



REFERENCE: IEC/RM-02/2025

CLOSING DATE: 22 OCTOBER 2025

ENQUIRIES: Yash Sookan

Tel: 012 622 5700 **or eMail:** Sookany@elections.org.za

SERVICE DESCRIPTION: SUPPLEMENTARY PANEL OF RISK MANAGEMENT SERVICE PROVIDERS

Kindly furnish the Electoral Commission with a bid for the panel of risk management service providers for the Electoral Commission as per this tender document.

The conditions contained in the Electoral Commission's supply chain management (SCM) policy documents, preferential procurement policy documents and all other conditions stated in this tender, will apply to your submission.

This tender, as formulated, contains the relevant Electoral Commission's bid documents/forms that must be completed.

A virtual tender briefing session will be held at 11:00 on **7 October 2025**

Kindly submit your bid by completing the relevant forms and deposit the bid in the **tender box OR a designated room** at the Electoral Commission's address before the closing date and time as specified on page two (2).

The Electoral Commission takes no responsibility for any late bids, whatever the reason may be.

Yours sincerely

SUPPLY CHAIN MANAGEMENT

Electoral Commission

Ensuring Free and Fair Elections

Commissioners: Mr MS Moepya (Chairperson) | Ms JY Love (Vice-Chairperson) | Mr VG Mashinini | Dr NP Masuku | Judge D Pillay
National Office: Election House, Riverside Office Park, 1303 Heuwel Avenue, Centurion, 0157 | P/Bag X112, Centurion, 0046
Tel (+27) 12 622 5700 | info@elections.org.za | www.elections.org.za

TENDER NUMBER: IEC/RM-02/2025

SERVICE DESCRIPTION: SUPPLEMENTARY PANEL OF RISK MANAGEMENT SERVICE PROVIDERS

CLOSING TIME: 11:00

CLOSING DATE: 22 OCTOBER 2025

YOU ARE HEREBY INVITED TO SUBMIT A BID TO THE ELECTORAL COMMISSION OF THE REPUBLIC OF SOUTH AFRICA.

THIS TENDER DOCUMENT MUST BE COMPLETED AND ALL APPLICABLE PAGES RETURNED AS PART OF YOUR BID SUBMISSION - DO NOT RETYPE OR SUBSTITUTE THE PAGES OF THE TENDER DOCUMENT IN ANY OTHER FORM.

ALL APPLICABLE TENDER FORMS (**PAGE 2 - 50**) MUST BE COMPLETED AND SIGNED IN ORIGINAL INK. THE BIDDER IS HOWEVER NOT REQUIRED TO FILL IN THE TENDER EVALUATION CRITERIA. FORMS WITH PHOTOCOPIED SIGNATURES/ INITIALS OR ANY OTHER SUCH REPRODUCTION OF DETAIL WILL BE REJECTED, RESULTING IN THE TENDER BEING DISQUALIFIED.

SUBMIT YOUR BID IN A SEPARATE SEALED ENVELOPE OR SUITABLE CONTAINER IF NECESSARY AND WRITE YOUR COMPANY NAME AS WELL AS THIS TENDER REFERENCE NUMBER (**IEC/RM-02/2025**) ON THE ENVELOPE/CONTAINER.

TENDERERS SHOULD ENSURE THAT BIDS ARE DELIVERED TIMEOUSLY TO THE CORRECT ADDRESS AND PLACED IN THE TENDER BOX OR DESIGNATED ROOM. BID SUBMISSIONS MUST ONLY BE DEPOSITED IN THE TENDER BOX(ES) OR DESIGNATED ROOM WHICH ARE IDENTIFIED AS TENDER BOX(ES) OF THE ELECTORAL COMMISSION.

TENDER SUBMISIONS MUST BE SUBMITTED BY NO LATER THAN THE CLOSING DATE AND TIME IN THE TENDER BOX OR A DESIGNATED ROOM SITUATED AT:

ELECTION HOUSE

RIVERSIDE OFFICE PARK

1303 HEUWEL AVENUE

CENTURION

THE TENDER BOX OR THE DESIGNATED ROOM WILL ONLY BE OPEN DURING OFFICE HOURS.

BIDS SUBMITTED IN THE INCORRECT TENDER BOX(ES) OR DESIGNATED ROOM OF THE ELECTORAL COMMISSION, AND NOT IN THE BOX OR DESIGNATED ROOM STIPULATED ABOVE WILL NOT BE CONSIDERED.

BIDS, AS A WHOLE OR IN PART, RECEIVED AFTER THE CLOSING DATE AND TIME ARE LATE AND WILL NOT BE ACCEPTED FOR CONSIDERATION. LATE BIDS ARE RECORDED BUT SET ASIDE.

ONLY BIDS SUBMITTED IN THE CORRECT TENDER BOX(ES) OR DESIGNATED ROOM AS SPECIFIED IN THE TENDER DOCUMENT OF THE ELECTORAL COMMISSION SHALL BE ACCEPTED. BIDS SUBMITTED IN THE ADDRESS NOT SPECIFIED IN THE TENDER DOCUMENT, BY TELEGRAM, FACSIMILE, POST OR BY ELECTRONIC MEANS SUCH AS eMAIL WILL NOT BE ACCEPTED FOR CONSIDERATION.

THE ELECTORAL COMMISSION WILL PUBLISH THE AWARD OF THIS TENDER IN ACCORDNACE WITH STATUTORY REQUIREMENTS AS REQUIRED. TENDERERS SHOULD NOTE THE AWARD OF THE TENDER AS PUBLISHED. NO GENERAL NOTICES TO UNSUCCESSFUL BIDDERS WILL BE ISSUED.

THE ELECTORAL COMMISSION GENERALLY DOES NOT ISSUE LETTERS OF APPOINTMENT. SUCCESSFUL BIDDERS WILL BE REQUIRED TO SIGN A SERVICE LEVEL AGREEMENT, AND/ OR WILL BE ISSUED WITH AN OFFICIAL PURCHASE ORDER AS MAY BE APPLICABLE WHICH REPRESENTS THE 'CONTRACT' BETWEEN THE TWO PARTIES CONCERNED.

CONTENTS OF THIS PAGE NOTED:

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BACKGROUND

The Electoral Commission is a permanent body established in terms of Chapter 9 of the Constitution of the Republic of South Africa and the Electoral Commission Act 51 of 1996. It is responsible for managing and administering all elections and promoting and safeguarding democracy in South Africa. Although publicly funded and accountable to Parliament, the Electoral Commission is independent of government.

In terms of Section 190 of the Constitution of the Republic of South Africa (Act 108 of 1996), the Electoral Commission must -

- Manage elections of national, provincial and municipal legislative bodies;
- Ensure that those elections are free and fair;
- Declare the results of those elections; and
- Compile and maintain a voters' roll.

Duties of the Electoral Commission as stated in Section 5 of the Electoral Commission Act require that the Electoral Commission:

- Compile and maintain a register of parties;
- Undertake and promote research into electoral matters;
- Develop and promote the development of electoral expertise and technology in all spheres of government;
- Continuously review electoral laws and proposed electoral laws, and make recommendations;
- Promote voter education;
- Declare the results of elections for national, provincial and municipal legislative bodies within seven (7) days of those elections; and
- Appoint appropriate resources in any sphere of government to conduct elections when necessary.

The Electoral Commission currently operates in approximately 270 municipal electoral offices, nine (9) provincial offices and ten (10) warehouses at national and provincial level.

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GENERAL TENDER CONDITIONS

1. These conditions form part of the tender and failure to comply with these may invalidate a bid.
2. The following definitions shall apply:
 - (a) “all applicable taxes” includes value-added tax, pay as you earn, income tax, unemployment insurance fund contributions and skills development levies;
 - (b) “B-BBEE” means broad-based black economic empowerment as defined in section 1 of the Broad Based Black Economic Empowerment Act;
 - (c) “B-BBEE status level of contributor” means the B-BBEE status received by a measured entity based on its overall performance using the relevant scorecard contained in the Codes of Good Practice on Black Economic Empowerment, issued in terms of section 9(1) of the Broad-Based Black Economic Empowerment Act;
 - (d) “bid” means a written offer in a prescribed or stipulated form in response to an invitation by an organ of state for the provision of services, works or goods, through price quotations and advertised competitive bidding processes;
 - (e) “Broad-Based Black Economic Empowerment Act” means the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003); together with the Broad-Based Black Economic Empowerment Amendment Act, 2013 (Act No. 46 of 2013)
 - (f) “comparative price” means the price after the factors of a non-firm price and all unconditional discounts that can be utilised have been taken into consideration;
 - (g) “consortium or joint venture” means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract;
 - (h) “contract” means the agreement (including a service level agreement) that results from the acceptance of a bid by the Electoral Commission;
 - (i) “EME” means any exempted micro enterprise with an annual total revenue that is less than the prescribed threshold value;
 - (j) “firm price” means the price that is only subject to adjustments in accordance with the actual increase or decrease resulting from the change, imposition, or abolition of customs or excise duty and any other duty, levy, or tax, which, in terms of the law or regulation, is binding on the contractor and demonstrably has an influence on the price of any supplies, or the rendering costs of any service, for the execution of the contract;
 - (k) “functionality” means the measurement according to predetermined norms, as set out in the bid documents, of a service or commodity that is designed to be practical and useful, working or operating, taking into account, among other factors, the quality, reliability, viability and durability of a service and the technical capacity and ability of a bidder;
 - (l) “non-firm prices” means all prices other than “firm” prices;
 - (m) “person” includes a juristic person;
 - (n) “rand value” means the total estimated value of a contract in South African currency, calculated at the time of bid invitations, and includes all applicable duties and taxes;

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- (o) “service provider” means any individual or entity that is contracted by the Electoral Commission to render goods or services.
- (p) “specific goal” means specific goals as contemplated in section 2(1)(d) of the Preferential Procurement Policy Framework Act, 2000
- (q) “state” means any national or provincial department, national or provincial public entity or constitutional institution within the meaning of the Public Finance Management Act (the PFMA), 1999 (Act No. 1 of 1999), any municipality or municipal entity, provincial legislature, National Assembly or the National Council of provinces; or Parliament;
- (r) “sub-contract” means the primary bidder/contractor’s assigning, leasing, making out work to, or employing, another person to support such primary bidder/contractor in the execution of part of a project in terms of the SLA/contract.

In the event that the primary contractor/bidder is purchasing or renting goods from another entity for the purposes of rendering the services required in respect of this tender the latter shall be deemed not to be a ‘sub-contractor’; and

- (s) “total revenue” bears the same meaning assigned to this expression in the Codes of Good Practice on Black Economic Empowerment, issued in terms of section 9(1) of the Broad Based Black Economic Empowerment Act and promulgated in the Government Gazette on 9 February 2007; and
 - (t) “trust” means the arrangement through which the property of one person is made over or bequeathed to a trustee to administer such property for the benefit of another person.
3. The tender forms are drafted to ensure that certain essential information is to be furnished in a specific manner. Any additional particulars shall be furnished in the enclosed questionnaire/s (where applicable) or in a separate annexure/s.
 4. The tender forms shall not be retyped or redrafted but photocopies may be prepared and used. All photocopied pages must, however, be completed and signed/initialled in original ink as stipulated.
 5. Additional offers may be made for any item in response to this tender but only on a photocopy of the applicable page/s. Additional offers made in any other manner may be disregarded.
 6. Tenders will not be qualified by the tenderer’s own conditions of tender. Failure to comply with this requirement shall invalidate the bid.
 7. Failure on the part of the tenderer to *sign/initial all applicable pages (where a provision to sign has been provided)* of this tender form and thus to acknowledge and accept the conditions in writing shall invalidate the bid submission.
 8. Failure on the part of the tenderer to complete the attached forms, questionnaires and specifications document in all respects may invalidate the bid submission. Failure to complete mandatory forms/schedules shall invalidate the bid submission.
 9. All changes/ alterations in the tender document should be signed/initialled. Failure on the part of the tenderer to sign/initial any alterations and/or corrections made to information provided in this tender form may invalidate the tender submission.
 10. No correction fluid/tape or similar products will be allowed and the use thereof on any page of the tender document may invalidate your bid submission.

