



REQUEST FOR PROPOSAL

RFB NUMBER:	RFB017/2026
DESCRIPTION:	APPOINTMENT OF A SERVICE PROVIDER FOR THE PROVISIONING OF AN AUDIT MANAGEMENT SOFTWARE “AUDIT TOOL” FOR INTERNAL AUDIT.
PUBLICATION DATE:	28 SEPTEMBER 2026
VALIDITY PERIOD:	90 DAYS FROM THE CLOSING DATE
CLOSING DATE:	20 OCTOBER 2026
CLOSING TIME:	12H00
BRIEFING SESSION	COMPULSORY BRIEFING SESSION WILL BE HELD ON MICROSOFT TEAMS AS PER BELOW LINK: https://teams.microsoft.com/meet/398873344329426?p=tK3PzqlSN1QGMr4unR DATE: 07 OCTOBER 2026 TIME: 11H00 - 12H30 FAILURE TO ATTEND THE SESSION WILL LEAD TO DISQUALIFICATION
BID RESPONSES MUST BE HAND DELIVERED / COURIERED TO:	SAFCOL NELSPRUIT OFFICE ABSA Square 3RD Floor; Reception 20 Paul Kruger Street Mbombela, Nelspruit
PRICE OF BID DOCUMENT	NO CHARGE
SCM INQUIRIES: For all bidding related enquiries	Ms. Lungile Moeketsi E-mail: Lungile.Moeketsi@safcol.co.za
NAME OF BIDDER:	
TOTAL BID AMOUNT (Including VAT): R	

Bidders should ensure that bids are delivered on time to the correct address. If the bid is late, it shall not be accepted for consideration.

The SAFCOL's Bid Box is generally accessible 8 hours a day from 08h00 to 16h30; 5 days a week (Monday to Friday). Bidders must ensure that they **deposit their bids in the tender box situated next to the reception.**

(Bidders must advise their couriers of the instruction above to avoid misplacement of bid responses)

ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS – **(NOT TO BE RE-TYPED)**

THIS BID IS SUBJECT TO THE GENERAL CONDITIONS OF THE BID, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER SPECIAL CONDITIONS OF CONTRACT.

THE FOLLOWING PARTICULARS MUST BE FURNISHED (FAILURE TO DO SO MAY RESULT IN YOUR BID BEING DISQUALIFIED)

BIDDING STRUCTURE

Indicate the type of Bidding structure by marking with an 'X':	
Individual bidder	
Joint venture	
Consortium	
Using Subcontractors	
Other	

If you are an individual bidder, indicate the following:	
Name of bidder	
Registration number	
VAT registration number	
PAYE number	
COIDA number	
UIF number	
Contact person	
Telephone number	
Fax number	
E-mail address	
Postal address	
Physical address	
If Joint Venture, indicate the following: (To be completed for each JV)	
Name of prime contractor	
Registration number	

If Joint Venture, indicate the following: <i>(To be completed for each JV)</i>	
VAT registration number	
PAYE number	
COIDA number	
UIF number	
Contact person	
Telephone number	
Fax number	
E-mail address	
Postal address	
Physical address	

If Consortium, indicate the following: <i>(To be completed for each Consortium member)</i>	
Name of Joint Venture/ Consortium member	
Registration number	
VAT registration number	
PAYE number	
COIDA number	
UIF number	
Contact person	
Telephone number	
Fax number	
E-mail address	
Postal address	
Physical address	

If using subcontractors, indicate the following: <i>(To be completed for each subcontractor)</i>	
Name of prime contractor	
Registration number	
VAT registration number	
PAYE number	
COIDA number	
UIF number	
Contact person	

If using subcontractors, indicate the following: (To be completed for each subcontractor)	
Telephone number	
Fax number	
E-mail address	
Postal address	
Physical address	

Checklist of documents to be submitted:

Please tick in the relevant block below

YES NO

☐
☐

Submit an Original bid document and a USB.

NB: Service provider should note that should there be variations between submitted copies, SAFCOL will fully rely on the Originally hand signed bid document.

☐
☐

SBD 1: Invitation to Tender (with the signature of an authorized representative of the Tenderer)

☐
☐

Specifications, Conditions of tender and Undertakings by Tenderer (with a signature of an authorized representative of the Tenderer)

☐
☐

SBD 3.1 Pricing Schedule

☐
☐

SBD 4- Bidder's disclosure

☐
☐

SBD 6.1 Preference Point Claim Form in terms of the preferential procurement regulations 2022

☐
☐

Certified copies of your CIPC company registration documents listing all members with percentages, in case of a close corporation

☐
☐

Certified copies of latest share certificates, in case of a company.

☐
☐

A breakdown of how fees and work will be spread between members of the Tendering consortium.

☐
☐

Supporting documents to responses to Mandatory Criteria

☐
☐

Supporting documents – Central Supplier Database Registration Summary Report

SBD1
PART A
INVITATION TO BID

YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF SAFCOL					
BID NUMBER:	RFB017/2026	CLOSING DATE:	20 OCTOBER 2026	CLOSING TIME:	12:00
DESCRIPTION	APPOINTMENT OF A SERVICE PROVIDER FOR THE PROVISIONING OF AN AUDIT MANAGEMENT SOFTWARE “AUDIT TOOL” FOR INTERNAL AUDIT.				
THE SUCCESSFUL BIDDER WILL BE REQUIRED TO FILL IN AND SIGN A WRITTEN CONTRACT FORM (SBD7).					

BID RESPONSE DOCUMENTS MAY BE DEPOSITED IN THE BID BOX SITUATED AT (*STREET ADDRESS*)

SAFCOL NELSPRUIT OFFICE ABSA Square 3rd Floor; Reception 20 Paul Kruger Street Mbombela, Nelspruit					
SUPPLIER INFORMATION					
NAME OF BIDDER					
POSTAL ADDRESS					
STREET ADDRESS					
TELEPHONE NUMBER	CODE		NUMBER		
CELLPHONE NUMBER					
FACSIMILE NUMBER	CODE		NUMBER		
E-MAIL ADDRESS					
VAT REGISTRATION NUMBER					
	TCS PIN:		OR	CSD No:	
B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE [TICK APPLICABLE BOX]	☐ Yes ☐ No		B-BBEE STATUS LEVEL SWORN AFFIDAVIT		☐ Yes ☐ No
IF YES, WHO WAS THE CERTIFICATE ISSUED BY?					

AN ACCOUNTING OFFICER AS CONTEMPLATED IN THE CLOSE CORPORATION ACT (CCA) AND NAME THE APPLICABLE IN THE TICK BOX	☐	AN ACCOUNTING OFFICER AS CONTEMPLATED IN THE CLOSE CORPORATION ACT (CCA)	
	☐	A VERIFICATION AGENCY ACCREDITED BY THE SOUTH AFRICAN ACCREDITATION SYSTEM (SANAS)	
	☐	A REGISTERED AUDITOR	
	NAME:		
[A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE/SWORN AFFIDAVIT (FOR EMEs & QSEs) MUST BE SUBMITTED IN ORDER TO QUALIFY FOR PREFERENCE POINTS ON SPECIFIC GOALS, WHERE APPLICABLE]			
ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED?	☐ Yes ☐ No [IF YES ENCLOSE PROOF]	ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED?	☐ Yes ☐ No [IF YES ANSWER PART B:3 BELOW]
SIGNATURE OF BIDDER		DATE	
CAPACITY UNDER WHICH THIS BID IS SIGNED (Attach proof of authority to sign this bid; e.g., resolution of directors, etc.)			
TOTAL NUMBER OF ITEMS OFFERED		TOTAL BID PRICE (ALL INCLUSIVE)	
BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO:		TECHNICAL INFORMATION MAY BE DIRECTED TO:	
DEPARTMENT/ PUBLIC ENTITY	SAFCOL	CONTACT PERSON	Sipho Aphone
CONTACT PERSON	Lungile Moeketsi	TELEPHONE NUMBER	N/A
TELEPHONE NUMBER	N/A	FACSIMILE NUMBER	N/A
FACSIMILE NUMBER	N/A	E-MAIL ADDRESS	
E-MAIL ADDRESS	tenders@safcol.co.za		

