


Section 101 All Bids/Proposals Addressed to: Chief Executive Officer Johannesburg Water Tel: 011 681 6881 Fax: 011 681 6884				PAGE NO. CLOSED DATE AND TIME 13-MAY-25 10:00:00 PM	
ORIGINATING DEPARTMENT Risk Compliance & Business Continuity		INITIATOR Niklaas Geduld		QUOTATION DATE 01 MAY 2025	
QUOTATION REFERENCE RFQ/JW00047DM25 - Training on Risk Identification and Assessment Tools and Techniques		CONSULTANT NO. 		QUOTATION VALUE 	
RFQ/JW00047DM25 - Training on Risk Identification and Assessment Tools and Techniques		QUOTATION REQUIRED TO FIRM		QUOTATIONS WILL BE EVALUATED ON THE 08:00 PM CLOSING SYSTEM 48 HOURS WILL BE ALLOCATED TO FURNISH AND THE REMAINING 16 HOURS WILL BE ALLOCATED FOR APOLOGY, AS PER PPPFA 2004	
				ALL BIDS/PROPOSALS SUBMITTED TO PARTICIPATION SHOULD BE REGISTERED ON CENTRAL SUPPLIER DATABASE AND CDS	
				OFF CONTACT PERSON: Doreen Boshuizen Email Address: DBoshuizen@jwwater.co.za	
		Telephone Number: 011 681 1011			
ITEM NO.	DESCRIPTION	DESCRIPTION OF FIRM OFFERED	UOM	QTY REQUIRED	PRICE QUOTED EXCL. OF V.A.T.
Scope of Work	<p>Johannesburg Water is a large water and sanitation utility with very complex systems and processes, mega infrastructure and a high financial risk exposure due to the nature of its business and its size servicing both business and communities.</p> <p>The entity has advanced technology at its WWTW, Pumpstations, Reservoirs etc. The Risk & Compliance Department does not have an ERM Software System and runs manual ERM processes. It maintains existing Operational and Strategic Risk Registers which require to be reviewed on an annual basis and requires comprehensive risk assessment methodology in order to effectively identify, assess and evaluate its risks. The Risk and Compliance Department is a small unit with limited capacity and therefore relies on management initiative in reviewing risks unabridged on a regular basis. Training will furthermore improve management skills and ownership in terms of continued monitoring and review of operational risks.</p> <p>The objective of the training is to ensure that Management is educated on the various tools and techniques that will enable them and their risk champions to identify new risks and to review their existing risk registers. In summary the training should equip them to do at minimum the following:</p> <ul style="list-style-type: none"> • Assess appropriate tool & technique suitable for the challenge/ function • Perform risk identification & assessment • Perform root cause analysis • Assess control effectiveness • Determine appropriate risk mitigation actions. <p>TRAINING ON RISK IDENTIFICATION AND ASSESSMENT TOOLS AND TECHNIQUES as per ISO 31010 - Risk Management Risk Assessment Techniques</p> <p>Facilitate training on minimum the following.</p> <p>TOOLS:</p> <ol style="list-style-type: none"> 1. Risk Register 2. Risk Matrix 3. Checklists 4. Other. 	Training	Various	Daily rate per provider	
	<p>TECHNIQUES: The training must provide guidance on which technique to use when, why and how.</p> <ol style="list-style-type: none"> 1 Decision Tree Analysis 2 Scenario Analysis 3 Brainstorming 4 FRESTE Analysis 5 SWOT Analysis 6 Root Cause Analysis (RCA) 7 Cause and Effect Analysis 8 Fault Tree Event Diagram 9 Failure Mode and Effect Analysis (FMEA) 10 Event Tree Analysis (ETA) 11 Human Reliability Analysis (HRA) 12 Primary Hazard Analysis (PHA) 13 Hazard Analysis and Critical Control (HACCP) 14 Failure Mode & Effect Analysis (FMEA) 15 Fault Tree Analysis (FTA) 16 Hazard & Opportunity Studies (HAZOP Analysis) 17 Layer Protection Analysis (Swiss Cheese Model) 18 Environmental Risk Assessment 19 Events to be avoided (see: Groups of Maximum 25 people per session). 