


|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

**ESKOM HOLDINGS SOC LTD**

**INVITATION TO TENDER FOR**


**Refurbishment of all MV Motors for Matla Power Station for a period of five (05) years**

|   |  |  |   |  |
|---|--|--|---|--|
| Tender number   | MPMAT10311GX   |  |   |  |
| Issue date  | 07 July 2022   |  |   |  |
| Closing date and time   | 08 August 2022 at 10h00  |  |   |  |
| Tender validity period  | (24 weeks from the closing date and time)  |  |   |  |
| Clarification meeting   | Applicable   |  |   |  |
| Tenders are to be delivered to the following address on the stipulated closing date and time:       | <div>The tender box is open for 24 hours at the address below:</div> <table><tr><td>ESKOM TENDER BOX<br/>GROUND FLOOR<br/>NO. 10 SMUTS<br/>AVENUE<br/>WITBANK /<br/>EMALAHLENI<br/>MPUMALANGA</td><td>GPS Co-ordinates:<br/>Latitude: 25.87723S<br/>Longitude: 29.21629E<br/><br/>Please note that the box is not located at Eskom Park or Matla Power Station</td></tr></table> |  | ESKOM TENDER BOX<br>GROUND FLOOR<br>NO. 10 SMUTS<br>AVENUE<br>WITBANK /<br>EMALAHLENI<br>MPUMALANGA | GPS Co-ordinates:<br>Latitude: 25.87723S<br>Longitude: 29.21629E<br><br>Please note that the box is not located at Eskom Park or Matla Power Station |
| ESKOM TENDER BOX<br>GROUND FLOOR<br>NO. 10 SMUTS<br>AVENUE<br>WITBANK /<br>EMALAHLENI<br>MPUMALANGA | GPS Co-ordinates:<br>Latitude: 25.87723S<br>Longitude: 29.21629E<br><br>Please note that the box is not located at Eskom Park or Matla Power Station   |  |   |  |

**Confidential**

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                     |                  |     |     |
|---|-----------------------------|---------------------|------------------|-----|-----|
|  | <b>Invitation to Tender</b> | Template Identifier | 240-114238630    | Rev | 8   |
|   |                             | Document Identifier | N/A              | Rev | N/A |
|   |                             | Effective Date      | 22 November 2019 |     |     |
|   |                             | Review Date         | November 2022    |     |     |

## Invitation to Tender

Eskom Holdings SOC Ltd (hereinafter "Eskom") invites you to submit a *tender* for the

### INVITATION TO TENDER FOR

#### **Refurbishment of all MV Motors for Matla Power Station for a period of five (05) years**

The enquiry documents are supplied to you on the following basis

- Free of charge

Eskom has delegated the responsibility for this tender to the Eskom *Representative* whose name and contact details are set out in the Tender Data. A submission of a tender by you in response to this *Invitation* will be deemed as your acceptance of the Eskom Standard Conditions of Tender (to be accessed via [www.eskom.co.za](http://www.eskom.co.za))

Queries relating to these Invitation documents may be addressed to the Eskom *Representative*.

Yours faithfully



**Neo Mkhize**

**Procurement Manager**


**Matla Power Station**

Date 2022/07/12

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                     |                  |     |     |
|---|-----------------------------|---------------------|------------------|-----|-----|
|  | <b>Invitation to Tender</b> | Template Identifier | 240-114238630    | Rev | 8   |
|   |                             | Document Identifier | N/A              | Rev | N/A |
|   |                             | Effective Date      | 22 November 2019 |     |     |
|   |                             | Review Date         | November 2022    |     |     |

## Contents of Invitation to Tender

### 1 Invitation to tender

- 1 1 Standard Conditions of Tender (refer to [www.eskom.co.za](http://www.eskom.co.za) for this document that suppliers are required to download and read)
- 1 2 Tender Data
- 1 3 Commercial Tender returnables

### 2 Evaluation process and criteria

- 2 1 Basic Compliance
- 2 2 Mandatory tender returnable
- 2 3 Pre-qualification criteria
- 2 4 Local content and production (applicable where designated materials are included)
- 2 5 Functionality
- 2 6 Financial evaluation
- 2 7 Price and preference scoring
- 2 8 Objective criteria

### 3 Condition of contract (see contract conditions attached)

### 4 Scope of work/Works Information/Employers Requirements (see Contract and applicable bond/guarantees attached )

### 5. Pricing data (See contract attached)


### 6 Annexures

| Number | Description   | Annexure/to be downloaded and attached | Attached (Y/N) |
|--------|---|--|----------------|
| 6 1    | *Acknowledgement form   | Annexure A                             | Y              |
| 6 2    | *Tenderer's particulars   | Annexure B                             | Y              |
| 6 3    | * Integrity Declaration Form<br>(refer to <a href="http://www.eskom.co.za">www.eskom.co.za</a> for the Supplier Integrity Pact that suppliers are required to download and read ) | Annexure C                             | Y              |
| 6 4    | CPA Requirements for Local Goods/Services   | Annexure D                             |                |

## Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

|      |  |                              |   |
|------|--|------------------------------|---|
| 6 5  | CPA(IG) for Foreign Goods/Services (if applicable)   | Annexure E                   |   |
| 6 6  | SBD 6 2- Declaration Certificate for Local Production and Local Content (only applicable if designated materials are included)   | Annexure F1                  | Y |
|      | Annexure C-Local Content Declaration-Summary Schedule  | Annexure F2                  |   |
|      | Annexure D-Imported Content Declaration – Supporting Schedule to Annexure C  | Annexure F3                  |   |
|      | Annexure E-Local Content Declaration-Supporting Schedule to Annexure C   | Annexure F4                  |   |
| 6 7  | SBD 1 -Invitation to Bid must be filled out by all tenderers and submitted with the tender at tender submission deadline   | Annexure G                   | Y |
| 6 8  | SBD 6 1- Preference Points Claim Form in terms of PPPFA 2017 regulations   | Annexure H                   | Y |
| 6 9  | Tax Evaluation questionnaire to determine whether a company, close corporation (CC) or Trust is a personal service provider for purposes of PAYE <b>[only to be attached for services type of contracts]</b> | Attached                     | Y |
| 6.10 | Supplier Development & Localisation (SDL&I) Undertaking (if applicable )   | Incorporated to the document | Y |


- 1 2 The Tender Data makes several references to the **Eskom Standard Conditions of Tender** and in those instances, the clause numbers are referenced hereunder. If the **Eskom Standard Conditions of Tender** is not attached to the **Invitation to Tender/Request for Proposal**; then the tenderers are required to download this from [www.eskom.co.za](http://www.eskom.co.za). The **“Tender Data”** as detailed herein shall take precedence over the **Standard Conditions of Tender** in the event of any ambiguity or inconsistency between the two documents.

| <b>Clause Number from Standard Conditions of Tender</b> | <b>Tender Data</b>   |
|---|--|
| 1 1 Parties   | The Employer is <b>Eskom Holdings SOC Ltd</b><br><br>The Eskom Representative is |

**Confidential**

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system.

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30


|   |                             |                     |                  |     |     |
|---|-----------------------------|---------------------|------------------|-----|-----|
|  | <b>Invitation to Tender</b> | Template Identifier | 240-114238630    | Rev | 8   |
|   |                             | Document Identifier | N/A              | Rev | N/A |
|   |                             | Effective Date      | 22 November 2019 |     |     |
|   |                             | Review Date         | November 2022    |     |     |

|   |  |
|---|--|
|   | Name Stanley Ngwenya<br>Tel <b>017 612 6402</b><br>E-mail <b>ngwenyas@eskom.co.za</b>  |
| 1 3 Enquiry documents                             | The Invitation to tender number is <b>MPMAT10311GX</b><br><br>See the content list above for the enquiry documents.  |
| 1 4 Type of Invitation to Tender/RFP              | This invitation to tender is<br><br>An open Invitation to tender   |
| 1 6 Eskom's rights to accept or reject any tender | The tender shall be for the whole/parts of the contract<br><br><i>"Eskom reserves the right to Award a tender to a supplier who may not be the highest scoring or highest ranked supplier, for the purpose of maximising recognition of black ownership, black management control, skills development and/or preferential procurement in line with Section (2)(1)(f) of the PPPFA."</i>  |
| 2 1 Eligible tenders                              | Submit a tender only if the tenderer (whether a single company or a structure similar to a Joint Venture) complies with the <i>eligibility criteria</i> stated in the Tender Data and the tenderer, or any of his principals, is not under any restriction to do business with Eskom/State Owned Companies<br><br>Tenderers are deemed <b>ineligible</b> to submit a <b>tender</b> if <ul style="list-style-type: none"> <li>• Tenderers have the nationality of a country on any international sanctions list A tenderer shall be deemed to have the nationality of a country if the tenderer is a national or is constituted, incorporated, or registered and operates in conformity with the provisions of the laws of that country This criterion shall also apply to the determination of the nationality of proposed subcontractors or suppliers for any part of the Contract including related services</li> <li>• Tenderers submit more than one tender] either individually or as a partner in a joint venture (JV) or consortium</li> <li>• Tenders submitted by a JV or consortium where the JV/consortium agreement does not explicitly state that the parties of the JV or consortium shall be jointly and severally liable for the execution of the Contract in accordance with the Contract terms</li> <li>• A Tenderer must not have a conflict of interest All Tenderers found to have a conflict of interest shall be disqualified. A Tenderer may be considered to have a conflict of interest with one or more parties in this tendering process, if             <ul style="list-style-type: none"> <li>◦ (a)they have a controlling partner/majority</li> </ul> </li> </ul> |

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30


|   |                             |                     |                  |     |     |
|---|-----------------------------|---------------------|------------------|-----|-----|
|  | <b>Invitation to Tender</b> | Template Identifier | 240-114238630    | Rev | 8   |
|   |                             | Document Identifier | N/A              | Rev | N/A |
|   |                             | Effective Date      | 22 November 2019 |     |     |
|   |                             | Review Date         | November 2022    |     |     |

|                             |   |
|-----------------------------|---|
|                             | <p>shareholder in common, or</p> <ul style="list-style-type: none"> <li>o (b)they have a relationship with each other, directly or through common third parties, that puts them in a position to have access to information about or influence on the tender/proposal of another Tenderer, or influence the decisions of the Employer regarding this bidding process,</li> </ul> <ul style="list-style-type: none"> <li>• Tenders signed by non- authorized persons</li> <li>• Where the tenderers are not registered on National Treasury's Central Supplier Database (except Foreign Suppliers)</li> <li>• A tender that fails to meet any pre-qualifying criteria stipulated in the tender documents is an unacceptable tender (section 4 (2) of PPPFA Regulations</li> <li>• Any tenderer that is restricted by National Treasury</li> <li>• A tenderer that sub-contracts 100% Scope of Work</li> </ul> <p><b>Ineligible tenderers will be disqualified.</b></p> |
| 2.2 -2.5 Tender Closing     | <p>The deadline for <b>Tender</b> submission is<br/> <b>Date 08 August 2022</b><br/> <b>Time 10:00am</b><br/> <b>Late Tenders will not be accepted</b><br/> Tenders are to be submitted to the Eskom <i>tender box</i> at the following physical address<br/> <b>THE TENDER OFFICE</b><br/> <b>ESKOM TENDER BOX</b><br/> <b>GROUND FLOOR</b><br/> <b>NO. 10 SMUTS AVENUE</b><br/> <b>WITBANK / EMALAHLENI</b><br/> <b>MPUMALANGA</b></p>  |
| 2.9 Copy of original tender | <p>The tenderer must submit the tender as a complete original tender, plus one (1) <b>hard copy</b> of the original tender at tender submission deadline. Eskom may also require that one (1) additional complete soft copy of the original tender is required in electronic format</p> <p><b>The copy shall be submitted in the following manner:</b><br/> <b>Clearly separate files as follows e.g. (Technical, SHEQ, Commercial, Financial etc...)</b></p> <p>Where a Tenderer does not submit 1 hard copy of the original tender at tender submission deadline, the tenderer will be disqualified</p>   |
| 2.13 Tender Validity Period | <p>The tender validity period is <b>24 weeks</b></p>  |