SBD1

PART B

TERMS AND CONDITIONS FOR BIDDING

BID SUBMISSION:

- 1.1. BIDS MUST BE DELIVERED BY THE STIPULATED TIME TO THE CORRECT ADDRESS. LATE BIDS WILL NOT BE ACCEPTED FOR CONSIDERATION.
- 1.2. ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED– (NOT TO BE RE-TYPED) OR ONLINE
- 1.3. BIDDERS MUST REGISTER ON THE CENTRAL SUPPLIER DATABASE (CSD) TO UPLOAD MANDATORY INFORMATION NAMELY: (BUSINESS REGISTRATION/ DIRECTORSHIP/ MEMBERSHIP/IDENTITY NUMBERS; TAX COMPLIANCE STATUS; AND BANKING INFORMATION FOR VERIFICATION PURPOSES). B-BBEE CERTIFICATE OR SWORN AFFIDAVIT FOR B-BBEE MUST BE SUBMITTED TO BIDDING INSTITUTION.
- 1.4. WHERE A BIDDER IS NOT REGISTERED ON THE CSD, MANDATORY INFORMATION NAMELY: (BUSINESS REGISTRATION/ DIRECTORSHIP/ MEMBERSHIP/IDENTITY NUMBERS; TAX COMPLIANCE STATUS MAY NOT BE SUBMITTED WITH THE BID DOCUMENTATION. B-BBEE CERTIFICATE OR SWORN AFFIDAVIT FOR B-BBEE MUST BE SUBMITTED TO BIDDING INSTITUTION.
- 1.5. THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT 2000 AND THE PREFERENTIAL PROCUREMENT REGULATIONS, 2022, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER LEGISLATION OR SPECIAL CONDITIONS OF CONTRACT.

TAX COMPLIANCE REQUIREMENTS

- 2.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.
- 2.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VIEW THE TAXPAYER'S PROFILE AND TAX STATUS.
- 2.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) OR PIN MAY ALSO BE MADE VIA E-FILING. IN ORDER TO USE THIS PROVISION, TAXPAYERS WILL NEED TO REGISTER WITH SARS AS E-FILERS THROUGH THE WEBSITE WWW.SARS.GOV.ZA.
- 2.4 BIDDERS MAY ALSO SUBMIT A PRINTED TCS TOGETHER WITH THE BID.
- 2.5 IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED; EACH PARTY MUST SUBMIT A SEPARATE PROOF OF TCS / PIN / CSD NUMBER.
- 2.6 WHERE NO TCS IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.
- 2.7 NO BIDS WILL BE CONSIDERED FROM PERSONS IN THE SERVICE OF THE STATE, COMPANIES WITH DIRECTORS WHO ARE PERSONS IN THE SERVICE OF THE STATE, OR CLOSE CORPORATIONS WITH MEMBERS PERSONS IN THE SERVICE OF THE STATE.

QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS

3.1. IS THE BIDDER A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?

☐ YES ☐ NO

3.2. DOES THE BIDDER HAVE A BRANCH IN THE RSA?

YES ☐ NO

☐

3.3. DOES THE BIDDER HAVE A PERMANENT ESTABLISHMENT IN THE RSA?

☐ YES ☐ NO

3.4. DOES THE BIDDER HAVE ANY SOURCE OF INCOME IN THE RSA?

☐ YES ☐ NO

IF THE ANSWER IS “NO” TO ALL OF THE ABOVE, THEN, IT IS NOT A REQUIREMENT TO OBTAIN A TAX COMPLIANCE STATUS / TAX COMPLIANCE SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 2.3 ABOVE.

NB: FAILURE TO PROVIDE ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.

GENERAL INFORMATION

1 NON-EXPECTATION:

Notwithstanding anything stated in the Request for Proposals (“**RFP**”), in the advertisements published in respect of the RFP, any answers or clarification provided by the SAFCOL as part of the SCM process or otherwise:

- 1.1 The procurement of accommodation, goods or services will be at the SAFCOL’s sole and absolute discretion and the SAFCOL reserves the right, including without limitation: not to accept any proposal/bid and to cancel the RFP and this TOR, without awarding any contract; unilaterally to amend/supplement/split the specifications on the basis of which the RFP and this TOR is made, including but without limiting, the right to withdraw any part of the service requirement;
 - 1.1.1 to ask clarification of their proposals/bids from any one or more of the bidders;
 - 1.1.2 to conduct one or more inspections *in loco* at the venues and facilities offered; and
 - 1.1.3 to link any conditions, it deems appropriate to its acceptance of any bid.
- 1.2 the RFP, its advertisement or this TOR does not constitute an offer. The aforementioned documents intend only to provide enough information for the preparation and submission of comparable proposals by the bidders.
- 1.3 the lowest or any proposal/bid may not necessarily be accepted.
- 1.4 nothing in the RFP, this TOR or in the advertisements published in respect of the RFP or in the actions of the SAFCOL, the Head/Acting Head of the SAFCOL, the SAFCOL’s agents, members, officials or employees must be construed as creating any expectation, legitimate or otherwise, regarding matters dealt with in the RFP, the advert for the RFP or this TOR or any other matters.

2 CONDITIONS AND UNDERTAKINGS BY BIDDER BID

2.1 **The Bid forms should not be retyped or redrafted, but photocopies may be prepared and used.** However, only documents with the original signature in black ink shall be accepted. Additional offers against any item should be made on a photocopy of the page in question.

2.1.1 Black ink should be used when completing Bid documents.

2.1.2 Bidders should check the numbers of the pages to satisfy themselves that none is missing or duplicated. SAFCOL will accept NO liability in regard to anything arising from the fact that pages are missing or duplicated.

2.2 I/We hereby Bid to supply all or any of the supplies and/or to procure all or any of the services described in the attached documents to SAFCOL on the terms and conditions and in accordance with the specifications stipulated in the Bid documents (and which shall be taken as part of, and incorporated into, this Bid) at the prices inserted therein.

2.3 I/We agree that -

2.4 the offer herein shall remain binding upon me/us and open for acceptance by SAFCOL during the validity period indicated and calculated from the closing hour and date of the Bid;

2.5 the laws of the Republic of South Africa shall govern the contract created by the acceptance of my/our Bid and that I/we choose domicilium citandi et executandi in the Republic as indicated below; and

2.6 **NB: BIDDERS TERMS AND CONDITIONS ARE NOT ACCEPTABLE.**

2.7 I/We furthermore confirm that I/we have satisfied myself/ourselves as to the correctness and validity of my/our Bid that the price(s) and rate(s) quoted cover all the work/item(s) specified in the Bid documents and that the price(s) and rate(s) cover all my/our obligations under a resulting contract and that I/we accept that any mistakes regarding price(s) and calculations will be at my/our risk.

2.8 I/We hereby accept full responsibility for the proper execution and fulfilment of all obligations and conditions devolving on me/us under this Bid as the Principal(s) liable for the due fulfilment of this contract.