20 Management of Change (MOC) & Non-Structural Elements (e.g. Engineering in Governance) <p>48h Risk & Compliance Department Staff together with CHSE training to be separated and content more comprehensive with practical activities. Content to expand on method of selecting the appropriate technique based on various criteria such as objective & scope of risk assessment, complexity of environment & risks, expertise, etc.</p> <p>• The Risk & Compliance Department staff and CHSE Staff will be trained on all techniques</p> <p>• Governance: Trainers trained mostly on Non-Structural Techniques and</p> <p>• Engineering Groups trained mostly on Technical Techniques</p>				
Summary of Deliverables	<ul style="list-style-type: none"> • Take-away Training Course Materials provided by Service Provider (Soft or Hard Copy Files). • Training Facilitation delivered by Training Provider. • Minimum duration of Training to be 8 Hours, and Maximum 3 Days per Group. • Training Provider to provide learning materials, venue, refreshments and Lunch for the duration of the training. • Training Provider to provide post-training Certificates. • Training Provider to share Training Attendance Registers and short observations report for any training related findings or recommendations based on the training undertaken. 				
Qualification & Experience	<ul style="list-style-type: none"> • Training Facilitator/ Instructor to demonstrate more than 8 years' experience in Enterprise Risk Management (1 page summary of expertise) • Training Provider (Company) to demonstrate Training Accreditation or Credentials with Institute of Risk Management South Africa (IRMSA) or ISO 31000 Certification or PECB and minimum 5 years' experience operating as a training institution. • Three (3) x Comparable references for Training on Enterprise Risk Management and Risk Identification and Assessment Tools and Techniques completed. 				
Key Stakeholders	<p>90 Top Managers comprised from the following:</p> <ul style="list-style-type: none"> • 15 x Risk and Compliance AND CHSE Department Staff • 75 x Senior and Line Managers in groups of maximum 25 people per session (Business Units) 				
Line of Reporting	Risk Management & Insurance				
Duration	3 Months				
<p>For more information contact Niklaas Geduld niklaas.geduld@jwwater.co.za @ 011 688 6547</p>					
APPLICABLE DOCS & POINTS	<p>Businesses owned by Women - 51% or more.</p> <p>20</p>				
<p>QUOTATION REF AS ABOVE: RFQ/JW - & COMPANY NAME ON THE EMAIL SUBJECT LINE</p> <p>NB: All suppliers responding to RFQs should use their own company letter head and JW RFQ Template and make sure their email address is visible on their quotation</p> <p>NB: All quotes should be submitted in electronic and hard copy format. All quotes should be submitted with a stamp.</p> <p>NB: Bids from suppliers should be complete and submitted with the above</p> <p>NB: All quotes should be submitted in electronic and hard copy format. All quotes should be submitted with a stamp.</p> <p>NB: Copy of valid BBBEE CERTIFICATE or B-BBEE AFFIDAVIT is to be submitted with the quote</p>					
<p>Send All quotations to: SUBMISSIONS MUST BE MADE ON THE E-TENDER PORTAL(https://www.etenders.gov.za/) NO EMAIL SUBMISSIONS .</p>					
OFFICIAL stamp	<p>APPROVED BY:</p> <p>SIGNATURE:</p> <p>DATE:</p>	<p>1. QUOTATION RECEIVED AFTER CLOSING OF BIDDING OR THE CLOSING TIME WILL NOT BE ACCEPTED.</p> <p>2. QUOTATIONS RECEIVED BY EMAIL ARE NOT ACCEPTED.</p> <p>3. BIDS/QUOTATIONS NOT IN ACCORDANCE WITH THE BIDDING AND TENDER CONDITIONS WILL NOT BE ACCEPTED.</p> <p>4. QUOTATIONS WITHOUT THE SUPPLIER'S AUTHORIZED SIGNATURE WILL NOT BE ACCEPTED. (ONLY IF QUOTED ON THE JW RFQ TEMPLATE)</p> <p>5. ACCEPTANCE OF A QUOTATION WILL BE SUBJECT TO JOHANNESBURG WATER'S SUPPLY CHAIN POLICY</p>			

