



INDUSTRIAL DEVELOPMENT CORPORATION

REQUEST FOR PROPOSAL

PROVISION OF AN AUDITING SOFTWARE SOLUTION FOR THE INTERNAL AUDIT FUNCTION

<b>RFP NUMBER</b>	<b>T13/03/26</b>
<b>ISSUE DATE</b>	<b>01 April 2026</b>
<b>NON-COMPULSORY BRIEFING SESSION</b>	<b>Monday, 13 April 2026</b> <b>Time-10:00AM</b> <b>VENUE: MICROSOFT TEAMS</b> <b>LINK:</b> <a href="https://teams.microsoft.com/meet/349538998515730?p=ppcliiaKBfKVS28Xjm">https://teams.microsoft.com/meet/349538998515730?p=ppcliiaKBfKVS28Xjm</a> Meeting ID: 349 538 998 515 730 Passcode: k3ir3qE3
<b>CLOSING DATE AND TIME</b>	<b>21 April 2026 AT 11:00AM</b>
<b>TENDER VALIDITY PERIOD</b>	<b>[120] days from the closing date and time</b>
<b>RESPONSES TO THIS RFP SHOULD ONLY BE FORWARDED TO:</b>	<a href="https://idcza-my.sharepoint.com/:f:/g/personal/tinys_idc_co_za/IgCc4mGwvVb0Q5Fvk8bhsV4cAZ8Evl-xHk1RSkKNPsgJU4">https://idcza-my.sharepoint.com/:f:/g/personal/tinys_idc_co_za/IgCc4mGwvVb0Q5Fvk8bhsV4cAZ8Evl-xHk1RSkKNPsgJU4</a>

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## **SECTION 1: GENERAL CONDITIONS OF BID**

## SECTION 1: GENERAL CONDITION OF BID

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### 1. PROPRIETARY INFORMATION

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Industrial Development Corporation of SA Ltd (IDC) considers this Request for Proposal (RFP) and all related information, either written or verbal, which is provided to the respondent, to be proprietary to IDC. It shall be kept confidential by the respondent and its officers, employees, agents and representatives. The respondent shall not disclose, publish, or advertise this RFP or related information to any third party without the prior written consent of IDC.

### 2. ENQUIRIES

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2.1. All communication and attempts to solicit information of any kind relative to this RFP should be submitted **in writing** to:

Name: Joseph Phiri  
Email address: [josephp@idc.co.za](mailto:josephp@idc.co.za)

2.2. Enquiries in relation to this RFP will not be entertained after **16:00pm on 13 April 2026**

2.3. The enquiries will be consolidated, and IDC will issue one response, and such response will be posted, within two days after the last day of enquiries, onto the IDC website ([www.idc.co.za](http://www.idc.co.za)) under tenders, i.e., next to the same RFP document.

2.4. The IDC may respond to any enquiry in its absolute discretion, and the bidder acknowledges that it will have no claim against the IDC on the basis that its bid was disadvantaged by lack of information or inability to resolve ambiguities.

### 3. BID VALIDITY PERIOD

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3.1. Responses to this RFP received from bidders will be valid for a period of **120** days counted from the bid closing date.

### 4. INSTRUCTIONS ON SUBMISSION OF BIDS

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4.1. Bid responses must be submitted in electronic format only to the dedicated portal as provided herein.

4.2. Bid responses should be in generally acceptable / standard electronic file format/s (i.e., Microsoft suite of products or pdf) to enable access thereto by the IDC for purposes of evaluating responses received. Where documents are presented in a format which cannot be accessed by the IDC through generally acceptable formats, such bid response will be disqualified.

4.3. The closing date for the submission of bids is **21 April 2026, 2026**, not later than **11h00 AM** (before midday). No late bids will be considered. Bids must only be sent to [https://idcza-my.sharepoint.com/:f/g/personal/tinys\\_idc\\_co\\_za/IgCc4mGwvVb0Q5Ffk8bhsV4cAZ8Evl-xHkl1RSkKNPsqJU4](https://idcza-my.sharepoint.com/:f/g/personal/tinys_idc_co_za/IgCc4mGwvVb0Q5Ffk8bhsV4cAZ8Evl-xHkl1RSkKNPsqJU4)

4.4. Bids sent to any other platform other than the one specified herein will be disqualified and will not be considered for evaluation. It is the bidder's responsibility to ensure that the bid is sent to the correct portal and that this is received by the IDC before the closing date and time in IDC's dedicated portal.

4.5. Bidders are advised to submit / send its bid responses at least **30 minutes** before the **11h00 AM** deadline to avoid any technical challenges in this regard which may result in bid responses being received late. IDC's portal is configured to receive documents with sizes up to 50MB.

4.6. The IDC will not be held responsible for any of the following:

4.6.1. bid responses sent to the incorrect platform;

- 4.6.2. bid responses being inaccessible due to non-standard electronic file formats being utilised to submit responses by bidders;
  - 4.6.3. any security breaches and unlawful interception of tender / bid responses by third parties outside the IDC's IT network domain;
  - 4.6.4. bid responses received late due to any IT network related congestions and/or technical challenges; and
  - 4.6.5. bid responses with file size limits greater than IDC's e-mail receipt capacity of 50MB.
- 4.7. Only responses received via the specified platform will be considered.
- 4.8. Where a complete bid response (Inclusive of all relevant Schedules) is **not received** by the IDC in its electronic platform by the closing date and time, such a bid response will be regarded as incomplete and late. Such late and / or incomplete bid will be disqualified. **It is the IDC's policy not to consider late bids for tender evaluation.**
- 4.9. Amended bids may be sent to the electronic platform [https://idcza-my.sharepoint.com/:f:/g/personal/tinys\\_idc\\_co\\_za/IgCc4mGwvVb0Q5Fvk8bhsV4cAZ8Evl-xHkl1RSkKNPsqJU4](https://idcza-my.sharepoint.com/:f:/g/personal/tinys_idc_co_za/IgCc4mGwvVb0Q5Fvk8bhsV4cAZ8Evl-xHkl1RSkKNPsqJU4) marked "Amendment to bid" and should be received by the IDC **before** the closing date and time of the bid.

## 5. PREPARATION OF BID RESPONSE

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- 5.1. All the documentation submitted in response to this RFP must be in English.
- 5.2. The bidder is responsible for all the costs that it shall incur related to the preparation and submission of the bid document.
- 5.3. Bids submitted by bidders which are companies or are comprised of companies must be signed by a person or persons duly authorised thereto by a resolution of the applicable Board of Directors, a copy of which Resolution, duly certified, must be submitted with the bid.
- 5.4. The bidder should check the numbers of the pages of its bid to satisfy itself that none are missing or duplicated. No liability will be accepted by IDC in regard to anything arising from the fact that pages of a bid are missing or duplicated.
- 5.5. Bidder's tax affairs with SARS must be in order (tax compliant status) and bidders must provide written confirmation to this effect as part of their tender response.
- 5.6. If the bidding structure is a Prime Contractor with Sub-contractor/(s), then the Prime Contractor **must** hold the highest percentage allocation in terms of the value of the contract.

## 6. SUPPLIER PERFORMANCE MANAGEMENT

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- 6.1. Supplier Performance Management is viewed by the IDC as a critical component in ensuring value for money acquisition and good supplier relations between the IDC and all its suppliers.
- 6.2. The successful bidder shall upon receipt of written notification of an award, be required to conclude a Service Level Agreement (SLA) with the IDC, which will form an integral part of the supply agreement. The SLA will serve as a tool to measure, monitor, and assess the supplier performance and ensure effective delivery of service, quality and value-add to IDC's business.
- 6.3. Successful bidders will be required to comply with the above condition and also provide a scorecard on how their product / service offering is being measured to achieve the objectives of this condition.