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11. **Any changes/ alterations to pricing that are not signed/ initialled are considered material, and shall invalidate the bid submission. Correction fluid/tape or similar products will not be allowed to amend prices and the use thereof shall invalidate the bid submission.**
12. **Comprehensive completion of the pricing schedules in this bid document is compulsory. Where pricing is not charged, same should be denoted as not applicable (N/A). Failure to complete all the required pricing schedules in detail required shall lead to the disqualification of a bid.**
13. Information/detail provided on completed tender forms must be legible and ink must be used. Tender forms completed and signed mechanically, e.g. by means of a typewriter/computer or a signature/initial by means of a stamp are deemed to have been completed in original ink. Pencil must not be used as it shall lead to the disqualification of the bid submission.
14. Tenderers shall check the numbers of the pages and satisfy themselves that none are missing or duplicated. No liability shall be accepted with regard to claims arising from the fact that pages are missing or duplicated. Incomplete bid submissions (i.e. with missing pages) shall be disqualified.
15. Tender prices must be all inclusive, including VAT in respect of all vendors registered for VAT purposes. Non-VAT registered bidder shall not charge nor be eligible for the payment of VAT.
16. Tender prices for supplies in respect of which installation/ erection/ assembly is a requirement, shall include ALL costs inclusive of VAT on a basis of delivered on site as specified.
17. The Electoral Commission shall only accept bids at prices that are market related. In the event that a bid is deemed to not be market related the Electoral Commission reserves the right to negotiate prices in accordance with the provisions of the SCM policy in order to achieve a market related price or cancel the tender altogether.
18. National Treasury has placed an obligation on all bidders that intend doing business with government to register on its Central Supplier Database (CSD). The Electoral Commission will not contract any tenderer that is not registered on the CSD.
19. It is an absolute requirement that the tax affairs of the successful tenderer must be in order.
20. An entity's tax compliance status is indicated on the Central Supplier Database (CSD). The Electoral Commission will only contract tenderers whose tax status is compliant. This means that if a tenderer has a non-compliant tax status before the award is completed the bid will be disqualified if that tenderer's tax affairs remains non-compliant as per the provisions of National Treasury Instruction 9 of 2017/2018. It remains the bidder's responsibility to ensure that their taxes are in order, remain in order and that this is reflected on the CSD. The bidder must also ensure that all sub-contractors (if applicable) are tax compliant.
21. Bids must be submitted based on firm tender prices and delivery periods, unless otherwise stated in the bid specifications. Consequently, tenderers must clearly state whether prices and delivery periods will remain firm for the duration of the SLA/contract or not.
22. When the SLA/contract is awarded on the basis of firm prices, contract prices may be adjusted during the contract period only if:
 - (a) customs or excise duty or any other duty, levy or tax (excluding any anti-dumping and countervailing duties or similar duties), is introduced in terms of any Act or regulation; or
 - (b) any such duty, levy or tax is legally changed or abolished; and

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- (c) the onus of proof of the effect of such events is placed upon the tenderer.
23. If non-firm prices are provided for in the bid specifications, the following rules shall apply:
- (a) In respect of any factors which demonstrably have an influence on the production cost of the supplies or the cost of rendering the services which have been tendered on the basis of non-firm prices, price adjustments which become effective during the contract period may be allowed with effect from the date of the change in cost and founded on the actual direct change in the cost as used in the calculation of the tender price, in addition to those provided for.
 - (b) Where the tenderer is the manufacturer of the supplies or the provider of the service, or where he/she/they is the accredited agent of the manufacturer or the provider, evidence in support of the price adjustments claimed shall be produced on demand.
 - (c) As an alternative, the bidder may specify a formula in the bid submission for the purpose of adjusting prices in accordance with published indices.
 - (d) Where the tenderer is not the provider of the service, or where he/she/they is not the accredited agent of the provider, any price adjustment shall be based on the increase or reduction to the tenderer in the net cost of the supplies on which the tender price was based. When any such increase or reduction in costs occurs, the tenderer shall submit copies of the quotation or price list with reference to which the tender price as calculated, as well as the revised quotation or price list on which the claim is based.
24. Where prices are subject to exchange rate fluctuations, tenderers must take that factor into account when bidding and, where necessary, should ensure that they have taken the necessary forward cover to provide for possible price increases. When applicable, specific detail to this effect should be included in the bid submission.
25. Preference points shall be calculated after prices have been brought to a comparative basis taking into account all factors of non-firm prices and all unconditional discounts.
26. Unconditional discounts offered by any tenderer as part of their bid submission may be considered by the Electoral Commission at its sole discretion in the bid adjudication process. Any other discounts offered by any tenderer will be taken into consideration for payment purposes.
27. The bid specifications form an integral part of the tender document and tenderers shall indicate in the space/s provided whether the specific goods and/or services offered are according to specification or not.
28. In cases where the goods and/or services offered are not according to specification, the deviations from the specifications must be indicated. Specifications may not, however, be changed on the tender forms provided as that shall invalidate a bid submission.
29. Unless specifically provided for in the tender document, no bids transmitted by facsimile or email will be considered.
30. Tenderers are requested to promote local content as far as possible.
31. A service level agreement (SLA/contract) shall be entered into with the successful tenderer.
32. Unless otherwise stipulated, all tools/equipment needed must be supplied by the successful tenderer.

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33. Any tenderer found to be influencing the tender adjudication process shall be automatically disqualified and not accepted for consideration.
34. In accordance with the Electoral Commission's policy, the Electoral Commission reserves the right to procure goods/services outside of the SLA/contract if, *inter alia*, an emergency arises; the service provider's point of supply is not situated at or near the place where services are required or, if the service provider's services are not readily available.
35. The Electoral Commission reserves the right to negotiate the extension of the SLA/contract at its sole discretion with due regard to any statutory provisions imposed by National Treasury at the time of considering such extension.
36. The Electoral Commission may, at its sole discretion, resolve to procure lesser or additional goods/services as provided through the tender should the need arise. Any such change in the scope of services shall be negotiated with the successful tenderer if and when relevant with due regard to any statutory provisions imposed by National Treasury at the time of considering such.
37. Bid submissions received by the Electoral Commission and bid evaluation, assessment and adjudication reports that may contain sensitive information relating to any specific bids are not available for perusal by the public.
38. All information supplied by the Electoral Commission will be in the strictest confidence and will remain the proprietary information of the Electoral Commission. No tenderer will be permitted to disclose any such information to any third party without the prior express consent and/or written authority and/or consent of the Electoral Commission.
39. Should the tenderer fail to comply with any of the conditions of the SLA/contract, the Electoral Commission shall be entitled, without prejudice to any of its other rights, to:
 - (a) arrange for the alternative execution of the service/s not rendered or not in conformity with the specifications of the SLA/contract; and
 - (b) recover all costs, losses or damages it has incurred or suffered as a result of the tenderer's conduct; or
 - (c) cancel the SLA/contract and claim any damages which it has suffered as a result of having to make less favourable arrangement due to such cancellation.
40. All acquisitions for goods and/or services made by the Electoral Commission are subject to the following conditions:
 - (a) No variations from the terms and conditions herein contained, and no contrary stipulation by the tenderer shall be valid and binding unless confirmed by the Electoral Commission in writing.
 - (b) The Electoral Commission reserves the right to cancel any order if delivery is not made in due time and the tenderer will not be entitled to any cancellation fees.
 - (c) Part deliveries will only be accepted on prior arrangement with the Electoral Commission.
 - (d) If delivery is not met as per agreement, the Electoral Commission reserves the right to cancel the outstanding delivery, and recover all costs, losses or damages it has incurred or suffered as a result of the tenderer's conduct.

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- (e) No price adjustments shall be accepted unless stipulated in the tender document received and agreed to by the Electoral Commission. The tenderer will be obliged to sell at tendered prices.
 - (f) The award of the tender may be subjected to the negotiation of a market-related price with a bidder in accordance with the provisions of the SCM policy.
 - (g) The Electoral Commission shall not be responsible for any risk in relation to the goods before delivery.
 - (h) The Electoral Commission shall not be obliged to pay for any goods with any deviation from the agreed specification and quality.
 - (i) The Electoral Commission shall be entitled to return any goods with defects or deviations from the agreed specification without consent from the tenderer.
 - (j) The service provider shall submit a valid tax invoice where the service provider is VAT registered, or a valid invoice if the service provider is not VAT registered, conforming to tax law requirements, to the Electoral Commission. The invoice amount shall correspond with the order amount and shall be VAT inclusive, where applicable.
 - (k) Details provided on invoices issued to the Electoral Commission must correspond to the details of the service provider as registered on the Central Supplier Database (CSD). It remains the service provider's responsibility to ensure that details are correct to enable the Electoral Commission to effect any payments due to the bidder.
 - (l) Payment shall be effected within 30 days of receipt of a valid invoice/s together with a statement of the Electoral Commission's account, unless a dispute arises in respect of such invoice/s. Every effort shall be made to take advantage of special discounts.
 - (m) To avoid unnecessary delays in payment, it remains the tenderer's responsibility to ensure that banking details are correct and validated on the Central Supplier Database (CSD). The Electoral Commission will not be liable for interest accrued on overdue accounts where the tenderer has not resolved their incorrect banking details on the CSD.
41. No damages shall be claimable by the Electoral Commission in respect of any reasonable period of delay which the tenderer can prove to the satisfaction of the Electoral Commission to be directly due to unforeseen events and/or any *force majeure*.
 42. If the execution of any SLA/contract entered into is likely to be delayed or is in fact being delayed on account of any reason, full particulars of the circumstances shall be immediately reported in writing to the contact person stated in the service level agreement (SLA/contract), and at the same time the service provider shall indicate the extension of the delivery/completion period which will then be required.
 43. If information required in respect of any item in the tender document cannot be adequately inserted in the space provided, additional information may be provided on a separate sheet of paper with a clear and accurate reference to the item and page of the tender document.
 44. Tenderers may, at the discretion of the Electoral Commission, be requested to submit samples or prototypes, make presentations and/or written submissions in order for the Electoral Commission to assess compliance with tender conditions and specifications.