Training on Risk Identification and Assessment Tools and Techniques

Purpose:	Appointment of a Service Provider to facilitate the Risk Identification and Assessments Tools and Techniques Training in line with <i>ISO 31010:2019 – Risk Management: Risk Assessment Techniques.</i>
Duration:	Maximum - 3 Months
Scope of Work:	<p>Johannesburg Water is a large water and sanitation utility with very complex systems and processes, mega infrastructure and a high financial risk exposure due to the nature of its business and its size servicing both business and communities.</p> <p>The entity has advanced technology at its WWTW, Pumpstations, Reservoirs etc. <i>The Risk & Compliance Department does not have an ERM Software System and runs manual ERM processes.</i> It maintains existing Operational and Strategic Risk Registers which require to be reviewed on an annual basis and requires comprehensive risk assessment methodology in order to effectively identify, assess and evaluate its risks. The Risk and Compliance Department is a small unit with limited capacity and therefore relies on management initiative in reviewing risks unassisted on a regular basis. Training will furthermore improve management skills and ownership in terms of continued monitoring and review of operational risks.</p> <p>The objective of the training is to ensure that Management is educated on the various tools and techniques that will enable them and their risk champions to identify new risks and to review their existing risk registers. In summary the training should equip them to do at minimum the following:</p> <ul style="list-style-type: none"> • Assess appropriate tool & technique suitable for the challenge/function • Perform risk identification & assessment • Perform root cause analysis • Assess control effectiveness • Determine appropriate risk mitigation actions. <p><u>TRAINING ON RISK IDENTIFICATION AND ASSESSMENT TOOLS AND TECHNIQUES <i>as per ISO 31010 – Risk Management: Risk Assessment Techniques</i></u></p> <p>Facilitate training on minimum the following.</p> <p>TOOLS:</p>

1. Risk Register
2. Risk Matrix
3. Checklists
4. Other.

TECHNIQUES: The training must provide guidance on which technique to use when, why and how.

1. Decision Tree Analysis
2. Scenario Analysis
3. Brainstorming
4. PESTEL Analysis
5. SWOT Analysis
6. Root Cause Analysis (RCA)
7. Cause and Effect Analysis
8. Fishbone (Ishikawa) Diagram
9. Bow Tie Analysis (BTA)
10. Event Tree Analysis (ETA)
11. Human Reliability Analysis (HRA)
12. Primary Hazard Analysis (PHA)
13. Hazard Analysis and Critical Control (HACCP)
14. Failure Mode & Effect Analysis (FMEA)
15. Fault Tree Analysis (FTA)
16. Hazard & Operability Studies (HAZOP Analysis)
17. Layer Protection Analysis / Swiss Cheese Model
18. Environmental Risk Assessment

- Teams to be divided into Groups of **Maximum 25 people per session.**
- Separated between Technical & Non-technical Functions (*E.g., Engineering vs Governance*).
- **NB:** Risk & Compliance Department Staff together with OHSE training to be separated and content more comprehensive with practical activities. Context to expand on means of selecting the appropriate techniques based on various *criteria such as objective & scope of risk assessment, complexity of environment & risks, expertise, etc.*
- *The Risk & Compliance Department staff and OHSE Staff will be trained on all Techniques.*
- *Governance Teams trained mostly on Non-technical Techniques and*
- *Engineering Groups trained mostly on Technical Techniques*