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30


|   |                      |                     |                  |     |     |
|---|----------------------|---------------------|------------------|-----|-----|
|  | Invitation to Tender | Template Identifier | 240-114238630    | Rev | 8   |
|   |                      | Document Identifier | N/A              | Rev | N/A |
|   |                      | Effective Date      | 22 November 2019 |     |     |
|   |                      | Review Date         | November 2022    |     |     |

|  |  |  |  |                           |                                       |  |               |      |  |
|--|--|--|--|---------------------------|---------------------------------------|--|---------------|------|--|
| 2 16 Clarification meetings                | A <b>clarification meeting</b> with representatives of the <i>Employer</i> will take place as follows <b>Applicable</b><br><br><b>26 July 2022 at 10h00 AM</b><br><br>The clarification meeting will be conducted via the <b>MS TEAM</b><br><br><b>Please send your contact number and email address to <u>ngwenyas@eskom.co.za</u> before 26 July 2022</b>  |  |  |                           |                                       |  |               |      |  |
| 2 17 Clarification on enquiry documents    | The tenderer will notify the <i>Employer</i> of any clarifications required before the closing time for clarification queries, which is <b>5</b> working days before the deadline for tender submission  |  |  |                           |                                       |  |               |      |  |
| 2.23 Alternative tenders                   | Alternative tenders are <b>not allowed</b>   |  |  |                           |                                       |  |               |      |  |
| 2 25 Conditions of contract                | The conditions of contract will be the <b>NEC TSC</b>  |  |  |                           |                                       |  |               |      |  |
| 2 31 Provision of security for performance | If security for performance (e.g. Performance Bond) is required, the names of two financial institutions that the tenderer will approach must be submitted with the tender   |  |  |                           |                                       |  |               |      |  |
| 3 4 Opening of tenders                     | Tenders will be opened at the same date and time as the tender deadline  |  |  |                           |                                       |  |               |      |  |
| 3 5 Prices to be read out                  | Prices <b>will not be read out</b>   |  |  |                           |                                       |  |               |      |  |
| 3 9 Basic Compliance                       | Basic compliance for this invitation to tender are <ul style="list-style-type: none"><li>• Meet the eligibility criteria for a tenderer</li><li>• Submit one (1) hard copy of the original tender to Eskom</li><li>• Submit a complete original tender with commercial, financial and technical information</li><li>• Submission of the mandatory commercial tender returnables as at stipulated deadlines</li><li>• Central Supplier Database (CSD) number (MAA )</li></ul> |  |  |                           |                                       |  |               |      |  |
| 3 10 Mandatory tender returnables          | A tenderer that does not submit mandatory documents/information in required in mandatory documents by the required deadlines as stipulated in the Tender Returnable section of the respective Invitation to Tender, will be deemed non-responsive  |  |  |                           |                                       |  |               |      |  |
| 3 11 Pre-qualification criteria            | Pre-qualification criteria <b>not applicable</b>   |  |  |                           |                                       |  |               |      |  |
| 3 12 Designated materials and thresholds   | Designated material thresholds <b>not applicable</b><br>This scope is not designated but it is expected to be executed through South African resources. <table><tr><td>Local Procurement Content</td><td>Target (Percentage of contract value)</td><td>Tenderer's Proposal (Percentage of contract value)</td></tr><tr><td>All resources</td><td>100%</td><td></td></tr></table>   |  |  | Local Procurement Content | Target (Percentage of contract value) | Tenderer's Proposal (Percentage of contract value) | All resources | 100% |  |
| Local Procurement Content                  | Target (Percentage of contract value)  | Tenderer's Proposal (Percentage of contract value) |  |                           |                                       |  |               |      |  |
| All resources                              | 100%   |  |  |                           |                                       |  |               |      |  |

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                     |                  |     |     |
|---|-----------------------------|---------------------|------------------|-----|-----|
|  | <b>Invitation to Tender</b> | Template Identifier | 240-114238630    | Rev | 8   |
|   |                             | Document Identifier | N/A              | Rev | N/A |
|   |                             | Effective Date      | 22 November 2019 |     |     |
|   |                             | Review Date         | November 2022    |     |     |


|                                 |  |
|---------------------------------|--|
|                                 | <p>required to execute the scope</p> <p>Failure to meet the Local Production and Content target will not result in elimination</p>   |
| 3 13 Functionality requirements | <p>Functionality requirements <b>are applicable</b></p> <p>Note the requirements for technical functional scoring hereunder</p>  |
| 3 15 Evaluation of price        | <p>Prices will be evaluated as follows</p> <ul style="list-style-type: none"> <li>• Inclusive of VAT</li> <li>• Making the specified correction for arithmetical errors</li> <li>• Excluding contingencies in any bill of quantities or activity schedule</li> <li>• Making an appropriate adjustment for any other acceptable variations, deviations, or alternative tenders submitted</li> <li>• Making a comparison of the Net Present Value of each adjusted tender based on the tendered programme (if provided) and prices, on the estimated effect of Price Adjustment Factors and rate of exchange fluctuations (if applicable) and on other evaluation parameters relating to uncertainty and risk, where applicable</li> <li>• Unconditional discounts must be taken into account for evaluation purposes;</li> <li>• Conditional discounts must not be taken into account for evaluation purposes but should be implemented when payment is affected</li> </ul> <p>Prices will be scored out of 80 or 90 points</p> |
| 3 17 Evaluation of B-BBEE       | <p>B-BBEE status will be scored out of 10 or 20 points in accordance with PPPFA</p> <p>If a tenderer fails to submit proof of B-BBEE status level, the tenderer will not be disqualified (except if B-BBEE level is a pre-qualification criterion) The tenderer will, however, be awarded 80/90 points for price and will score 0 points for B-BBEE level /status (out of 10/20)</p>   |
| 3 18 Ranking of tenders         | <p>Suppliers will be ranked by applying the preferential point scoring based on the relevant system as stipulated hereunder] -</p> <ul style="list-style-type: none"> <li>• 90/10 (for estimated values above R50M inclusive of VAT)</li> <li>or</li> <li>• 80/20 (for estimated values above R30 000 and up to R50M inclusive of VAT) or either 80/20 or 90/10 will apply should</li> </ul>   |

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30




|   |                      |                     |                  |     |     |
|---|----------------------|---------------------|------------------|-----|-----|
|  | Invitation to Tender | Template Identifier | 240-114238630    | Rev | 8   |
|   |                      | Document Identifier | N/A              | Rev | N/A |
|   |                      | Effective Date      | 22 November 2019 |     |     |
|   |                      | Review Date         | November 2022    |     |     |

|   |  |
|---|--|
|   | <p>there be a degree of uncertainty on which PPPFA Point allocation system will apply, the lowest acceptable tender will be used to determine the applicable preference system</p> <p>Eskom will then add the score from Pricing and the B-BBEE level together and rank the suppliers from the highest to the lowest</p>   |
| 3 19 Objective Criteria (if applicable) | <p>Objective criteria <b>are applicable</b></p> <p>The following objective criteria apply -<br/>The following objective criteria apply</p> <ol style="list-style-type: none"> <li>1 SHEQ requirements (Safety), (Quality) and (Environment)</li> <li>2 SD&amp;L(except mandatory requirements)</li> <li>3. Financial statements</li> </ol> <p><b>Please note:-</b></p> <ul style="list-style-type: none"> <li>• “Eskom reserves the right to award the tender to a supplier who may not be the highest scoring/highest ranked tenderer, in line with Section (2) (1) (f) of the PPPFA; subject to the right to negotiate on the objective criteria with the three highest ranked tenderers respectively before award is made.</li> <li>• Tenderers will not be disqualified if they do not comply with the objective criteria</li> <li>• Functionality and any element of the B-BBEE scorecard may not be used as objective criteria.</li> </ul> |
| Contractual Requirements                | <p>Contractual Requirements may include the following</p> <ul style="list-style-type: none"> <li>• SHEQ requirements, and/or</li> <li>• Financial statements, and/or</li> </ul> <p><b>Please Note:</b><br/>Contractual requirements are not evaluation criteria. They are required to be met and assessed after the evaluation and ranking of the tenders. Proof that the highest ranked tenderer is able to meet the contractual requirements, must be submitted prior to contract award.<br/>Failure to meet “Contractual Requirements “by the stipulated deadlines; may result in the tenderer being regarded as non-responsive and ineligible for contract award.<br/>In the event that there are further documents/actions that are required during execution of the contract, these must be made contractual conditions and compliance thereto must be managed in terms of the contract.</p>   |

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30


|   |                      |                     |                  |     |     |
|---|----------------------|---------------------|------------------|-----|-----|
|  | Invitation to Tender | Template Identifier | 240-114238630    | Rev | 8   |
|   |                      | Document Identifier | N/A              | Rev | N/A |
|   |                      | Effective Date      | 22 November 2019 |     |     |
|   |                      | Review Date         | November 2022    |     |     |

|   |  |
|---|--|
|   |  |
| CIDB Requirements (where applicable for Engineering and Construction Works Contracts) | <p><b>NOT APPLICABLE</b></p> <p>1 It is estimated that tenderers must have a Construction Industry Development Board (cidb) contractor grading of . . . or or higher</p> <p><b><i>[Insert the best estimate of required Contractor grading designation and omit the above-mentioned clause:-</i></b><br/> <b><i>i) where the contract involves goods or services; or</i></b><br/> <b><i>ii) the employer promotes potentially emerging enterprise in engineering and construction works.]</i></b></p> <p>OR,</p> <ul style="list-style-type: none"> <li>2 1 It is estimated that tenderers must have a cidb contractor grading of . or or higher, or potentially emerging enterprises who satisfy criteria stated in the Tender Data may submit tenders</li> <li>2 2 contractors registered as potentially emerging enterprises with the cidb who are registered in one cidb contractor grading designation stipulated or lower than that required in 2 1 above and who satisfy the following criteria - <ul style="list-style-type: none"> <li>a) _____</li> <li>b) _____</li> </ul> </li> </ul> <p><b><i>[Insert the best estimate of required Contractor grading designation; delete "or" where only one class of construction works is applicable and omit the above-mentioned clause:-</i></b><br/> <b><i>i) where the contract involves goods or services; or</i></b><br/> <b><i>ii) the employer does not promote potentially emerging enterprise in engineering and construction works.]</i></b></p> <p>OR,</p> <p>3 Joint ventures are eligible to submit tenders provided that -</p> <ul style="list-style-type: none"> <li>3 1 every member of the Joint venture (JV) is registered with cidb,</li> <li>3.2 the lead partner has a contractor grading designation in the .or *class of construction work, or not lower than one level below the required grading designation in the class of works construction works under consideration and possesses the required recognition status,</li> </ul> |

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                     |                  |     |     |
|---|-----------------------------|---------------------|------------------|-----|-----|
|  | <b>Invitation to Tender</b> | Template Identifier | 240-114238630    | Rev | 8   |
|   |                             | Document Identifier | N/A              | Rev | N/A |
|   |                             | Effective Date      | 22 November 2019 |     |     |
|   |                             | Review Date         | November 2022    |     |     |

|  |  |
|--|--|
|  | <ul style="list-style-type: none"> <li>3.3 the combined contractor grading designation calculated in accordance with the cidb regulations is equal to or higher than a contractor grading designation determined in accordance with the sum tendered for a or * class of construction work or a value determined in accordance with Regulations 25 (1B) or 25 (7A) of the cidb Regulations</li> </ul> <p><b>[*insert class of construction work and delete "or..." where only one class of construction works is applicable] .</b></p> <p><b>[ Please note:</b><br/> <b>That only those tenderers who are registered with the Construction Industry Development Board, or are capable of being so registered within twenty-one (21) working days from the closing date for submission of tenders in a contractor grading designation as stipulated in the tender data and determined in accordance with the best estimated value of the scope of works herein are eligible to submit tenders.</b></p> <p><b>Employer(Eskom) must within 21 working days from date when contractors offer to perform a construction works contract is accepted in writing ; register and publish the award of all contracts equal to or exceeding R10 M inclusive of VAT. ]</b></p> |
|--|--|

**Please note:**

Eskom reserves the right to negotiate with preferred bidders after a competitive bidding process or price quotations; should the tendered prices not be deemed market-related.


Main contractors/ suppliers are discouraged from subcontracting with their subsidiary companies as this may be interpreted as subcontracting with themselves and / or using their subsidiaries for fronting. Where a main contractor subcontracts with a subsidiary this must be declared in tender documents.

A report containing a list of potential sub-contractors may be drawn by accessing the following link: [www.csd.gov.za](http://www.csd.gov.za)

**Confidential**

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

## EVALUATION CRITERIA

### Step 1 - Basic Compliance

- Review tender submission for compliance to all submission requirements (Tender Returnable)

### Step 2 - Mandatory tender returnable

### Step 3 - Pre-qualification criteria

### Step 4- Functionality


Technical / Engineering 100%

A weighted score-card approach is used to evaluate the technical compliance of the tenders against the specifications. Tenders need to have a weighted score **of 70%** or more to technically qualify for further evaluation.

## Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system.

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |


**Table 1: Mandatory Technical Criteria Description**

| Ref # | Mandatory Technical Criteria Description  | Reference to Technical Specification / Tender Returnable | Motivation for use of Criteria  |
|-------|---|--|---|
| 1     | Submission of a filled in MV Motor Repair/Refurbishment Technical Schedule A&B (see Appendix A)<br><br>YES or NO. | As per Works Information Tender Returnable               | Mandatory and qualitative evaluation phases of each tendered design cannot be conducted / completed without this document |

### **Confidential**

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

### QUALITATIVE TECHNICAL EVALUATION CRITERIA

In accordance with 240-48929482, tenders that have met all the Mandatory Evaluation Criteria will be evaluated against the Qualitative Evaluation Criteria defined in Table 2 below. The scoring of qualitative criteria shall be based on the degree of achievement by the tenderer to meet the technical requirements defined in each tendered design Technical Schedule.

