BIDDER: BID NO.: **RFP/GGB/002/2024-2025**

CLOSING TIME **11:00 am**

CLOSING DATE **21 April 2025**

OFFER TO BE VALID FOR **90 DAYS** FROM THE CLOSING DATE OF BID.

ITEM NO	DESCRIPTION **(ALL APPLICABLE TAXES INCLUDED)	BID PRICE IN RSA CURRENCY
------------	--	---------------------------

1. The accompanying information must be used for the formulation.

of proposals.

2. Bidders are required to indicate a ceiling price based on the total R _____
Estimated time for completion of all phases and including all expenses.
Inclusive of all applicable taxes for the project

3. Persons who will be involved in the project and rates applicable.
(Certified invoices must be rendered in terms hereof)

- | 4. PERSON AND POSITION | HOURLY RATE | DAILY RATE |
|------------------------|-------------|------------|
|------------------------|-------------|------------|

_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

5. PHASES ACCORDING TO WHICH THE PROJECT WILL BE
COMPLETED, COST PER PHASE AND MAN-DAYS TO BE SPENT

-----	R-----	----- days
-----	R-----	----- days
-----	R-----	----- days
-----	R-----	----- days
-----	R-----	-----days

5.1 Travel expenses (specify, for example rate/km and total km, class of air travel, etc). Only actual costs are recoverable. Proof of the expenses incurred must accompany certified invoices.

DESCRIPTION OF EXPENSE TO BE INCURRED AMOUNT	RATE	QUANTITY
-----	-----	-----
-----	-----	-----
-----	-----	-----
-----	-----	-----
-----	-----	-----

TOTAL -----

***** all applicable taxes** includes value- added tax, pay as you earn, income tax, unemployment insurance fund contributions and skills development levies.**

5.2 Other expenses, for example accommodation (specify, e.g. Three star hotel, bed and breakfast, telephone cost, reproduction cost, etc.). On basis of these particulars, certified invoices will be checked. for correctness. Proof of the expenses must accompany invoices.

DESCRIPTION OF EXPENSE TO BE INCURRED AMOUNT	RATE	QUANTITY
---	------	----------

_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

TOTAL _____

6. Period required for commencement with project after acceptance of bid

7. Estimated man-days for completion of project

8. Are the rates quoted firm for the full period of contract?
 *YES/NO

9. If not firm for the full period, provide details of the basis on which Adjustments will be applied for, for example consumer price index.

.....

Any enquiries regarding bidding procedures may be directed to the –

Gauteng Gambling Board

125 Corlett Drive

Bramley, Johannesburg

2018

Tel: 011 581 4800

Or for technical information –

Mr George Mafokwane

Tel: 011 581 4800

SBD 4

BIDDER'S DISCLOSURE.

1. PURPOSE OF THE FORM

Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

2. Bidder's declaration

- 2.1 Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest¹ in the enterprise,

employed by the state?

YES/NO

- 2.1.1 If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

Full Name	Identity Number	Name of State institution

¹ the power, by one person or a group of persons holding most of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.

2.2 Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution? **YES/NO**

2.2.1 If so, furnish particulars:

.....
.....

2.3 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether they are bidding for this contract?
YES/NO

2.3.1 If so, furnish particulars:

.....
.....

3 DECLARATION

I, _____ the _____ undersigned,
(name)..... in submitting the
accompanying bid, do hereby make the following statements that I certify to be true
and complete in every respect:

3.1 I have read, and I understand the contents of this disclosure.

3.2 I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect.

3.3 The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement, or arrangement with any competitor. However, communication between partners in a joint venture or consortium² will not be construed as collusive bidding.

3.4 In addition, there have been no consultations, communications, agreements, or arrangements with any competitor regarding the quality, quantity, specifications,

² Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.

3.4 The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.

3.5 There have been no consultations, communications, agreements, or arrangements made by the bidder with any official of the procuring institution in relation to this procurement process prior to and during the bidding process except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.

1 Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill, and knowledge in an activity for the execution of a contract.

3.6 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 1, 2 and 3 ABOVE IS CORRECT.

I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

.....