## 7. ENTERPRISE AND SUPPLIER DEVELOPMENT

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The IDC promotes enterprise development. In this regard, successful bidders may be required to mentor SMMEs and/ or Youth-Owned businesses. The implications of such arrangement will be subject to negotiations between the IDC and the successful bidder.

## **8. IDC'S RIGHTS**

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- 8.1.** The IDC is entitled to amend any bid condition, bid validity period, RFP specification, or extend the bid closing date, all before the bid closing date. All bidders, to whom the RFP documents have been issued and where the IDC have record of such bidders, may be advised in writing of such amendments in good time and any such changes will also be posted on the IDC's website under the relevant tender information. All prospective bidders should therefore ensure that they visit the website regularly and before they submit their bid response to ensure that they are kept updated on any amendments in this regard.
- 8.2.** The IDC reserves the right not to accept the lowest priced bid or any bid in part or in whole. It normally awards the contract to the bidder who proves to be fully capable of handling the contract and whose bid is functionally acceptable and financially advantageous to the IDC.
- 8.3.** The IDC reserves the right to conduct site visits at bidder's corporate offices and / or at client sites if so required.
- 8.4.** The IDC reserves the right to request all relevant information, agreements, and other documents to verify information supplied in the bid response. The bidder hereby gives consent to the IDC to conduct background checks, including FICA verification, on the bidding entity and any of its directors / trustees / shareholders / members.
- 8.5.** The IDC reserves the right, at its sole discretion, to appoint any number of vendors to be part of this panel of service providers, if applicable (i.e., where a panel is considered).
- 8.6.** The IDC reserves the right of final decision on the interpretation of its tender requirements and responses thereto.
- 8.7.** The IDC reserves the right to consider professional conduct and experiences it had with any bidder which rendered similar services to the IDC in the past 5 years over and above the references put forward by the bidder in its response.

## **9. UNDERTAKINGS BY THE BIDDER**

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- 9.1.** By submitting a bid in response to the RFP, the bidder will be taken to offer to render all or any of the services described in the bid response submitted by it to the IDC on the terms and conditions and in accordance with the specifications stipulated in this RFP document.
- 9.2.** The bidder shall prepare for a possible presentation should IDC require such and the bidder will be required to make such presentation within five (5) days from the date the bidder is notified of the presentation. Such presentation (i.e. may include a practical demonstration of products or services as called for in this RFP.
- 9.3.** The bidder agrees that the offer contained in its bid shall remain binding upon him/her and receptive for acceptance by the IDC during the bid validity period indicated in this RFP and its acceptance shall be subject to the terms and conditions contained in this RFP document read with the bid.
- 9.4.** The bidder furthermore confirms that he/she has satisfied himself/herself as to the correctness and validity of his/her bid response; that the price(s) and rate(s) quoted cover all the work/item(s) specified in the bid response documents; and that the price(s) and rate(s) cover all his/her obligations under a resulting contract for the services contemplated in this RFP; and that he/she accepts that any mistakes regarding price(s) and calculations will be at his/her risk.
- 9.5.** The successful bidder accepts full responsibility for the proper execution and fulfilment of all obligations and conditions devolving on him/her under the supply agreement and SLA to be concluded with IDC, as the principal(s) liable for the due fulfilment of such contract.
- 9.6.** The bidder accepts that all costs incurred in the preparation, presentation and demonstration of the solution offered by it shall be for the account of the bidder. All supporting documentation and manuals submitted with its bid will become IDC property unless otherwise stated by the bidder/s at the time of submission.

## **10. REASONS FOR DISQUALIFICATION**

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- 10.1.** The IDC reserves the right to disqualify any bidder which does any one or more of the following, and such disqualification may take place without prior notice to the offending bidder:
- 10.1.1. bidder whose Tax Status is non-compliant, after they have been notified accordingly and still remain non-compliant;
  - 10.1.2. bidder who submit incomplete information and documentation according to the requirements of this RFP document;
  - 10.1.3. bidder who submit information that is fraudulent, factually untrue, or inaccurate information;
  - 10.1.4. bidder who receive information not available to other potential bidders through fraudulent means;
  - 10.1.5. bidder who do not comply with any of the mandatory requirements as stipulated in the RFP document;
  - 10.1.6. bidder who fail to comply with POPIA requirements as listed herein  
bidder, as the prime contractor, who holds a lower percentage in terms of the value of the contract than any of its subcontractor/(s).

## **11. RETURNABLE SCHEDULES**

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Bidders shall submit their bid responses in accordance with the returnable schedules specified below (each schedule must be clearly marked):

- 11.1. Cover Page:** (the cover page must clearly indicate the RFP reference number, bid description and the bidder's name)

### **11.2. Schedule 1:**

- 11.2.1. Executive Summary (explaining how you understand the requirements of this RFP and the summary of your proposed solution)
- 11.2.2. Annexure 2 of this RFP document (duly completed and signed)

### **11.3. Schedule 2**

- 11.3.1. Copy of Board Resolution, duly certified;
- 11.3.2. Originally certified copy of ID document for the Company Representative;
- 11.3.3. Annexure 2 of this RFP document (duly completed and signed);
- 11.3.4. Annexure 3 of this RFP document (duly completed and signed);
- 11.3.5. Annexure 4 of this RFP document (duly completed and signed);
- 11.3.6. Response to Annexure 6: BEE Commitment Plan;
- 11.3.7. Bidders must submit a B-BBEE verification certificate. For Exempted Micro Enterprises (EME) with an annual revenue of less than R10 million and Qualifying Small Enterprises (QSE) with an annual revenue of between R10 million and R50 million per annum, a sworn affidavit confirming the annual total revenue and level of black ownership may be submitted. Any misrepresentation in terms of the declaration constitutes a criminal offence as set out in the B-BBEE Act as amended.

**Note: If a bidder is a Consortium, Joint Venture or Prime Contractor with Subcontractor(s), the documents listed above must be submitted for each Consortium/ JV member or Prime Contractor and Subcontractor(s).**

- 11.3.8. Annexure 7 of this RFP document (duly responded to);
- 11.3.9. Annexure 8 of this RFP document (duly completed and signed, if applicable);
- 11.3.10. Statement of Financial Position of the Bidder: Latest Audited Financial Statements (where applicable in terms of the Company's Act) and/or independently reviewed financial statements and/or Cashflow Budget for new entities with no financial records.

11.3.11. Copy of Joint Venture/ Consortium/ Subcontracting Agreement duly signed by all parties (if applicable).

#### **11.4. Schedule 3:**

11.4.1. Response to Section 2 of this document, in line with the format indicated in this RFP document.

11.4.2. Annexure 1 of this RFP document duly completed and signed.

**11.5. Schedule 4:** Price Proposal (response to Section 3 of this RFP document).

**NOTE: Price Proposal must be submitted as a separate file/document marked Schedule 4: Price Proposal)**

## **12. EVALUATION CRITERIA AND WEIGHTINGS**

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Bids shall be evaluated in terms of the following process:

**12.1. Phase 1: Initial Screening Process:** During this phase, bid responses will be reviewed for purposes of assessing compliance with RFP requirements including the general bid conditions and also the Specific Conditions of Bid, which requirements include the following:

- IDC will make use of the Central Supplier Database (CSD) to access key information which is required to conduct supplier vetting including Company Registration status, tax compliance status and any other relevant checks conducted on CSD.
- In the event that the bidding structure is a Prime Contractor with Sub-contractor/(s), then IDC will evaluate the information provided in Annexure 2 (Acceptance of Bid Conditions and Bidder's Details) and if determined that the Prime Contractor holds a lower percentage in terms of the value of the contract than any of its subcontractor/(s), then the bid will be disqualified.
- Submission of ID copy for the Company Representative as referenced in 11.3.3 above.
- BEE Status Certification as referenced in 11.3.7 above.
- Completion of all Standard Bidding Documents and other requirements, as reflected in this RFP, which covers the following:
  - Section 2: Statement of compliance with the Functional Evaluation Criteria for this RFP.
  - Section 3: Cost Proposal and Price Declaration Form.
  - Annexure 1: Acceptance of Bid Conditions.
  - Annexure 2: Tax Compliance Requirements.
  - Annexure 3: Bidder's Disclosure.
  - Annexure 4: Shareholders' Information/ Group Structure.
  - Annexure 5: Bidders Experience & Project Team.
  - Annexure 6: BEE Commitment Plan.
  - Annexure 7: Disclosure Statement.
  - Annexure 8: Privacy & Protection of Personal Information Act 4 of 2013 Requirements.