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45. Scoring in respect of this tender will be based on the provisions of the Preferential Procurement Policy Framework Act, 2000 (PPPFA) and Preferential Procurement Regulations, 2022. Only valid B-BBEE status level certificates, CIPC B-BBEE certificates or B-BBEE affidavits are acceptable, and these will be subject to verification and validation.
46. The following preference point systems are applicable to bids of the Electoral Commission:
- the 80/20 system for goods and/or services with a Rand value equal to or below R50,000,000 (all applicable taxes included);
 - the 90/10 system for goods and/or services with a Rand value above R50,000,000 (all applicable taxes included); and
 - if it is unclear which preference point system will be applicable, then either the 80/20 or the 90/10 preference point system will apply and the lowest acceptable bid will be used to determine the applicable preference point system.
47. **The value of this tender is estimated not to exceed R50,000,000 (all applicable taxes included) and therefore the 80/20 scoring system shall be applicable.**
48. Preference points for this bid shall be awarded for:
- Price (80 or 90 as applicable); and
 - Specific goal(s) (a maximum of 20 or 10 as applicable).
49. A maximum of 80 or 90 points is allocated for price on the following basis:
- $$80/20 \text{ or } 90/10 \quad P_s = 80 \left(1 - \frac{P_t - P_{\min}}{P_{\min}} \right) \text{ or } P_s = 90 \left(1 - \frac{P_t - P_{\min}}{P_{\min}} \right)$$
- Where
- P_s = Points scored for price of bid under consideration
- P_t = Price of bid under consideration
- $P_{\min}$ = Price of lowest acceptable bid
50. In terms of Regulation 3(b) of the Preferential Procurement Regulations, 2022 preference points must be awarded to a tenderer for specific goal(s) in accordance with the table below:

B-BBEE Status Level of Contributor	Number of Points 80/20	Number of Points 90/10
1	20	10
2	18	9
3	14	6
4	12	5
5	8	4
6	6	3
7	4	2
8	2	1
Non-compliant contributor	0	0

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51. Tenderers who qualify as exempted micro enterprises (EMEs) in terms of the B-BBEE Act and its codes must submit a CIPC B-BBEE certificate or B-BBEE sworn affidavit (with the exception of sector codes).
 - (a) For EMEs falling under a sector charter, the tenderer must submit a B-BBEE document in compliance with the applicable sector code.
52. Tenderers who qualify as qualifying small enterprises (QSEs) in terms of the B-BBEE Act and its codes must submit a B-BBEE sworn affidavit or B-BBEE status level certificate as applicable.
 - (a) QSEs with at least 51% black ownership must submit a B-BBEE QSE sworn affidavit.
 - (b) QSEs with 50% or less black ownership must submit their valid B-BBEE status level certificate, issued by a Verification Agency accredited by South African Accreditation System (SANAS) substantiating their B-BBEE claims. A black-owned QSE may be measured in terms of the QSE scorecard should it so choose and therefore may also provide a valid B-BBEE status level certificate issued by a Verification Agency accredited by SANAS substantiating their B-BBEE claims.
53. Tenderers other than EMEs or QSEs must submit their valid B-BBEE status level certificate issued by a Verification Agency accredited by South African Accreditation System (SANAS), substantiating their B-BBEE claim.
54. Failure on the part of a tenderer to submit a sworn affidavit, or a B-BBEE Verification Certificate from a Verification Agency accredited by the South African Accreditation System (SANAS) together with the bid, will be interpreted to mean that preference points for specific goals are not claimed.
55. In order to validate the credibility of the information recorded on the B-BBEE verification certificate, sworn affidavit or CIPC B-BBEE certificate the Electoral Commission requires all applicable supporting documents.
56. An incorporated joint venture will qualify for points for its B-BBEE status level as a legal entity, provided that the entity submits its B-BBEE status level certificate.
57. A trust, consortium or unincorporated joint venture will qualify for points for its B-BBEE status level as an unincorporated entity, provided that the entity submits its consolidated B-BBEE status level certificate as if it were a group structure and that such a consolidated B-BBEE status level certificate is prepared for every separate bid.
58. Tertiary institutions and public entities will be required to submit their B-BBEE status level certificates in terms of the specialised scorecard contained in the B-BBEE Codes of Good Practice.
59. Start-ups that are EMEs but wish to tender for contracts of R10 million in value or above, must be verified using the QSE scorecard. For tenders of R50 million in value or above start-ups must be verified using the generic scorecard.
60. A person will not be awarded points for specific goals if it is indicated in the bid document that such a bidder intends sub-contracting more than 25% of the value of the contract to any other enterprise that does not qualify for at least the points that such a bidder qualifies for.
61. A person awarded a SLA/contract may not sub-contract more than 25% of the value of the contract to any other enterprise that does not have an equal or higher B-BBEE status level than the person concerned.

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62. The Electoral Commission reserves the right to require of a tenderer, either before a bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preference points, in any manner required by the Electoral Commission. In the event that no response is received from the issuer of the certificate after 48 hours or the tenderer, no specific goal points will be allocated in the final scoring.
63. A tenderer who's bid is acceptable will be awarded a contract based on lowest acceptable bid, which is market related, subject to section 2(1)(f) of the PPPFA, in addition to complying with all the other requirements, such as, meeting the technical specifications and satisfying the Electoral Commission's due diligence audit requirements which entails confirmation of the bidder's capacity, capability and ability to render the goods/services in accordance with the tender conditions/ specifications.
64. Points scored will be rounded off to the nearest 2 decimal places.
65. In the event that two or more bids have scored equal total points, the successful bid will be the one scoring the highest number of points for specific goals.
66. Should two or more bids be equal in all respects, the award shall be decided by the drawing of lots.
67. Any legal person may make an offer or offers in terms of this invitation to bid.
68. In view of possible allegations of favouritism, should the resulting bid, or part thereof, be awarded to persons employed by the state, or to persons connected with or related to them, it is required that the tenderer or his/ her authorised representative declare his/ her position in relation to the evaluating/ adjudicating authority, where:
 - (a) the tenderer is employed by the state; and/or
 - (b) the legal person on whose behalf the bidding document is signed, has a relationship with persons/ a person who are/ is involved in the evaluation and/ or adjudication of the bid(s), or where it is known that such a relationship exists between the person or persons for or on whose behalf the declarant acts and persons who are involved with the evaluation and/ or adjudication of the bid.
69. The Public Service Administration Management Act 11 of 2014 prohibits public servants from conducting business with the state or being a director of a public or private company that conducts business with the state.
70. The Electoral Commission may cancel the tender for reasons that may include *inter alia*:
 - (a) Changed circumstances – There is no longer a need for the goods/services.
 - (b) Funds are no longer available to cover the total envisaged expenditure.
 - (c) No acceptable bid is received.
 - (d) There is a material irregularity in the tender process.
 - (e) If the price offered by a tenderer scoring the highest points is not market-related.
71. This bid is subject to the Preferential Procurement Policy Framework Act, 2000 and the Preferential Procurement Regulations, 2022, the general conditions of contract (GCC) and, if applicable, any other special conditions of contract.
72. The Electoral Commission reserves the right not to award the tender to a bidder that has affiliations with any registered political party/parties, candidate(s) or contestant(s)".

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BID SUBMISSION

This standard bidding document must be completed by the tenderer and forms part of all bids.

In the case of a consortium or joint venture, every member of the consortium or joint venture must complete the questionnaire.

In the case of subcontractors, where more than 25% of the work will be done by such subcontractor/s each subcontractor must complete the mandatory tender forms **13 to 25-** Tender Questionnaire, financial information, deliveries and guarantees, bidder's disclosure, POPIA consent and Political Party affiliation declaration.

Separate forms must be used in each case.

Failure to complete and sign/initial all applicable pages (where a provision to sign has been provided) of this document in original ink shall invalidate your bid.

Where space provided is insufficient, annexes must be submitted with the relevant information.

False documents and/or the omission of information may invalidate your bid.

The form serves as a declaration to ensure that when goods and services are being procured, all reasonable steps are taken to combat the abuse of the supply chain management system.

Any bid may be disregarded if the tenderer or any of its directors or shareholders has:

- abused the Electoral Commission's supply chain management system;
- committed fraud or any other improper conduct in relation to such system; or
- failed to perform on any previous contract.

Prospective tenderers must register on the National Treasury Central Supplier Database (CSD) prior to submitting their bids. Failure to register on the CSD shall invalidate your tender.

A tenderers tax affairs must be in order to be considered in the adjudication of this tender. A tenderer's tax compliance status is reflected on the national treasury central supplier database (CSD).

A tenderer's tax compliance status shall apply as it was at the time of the award of the tender. The tender shall only be awarded to a tenderer that is tax compliant. The electoral commission verifies tax status against the CSD. Any bid with a non-compliant tax status shall be rejected at the time of the award of the tender. Tax non-compliant tenderers are, therefore, encouraged to continuously monitor their tax compliance status on the CSD and must ensure that their status reflects as tax compliant. tenderers must resolve any pending tax issues with SARS timeously as it takes time for status changes to be effected from the SARS Tax Compliance System (TCS) to the CSD.

Although not all the information requested hereunder might be relevant to the specific requirements of this tender, you are requested to complete the document with as much relevant detail as possible.

The information may be used during the bid evaluation process of the tender.

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TENDER QUESTIONNAIRE

Important note: Where more space is required for additional information please use photocopies of the applicable page/s. However, all pages **must** be completed and signed in original ink.

1. Name of business entity (tenderer):
2. Company CIPC registration number (if applicable):.....
3. Central Supplier Database (CSD) registration number:

M	A	A	A						
---	---	---	---	--	--	--	--	--	--

Your unique registration number as provided above will be used to capture your company details as a vendor to the Electoral Commission. The Electoral Commission will draw your registration detail and tax compliant status from the National Treasury Central Supplier Database (CSD) and any changes (including banking details) you effect to your registration on the CSD will automatically update your registration as a vendor to the Electoral Commission. Detail provided on invoices issued to the Electoral Commission must correspond to the detail of your company as registered on the CSD. It remains your responsibility to ensure that details are correct to enable the Electoral Commission to effect any payments due to you.

4. Contact person (person representing tenderer):
5. Contact number:
6. Cellphone number:
7. eMail address:
8. Physical address of tenderer:

.....Postal Code:

9. Type of Company/Enterprise [TICK APPLICABLE BOX]

- ☐ Partnership/Joint Venture / Consortium
- ☐ One person business/ sole propriety
- ☐ Close corporation (CC)
- ☐ Public Company
- ☐ Personal Liability Company
- ☐ Company (Pty) Ltd
- ☐ Non-Profit Company
- ☐ State Owned Company
- ☐ Other _____

10. Describe Principal Business Activities

.....

11. Company Classification [TICK APPLICABLE BOX]

- ☐ Manufacturer
- ☐ Supplier
- ☐ Professional
- ☐ Other, e.g. transporter, *et cetera*. _____

12. Total number of years the company/firm has been in business:.....

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13. The names of directors/ members of companies are drawn from the Central Supplier Database (CSD). Please provide the detail of any directors/ trustees/ shareholders/ members/ partners or any person having a controlling interest and their individual identity numbers that do not appear on your CSD registration in the table below.

Full Name	Identity Number	Designation

14. Enterprise size Classification (Tick one applicable to your company):

EME	
QSE	
Generic	

15. Please stipulate the B-BBEE status level of contribution as it appears on the certificate/affidavit for your entity

16. Is the entity an exempted micro enterprise (EME) for the purposes of the Preferential Procurement Regulations, 2022?

17. Has a B-BBEE status level verification certificate/affidavit been submitted?

YES		NO	
YES		NO	

18. If yes, who issued the certificate?

A verification agency accredited by the South African National Accreditation System (SANAS)	
Commissioner of Oaths in the case of an applicable sworn affidavit	
CIPC	

All tenderers must submit sworn affidavits or status level certificates together with their bid documentation in support of and confirming the B-BBEE status level indicated above. Failure to submit the affidavit or certificate will result in a tenderer being deemed as a non-compliant contributor and a status level of zero (0) will be allocated.