Signature(s) of Bidder or assignee(s)	Date
Name of signing person (in block letters)	
Capacity	
Are you duly authorized to sign this bid?	
Name of Bidder [company name] (in block letters)	
Postal address (in block letters) Domicilium citandi et executandi in the RSA (full street address of this place) (in block letters)	

Telephone Number	FAX Number:
Cell Number	E-mail Address:

Confidentiality

- 2.9 The information contained in this document is of a confidential nature and must only be used for purposes of responding to this RFP. This confidentiality clause extends to bidder, partners and/or implementation agents, whom the Bidder may decide to involve in preparing a response to this RFP.
- 2.10 For purposes of this process, the term “Confidential Information” shall include all technical and business information, including, without limiting the generality of the foregoing, all secret knowledge and information (including any and all financial, commercial, market, technical, functional and scientific information, and information relating to a party’s strategic objectives and planning and its past, present and future research and development), technical, functional and scientific requirements and specifications, data concerning business relationships, demonstrations, processes, machinery, know how, architectural information, information contained in a party’s software and associated material and documentation, plans, designs and drawings and all material of whatever description, whether subject to or protected by copyright, patent or trademark, registered or un-registered, or otherwise disclosed or communicated before or after the date of this process.
- 2.11 The receiving party shall not, during the period of validity of this process, or at any time, thereafter, use or disclose, directly or indirectly, the confidential information of SAFCOL (even if received before the date of this process) to any person whether in the employment of the receiving party or not, who does not take part in the performance of this process.
- 2.12 The receiving party shall take all such steps as may be reasonably necessary to prevent SAFCOL’s confidential information coming into the possession of unauthorized third parties. In protecting the receiving party’s confidential information, SAFCOL shall use the same degree of care, which does not amount to less than a reasonable degree of care, to prevent the unauthorized use or disclosure of the confidential information as the receiving party uses to protect its own confidential information.
- 2.13 Any documentation, software or records relating to confidential information of SAFCOL, which comes into the possession of the receiving party during the period of validity of this process or at any time thereafter or which has so come into its possession before the period of validity of this process shall:
- 2.13.1 be deemed to form part of the confidential information of SAFCOL;
 - 2.13.2 be deemed to be the property of SAFCOL;
 - 2.13.3 not be copied, reproduced, published or circulated by the receiving party unless and to the extent that such copying is necessary for the performance of this process and all other processes as contemplated in; and
 - 2.13.4 be surrendered to SAFCOL on demand, and in any event on the termination of the investigations and negotiations, and the receiving party shall not retain any extracts.

3 News and press releases

- 3.1 Bidders or their agents shall not make any news releases concerning this RFP or the awarding of the same or any resulting agreement(s) without the consent of, and then only in co-ordination with, SAFCOL and its Client.

4 Precedence of documents

- 4.1 This RFP consists of a number of sections. Where there is a contradiction in terms between the clauses, phrases, words, stipulations or terms and herein referred to generally as stipulations in this RFP and the stipulations in any other document attached hereto, or the RFP submitted hereto, the relevant stipulations in this RFP shall take precedence.
- 4.2 Where this RFP is silent on any matter, the relevant stipulations addressing such matter, and which appear in the PPPFA shall take precedence. Bidders shall refrain from incorporating any additional stipulations in its proposal submitted in terms hereof other than in the form of a clearly marked recommendation that SAFCOL may in its sole discretion elect to import or to ignore. Any such inclusion shall not be used for any purpose of interpretation unless it has been so imported or acknowledged by SAFCOL.
- 4.3 It is acknowledged that all stipulations in the PPPFA are not equally applicable to all matters addressed in this RFP. It, however, remains the exclusive domain and election of SAFCOL as to which of these stipulations are applicable and to what extent. Bidders are hereby acknowledging that the decision of SAFCOL in this regard is final and binding. The onus to enquire and obtain clarity in this regard rests with the Bidder(s). The Bidder(s) shall take care to restrict its enquiries in this regard to the most reasonable interpretations required to ensure the necessary consensus.

5 Preferential procurement reform

- 5.1 SAFCOL supports B-BBEE as an essential ingredient of its business. In accordance with government policy, SAFCOL insists that the private sector demonstrates its commitment and track record to B-BBEE in the areas of ownership (shareholding), skills transfer, employment equity and procurement practices (SMME Development) etc.
- 5.2 SAFCOL shall apply the principles of the Preferential Procurement Policy Framework Act, (Act No. 5 of 2000) to this proposal read together with the Preferential Regulations, 2022.

6 National Industrial Participation Programme

- 6.1 The National Industrial Participation policy, which was endorsed by Cabinet on 30 April 1997, is applicable to contracts that have an imported content. The NIP is obligatory and therefore must be complied with. Bidders are required to sign and submit the Standard Bidding Document (SBD5 is not applicable for this bid.).

7 Language

- 7.1 Bids shall be submitted in English.

8 Gender

- 8.1 Any word implying any gender shall be interpreted to imply all other genders.

9 Headings

- 9.1 Headings are incorporated into this proposal and submitted in response thereto, for ease of reference only and shall not form part thereof for any purpose of interpretation or for any other purpose

10 Security clearances

- 10.1 Employees and subcontractors of the successful bidder may be required to be in possession of valid security clearances to the level determined by the SSA and/or SAFCOL commensurate with the

nature of the project activities they are involved in. The cost of obtaining suitable clearances is for the account of the bidders. The bidders shall supply and maintain a list of personnel involved on the project indicating their clearance status.

- 10.2 Employees and subcontractors of the successful bidder will be required to sign a non-disclosure agreement.

11 Occupational Injuries and Diseases Act 13 of 1993

The Bidder warrants that all its employees (including the employees of any sub-contractor that may be appointed) are covered in terms of the Compensation for Occupational Injuries and Diseases Act 13 of 1993 and that the cover shall remain in force for the duration of the adjudication of this bid and/or subsequent agreement. SAFCOL reserves the right to request the Bidder to submit documentary proof of the Bidder's registration and "good standing" with the Compensation Fund, or similar proof acceptable to SAFCOL.

12 Formal contract

- 12.1 This RFP, all the appended documentation and the proposal in response thereto read together, form the basis for a formal contract to be negotiated and finalized between SAFCOL and/or its clients and the enterprise(s) to whom SAFCOL awards the bid in whole or in part.
- 12.2 Any offer and/or acceptance entered verbally between SAFCOL and any vendor, such offer shall not constitute a contract and thus not binding on the parties.

13 Protection of Personal Information

In responding to this bid, SAFCOL acknowledges that it may obtain and have access to personal data of the respondents. SAFCOL agrees that it shall only process the information disclosed by bidders in their response to this bid for the purpose of evaluating and subsequent award of business and in accordance with any applicable law.

Furthermore, SAFCOL will not otherwise modify, amend or alter any personal data submitted by Respondents or disclose or permit the disclosure of any personal data to any Third Party without the prior written consent from the Respondents. Similarly, SAFCOL requires Respondents to process any personal information disclosed by SAFCOL in the bidding process in the same manner.

14 Reasons for disqualification

- 14.1 SAFCOL reserves the right to disqualify any bidder, which does any one or more of the following, and such disqualification may take place without prior notice to the offending bidder, however the bidder shall be notified in writing of such disqualification:
- 14.1.1 bidders whose tax matters have not been declared by the South African Tax Revenue services to be in order, or that satisfactory arrangements have been made with the South African Tax Revenue Services to meet the bidder's tax obligations;
 - 14.1.2 bidders who submitted incomplete information and documentation essential for the adjudication of the requirements of this RFP;
 - 14.1.3 bidders who submitted information that is fraudulent, factually untrue or inaccurate, for example memberships that do not exist, Work references, experience, etc.;
 - 14.1.4 bidders who received information not available to other vendors through fraudulent means; and/or

- 14.1.5 bidders who do not comply with mandatory requirements as stipulated in this RFP.
- 14.1.6 bidders who made false declarations on the Standard Bidding Documents, or misrepresent facts; and/or;
- 14.1.7 bidders who are listed on the National Treasury's database of restricted suppliers and defaulters.

15 National Treasury's Central Supplier Database (CSD)

- 15.1 Tenderers are required to self-register on National Treasury's Central Supplier Database (CSD) which has been established to centrally administer supplier information for all organs of state and facilitate the verification of certain key supplier information. SAFCOL is required to ensure that price proposals are invited and accepted from prospective Tenderers listed on the CSD. Tender may not be awarded to a Tenderer who has failed to register on the CSD. Only foreign suppliers with no local registered entity need not register on the CSD. The CSD can be accessed at <https://secure.csd.gov.za/>. Respondents are required to provide the following to SAFCOL, to enable SAFCOL to verify information on the CSD:

Supplier Number: _____ unique registration reference number: _____.