**Note: Failure to comply with the requirements assessed in Phase 1 (compliance), may lead to disqualification of bids.**

#### **12.2. Phase 2: Technical/ Functionality Evaluation**

Bid responses will be evaluated in accordance with the Functional criteria as follows:

##### **12.2.1. Mandatory Functional/ Technical Requirements**

All bid responses that do not meet the Mandatory Functional Requirements will be disqualified and will not be considered for further evaluation on the Other Functional Requirements. The Mandatory Functional Requirements are stated in section 2 of this RFP document.

**Note: Failure to comply with the Mandatory Functional Requirements assessed in this phase will lead to the disqualification of bids.**

### 12.2.2. Other Functional/ Technical Requirements

With regards to the other Functional Requirements, the following criteria (set out in more detail in section 2 of this RFP document) and the associated weightings will be applicable:

ELEMENT	WEIGHT
BIDDER'S RELEVANT EXPERIENCE IN THE SUPPLY, CONFIGURATION, IMPLEMENTATION, SUPPORT, AND MAINTENANCE OF AN INTERNAL AUDIT SOFTWARE SOLUTION	10
INTERNAL AUDIT METHODOLOGY	15
QUALIFICATIONS, SKILLS AND EXPERIENCE OF THE TEAM	5
DATA ANALYTICS CAPABILITIES	10
PROJECT PLAN	5
SERVER AND NETWORK PLATFORM	10
DATA STORAGE AND BACKUP	5
SECURITY MANAGEMENT	5
SYSTEM ARCHITECTURE	5
SYSTEM DEMONSTRATION	30
<b>TOTAL</b>	<b>100</b>

**Note: Bidders who score 49.00 points out of 70 points or more in total for the functional/technical requirements will be shortlisted for a system demonstration.**

**All bids that fail to achieve the minimum overall qualifying score of 70% on functional/technical requirements, system demonstration, will not be considered for further Price and Specific Goals Evaluation.**

### 12.3. Phase 3: Preference Point System

All bids that achieve the minimum qualifying score for Functionality (acceptable bids) will be evaluated further in terms of the preference point system, as follows:

CRITERIA	POINTS
Price	80
Specific Goals <sup>1</sup>	20
<b>TOTAL</b>	<b>100</b>

<sup>1</sup>Specific Goals for this tender and points that may be claimed are indicated per table below:

SPECIFIC GOALS	POINTS (80/20 system)
Black ownership <sup>2</sup>	10
30% Black women ownership	5
Any % of ownership by Black Designated Groups <sup>3</sup>	2
Reconstruction Development Programme Objective: Promotion of SMMEs (Entities that are EME or QSE)	3
<b>TOTAL POINTS</b>	<b>20</b>

<sup>2</sup>Black ownership: 100% black owned entities will score the full 10 points (if 80/20 system), and between 51% - 99.99% black owned entities will score 4 points (if 80/20 system).

<sup>3</sup>Black Designated Groups has the meaning assigned to it in the codes of good practice issued in terms of section 9(1) of the Broad-Based Black Economic Act as amended.

- **Note:** Only enterprises with direct Black shareholding that fall under the four categories below be considered for allocation of points on Specific Goal:
- Exercisable voting rights in the hands of Black people
- Exercisable voting rights in the hands of Black women
- Exercisable voting rights in the hands of Black Designated Groups
- Employee Share Ownership Programmes (“ESOPs”) with direct shareholding and exercisable voting rights in the hands of Black People, Black woman, and Black Designated Groups

#### **12.4. Phase 4: Objective Criteria**

This contract will be awarded to the bidder scoring the highest points unless an objective criterion justifies the award of the tender to a bidder other than the highest scoring bidder.

##### **12.4.1. Objective Criteria are:**

The bidder must pose less risk to the IDC. The risk will be assessed in terms of, but not limited to, the following:

- Reputational Risk: This will be assessed in line with the bidder’s disclosure (Refer to Annexure 7: Disclosure statement of this document).
- Concentration Risk: Over exposure to a single bidder.
- The bidder’s financial capability in relation to the execution of the contract.
- The bidder’s past performance in IDC contracts.

#### **13. PROMOTION OF EMERGING BLACK OWNED SERVICE PROVIDERS**

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It is the IDC’s objective to promote transformation across all industries and/ or sectors of the South African economy and as such, bidders are encouraged to partner with a black owned entity (being 50%+1 black owned and controlled). Such partnership may include the formation of a Joint Venture and/ or subcontracting agreement etc., where a portion of the work under this tender would be undertaken by black owned entities. To give effect to this requirement, bidders are required to submit a partnership / subcontracting proposal detailing the portion of work to be outsourced, level of involvement of the black owned partner and where relevant, submit either a consolidated B-BBEE scorecard or each bidder of the partnership in their individual capacity to submit a BEE certificate or Sworn Affidavit in case of an EME or QSE which will be considered as part of the Specific Goals scoring listed in 12.3.

## **SECTION 2: FUNCTIONAL REQUIREMENTS SPECIFICATION**

## **SECTION 2: FUNCTIONAL REQUIREMENTS**

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### **1. SPECIAL INSTRUCTIONS TO BIDDERS**

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- 1.1. Should a bidder have reason to believe that the Functional Requirements are not open/fair and/or are written for a particular service provider; the bidder must notify IDC Procurement within five (5) days after publication of the RFP.
- 1.2. Bidders shall provide full and accurate answers to the questions posed in this RFP document, and, where required explicitly state “Comply/Not Comply” regarding compliance with the requirements. Bidders must substantiate their response to all questions, including full details on how their proposal/solution will address specific functional/ technical requirements; failure to substantiate may lead to the bidder being disqualified. All documents as indicated must be supplied as part of the bid response.
- 1.3. Failure to comply with Mandatory Requirements may lead to the bidder being disqualified.

### **2. BACKGROUND INFORMATION**

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- 2.1. The Industrial Development Corporation of South Africa Limited (IDC) is a self-financing, National Development Finance Institution (DFI). It was established in 1940 to promote economic growth and industrial development in South Africa.

IDC’s Internal audit has been using manual processes in the performance of its work since the decommissioning of the previous system. To digitise processes, a new audit software tool is sought to replace the manual processes to improve efficiency and consistency in the audit workflow and programs. The new software tool will, amongst other things, help streamline audit tasks, enhance real-time visibility of risks, observations and remediation status. Additionally, the solution will enable end-to-end Internal Audit processes—from risk-based planning through fieldwork, reporting, and follow-up—while integrating with IDC’s Microsoft ecosystem and supporting secure collaboration and offline capability.

The IDC invites suitably qualified and experienced service providers to submit proposals for the provision, configuration, implementation, support, and maintenance of an Internal Audit software solution.

### **3. OBJECTIVES**

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The primary objectives are to procure a solution that:

- Supports risk-based audit planning, engagement execution, reporting, and follow-up/issue tracking in line with Internal Audit methodologies.
- Enhances audit quality, consistency, traceability, and productivity via standardised templates, workflows, review notes, and comprehensive audit trails.
- Integrates with Microsoft 365, SharePoint (document repository), Power BI (analytics and dashboards), SAP (where applicable), and supports data ingestion from multiple sources for data analytics.
- Provides secure, role-based access, logging, and compliance with the Protection of Personal Information Act (POPIA) and IDC security policies.
- Offers offline work with reliable synchronisation and conflict resolution.
- Delivers strong vendor support, service levels, and user training to ensure adoption and sustainable value.