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19. Compulsory supporting documents for B-BBEE claims

OWNERSHIP	DOCUMENTS REQUIRED
Black ownership Black female ownership	B-BBEE Status level certificate/ CIPC B-BBEE certificate/ B-BBEE sworn affidavit Proof ownership (share certificates for companies/ CK1 or CK2 for CCs) IDs for all owners
Black people with disabilities	Completed form EEA1 Doctor's letter confirming disability
Financial year end and Ex Officio confirmation	Disclosure certificate not older than 3 months

All tenderers must submit sufficient supporting documents together with their bid documentation in support of and confirming the specific goals claimed above. Failure to submit the documents will result in a tenderer being deemed as non-compliant and zero points (0) will be allocated for specific goals. If the tenderer is owned by juristic persons, proof of ownership must be submitted for all entities until all natural persons are accounted for.

20. Questionnaire to foreign bidders:

Are you the accredited representative in South Africa for the goods/ services/ works offered? [If YES, enclose proof]

Are you a foreign based supplier for the goods/ services/ works offered? [If YES, answer the questionnaire below]

Is the entity a resident of the republic of South Africa (RSA)?

Does the entity have a branch in the RSA?

Does the entity have a permanent establishment in the RSA?

Does the entity have any source of income in the RSA?

Is the entity liable in the RSA for any form of taxation?

YES		NO	
YES		NO	
YES		NO	
YES		NO	
YES		NO	
YES		NO	
YES		NO	

If the answer is "NO" to all of the above, then it is not a requirement to register for a tax compliance status system pin code from the South African Revenue Service (SARS) and if not register via e-Filing through the SARS website www.sars.gov.za.

21. Legal status of tenderer (tick one box)

Principal tenderer		
Subcontractor		% of work will be subcontracted*
Consortium		
Joint venture (JV)		
Other, specify		

In the case of subcontractors, where more than 25% of the work will be done by such subcontractor/s, each subcontractor must complete the tender questionnaire as well as the bidder's disclosure (SBD4).

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Separate forms must be used in each case.

In line with the National Treasury Instruction 9 of 2017/2018 the tax affairs of subcontractors must also be in order on the Central Supplier Database (CSD).

In bids where consortia/ joint ventures/ sub-contractors are involved, each party must submit a separate TCS certificate/ PIN/ CSD number.

22. Employment Equity Act (EEA) (Chapter VI – General Provisions: State Contracts)

23. In terms of Section 53(1) of the EEA every employer that makes an offer to conclude an agreement with any organ of state for the furnishing of suppliers or services to that organ of state or for the hiring or letting of anything:

23.1 must-

- (i) if it is a designated employer, comply with Chapters II and III of this Act; or
- (ii) if it is not a designated employer, comply with Chapter II of this Act; and

23.2 attach to that offer either-

- (i) a certificate in terms of subsection (2) which is conclusive evidence that the employer complies with the relevant Chapters of this Act; or
- (ii) a declaration by the employer that it complies with the relevant Chapters of this Act, which, when verified by the Director-General, is conclusive evidence of compliance.

24. In terms of Section 53(2) of the EEA an employer referred to in subsection (1) may request a certificate from the Minister confirming its compliance with Chapter II, or Chapters II and III, as the case may be.

25. In terms of Section 53(3) a certificate issued in terms of subsection (2) is valid for 12 months from the date of issue or until the next date on which the employer is obliged to submit a report in terms of section 21, whichever period is the longer.

26. In terms of Section 53(4) a failure to comply with the relevant provisions of this Act is sufficient ground for rejection of any offer to conclude an agreement referred to in subsection (1) or for cancellation of the agreement*.

* Statutory regulations may require that supplies and services shall not be procured for and on behalf of the State, unless an employer has attached to its offer a certificate in terms of Section 53(l)(b)(i) or a declaration in terms of Section 53(l)(b)(ii) of the Employment Equity Act.

27. Is your company a designated employer in terms of the Employment Equity Act (EEA)? (Act 55 of 1998)

YES		NO	
-----	--	----	--

28. Does your company comply with Chapter III of the Employment Equity Act? (Act 55 of 1998)

YES		NO		EXEMPT	
-----	--	----	--	--------	--

29. In respect of the EEA requirements above, please attach either:

29.1 A certificate in terms of Section 53(2) of the EEA which is conclusive evidence that the employer complies with the relevant Chapters of the EEA; or

An employer may request a certificate from the Minister confirming its compliance with Chapter II, or Chapters II and III, as the case may be.

29.2 A declaration by the employer that it complies with the relevant Chapters of the EEA, which, when verified by the Director-General, is conclusive evidence of compliance.

30. Tenderers must monitor developments around the EEA in order to be informed of any changes in the statutory requirements.

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31. In the event of subcontractors being used to render the services required in terms of this tender, the principal tenderer must complete the schedule below in order to clearly indicate which entities will be subcontracted as well as the percentage of work to be subcontracted to each of these entities in relation to the total value of the contract.

Name of Company to be Subcontracted	% Value of Total Contract Allocated to Subcontractor
Total % of Work to be Sub-contracted*	 %

SIGNATURE OF BIDDER:

CAPACITY UNDER WHICH THIS BID IS SIGNED:
(Proof of authority must be submitted e.g. company resolution)

DATE:

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FINANCIAL INFORMATION

32. What is the company's annual average turnover during the previous three financial years or such lesser period during which the business has been operating?

Financial Year (e.g. 2022, 2023, 2024)	Annual Turnover	Gross Asset Value	Net Asset Value
	R	R	R
	R	R	R
	R	R	R
	R	R	R

33. Please provide the following figures in respect of the most recent audited financial statements:

Figures are provided for the audited financial statement of (State Year)

- o Total current assets R.....
- o Inventory R.....
- o Prepaid expenses R.....
- o Current liabilities R.....

34. Is your company listed on the Stock Exchange?

YES		NO	
-----	--	----	--

35. Are the prices quoted firm for the full period of the contract?

YES		NO	
-----	--	----	--

If the tender prices are not firm for the full period, provide details against the appropriate category(s) below:

Non-firm prices, i.e. prices linked to statutory adjustments and other proven adjustments.

YES		NO	
-----	--	----	--

Explanation:

Prices linked to fixed period adjustments.

YES		NO	
-----	--	----	--

Prices linked to escalation formula adjustments.

YES		NO	
-----	--	----	--

Explanation: Note that for the purpose of price comparisons, the actual price inclusive of VAT that the Electoral Commission will have to pay over the contract period will be used, unless otherwise stipulated in the detailed specifications

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DELIVERABLES AND GUARANTEES

36. Is the delivery period stated in the tender firm?

YES		NO	
-----	--	----	--

37. Do you confirm that the required goods/services are guaranteed in terms of any specific guarantees that may be required in the bid specification?

YES		NO	
-----	--	----	--

38. Are you the accredited representative in the Republic of South Africa of the manufacturer of the equipment offered by you?

YES		NO	
-----	--	----	--

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BIDDER'S DISCLOSURE

1. PURPOSE OF THE FORM

Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

2. Bidder's Declaration

- 2.1. Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest¹ in the enterprise, employed by the state? YES/ NO

- 2.1.1. If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest¹ in the enterprise, in table below.

Full Name	Identity Number	Name of State institution

- 2.2. Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution? YES/ NO

¹ the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.

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2.2.1. If so, furnish particulars:

.....

2.3 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are bidding for this contract? YES/ NO

2.3.1 If so, furnish particulars:

.....

3. DECLARATION

I, the undersigned, (name)..... in submitting the accompanying bid, do hereby make the following statements that I certify to be true and complete in every respect:

- 3.1. I have read and I understand the contents of this disclosure;
- 3.2. I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect;
- 3.3. The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium² will not be construed as collusive bidding.
- 3.4. In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.
- 3.4. The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.
- 3.5. There have been no consultations, communications, agreements or arrangements made by the bidder with any official of the procuring institution in relation to this procurement process prior to and during the bidding process except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.
- 3.6. I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in

² Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

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terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 1, 2 and 3 ABOVE IS CORRECT.

I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/2022 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

.....
Signature

.....
Date

.....
Position

.....
Name of Bidder

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POPIA CONSENT AND POLITICAL PARTY AFFILIATION DECLARATION

1. CONSENT TO PROCESS INFORMATION ACCORDING TO THE PROTECTION OF PERSONAL INFORMATION ACT (POPI ACT) - POPIA

- 1.1. By participating in tenders of the Electoral Commission a bidder gives consent and accepts that the information they provide will be used for purposes of evaluation and adjudication of bids. This includes use of the data provided by a bidder to perform due diligence checks involving the collection of personal data from third parties (e.g. clients of the bidder(s), SARS, CIPS) to validate claims and to gather important information for the purpose of evaluation and adjudication of this bid. This may include the collection of publicly available data.
- 1.2. By participating in the bid the bidder gives consent and accepts that the Electoral Commission may use personal data to investigate potential risk such as fronting, criminal conduct, unethical conduct for the protection of its rights and for the purpose of evaluation and adjudication of this bid. The Electoral Commission may also share personal data with relevant authorities for investigation of criminal conduct and for other lawful purpose.
- 1.3. The bidder accepts that the Electoral Commission may share their personal data with third parties to support its internal and external audit processes.
- 1.4. The bidder acknowledges that their personal data will be kept confidential and will be used for the purpose intended for a bid and will not be shared with third parties for unrelated or unlawful purposes. In addition, the information will be handled in line with record retention guidelines and be disposed of when the timelines in the guidelines have been reached. Any request for deletion of personal information will be acceded to in line with legislative requirements. Should such deletion impact on the evaluation, adjudication, awarding and contract phases a bidder will be informed and such impact effected.

2. POLITICAL PARTY AFFILIATION DECLARATION

The Electoral Commission reserves the right not to award the tender to a bidder that has affiliations with any registered political party/parties, candidate(s) or contestant(s)".

- 2.1 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have an affiliation with any registered political party/parties, candidate or contestant?

Delete whichever is not applicable

YES	NO
-----	----

- 2.1.1. If so, furnish particulars:

.....

I certify, that:

I have read and I understand the contents of this consent to the provisions set out in respect of the Protection of Personal Information Act (POPIA) and Political Party Affiliation.

- 2.2 I certify that the information furnished in this bid submission, which includes the information stated in respect of the applicable forms, is true and correct. I accept that the Electoral Commission may

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reject the bid or act against me should this declaration be found not to be true and complete in every respect.

2.3 Each person whose signature appears on the accompanying bid has been authorised by the bidder to determine the terms of, and to sign the bid, on behalf of the bidder.

2.4 I hereby bid to render all or any of the services described in the attached documents to the Electoral Commission on the terms and conditions and in accordance with the specifications stipulated in the tender documents (and which shall be taken as part of, and incorporated into, this tender) at the prices including VAT and on the terms regarding time for delivery and/or execution inserted therein.

I agree that:

- a) the offer herein shall remain binding upon me/us and open for acceptance by the Electoral Commission during the validity period indicated and calculated from the closing time of the tender;
- b) this tender and its acceptance shall be subject to the terms and conditions contained in the general tender conditions of the Electoral Commission with which I am/we are fully acquainted;
- c) I/we agree that my/our bid shall be valid for a period of 6 (six) months (180 Calendar days) and
- d) the law of the Republic of South Africa shall govern the contract created by the acceptance of my/our bid.

2.5 I furthermore confirm that I/we have satisfied myself/ourselves as to the correctness and validity of my/our bid, that the price(s) and rate(s) quoted cover all the work/item(s) specified in the tender documents and that the price(s) and rate(s) cover all my/our obligations under a resulting contract/service level agreement and that I/we accept that any mistakes regarding price(s) and calculations will be at my/our risk.

2.6 I hereby accept full responsibility for the proper execution and fulfilment of all obligations and conditions devolving on me/us under this agreement as the Principal(s) liable for the due fulfilment of this contract.