Signature

.....

Date

.....

Position

.....

Name of bidder

SBD 6.1

PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL PROCUREMENT REGULATIONS 2022

This preference form must form part of all tenders invited. It contains general information and serves as a claim form for preference points for specific goals.

NB: BEFORE COMPLETING THIS FORM, TENDERERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF THE TENDER AND PREFERENTIAL PROCUREMENT REGULATIONS, 2022

1. GENERAL CONDITIONS

1.1 The following preference point systems are applicable to invitations to tender:

- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included).

1.2 To be completed by the organ of state

- a) The applicable preference point system for this tender is the 80/20 preference point system.
- b) 80/20 preference point system will be applicable in this RFQ. The lowest/ highest acceptable tender will be used to determine the accurate system once tenders are received.

1.3 Points for this tender (even in the case of a tender for income-generating contracts) shall be awarded for:

- (a) Price; and
- (b) Specific Goals.

1.4 To be completed by the organ of state:

The maximum points for this tender are allocated as follows:

	POINTS
PRICE	80
SPECIFIC GOALS	20
Total points for Price and SPECIFIC GOALS	100

- 1.5 Failure on the part of a tenderer to submit proof or documentation required in terms of this tender to claim points for specific goals with the tender, will be interpreted to mean that preference points for specific goals are not claimed.
- 1.6 The organ of state reserves the right to require of a tenderer, either before a tender is adjudicated or at any time subsequently, to substantiate any claim regarding preferences, in any manner required by the organ of state.

2. DEFINITIONS

- (a) **“tender”** means a written offer in the form determined by an organ of state in response to an invitation to provide goods or services through price quotations, competitive tendering process or any other method envisaged in legislation.
- (b) **“price”** means an amount of money tendered for goods or services and includes all applicable taxes less all unconditional discounts.
- (c) **“Rand value”** means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes.
- (d) **“tender for income-generating contracts”** means a written offer in the form determined by an organ of state in response to an invitation for the origination of income-generating contracts through any method envisaged in legislation that will result in a legal agreement between the organ of state and a third party that produces revenue for the organ of state, and includes, but is not limited to, leasing and disposal of assets and concession contracts, excluding direct sales and disposal of assets through public auctions; and
- (e) **“The Act”** means the Preferential Procurement Policy Framework Act, 2000 (Act No. 5 of 2000).

3. FORMULAE FOR PROCUREMENT OF GOODS AND SERVICES.

3.1. POINTS AWARDED FOR PRICE

3.1.1 THE 80/20 PREFERENCE POINT SYSTEMS

A maximum of 80 is allocated for price on the following basis:

80/20

$$Ps = 80 \left(1 - \frac{Pt - P_{min}}{P_{min}} \right)$$

Where?

Ps = Points scored for price of tender under consideration

Pt = Price of tender under consideration

Pmin = Price of lowest acceptable tender

3.2. FORMULAE FOR DISPOSAL OR LEASING OF STATE ASSETS AND INCOME GENERATING PROCUREMENT

3.2.1. POINTS AWARDED FOR PRICE

A maximum of 80 points is allocated for price on the following basis:

80/20

$$Ps = 80 \left(1 + \frac{Pt - P_{max}}{P_{max}} \right)$$

Were.

Ps = Points scored for price of tender under consideration

Pt = Price of tender under consideration

Pmax = Price of highest acceptable tender.

4. POINTS AWARDED FOR SPECIFIC GOALS.

- 4.1. In terms of Regulation 4(2); 5(2); 6(2) and 7(2) of the Preferential Procurement Regulations, preference points must be awarded for specific goals stated in the tender. For the purposes of this tender the tenderer will be allocated points based on the goals stated in table 1 below as may be supported by proof/ documentation stated in the conditions of this tender:
- 4.2. In cases where organs of state intend to use Regulation 3(2) of the Regulations, which states that, if it is unclear whether the 80/20 or 90/10 preference point system applies, an organ of state must, in the tender documents, stipulate in the case of—
- (a) an invitation for tender for income-generating contracts, that either the 80/20 or 90/10 preference point system will apply and that the highest acceptable tender will be used to determine the applicable preference point system: or
 - (b) any other invitation for tender, that either the 80/20 or 90/10 preference point system will apply and that the lowest acceptable tender will be used to determine the applicable preference point system,
- then the organ of state must indicate the points allocated for specific goals for both the 90/10 and 80/20 preference point system.