## 4. SCOPE OF WORK/TERMS OF REFERENCE

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The critical elements of software being sought are the following:

### 4.1. Technical Requirements

- **Platform, Hosting & Architecture**
  - Cloud-hosted (preferred) or IDC-approved deployment model.
  - Compatible with Microsoft Windows 365/Windows 10+, Microsoft 365, Edge/Chrome browsers.
  - Microsoft SQL Server support for data layer and ODBC compliance.
  - Single Sign-On via Azure AD/Entra ID (SAML/OAuth/OIDC) preferred.
  - Offline client capability with replication/synchronization.
- **Integration**
  - Native or API-based integration with SharePoint Online, Teams (optional), Power BI, SAP (where relevant), MS Dynamics (optional), and generic ODBC/REST sources.
  - Import from CSV/Excel/SQL, export datasets to Power BI and Excel.
- **Security & Compliance**
  - POPIA-aligned data processing; data ownership retained by IDC.
  - Data encryption in transit (TLS 1.2+) and at rest; configurable data retention policies.
  - Role-Based Access Control (RBAC), segregation of duties, strong authentication, session management, and IP allow-listing (if needed).
  - Detailed audit logs for access, edits, approvals, and configuration changes.
  - Vendor to disclose data residency
  - Vulnerability management and regular patching; penetration testing results on request.
- **Performance & Reliability**
  - Web UI page loads and key transactions must meet agreed performance baselines under typical loads.
  - Uptime target  $\geq 99.5\%$  monthly (or bidder to propose), excluding planned maintenance windows.
- **Data Management**
  - Minimum storage requirements and growth assumptions to be stated by the bidder.
  - Backup frequency, encryption, restoration tests, and DR site location to be described.
  - Data export on request in open formats (CSV/Excel/JSON) without vendor lock-in.
- **Software functionality**
  - Ability to grant various users a level of rights, e.g., to create, to edit a file, and Administrators can delete the file.
  - Allow for the creation and clearing of review notes.
  - Allow the reviewer to unlock working papers without requesting permission or assistance from the system administrator.
  - At minimum, the audit index must have the sections: (planning, fieldwork, reporting, internal quality assurance), allowing administrators to add, change, and delete.
  - System to be flexible to allow the administrators to update and customize audit working paper templates in line with the IA methodologies at any time.

- Maintain comprehensive audit trails of activities, changes, and user interactions within the software.
  - Enable the audit team to schedule, assign audit tasks, track progress, and collaborate among audit team members.
  - Automate repetitive tasks, notifications, reminders, and approvals to make the audit process more efficient.
  - Ability to draw various project status dashboards. I.e. audits in the planning phase, findings rated high, outstanding findings, etc.
  - Enable the creation of risk registers, heat maps, and risk-based audit plans. The risk registers must be detailed to incorporate the necessary fields, such as likelihood, impact, etc.
  - Gives a notification or prompt when you are working on a document that someone is already working on.
  - Notification function in the software when a document is ready for review or when review notes have been cleared.
- **Data analytics**
    - The system should have a built-in module or enable an add-on data analytics tool for, amongst others, analysing datasets, detecting anomalies, trends, and patterns, and visualising data to provide audit insights.
    - The system should provide tools for Computer Assisted Audit Techniques (CAATs) to run sample scripts, and exception reports to facilitate continuous auditing or monitoring of system data.
    - Bidders should provide illustrative information on how the proposed tool will meet data analytics requirements.
- **Software Provision & Licensing**
    - Supply subscription/perpetual licenses for twelve (12) staff and any required modules (core audit management, workflow, reporting, analytics).
    - Provide flexible licensing options, including temporary/guest users for limited periods.
- **Data Analytics**
    - Provide embedded analytics or an add-on module enabling data ingestion, data transformation, SQL/query tools, exception reports, sampling scripts, trends/anomaly detection, continuous auditing (scheduled jobs), and export to Power BI.
- **Reporting**
    - Deliver configurable report templates (planning memo, test plans, issues register, audit report with numbering, management action plans, follow-up status) and real-time dashboards (e.g., plan vs. actual, high-risk findings, overdue actions).
- **Implementation & Configuration**
    - Configure the solution to IDC's Internal Audit methodology and taxonomy (audit index sections, templates, rating scales, risk/control libraries).
    - Set up **roles, permissions, and multi-level review workflows** (preparer/reviewer/approver), including review notes and clearance.
    - Establish **document storage** in SharePoint with automated linking/hyperlinking and carry-forward of prior-year artefacts (risks, controls, procedures).

- Enable **offline capability** and synchronisation rules.
- **Integration**
  - Integrate with **Microsoft 365** (Azure AD/Entra ID SSO preferred), **SharePoint**, **Power BI**, **SAP** (read where applicable), **MS SQL** data sources, and **ODBC/REST** interfaces for import/export.
  - Configure email notifications via IDC's standard mail services (e.g., Exchange Online).
- **Training**
  - Role-based training (auditors, reviewers, administrators) with materials, quick reference guides, and recordings.
  - Train-the-trainer and admin upskilling.
- **Support & Maintenance**
  - Provide Service Level Agreement (SLA), incident management, upgrades/patches, knowledge base access, and dedicated account management as follows.

Incident	Priority	Response Time	Resolution time (in hours)	Escalation threshold (in hours)
Service Outage	P1	Within 2 hours	8 hours	24 hours
Service not available to one (1) or more users	P2	Within 4 hours	12 hours	48 hours
Reduced Functionality for 1 or more users	P3	Within 8 hours	24 hours	72 hours

- **Documentation & Handover**
  - Provide design/configuration documentation, data model/descriptors, integration specs, security model, and administrator runbooks.
- **Planning**
  - Ability to import and export audit working papers in different formats, such as Excel, Word, etc., with SharePoint repository links.
  - The software should facilitate the identification, assessment, and prioritisation of risks across various audit areas following the risk-based audit approach.
  - It should provide capabilities for documenting and testing internal controls.
  - Ability to have an automated link (hyperlink) between documents.
  - Ability to carry forward risks and internal controls identified during the planning phase to facilitate testing and reporting.
  - Risk & control libraries; risk assessment and prioritisation; carry-forward from prior audits.
  - Linkage between risks, controls, procedures, and tests; dynamic audit universe; annual plan roll-forward.
- **Execution**
  - Auto-import planned procedures to tests; add procedures during fieldwork
  - The software should have capabilities for conducting audit tests within the system and the analysis.
  - It should also facilitate the creation, tracking, and resolution of audit findings and issues. It must contain capabilities for allocating assignments, setting deadlines, tracking progress, and generating issue reports.

- Capture evidence, test results, deviations; attach artefacts (Word, Excel, PDF, images).
  - Assign tasks, track progress, due dates, and workloads; generate issues with ratings and root cause fields.
- **Reporting**
    - Generate audit reports with unique report numbers; email secure links to stakeholders for management responses/action plans.
    - The software must provide customizable reporting templates and dashboards for presenting audit findings, trends, and key performance indicators. It must have the capabilities to generate and export audit reports for sharing.
    - Ability to set timelines for resolving audit findings and have an automated notification to stakeholders frequently for tracking action plans, and when the due date is closer.
    - Configurable templates; export to PDF/Word; evidence traceability back to tests.
- **Follow-up**
    - The software should also facilitate the creation and tracking of audit findings. It must be capable of automatically delivering email reminders to process owners, capturing progress by process owners, and producing various reports for tracking and reporting purposes.
    - Ability to generate an analysis of audit findings, a visualization of audit findings and a resolution status.
    - Central action plan register; automated reminders and escalations; status tracking; ageing analysis.
- **Workflow, Collaboration & Offline**
    - Preparer/reviewer/approver workflow; review notes lifecycle and clearance.
    - Reviewer's ability to unlock working papers (with audit trail).
    - Concurrency notification when a document is already open/edited.
    - Offline work with auto-sync and conflict management.
- **Templates & Administration**
    - Admin user interface to update audit working paper templates, checklists, and taxonomies at any time.
    - Granular role-based access control (RBAC); full audit trail of user/activity changes.
- **Ad-Hoc Support**
    - The bidder must provide cost for 450 hours for ad-hoc support for a period of three (3) years as and when required.