The minimum weighted final score (threshold) required for the tendered scope of work to be considered FUNCTIONALLY ACCEPTABLE from a technical perspective is 70%


The recommendation on the highest technically ranked tenderer shall be based on the final scoring comparisons and the tenderer with the highest score shall be recommended from a technical perspective, if the weighted final score exceeds the defined threshold

**NOTE: A Technical Evaluation Guideline Schedule to be published with the Invitation to Tender Document.**

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd Reg No 2002/015527/30

|   |                      |                     |                  |     |     |
|---|----------------------|---------------------|------------------|-----|-----|
|  | Invitation to Tender | Template Identifier | 240-114238630    | Rev | 8   |
|   |                      | Document Identifier | N/A              | Rev | N/A |
|   |                      | Effective Date      | 22 November 2019 |     |     |
|   |                      | Review Date         | November 2022    |     |     |


**Table 1: Qualitative Technical Evaluation Criteria**

|                                       |                        |                            |  |  | Scale ( 0=0% , 2=40% , 4=80% , 5=100% ) |                   |                                   |                    |
|---------------------------------------|------------------------|----------------------------|--|--|---|-------------------|-----------------------------------|--------------------|
| KPA - Area of                         | Criteria Weighting (%) | Criteria Sub Weighting (%) | Qualitative Technical Criteria Description | Reference to Technical Specification / Tender Returnable | Not submitted= 0                        | Non Compliant=2   | Compliant with Acceptable Risk =4 | Fully Compliant= 5 |
| Manufacturing Facility and Capability | 35%                    | 6%                         | Crane size facility                        | Tech. Schedule B vs A - Item 2.01                        | Not submitted                           | Between 0-20 tons | Between 20-40 tons                | 40 tons or more    |
|                                       |                        | 4%                         | Cleaning facility                          | Tech. Schedule B - Item 2.02                             | Not submitted                           | N/A               | N/A                               | Fully Compliant= 5 |
|                                       |                        | 5%                         | Burn out ovens facility                    | Tech. Schedule B vs A - Item 2.03                        | Not submitted                           | N/A               | N/A                               | one or more        |
|                                       |                        | 4%                         | Curing oven facility                       | Tech. Schedule B vs A - Item 2.04                        | Not submitted                           | N/A               | N/A                               | one or more        |
|                                       |                        | 5%                         | VPI Tanks                                  | Tech. Schedule B vs A - Item 2.07                        | Not submitted= 0                        | N/A               | N/A                               | one or more        |
|                                       |                        | 3%                         | Balancing machine                          | Tech. Schedule B vs A - Item 2.14                        | Not submitted= 0                        | N/A               | N/A                               | one or more        |
|                                       |                        | 2%                         | End shield repair capability               | Tech. Schedule B vs A - Item 2.16                        | No                                      | N/A               | N/A                               | Yes                |

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |


|                                 |     |    |  |                                    |                  |   |   |   |
|---------------------------------|-----|----|--|------------------------------------|------------------|---|---|---|
| Testing Facility and Capability |     | 2% | Concentricity checks capability                            | Tech. Schedule B vs A - Item 2.17  | No               | N/A   | N/A   | Yes   |
|                                 |     | 2% | Spray booth facility                                       | Tech. Schedule B vs A - Item 2.19  | No               | N/A   | N/A   | Yes   |
|                                 |     | 2% | Covered storage area                                       | Tech. Schedule B vs A - Items 2.21 | No               | N/A   | N/A   | Yes   |
|                                 | 30% | 5% | Insulation resistance testing facility                     | Tech. Schedule B vs A - Item 3.01  | Not submitted= 0 | Less than 2500 V                                | 2500-5000 V                                     | 5000 V or more                                  |
|                                 |     | 5% | High voltage testing facility                              | Tech. Schedule B vs A - Item 3.02  | Not submitted= 0 | Less than 23 kV                                 | 13-23 kV  | 23 kV or more                                   |
|                                 |     | 3% | Phase resistance testing facility                          | Tech. Schedule B vs A - Item 3.03  | No               | N/A   | N/A   | Yes   |
|                                 |     | 3% | Interturn testing facility                                 | Tech. Schedule B - Item 3.04       | No               | N/A   | N/A   | Yes   |
|                                 |     | 2% | Full load testing facility up 11 kV                        | Tech. Schedule B - Item 3.09       | No               | N/A   | N/A   | Yes   |
|                                 |     | 5% | Full vibration spectrum analysis capability                | Tech. Schedule B - Item 3.11       | No               | N/A   | N/A   | Yes   |
|                                 |     | 5% | Routine Test report with results as per items 3.01 to 3.08 | Tech. Schedule B vs A - Item 3.12  | Not submitted= 0 | Submitted 1 copies of different repaired motors | Submitted 2 copies of different repaired motors | Submitted 3 copies of different repaired motors |
|                                 |     | 2% | NDT Shaft/Fans   | Tech. Schedule B vs A - Item 3.13  | No               | N/A   | N/A   | Yes   |

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30




|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

|                      |     |    |   |                                      |                  |   |   |   |
|----------------------|-----|----|---|--------------------------------------|------------------|---|---|---|
| Skills Capability    | 20% | 5% | Qualified armature rewinder   | Technical Schedule B vsA - Item 4.01 | Not submitted= 0 | 1-5 years' experience                           | 5-10 years                                      | More than 10 years' experience                  |
|                      |     | 3% | Technical personnel (e.g. Engineer, Technician)   | Technical Schedule B vsA - Item 4.02 | Not submitted= 0 | 1-5 years' experience                           | 5-10 years                                      | More than 10 years' experience                  |
|                      |     | 5% | Qualified Fitter  | Technical Schedule B vsA - Item 4.03 | Not submitted= 0 | 1-5 years' experience                           | 5-10 years                                      | More than 10 years' experience                  |
|                      |     | 3% | Quality control personnel   | Technical Schedule B vsA - Item 4.04 | Not submitted= 0 | 1-5 years' experience                           | 5-10 years                                      | More than 10 years' experience                  |
|                      |     | 4% | Vibration Analyser (Level 2 certificate)  | Technical Schedule B vsA - Item 4.05 | Not submitted= 0 | 1-5 years' experience                           | 5-10 years                                      | More than 10 years' experience                  |
| Transport Capability | 2%  | 1% | Number of trucks  | Technical Schedule B - Item 5.01     | Not submitted= 0 | N/A   | 1-2   | 2 or more                                       |
|                      |     | 1% | Maximum tonnage capability  | Technical Schedule B - Item 5.02     | Not submitted    | Between 0-20 tons                               | Between 20-40 tons                              | 40 tons or more                                 |
| Documentation        | 3%  | 2% | Failure report sample covering incoming assessment, inspections, testing and failure analysis | Technical Schedule B - Item 6.01     | Not submitted= 0 | Submitted 1 copies of different repaired motors | Submitted 2 copies of different repaired motors | Submitted 3 copies of different repaired motors |
|                      |     | 1% | Minimum period of information storage of Electronic data                                      | Technical Schedule B vsA - Item 6.02 | Not submitted= 0 | 1-3 years                                       | 3-5 years                                       | 5 years or more                                 |

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30


|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

|  |     |    |                          |   |                  |                    |                                       |                 |
|--|-----|----|--------------------------|---|------------------|--------------------|---------------------------------------|-----------------|
| Services, Certification and Production | 10% | 3% | Field services available | Technical Schedule B vsA - Item s 4.06 & 7.01 | No               | N/A                | N/A                                   | Yes             |
|  |     | 3% | Emergency Response time  | Technical Schedule B vsA - Item 7 02          | Not submitted= 0 | 11-24 hours        | 7-10 hours                            | 6 hours or less |
|  |     | 4% | Warranty on Workmanship  | Technical Schedule B vsA - Item 10 01         | Not submitted= 0 | Less than 6 months | Less 12 months but more than 6 months | 12 months       |

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                     |                  |     |     |
|---|-----------------------------|---------------------|------------------|-----|-----|
|  | <b>Invitation to Tender</b> | Template Identifier | 240-114238630    | Rev | 8   |
|   |                             | Document Identifier | N/A              | Rev | N/A |
|   |                             | Effective Date      | 22 November 2019 |     |     |
|   |                             | Review Date         | November 2022    |     |     |

## SUPPLIER DEVELOPMENT AND LOCALISATION UNDERTAKING


### Section 1: Pre-qualification Criteria

| Failure to meet Pre-qualification at tender stage will lead to disqualification  |   |     |    |                          |                                     |
|--|---|-----|----|--------------------------|-------------------------------------|
| <b>1.1 Minimum BBBEE status level of contributor?</b><br>If Yes, what is the BBBEE status and/or level required  | <table border="1"> <tr> <th>YES</th> <th>NO</th> </tr> <tr> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> </table> | YES | NO | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| YES  | NO  |     |    |                          |                                     |
| <input type="checkbox"/>   | <input checked="" type="checkbox"/>   |     |    |                          |                                     |
| <b>1.2 Is there BBBEE category targeted for this enquiry?</b><br>If Yes, BBBEE category  | <table border="1"> <tr> <th>YES</th> <th>NO</th> </tr> <tr> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> </table> | YES | NO | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| YES  | NO  |     |    |                          |                                     |
| <input type="checkbox"/>   | <input checked="" type="checkbox"/>   |     |    |                          |                                     |
| Tender Returnable if the above elements are requirements; <ul style="list-style-type: none"> <li>Valid original or certified copy of sworn affidavit in the case of EME's must be submitted (affidavit must be completed fully), or</li> <li>Valid Copy B-BBEE Certificate issued by CIPC for EME's. OR</li> <li>Valid original or certified copy of the B-BBEE certificate / sworn affidavit in the case of QSE's must be submitted, or</li> <li>Valid original or certified copy of the B-BBEE certificate issued by SANAS Accredited Verification Agency for Generic Entities must be submitted, or</li> <li>For JV's only valid original or certified copy B-BBEE Certificate issued by a SANAS Accredited Verification Agency will be accepted and the certificate should be in the name of the JV</li> </ul> |   |     |    |                          |                                     |
| <b>1.3 Minimum subcontracting requirement for this?</b><br>If Yes, what is the minimum percentage?   | <table border="1"> <tr> <th>YES</th> <th>NO</th> </tr> <tr> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> </table> | YES | NO | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| YES  | NO  |     |    |                          |                                     |
| <input type="checkbox"/>   | <input checked="" type="checkbox"/>   |     |    |                          |                                     |
| Tender Returnable if the above element is a requirement; <ul style="list-style-type: none"> <li>Letter of intent or any other <u>requested document</u> indicating commitment and the percentage required must be submitted as a tender returnable</li> <li>Sub-contracting can only be concluded with the following entities.               <ul style="list-style-type: none"> <li>an EME or QSE which is at least 51% owned by black people;</li> <li>an EME or QSE which is at least 51% owned by black people who are youth,</li> </ul> </li> </ul>  |   |     |    |                          |                                     |

### **Confidential**

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                     |                  |     |     |
|---|-----------------------------|---------------------|------------------|-----|-----|
|  | <b>Invitation to Tender</b> | Template Identifier | 240-114238630    | Rev | 8   |
|   |                             | Document Identifier | N/A              | Rev | N/A |
|   |                             | Effective Date      | 22 November 2019 |     |     |
|   |                             | Review Date         | November 2022    |     |     |

- an EME or QSE which is at least 51% owned by black people who are women,
- an EME or QSE which is at least 51% owned by black people with disabilities,
- an EME or QSE which is 51% owned by black people living in rural or underdeveloped area or townships,
- a cooperative which is at least 51% owned by black people;
- a EME or QSE which is at least 51% owned by black people who are military veterans

## Section 2: Mandatory Compliance for Contract Award

**The following requirements are mandatory compliance for contract award and submissions can be clarified during evaluations or negotiated before contract is awarded**

### 2.1 Local Content Designation

- a) Is this Commodity or part of it a Designated Sector?

| YES                      | NO                                  |
|--------------------------|-------------------------------------|
| <input type="checkbox"/> | <input checked="" type="checkbox"/> |

Please indicate below Designated Components' MV  
Will be refurbish not replace as per Nkosinathi Maseko

| Components | Components | Local Content Threshold |
|------------|------------|-------------------------|
| N/A        | N/A        | N/A                     |
|            |            |                         |

**NOTE 1.** If applicable (F1) SBD 6.2 Declaration Form and (F2) Annex C (Local Content Declaration-Summary Schedule) are a tender returnable and will be mandatory for contract award

### 2.2 CIDB Skills Development

- a) Is there CIDB compulsory training?


