

NSA Panel Technical Criteria Scoring	
CORP :	
Name and Surname of Evaluator	
Unique no of Evaluator	
Date of Evaluation Performed	
Comments (if applicable)	
Name of Tenderer/Firm	
Any notes/issues/comments highlighted during	

TECHNICAL CRITERIA	WEIGHTINGS	MAXIMUM POINTS THAT CAN BE SCORED	ACTUAL POINTS SCORED	WEIGHTED SCORES
CAPACITY	30	15	15	30
KNOWLEDGE AND EXPERIENCE	45	10	8	36
AUDIT METHODOLOGY/APPROACH	15	10	4	6
QUALITY CONTROL	10	10	6	6
	100	45	TOTAL FOR TECHNICAL CRITERIA	78
TECHNICAL THRESHOLD IS 75%			SUPPLIER HAS	PASSED

Key
Cannot change this field
To be completed during evaluation
Error or failed
Pass

NO	DETAILS	FURTHER INFORMATION FOR THE SCORING	MAXIMUM POINTS FOR THIS SECTION	EVALUATOR'S SCORE	FILE, SECTION AND PAGE NUMBER FROM THE TENDER DOCUMENTATION WHERE THIS INFORMATION CAN BE FOUND	TOTAL POINTS PER CATEGORY
1		CAPACITY				15
1.1	Available capacity to complete any work requirement nationally. These are all the resources in the firm. The audit firm's total capacity in South Africa : Directors/Partners (maximum of 2 points) 1 point per director/partner (any speciality) Managers (maximum of 2 points) 1 point per 1 manager (any speciality) Staff:(Maximum total points for this section is 8) - Professionals (maximum of 3 points) - 1 - 3 auditors - 1 point (excluding admin staff) - 4 - 8 auditors - 2 points (excluding admin staff) - 8 and above auditors - 3 points (excluding admin staff) - Trainees (maximum of 5 points): - 1 point per 3 trainees (excluding admin staff) The audit firm has a presence and/or alliance internationally. (maximum of 1 point) (Yes - 1, No - 0)	The firm must have a table/diagram/organogram listing the number of - Directors/Partner, - Managers, - Staff (Professionals and Trainees (excluding admin)) available for all provinces. The table may include names and surnames or it may include the numbers per level/designation. Administrators will not be used for scoring purposes. This must be included in the Technical Proposal of the tender document. This team does not necessarily have to be assigned to the Eskom account. We are assessing the total capacity of the firm. The firm must provide the information about the offices internationally or provide details on the alliance internationally. This must be included in the Technical Proposal of the tender document.	2	2		
			2	2		
			8	8		
			1	1		
1.2	Location of offices in South Africa: (Maximum total points for this section is 2) - 1 points if Head Office is in Gauteng. (Majority of the work will be Gauteng.) - 1 point per province outside Gauteng. If the office is outside of Gauteng, it must be managed by a Partner/Director. If not managed by a Partner/Director then 0 points allocated. (Maximum 1 point for provincial locations)	- The firm must have a table listing the provinces they are based in. OR - A map of SA with the provinces highlighted where the firm has an office. OR - Written details of the provinces where the firm has an office. If the office is outside of Gauteng, the firm must provide information on who manages the office. The name, suranme and designation of the person in charge must be provided. It must be managed by a Partner/Director. If it is not, no points will be allocated. This must be included in the Technical Proposal of the tender document.	2	2		
TOTAL POINTS FOR CAPACITY			15	15		
2		KNOWLEDGE AND EXPERIENCE				TOTAL POINTS PER CATEGORY
	Relevant knowledge and experience to complete the work required					8