16 Bid preparation

- 16.1 All additions to the proposal documents i.e. annexures, supporting documentation pamphlets, photographs, technical specifications and other support documentation covering the goods offered etc. shall be neatly bound as part of the schedule concerned.
- 16.2 All responses regarding questions posed in the annex attached herewith shall be answered in accordance with the prescribed RFP response format.
- 16.3 There shall be no public opening of the Bids received. Unless specifically provided for in the proposal document, Bids submitted by means of telegram, telex, facsimile or similar means shall not be considered.
- 16.4 No Bids from any bidder with offices within the Republic of South Africa (RSA) shall be accepted if sent via the Internet or e-mail.
- 16.5 Bids from international bidders with no office or representation in the RSA shall not be accepted.

17 Oral presentations and briefing sessions

- 17.1 Bidders who submit Bids in response to this RFP may be required to give an oral presentation, which may include, but is not limited to, an equipment/service demonstration of their proposal to SAFCOL. This provides an opportunity for the vendor to clarify or elaborate on the proposal. This is a fact finding and explanation session only and does not include negotiation. SAFCOL shall schedule the time and location of these presentations. Oral presentations are an option of SAFCOL and may or may not be conducted.
- 17.2 Any bidder who has reasons to believe that the tender specification is based on a specific brand must inform SAFCOL not later than ten (10) days after the publication of the Bid.

The closing date for questions/ enquiries on this RFB017/2026 is 14 October 2026 at 12H00.

GENERAL CONDITIONS OF BID AND CONDITIONS OF CONTRACT

- 1 Bidders shall provide full and accurate answers to all (including mandatory) questions posed in this document and are required to explicitly state either "Comply" or "Do not Comply" (with a ✓) regarding compliance with the requirements. Where necessary, the bidder shall substantiate their response to a specific question.
- 2 A "✓" under "Comply" will be interpreted as full compliance/acceptance to the applicable paragraph. A "✓" under "Do Not Comply" will be interpreted that the Bidder/s has/have read and understood the paragraph, but the bidder **does not accept** the content of the applicable paragraph.
- 3 The following bid conditions will govern the contract between the SAFCOL and the successful bidder:

3.1

This Bid is subject to the General Conditions of Contract referred to in this document.	Comply	Do not Comply

3.2

The laws of the RSA shall govern this RFP, and the bidders hereby accept that the courts of the Republic of South Africa shall have the jurisdiction.	Comply	Do not Comply

3.3

SAFCOL shall not be liable for any costs incurred by the bidder in the preparation of response to this RFP. The preparation of response shall be made without obligation to acquire any of the items included in any bidder's proposal or to select any proposal, or to discuss the reasons why such vendor's or any other proposal was accepted or rejected.	Comply	Do not Comply

3.4

SAFCOL SCM may request written clarification regarding any aspect of this proposal. The bidders must supply the requested information in writing within the specified time frames after the request has been made, otherwise the proposal shall be disqualified.	Comply	Do not Comply

3.5

In the case of Consortium, Joint Venture or Subcontractors, bidders are required to provide copies of signed agreements stipulating the work split and rand value.	Comply	Do not Comply

3.6

SAFCOL reserves the right to; cancel or reject any proposal and not to award the proposal to the lowest bidder or award parts of the proposal to different bidders, or not to award the proposal at all.	Comply	Do not Comply

3.7

Where applicable, bidders who are distributors, resellers and installers of network equipment are required to submit back-to-back agreements and service level agreements with their principals.	Comply	Do not Comply

3.8

By submitting a proposal in response to this RFP, the bidders accept the evaluation criteria as it stands.	Comply	Do not Comply

3.9

Where applicable, SAFCOL reserves the right to conduct benchmarks on product/ services offered during and after the evaluation.	Comply	Do not Comply

3.10

SAFCOL reserves the right to conduct a pre-award's survey during the source selection process to evaluate contractors' capabilities to meet the requirements specified in the RFP and supporting documents.	Comply	Do not Comply

3.11

Where the Bid calls for commercially available solutions, bidders who offer to provide future based solutions will be disqualified.	Comply	Do not Comply

3.12

The bidder should not qualify the proposal with own conditions. Caution: If the bidder does not specifically withdraw its own conditions of proposal when called upon to do so, the proposal response shall be declared invalid.	Comply	Do not Comply

3.13

Should the bidder withdraw the proposal before the proposal validity period expires, SAFCOL reserves the right to recover any additional expense incurred by SAFCOL having to accept any less favorable proposal or the additional expenditure incurred by SAFCOL in the preparation of a new RFP and by the subsequent acceptance of any less favorable proposal.	Comply	Do not Comply

3.14

Delivery of and acceptance of correspondence between SAFCOL and the bidder sent by prepaid registered post (by air mail if appropriate) in a correctly addressed envelope to either party's postal address or address for service of legal documents shall be deemed to have been received and accepted after (2) two days from the date of postage to the South African Post Office Ltd.	Comply	Do not Comply

3.15

	Comply	Do not Comply
Should the parties at any time before and/or after the award of the proposal and prior to, and/or after conclusion of the contract fail to agree on any significant product price or service price adjustments, change in technical specification, change in services, etc. SAFCOL shall be entitled within 14 (fourteen) days of such failure to agree, to recall the letter of award and cancel the proposal by giving the bidder not less than 90 (ninety) days written notice of such cancellation, in which event all fees on which the parties failed to agree increases or decreases shall, for the duration of such notice period, remain fixed on those fee/price applicable prior to the negotiations. Such cancellation shall mean that SAFCOL reserves the right to award the same proposal to next best bidders as it deems fit.		

3.16

	Comply	Do not Comply
In the case of a consortium or JV, each of the authorised enterprise's members and/or partners of the different enterprises must co-sign this document.		

3.17

	Comply	Do not Comply
Any amendment or change of any nature made to this RFP shall only be of force and effect if it is in writing, signed by SAFCOL signatory and added to this RFP as an addendum.		

3.18

	Comply	Do not Comply
Failure or neglect by either party to (at any time) enforce any of the provisions of this proposal shall not, in any manner, be construed to be a waiver of any of that party's right in that regard and in terms of this proposal. Such failure or neglect shall not, in any manner, affect the continued, unaltered validity of this proposal, or prejudice the right of that party to institute subsequent action.		

3.19

	Comply	Do not Comply
Bidders who make use of subcontractors. The proposal shall, however, be awarded to the vendor as a primary contractor who shall be responsible for the management of the awarded proposal. No separate contract shall be entered into between SAFCOL and any such subcontractors. Copies of the signed agreements between the relevant parties must be attached to the proposal responses.		

3.20

All services supplied in accordance with this proposal must be certified to all legal requirements as per the South African law.	Comply	Do not Comply

3.21

No interest shall be payable on accounts due to the successful vendor in an event of a dispute arising on any stipulation in the contract.	Comply	Do not Comply

3.22

Evaluation of Bids shall be performed by an evaluation panel established by SAFCOL. Bids shall be evaluated on the basis of conformance to the required specifications as outlined in the RFP. Points shall be allocated to each bidder, on the basis that the maximum number of points that may be scored for price is 80/90, and the maximum number of preference points that may be claimed for B-BBEE (according to the PPPFA) is 20/10.	Comply	Do not Comply

3.23

If the successful bidder disregards contractual specifications, this action may result in the termination of the contract.	Comply	Do not Comply

3.24

The bidders' response to this tender, or parts of the response, shall be included as a whole or by reference in the final contract.	Comply	Do not Comply

3.25

SAFCOL has discretion to extend the validity period should the evaluation of this bid not be completed within the stipulated validity period.	Comply	Do not Comply

3.26

Upon receipt of the request to extend the validity period of the bid, the bidder must respond within the required time frames and in writing on whether or not he agrees to hold his original bid response valid under the same terms and conditions for a further period.	Comply	Do not Comply

3.27

Should the bidder change any wording or phrase in this document, the bid shall be evaluated as though no change has been affected and the original wording or phrasing shall be used.	Comply	Do not Comply

3.28

The Bidder has read, understood and commit to comply with the
SAFCOL Supplier code of conduct obtainable from:

<https://safcol.co.za/wp-content/themes/safcol-v3-theme/assets/documents/SCM-DOC-001-SUPPLIER-CODE-OF-CONDUCT.pdf>

SBD 3.1:PRICING SCHEDULE

Name of bidder:

BID NUMBER: RFB017/2026

Closing Date: 20 October 2026

Closing Time 12:00

PLEASE NOTE:

OFFER TO BE VALID FOR 90 DAYS FROM 20 OCTOBER 2026 (THE CLOSING DATE OF BID).