## **5. PROJECT TIMELINES**

---

The appointed service provider will be required to start immediately after award and complete implementation within six (6) months and provide support and maintenance for a period of three (3) years, subject to annual review of the service provider's performance.

**6. TECHNICAL EVALUATION CRITERIA**

**6.1. Mandatory Technical Requirements**

The service provider must indicate their compliance/ non-compliance with the following requirements and substantiate as required. The bidder must respond in the format below, where additional information is provided/ attached somewhere else; such information must be clearly referenced.

6.1.1 ACCREDITATION/OWNERSHIP OF OEM	COMPLY	NOT COMPLY	REFERENCE TO THE PROPOSAL
<p>The bidder must be accredited or licensed to supply, implement and support the proposed software solution.</p> <p>The bidder must submit as part of this proposal certification/signed letter from the Original Equipment Manufacturer (OEM) to confirm that the bidder is accredited or licensed to supply, implement and support the proposed software. If the bidder is the OEM/ owners of the solution the bidder must submit as part of this proposal a signed letter that confirm their ownership of the proposed software.</p>			
Substantiate / Comments			

**6.2 Other Technical Requirements**

The service provider must indicate their compliance/ non-compliance with the following requirements and substantiate as required. The bidder must respond in the format below, where additional information is provided/ attached somewhere else; such information must be clearly referenced.

6.2.1 BIDDER'S RELEVANT EXPERIENCE IN THE SUPPLY, CONFIGURATION, IMPLEMENTATION, SUPPORT, AND MAINTENANCE OF AN INTERNAL AUDIT SOFTWARE SOLUTION.	Comply	Partially Comply	Not Comply
<p>The bidder must have relevant experience in the supply, configuration, implementation and support of an internal audit function software.</p> <p>The bidder must provide three (3) relevant contactable references confirming that they have supplied, configured, implemented and supported internal audit function software in the past five (5) years.</p> <p>Refer to <b>Table (a), Annexure 1</b> of this document, for the response format provided.</p>			
Substantiate/Comments			

6.2.2 INTERNAL AUDIT METHODOLOGY	Comply	Partially Comply	Not Comply	Substantiate
<b>The bidder's proposal must indicate how the proposed audit software solution has the capacity to support the following:</b>				
• 6.2.2.1 Risk-based audit planning				
• 6.2.2.2 Engagement planning				
• 6.2.2.3 Performance of audit engagements (fieldwork)				
• 6.2.2.4 Communication and reporting				
• 6.2.2.5 Monitoring and follow-up of corrective actions				
• 6.2.2.6 Resource planning and scheduling				
• 6.2.2.7 Workpaper management				
• 6.2.2.8 Data collection/evidence handling (ability to attach documents, i.e., Excel, Word, PDF, etc).				
• 6.2.2.9 Customisation of internal audit templates				
<b>For each of the technical areas listed above, the bidder must provide objective evidence (e.g., annotated screenshots, excerpts from user manuals, or process workflow diagrams) to confirm the feature is currently available in the proposed software solution</b>				

6.2.3 QUALIFICATIONS AND SKILLS OF KEY PERSONNEL	Comply	Partially Comply	Not Comply
<p>The bidder's key personnel of the proposed team must have relevant qualifications, skills and experience in the installation, testing, training, implementation, support and maintenance of the proposed solution.</p> <p>The bidders must submit, as part of their proposal, the following:</p> <ul style="list-style-type: none"> <li>• The structure and composition of the proposed team, clearly outlining the main disciplines/specialities of this project and the key personnel responsible for each speciality. Please refer to <b>Table (b), Annexure 1</b> of this document for the format in which the required information must be provided.</li> <li>• CVs that must clearly highlight qualifications, areas of experience/ competence relevant to the tasks and objectives of this project as outlined above.</li> </ul>			
<b>Substantiate/Comments</b>			

6.2.4 DATA ANALYTICS CAPABILITIES	Comply	Partially Comply	Not Comply
<p>The bidder must indicate how the proposed solution provides tools/capabilities to implement data analytics.</p> <p>The response must specifically address how the software enables the internal audit team to extract, analyse, and visualise data to identify trends, outliers, and exceptions, amongst others.</p> <p><b>The bidder must provide supporting evidence, such as functional workflows, annotated screenshots demonstrating the proposed system's native data analytics capabilities, specifically how raw data is extracted or imported, filtered, analysed, and visualised to identify audit exceptions. The bidder must also confirm whether these tools are built-in or require third-party integration (add-on).</b></p>			
<b>Substantiate/Comments</b>			

6.2.5 PROJECT PLAN	Comply	Partially Comply	Not Comply
<p>The bidders must provide a detailed project plan showing project deliverables and timelines, including, but not limited to, the following aspects:</p> <ul style="list-style-type: none"> <li>• System Installation, Testing and Implementation</li> <li>• User Training and support</li> <li>• Systems Integration</li> <li>• System Maintenance and Support</li> </ul>			
<b>Substantiate/Comments</b>			

6.2.6 SERVER AND NETWORK PLATFORM	Comply	Not comply	Not comply
<p>The proposed software must be compatible or be able to integrate with other systems: These systems include:</p> <ul style="list-style-type: none"> <li>• Microsoft 365/Windows 10 (Azure AD/Entra ID SSO preferred)</li> <li>• Single Sign-On via Azure AD/Entra ID (SAML/OAuth/OIDC) preferred</li> <li>• Microsoft 365, Edge/Chrome browser</li> <li>• Microsoft SQL Server support for the data layer and ODBC compliance</li> <li>• SharePoint,</li> <li>• Power BI,</li> <li>• SAP (read where applicable),</li> <li>• MS SQL data sources, and</li> <li>• ODBC/REST interfaces for import/export.</li> </ul> <p><b>Bidders must submit a datasheet confirming that the proposed software is compatible with the above systems.</b></p>			
<b>Substantiate / Comments</b>			

6.2.7 DATA STORAGE	Comply	Not comply	Not comply
<p>The proposed software must be capable of operating within an IDC-approved deployment model that complies with internal security, data sovereignty and network standards.</p> <p><b>The bidder must describe the minimum data storage requirements for the software.</b></p>			
<b>Substantiate / Comments</b>			

6.2.8 SECURITY MANAGEMENT	Comply	Not comply	Not comply
<p>The proposed software must provide a secure access control framework, including Multi-Factor Authentication (MFA) for all user logins, Role-Based Access Control (RBAC) to restrict data visibility based on user permissions, and a comprehensive audit trail that logs all successful and failed access attempts.</p> <p><b>The bidder must provide annotated screenshots of the administrative security console showing MFA configuration and RBAC permission sets, alongside an official technical specification or user manual excerpts that describe the system encryption standards and the format of its automated access logs.</b></p>			
<b>Substantiate / Comments</b>			

6.2.9 SYSTEM ARCHITECTURE	Comply	Not comply	Not comply
<p>The proposed system must have the capability to allow users to work offline and have a replication capability that allows updating.</p> <p><b>The bidder must provide a technical architecture diagram and annotated screenshots demonstrating the system's offline-first design, specifically highlighting the synchronisation/replication interface and explanation of the conflict resolution logic used to ensure data integrity when multiple offline updates are synced to the central server.</b></p>			
<b>Substantiate / Comments</b>			

## 6.2.10 SYSTEM DEMONSTRATION

Shortlisted bidders will be required to do a demonstration to the IDC team of the proposed system. See guidelines below.