2.7 I agree that any action arising from this contract may in all respects be instituted against me/us and I/we hereby undertake to satisfy fully any sentence of judgement which may be pronounced against me/us by a court of law as a result of such action.

2.8 Confirmation is granted that SARS may, on an ongoing basis during the contract term, disclose my/our (including that of subcontractors, partners and undisclosed principals) tax compliance status to the Electoral Commission for purposes of verifying my/our tax compliance status with SARS.

2.9 Notice has been taken of the tender document including all the relevant forms and the General Tender Conditions contained in this tender document, the content of which is understood.

2.10 It is confirmed that the required tender forms have been completed in full and signed.

.....
Name and Surname in Print

.....
Signature

.....
Position

.....
Name of Bidder (Bidding Entity)

Date.....

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PENALTIES

1. PENALTIES AS PER THE PREFERENTIAL PROCUREMENT REGULATIONS, 2022

If the Electoral Commission is of the view that a tenderer submitted false information regarding a specific goal it will follow the prescriptions as per regulation 9 and if the Electoral Commission concludes that such information is false it may -

- disqualify the tenderer or terminate the contract in whole or in part; and
- if applicable, claim damages from the tenderer.

2. PENALTIES AS PER THE B-BBEE ACT

It is contrary to the B-BBEE Act for a measured entity to trade with an invalid/ inconclusive or incorrect B-BBEE verification certificate, sworn affidavit or CIPC certificate.

Trading with an invalid or fraudulent B-BBEE certificate or sworn affidavit/ CIPC certificate may constitute an offence in terms of section 13O(1)(a) of the B-BBEE Act, which states that a person commits an offence if that person knowingly misrepresents or attempts to misrepresent the B-BBEE status of an enterprise, and the B-BBEE Commission may institute an investigation in terms of section 13J of the B-BBEE Act.

In addition, section 13A of the B-BBEE Act has empowered organs of state to cancel any contract or authorisation awarded on account of false information knowingly furnished by or on behalf of an enterprise in respect of its B-BBEE empowerment status.

If an entity is found to have violated the B-BBEE Act, the B-BBEE Commission is empowered to act accordingly as guided by the B-BBEE Act and this can result in the entity that violated the B-BBEE Act to be fined up to 10% of its annual turnover, and individuals involved could be imprisoned for up to 10 years, and/ or fined. Specifically, the offence under section 13O (2) could lead to imprisonment of up to 12 months, or a fine, or both the fine and imprisonment.

In terms of section 13O (2) a procurement officer or any official of an organ of state who becomes aware of the commission of, or attempt to commit any offence referred to under section 13O (1) and fails to report it, is guilty of an offence.

Any person convicted of an offence in terms of the BBEEA may not, for a period of 10 years from the date of conviction, contract or transact any business with any organ of state or public entity and must for that purpose be entered into the register of tender defaulters which the National Treasury maintain for that purpose.

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BID EVALUATION**IMPORTANT NOTICE:**

The under-mentioned requirements form an integral part of the bid assessment and bid evaluation processes that will be followed by the Electoral Commission. Please note that bids that do not conform to the primary compliance requirements indicated in Section A will not be considered. The bid evaluation requirements below must be read together with the bid evaluation criteria that may form part of the bid specifications.

A Acceptance or Rejection of Bid (Primary Compliance Verification)**Legality of bid document:****The following shall lead to disqualification:**

- i. Non-compliance with tender rules
- ii. Failure to attend a COMPULSORY briefing session (if applicable).
- iii. Failure to return all applicable pages of the tender document that must be signed/initialled.
- iv. Failure to complete tender forms in original ink.
- v. Failure to sign/ initial all applicable pages (**where a provision to sign has been provided**) of the tender document. Signing of the Bidder's Disclosure and Declaration is deemed equivalent to signing that specific page and/or signing the page represents signing the Bidder's Disclosure and Declaration.
- vi. Any changes/ alterations to pricing that are not signed/ initialled and/ or the use of correctional fluid/ tape or any similar product in respect of pricing in the tender document.
- vii. Any changes to the tender specifications (unless formally agreed to by the Electoral Commission and recorded as such before the closure of the tender).
- viii. Failure to complete and sign all affidavits, certificates, declarations and annexures contained in the tender in original ink.
- ix. Failure to register on the Central Supplier Database (CSD).
- x. A non-compliant tax status

The following may lead to disqualification:

Failure to sign/initial any other alterations and/or corrections to the information submitted by the tenderer, which the Electoral Commission may consider to be material.

Incomplete bid submission:

The following shall lead to disqualification:

- i. Rates and prices – schedules not completed as required.
- ii. Failure to submit obligatory written proposals/ explanations/ samples/ prototypes/ certificates or similar requirements.
- iii. In respect of subcontractors (>25%) or joint ventures or consortiums, failure to complete and submit the required tender forms (pages 13-25 of the tender document) or to submit evidence that your tax affairs are in order.

B Bid Evaluation**Inability to evaluate the tender:**

Incomplete schedule of rates and prices.

Prices and information not furnished as specified and/ or required.

Incomplete written proposals/ submissions where required.

Bids that qualify for bid evaluation purpose shall be subjected to the bid evaluation criteria as set out in the bid specifications.

Such bid evaluation shall include assessment and evaluation of the bidder's disclosure.

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Tenderers should note that ALL information requested in terms of the bid submission is required and may be used for bid evaluation purposes.

General questionnaire (for completion by the tenderer) to ensure compliance with tender requirements/ rules/ conditions/ specifications:

Question	If YES, Mark YES*	If NO, Mark NO*
Are you duly authorised to sign the tender?		
Has the <i>Bidder's Disclosure and Declaration</i> been completed and signed?		
Have separate forms (pages 13-25) been completed for each member of a consortium, joint venture or subcontractor as specified in the tender document?		
Is the tender document complete – i.e. are all pages as well as compulsory returnables included/returned with your bid submission?		
The use of pencil to complete the tender forms will invalidate your bid. Have all applicable pages of this document been completed and signed or initialled in original ink by the signatory of the tender document?		
Have all corrections/alterations to information and or prices made on this document been certified/signed/ initialled by the signatory of the tender document?		
Have you noted that the use of correction fluid/tape or any such products to amend prices shall invalidate your bid submission?		
Is your company (and any potential subcontractors) registered on the Central Supplier Database (CSD)? Please include a copy of your registration form in your bid submission.		
Are your tax affairs in order and reflected as being compliant on the Central Supplier Database (CSD)? As such, have you noted that your bid may be rejected at the time of awarding this tender if your tax status is non-compliant on the CSD?		
Have the General Tender Conditions been noted?		
Have the Bid Evaluation Criteria been noted?		
Has the Scope of Services been noted?		
Have prices been quoted VAT inclusive?		
Have a comprehensive written proposal and/or samples/ certificates/ <i>et cetera</i> as called for in this tender been prepared and submitted with the tender document?		

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1 Background

The Electoral Commission of South Africa is a constitutional institution supporting democracy with a mandate to manage free and fair elections of legislative bodies and to perform its statutory functions without fear, favour or prejudice. A key component reflected in its image is to be, and be seen to be, impartial in the performance of its duties and functions, transparent and engender trust.

The Electoral Commission previously advertised a tender for the panel of risk management service providers (IEC/RM 01/2025) and it did not yield the required number of service providers for a panel.

The Electoral Commission therefore wishes to appoint a supplementary panel for risk management service providers to assist the organisation to render specialised risk management, on an “as-and-when required” basis, for a period of three (3) years.

2 Purpose of Tender

In fulfilling its strategic role and mandate, the Electoral Commission considers its risk profile and other shortcomings of the environment under which it operates to ensure that it meets the envisaged strategy deliverables.

The Electoral Commission is implementing strategic changes to enhance efficiency, effectiveness, and economy, which naturally introduce increased risk exposure alongside potential opportunities. To manage this, a robust Risk Governance structure and an efficient risk management system are in place to minimise negative impacts and support informed strategic decision-making. The Risk Management division applies a practical governance approach that promotes oversight, accountability, independence, and transparency, both internally and with stakeholders. To strengthen reporting capabilities and align with governance and legislative requirements, the Commission is enhancing its risk function by appointing a dedicated risk management panel. This initiative aims to better utilise risk data, improve decision-making, and further embed risk management into the organisation’s daily operations.

- 2.1 To this end, as required in Treasury Regulation 3.2.4, this bid requirement is to partly contract, i.e., co-source the Risk Management Function (RMF) of the Electoral Commission. Accordingly, the Electoral Commission is looking to appoint a panel of independent service providers in a co-sourced capacity to assist the Chief Risk and Compliance Officer (CRCO) in delivering on its mandate to execute the full ambit of risk management services at selected offices and operational points of the Electoral Commission specified from time to time over the three (3) year contract period.
- 2.2 Co-sourcing in this context refers to an arrangement where the panel of preferred service providers registered and operating as a South African legal entity works together with the in-house risk management division to provide risk management consulting services in line with relevant compliance to the Public Finance Management Act (PFMA), the COSO, ISO 3100 Standards, Treasury Regulations, and the King IV Report and its International Professional Practices.

3 The Business Case

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This business case aims to secure management commitment from service providers to review, align, develop, and implement an integrated risk management system for the Electoral Commission, as outlined in the scope of service requirements.

The Electoral Commission currently has an Enterprise Risk Management (ERM) Framework approved by the Chief Electoral Officer and the Executive Risk Management Committee. The ERM Framework is based on the principles published by the Committee of Sponsoring Organisations (COSO) of the Treadway Commission, the International Guideline on Risk Management (ISO 31000) and the King Code on Governance Principles. The current framework was last reviewed in 2022/23. In conjunction with the ERM Framework, the Electoral Commission has an ERM Policy that was last reviewed in September 2022. The Electoral Commission updates and approves its strategic risks on a quarterly basis. A Risk Appetite and Tolerance Framework was developed and approved in November 2023. A functional Executive Risk Management Committee, chaired by an independent Chairperson, occurs once every quarter. Risk Assessments and risk monitoring occur continually.

ERM at the Electoral Commission is a general, ongoing operational assessment that identifies potential risks that could prevent the EC from meeting its strategic goals and objectives. It is an initiative that delves deeper than a basic risk assessment. Because it is continuous, it helps provide an organisation with the flags and markers to keep it on course and steer it away from potential risks.

As businesses' size, structure, and nature differ, so do organisational enterprise risk management needs. However, a common thread can be found between both businesses, big and small: All organisational divisions are responsible for mitigating risks in their business area using strategies and processes outlined by the institution's leading risk management team. Doing this means the institution (Electoral Commission) will be able to not only mitigate risk but also proactively manage inherent risks, which could drive the organisation to new heights of success.

The Executive Management within this organisation aims to enhance Risk Management capabilities during the next financial year by ensuring that all current governance documents align with the best practices in the COSO Framework, ISO 31 000 standards, and other Enterprise Risk Management (ERM) standards. This will also include developing the Risk Universe Framework. In addition to reviewing and implementing governance documents, the organisation will develop a Risk-Mitigating Strategy and Plan, provide training to Senior Managers/Executives, and enhance reporting capabilities.

4 Description of Services

- 4.1** The Electoral Commission currently has ERM processes and governance documents that are not integrated. Furthermore, during 2023/24, the development of a Risk Appetite and Tolerance Framework and a risk management review by Internal Audit was conducted by the Electoral Commission, and the results thereof warranted the division to look at the findings raised and ensure that strategies are put in place to improve the Electoral Commission levels of risk maturity.
- 4.2** The Electoral Commission's risk management division requires a service provider to assist in developing and rolling out risk management. The project aims to raise risk management maturity throughout the organisation and ensure compliance with relevant regulations, including the Public Finance Management Act (PFMA), the COSO, ISO 31000 Standards, Treasury Regulations, and the King IV Report.