The bidder must provide the total price for the

RFB017/2026 – APPOINTMENT OF A SERVICE PROVIDER FOR THE PROVISIONING OF AN AUDIT MANAGEMENT SOFTWARE “AUDIT TOOL” FOR INTERNAL AUDIT.

This annexure should be completed and signed by the Bidder’s authorised personnel as indicated below:

If applicable each year

1 Please indicate your total bid price here: R..... (Incl of VAT)
(compulsory)

2 **Important: It is mandatory to indicate your total bid price as requested above. This price must be the same as the total bid price you submit in your pricing schedule. Should the total bid prices differ, the one indicated above shall be considered the correct price.**

3 **NOTE: All prices must be VAT inclusive and must be quoted in South African Rand (ZAR).**

4 Are the rates quoted firm for the full period of the contract?

YES

NO

5 **Mandatory:** If not firm for the full period, provide details of the basis on which adjustments shall be applied e.g. CPI, and also details of the cost breakdown.

6.

No price adjustments that are 100% linked to exchange rate variations shall be allowed.	Comply	Not comply
Substantiate / Comments		

7.

The bidder must indicate clearly , which portion of the service price as well as the monthly costs is linked to the exchange rate.	Comply	Not comply
Substantiate / Comments		

8.

All additional costs must be clearly specified.	Comply	Not comply
Substantiate / Comments		

Price Declaration Form

Dear Sir/Madam

Having read through and examined the Tender Document, Tender no. **RFB017/2026**, the General Conditions, The Requirement and all other Annexes to the Tender Document, we to provide

_____ for the total tendered contract sum of:

R _____ (including VAT)

In Words: R _____ (including VAT).

We confirm that this price covers all services for the:

RFB017/2026: APPOINTMENT OF A SERVICE PROVIDER FOR THE PROVISIONING OF AN AUDIT MANAGEMENT SOFTWARE “AUDIT TOOL” FOR INTERNAL AUDIT.

including but not limited to the supply of all required. We confirm that SAFCOL will incur no additional costs whatsoever over and above this amount in connection with the services related to the provision of these services We undertake to hold this offer open for acceptance for a period of **90 days** from the date of submission of offers. We further undertake that upon final acceptance of our offer; we will commence with delivery when required to do so by the Client.

Moreover, we agree that until formal Contract Documents have been prepared and executed, this Form of Tender, together with a written acceptance from the Client shall constitute a binding agreement between us, governed by the terms and conditions set out in this Request for Proposals.

We understand that you are not bound to accept the lowest or any offer and that we must bear all costs which we have incurred in connection with preparing and submitting this tender.

We hereby undertake for the period during which this tender remains open for acceptance not to divulge to any persons, other than the persons to which the tender is submitted, any information relating to the submission of this tender or the details therein except where such is necessary for the submission of this tender.

SIGNED

DATE:

(Print name of signatory)

Designation

FOR AND ON BEHALF OF:

COMPANY NAME

Tel No

Fax No

Cell No

SBD 4: DECLARATION OF INTEREST

BIDDER'S DISCLOSURE

1. PURPOSE OF THE FORM

Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

2. Bidder's declaration

2.1 Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest¹ in the enterprise,

employed by the state? **YES/NO**

2.1.1 If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

Full Name	Identity Number	Name of State institution

2.2 Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution? **YES/NO**

2.2.1 If so, furnish particulars:

.....
.....

2.3 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are bidding for this contract? **YES/NO**

2.3.1 If so, furnish particulars:

.....
.....

¹ the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.

3 DECLARATION

I, the undersigned, (name)..... in submitting the accompanying bid, do hereby make the following statements that I certify to be true and complete in every respect:

- 3.1 I have read, and I understand the contents of this disclosure;
- 3.2 **I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect;**
- 3.3 The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium² will not be construed as collusive bidding.
- 3.4 In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.
- 3.4 The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.
- 3.5 There have been no consultations, communications, agreements or arrangements made by the bidder with any official of the procuring institution in relation to this procurement process prior to and during the bidding process except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.
- 3.6 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 1, 2 and 3 ABOVE IS CORRECT.

I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

.....
Signature

.....
Date

.....
Position

.....
Name of bidder

² Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

SBD 6.1

PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL PROCUREMENT REGULATIONS 2022

This preference form must form part of all tenders invited. It contains general information and serves as a claim form for preference points for specific goals.

NB: BEFORE COMPLETING THIS FORM, TENDERERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF THE TENDER AND PREFERENTIAL PROCUREMENT REGULATIONS, 2022

1. GENERAL CONDITIONS

1.1 The following preference point systems are applicable to invitations to tender:

- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
- the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

1.2 To be completed by the organ of state

a) The applicable preference point system for this tender is 80/20 preference point system.

1.3 Points for this tender (even in the case of a tender for income-generating contracts) shall be awarded for:

- (a) Price; and
- (b) Specific Goals.

1.4 To be completed by the organ of state:

The maximum points for this tender are allocated as follows:

	POINTS
PRICE	80
SPECIFIC GOALS	20
Total points for Price and SPECIFIC GOALS	100

1.5 Failure on the part of a tenderer to submit proof or documentation required in terms of this tender to claim points for specific goals with the tender, will be interpreted to mean that preference points

for specific goals are not claimed.

- 1.6 The organ of state reserves the right to require of a tenderer, either before a tender is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the organ of state.

2. DEFINITIONS

- (a) **“tender”** means a written offer in the form determined by an organ of state in response to an invitation to provide goods or services through price quotations, competitive tendering process or any other method envisaged in legislation;
- (b) **“price”** means an amount of money tendered for goods or services, and includes all applicable taxes less all unconditional discounts;
- (c) **“rand value”** means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes;
- (d) **“tender for income-generating contracts”** means a written offer in the form determined by an organ of state in response to an invitation for the origination of income-generating contracts through any method envisaged in legislation that will result in a legal agreement between the organ of state and a third party that produces revenue for the organ of state, and includes, but is not limited to, leasing and disposal of assets and concession contracts, excluding direct sales and disposal of assets through public auctions; and
- (e) **“The Act”** means the Preferential Procurement Policy Framework Act, 2000 (Act No. 5 of 2000).

3. FORMULAE FOR PROCUREMENT OF GOODS AND SERVICES

3.1. POINTS AWARDED FOR PRICE

3.1.1 THE 80/20 PREFERENCE POINT SYSTEMS

A maximum of 80 points is allocated for price on the following basis:

$$P_s = 80 \left(1 - \frac{P_t - P_{\min}}{P_{\min}} \right)$$

Where

- P_s = Points scored for price of tender under consideration
- P_t = Price of tender under consideration
- $P_{\min}$ = Price of lowest acceptable tender

Table 1: Specific goals for the tender and points claimed are indicated per the table below.

Note to tenderers: The tenderer must indicate how they claim points for each preference point system.)