If Yes, what is the % of the Construction Skills Development Goal % (CSDG)

| YES                      | NO                                  |
|--------------------------|-------------------------------------|
| <input type="checkbox"/> | <input checked="" type="checkbox"/> |
|                          |                                     |

**Confidential**

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

If the answer above is Yes, it will then be mandatory for the supplier to match Eskom's targets

| Criteria        | Eskom Target | Tenderer Commitment |
|-----------------|--------------|---------------------|
| CSDG Percentage | N/A          |                     |
| Description     | N/A          |                     |
|                 |              |                     |
|                 |              |                     |

#### Mandatory Compliance for Contract Award continues.....

##### 2.3 BBBEE Compliance

###### Is there minimum BBBEE level targeted?

If Yes, what is the BBBEE status targeted for this transaction (contractor/s will be required to submit plans to achieve the target level if not met at contract award)

| YES                                 | NO                       |
|-------------------------------------|--------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> |
|                                     |                          |

##### 2.4 Subcontracting Requirements

###### Is there a requirement for subcontracting?

If Yes, what is the targeted subcontracting percentage

| YES                                 | NO                       |
|-------------------------------------|--------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 30%                                 |                          |


###### Scope to be subcontracted

- Transport Services (e.g. trucks, bakkies etc.)
- Bearing Works (supply and repairs)
- Copper Supply
- Transportation of Employees

#### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                     |                  |     |     |
|---|-----------------------------|---------------------|------------------|-----|-----|
|  | <b>Invitation to Tender</b> | Template Identifier | 240-114238630    | Rev | 8   |
|   |                             | Document Identifier | N/A              | Rev | N/A |
|   |                             | Effective Date      | 22 November 2019 |     |     |
|   |                             | Review Date         | November 2022    |     |     |

- Accommodation

### Enterprise Development

Are there specific ED requirements?  
(This ED intervention can either be separate or additional to subcontracting requirements, but duplication should be avoided)

| YES                      | NO                                  |
|--------------------------|-------------------------------------|
| <input type="checkbox"/> | <input checked="" type="checkbox"/> |

Local Content

| Eskom's Target | Tenderer Proposal |
|----------------|-------------------|
| 100            |                   |

### 2.5 Skills Development

Are there Skills Development targets?

| YES                                 | NO                       |
|-------------------------------------|--------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> |


If Yes, the contractors are required to propose skills development against Eskom's targets **Bursaries**

| Eskom's Target |        |             |                        |
|----------------|--------|-------------|------------------------|
| Category       | Number | Entry Level | Output                 |
| Artisan        | 4      | N3          | Trade Test Certificate |
| Safety Officer | 2      | Matric      | Full SAMTRAC           |
| Total          | 0      |             |                        |

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                     |                  |     |     |
|---|-----------------------------|---------------------|------------------|-----|-----|
|  | <b>Invitation to Tender</b> | Template Identifier | 240-114238630    | Rev | 8   |
|   |                             | Document Identifier | N/A              | Rev | N/A |
|   |                             | Effective Date      | 22 November 2019 |     |     |
|   |                             | Review Date         | November 2022    |     |     |

### Section 3: SDL&I Penalty and Performance Security

Eskom will apply a penalty of 2.5% of the Contract Value for failure to meet SDL&I obligations

**One of the following options will apply for SDL&I performance security:**

- For the duration of the contract, Eskom will retain 2.5% of every invoice (excluding VAT) as security for the fulfilment of all SDL&I Obligations. The retained amounts shall only be released to the Contractor upon fulfilment of all SDL&I obligations at the end of the contract.
- Alternatively the Contractor shall submit a bond equivalent to 2.5% of the Contract Value and shall only be released to the Contractor upon fulfilment of all SDL&I Obligations.
- Panels- Eskom will apply 2.5% retention on every invoice (excluding VAT) after all cumulative task orders awarded to the Contractor/Service Provider that have reached a stipulated threshold as security for the fulfilment of the SDL&I obligations.

### Section 4: Reporting and Monitoring

- The suppliers shall on a monthly/quarterly basis submit a report to Eskom in accordance with Data Collection Template on their compliance with the SDL&I obligations described above.
- Eskom shall review the SDL&I reports submitted by the suppliers within 60 (sixty) days of receipt of the reports and notify the suppliers in writing if their SDL&I obligations have not been met.
- Upon notification by Eskom that the suppliers have not met their SDL&I obligations, the suppliers shall be required to implement corrective measures to meet those SDL&I obligations before the commencement of the following report, failing which Retention clauses shall be invoked.
- Every contract shall be accompanied by the SDL&I Implementation Schedule which must be completed by the suppliers and returned to SDL&I representative for acceptance 30 days after contract award.

### Section 5: Market Research

The following information demonstrates market analysis and assisted in arriving at BBBEE targets above:

|       |       |
|-------|-------|
| • N/A | • N/A |
|-------|-------|

### Section 7: General Information on Validity of Sworn Affidavits

#### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system.

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                      |                     |                  |     |     |
|---|----------------------|---------------------|------------------|-----|-----|
|  | Invitation to Tender | Template Identifier | 240-114238630    | Rev | 8   |
|   |                      | Document Identifier | N/A              | Rev | N/A |
|   |                      | Effective Date      | 22 November 2019 |     |     |
|   |                      | Review Date         | November 2022    |     |     |

The following must be considered when it comes to validity of Affidavits:

**Tenderers submitting B-BBEE Sworn Affidavits must ensure that the affidavits meet the following key pointers to ensure their validity:**


- Name/s of deponent as they appear in the identity document and the identity number.
- Designation of the deponent as the **director, owner or member** must be indicated in order to know that person is duly authorised to depose of an affidavit **(Mark the applicable option)**.
- Name of enterprise as per enterprise registration documents issued by the CIPC, where applicable, and enterprise business address.
- Percentage of black ownership, black female ownership and designated group. In the case of specialised enterprises as per Statement 004, the percentage of black beneficiaries must be reflected. **(No blank spaces to be left)**.
- Indicate total revenue for the year under review and whether it is based on **audited financial statements or management account**. **(Mark the applicable option)**.
- Financial year end as per the **enterprise's registration documents**, which was used to determine the total revenue. **(Financial year end to be stipulated by day/month/year)**.
- B-BBEE Status level. An enterprise can only have one status level. **(Tick applicable level)**
- Empowering supplier status must be indicated. For QSEs, the deponent must select the basis for the empowering supplier status
- Date deponent signed and date of Commissioner of Oath must be the same. **(The sworn affidavit must be signed in the presence of the Commissioner of Oath. Furthermore the Commissioner must also sign and stamp)**
- Commissioner of Oath cannot be an employee or ex officio of the enterprise because, a person cannot by law, commission a sworn affidavit in which they have an interest

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30



|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

### Step 6 - Financial Evaluation

An analysis of the tenderers financial statements will be conducted for the purpose Of establishing the tenderers financial viability and ability to meet all its contractual obligations for the duration of the contract, should the tenderer be awarded the contract

**NB:**The supplier to submit the latest audited Financial Statements to be used to perform Financial viability

### Step 7- Price and Preference Analysis

- 80% Price
- 20% BBEE

### Price Evaluation (80 points)

| Adjudication Criteria   | Points |
|---|--------|
| Price Evaluation<br><br>$P_s = 80 \left( 1 - \frac{P_t - P_{\min}}{P_{\min}} \right)$ | 80     |

Where

$P_s$  = Points scored for comparative price of tender under consideration

$P_t$  = Comparative price of tender under consideration

$P_{\min}$  = Comparative price of lowest acceptable tender

### B-BBEE Evaluation (20 Points)


Tenderers are required to submit a valid B-BBEE Status Level Verification Certificates together with their tenders to substantiate the B-BBEE claims

Tenderers, who do not submit B-BBEE Status Level Verification Certificate or non-compliant contributors to B-BBEE will not qualify for preference points, however will

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

not be disqualified from the tender process. Such tenderer will score out of 90 points for price and 0 points out of 10 for B-BBEE.

Points awarded to a tenderer for attaining the B-BBEE Status Level of contribution in accordance with the table below:

| B-BBEE Status Level of Contributor | Number of points (80/20 system) |
|------------------------------------|---------------------------------|
| 1                                  | 20                              |
| 2                                  | 18                              |
| 3                                  | 14                              |
| 4                                  | 12                              |
| 5                                  | 8                               |
| 6                                  | 6                               |
| 7                                  | 4                               |
| 8                                  | 2                               |
| Non-compliant contributor          | 0                               |

The points scored for price will be added to the points scored for B-BBEE Status Level of contribution to obtain the tenderer's points out of a 100.

## FINANCIAL ANALYSIS


An analysis of the tenderer's financial statements will be conducted for the purpose of establishing the tenderer's financial viability and ability to meet all its contractual obligations for the duration of the contract, should the tenderer be awarded the contract.

**NB** The supplier to submit the latest audited Financial Statements to be used to perform Financial viability.

## Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system.

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30.

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

## SAFETY QUALITY AND ENVIRONMENTAL MANAGEMENT

Safety and Quality and Environmental will be objective requirements to be submitted for evaluation, it will however not be a base for disqualifying if not submitted at tender closing stage SHEQ is an objective criteria which means that tenders will be given an opportunity to submit once, within seven working days the SHEQ documents which they would have missed or not submitted during the tender close out Only those tenderers who submitted/responded within the specified time will be considered and those who did not respond will be rendered non-responsive SHEQ will only evaluate the shortlisted suppliers who passed the mandatory requirements


### SAFETY

|  |
|--|
| Signed Annexure B Acknowledgement Form   |
| OHS Policy signed by the Tenderer's CEO or MD  |
| OHS Budget Breakdown covering the scope of works   |
| Health and safety plan   |
| Baseline Risk Assessment   |
| Past 3 years OHS Statistics (fatalities, LTI's and Legally Reportable Incidents) suffered by the tenderer (if none signed letterhead to this effect must be forwarded) |
| Past 3 years OHS Legal notices issued against the tenderer e.g. Department of labour (if none signed letterhead to this effect must be forwarded)                      |
| Tenderer's valid letter of good standing with compensation fund or licensed insurer  |
| Medical fitness certificate x 1 or Medical surveillance programme for non-operational suppliers or supplier with no employees  |

### Confidential


When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                      |                     |                  |     |     |
|---|----------------------|---------------------|------------------|-----|-----|
|  | Invitation to Tender | Template Identifier | 240-114238630    | Rev | 8   |
|   |                      | Document Identifier | N/A              | Rev | N/A |
|   |                      | Effective Date      | 22 November 2019 |     |     |
|   |                      | Review Date         | November 2022    |     |     |

# QUALITY

## FORM A


|   |   |                     |              |     |   |
|---|---|---------------------|--------------|-----|---|
|  | (FORM A)<br>TENDER & CONTRACT QUALITY<br>REQUIREMENTS FOR<br>QM 58 AND<br>QUALITY REQUIREMENTS FOR ISO<br>9001 STANDARD | Template Identifier | 240-43921804 | Rev | 3 |
|   |   | Document Identifier | 240-68099512 | Rev | 5 |
|   |   | Authorisation Date  | October 2018 |     |   |
|   |   | Review Date         | October 2022 |     |   |

| SECTION A<br>(TENDERER) | ENQUIRY/<br>CONTRACT No:            |   |  |   |  |
|-------------------------|-------------------------------------|---|--|---|--|
|                         | ENQUIRY/<br>CONTRACT<br>DESCRIPTION | Refurbishment of all MV Motor for Matla Power Station for a period of five (05) years.  |  |   |  |
| SECTION B (ESKOM)       | CLAUSES OF QM<br>58 SPECIFICATION   | CLAUSE DESCRIPTION  |  | INDICATE WITH AN (X) AS<br>APPLICABLE OR (-) IF<br>NOT APPLICABLE |  |
|                         | CLAUSE 3                            | PRE-CONTRACT AWARD QUALITY REQUIREMENTS   |  | X   |  |
|                         |                                     | (*Select Only Applicable Category). NB. Not more than one category must be selected. Also indicate whether site assessment is applicable. |  | X   |  |
|                         | CLAUSE 4                            | Category 1 (refer to clause 4.1.1 of QM 58)   |  | -   |  |
|                         |                                     | Category 2 (refer to clause 4.1.2 of QM 58)   |  | X   |  |
|                         |                                     | Category 3 (refer to clause 4.1.3 of QM 58)   |  | -   |  |
|                         |                                     | Category 4 (refer to clause 4.1.4 of QM 58)   |  | -   |  |
|                         |                                     | Supplier and Sub-supplier Site Assessment (refer to clause 4.2 of QM 58)  |  | -   |  |
|                         | CLAUSE 5                            | POST-CONTRACT AWARD   |  |   |  |
|                         |                                     | Contract Execution (refer to clause 5.1 of QM 58)   |  | X   |  |
|                         |                                     | Supplier Quality Performance Monitoring Phase (refer to clause 5.2 of QM 58)  |  | X   |  |
|                         | CLAUSE 6                            | STANDARD CONDITIONS   |  |   |  |
|                         |                                     | Eskom Rights of Access (refer to clause 6.1 of QM 58)   |  | X   |  |
|                         |                                     | Eskom Rights to Information (refer to clause 6.2 of QM 58)  |  | X   |  |
|                         |                                     | Preservation and Storage (refer to clause 6.3 of QM 58)   |  | X   |  |
|                         |                                     | Supplier Quality Audits (refer to clause 6.4 of QM 58)  |  | X   |  |
|                         |                                     | Nonconformities and Nonconforming Outputs Identified by Eskom (refer to clause 6.5 of QM 58)  |  | X   |  |
|                         |                                     | Special Processes (refer to clause 6.6 of QM 58)  |  | X   |  |
|                         | CLAUSES OF ISO<br>9001 STANDARD     | CLAUSE DESCRIPTION  |  | INDICATE WITH AN (X) AS<br>APPLICABLE OR (-) IF<br>NOT APPLICABLE |  |
|                         | CLAUSE 4                            | Context of the Organisation   |  | X   |  |

## Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                     |                  |     |     |
|---|-----------------------------|---------------------|------------------|-----|-----|
|  | <b>Invitation to Tender</b> | Template Identifier | 240-114238630    | Rev | 8   |
|   |                             | Document Identifier | N/A              | Rev | N/A |
|   |                             | Effective Date      | 22 November 2019 |     |     |
|   |                             | Review Date         | November 2022    |     |     |


|                         |   |                         |             |      |           |
|-------------------------|---|-------------------------|-------------|------|-----------|
| SECTION D<br>(TENDERER) | CLAUSE 5                                | Leadership              |             |      | X         |
|                         | CLAUSE 6                                | Planning                |             |      | X         |
|                         | CLAUSE 7                                | Support                 |             |      | X         |
|                         | CLAUSE 8                                | Operation               |             |      | X         |
|                         | CLAUSE 9                                | Performance Evaluations |             |      | X         |
|                         | CLAUSE 10                               | Improvement             |             |      | X         |
|                         | TENDERER'S<br>QUALITY<br>REPRESENTATIVE | NAME                    | DESIGNATION | DATE | SIGNATURE |
|                         |   |                         |             |      |           |


NB: The tenderer must complete and sign this form to acknowledge and accept Eskom Supplier Quality Requirements as per QM 58 Specification and ISO 9001 Standard.

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                      |                     |                  |     |     |
|---|----------------------|---------------------|------------------|-----|-----|
|  Eskom | Invitation to Tender | Template Identifier | 240-114238630    | Rev | 8   |
|   |                      | Document Identifier | N/A              | Rev | N/A |
|   |                      | Effective Date      | 22 November 2019 |     |     |
|   |                      | Review Date         | November 2022    |     |     |


|   |  |  |       |               |     |
|---|--|--|-------|---------------|-----|
|    | <b>Supplier Quality Management:<br/>List of Tender Returnables<br/>Documents</b> | Unique Identifier                          |       | 240-12248652  |     |
|   |  | Revision                                   |       | 2             |     |
|   |  | Effective Date                             |       | 2018/10/26    |     |
|   |  | Specification                              | QM 58 |               |     |
| Category 2: Quality Requirements  |  | Deliverables to be evaluated indicator = 1 |       | Weights       |     |
| <b>SECTION A : Quality Management System Requirements ISO 9001</b><br><b>(Option 1) Valid certification of Quality Management System by an ISO accredited body</b>            |  |  |       |               |     |
|   |  |  |       | Apply (Yes=1) |     |
| A 1 Product / Service Scoping on ISO 9001 certificate is defined and relevant   |  |  |       | 1             |     |
| A 2 Certificate by Approved and Authorized certification authority  |  |  |       | 1             |     |
| A.3 Certification Authority has Recognized International Accreditation  |  |  |       | 1             |     |
| A 4 Validity (expiry date) of certificate   |  |  |       | 1             |     |
| <b>Section A Score</b>  |  |  |       | <b>4</b>      |     |
| <b>SECTION A : Quality Management System Requirements ISO 9001</b><br><b>(Option 2) Objective evidence of documented QMS that is not certified but complies with ISO 9001</b> |  |  |       |               |     |
|   |  |  |       | Apply (Yes=1) | 25% |
| A 1 QMS Manual or a document that defines and describes the QMS and its scope or Quality Method statement based on scope  |  |  |       | 1             |     |
| A 2 Quality Policy Approved by top management   |  |  |       | 1             |     |
| A 3 Quality Objectives Approved by top management   |  |  |       | 1             |     |
| A 4 Records required by ISO 9001 standard (List of Records)   |  |  |       | 1             |     |
| A 5 Control of documented information   |  |  |       | 1             |     |
| A 6 Documented information for Control of nonconforming outputs   |  |  |       | 1             |     |
| A 7 Documented information for Nonconformity and Corrective action  |  |  |       | 1             |     |
| A 8 Documented information for Internal audit   |  |  |       | 1             |     |
| <b>Sub-Section A Score Option 2</b>   |  |  |       | <b>8</b>      |     |

|   |               |     |
|---|---------------|-----|
| <b>SECTION B : Evidence of QMS in operation (Tender Quality Requirements -Ref QM-58 /240-105658000)</b> |               |     |
|   | Apply (Yes=1) | 25% |
| B 1 Documented information for defined roles, responsibilities and                                      | 1             |     |

**Confidential**

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                      |                     |                  |     |     |
|---|----------------------|---------------------|------------------|-----|-----|
|  | Invitation to Tender | Template Identifier | 240-114238630    | Rev | 8   |
|   |                      | Document Identifier | N/A              | Rev | N/A |
|   |                      | Effective Date      | 22 November 2019 |     |     |
|   |                      | Review Date         | November 2022    |     |     |

|  |          |
|--|----------|
| authorities  |          |
| B 2 Documented information for Control of Externally Provided Processes, Products and Services                                   | 1        |
| B 3 Latest copy of an internal management system audit report (with Nonconformity, Correction and/ or Corrective Action Reports) | 1        |
| <b>Section B Score</b>   | <b>3</b> |

|  |               |     |
|--|---------------|-----|
| <b>SECTION C: Contract Quality Plan Requirements (Ref QM-58 and 240-109253698). Draft Contract Quality Plan specific to the scope of work as described in the tender documents (Ref ISO 10005)</b> |               | 20% |
| <b>NB! Draft Contract/Project Quality Plan has important QA deliverables</b>   | Apply (Yes=1) |     |
| <b>Section C Score</b>   | <b>1</b>      |     |


|  |               |     |
|--|---------------|-----|
| <b>SECTION D: Quality Control Plan Requirements (Ref QM-58 or 240-109253302) QCP /Checklist/ ITP (Quality Control Plans) as per Scope of Works (Ref ISO 10005 &amp; QM 58)</b> |               | 20% |
| <b>NB! Example of an Inspection and Test Plan (ITP) or Quality Control Plan (QCP) on similar and/ or previous work done</b>  | Apply (Yes=1) |     |
| <b>Section D Score</b>   | <b>1</b>      |     |

|   |               |     |
|---|---------------|-----|
| <b>SECTION E: User defined additional Requirements &amp; miscellaneous (Ref QM-58) Customer specific requirements &amp; other standards and required can be listed and evaluated here</b> |               | 10% |
|   | Apply (Yes=1) |     |
| E 1 Form A is completed and signed  | 1             |     |
| <b>Section E Score</b>  | <b>1</b>      |     |

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                      |                     |                  |     |     |
|---|----------------------|---------------------|------------------|-----|-----|
|  | Invitation to Tender | Template Identifier | 240-114238630    | Rev | 8   |
|   |                      | Document Identifier | N/A              | Rev | N/A |
|   |                      | Effective Date      | 22 November 2019 |     |     |
|   |                      | Review Date         | November 2022    |     |     |

| Environmental Objective Criteria  |        |          |
|---|--------|----------|
| Category A: Contractors   | Yes/No | Comments |
| All contractors working for and on behalf of Matla Power Station on a once off (supply and delivery) or outage programs and with their activities which might not cause potential harm to the environment as per scope of work by environmental practitioner professional discretion Assessment for these contractors shall be done by completing the Environmental Contractor Assessment form, If there is any yes to the questionnaire then the Environmental Officer must be notified to conduct a proper assessment on the contract These suppliers or contractors must adhere or adopt the Contract Manager' Environmental Aspect and Impact register and the management thereof |        |          |
| Completion of Environmental Contractors Assessment Form.  |        |          |
| Sign Contractors commitment and indemnity letter  |        |          |
| OR ISO14001 certified with valid certificate  |        |          |


| Criteria | Expected CSI (%) | Target (%) | Proposal |
|----------|------------------|------------|----------|
| CSI      | 2%               | 2%         |          |

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30



|   |                             |                     |                  |     |     |
|---|-----------------------------|---------------------|------------------|-----|-----|
|  | <b>Invitation to Tender</b> | Template Identifier | 240-114238630    | Rev | 8   |
|   |                             | Document Identifier | N/A              | Rev | N/A |
|   |                             | Effective Date      | 22 November 2019 |     |     |
|   |                             | Review Date         | November 2022    |     |     |

### 1 3 TENDER RETURNABLES


The tenderer must submit the returnables set out hereunder as part of its tender. Returnables that are mandatory for evaluation will result in disqualification if not submitted at tender closing.

| Reference  | Returnables from supplier   | Returnables mandatory for evaluation purposes and due at tender closing | Returnables mandatory for contract award and due prior to contract award |
|--|---|---|--|
| <b>Basic Compliance</b>  | One (1) hard copy of the tender   | ✓   |  |
| <b>Pre-qualification criteria :</b> <ul style="list-style-type: none"> <li>B-BBEE levels/EME/QSE</li> <li>Sub-contracting to designated groups</li> </ul> <p><b>Please Note:</b><br/>If required for pre-qualification purposes, stipulated in the enquiry and not submitted by tender submission deadline, the respective tenderer will be disqualified</p> | B-BBEE certificate  | ✓   |  |
|  | Affidavit/CSD number  | ✓   |  |
|  | Signed Sub-contracting intent agreement   | ✓   |  |
|  | Proof (certificate/affidavit) of sub-contractor belonging to designated group   | ✓   |  |
|  | Any other supporting documents to verify the status of the main contractor or sub-contractor( as may be stipulated in 3.11) | ✓   |  |
| <b>Annexure A</b>  | Acknowledgement Form  | ✓   |  |
| <b>Annexure B</b>  | Tenderers Particulars   | ✓   |  |
| <b>Annexure C</b>  | Integrity Pact Declaration form   | ✓   |  |
| <b>Annexure D</b>  | CPA for local goods/services (if applicable)  | ✓   |  |
| <b>Annexure E</b>  | CPA(IG) for imported goods/services (if applicable)   | ✓   |  |
| <b>Annexure F1-F4</b>  | SBD 6.2 -Declaration certificate for local production and content and Annexures C, D,                                       |   | ✓  |

### **Confidential**

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system.