Summary of Deliverables:		<ul style="list-style-type: none"> • Take-away Training Course Materials provided by Service Provider (Soft or Hard Copy Files). • Training Facilitation delivered by Training Provider. • Minimum duration of Training to be 8 Hours, and Maximum 3 Days per Group. • Training Provider to provide learning materials, venue, refreshments and Lunch for the duration of the training. • Training Provider to provide post-training Certificates. • Training Provider to share Training Attendance Registers and short observations report for any training related findings or recommendations based on the training undertaken.
Qualification Experience:	&	<ul style="list-style-type: none"> • Training Facilitator/ Instructor to demonstrate more than 8 years' experience in Enterprise Risk Management (1 page summary of expertise) • Training Provider (Company) to demonstrate Training Accreditation or Credentials with Institute of Risk Management South Africa (IRMSA) or ISO 31000 Certification or PECB and minimum 5 years' experience operating as a training institution. • Three (3) x Contactable references for Training on Enterprise Risk Management and Risk Identification and Assessment Tools and Techniques completed.
Key Stakeholders:		<ul style="list-style-type: none"> • 90 Top Managers comprised from the following: <ul style="list-style-type: none"> - 15 x Risk and Compliance AND OHSE Department Staff - 75 x Senior and Line Managers in groups of maximum 25 people per session (Business Units)
Line of Reporting		<ul style="list-style-type: none"> • Manager: Risk Management & Insurance
Duration:		<ul style="list-style-type: none"> • 3 Months
Preference Point System		80/ 20

PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL PROCUREMENT REGULATIONS 2022

This preference form must form part of all tenders invited. It contains general information and serves as a claim form for preference points for specific goals.

1. GENERAL CONDITIONS

1.1 The following preference point systems are applicable to invitations to tender:

- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and

1.2 **To be completed by the organ of state**

- a) The applicable preference point system for this tender is the 80/20 preference point system.

1.3 Points for this tender (even in the case of a tender for income-generating contracts) shall be awarded for:

- (a) Price; and
- (b) Specific Goals.

1.4 **To be completed by the organ of state:**

The maximum points for this tender are allocated as follows:

	POINTS
PRICE	80
SPECIFIC GOALS	20
Total points for Price and SPECIFIC GOALS	100

1.5 Failure on the part of a tenderer to submit proof or documentation required in terms of this tender to claim points for specific goals with the tender, will be interpreted to mean that preference points for specific goals are not claimed.

1.6 The organ of state reserves the right to require of a tenderer, either before a tender is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the organ of state.

2. DEFINITIONS

- (a) **“tender”** means a written offer in the form determined by an organ of state in response to an invitation to provide goods or services through price quotations, competitive tendering process or any other method envisaged in legislation;

- (b) **“price”** means an amount of money tendered for goods or services, and includes all applicable taxes less all unconditional discounts;
- (c) **“rand value”** means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes;
- (d) **“tender for income-generating contracts”** means a written offer in the form determined by an organ of state in response to an invitation for the origination of income-generating contracts through any method envisaged in legislation that will result in a legal agreement between the organ of state and a third party that produces revenue for the organ of state, and includes, but is not limited to, leasing and disposal of assets and concession contracts, excluding direct sales and disposal of assets through public auctions; and
- (e) **“the Act”** means the Preferential Procurement Policy Framework Act, 2000 (Act No. 5 of 2000).

3. FORMULAE FOR PROCUREMENT OF GOODS AND SERVICES

3.1. POINTS AWARDED FOR PRICE

3.1.1 THE 80/20 PREFERENCE POINT SYSTEMS

A maximum of 80 points is allocated for price on the following basis:

80/20

$$Ps = 80 \left(1 - \frac{Pt - P_{min}}{P_{min}} \right)$$

Where

- Ps = Points scored for price of tender under consideration
- Pt = Price of tender under consideration
- Pmin = Price of lowest acceptable tender

3.1.1. POINTS AWARDED FOR PRICE

A maximum of 80 points is allocated for price on the following basis:

80/20

$$Ps = 80 \left(1 + \frac{Pt - P_{max}}{P_{max}} \right) \text{ o}$$

Where

- Ps = Points scored for price of tender under consideration
- Pt = Price of tender under consideration
- Pmax = Price of highest acceptable tender

4. POINTS AWARDED FOR SPECIFIC GOALS

- 4.1. In terms of Regulation 4(2); 5(2); 6(2) and 7(2) of the Preferential Procurement Regulations, preference points must be awarded for specific goals stated in the tender. For the purposes of this tender the tenderer will be allocated points based on the goals stated in table 1 below as may be supported by proof/ documentation stated in the conditions of this tender:

Table 1: Specific goals for the tender and points claimed are indicated per the table below.