The specific goals allocated points in terms of this tender	Number of points allocated (80/20 system) (To be completed by the organ of state)	Number of points claimed (80/20 system) (To be completed by the tenderer)	Proof/documents to claim points
Procurement from black owned entities (At least 51% ownership).	20		BBBEE certificate or Affidavit

DECLARATION WITH REGARD TO COMPANY/FIRM

3.2. Name of company/firm.....

3.3. Company registration number:

3.4. TYPE OF COMPANY/ FIRM

- ☐ Partnership/Joint Venture / Consortium
 - ☐ One-person business/sole propriety
 - ☐ Close corporation
 - ☐ Public Company
 - ☐ Personal Liability Company
 - ☐ (Pty) Limited
 - ☐ Non-Profit Company
 - ☐ State Owned Company
- [TICK APPLICABLE BOX]

3.5. I, the undersigned, who is duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the specific goals as advised in the tender, qualifies the company/ firm for the preference(s) shown and I acknowledge that:

- i) The information furnished is true and correct;
- ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;
- iii) In the event of a contract being awarded as a result of points claimed as shown in paragraphs 1.4 and 4.2, the contractor may be required to furnish documentary proof to the satisfaction of the organ of state that the claims are correct;
- iv) If the specific goals have been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the organ of state may, in addition to any other remedy it may have –

- (a) disqualify the person from the tendering process;
- (b) recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;
- (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
- (d) recommend that the tenderer or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied; and
- (e) forward the matter for criminal prosecution, if deemed necessary.

..... SIGNATURE(S) OF TENDERER(S)	
SURNAME AND NAME:	
DATE:	
ADDRESS:	
	
	

TERMS OF REFERENCE

RFB017/2026 – APPOINTMENT OF A SERVICE PROVIDER FOR THE PROVISIONING OF AN AUDIT MANAGEMENT SOFTWARE “AUDIT TOOL” FOR INTERNAL AUDIT.

1. BACKGROUND

SAFCOL is a state-owned forestry company listed as a Schedule 2 public entity in terms of the Public Finance Management Act 1 of 1999. It was established in 1992 following the promulgation of the Management of State Forest Act 128 of 1992 to promote the development, in the long term, of the forestry industry according to accepted commercial management practice in South Africa.

It also has operations in Mozambique through an interest held by its wholly owned subsidiary Komatiland Forests (Pty) Ltd in Indústrias Florestais De Manica, SARL (“IFLOMA”).

The purpose of this Request for Proposal (RFP) is to appoint a service provider to supply an audit management software tool to the SAFCOL Group Internal Audit department, together with a Support and Maintenance SLA for a period of 3 years.

SAFCOL has an established Internal Audit function whose role is to provide independent assurance to the Audit and Risk Committee of the SAFCOL Board regarding whether risk management, governance and internal control processes are operating effectively. Additionally, the department has a Forensic Audit function that is responsible to formulate, implement and continually assess the Fraud Prevention Plan of SAFCOL, to drive SAFCOL’s Anti -Corruption Strategy.

The Internal Audit department has two reporting lines, administratively to the Chief Executive Officer and functionally to the Audit and Risk Committee of the Board.

2. OBJECTIVE

2.1 Objectives of the required goods / services

The software is intended to improve implementation of the Internal Audit and Forensic Investigation Methodologies. Furthermore, we envisage that the software/ tool will perform basic Data Analytics and integrate with Artificial Intelligence in order to enhance the efficiency of audit and investigation capacity. The software will serve as an audit management tool for audit projects. It will also serve as a case management tool for forensic investigations. It should therefore assist to enhance the existing security controls over confidential information, and improve easy access and retrieval of archived internal audit and investigation files.

2.2 Expected benefits are:

The tool is expected to provide:

- End-to-end audit lifecycle support;
- Ease of managing documentation, evidence & issues management
- Built-in data analytics or ability to integrate external analytics tools.
- Automated tracking, monitoring and escalation of audit issues and the related actions.
- Artificial intelligence (AI) capabilities.

SCOPE OF WORK

3. TECHNICAL SPECIFICATION AND FUNCTIONALITY REQUIREMENTS

The anticipated scope of work will include but it is not limited to:

3.1 Methodology and Frameworks

- The audit software should be configured to conform to general Forensic, ICT Audit and Internal Audit Methodologies which are aligned to Global Auditing Standards, Governance Practices and related frameworks.

3.2 Software Functionality

The software should be able to carry out all the phases of audit process, including forensic audits. The solution should support secure offline working capability for authorised users who are required to work outside the SAFCOL network, with the ability to synchronise updates once connectivity is restored.

During the demonstration stage, bidders must demonstrate whether and how offline functionality is supported.

It should provide the following capabilities:

3.2.1 Planning

- Ability to import, create, maintain, and monitor audit plans in the software.
- Generate process flows.
- Generate engagement letters/audit planning documentation and relevant planning documentation.
- Generate Request for Information and Audit Notification.
- Facilitate a risk-based audit approach, in line with the Risk Management requirements in paragraph 3.2.4.
- Enable efficient and effective project management of the planning phase i.e., in line with requirements outlined for Project Management and Monitoring requirements in paragraph 3.2.5.

3.2.2 Project Execution

- Generate replicas or providing capability to work outside of the SAFCOL network.
- Generate audit working papers as per the Internal Audit Methodology.
- Generate forensic working papers as per the Forensic Audit Methodology.
- Source, save and retrieve audit evidence.
- Hyperlinking to multiple sources of information, and saving the hyperlinks.
- Manage or to limit access to audit files and stored information only to authorized internal auditors and managers, including restricted access to forensic files and the related information for management and monitoring purposes.
- Enable efficient and effective project management of the execution phase i.e., in line with requirements outlined for Project Management and Monitoring requirements in paragraph 3.2.5.

3.2.3 Reporting and Follow-up

- Generate internal audit reports as per Internal Audit Methodology.
- Generate forensic reports as per Forensic Audit Methodology.

- Create a database of all the findings and categorize them as per period, business department, location and value of the risk if applicable.
- Identify and flag recurring and new audit findings.
- Functionality for organizational management to provide their management comments, action plans and timelines electronically.
- Generate follow-up reminders to management to respond and provide evidence for findings raised.
- Generate client satisfaction surveys with deadlines.
- Enable efficient and effective project management of the reporting phase i.e., in line with requirements outlined under Project Management and Monitoring in paragraph 3.2.5.

3.2.4 Risk Management

- Ability to create and/or import risk registers on MS programs format.
- Ability to analyse the risks before and post audit in terms of impact, likelihood, and current control effectiveness.
- Ability to map risks to controls and audit procedures.
- Ability to generate Risk and Control Matrix with the outcome of the risk assessments after considering the control effectiveness.

3.2.5 Project Management and Monitoring

- Create automated project plans, Gantt charts during project planning.
- Facilitate resource planning and work allocations, project and monitoring individual project hours through timesheets.
- Outline and monitor project hours, define tasks, task timeframes, interdependent tasks, deliverables, and the ability to generate alerts when dates of deliverables are about to be reached or have been passed.
- Inform internal auditors and management when they have tasks on the system and when their tasks due dates have passed.
- Provide dashboards on the status of audit working papers i.e., whether in progress, complete or signed-off.
- Generate a statement of internal controls for the relevant financial year based on the audit outcomes.
- Retain an audit trail of reviews of the various sections of the file (Planning to Reporting).

3.2.6 Data Analytics, Artificial and Business Intelligence

- Provide built-in data analytics or ability to integrate external analytics tools.
- Enable AI and predictive analytics for real-time insights that allows for creativity, summarization, translation, and ideation and/or any other functionality such as chatbots, copilots, classification, forecasting, agentic automations, etc.).
- Enable visualisation, provision of dashboards for ease of monitoring and reporting.
- Provide dashboards and analytical overview on key activities, for reporting purposes i.e. progress against plan, “open/in progress/closed” findings, audit conclusions, risk assessments, etc.

3.3 Access to the Software

- Access to the system must be customised according to SAFCOL Security Policy and authentication standards.

- Strict security controls must be put on projects files as they are of a confidential nature.
- Audit trail functionality to log/record system activities as defined by Internal Audit department.