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- 4.3** The Electoral Commission has introduced and established enterprise risk management disciplines within the organisation and acknowledges that risk management is fundamental to good corporate governance and an integral part of effective risk management practice. The Electoral Commission risk management division assists in rolling out risk management processes and practices throughout the organisation and continually strives to extract ongoing areas of improvement. The Electoral Commission also recognises that day-to-day business involves undertaking risk to obtain reward and that engaging in risk is inherent in all activities. The risk management division aims to provide organisation-wide structure to continuously identify, evaluate and manage risk, thereby supporting the organisation's efforts to achieve its strategic mandate and objectives.
- 4.4** As part of the ongoing growth and improvement in its risk management offering to the Electoral Commission, the risk management division wishes to source suitable service providers to assist in implementing risk management throughout the organisation. The service providers will also enable the division to fulfil its organisational mandate.
- 4.5** The risk management service providers that will be appointed to provide risk management services must have core teams of risk practitioners who are professionally certified and graduates with relevant experience.

This is to ensure that the appointed firm's teams of qualified risk practitioners collectively understand the provincial dynamics, geographical spread, and local languages, and have an awareness of the local, provincial, or national electoral operations processes gained through personal or associate experiences, media platforms, etc.

- 4.6** The Electoral Commission endeavours to manage costs associated with its risk projects across all nine (9) provinces in South Africa.

5 The scope of services includes the following:

Bidders must clearly indicate their relevant area/s of specialisation/expertise listed below;

AREA OF SPECIALITY	SCOPE OF WORK	SKILLS REQUIRED	LIST OF AREA OF SPECIALITY (just tick)
5.1 Governance Environment	a) Facilitating the development and updating of the Electoral Commission's Risk Management Policy, Strategy, and Implementation Plan through the review of the existing governance	<u>Risk Assessments (strategic, operational)</u> - GAP Analysis - Review, alignment and Policy Development	

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AREA OF SPECIALITY	SCOPE OF WORK	SKILLS REQUIRED	LIST OF AREA OF SPECIALITY (just tick)
	documents (Policy, Framework, TOR) and aligning with the Risk appetite and Tolerance Framework within the Electoral Commission, b) Conduct a gap analysis to determine the current Risk management framework's alignment to the standards, principles of ISO 31000 standards, COSO Framework, and other ERM standards and identify whether the documents indicated above are integrated in their methodology, approach, ratings, and applicability. The written proposal must also include a detailed project plan together with the methodology that will be applied.	<u>Qualifications and Experience</u> Relevant Degree with a major in Auditing/Risk Management/Forensic/ Compliance Management/ Law or any relevant qualification at the same NQF Level as above. For lead partner, senior management, manager. Minimum collective years of experience for all team members combined is 10 years Provide references demonstrating company experience on work performed of 5 years and above	
		<u>Certifications</u> CRM PRAC)/CIA CA(SA)/CISA, CFE/ISO31000/any other relevant certification relevant to risk, fraud and fraud prevention, and compliance.	
5.2 Risk Universe Framework:	A comprehensive and complete approach to risk management is crucial for the Electoral Commission's security and confidence. The service providers should be able to provide the following essential outcomes in developing a risk universe; c) Classify and categorise risks through thoroughly understanding the Electoral Commission's stakeholders, internal and external environment, industry factors, public sector imperatives, etc. d) Assess the organisation's current enterprise risk management maturity levels and	<u>Risk Assessments (strategic, operational)</u> - Throughout the risk management processes, i.e. identification, evaluation, assessment, mitigation and reporting (core business focus also at provincial/local implementation level) - Develop an enterprise-wide risk universe - Key risk indicators - Risk appetite and tolerance framework - Risk maturity	

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AREA OF SPECIALITY	SCOPE OF WORK	SKILLS REQUIRED	LIST OF AREA OF SPECIALITY (just tick)
	recommend an improvement plan. e) Facilitating Strategic Risk Assessment. f) Facilitating Operational Risk Assessment. g) Stratify and view risks by risk category for specific branches /departments/divisions/functions, business processes, provinces, or enterprise-wide. h) Define and document specific contributing and causal factors for each risk within the risk universe (key indicators). i) Document specific mitigating strategies and controls relative to the risk. j) Identify interrelated risks with common contributing factors or mitigating controls/strategies. k) Develop an enterprise-wide risk universe with subordinate risk matrices (at the department) level that map to the enterprise-wide risk universe. l) Create separate risk universe frameworks by division /department, business process, province, etc. m) Roll up the business process/division-level risk universe results to an enterprise-wide risk framework. n) Drafting of individual key risk indicators for the top risks	<u>Qualifications and Experience</u> Relevant Degree with a major in Auditing/Risk Management/Forensic/ Compliance Management/ Law or any relevant qualification at the same NQF Level as above. For lead partner, senior management, manager. Minimum collective years of experience for all team members combined is 10 years Provide references demonstrating company experience on work performed of 5 years and above <u>Certifications</u> • CRM PRAC)/CIA CA(SA)/CISA, CFE/ISO31000/any other relevant certification relevant to risk, fraud and fraud prevention, and compliance.	
5.3 Fraud and fraud prevention:	a) Develop a fraud prevention policy. b) Facilitate Fraud Risk Assessment and c) Developing a fraud prevention strategy and plan based on the outcome of a detailed fraud risk assessment to assist the Electoral Commission in assessing and reducing the risk of fraud.	<u>Fraud Risk and Fraud Prevention</u> • Review, alignment, policy and strategy development • Identification, evaluation, assessment, mitigation and reporting (fraud risk assessment)	
		<u>Qualifications and Experience</u>	

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		Relevant Degree with a major in Auditing/Risk Management/Forensic/ Compliance Management/ Law or any relevant qualification at the same NQF Level as above. For lead partner, senior management, manager. Minimum collective years of experience for all team members combined is 10 years Provide references demonstrating company experience on work performed of 5 years and above	
		<u>Certifications</u> CRM PRAC)/CIA CA(SA)/CISA, CFE/ISO31000/any other relevant certification relevant to risk, fraud and fraud prevention, and compliance.	
5.4 Compliance Management:	a) Development of a compliance framework for the Electoral Commission. b) Develop a Compliance universe that links to related legal and assurance processes (identification, assessment, reporting).	<u>Compliance Risk Assessment</u> - Develop a compliance framework and policy. - Develop a compliance universe of the institution and reporting.	
		<u>Qualifications and Experience</u> Relevant Degree with a major in Auditing/Risk Management/Forensic/ Compliance Management/ Law or any relevant qualification at the same NQF Level as above. For lead	

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AREA OF SPECIALITY	SCOPE OF WORK	SKILLS REQUIRED	LIST OF AREA OF SPECIALITY (just tick)
		partner, senior management, manager. Minimum collective years of experience for all team members combined is 10 years Provide references demonstrating company experience on work performed of 5 years and above	
5.5 Monitoring and Reporting Capabilities:	a) Developing a comprehensive Risk Mitigation strategy to mature reporting capabilities within the Electoral Commission. b) Monitoring and reporting on the risk profile and emerging risks as required by the Electoral Commission. This may include developing reports to be presented at relevant committee meetings. c) Create reporting templates for various forums, such as the EXCO/MANCO/ERMC/Audit and Risk Committee (Risk, Fraud, Compliance ICT).	<u>Risk Monitoring</u> - Developing of monitoring tools for risk, fraud and compliance management processes including emerging risks to mature reporting capabilities within the organisation. - Reporting templates for governance structures (Risk, Fraud, Compliance ICT).	
		<u>Qualifications and Experience</u> Relevant Degree with a major in Auditing/Risk Management/Forensic/ Compliance Management/ Law or any relevant qualification at the same NQF Level as above. For lead partner, senior management, manager. Minimum collective years of experience for all team members combined is 10 years	

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		Provide references demonstrating company experience on work performed of 5 years and above <u>Certifications</u> CRM PRAC)/CIA CA(SA)/CISA, CFE/ISO31000/any other relevant certification relevant to risk, fraud and fraud prevention, and compliance.	
5.6 Training:	a) Train and conduct risk introductory awareness training (Risk workshop) for participants, including the Commission, Audit & Risk Committee, Executive Management, and MANCO, on the risk management governance documents, Corporate Governance and Risk Management, and other related matters as and when required by the Electoral Commission. b) Transfer knowledge and skills to Electoral Commission risk management staff. c) Conduct specific training workshops for executive management.	<u>Training</u> - Train and awareness workshops (risk, fraud and compliance) - Skills and knowledge transfer on risk, fraud and fraud prevention including compliance management processes. - Training and awareness materials (risk, fraud and fraud prevention including compliance management)	
		<u>Qualifications and Experience</u> Relevant Degree with a major in Auditing/Risk Management/Forensic/ Compliance Management/ Law or any relevant qualification at the same NQF Level as above. For lead partner, senior management, manager. Minimum collective years of experience for all team members combined is 10 years Provide references demonstrating company experience on work	

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AREA OF SPECIALITY	SCOPE OF WORK	SKILLS REQUIRED	LIST OF AREA OF SPECIALITY (just tick)
		performed of 5 years and above	
		<u>Certifications</u> CRM PRAC)/CIA CA(SA)/CISA, CFE/ISO31000/any other relevant certification relevant to risk, fraud and fraud prevention, and compliance.	
5.7 Reporting	a) Develop an Executive Dashboard for Audit and Risk Committees to enhance monitoring capabilities.	Dashboard reports	
	b) Risk management projects must be executed under the direct supervision and control of a dedicated partner/lead (i.e. the engagement/implementing partner), assisted by a manager/senior manager where the incumbent at one of these levels must have achieved an Organisational Risk Officer (NQF8) or Organisational Risk Practitioner (NQF6) or Certification in Risk management (CRMA)].	<u>Qualifications and Experience</u> Relevant Degree with a major in Auditing/Risk Management/Forensic/ Compliance Management/ Law or any relevant qualification at the same NQF Level as above. For lead partner, senior management, manager. Minimum collective years of experience for all team members combined is 10 years Provide references demonstrating company experience on work performed of 5 years and above	
		<u>Certifications</u> CRM PRAC)/CIA CA(SA)/CISA, CFE/ISO31000/any other relevant certification relevant to risk, fraud and fraud prevention, and compliance.	

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Risk management projects must be executed under the direct supervision and control of a dedicated partner/lead (i.e. the engagement/implementing partner), assisted by a manager/senior manager where the incumbent at one of these levels must have achieved an Organisational Risk Officer (NQF8) or Organisational Risk Practitioner (NQF6) or Certification in Risk management (CRMA)].

5.8 Experience and qualifications

The bidders' proposal must precisely detail the relevant risk management experience, certified copies of qualifications, and certifications of proposed key staff members. Only one detailed CV of each member category (**lead, senior manager and manager**) must be submitted. **Only one CV per category will be considered. Where the bidder submits more than one CV, the Electoral Commission will only evaluate one CV.**

The table below indicates the experience and qualifications required for the project:

	Lead/Partner	Senior Manager	Manager
Qualification	Relevant Degree with a major in Auditing/Risk Management/Forensic / Compliance Management/ Law or any relevant qualification at the same NQF Level as above.	Relevant Degree with a major in Auditing/Risk Management/Forensic/Compliance Management/ Law or any relevant qualification at the same NQF Level as above.	Relevant Degree with a major in Auditing/Risk Management/Forensic/ Compliance Management/ Law or any relevant qualification at the same NQF Level as above.
Minimum Experience	7 years	5 years	3 years
Certification	CRM PRAC)/CIA CA(SA)/CISA, CFE/ISO31000/any other relevant certification relevant to risk, fraud and fraud prevention, and compliance.	CRM PRAC)/CIA CA(SA)/CISA, CFE/ISO31000/any other relevant certification relevant to risk, fraud and fraud prevention and compliance.	CRM PRAC)/CIA CA(SA)/CISA, CFE/ISO31000/any other relevant certification relevant to risk, fraud and fraud prevention and compliance.