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30


|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

|  |  |   |   |
|--|--|---|---|
|  | <b>E(only applicable where designated materials are included)</b>  |   |   |
| <b>Annexure G</b> (applicable for all suppliers including Foreign suppliers) | SBD 1- to be submitted with the tender at tender submission deadline   | ✓ |   |
| <b>Annexure H</b>  | SBD 6 1- Preference Points Claim Form in terms of PPPFA 2017 regulations   | ✓ |   |
| Supplier Development & Localisation (SD&L) Undertaking                       |  | ✓ |   |
| <b>Additional Documents required in event of JV:-</b>                        | Letter of intent to form a JV/consortium   | ✓ |   |
|  | Valid joint venture agreement confirming the rights and obligations of each of the joint venture partners and their profit-sharing ratios  | ✓ |   |
|  | Written confirmation that the joint venture will operate as a single business entity (incorporated) for the duration of the contract (this may be included as an obligation within the JV agreement)   | ✓ |   |
|  | * A trust, consortium or joint venture must submit a consolidated/unconsolidated B-BBEE Status Level Verification Certificate depending on whether they are an incorporated/ unincorporated entity respectively  | ✓ |   |
|  | Details and confirmation of a single designated bank account in the name of the JV and independent of the individual JV partners, as set out in the joint venture agreement  | ✓ |   |
| <b>*B-BBEE Certificates</b> or Sworn Affidavit(EME/QSE can submit either)    | Failure on the part of the supplier to submit a valid current B-BBEE certificate or sworn affidavit for purposes of evaluation and scoring by the tender closing will not result in disqualification (if tenderer is otherwise deemed to be responsive/acceptable in all other aspects and it is not a pre-qualification criterion) The tenderer will, however, be scored zero on B-BBEE for purposes of PPPFA scoring and ranking | ✓ |   |
| <b>Tax Clearance Certificates</b>  | A certified copy of a tax clearance certificate  |   | ✓ |

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30


|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

|   |  |                       |   |
|---|--|-----------------------|---|
|   | <p>is still required by Foreign suppliers (with a footprint in South Africa- but who are not on CSD and have not provided a SARS pin number ) and Local suppliers (who have not provided their SARS e-filing PIN number for verification by Eskom and/or their CSD profile / CSD number)</p> <p>Foreign suppliers with no footprint in South Africa, must still complete the SBD1 document, however no proof of tax compliance is required</p> |                       |   |
| <b>Tax Evaluation Questionnaire (if services contract and was included as annexure)</b> | Evaluation questionnaire to determine whether a company, close corporation (CC) or Trust is a personal service provider for purposes of PAYE]  |                       | ✓ |
| <b>Compliance with Employment Equity Act</b>  | To the extent that the tenderer falls within the definition of a "designated Employer" as contemplated in the Employment Equity Act 55 of 1998, the tenderer is required to furnish the Employer with proof of compliance with the Employment Equity Act, including proof of submission of the Employment Equity report to the Department of Labour. (South African tenderers only)  |                       | ✓ |
| <b>CIDB (where applicable)</b>  | Valid proof of the required cidb grading designation for the main contractor; JV and /or sub-contractor as may be required in the tender data at tender closing deadline or within 21 working days from the closing date of submission of tenders if this is agreed with the Employer  | <b>Not Applicable</b> |   |
| <b>Shareholding</b>   | Shareholding organogram and detailed breakdown (for each individual company/JV member) clearly identifying percentages owned by individual shareholders (full names & ID Numbers) and other entities (provide full legal/trading name and respective identifying registration/trust numbers)   | ✓                     |   |
| <b>NEC Documentation</b>  | Completed NEC pricing schedule and contract data   | ✓                     |   |
| <b>Additional documents required (ECSA/ SACPCMP/CVs/</b>                                |  | <b>Not Applicable</b> |   |

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                      |                     |                  |     |     |
|---|----------------------|---------------------|------------------|-----|-----|
|  | Invitation to Tender | Template Identifier | 240-114238630    | Rev | 8   |
|   |                      | Document Identifier | N/A              | Rev | N/A |
|   |                      | Effective Date      | 22 November 2019 |     |     |
|   |                      | Review Date         | November 2022    |     |     |

|  |   |   |   |
|--|---|---|---|
| permits/licenses/ specific registration documents (if applicable to scope of work) |   |   |   |
| <b>DOCUMENTS REQUIRED UNDER CONTRACT REQUIREMENTS</b>                              |   |   |   |
| Safety   | COIDA - Original certificate of good standing or proof of application issued by the Compensation Fund (COID) or a licensed compensation insurer (South African tenderers only)  |   | ✓ |
| Quality  | Documents that may be required per scope of work  |   | ✓ |
| Other safety/quality documents as required per scope of works                      |   |   | ✓ |
| Environmental  | Documents that may be required as per scope of work   |   | ✓ |
| Due Diligence  | Audited Financial Statements of the <i>tenderer</i> for the previous 18 months or to the extent those such statements are not available, for the last year Tenderers must note that in the case of a joint venture or special purpose vehicle (SPV) especially formed for this tender, audited financial statements for each participant in the JV / SPV is required Start-up enterprises formed within the last 12 months are not required to send in statements, but if successful with their tender will be required to send statements for the first year when once available |   | ✓ |
| <b>DOCUMENTS REQUIRED UNDER FUNCTIONALITY/TECHINICAL CRITERIA</b>                  |   |   |   |
| Technical (required for functionality scoring)                                     | 1 [See the attached technical criteria for documents required for functionality scoring]  | ✓ |   |


| Criteria that will be used to score each tender: | Score |
|--|-------|
| Technical  | 100%  |
| Threshold  | 70%   |

**Tenderers who do not meet the threshold of 70% for the functionality scoring will be disqualified and not be evaluated further**

### **Confidential**

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                     |                  |     |     |
|---|-----------------------------|---------------------|------------------|-----|-----|
|  | <b>Invitation to Tender</b> | Template Identifier | 240-114238630    | Rev | 8   |
|   |                             | Document Identifier | N/A              | Rev | N/A |
|   |                             | Effective Date      | 22 November 2019 |     |     |
|   |                             | Review Date         | November 2022    |     |     |

## **ANNEXURE A**

### **ACKNOWLEDGEMENT FORM**

We are in receipt of the Invitation to Tender from Eskom Holdings SOC Ltd and the following addenda issued by Eskom

1 \_\_\_\_\_

2 \_\_\_\_\_

3 \_\_\_\_\_

We confirm that the documentation received by us is **(Indicate by ticking the box)**

Correct as stated in the Invitation to Tender Content List, and that each document is complete ☐

Or: Incorrect or incomplete for the following reasons ☐

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

### **Cataloguing Acknowledgement:**

**[Please select the relevant statement by ticking the appropriate box below]:**

- 1 We agree to provide the cataloguing information as described in the *tender submission*. ☐
- 2 We have already supplied Eskom with the cataloguing information pertaining to this enquiry in a previous contract/order [***insert previous invitation to tender/RFQ number***] ☐ \_\_\_\_\_
- 3 We do not intend to provide the cataloguing information for the required scope / specifications for the reasons stated hereunder ☐  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
- 4 I confirm that I am a Distributor/Importer/Agent and my Principal, being the Original Equipment Manufacturer (OEM) is or is not in the position to supply cataloguing information for items See attached letter from OEM confirming his position ☐

Invitation to Tender/Request for Proposal No \_\_\_\_\_


Name of company/JV \_\_\_\_\_

Country of registration \_\_\_\_\_

**Confidential**

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

Name of contact person \_\_\_\_\_

Contact details of contact person

Tel (landline) \_\_\_\_\_


Cell phone \_\_\_\_\_

e-mail address \_\_\_\_\_

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

## **ANNEXURE B**

### **TENDERER'S PARTICULARS**

The *tenderer* must furnish the following particulars where applicable

| Indicate the type of tendering structure by marking with an 'X' (where applicable provide registration number): |  |
|---|--|
| Individual tenderer   |  |
| Unincorporated Joint venture (registration number for each member of the JV)                                    |  |
| Incorporated JV   |  |
| Other   |  |


Please complete the following

|   |   |
|---|---|
| Name of lead partner/member in case of JV   |   |
| CIPC Registration Number (for each individual company / JV member)  |   |
| VAT registration number (for each individual company / JV member)   |   |
| CIDB registration number (for each individual company/JV member if applicable), respective contractor grading designation for each individual company/JV member , and combined cidb contractor grading designation (for JVs)  |   |
| Shareholding information (for each individual company/JV member) clearly identifying percentages owned by individual shareholders (full names & ID Numbers) and other entity shareholders (provide full legal/trading name and respective identifying registration/trust numbers) | Include as separate tender returnable if required |
| Contact person  |   |
| Telephone number  |   |
| E-mail address  |   |
| Postal address (also of each member in the case of a JV)  |   |
| Physical address (also of each member of the JV)  |   |

### **Confidential**

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

If subcontractors are to be used, indicate the following for the main sub-contractor(s) Add to the list of applicable

|   |  |
|---|--|
| Name of contractor  |  |
| CIPC Registration number  |  |
| VAT registration number   |  |
| CIDB Registration number (if applicable) and CIDB grade specified for the sub-contractor as may be stipulated in the Tender Data  |  |
| Shareholding organogram /breakdown (for each individual company/JV member) clearly identifying percentages owned by individual shareholders (full names& ID Numbers) and other entities (provide full legal/trading name and respective identifying registration/trust numbers) |  |
| Proposed Scope of work to be done by sub-contractor   |  |
| Contact person  |  |
| Telephone number  |  |
| Fax number  |  |
| E-mail address  |  |
| Postal address  |  |
| Physical address  |  |


- 1 If you are currently registered as a vendor with Eskom, please provide your Vendor registration number with Eskom \_\_\_\_\_
- 2 If you are currently registered as a vendor on the Treasury Central Supplier Database(CSD) please provide your supplier registration number with Treasury\_\_\_\_\_

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30



|   |                             |                     |                  |     |     |
|---|-----------------------------|---------------------|------------------|-----|-----|
|  | <b>Invitation to Tender</b> | Template Identifier | 240-114238630    | Rev | 8   |
|   |                             | Document Identifier | N/A              | Rev | N/A |
|   |                             | Effective Date      | 22 November 2019 |     |     |
|   |                             | Review Date         | November 2022    |     |     |

- 3 Please note that it is mandatory for you to register on National Treasury's CSD, if you intend doing work with any State department or State owned entity/company
- 4 You may register online at National Treasury website on [www.treasury.gov.za](http://www.treasury.gov.za)
- 5 Alternatively, you may contact [•] ***insert the name and contact details of the relevant person from Eskom's Vendor Management department, who can assist you further with the registration on CSD***.
- 6 If you are registered on SARS Efiling system, please provide your pin number in order to verify your tax compliant status \_\_\_\_\_
- 7 If you are required to be tax compliant as per SBD 1, but are not registered on CSD ( foreign suppliers) or have not provided your SARS Efiling pin, please confirm that you have attached/will send a copy of a current valid tax compliant certificate as a tender returnable (by contract award stage)

|     |  |    |  |
|-----|--|----|--|
| YES |  | NO |  |
|-----|--|----|--|

- 8 If sub-contracting is prescribed in the specific enquiry, you need to complete 8 1- 8 7

- 8.1 Confirm if you intend sub-contracting

|     |  |    |  |
|-----|--|----|--|
| YES |  | NO |  |
|-----|--|----|--|

- 8 2 What percentage will you be sub-contracting ? \_\_\_\_\_%
- 8 3 To whom do you intend sub-contracting ? \_\_\_\_\_
- 8.4 Is the said sub-contractor registered on CSD?

|     |  |    |  |
|-----|--|----|--|
| YES |  | NO |  |
|-----|--|----|--|


- 8 5 If yes to 8 4, please provide CSD number \_\_\_\_\_
- 8 4 Please confirm B-BBEE level of said sub-contractor \_\_\_\_\_

- 8 5 Which designated group does the sub-contractor belong to -
  - a Black people
  - b Black people who are youth
  - c Black people who are women
  - d Black people with disabilities
  - e Black people living in rural or underdeveloped areas or townships
  - f Cooperatives which are 51% owned by Black people
  - g Black people who are military veterans

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                     |                  |     |     |
|---|-----------------------------|---------------------|------------------|-----|-----|
|  | <b>Invitation to Tender</b> | Template Identifier | 240-114238630    | Rev | 8   |
|   |                             | Document Identifier | N/A              | Rev | N/A |
|   |                             | Effective Date      | 22 November 2019 |     |     |
|   |                             | Review Date         | November 2022    |     |     |

If Eskom decides to apply the sub-contracting provision as specified in Regulation 4(c) all tenders above the prescribed maximum threshold for quotations must be advertised through an open competitive bidding process subject to potential tenderers meeting the 30% minimum subcontracting requirement to EMEs or QSEs that are 51% owned by the following enterprises

8 6 Please confirm that you have attached your signed intent to sub-contract document

|     |  |    |  |
|-----|--|----|--|
| YES |  | NO |  |
|-----|--|----|--|

8 7 Have you attached proof of sub-contractor's belonging to designated group

|     |  |    |  |
|-----|--|----|--|
| YES |  | NO |  |
|-----|--|----|--|

#### A. Single tenderers

I, the undersigned, \_\_\_\_\_ (Full names) hereby confirm that I am duly authorised to sign all documents in connection with this tender and any contract resulting from it, on behalf of \_\_\_\_\_ (insert the full legal name of the tenderer)

Signature: \_\_\_\_\_

Designation: \_\_\_\_\_

Date \_\_\_\_\_

#### B. Joint Ventures


We, the undersigned, are submitting this tender in Joint Venture and hereby authorise Mr/Ms \_\_\_\_\_ (full names), an authorised signatory of \_\_\_\_\_, (insert the full legal name of the business entity serving as the lead partner) acting in the capacity of lead partner, to sign all documents in connection with the tender and any contract resulting from it on our behalf

We attach to this Schedule a copy of the joint venture agreement which incorporates a statement that all partners are liable jointly and severally for the execution of the contract and that the lead partner is authorised to incur liabilities, receive instructions and payments and be responsible for the entire execution of the contract for and on behalf of any and all the partners

#### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30


|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

| Legal Name of Joint Venture Member | Full Name and Capacity of Authorised Signatory | Signature |
|------------------------------------|--|-----------|
|                                    |  |           |
|                                    |  |           |
|                                    |  |           |
|                                    |  |           |
|                                    |  |           |

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

## **ANNEXURE C**

### **INTEGRITY DECLARATION FORM**

(Form to be completed and signed by tenderer as Invitation to Tender returnable or as part of contract modification documentation)