DEMONSTRATION		Weight
<b>6.2.10.1</b>	<b>Internal audit methodology</b>	
<p>A live demonstration of the software capabilities to carry out internal audit work in line with the audit methodology detailed below:</p> <ul style="list-style-type: none"> <li>• Supporting risk-based audit planning</li> <li>• Engagement planning</li> <li>• Performance of audit engagements (fieldwork)</li> <li>• Communication and reporting</li> <li>• Monitoring and follow-up of corrective actions</li> <li>• Resource planning and scheduling</li> <li>• Workpaper management</li> <li>• Data collection/evidence handling (ability to attach documents, i.e., Excel, Word, PDF, etc).</li> <li>• Customisation of internal audit templates</li> </ul>		<b>15</b>
<b>6.2.10.2</b>	<b>Offline capability</b>	
<p>A live demonstration of the system's functionality to enable users to work offline/remotely.</p>		<b>5</b>
<b>6.2.10.3</b>	<b>Data analytics capabilities</b>	
<p>A live demonstration of the solution's capability to enable the following:</p> <ul style="list-style-type: none"> <li>• Data integration (importing data from multiple sources)</li> <li>• Data transformation (cleaning, formatting, etc.)</li> <li>• Data processing</li> <li>• Data exploration and analysis</li> <li>• Using SQL or the system's built-in query tools to extract, filter, sort, and combine data from multiple data sets</li> <li>• Correlation and trend analysis</li> <li>• Visualisation and report generation with export options</li> <li>• Continuous auditing (scheduling regular data monitoring)</li> </ul>		<b>10</b>

## **SECTION 3: COST PROPOSAL**

### SECTION 3: COST PROPOSAL

1. **NOTE: All prices must be VAT inclusive (where applicable) and must be quoted in South African Rand (ZAR).**

2. Are the rates quoted firm for the full period of the contract? 

YES	NO
-----	----

**Important:** If not firm for the full period, provide details of the basis on which price adjustments shall be applied e.g., CPI etc.

3. All additional costs associated the bidder's offer must be clearly specified and included in the Total Bid Price.

4. Is the proposed bid price linked to the exchange rate?	Yes	No
<b><i>If yes, the bidder must indicate CLEARLY which portion of the bid price is linked to the exchange rate:</i></b>		

5. Payments will be linked to specified deliverables after such deliverables have been approved by the IDC. Payments will be made within 30 days from date of invoice.	Comply	Not Comply

#### 6. COSTING MODEL

**Table A-Software**

Description/Cost Element		Cost (VAT Excl.)
Licenses fees	Twelve (12)-users	
Maintenance and Support	Year 1	
	Year 2	
	Year 3	
Sub-total (A) (VAT Excl.)		
VAT @ 15% (If applicable)		
Sub-total (A) (VAT Incl.)		

**Table B- Implementation, Integration and Training**

Activities/Deliverable	Resources	Rate/Hour per resource	Number of hours	Cost (VAT Excl.)
Implementation and Integration				
Training of staff (twelve (12))				
<b>Disbursements</b>				
Sub-Total (B) (VAT Excl.)				
VAT @ 15% (If applicable)				
Total Bid Price (VAT Incl.)				

**Table C: Ad-hoc Support**

For comparison purposes, bidders must provide cost for 450 hours for ad-hoc support for a period of three (3) years as and when required.

	Resources	Rate/Hour per resource	Number of hours	Cost (VAT Excl.)
Ad-hoc support Year 1			200	
Ad-hoc support Year 2			150	
Ad-hoc support Year 3			100	
<b>Sub-Total (B) (VAT Excl.)</b>				
<b>VAT @ 15% (If applicable)</b>				
<b>Total Bid Price (VAT Incl.)</b>				

<b>Total Bid Price (Table A + B+ C) (VAT Incl.)</b>	
---	--

Note: Disbursements and any other (additional) costs must be clearly defined (outlining all assumptions) and a detailed breakdown thereof must be provided.

The bidder must provide a detailed breakdown of the Disbursements as follows:

Cost Element	Cost (VAT Excl.)
<b>Sub-Total Disbursements</b>	

Note on pricing:

Disbursements (incidental expenses other than professional fees e.g., travel and accommodation, printing costs, etc.) must be clearly defined, outlining all assumptions. It is of utmost importance to submit clear and comprehensive cost proposals to allow the IDC to fairly compare bid price / cost proposals. If there is no additional fee envisaged for Disbursements, then the bidder must clearly indicate “No Charge / Free of Charge”. Failure to clearly indicate this, would result in IDC penalising your bid response by taking the cost of the highest bidder and adding 50% thereto and apply this rate for purposes of price comparisons. Bidders are therefore requested to respond clearly and comprehensively on this aspect of their bid response.

**SUMMARY OF THE PROPOSAL**

DESCRIPTION	BIDDER’S PROPOSAL
Number of resources (personnel)	
Project duration (in hours)	
Project duration (in months)	
Commencement Date	

**PRICE DECLARATION FORM**

Dear Sir,

Having read through and examined the Request for Proposal (RFP) Document, RFP no. **T13/03/26**, the General Conditions, and all other Annexures to the RFP Document, we offer to supply, configure, implement, support, and maintain internal audit software solution to IDC as specified in this RFP document.

**R..... (Including VAT)**

**In words**

**R..... (Including VAT)**

We confirm that this price covers all activities associated with the service, as called for in the RFP document. We confirm that IDC will incur no additional costs whatsoever over and above this amount in connection with the provision of this service.

We undertake to hold this offer open for acceptance for a period of 120 days from the date of submission of offers. We further undertake that upon final acceptance of our offer; we will commence with the provision of the required service when required to do so by the IDC.

We understand that you are not bound to accept the lowest or any offer, and that we must bear all costs which we have incurred in connection with preparing and submitting this bid.

We hereby undertake for the period during which this bid remains open for acceptance, not to divulge to any persons, other than the persons to whom the bid is submitted, any information relating to the submission of this bid or the details therein except where such is necessary for the submission of this bid.

**SIGNED**

**DATE**

\_\_\_\_\_

(Print name of signatory)

Designation

**FOR AND ON BEHALF OF:**

COMPANY  
NAME

Tel No

Fax No

Cell No

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

## **SECTION 4: ANNEXURES**

## ANNEXURE 1: RESPONSE FORMAT FOR SECTION 2

### Bidder's Experience and the proposed Project Team

Request for Proposal No: \_\_\_\_\_  
 Name of Bidder: \_\_\_\_\_  
 Authorised signatory: \_\_\_\_\_

*[Note to the Bidder: The bidder must complete the information set out below in response to the requirements stated in Section 2 of this bid document. If the bidder requires more space than is provided below it must prepare a document in substantially the same format setting out all the information referred to below and return it with this Returnable Schedule 3.]*

The bidder must provide the following information:

**Table (a) Details of the bidder's experience in supply, configuration, implementation, support, and maintenance of internal audit software solutions (please refer to Section 2 par 7.2.1):**

Client' Name	Project period (Start and End Dates)	Description of service performed and extent of Bidder's responsibilities	Name, and telephone contact of client	Reference Letter Attached Yes/No?

**Table (b) Details of the proposed personnel of the bidders': (please refer to par 7.2.3 of Section 2 of this RFP document):**

Name of Resource/s	Role/s in this project	Relevant Project Experience		
		Year of Experience	Qualifications	CV/s Attached Yes/No?

## ANNEXURE 2: ACCEPTANCE OF BID CONDITIONS AND BIDDER'S DETAILS

Request for Proposal No: \_\_\_\_\_  
 Name of Bidder: \_\_\_\_\_  
 Authorised signatory: \_\_\_\_\_  
 Name of Authorised Signatory \_\_\_\_\_  
 Position of Authorised Signatory \_\_\_\_\_

By signing above the bidder hereby accept full responsibility for the proper execution and fulfilment of all obligations and conditions devolving on him/her under this RFP.