5.9 The staffing capacity sourced must comprise the following three (3) categories:

- Core groupings of experienced risk practitioners (public sector focus) having obtained professional recognition in risk management/fraud/compliance
- Qualified risk management with adequate experience (public sector focus), as well as
- Experienced resources, i.e. having "risk management experience or its equivalent", meaning experience (public sector focus) in risk or assessment disciplines, including compliance, fraud and fraud prevention management, maturity assessments, ICT risk assessments and internal control.

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The in-house Risk Management Division of the Electoral Commission will work towards infusing institutional knowledge to the successful external service providers over the contract term. In addition, the risk management service provider must also be able to provide services in other offices of the Electoral Commission, i.e. Provincial, local offices and service providers to provide wall-to-wall risk management services at offices and operational points, e.g. voting stations of the Electoral Commission spread across the country.

IN ADDITION, BIDDERS ARE ALSO REQUIRED TO CONFIRM THEIR ABILITY TO DELIVER SERVICES IN THE SPECIFIED CATEGORIES OUTLINED IN PARAGRAPH 5 (THE SCOPE OF SERVICES) OF THE DOCUMENT.

- 5.10** To this end, the risk management services required will be on a time and material basis, i.e., on an "as and when required" basis. A retainer is, therefore, not envisaged.
- 5.11** The successful risk management service provider will be required at all times to perform risk work in compliance with the Committee of Sponsoring Organisation (COSO) Framework, ISO 31000, and King IV Professional Practices Frameworks for IT, the International Organization for Standardization (ISO) frameworks as well as all other applicable laws, regulations, e.g. PFMA and its Treasury Regulations with applicable instruction notes and applicable public sector frameworks of, e.g. the DPSA and DPME (the departments of public service administration and monitoring and evaluation respectively) as well as better practise guides, e.g. the King Code³, to ensure that risk management at the Electoral Commission remains compliant, cutting edge and professional. Accordingly, the successful risk management service provider is expected to stay abreast of changes and emerging developments that may impact the professional practice of enterprise-wide risk management at the Electoral Commission of South Africa from time to time.
- 5.12** The successful risk management service provider must be able to periodically review the Electoral Commission's operations at the selected operational points. In this regard, the successful bidder must demonstrate a high-level understanding of the election environment, including the legislative framework, legal governance, and the MTEF budgeting process. These are all vital building blocks for a governance structure in a Chapter 9 Constitutional Institution.
- 5.13** The successful service provider will be required to, amongst other things:
 - 5.13.1** Compile preliminary and engagement planning documents to be agreed upon with the Chief Risk and Compliance Officer.
 - 5.13.2** Follow the prescribed Risk Management Process of the Electoral Commission.
- 5.14** The bidder must illustrate how structured knowledge transfer to in-house staff and infusion of institutional knowledge to its staff deployed on projects could be achieved.
- 6 Access to Working Papers and Reports**
 - 6.1** The Electoral Commission shall always be granted electronic copies of audit working paper files for purposes of in-house risk management. Copies must be formally handed over to the CRCO at the end of the contract as part of the close-out procedures.
 - 6.2** The Auditor General (AGSA) shall have unfettered access to all Risk Management working papers and reports for their due purposes. Accordingly, a hold harmless provision will not apply.
 - 6.3** All reports are restricted from use by third(3rd) parties, excluding the AGSA.

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7 Vetting

- 7.1** The Electoral Commission reserves the right to request the State Security Agency (SSA) for security clearance and background checking of the owner(s)/director(s)/management and employees of the firm or consortium/joint venture members before making an award and/or entering into a service level agreement.

8 Conflict of Interest

- 8.1** Service providers participating in this tender for enterprise-wide risk management services must also bear in mind that conflict of interest may arise should they already be contracted by the Electoral Commission to render services due to another process or have a potential future interest in other services required by the Electoral Commission. Bidders may have to express a desired preference for either of the contracts for which they wish to render services, as they may not be able to render all services where a conflict of interest arises or exists.

9 Fees, Invoicing and Disbursement Costs

Price will be considered during the allocation of risk projects through a Request for Quotation process. The following, however, is brought to your attention as a guideline:

- 9.1** The Electoral Commission will pay fees charged for appropriate resources utilised to achieve the deliverables, i.e., the output achieved. The charge-out rate for resources deployed must be based on time and cost and be chargeable in line with the DPSA applicable salary notch scales. Bidders can quote below the guideline but will not be allowed to exceed it.
- 9.2** Whilst the AGSA rates do not portray risk management competencies in the annual guideline on fees for work performed on behalf of the AGSA, these rates may be considered for baselining should bidders be able to find an average of the salary bands of staff members to be used on audit projects, and a justifiable rationale must be provided.
- 9.3** The number of team members and experience of members assigned must be commensurate with the project's complexity level to be performed. It should be aligned with applicable professional practice standards in the risk industry.
- 9.4** The project's cost is output-driven, i.e., the risk management service provider must determine clear deliverables for activities and procedures to be undertaken and record actual time spent achieving the output/s so that daily progress, delays, and/or inefficiencies are managed proactively.
- 9.5** Project management time must form part of quality assurance procedures and is not chargeable as a separate fee or income stream.
- 9.6** The basis for reimbursing disbursements and expenses incurred will be according to prevalent government practices and the National Treasury Instruction 07 of 2022/2023 and revised Instruction 01 of 2024/2025 on cost containment.
- 9.7** Vehicle claims are limited to a travel reimbursement rate of R4.84 per km (Electoral Commission rate as revised from time to time). Subsistence (per diem) will be claimable at the lower of the risk management service provider's policy rate and a maximum of R169.00 (Electoral Commission rate as revised from time to time) per person per day for every 24 hours out on fieldwork.
- 9.8** Furthermore, the successful risk management service providers will be required to undertake to limit all claims to the Electoral Commission for disbursements and expenses incurred concerning risk projects to amounts which could reasonably have been claimed had the risk management service provider done so when travelling from the applicable Provincial Electoral Office (PEO's Office) of the Electoral Commission.

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- 9.9** Please note that the Electoral Commission will not pay for travel time, the costs of risk practitioners' laptops, or vehicle rentals. Furthermore, the service provider indemnifies the Electoral Commission for unforeseen costs associated with the project, such as vehicle collisions, personal injury, and related expenditures. In addition, all insurance will be for the service provider's account, not the Electoral Commission.
- 9.10** Copies of timesheets showing actual hours spent in the agreed-upon format must be submitted with the fee invoice to assist the CRCO with refining project costing options.
- 9.11** The Electoral Commission will not pay fees to the service provider based on hours but instead on deliverables per projects and/or assignments. However, invoicing for work performed will be linked to work in progress, e.g.:
- 1st invoice for 20% of the fee plus chargeable disbursement after completion of the fieldwork;
 - 2nd invoice for an additional 20% of the fee plus additional chargeable disbursement on submission of draft risk management report after the final wash-up meeting and before the close-out meeting;
 - 3rd invoice for 20% of the fee plus final chargeable disbursement on submission of final draft risk management report; and
 - The final invoice for 40% of the total fee budget is due after the submission of the final risk management report, proof of the final quality-reviewed working papers by the review partner, the findings schedule, and signed meeting notes evidencing that the quality of service survey was issued to the client for completion and direct submission to the CRCO.
- 9.12** The Electoral Commission reserves the right to request copies of supporting evidence for claims submitted.

10 Cost Containment

Departments, constitutional institutions, and public entities may only contract consultants after a gap analysis has confirmed that the department, constitutional institution, or public entity concerned does not have the requisite skills or resources in its full-time employ to perform the assignment in question. Based on a business case, the appointment of consultants may only be approved by the accounting officer or delegated authority.

- Consultants may only be remunerated at the following rates:
 - determined in the "Guideline for Fees" issued by the South African Institute of Chartered Accountants (SAICA);
 - set out in the "Guide for Hourly Fee Rates for Consultants" by the Department of Public Service and Administration (DPSA); or
 - prescribed by the body regulating the profession of the consultant.
- All consultant contracts must include penalty clauses for poor performance, and accounting officers must invoke such clauses where necessary.

Even though the rates are regulated, care should be noted that unconditional discounted rates are welcome and will be considered if bids comply with minimum required specifications. Bids that are above the regulated rates will be disqualified.

11 Service Level Agreement (SLA) and Duration of Contract

A service level agreement (the Contract), which will commence on the date of appointment, will be for a 3-year term.

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12 Bid Proposal Preparation

12.1 Bidders must compile and submit a written proposal

12.2 The bid proposal must be logically organised for ease of evaluating the bid's responsiveness (Proper indexing of the proposal to be submitted).

12.3 Any documents submitted in addition to the tender document's required signed/initiated pages must be cross-referenced to the relevant paragraph of the bid specification document.

13 Written Proposal

The bidders' functional proposal must adequately illustrate embedded competence and experience in areas highlighted in the overall service requirement and the criteria for evaluating the bid.

The bid proposal should provide a straightforward and concise description of the bidder's ability to meet the tender specifications' requirements. Clear, factual responses are required. The bid proposal's content shall determine each participant's merit, not brochures or other marketing material the bidder may wish to submit as additional information. Such additional information should be referred to, cross-referenced, and provided in a separate file of annexures.

Bidders must organise their responses to facilitate easy access to information.

13.1 The written proposal should be compiled in line with the requirements outlined below;

- a. The risk management service provider as bidder must demonstrate experience, expertise and innovation in risk management in the public sector in compliance with applicable prescripts, i.e. COSO, ISO 31000, PFMA, etc.
- b. Company profile indicating the services offered by the company (Risk, fraud and fraud prevention and compliance management)
- c. Proposed risk management team members must have demonstrated enterprise-wide risk management experience in the public sector and the array of enterprise-wide risk management services offered by the bidder in response to the best-fit risk management services required for the Electoral Commission's business model.
- d. A list of clients for whom these services were completed must be provided to establish the bidder's cumulative years of risk management experience in the public sector.
- e. Details of three contactable client references of similar/related work undertaken by the bidder in the public sector during the last five (5) years (including name, email, contactable number) **for each specialty indicated/chosen.**
- f. Provide details of experience in risk, fraud and fraud prevention, compliance management and related processes.
- g. CVs submitted with relevant qualifications and professional certifications, e.g., Bachelor's or postgraduate qualifications with majors in Auditing, Risk Management, Compliance Management, Law, etc.
- h. The Electoral Commission shall always be granted electronic copies of reports and working papers for in-house risk management. Copies must be formally handed over to the CRCO at the end of the contract as part of the close-out procedures.
- i. The Auditor General (AGSA) shall have unfettered access to all Risk Management working papers and reports for audit trail purposes.
- j. In addition, the written proposal must, among other things, address in detail how the following will be dealt with: project plan, methodology, key risk indicators, risk appetite and tolerance framework, risk maturity, review, alignment, policy development, and training requirements.
- k. **No pricing for the risk management panel is required to be submitted in response to this bid. Pricing thus does not constitute an element of the criteria for the bid evaluation or adjudication processes. Pricing will thus be required when Request for quotations (RFQs) are issued.**

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13.2 The bidder must demonstrate its approach to conflict resolution in a challenging risk environment.