Note to tenderers: The tenderer must indicate how they claim points for each preference point system.)

The specific goals allocated points in terms of this tender	Number of points allocated (80/20 system)	Number of points claimed (80/20 system)	Proof of documents per specific goals
Businesses owned by Women - 51% or more.	20		Valid BBBEE Certificate issued by SANAS accredited verification agency or DTI/CIPC BBBEE Certificate for Exempted Micro Enterprises or Affidavit sworn under oath.

DECLARATION WITH REGARD TO COMPANY/FIRM

4.2. Name of company/firm.....

4.3. Company registration number:

4.4. TYPE OF COMPANY/ FIRM

- ☐ Partnership/Joint Venture / Consortium
- ☐ One-person business/sole propriety
- ☐ Close corporation
- ☐ Public Company
- ☐ Personal Liability Company
- ☐ (Pty) Limited
- ☐ Non-Profit Company
- ☐ State Owned Company

[TICK APPLICABLE BOX]

4.5. I, the undersigned, who is duly authorised to do so on behalf of the company/firm,

certify that the points claimed, based on the specific goals as advised in the tender, qualifies the company/ firm for the preference(s) shown and I acknowledge that:

- i) The information furnished is true and correct;
- ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;
- iii) In the event of a contract being awarded as a result of points claimed as shown in paragraphs 1.4 and 4.2, the contractor may be required to furnish documentary proof to the satisfaction of the organ of state that the claims are correct;
- iv) If the specific goals have been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the organ of state may, in addition to any other remedy it may have –
 - (a) disqualify the person from the tendering process;
 - (b) recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;
 - (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
 - (d) recommend that the tenderer or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied; and
 - (e) forward the matter for criminal prosecution, if deemed necessary.

.....	
SIGNATURE(S) OF TENDERER(S)	
SURNAME AND NAME:
DATE:
ADDRESS:

POPIA PRIVACY STATEMENT

Johannesburg Water SOC Limited

In terms of the Protection of Personal Information Act, 213 (Act 4 of 2013), also called the POPI Act or POPIA, Johannesburg Water SOC Limited, undertakes all reasonable measures to protect personal information and to keep it private and confidential.

1. Privacy Notice applies to:

Suppliers, vendors, contractors, service providers, etc whether appointed or prospective.

2. Definitions of personal information

According to the Act “personal information” means information relating to an identifiable living, natural person, and where it is applicable, an identifiable, existing juristic person. All addresses including residential, postal and email addresses.

3. About the Public Entity

Johannesburg Water (SOC) Limited, registration number 2000/029271/30

3.1 The information we collect

We collect information directly from you where you provide us with your personal details. Where possible, we will inform you what information you are required to provide to us and what information is optional.

3.2 How Johannesburg Water use your information

We will use your personal information only for the purposes for which it was collected and agreed with you. For example: to gather contact information, to confirm and verify your identity, for the evaluation and adjudication of bids and quotations for tenders, request for quotations, and other personal information for the procurement of goods and services by the Entity.

3.3 Disclosure of information

We may disclose your personal information to our Shareholder, the City of Johannesburg, and other Government agencies such as National Treasury, and the Auditor-General of South Africa. We have agreements in place to ensure that they comply with the privacy requirements as required by the Protection of Personal Information Act.

We may also disclose your information:

- Where we have a duty or a right to disclose in terms of law;
- Where we believe it is necessary to protect our rights.

3.4 Information Security

We are legally obliged to provide adequate protection for the personal information we hold and to stop unauthorised access and use of personal information. We will, on an ongoing basis, continue to review our security

POPIA PRIVACY STATEMENT

Johannesburg Water SOC Limited

controls and related processes to ensure that your personal information remains secure.