3.4 Software Functionality

The software should be able to operate on SAFCOL IT network, i.e.:

- The software must support both 32-bit and 64-bit operating system.
- The software must be 100% compatible with Microsoft Active Directory, DHCP and DNS.
- The audit software (system) must operate on cloud architecture.
- The software must be “Fat/thick” client (install software on PC).
- The solution must also support offline operation, i.e., ability to use the software whilst not connected to the network. Once connected to the network the “Fat/thick” client should immediately update the work that has been done off-line.
- The client software must support windows 10 and Windows 11 for laptops.
- The solution must support remote access (offsite) via Virtual Private Network (VPN) platform.

3.5 Implementation of the audit software

- Service provider must provide an “off-the-shelf” auditing software tool. SAFCOL will require user licenses for at least ten (**x10** users).
- Bidders have the option to provide a “hosted” or “Subscription-based” solution on Cloud that meets the specified requirements.
- The bidder may propose either a cloud-based, hosted, subscription-based, or on-premises solution, provided that the proposed solution meets SAFCOL’s minimum requirements relating to security, authentication, compatibility, integration, performance, remote access, and offline capability where applicable.
- Where offline capability is offered, the bidder must demonstrate how work performed offline will securely synchronise with the main environment once connectivity is restored.

The proposed solution must be compatible with SAFCOL’s ICT environment and security requirements, including integration with identity and access management controls where required.

3.6 Project Team

- Bidders must specify the project team for the system’s implementation as well as for continuous support. The project team must include the
 - a) Account Manager / Project Manager
 - b) Application Technical Specialist / Software Technician
- Bidders must attach detailed resumes (CVs) of the project team, as well as certified copies of their qualifications.

3.7 Software Training

- Service Provider will be required to provide technical training, and training manuals to the users i.e., personnel within the Internal Audit department.

3.8 Application Support and Maintenance

- The successful bidder will be required to provide support and maintenance services to software users for three (3) years, after implementation of the tool.
- The support and maintenance services must be provided virtually, telephonically, or through “on-site” support.

- On-site support will be required on an “as and when required” basis. Bidders are required to specify hours allocated for monthly support and maintenance services. Hours not used can be negotiated for the development of additional reports or can be credited back to SAFCOL.

3.9 Contracting Strategy

- After the appointment, the successful bidder will enter into a Contract and Service Level Agreement with SAFCOL.
- NEC4 Term Service Short Contract (Option A: Priced Contract with price list) will be applicable.

4. DELIVERY OF GOODS OR SERVICES

4.1 Proposals

All product specifications, implementation and training requirements, maintenance, and support for the software solution under proposal must be confirmed by the providers. Bidders should propose a scalable software solution that will meet the requirements and minimal functionality outlined in this bid document.

4.2 Solution Demonstration

Service providers will be required to demonstrate the system's capabilities to the Bid Evaluation Committee (BEC), should they meet the mandatory and functional requirements.

Please Note: SAFCOL Group shall retain the right to investigate any information provided and may call for any supporting information. Any misrepresentation will result in a potential service provider being disqualified and excluded from any further involvement in this process.

5. PROPOSED PRICING SCHEDULE

Bidders are required to provide a proposed billing schedule that is broken down per phase as indicated in the table below (If submitting a separate pricing schedule, it must be aligned to the table below).

No.	Activity / Resource	Unit of Measure	Unit Price (Rands)	Total price (Y1)	Total price (Y2)	Total price (Y3)	Total Price (Rands)
1.	Once-off cost for supply, delivery, installation and configuration of the Internal Audit software solution	1	X	X			X
2.	Software maintenance and support, for three years. <i>(Bidders are required to specify hours allocated for monthly support and maintenance services)</i>	X	X	X	X	X	X

No.	Activity / Resource	Unit of Measure	Unit Price (Rands)	Total price (Y1)	Total price (Y2)	Total price (Y3)	Total Price (Rands)
3.	User Licenses (x10 in-house users)	Cost per user	X	X	X	X	X
4.	Training (x10 users that includes super-users) <i>(Training is expected within one month of software implementation)</i>	X	X	X			X
SUB-TOTAL			X	X	X	X	X
VAT			X	X	X	X	X
TOTAL			X	X	X	X	X

The prices provided must be inclusive of disbursements for travel, accommodation, subsistence and sundry expenses related to implementing the required tool and maintenance services. Prices must be inclusive of VAT!

6. PROPOSED FUNCTIONAL EVALUATION CRITERIA

The evaluation criteria for the assessment of the proposals will be based on both qualitative and financial aspects of the proposal. Service providers will be evaluated on functionality evaluation and software demonstration. The bidders that score points that meet the minimum threshold provided on functionality requirements will be invited to do a demonstration on their proposal at their own costs. They will proceed to be further evaluated on the Solution Demonstration. If they meet the minimum threshold provided on the Solution Demonstration, they will proceed to be evaluated on price and specific goals provided in terms of the Preferential Procurement Regulations, 2022. The Bid documents and Software Demonstrations will be evaluated individually on a scoresheet, by a representative of the evaluation panel according to the evaluation criteria indicated in the Terms of Reference.

All bidders who score less than 42 out of 60 points for Functionality will not be considered further for the software demonstration.

All bidders who score less than 28 out of 40 points for the Solution Demonstration will not be considered further.

This bid will be evaluated on 80/20 preference point system.

In accordance with the SAFCOL Supply Chain Management Policy, the bid evaluation process shall be carried out in five (5) phases namely:

- i) Phase 1 (a): Administrative Compliance
- ii) Phase 1 (b): Mandatory Requirements
- iii) Phase 2 (a): Functionality Evaluation
- iv) Phase 2 (b): Solution demonstration
- v) Phase 3: Price and Specific Goals

Phase 1 (a): Administrative Compliance

DESCRIPTION	COMPLY	DO NOT COMPLY
Completion in full the Request for Proposal document		
Completion of all SBD Forms (Declaration Forms)		
Proof that tax matters with SARS are in order (SARS Pin Number/Tax Clearance Certificate)		
Certified copy of proof of company registration documents (e.g. Pty; Trust; CC etc.)		
Original or certified copy of B-BBEE/Sworn Affidavit Level of contribution Certificate (Failure to attach certificate will lead to non-allocation of points)		
Registration with Central Supplier Database (CSD)		
Joint Venture Agreement (If applicable)		

Phase 1 (b): Mandatory Requirement

NO	DESCRIPTION	COMPLY	DO NOT COMPLY
1.	The bidder must provide documentary proof that it is either the lawful owner of the proposed software solution or is duly authorised by the lawful owner to market, license, distribute, implement, support, and maintain the solution in South Africa. Acceptable documentary proof may include any of the following: • a signed letter from the owner, or • a licence agreement, or • reseller agreement, or • distribution agreement, or • partner agreement, or • any other legally enforceable authorisation confirming such rights. Failure to provide any of the above documents may result in the bid being found non-responsive to this mandatory requirement.		
2.	Attendance of Compulsory Supplier Briefing Session - bidders must attend the compulsory supplier briefing session NB: attendance register will be used to verify compliance. Bidder/s who did not attend the briefing session will be disqualified		

N.B: Bidders who fail to meet all mandatory requirements will not be considered for further evaluation.