13.3 The following Checklists are **compulsory**. Completion of the checklists below is mandatory.

Appendix No	Compulsory/mandatory Returnable Document Name / Description	Yes	No
A.	Written proposal submitted (item 12 and 13)		
B.	Company profile indicating the services offered by the company (Risk, fraud and fraud prevention and compliance management) (Item 13.1)		
C.	Project plan submitted (item 5.1)		
D.	List of Risk Management Contracts in the Public Sector during the last five (5) years submitted (item 13.1)		
E.	CVs together with certified copies of qualifications and Professional certifications such as Certified Risk Management Practitioner (CRM PRAC); CIA, CA(SA), CISA, CFE, etc. (item 13.1)		
F.	Three (3) contactable client references (including name, email and telephone numbers). (item 13.1)		

13.4 The checklist below summarises other requirements to be considered for further consideration. Completing the checklist below is compulsory as this information will be used for adjudication

A. Completeness of proposal (To be considered for Bid Evaluation Process)		Ref	Yes	No
i.	Ensured the bidders' functional proposal adequately answers all aspects highlighted in the overall requirements in the bid specification, as well as the functionality criteria and sub-functionality score descriptions, which are to be used to evaluate the bid.			
ii.	Submitted CVs together with certified copies of qualifications and proof of professional certification/accreditation and professional membership in good standing for key staff (partners/lead, senior managers, risk consultants).			

purposes.

1 Evaluation Criteria and Bid Evaluation Process

The bid evaluation will be done as per the three (3) stages below after the primary compliance verification process is concluded to confirm which bids will be considered for bid evaluation purposes:

- Stage 1 – Completion of standard bid documentation, including SBD 4.
- Stage 2: Evaluation of the compulsory returnable documents and other compulsory information required for consideration in the bid evaluation in stage 3. Failure to submit the compulsory information shall lead to disqualification.
- Stage 3 – Evaluation of functionality, that bidders must comply with to qualify for further consideration.

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Stage 1 - Assessment of Bidder's Disclosure

All bids received will be evaluated and assessed in respect of the mandatory information provided in the Bidder's Disclosure (SBD4) as well as the register for restricted suppliers and tender defaulters. Any potential issues that may arise or transgressions that may be identified will be pursued in accordance with statutory obligations and requirements.

In this regard, the following must be noted:

The Electoral Commission must, as part of its supply chain management (SCM) processes, identify and manage all potential conflicts of interest and other disclosures made by a person participating in the procurement process to enable the accounting officer or delegated authority to make informed decisions about the person participating in the SCM process.

The Bidders Disclosure form, issued as a Standard Bidding Document (SBD4), is included in the tender document.

The information provided by a person on the SBD4 form must be evaluated as part of the procurement process.

In so doing, it must be noted that if the bid evaluation establishes that:

- a) A person within the bidding entity is an employee of the State, the Electoral Commission's Accounting Officer/accounting authority must request the relevant accounting officer/accounting authority whether the person-
 - i. Is prohibited from conducting business with the State in terms of Section 8 of the Public Administration Management Act, 2014, or
 - ii. Has permission to perform other remunerative work outside of their employment where the PAMA does not apply to such employee;
- b) The conduct of a person constitutes a transgression of the Prevention and Combating of Corrupt Activities Act, 2004;
- c) The conduct of a person constitutes a transgression of the Competition Act, 1998; the conduct must be reported to the Competition Commission; and
- d) A person's conduct must be dealt with according to the prescripts applicable to the Electoral Commission.

If it is established that a person has committed a transgression in terms of the above or any other transgression of SCM prescripts, the bid may be rejected, and the person may be restricted.

The Electoral Commission's Accounting Officer/accounting authority must inform the National Treasury of any action taken against a person within 30 days of implementing the action.

During the bid evaluation process, the Electoral Commission must, in addition to other due diligence measures, establish if a person is not listed in

- a) the Register of Tender Defaulters and
- b) the list of restricted suppliers.

A bid related to a restricted bidder or tender defaulter shall be rejected.

The under-mentioned assessment criteria will be used to evaluate the elements relating to SBD4, CSD registration, tax compliance, restricted suppliers and tender defaulters:

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TENDER IEC/RM-02/2025 Bid Evaluation Criteria

Stage 1 – Bidder's Disclosure. The Bidder has completed and submitted the Bidder's Disclosure (SBD4)

Important Note: Detail on any transgression must be reported if issues are identified as mandatory steps must be taken as prescribed in *National Treasury PMFA SCM Instruction Note 3 of 2021/2022: Enhancing Compliance, Transparency and Accountability in Supply Chain Management*.

Bidder:

Bid Ref:

No.	Description	Yes	No	Comments
1.	The bidder is not an employee of the state.			
2.	The bidder's conduct does not constitute a transgression of the Prevention and Combating of Corrupt Activities Act.			
3.	The bidder's conduct does not constitute a transgression of the Competition Act.			
4.	The bidder is not a tender defaulter as per the register published on the National Treasury website.			
5.	The bidder is not a restricted supplier as per the register published on the National Treasury website.			
6.	The bidder is registered on the National Treasury Central Supplier Database (CSD)*			
7.	The bidder is tax-compliant**			

Overall Stage 1 Outcomes:

Assessment Comments:

* No bid shall be accepted if a supplier is not registered on the National Treasury Central Supplier Database (CSD).

** A bidder must be tax-compliant before a contract is awarded. A bid will be disqualified if the bidder's tax affairs remain non-compliant as per the provisions of National Treasury Instruction No 09 of 2017/2018 Tax Compliance Status Verification.

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Stage 2: Evaluation based on mandatory documents

Bids received in respect of this auction will be assessed/evaluated for compliance with technical specifications/functionality in accordance with the following evaluation criteria:

Key requirements for evaluation.

If the answer is NO to any of the questions the bid will be disqualified.

Key requirements for evaluation. Failure to comply with any of the requirements shall lead to disqualification of the bid		YES	NO	COMMENT(S)
1.	written proposal submitted (item 12-13)			
2.	Company profile indicating the services offered by the company (Risk, fraud and fraud prevention and compliance management). (item 13.1)			
3.	Areas of expertise indicated (item 5)			
4.	List of Risk management Contracts in the Public Sector during the last five (5) years submitted including the name of the entity, project name and number of years (item 13) .			
5.	CVs together with certified copies of qualifications and Professional certifications such as Certified Risk Management Practitioner (CRM PRAC); CIA, CA(SA), CISA, CFE, etc. Lead partner with a minimum of 7 years of experience? Senior manager with a minimum of 5 years of experience? Manager experience min 3 years? (item 5.8) .			
6.	Three (3) or more contactable client references letters (including name, email and telephone numbers) demonstrating experience on work performed within the previous 5 years for each specialty indicated/chosen (item 13) .			

FAILURE TO MEET ANY OF THE ABOVE CRITERIA WILL DISQUALIFY YOUR BID PROPOSAL.

OUTCOME OF STAGE 2

QUALIFIES:	DISQUALIFIED:

Stage 3: Technical Evaluation

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- Failure to provide areas of specialisation that have been chosen will lead to disqualification.

No.	AREA OF SPECIALITY	EVALUATION CRITERIA	YES	NO
1	Governance	• Indicate the necessary knowledge and experience in governance Relevant Degree with a major in Auditing/Risk Management/Forensic/ Compliance Management/ Law or any relevant qualification at the same NQF Level as above. For the lead partner, senior management, and the manager. • Minimum collective years of experience for all team members combined is 10 years • Provide references demonstrating company experience in governance work performed for 5 years and above		
2	Risk Management	• Indicate the necessary knowledge and experience in risk management. • Relevant Degree with a major in Auditing/Risk Management or any relevant qualification at the same NQF Level as above. For the lead partner, senior management, and the manager. • Minimum collective years of experience for all team members combined is 10 years • Provide references demonstrating company experience in risk management work performed for 5 years and above		
3	Compliance Management	• Indicate the necessary knowledge and experience in compliance management. • Relevant Degree with a major in risk, compliance and legal management or any relevant qualification at the same NQF Level as above. For the lead partner, senior management, and the manager. • Minimum collective years of experience for all team members combined is 10 years • Provide references demonstrating company experience on compliance management work performed of 5 years and above		

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- Failure to provide areas of specialisation that have been chosen will lead to disqualification.

No.	AREA OF SPECIALITY	EVALUATION CRITERIA	YES	NO
4	Fraud and Fraud Prevention	• Indicate the necessary knowledge and experience in fraud and fraud prevention management. • Relevant Degree with a major in fraud, fraud and fraud prevention management or any relevant qualification at the same NQF Level as above. For the lead partner, senior management, and the manager. • Minimum collective years of experience for all team members combined is 10 years • Provide references demonstrating company experience in fraud, fraud and fraud prevention management work performed for 5 years and above		
5	Monitoring	• Indicate the necessary knowledge and experience in monitoring of risks (risk, fraud, compliance management). • Relevant Degree with a major in risk, fraud, fraud prevention, compliance and legal management or any relevant qualification at the same NQF Level as above. For the lead partner, senior management, and the manager. • Minimum collective years of experience for all team members combined is 10 years • Provide references demonstrating company experience on Risk, fraud, fraud prevention, compliance and legal management work performed of 5 years and above		
6	Training	• Indicate the necessary knowledge and experience in compliance management. • Relevant Degree with a major in risk, fraud, fraud prevention, compliance and legal management or any relevant qualification at the same NQF Level as above. For the lead partner, senior management, and the manager. • Minimum collective years of experience for all team members combined is 10 years • Provide references demonstrating company experience on risk, fraud, fraud prevention, compliance and legal management work performed of 5 years and above		

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- Failure to provide areas of specialisation that have been chosen will lead to disqualification.

No.	AREA OF SPECIALITY	EVALUATION CRITERIA	YES	NO
7	Reporting	• Indicate the necessary knowledge and experience in reporting capabilities. • Relevant Degree with a major in risk, fraud, fraud prevention, compliance and legal management or any relevant qualification at the same NQF Level as above. For the lead partner, senior management, and the manager. • Minimum collective years of experience for all team members combined is 10 years • Provide references demonstrating company experience on risk, fraud, fraud prevention, compliance and legal management work performed of 5 years and above		
BID QUALIFIES FOR FURTHER CONSIDERATION				

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Stage 4: Bid Adjudication Process

Bids will be adjudicated as set out below.

Stage 4 – Adjudication of Bids	
Only bids that comply with the requirements and conditions of the bid and that meet the minimum criteria in the bid evaluation process as stipulated above will be considered for bid.	
Only bids that comply with the requirements and conditions of the bid and that meet the minimum criteria in the bid evaluation process as stipulated above will be considered for bid adjudication purposes.	
Acceptable bids must be market related.	
This bid is deemed not to exceed R50 million including VAT.	
Therefore, the 80/20 preference point system (PPPFA scoring) in terms of the Preferential Procurement Policy Framework Act, 2005 (PPPFA) and the Preferential Procurement Regulations, 2022 shall apply in the adjudication process of this auction where all acceptable bids received are equal to or below R50 million including VAT. Preference points will be allocated as follows:	
B-BBEE Status Level of Contributor	Number of Points
1	20
2	18
3	14
4	12
5	8
6	6
7	4
8	2
Non-compliant contributor	0

Failure to submit the required supporting documents for preference claims will lead to zero (0) points for the claim.

Bid Evaluation Committee	Sign Off	
	Signature	Date

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