When we contract with third parties, we impose appropriate security, privacy and confidentiality obligations on them to ensure that personal information that we remain responsible for, is kept secure. We will ensure that anyone whom we pass your personal information agrees to treat your information with the same level of protection as we are obliged to.

3.5 Your rights: Access to Information

You have the right to request a copy of the personal information we hold about you. To do this, simply contact us at informationofficer@jwater.co.za, and specify what information you require.

3.6 Correction of your personal information

You have the right to ask us to update, correct or delete your personal information. We will require a copy of your identification document to confirm your identity before making changes to personal information we may hold about you. We would appreciate it if you would keep your personal information accurate and up to date.

3.7 How to contact us

If you have any queries about this document; you need further information about our privacy practices; wish to withdraw consent; exercise preferences or access or correct your personal information, please contact us at the numbers listed on our website or send an email to informationofficer@jwater.co.za.



a world class African city



City of Johannesburg

Johannesburg Water SOC Ltd

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65 Ntengi Piliso Street
Newtown
Johannesburg

Johannesburg Water
PO Box 61542
Marshalltown
2107

Tel +27(0) 11 688 1400
Fax +27(0) 11 688 1528

www.johannesburgwater.co.za

**PLEASE SUPPLY THE FOLLOWING DOCUMENTS TO ENABLE US TO
EVALUATE YOUR SUBMISSION :**

Returnable Documents	Description	Yes/No	
1	A, certified /original/valid, BBBEE certificate /sworn affidavit (Please note that the Sworn Affidavit must be compliant as per B-BBEE Practice Guide 01 of 2018 , NON COMPLIANT AFFIDAVIT WILL BE SCORED ZERO)		COMPULSORY
2	Municipal rates and taxes (Must not be older than 90 days in arrears in line with regulation 38.		COMPULSORY
3	Signed Declaration of Interest form (MBD 4)		COMPULSORY
4	Declaration of Bidders past supply chain management practices (MBD 8)		COMPULSORY
5	Certificate of Independent Proposal Determination (MBD 9)		COMPULSORY
6	Proof of CSD registration /MAAA Supplier Number		COMPULSORY
7	Preference points claim form in terms of the preferential procurement regulations 2022 (MBD 6.1)		COMPULSORY

MBD 4

DECLARATION OF INTEREST

1. No bid will be accepted from persons in the service of the state¹.
2. Any person, having a kinship with persons in the service of the state, including a blood relationship, may make an offer or offers in terms of this invitation to bid. In view of possible allegations of favouritism, should the resulting bid, or part thereof, be awarded to persons connected with or related to persons in service of the state, it is required that the bidder or their authorised representative declare their position in relation to the evaluating/adjudicating authority.

3 In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.

3.1 Full Name of bidder or his or her representative:.....

3.2 Identity Number:

3.3 Position occupied in the Company (director, trustee, hareholder²):.....

3.4 Company Registration Number:

3.5 Tax Reference Number:.....

3.6 VAT Registration Number:

3.7 The names of all directors / trustees / shareholders members, their individual identity numbers and state employee numbers must be indicated in paragraph 4 below.

3.8 Are you presently in the service of the state? **YES / NO**

3.8.1 If yes, furnish particulars.

.....

¹MSCM Regulations: "in the service of the state" means to be –

- (a) a member of –
 - (i) any municipal council;
 - (ii) any provincial legislature; or
 - (iii) the national Assembly or the national Council of provinces;
- (b) a member of the board of directors of any municipal entity;
- (c) an official of any municipality or municipal entity;
- (d) an employee of any national or provincial department, national or provincial public entity or constitutional institution within the meaning of the Public Finance Management Act, 1999 (Act No.1 of 1999);
- (e) a member of the accounting authority of any national or provincial public entity; or
- (f) an employee of Parliament or a provincial legislature.

² Shareholder" means a person who owns shares in the company and is actively involved in the management of the company or business and exercises control over the company.