Table 1: Specific goals for the tender and points claimed are indicated per the table below.

(Note to organs of state: Where 80/20 preference point system is applicable, corresponding points must also be indicated as such.)

Note to tenderers: The tenderer must indicate how they claim points for each preference point system.)

The specific goals allocated points in terms of this tender	Number of points allocated (80/20 system) (To be completed by the organ of state)	Number of points claimed (80/20 system) (To be completed by the tenderer)
Women owned company. <i>Supporting documents: CIPC , ID copy and valid BBEEE certificate.</i>	10	
Youth (Between 18 years and 35 years). <i>Supporting documents :: CIPC , ID copy and valid BBEEE certificate.</i>	5	
Black-Owned (Africans, Indians, Coloureds) <i>Supporting documents : CIPC, ID copy and valid BBEEE certificate/affidavit.</i>	5	

Service providers will need to have 100% ownership towards a specific goal to obtain full points for that specific goal. Otherwise, points will be allocated based on the percentage of ownership. e.g., 5 points for 50 % ownership and 3 points for 30% ownership.

DECLARATION WITH REGARD TO COMPANY/FIRM

4.3. Name of company/firm.....

4.4. Company registration number:

4.5. TYPE OF COMPANY/ FIRM

- Partnership/Joint Venture / Consortium
- One-person business/sole propriety
- Close corporation
- Public Company

- Personal Liability Company
- (Pty) Limited
- Non-Profit Company
- State Owned Company

[TICK APPLICABLE BOX]

4.6. I, the undersigned, who is duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the specific goals as advised in the tender, qualifies the company/ firm for the preference(s) shown and I acknowledge that:

- i) The information furnished is true and correct.
- ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form.
- iii) In the event of a contract being awarded because of points claimed as shown in paragraphs 1.4 and 4.2, the contractor may be required to furnish documentary proof to the satisfaction of the organ of state that the claims are correct.
- iv) If the specific goals have been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the organ of state may, in addition to any other remedy it may have –
 - (a) disqualify the person from the tendering process.
 - (b) recover costs, losses, or damages it has incurred or suffered because of that person's conduct.
 - (c) cancel the contract and claim any damages which it has suffered because of having to make less favourable arrangements due to such cancellation.
 - (d) recommend that the tenderer or contractor, its shareholders, and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied; and
 - (e) forward the matter for criminal prosecution, if deemed necessary

.....

SIGNATURE(S) OF TENDERER(S)

SURNAME AND NAME:

DATE:

ADDRESS:

.....

.....

.....

WITNESSES

NAME OF BIDDER	
IDENTITY NUMBER	
POSITION	
AUTHORISED SIGNATORIES OF BIDDERS	
WITNESS 1	
WITNESS 2	
DATE	
ADDRESS	

125 CORLETT DRIVE
BRAMLEY
2090
SOUTH AFRICA



PRIVATE BAG15 BRAMLEY 2018
EMAIL: info@ggb.org.za
www.ggb.org.za
TELEPHONE +27(11) 581 4800
FAX: +27(11) 581 4900

SUPPLIER DATABASE CONSENT FORM (POPIA)

General: In order for the Gauteng Gambling Board ("**GGB**") to consider the supplier's (referenced below) response to an RFP to become a supplier to the GGB ("**RFP**") and/or to be included in the GGB's supplier database, it will be necessary for the GGB to process certain information which the supplier may share with the GGB for the purpose of the RFP, including personal information – which may include special personal information (all hereafter referred to as "**Personal Information**"). The GGB will process the supplier's Personal Information in accordance with the GGB Privacy Policy available at [www.ggb.org.za].