**[Note to the Bidder: The Bidder must complete all relevant information set out below.]**

### CENTRAL SUPPLIER DATABASE (CSD) INFORMATION

**Bidders that are registered on the Central Supplier Database (CSD) of National Treasury are required to submit as part of this proposal both their CSD supplier number and CSD unique registration reference numbers below:**

<b>Supplier Number</b>	
<b>Unique registration reference number</b>	

### BIDDING STRUCTURE

<b>Indicate the type of Bidding Structure by marking with an 'X':</b>	
<b>Individual Bidder</b>	
<b>Joint Venture/ Consortium</b>	
<b>Prime Contractor with Subcontractors</b>	
<b>Other</b>	

### REQUIRED INFORMATION

<b>If Individual Bidder:</b>	
Name of Company	
Registration Number	
Vat registration Number	
Contact Person	
Telephone Number	
Cellphone Number	
Fax Number	
Email address	
Postal Address	
Physical Address	

<b>If Joint Venture or Consortium, indicate the following for each partner:</b>	
<b>Partner 1</b>	
Name of Company	
Registration Number	
Vat registration Number	
Contact Person	
Telephone Number	
Cellphone Number	
Fax Number	
Email address	
Postal Address	
Physical Address	
Scope of work and the value as a % of the total value of the contract	
<b>Partner 2</b>	

Name of Company	
Registration Number	
Vat registration Number	
Contact Person	
Telephone Number	
Cellphone Number	
Fax Number	
Email address	
Postal Address	
Physical Address	
Scope of work and the value as a % of the total value of the contract	

<b>If bidder is a Prime Contractor using Sub-contractors, indicate the following:</b>	
<b>Prime Contractor</b>	
Name of Company	
Registration Number	
Vat registration Number	
Contact Person	
Telephone Number	
Cellphone Number	
Fax Number	
Email address	
Postal Address	
Physical Address	
<b>Sub-contractors</b>	
Name of Company	
Company Registration Number	
Vat registration Number	
Contact Person	
Telephone Number	
Cellphone Number	
Fax Number	
Email address	
Postal Address	
Physical Address	
Subcontracted work as a % of the total value of the contract	

## ANNEXURE 3: TAX COMPLIANCE REQUIREMENTS

1. TAX COMPLIANCE REQUIREMENTS		
1.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.		
1.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VIEW THE TAXPAYER'S PROFILE AND TAX STATUS.		
1.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) OR PIN MAY ALSO BE MADE VIA E-FILING. IN ORDER TO USE THIS PROVISION, TAXPAYERS WILL NEED TO REGISTER WITH SARS AS E-FILERS THROUGH THE WEBSITE WWW.SARS.GOV.ZA.		
1.4 BIDDERS MAY ALSO SUBMIT A PRINTED TCS TOGETHER WITH THE BID.		
1.5 IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE PROOF OF TCS / PIN / CSD NUMBER.		
1.6 WHERE NO TCS IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.		
2. QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS		
2.1 IS THE BIDDER A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)? <input type="checkbox"/> YES <input type="checkbox"/> NO		
2.2 DOES THE BIDDER HAVE A BRANCH IN THE RSA?		<input type="checkbox"/> YES
<input type="checkbox"/> NO		
2.3 DOES THE BIDDER HAVE A PERMANENT ESTABLISHMENT IN THE RSA? <input type="checkbox"/> YES <input type="checkbox"/> NO		
2.4 DOES THE BIDDER HAVE ANY SOURCE OF INCOME IN THE RSA? <input type="checkbox"/> YES <input type="checkbox"/> NO		
<p><b>IF THE ANSWER IS "NO" TO ALL OF THE ABOVE, THEN, IT IS NOT A REQUIREMENT TO OBTAIN A TAX COMPLIANCE STATUS / TAX COMPLIANCE SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 1.3 ABOVE.</b></p>		
SUPPLIER COMPLIANCE STATUS	TAX COMPLIANCE SYSTEM PIN:	

## ANNEXURE 4: BIDDER'S DISCLOSURE

### 1. PURPOSE OF THE FORM

Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

### 2. BIDDER'S DECLARATION

2.1 Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest <sup>1</sup> in the enterprise, employed by the state? **YES/NO**

2.1.1 If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

Full Name	Identity Number	Name of State institution

2.2 Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution? **YES/NO**

2.2.1 If so, furnish particulars:  
.....  
.....

2.3 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are bidding for this contract?

**YES/NO**

2.3.1 If so, furnish particulars:  
.....  
.....

### 3 DECLARATION

I, the undersigned, (name)..... in submitting the accompanying bid, do hereby make the following statements that I certify to be true and complete in every respect:

- 3.1 I have read, and I understand the contents of this disclosure;
- 3.2 I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect;

<sup>1</sup> the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.

- 3.3 The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement, or arrangement with any competitor. However, communication between partners in a joint venture or consortium<sup>2</sup> will not be construed as collusive bidding.
- 3.4 In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.
- 3.4 The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.
- 3.5 There have been no consultations, communications, agreements, or arrangements made by the bidder with any official of the procuring institution in relation to this procurement process prior to and during the bidding process except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.
- 3.6 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 1, 2 and 3 ABOVE IS CORRECT.

I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

.....  
Signature

.....  
Date

.....  
Position

.....  
Name of bidder

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<sup>2</sup> Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

**ANNEXURE 5: SHAREHOLDERS AND DIRECTORS INFORMATION**

[Note to the bidder: the bidder must complete the information set out below. If the bidder requires more space than is provided below it must prepare a document in substantially the same format setting out all the information referred to below and return it with Returnable Schedule 2.]

**1 Shareholders/ Members**

Name of the shareholder	ID Number	Race	Gender	% Shares

**Note: The bidder must also attach the detailed Company/ Group Structure where relevant.**

**2 Trust Information**

With reference to point 8.6 IDC Rights, should a trust form part of the Company / Group structure then the following must be submitted as part of your proposal.

<b>Documents necessary to verify the Identity of a Trust</b>	<input type="checkbox"/> Copy of trust deed or other founding document by which trust is created.
	<input type="checkbox"/> Letters of authority (as issued by the Master of the High Court)
	<input type="checkbox"/> Personal details of each Trustee, each Beneficiary, the Founder, and the person authorised to act on behalf of the Trust

**3 Black Shareholders/ Members as per the B-BBEE Certificate**

Name of the shareholder	ID Number	Race	Gender	% Shares
<b>Total Black Shareholding % as per the current and valid B-BBEE Certificate</b>				

**4 Directors**

Name of the shareholder	ID Number	Race	Gender

I, THE UNDERSIGNED (NAME).....

CERTIFY THAT THE INFORMATION FURNISHED ABOVE IS CORRECT.

.....  
Signature

.....  
Date

.....  
Position

.....  
Name of bidder

## **ANNEXURE 6: BEE COMMITMENT PLAN**

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The IDC encourages existing vendors and prospective bidders to support the objectives of B-BBEE and as far as possible strive to improve their B-BBEE contribution status. For bid evaluation purposes, bidders are allocated points in terms of a preference point system based on the Specific Goals which requires the bidder to have a valid B-BBEE certificate or a sworn affidavit in case of a EME or QSE.

Bidders are therefore required to submit a B-BBEE improvement plan in view of the new B-BBEE Codes of Good Practice. Bidders must indicate the extent to which their ownership, management control, employment equity, preferential procurement and enterprise development will be maintained or improved over the contract period in the event that they are successful in this bid process.