3.9 Have you been in the service of the state for the past twelve months?**YES / NO**

3.9.1 If yes, furnish particulars.....

.....

3.10 Do you have any relationship (family, friend, other) with persons in the service of the state and who may be involved with the evaluation and or adjudication of this bid? **YES / NO**

3.10.1 If yes, furnish particulars.

.....

.....

3.11 Are you, aware of any relationship (family, friend, other) between any other bidder and any persons in the service of the state who may be involved with the evaluation and or adjudication of this bid? **YES / NO**

3.11.1 If yes, furnish particulars

.....

.....

3.12 Are any of the company's directors, trustees, managers, principle shareholders or stakeholders in service of the state? **YES / NO**

3.12.1 If yes, furnish particulars.

.....

.....

3.13 Are any spouse, child or parent of the company's directors trustees, managers, principle shareholders or stakeholders in service of the state? **YES / NO**

3.13.1 If yes, furnish particulars.

.....

.....

3.14 Do you or any of the directors, trustees, managers, principle shareholders, or stakeholders of this company have any interest in any other related companies or business whether or not they are bidding for this contract. **YES / NO**

3.14.1 If yes, furnish particulars:

.....

.....

4. Full details of directors / trustees / members / shareholders.

Full Name	Identity Number	State Employee Number

.....
Signature

.....
Date

.....
Capacity

.....
Name of Bidder

DECLARATION OF BIDDER'S PAST SUPPLY CHAIN MANAGEMENT PRACTICES

- 1 This Municipal Bidding Document must form part of all bids invited.
- 2 It serves as a declaration to be used by municipalities and municipal entities in ensuring that when goods and services are being procured, all reasonable steps are taken to combat the abuse of the supply chain management system.
- 3 The bid of any bidder may be rejected if that bidder, or any of its directors have:
 - a. abused the municipality's / municipal entity's supply chain management system or committed any improper conduct in relation to such system;
 - b. been convicted for fraud or corruption during the past five years;
 - c. willfully neglected, reneged on or failed to comply with any government, municipal or other public sector contract during the past five years; or
 - d. been listed in the Register for Tender Defaulters in terms of section 29 of the Prevention and Combating of Corrupt Activities Act (No 12 of 2004).
- 4 **In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.**

Item	Question	Yes	No
4.1	<p>Is the bidder or any of its directors listed on the National Treasury's Database of Restricted Suppliers as companies or persons prohibited from doing business with the public sector?</p> <p>(Companies or persons who are listed on this Database were informed in writing of this restriction by the Accounting Officer/Authority of the institution that imposed the restriction after the <i>audi alteram partem</i> rule was applied).</p> <p>The Database of Restricted Suppliers now resides on the National Treasury's website(www.treasury.gov.za) and can be accessed by clicking on its link at the bottom of the home page.</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.1.1	If so, furnish particulars:		
4.2	<p>Is the bidder or any of its directors listed on the Register for Tender Defaulters in terms of section 29 of the Prevention and Combating of Corrupt Activities Act (No 12 of 2004)?</p> <p>The Register for Tender Defaulters can be accessed on the National Treasury's website (www.treasury.gov.za) by clicking on its link at the bottom of the home page.</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.2.1	If so, furnish particulars:		
4.3	Was the bidder or any of its directors convicted by a court of law (including a court of law outside the Republic of South Africa) for fraud or corruption during the past five years?	Yes <input type="checkbox"/>	No <input type="checkbox"/>

4.3.1	If so, furnish particulars:		
Item	Question	Yes	No
4.4	Does the bidder or any of its directors owe any municipal rates and taxes or municipal charges to the municipality / municipal entity, or to any other municipality / municipal entity, that is in arrears for more than three months?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.4.1	If so, furnish particulars:		
4.5	Was any contract between the bidder and the municipality / municipal entity or any other organ of state terminated during the past five years on account of failure to perform on or comply with the contract?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.7.1	If so, furnish particulars:		