NO	DETAILS	FURTHER INFORMATION FOR THE SCORING	MAXIMUM POINTS FOR THIS SECTION	EVALUATOR'S SCORE	FILE, SECTION AND PAGE NUMBER FROM THE TENDER DOCUMENTATION WHERE THIS INFORMATION CAN BE FOUND	TOTAL POINTS PER CATEGORY
2.1	<p>The firm should demonstrate the experience in auditing of the individual responsible for the Eskom account. (maximum of 3 points for this section)</p> <p>(Directors/Partners, Senior Manager, other):</p> <ul style="list-style-type: none"> - If a Director, with at least 12 years experience in auditing, is responsible for the Eskom account as the main contact (maximum 3 points) <ul style="list-style-type: none"> - If the Director has 0-4 years experience - 1 point - If the Director has 4-8 years experience - 2 points - If the Director has 8-12 years experience - 3 points - If a Senior Manager, with at least 10 years experience in auditing, is responsible for the Eskom account as the main contact (maximum of 2 points) <ul style="list-style-type: none"> - If the Senior Manager has 0 - 3 years experience - 1 point - If the Senior Manager has 3 - 6 years experience - 1.5 points - If the Senior Manager has 6 - 9 years experience - 2 points - If any other level is responsible for the Eskom account as the main contact (0 points) 	<p>An organogram which details the name, surname and title of each resource allocated to manage the Eskom account must be listed in the tender documentation under the technical proposal.</p> <p>A summarised CV for each resource must be provided in the Technical Proposal of the tender documentation. The CV for each resource should not exceed 1 page.</p> <p>If the CVs for the resource is not provided, no points will be allocated for the resource.</p>	3	3		
2.2	<p>Does the company have the following type of specialists available: (maximum of 5 points for this section)</p> <ul style="list-style-type: none"> - Procurement/Commercial specialist/Public sector/PFMA/PPPFA specialist (1 point) - Risk management specialist (1 point) - Corporate governance specialist (1 point) - IT Audit (1 point) - SHEQ Specialist (1 point) 	<p>A summarised CV for each resource must be listed in the tender documentation under the technical proposal. The CV must contain a list of the qualifications the resource holds. Eskom reserves the right to verify these qualifications.</p> <p>The CV for each resource should not exceed 1 page. If the CVs for the resource is not provided, no points will be allocated for the resource.</p> <p>A resource may possess qualifications in more than 1 speciality. Points to be allocated for each speciality. For e.g, if the resource holds an IT Audit and SCM qualifications, 2 points will be awarded.</p>	5	3		
2.3	<p>The Director/Partner of the Eskom account is a Chartered Accountant (CA) or Certified Internal Auditor (CIA) or Professional Internal Auditor (PIA) or General Internal Auditor (GIA) or Certified information systems auditor (CISA) or Certified Fraud Examiner (CFE) or Certification in Risk Management Assurance (CRMA).</p> <p>(Maximum points 2)</p> <p>If the Director/Partner resigns, a replacement Director/Partner must be qualified as above.</p>	<p>Evidence to prove that this qualification/certification is achieved and is valid must be provided by relevant organisation that supports the qualification.</p> <p>The individual can hold any one of the qualifications/certifications listed on the left.</p> <p>This must be listed in the tender documentation under the technical proposal.</p> <p>If the Director/Partner is studying for this qualification then no points will be awarded. If no certification is provided, 0 points to be allocated.</p>	2	2		
TOTAL POINTS FOR KNOWLEDGE AND EXPERIENCE			10	8		TOTAL POINTS PER CATEGORY
3	AUDIT METHODOLOGY/APPROACH					4
3.1	<p>Provide detail of the firm's audit methodology, approach and technology used.</p> <p>If it includes details of the following:</p> <ul style="list-style-type: none"> - methodology (Planning, Fieldwork, Reporting, Follow-up) (maximum of 4 points): <ul style="list-style-type: none"> - Details of Planning methodology (1 point) - Details of Fieldwork methodology (1 point) - Details of Reporting methodology (1 point) - Details of Follow-up methodology (1 point) - technology (automated working paper system and CAATs) (2 points if both sections (automated working paper system and CAATs)) are provided. If only 1 section is provided then only 1 point), - description of process for continuous improvement (1 point. if this is not provided, 0 points) <p>(maximum of 7 points for this section)</p>	<p>A document detailing the firm's:</p> <ul style="list-style-type: none"> - audit methodology, - technology (automated working paper system, CAATs) and - description of process for continuous improvement must be listed in the tender documentation under the technical proposal. <p>If only the headings are provided with no content then no points will be allocated.</p> <p>If there is nothing provided, no points will be allocated.</p>	7	1		
3.2	<p>The firm confirms that they have a time management process/system (computerised or manual) which is able to track time spent per phase per review.</p> <p>3 point if its listed. 0 points if not stated</p> <p>(maximum of 3 point for this section)</p>	<p>The firm must detail the process/system they use to track time spent on project.</p> <p>This statement must be listed in the tender documentation under the technical proposal.</p>	3	3		
TOTAL POINTS FOR AUDIT METHODOLOGY/APPROACH			10	4		TOTAL POINTS PER CATEGORY
4	QUALITY CONTROL					6
4.1	<p>Provide the firm's quality control policies and procedures. (maximum of 5 point for this section)</p> <ul style="list-style-type: none"> - 2.5 points if only the quality control policy is provided. - 2.5 points if only the quality control procedure is provided. 	<p>A copy of the firm quality control policies and procedures.</p> <p>If only the headings are provided with no content then no points will be allocated.</p> <p>If there is nothing provided regarding the above, no points will be allocated.</p>	5	5		
4.2	<p>The firm provides their most recent external Quality Assurance Review report. The report must not be older than 5 years. (maximum of 5 point for this section)</p> <p>5 points if no findings were identified. 0 points if findings were identified or if the report is older than 5 years.</p>	<p>A copy of the firm's most recent external Quality Assurance Review report must be provided. The report must not be older than 5 years.</p>	5	1		
TOTAL POINTS FOR QUALITY CONTROL			10	6		