**ANNEXURE 7: DISCLOSURE STATEMENT**

**In terms of the tender condition 8.6, which allows the IDC to conduct background checks on bidders and its shareholders and directors, the IDC hereby requires bidders to provide the following additional information:**

1. The IDC considers the integrity of its appointed service providers to be of critical importance. The IDC reserves the right to apply its objective criteria to award to any bidders whose integrity, based on past conduct (during the 5 years immediately preceding the bid submission date), it considers questionable.
2. To this end, the IDC requires each bidder to include in its bid, a disclosure statement which details the following (sufficient information and supporting documentation for the IDC to make its own assessment as to the materiality or seriousness of allegations regarding the bidder’s integrity or conduct): any criminal charges made against the bidder or any of its directors, shareholders, or management officials regarding their professional conduct;
  - 2.1. any civil proceedings initiated against the bidder or any of its directors, shareholders, or management officials regarding their professional conduct; and
  - 2.2. any other enquiry or similar proceedings initiated or threatened against the bidder or any of its directors, shareholders, or management officials regarding their professional conduct.
3. Where the bidder is a consortium, the disclosure statement referred to in paragraph 2.2 above must be made separately in respect of each consortium partner.
4. In the event that the bidder’s circumstances change, after submission of its bid, regarding any matter referred to in paragraph 2.2 above or in regard to any matter referred to in its disclosure statement, the bidder must submit a written notification to IDC indicating the nature and extent of such changed circumstances.
5. The IDC reserves the right to seek such additional information from any bidder, in respect of the disclosure statement referred to in paragraph 2.2 above, as it may, in its sole discretion, determine, whether such information has been requested under this RFP or otherwise, and may require the bidder to make oral presentations for clarification purposes or to present supplementary information, in respect of the disclosure statement if so required by the IDC.
6. Based on its own assessment of the contents of the bidder’s disclosure statement and any publicly available information which is relevant to the contents of such disclosure statement, the IDC will decide whether the bidder’s conduct or any allegations relating thereto pose a risk, reputational or otherwise, to the IDC; and if it reaches an adverse conclusion the IDC will in its sole discretion have the right not to award a contract or order.

**SIGNED** \_\_\_\_\_ **DATE** \_\_\_\_\_

(Print name of signatory)

Designation \_\_\_\_\_

**FOR AND ON BEHALF OF:** COMPANY NAME \_\_\_\_\_

Tel No \_\_\_\_\_

Fax No \_\_\_\_\_

Cell No \_\_\_\_\_

**ANNEXURE 8: PRIVACY & PROTECTION OF PERSONAL INFORMATION ACT 4 OF 2013 REQUIREMENTS**

<b>Request for Proposal No:</b>	
<b>Name of Bidder:</b>	
<b>Authorised signatory:</b>	

Protecting personal information is important to the Industrial Development Corporation (IDC). To do so, IDC follows general principles in accordance with applicable privacy laws and the Protection of Personal Information Act 4 of 2013 (POPIA).

IDC’s role as a responsible party, is amongst others to process personal information for the intended purpose for which it was obtained and in line with legal agreements with its respective/ prospective clients, third parties, suppliers, and operators.

Who is an Operator? A person or body/ entity which processes personal information for the IDC in terms of a contract or mandate.

Who is a Supplier? a natural or juristic person that provides a product or renders a service to the IDC. A supplier could also be considered as an operator, an independent responsible party or (together with IDC) a joint responsible party.

If the supplier or business partner provides IDC with its related persons’ personal information, the supplier or business partner warrants that the related persons are aware of and have consented to the sharing and processing of their personal information with/by IDC. IDC will process the personal information of related persons as stated under a contractual agreement or as required by any related legislation.

Examples of the personal information of the supplier or business partner where relevant may include (but are not limited to): financial information, including bank statements provided to the IDC; invoices issued by the supplier or business partner; the contract/ legal agreement between the IDC and the supplier or business partner; other identifying information, which includes company registration numbers, VAT numbers, tax numbers and contact details; marital status and matrimonial property regime (e.g. married in community of property); nationality; age; language; date of birth; education; financial history; identifying numbers (e.g. an account number, identity numbers or passport numbers); email address; physical address (e.g. residential address, work address or physical location); information about the location (e.g. geolocation or GPS location); telephone numbers; online and other unique identifiers; social media profile/s; biometric information (like fingerprints, facial recognition signature; race; gender; sex; criminal history).

Example of Special personal information is personal information about the following: · criminal behaviour, or any proceedings in respect of any offence allegedly committed by a data subject or the disposal of such proceedings; religious and philosophical beliefs; trade union membership; political beliefs; health, including physical or mental health, disability, and medical history; or biometric information (e.g. to verify identity).

**RESPONSIBILITIES OF SUPPLIERS AND BUSINESS PARTNERS WHO ARE OPERATORS UNDER POPIA**

Where a supplier or business partner, in terms of a contract or mandate, processes personal information for the IDC and is considered an operator of the IDC, the supplier or the business partner will be required to adhere to the obligations set out in the IDC data privacy or POPIA policy. This policy sets out the rules of engagement in relation to how personal information is processed by suppliers and business partners

on behalf of the IDC as well as the minimum legal requirements that IDC requires the suppliers and business partners to adhere to, including compliance with POPIA as summarised in the below table.

ITEM	GUIDING CONDITIONS FOR PROCESSING PERSONAL INFORMATION	YES	NO
1.	<p><b>Accountability</b></p> <p>The respective clients, third parties, suppliers and operators and its members will ensure that the provisions of POPIA, the guiding principles outlined in the policy and all the measures that give effect to such provisions are complied with at the time of the determination of the purpose and means of the processing and during the processing itself. In the event that an employee of the IDC or any person acting on behalf of the corporation who through their intentional or negligent actions and/or omissions fail to comply with the principles and responsibilities outlined, proper corrective measures will be applied.</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
2.	<p><b>Processing Limitation</b></p> <p>The respective clients, third parties, suppliers and operators and its members will ensure that information is only processed for the justifiable reason and processing is compatible with the purpose of the collection.</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
3.	<p><b>Purpose Specification</b></p> <p>All respective clients, third parties, suppliers and operators and its members will process personal information only for specific, explicitly defined, and legitimate reasons. The respective clients, third parties, suppliers and operators will inform IDC of reasons prior to collecting or recording their PI.</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.	<p><b>Further Processing Limitation</b></p> <p>Personal information will not be processed for a secondary purpose unless that processing is compatible with the original purpose. Thus, where the respective clients, third parties, suppliers and operators seek to process personal information it holds for a purpose for which it was originally collected, and where this secondary purpose is not compatible with the original purpose, respective clients, third parties, suppliers and operators will first obtain additional consent from the IDC.</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
5.	<p><b>Information Quality</b></p> <p>The respective clients, third parties, suppliers and operators will take reasonable steps to ensure that all personal information collected is complete, accurate and not misleading. Where PI is collected or received from third parties, the respective clients, third parties, suppliers and operators will take reasonable steps to confirm that the information is correct by verifying the accuracy of the information directly with the data subject or by way of independent sources.</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
6.	<p><b>Open Communication</b></p> <p>Reasonable steps will be taken by the respective clients, third parties, suppliers and operators to ensure that the IDC is notified of the purpose for which the information is being collected, used, and processed.</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
7.	<p><b>Security Safeguards</b></p> <p>It is a requirement of POPIA for responsible parties, business partners and operators to adequately protect personal information. IDC will need to review suppliers or business partner security controls and processes to ensure that personal Information is compliant with the conditions of the lawful processing of personal information as set out in the POPIA. This would be a continuous monitoring and review that will be conducted by the IDC at its discretion.</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
8.	<p><b>Data Subject Participation</b></p> <p>A data subject whose PI has been collected, stored, and processed by the respective clients, third parties, suppliers and operators must have communication channels to attend to may request for the correction or deletion of such information.</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>

I, \_\_\_\_\_ (print name) hereby certify that the information, facts, and representations are correct and that I am duly authorized to sign on behalf of the company.

Name of Company/ Entity: \_\_\_\_\_

Company/ Entity Registration Number: \_\_\_\_\_

Company/ Entity VAT Registration Number: \_\_\_\_\_

\_\_\_\_\_  
Signature (Company/ Entity Representative)

\_\_\_\_\_  
Date