Phase 2(a): Functional Evaluation

The evaluation of the functional/technical detail of the proposal will be based on the following criteria:

TECHNICAL EVALUATION CRITERIA	METHOD OF EVALUATION	POINTS ALLOCATION
1. Company Experience – (15) Bidders must demonstrate that they have provided similar tool/ software services, to other clients in the Internal Audit profession. Bidder must attach reference letter/s similar to the prescribed reference template or use the prescribed Reference template/s . NB: The reference letter template must be completed by the referee / client and included in the tender submission. alternatively, the client’s letterhead may be used for this purpose provided it complies with the functional criteria requirements as stated on this template. a separate form must be completed for each reference as required in the evaluation criteria. SAFCOL reserves the right to conduct verification of the signed referral letters with the clients during the Bid Evaluation Process and site verifications where the service was rendered. and if the information found to be false or misrepresented, punitive measures will be instituted against the respective party including blacklisting and restriction from participating in any future government tender. Note: If the letter/s do not conform to the above prescribed format, it will be deemed invalid and the bidder will be scored zero, NB: Appointment letters and Purchase Orders will not be deemed as reference letters.	No reference letter	0
	1 - Reference letter	3
	2 - Reference letters	6
	3- Reference letters	9
	4- Reference letters	12
	5 and more Reference letters	15
2. Competencies of the Project Team: Service Providers must demonstrate that they possess relevant competencies to successfully perform the required scope of work.		
2.1 Qualifications of the Account Manager / Project Manager (7): Diploma / Degree / Higher qualification in Information Systems / Information Technology / Informatics or Project Management from an accredited training institution.	No Qualification	0
	Certificate in Information Systems / Information Technology / Informatics /or Project Management	3
	A Diploma /or Bachelor's Degree – NQF level 6/7 /or in Information Systems / Information Technology /	5

TECHNICAL EVALUATION CRITERIA	METHOD OF EVALUATION	POINTS ALLOCATION
Submit certified copies of qualifications and should not be older than six months. (all foreign qualifications should have a SAQA verification)	Informatics/ /or Project Management	
	Post-graduate qualification – NQF level 8 or higher in Information Systems / Information Technology / Informatics /or Project Management	7
<u>2.2 Qualifications of the Application Technical Specialist / Software Technician (7)</u> A Certificate/Diploma/Degree or Higher Qualification in System Development / Systems integration from an accredited training institution or certification body Submit certified copies of qualifications and should not be older than six months. (all foreign qualifications should have a SAQA verification)	No Qualification	0
	Certificate in System Development / Database Administration / Information Systems	3
	Diploma / Degree NQF level 6/7 in System Development / Database Administration / Information Systems	5
	Post-graduate qualification NQF level 8 or higher in Systems Development / Database Administration / Information Systems or higher	7
<u>2.3 Experience of the Account Manager / Project Manager (10)</u> Must have the relevant experience in ICT project management focusing on the implementation and / or development of information systems. Attach a concise CV, reflective of relevant experience with contactable reference/s.	Years of relevant experience is less than 1 year.	0
	Years of relevant experience are 1 year to 3 years.	5
	Years of relevant experience are more than 3 years and up to 5 years	7
	Years of relevant experience is more than 5 years	10
<u>2.4 Experience of the Application Maintenance & Support Specialist / Technician (10)</u> Must have the relevant experience in providing support and maintenance services for software / tools.	Years of relevant experience is less than 1 year.	0
	Years of relevant experience are 1 to 3 years	5

TECHNICAL EVALUATION CRITERIA	METHOD OF EVALUATION	POINTS ALLOCATION
Attach a Concise CV reflective of relevant experience with contactable reference/s.	Years of relevant experience are more than 3 years and up to 5 years	7
	Years of relevant experience is more than 5 years	10
03. Project Plan - 11 The bidder must provide a detailed project plan showing project deliverables and timelines including but not limited to the following aspects: • System Installation/Implementation • User Training • Systems Integration and Testing • System Maintenance and Support for three years.	No Project plans provided/incomplete project plan.	0
	Project plan is in place, detailing the four-mentioned aspects and their related deliverables and project timelines	11
Minimum Points		42
TOTAL		60

NB: Only Bidders who score a minimum threshold of 42 points will be considered for further evaluation on the Solution Demonstration.

Phase 2(b): Solution Demonstration

The demonstration of the systems capabilities will be evaluated based on the following criteria:

TECHNICAL EVALUATION CRITERIA	METHOD OF EVALUATION	POINTS ALLOCATION
1. Offline capability (3) Internal auditors regularly work outside of the office, therefore the system must have a functionality to enable users to work off-line (working outside the network), with the ability to sync/update the work back to the server, once they are connected to the network. This is evaluated based on the following criteria: • System enables offline-working capability (Compliant) (3) • System does not enable offline-working capability (Non-Compliant) (0)	• System does not enable offline-working capability (0) • System enables off-line working capability (3)	3

TECHNICAL EVALUATION CRITERIA	METHOD OF EVALUATION	POINTS ALLOCATION
2. Methodologies – (37) The bidders must demonstrate the software capabilities in line with the audit methodology as per the below-stated audit process:		
2.1 PLANNING: (4) • Allocate and update team members according to work roles (i.e. preparer, reviewer, or auditor, audit lead or manager) (1) • Generate audit engagement letter outlining project objective, scope / approach, timeframes, etc) (1) • Audit programme that is linked to the relevant process, risks, controls and audit procedures (i.e. Control Risk Matrix) (2)	Planning – up to points	4
2.2 EXECUTION (5) • Create detailed audit findings. (1) • Create working papers for documenting audit results. (1) • Cross-reference (hyperlinking) between evidence collected, working papers and findings (2) • Generate a summarised view of audit findings (1)	Execution – Up to 5 points	5
2.3 REPORTING (3) - Generate a detailed audit report that includes - Executive Summary (1) - Detailed findings (1) - Other value-adding information relevant to the audit reporting process (1)	Reporting - Up to 3 points	3
2.4 FOLLOW-UP / MONITORING (6) • Maintain a database of all the findings and categorize as per financial period, division / department / functional area, location and risk rating (2) • Generate follow-up reminders for outstanding actions before due date (1) • Load supporting evidence against actioned / closed findings (1) • Visualise audit finding status in terms of i.t.o. open, in-progress (1) and closed (1)	Follow-up/monitoring - Up to 6 points	6

TECHNICAL EVALUATION CRITERIA	METHOD OF EVALUATION	POINTS ALLOCATION
Generate a statement of internal controls for the relevant financial year based on the audit findings database (1)		
2.5 RISK MANAGEMENT (3) - Analyse the risks pre- and post-audit in terms of impact, likelihood, and current controls (1) - Generate a statement on the condition of risk management, governance and internal controls, based on the outcomes of the audits for the period. (2)	Risk Management – Up to 3 points	3
2.2 PROJECT MANAGEMENT (8) - Generate and visualise progress of audit activities and against plans i.e. Gantt charts (1) - Capture and monitor individual project hours for each team member, through individual project timesheets. (1) - Outline overall project hours, against specific tasks and timeframes (1) - Issue an alert when deliverables are about to be reached or have passed allocated timeframes. (1) - View the status of audit working papers i.e., whether in progress, complete or signed-off (1) - Sign-off working papers by the “preparer” and “reviewer” throughout the stages of the project (i.e. planning, execution, reporting and follow-up) (1) - Restrict access to project files only to authorised users (1) - Retain and archive records of project files - Generate client satisfaction surveys (1)	Project Management – Up to 8 points	8
3. DATA ANALYTICS AND ARTIFICIAL INTELLIGENCE (8) Bidders must demonstrate the following capabilities of the proposed audit tool. - Built-in data analytics or ability to integrate external analytics tools. (3) - Provides AI and predictive analytics for real-time insights. - As an add-on capability /or chatbot /or rule-based AI with limited capability (1) or	Data Analytics and Artificial Intelligence – Up to 8 points	8

TECHNICAL EVALUATION CRITERIA	METHOD OF EVALUATION	POINTS ALLOCATION
- As a dedicated module that provides full suit of Generative AI/Machine Learning or Agentic AI capabilities (3) • Provides Dashboards / Business Intelligence capabilities for reporting purposes (2)		
Minimum points		28
TOTAL		40

N.B: Only Bidders who score a minimum of 28 points will be considered for further evaluation on Price and Specific Goal.

Phase 3: Price and Specific Goal

Evaluation Criteria		Points
1.	Price	80
2.	Specific goal	20
3.	Total	100

Category of specific goals

The specific goals allocated points in terms of this tender	Number of points allocated (80/20 system)	Proof/documents to claim points
Procurement from black owned entities (At least 51% ownership).	20	BBBEE certificate or Sworn Affidavit

7. DUE DILIGENCE

SAFCOL reserves the right to conduct supplier due diligence prior to final award or at any time during the Contract period. During the due diligence process the information submitted by the bidder will be verified and any misrepresentation thereof may disqualify the bid or Contract in whole or parts thereof.