#### **1. DECLARATION OF INTEREST**


I/We understand that any natural/legal person, including employees of the State and/or those related to an Eskom employee/director (as per the definition of "related" set out hereunder), may tender to Eskom. However, in view of possible allegations of favouritism (the practice of showing favour to, or giving preference to some person/group, to the detriment of, or at the expense of another that is entitled to equal treatment or an equal opportunity), should the resulting tender, or part thereof, be awarded to such natural/legal person, as described herein, it is required that the *tenderer/s* declare such interest/relationship where:-

- the *tenderer/s* employees/directors are also employees/contractors/consultants/ directors in the state or a state owned entity
- the *tenderer/s* employees/directors are also employees/contractors/consultants/ directors of Eskom
- the *tenderer/s* employees/directors are also employees/contractors/consultants or directors in another entity together with Eskom employees/consultants/contractors/ directors
- the *legal person/s* (including its employees/contractors/directors/members/ shareholders) on whose behalf the tender documents are signed, is in some other way "related" to an Eskom employee/contractor/consultant/director involved in the tender evaluation/tender adjudication/tender negotiation. "Related" meaning that:-
  - an individual is related to another individual if they are married, or live together in a relationship similar to marriage,
  - or are separated by no more than two degrees of natural or adopted consanguinity or affinity,
  - an individual is related to a juristic person if the individual directly or indirectly controls the juristic person, as determined in accordance with the definition of "control" (as per Companies Act section 2(1) ), and
- a juristic person is "related" to another juristic person if :-
  - (1) either of them directly/indirectly controls the other, or the business of the other, as determined in accordance with the definition of "control" (as per Companies Act section 2(1) );
  - (2) either is a subsidiary of the other, or
  - (3) a person directly/indirectly controls each of them, or the business of each of them, as determined in accordance with the definition of "control"
- the *tenderer/s* and one or more of the *tenderers* in this tendering/RFP process have a controlling partner in common, or a relationship with each other, directly or through common third parties, that puts them in a position to have access to information about or influence on the tender/proposal of another tenderer, or influence the decisions of Eskom regarding this bidding process,

### **Confidential**

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                     |                  |     |     |
|---|-----------------------------|---------------------|------------------|-----|-----|
|  | <b>Invitation to Tender</b> | Template Identifier | 240-114238630    | Rev | 8   |
|   |                             | Document Identifier | N/A              | Rev | N/A |
|   |                             | Effective Date      | 22 November 2019 |     |     |
|   |                             | Review Date         | November 2022    |     |     |

To give effect to the provisions above, please complete the table hereunder with all required information

| Full Name & Capacity/<br>Position within tenderer (e.g.<br>employee/Director/member/<br>owner/shareholder) | Identity<br>Number | Confirm and provide<br>details (including<br>employee number) if<br>you are a State/State<br>owned entity<br>employee/contractor/<br>director. | Full Names &<br>Capacity/Position of<br>Eskom employee/<br>director/ consultant<br>and details of the<br>relationship or<br>interest(marital/<br>familial/personal/<br>financial etc.) | To your<br>knowledge<br>is this<br>person<br>involved in<br>the<br>evaluation/<br>adjudication/<br>negotiation<br>of tenders |
|--|--------------------|--|--|--|
|  |                    |  |  |  |
|  |                    |  |  |  |
|  |                    |  |  |  |
|  |                    |  |  |  |

1. If any employee/director/member/shareholder/owner of tenderer/s is also currently employed by Eskom, state whether this has been declared and whether there is authorisation(Y/N) to undertake remunerative work outside public sector employment, and attach proof to this declaration  
\_\_\_\_\_
2. Do the tenderer/s and other tenderer in this tendering/RFP process share a controlling partner or have any relationship with each other, directly or through common third parties? (Y/N) If Yes, attach proof to this declaration \_\_\_\_\_

## 2. DECLARATION OF FAIR TENDERING PRACTICES

This serves as a declaration that when goods/services are being procured, all reasonable steps have been taken to address and/or prevent the exploitation of the procurement process and the use of any unfair tendering practices


A **[tender/proposal]** will be disqualified if the *tenderer/s*, or any of its directors have

- abused the institution's procurement process (e g bid rigging/collusion)
- committed fraud or any other improper conduct in relation to such system

## Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                     |                  |     |     |
|---|-----------------------------|---------------------|------------------|-----|-----|
|  | <b>Invitation to Tender</b> | Template Identifier | 240-114238630    | Rev | 8   |
|   |                             | Document Identifier | N/A              | Rev | N/A |
|   |                             | Effective Date      | 22 November 2019 |     |     |
|   |                             | Review Date         | November 2022    |     |     |


Please complete the declaration with an 'X' under YES or NO

| Item  | Question   | Yes/No | No |
|-------|--|--------|----|
| 1 1   | <p>Is the <i>tenderer/s(or any of its directors/members/shareholders)</i> listed on National Treasury's Database of Restricted Suppliers as companies/persons prohibited from doing business with the public sector</p> <p><b><i>[Note: Companies/persons who are listed on the Database were informed in writing of this restriction by the Authority/Accounting Officer of the institution that imposed the restriction after the audi alteram partem rule was applied].</i></b></p> <p>The Database of Restricted Suppliers can be accessed on the National Treasury's website (<a href="http://www.treasury.gov.za">www.treasury.gov.za</a>)</p> |        |    |
| 1 2   | <p>Is the <i>tenderer/s(or any of its directors / members / shareholders)?</i> listed on the Register for Tender Defaulters in terms of section 29 of the Prevention and Combatting of Corrupt Activities Act (No 12 of 2004)</p> <p>The Register for Tender Defaulters can be accessed on the National Treasury's website (<a href="http://www.treasury.gov.za">www.treasury.gov.za</a>).</p>   |        |    |
| 1 3   | <p>Was the <i>tenderer/s (or any of its directors/members/shareholders)</i> convicted by a court of law (including a court outside South Africa) for fraud and/or corruption with respect to the procurement/tendering processes/procedures during the past five years?</p>  |        |    |
| 1 3.1 | Provide details  |        |    |

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                      |                     |                  |     |     |
|---|----------------------|---------------------|------------------|-----|-----|
|  | Invitation to Tender | Template Identifier | 240-114238630    | Rev | 8   |
|   |                      | Document Identifier | N/A              | Rev | N/A |
|   |                      | Effective Date      | 22 November 2019 |     |     |
|   |                      | Review Date         | November 2022    |     |     |

|    |   |  |  |
|----|---|--|--|
| 14 | Was the tenderer/s (or any of its directors/members/shareholders) prohibited from doing business with any International Financial Development/funding Agency or Lending Institution |  |  |
| 15 | Is there any history/record of the tenderer/s (or any of its directors/members/shareholders) failing to meet their contractual obligation with any SOC?                             |  |  |

I, the undersigned, \_\_\_\_\_ hereby confirm that I am duly authorised to sign all documents in connection with this tender and any contract resulting from it on behalf of \_\_\_\_\_ (insert the full legal name of the tenderer)


I declare that I have read and understood the provisions of the Supplier Integrity Pact, that all information furnished herein is correct, that it is understood that the tenderer's tender/proposal may be rejected, and that Eskom will act against the tenderer should any aspect of this this declaration prove to be false.

|  |  |
|--|--|
| Signature:                                 |  |
| Designation and capacity in which signing: |  |
| Date:                                      |  |

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                      |                     |                  |     |     |
|---|----------------------|---------------------|------------------|-----|-----|
|  | Invitation to Tender | Template Identifier | 240-114238630    | Rev | 8   |
|   |                      | Document Identifier | N/A              | Rev | N/A |
|   |                      | Effective Date      | 22 November 2019 |     |     |
|   |                      | Review Date         | November 2022    |     |     |

### **Joint Ventures**

I, the undersigned, \_\_\_\_\_ hereby confirm that acting in the capacity of lead partner, I am duly authorised to sign all documents in connection with the tender and any contract resulting from it on behalf of \_\_\_\_\_ (insert the full legal name of the JV)

I declare that I have read and understood the provisions of the Supplier Integrity Pact, that all information furnished herein is correct, that it is understood that the JV's tender/proposal may be rejected, and that Eskom will act against the JV should any aspect of this this declaration prove to be false.

|   |  |
|---|--|
| Signature:                                  |  |
| Designation and capacity in which signing : |  |
| Date:                                       |  |


(A copy of the joint venture agreement which incorporates a statement that all partners are liable jointly and severally for the execution of the contract and that the lead partner is authorised to incur liabilities, receive instructions and payments and be responsible for the entire execution of the contract for and on behalf of any and all the partners is attached to the invitation to tender/Request for proposal).

### **Confidential**

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30



|   |                             |                     |                  |     |     |
|---|-----------------------------|---------------------|------------------|-----|-----|
|  | <b>Invitation to Tender</b> | Template Identifier | 240-114238630    | Rev | 8   |
|   |                             | Document Identifier | N/A              | Rev | N/A |
|   |                             | Effective Date      | 22 November 2019 |     |     |
|   |                             | Review Date         | November 2022    |     |     |

## **ANNEXURE D**

### **CPA REQUIREMENTS FOR LOCAL GOODS AND SERVICES (SOUTH AFRICA)**

#### **THE APPLICATION OF CONTRACT PRICE ADJUSTMENT (CPA) TO TENDER SUBMISSIONS**

*This Section will not be applicable to professional services contract*

#### **1. APPLICATION OF CPA**

Eskom will afford Tenderers an opportunity to propose additional/alternate offers to the abovementioned Additional Offers, which are optional, will only be considered if a fully compliant Main Offer is submitted and acceptable

CPA conditions may apply if the contractual duration is to be longer than 12 months. If the contractual duration will be less than or equal to 12 months, a fixed priced offer must be submitted

#### **2. TENDER SUBMISSIONS**

Tenderers shall comply with the following requirements

##### **a. Main offer**

- A Main Offer that is fully compliant with the CPA requirements as specified in the Enquiry
- This condition is mandatory unless a fully fixed priced offer is submitted. If more than one offer is submitted, then the fully CPA compliant offer must be indicated as the Main Offer.

**Failure to do so may result in the supplier's offer(s) being disqualified.**

##### **b. Additional/Alternative offer:**

Additional offers, which are optional, will only be considered if a fully compliant main offer is submitted and acceptable


- A fixed priced offer in addition to the fully CPA compliant main offer, or
- Any other offer with CPA specifications which deviate from the CPA requirements specified in the enquiry. However it is compulsory that all such deviations are sufficiently substantiated

Additional/Alternative Offers must be clearly indicated as such

### **Confidential**

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

### 3. ESKOM'S PREFERRED INDEX LIST

Eskom's preferred index list is set out hereunder in Tables 1 and 2. The index list comprises indices that could be used in the Tenderers main offer.

### 4. FOREIGN PORTION OF THE TENDER/AGREEMENT PRICE

In instances where the preferred index list does not specify a foreign index which is required for the specific agreement, the following must apply:

- The source of an index must be that of the national statistical institute of the relevant country or a generally acknowledged statistical (e.g. industry) body in or for that country, e.g. BEAMA and MEPS.
- The index must be the equivalent, or if unavailable, the nearest equivalent index to that of the specific prescribed local index.

### 5. NUMBER OF FORMULAE & INDICES

- The Tenderer is limited to a maximum of 10 (ten) indices in total, i.e. a maximum of 5 local indices and maximum of 5 foreign indices, excluding the fixed portion per CPA formula, per offer/agreement.

### 6. CPA FIXED PORTION

A minimum of 15% of the total agreement value is to be fixed when a CPA formula applies, except in the case of professional services or consulting agreements.


### 7. BASE DATE

- In instances of indices or other references published monthly, the Base Date is to be *The month before the month in which the Enquiry closes*.
- In instances where the reference figures, e.g. market prices, are published daily or at more intervals than once a month *The average for the month before the month in which the Enquiry closes*.
- In this case, the following shall apply:
  - Where the average is published  
*The average published price in the currency Eskom will be exposed to e.g. The currency in which Eskom will effect payment*
  - Where a high, low and mean are published  
*The mean*

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system.

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30.

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

- Where other prices than the Cash Settlement or Cash Sellers Price are published

*The Cash Settlement or Cash Sellers Price*

- Where applicable, these principles, must also apply for the CPA "cut-off" date

## 8. CPA FOR PROFESSIONAL SERVICES

The preferred index to be used for adjusting these agreements is the country specific CPI Headline index

The price adjustment factor will be effective from each contractual anniversary of the base date. This must be the average of the country specific CPI Headline index figures published for the last twelve month period (cycle) ending before the contract anniversary date.