CERTIFICATION

**I, THE UNDERSIGNED (FULL NAME)
CERTIFY THAT THE INFORMATION FURNISHED ON THIS
DECLARATION FORM TRUE AND CORRECT.**

**I ACCEPT THAT, IN ADDITION TO CANCELLATION OF A CONTRACT,
ACTION MAY BE TAKEN AGAINST ME SHOULD THIS DECLARATION
PROVE TO BE FALSE.**

.....
Signature

.....
Date

.....
Position

.....
Name of Bidder

CERTIFICATE OF INDEPENDENT BID DETERMINATION

- 1 This Municipal Bidding Document (MBD) must form part of all bids¹ invited.
- 2 Section 4 (1) (b) (iii) of the Competition Act No. 89 of 1998, as amended, prohibits an agreement between, or concerted practice by, firms, or a decision by an association of firms, if it is between parties in a horizontal relationship and if it involves collusive bidding (or bid rigging).² Collusive bidding is a *pe se* prohibition meaning that it cannot be justified under any grounds.
- 3 Municipal Supply Regulation 38 (1) prescribes that a supply chain management policy must provide measures for the combating of abuse of the supply chain management system, and must enable the accounting officer, among others, to:
 - a. take all reasonable steps to prevent such abuse;
 - b. reject the bid of any bidder if that bidder or any of its directors has abused the supply chain management system of the municipality or municipal entity or has committed any improper conduct in relation to such system; and
 - c. cancel a contract awarded to a person if the person committed any corrupt or fraudulent act during the bidding process or the execution of the contract.
- 4 This MBD serves as a certificate of declaration that would be used by institutions to ensure that, when bids are considered, reasonable steps are taken to prevent any form of bid-rigging.
- 5 In order to give effect to the above, the attached Certificate of Bid Determination (MBD 9) must be completed and submitted with the bid:

¹ Includes price quotations, advertised competitive bids, limited bids and proposals.

² Bid rigging (or collusive bidding) occurs when businesses, that would otherwise be expected to compete, secretly conspire to raise prices or lower the quality of goods and / or services for purchasers who wish to acquire goods and / or services through a bidding process. Bid rigging is, therefore, an agreement between competitors not to compete.

CERTIFICATE OF INDEPENDENT BID DETERMINATION

I, the undersigned, in submitting the accompanying bid:

(Bid Number and Description)

in response to the invitation for the bid made by:

(Name of Municipality / Municipal Entity)

do hereby make the following statements that I certify to be true and complete in every respect:

I certify, on behalf of: _____ that:

(Name of Bidder)

1. I have read and I understand the contents of this Certificate;
2. I understand that the accompanying bid will be disqualified if this Certificate is found not to be true and complete in every respect;
3. I am authorized by the bidder to sign this Certificate, and to submit the accompanying bid, on behalf of the bidder;
4. Each person whose signature appears on the accompanying bid has been authorized by the bidder to determine the terms of, and to sign, the bid, on behalf of the bidder;
5. For the purposes of this Certificate and the accompanying bid, I understand that the word "competitor" shall include any individual or organization, other than the bidder, whether or not affiliated with the bidder, who:
 - (a) has been requested to submit a bid in response to this bid invitation;
 - (b) could potentially submit a bid in response to this bid invitation, based on their qualifications, abilities or experience; and
 - (c) provides the same goods and services as the bidder and/or is in the same line of business as the bidder

6. The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However communication between partners in a joint venture or consortium³ will not be construed as collusive bidding.
7. In particular, without limiting the generality of paragraphs 6 above, there has been no consultation, communication, agreement or arrangement with any competitor regarding:
 - (a) prices;
 - (b) geographical area where product or service will be rendered (market allocation)
 - (c) methods, factors or formulas used to calculate prices;
 - (d) the intention or decision to submit or not to submit, a bid;
 - (e) the submission of a bid which does not meet the specifications and conditions of the bid; or
 - (f) bidding with the intention not to win the bid.
8. In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications and conditions or delivery particulars of the products or services to which this bid invitation relates.
9. The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.

³ Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

10. I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

.....
Signature

.....
Date

.....
Position

.....
Name of Bidder

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