Access to supplier Personal Information and purpose specification: Personal Information will be processed by the GGB for purposes of assessing the supplier's submission in relation to the RFP and for registering the supplier on the GGB supplier database and may be shared and stored internally for the purposes of assessing current and future services required by the GGB. We may also share the supplier's Personal Information with third parties, both within the Republic of South Africa and in other jurisdictions, including to carry out verification and background checks. In this regard, the supplier acknowledges that the GGB's authorised verification agent(s) and service providers will access Personal Information and conduct background screening.

Consent: By ticking "Yes" and signing below, you agree and voluntarily consent to the GGB's processing of the supplier's Personal Information for the purposes of evaluating

its RFP submission, including to confirm and verify any information provided in the submission and supplier gives the GGB permission to do so. The supplier understands that it is free to withdraw its consent on written notice to the GGB and the supplier agrees that the Personal Information may be disclosed by the GGB to third parties, including the GGB's affiliates, service providers and associates (some of which may be located outside of the Republic of South Africa). Please note that if you withdraw your consent at any stage, we may be unable to process the RFP or continue to retain the supplier details the GGB supplier database.

Yes ☐

No ☐

Supplier Name

Date

Signature

Authorised representative, who warrants that he/she is duly authorised.

CHECKLIST OF BIDDING DOCUMENTATION BEFORE SUBMITTING

NB: Have the following forms been completed, signed, and submitted with your proposal?		
Documentation	Checked by Tenderer	Checked by Procurement Specialist
Proof of registration with the National Treasury Central Supplier Database (CSD)		
Invitation to bid - SBD 1		
Valid, Original Tax Clearance Compliance Status Pin–SBD2		
Declaration of interest –SBD4		
Pricing Schedule – SBD 3.3		
Specific goals point claim– SBD6.1		
Company Registration Documents issued by Companies and Intellectual Property Commission (CIPC).		
Company shareholders certificates.		
Company profile which includes years of experience		
CV's (including certified copies of qualifications) of key personnel to be utilised in the provision of the services indicating their roles.		
Proof of company registration with IRBA, SAICA or IIA SA		
POPIA form- Completed and signed		

Note: The submitted information must be accompanied with an index, and the bidding documents must be numbered, labelled, and tabbed as per index.

- **ANNEXURE A – PRICING PROPOSAL**

The bidder must complete the following Price Proposal tables based on the information in paragraph 6.14. Business processes/auditable areas to be conducted over the three-year period. Rates should be inclusive of overheads and VAT. If resource categories do not exist for the bidder, it may be omitted. The rates provided by the bidder in their proposal will also be applied for any ad hoc assignments.

The proposed average rates should include any costs that will be incurred by the bidder, including travel and subsistence expenses. Only travelling for pre-approved sites visits other than to GGB's Office may be claimed at the actual cost in accordance with the National Treasury Cost Containment Directive.

Table 1: Overall hourly rate per resource level

Resource Description <i>(Where applicable)</i>	Year 1 Hourly Rate <i>(including overheads and VAT)</i>	Year 2 Hourly Rate <i>(including overheads and VAT)</i>	Year 3 Hourly Rate <i>(including overheads and VAT)</i>
Engagement Partner	R	R	R
Specialist IT Auditor	R	R	R
Senior Manager	R	R	R
Manager	R	R	R
Assistant Manager	R	R	R
Supervisor	R	R	R
Senior Auditor	R	R	R
Trainee Auditor	R	R	R

Table 2: Average hourly rate per Internal Audit and ICT Audit.

Description	Year 1 Average Hourly Rate <i>(including overheads and VAT)</i>	Year 2 Average Hourly Rate <i>(including overheads and VAT)</i>	Year 3 Average Hourly Rate <i>(including overheads and VAT)</i>
Internal Audits	R	R	R
ICT Audits	R	R	R

Table 3: Combined average hourly rate.

Description	Estimated Weighted Work Allocation Per Year	Year 1 Average Hourly Rate <i>(including overheads and VAT)</i>	Year 2 Average Hourly Rate <i>(including overheads and VAT)</i>	Year 3 Average Hourly Rate <i>(including overheads and VAT)</i>
Internal Audits	80%	R	R	R
ICT Audits	20%	R	R	R
Combined Average Rate	100%	R	R	R