No fixed portion is mandatory

|          |          |
|----------|----------|
| Signed   | Date     |
| Name     | Position |
| Tenderer |          |

## Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |


**Table 1: Preferred Local Index List** -this list of indices needs to be relevant to the commodity  
Buyers need to check and include the relevant indices

| Labour  | Commodities  | Processed material   | Transport                      | Others  |
|---|--|--|--------------------------------|---|
| <b>Labour general (hourly paid)</b><br>SEIFSA, C3, actual labour cost   | <b>Steel</b><br>StatsSA, P0142 1 Table 2, basic iron and steel | <b>Mechanical engineering material</b><br>SEIFSA, G, mechanical engineering material       | SEIFSA, L2, road freight costs | StasSA, PO141 <b>CPI</b> (Headline) all items<br>OR<br>SEIFSA, D-2 (CPI)  |
|   | <b>Copper</b><br>SEIFSA, F, copper metric ton                  | <b>Electrical engineering material</b><br>SEIFSA, G-1, electrical engineering material     |                                |   |
| <b>Labour general</b><br>SEIFSA, C3 (a), actual labour cost (field force) where subsistence allowance is paid | <b>Aluminium</b><br>SEIFSA, R, aluminium                       | <b>Building and construction material</b><br>SEIFSA, G, building and construction material |                                | StasSA, PO142 1), <b>PPI</b> <ul style="list-style-type: none"><li>• Final Manufactured Goods</li><li>• Or</li><li>• Intermediate Manufactured Goods OR</li><li>• SEIFSA Table U Producer Price Index (PPI</li></ul><br>- final manufactured GOODS OR Intermediate Manufactured Goods |
|   | <b>Zinc</b><br>SEIFSA, F, zinc                                 |  |                                |   |
|   | <b>Lead</b><br>SEIFSA ,F, lead                                 |  |                                |   |

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

**Table 2: Preferred Foreign Index List**


| Labour   | Commodities                                | Processed material  | Transport   | Others  |
|--|--|---|---|---|
| National Statistical Institute,<br>Country-specific general labour index | MEPS, Country-specific general steel index | National Statistical Institute, Country-specific mechanical engineering material    | National Statistical Institute, Country-specific general transport cost index | National Statistical Institute, Country-specific CPI (Headline)<br><br>National Statistical Institute, Country-specific PPI |
|  | LME, Copper                                | National Statistical Institute, Country-specific electrical engineering material    |   |   |
|  | LME, aluminium                             |   |   |   |
|  | LME, zinc                                  | National Statistical Institute, Country-specific building and construction material |   |   |
|  | LME, lead                                  |   |   |   |

|  |
|--|
| Closing date of tender _____ / _____ / _____<br>TENDERER'S SIGNATURE _____ |
|--|

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

## **ANNEXURE E**

### **CPA (IG) REQUIREMENTS FOR FOREIGN GOODS AND SERVICES**

#### **CONTRACT PRICE ADJUSTMENT AND FOREX PAYMENTS – IMPORTATION**

Failure to propose contract price adjustment methods, either by completing this document or proposing alternative methods for any portion of the tender price, will lead to that portion of the tender price being considered fixed

Where space in this document is insufficient, the tenderer shall submit the required information on separate schedules, duly referenced to this document

Where foreign exchange is involved, and Eskom will cover the risk forward, the methods of payment listed in Part 1 are the only acceptable methods. It must be noted that Eskom considers Payment **Method 1** to be the default payment method

#### **PART 1: PAYMENT OF FOREIGN COMMITMENTS**

Payment of Eskom's foreign commitment in foreign currency will be made either.

##### **Payment Method 1A:**

To a nominated bank account in a foreign country in a foreign currency (payment will be made to the party and account nominated by the supplier in the contract, and not to any other party);

**Please note that the contracting party must be the direct importer of the goods Applicable (Y / N )**

Payment Method 1B,

To a valid SARB approved CFC account in South Africa, in a foreign currency (payment will be made to the party and account nominated by the supplier in the contract, and not to any other party).

Please note.

- The contracting party must be the direct importer
- For payment purposes, Eskom will require both the foreign (commercial ) invoice and the local tax invoice
- The foreign currency values on both the commercial and local invoice must be the same Eskom will not pay any profit in foreign currency
- Service related payments are excluded from this option;

**Applicable(Y/N ) or**


##### **Payment Method 2:**

In South African Rand at the selling spot rate of exchange obtained by Eskom's Treasury on the date that the forward cover is cancelled Eskom will notify the supplier of the date that the forward cover is cancelled as well as the intended payment date, which will be as per the agreed payment terms

#### **Confidential**

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

Any exchange rate adjustment after Eskom has notified the supplier of the date and the rate which the forward cover is cancelled, will be for the account of the supplier  
Please note

- The contracting party has to be the direct importer of the goods
- This payment option is not applicable for the payment of services

**Applicable (Y/N).....**

An indemnity in writing confirming that the supplier will not buy forward cover is required where Payment Method 1 or 2 is contracted

***[Payment Method 2 must be accepted by the Commercial Policy and Procedure Manager before the tender documentation is sent out, but at the latest before the tender closing date. If it is not approved before tender closing, Payment Method 1 will be the default.]***

Fixed ZAR pricing

The tenderer may elect to be responsible for the hedging of the exposure of the imported content. However, Eskom reserves the right to have line of sight of the exchange rates to be quoted by the tenderer. This entails a simultaneous exercise of the verification of the exchange rates to be used

**Applicable (Y/N).....**

Please note Eskom will require substantiating proof of importation at the time of invoicing, if payment methods 1A, 1B and 2 are selected

Where goods were previously imported into stock by the supplier, for delivery to various customers, including Eskom, the price quoted must be in South African Rand. In such cases Eskom will not undertake any foreign exchange commitment or arrange forward cover.

## **PART 2: EXCHANGE RATES**

The tenderer shall use the exchange rate as at 12H00 on the date of the advertisement of the tender. The source of the exchange rates shall be the South African Reserve Bank ([www.resbank.co.za](http://www.resbank.co.za))

Please note that the tenderer is required to submit proof of the SARB rate/s used


## **PART 3: ADJUSTMENT OF IMPORTATION COSTS, ETC**

The values in Rand included in the tender/contract price for the following costs shall be based on rates ruling for the month prior to the closing date of the tender and any variation in these rates applied to the value included in the tender price will be for Eskom's account

## **Confidential**

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

|  | RATES/TARIFFS |
|--|---------------|
| Sea/Air Freight  |               |
| Bunker Adjustment Factor   |               |
| Currency Adjustment Factor   |               |
| Marine Risk Insurance (MRI) (Eskom will provide cover)                 |               |
| Rate for Extension of MRI after Arrival of Goods at Site (if required) |               |
| Wharfage   |               |
| Landing Charges  |               |
| Customs Duties   |               |
| RSA Port on which Import Charges are Based                             |               |

#### **PART 4: ADJUSTMENT OF OVERSEAS MANUFACTURE, EXPATRIATE LABOUR AND OTHER COSTS** *(To be read in conjunction with Eskom CPA Index)*

Eskom requires a fixed portion (free of price adjustment) appropriate to the nature of the contract

The methods/formulae proposed in this part shall clearly identify the base month, the period over which adjustment will apply, the elements of labour and materials and source of the indices/rates/prices to be used

The source of indices/prices/rates nominated in this part shall be from a recognised publishing authority. It must be clearly and completely defined. Supplier in-house indices are not acceptable.

The value of overseas manufacture, labour and material costs (ex-works), inland transportation, expatriate labour, etc included in the tender/contract price shall be subject to adjustment in accordance with the methods stated hereunder

The base indices/prices/rates shall be those ruling for the month prior to the month of the closing of the enquiry

#### **4.1 MANUFACTURING CPA METHOD (LABOUR AND MATERIAL)**


Please submit your proposals for this section on a separate sheet of paper as an annexure

#### **Confidential**

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30



|   |                             |                     |                  |     |     |
|---|-----------------------------|---------------------|------------------|-----|-----|
|  | <b>Invitation to Tender</b> | Template Identifier | 240-114238630    | Rev | 8   |
|   |                             | Document Identifier | N/A              | Rev | N/A |
|   |                             | Effective Date      | 22 November 2019 |     |     |
|   |                             | Review Date         | November 2022    |     |     |

#### 4.2 EXPATRIATE LABOUR METHOD

Please submit your proposals for this section on a separate sheet of paper as an annexure

#### 4.3 OTHER VALUES METHOD (e.g. Engineering fees, design fees, barging, inland transportation, etc)

Please submit your proposals for this section on a separate sheet of paper as an annexure

### PART 5: ADJUSTMENT OF LOCAL MANUFACTURE/SUPPLY MATERIAL, TRANSPORT AND ERECTION COSTS

The contract price adjustment method for local manufacture or partly local manufacture from imported stock, equipment or plant cost, transport cost within the RSA and local erection/installation cost shall be in accordance with the attached Eskom CPA Principles

### PART 6: GUIDELINES FOR CONTRACT PRICE ADJUSTMENT CLAIMS --- PART 2, 3 AND 4

- 1 When the percentage increase or decrease between two indices/prices/tariffs is calculated, the earlier figure shall be taken as the base
- 2 Where portions of the works are delivered at different times, contract price adjustments shall be made in respect of appropriate portions of the contract price
- 3 Where the terms of payment of the contract allow progress payments, other than Eskom's standard payment terms, the agreed contract price adjustment method shall be applied to the value of such payment and to the date and level of completion to which payment is linked
- 4 Where any figure given in a table is therein stated to be a provisional figure or is subsequently amended, the figure as ultimately confirmed or amended in the publication concerned shall apply
- 5 Where the appropriate claim indices/prices, as defined in terms of the agreed formulae, are not available or are provisional, interim claims based on the last published confirmed or revised indices/prices as at the date of delivery/installation may be submitted. When the confirmed or revised index/price becomes available the final claim may be submitted, provided that such claim is received within 180 days of the date of delivery/installation.
- 6 Where requested by Eskom the contractor shall submit publications showing base indices/prices/rates as they become available as well as updated values at three monthly intervals during the course of the contract
- 7 Where it is considered necessary Eskom reserves the right to call for any documentary evidence to substantiate claims


Closing date of tender \_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_

TENDERER'S SIGNATURE \_\_\_\_\_

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

## **ANNEXURE F1**

SBD 6 2

### **DECLARATION CERTIFICATE FOR LOCAL PRODUCTION AND CONTENT FOR DESIGNATED SECTORS**

This Standard Bidding Document (SBD) must form part of all bids invited. It contains general information and serves as a declaration form for local content (local production and local content are used interchangeably).

Before completing this declaration, bidders must study the General Conditions, Definitions, Directives applicable in respect of Local Content as prescribed in the Preferential Procurement Regulations, 2017, the South African Bureau of Standards (SABS) approved technical specification number SATS 1286 2011 (Edition 1) and the Guidance on the Calculation of Local Content together with the Local Content Declaration Templates [Annex C (Local Content Declaration Summary Schedule), D (Imported Content Declaration Supporting Schedule to Annex C) and E (Local Content Declaration Supporting Schedule to Annex C)].

#### **1. General Conditions**

- 1.1 Preferential Procurement Regulations, 2017 (Regulation 8) make provision for the promotion of local production and content.
- 1.2 Regulation 8 (2) prescribes that in the case of designated sectors, organs of state must advertise such tenders with the specific bidding condition that only locally produced or manufactured goods, with a stipulated minimum threshold for local production and content will be considered.
- 1.3 Where necessary, for tenders referred to in paragraph 1.2 above, a two stage bidding process may be followed, where the first stage involves a minimum threshold for local production and content and the second stage price and B-BBEE.
- 1.4 A person awarded a contract in relation to a designated sector, may not sub-contract in such a manner that the local production and content of the overall value of the contract is reduced to below the stipulated minimum threshold.
- 1.5 The local content (LC) expressed as a percentage of the bid price must be calculated in accordance with the SABS approved technical specification number SATS 1286 2011 as follows.

$$LC = [1 - x / y] * 100$$

Where


x is the imported content in Rand

y is the bid price in Rand excluding value added tax (VAT)

#### **Confidential**

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system.

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                     |                  |     |     |
|---|-----------------------------|---------------------|------------------|-----|-----|
|  | <b>Invitation to Tender</b> | Template Identifier | 240-114238630    | Rev | 8   |
|   |                             | Document Identifier | N/A              | Rev | N/A |
|   |                             | Effective Date      | 22 November 2019 |     |     |
|   |                             | Review Date         | November 2022    |     |     |

Prices referred to in the determination of x must be converted to Rand (ZAR) by using the exchange rate published by South African Reserve Bank (SARB) at 12 00 on the date of advertisement of the bid as indicated in paragraph 4 1 below

**The SABS approved technical specification number SATS 1286:2011 is accessible on [http://www.thedti.gov.za/industrial development/ip.jsp](http://www.thedti.gov.za/industrial%20development/ip.jsp) at no cost.**

- 1 6 A bid may be disqualified if this Declaration Certificate and the Annex C (Local Content Declaration Summary Schedule) are not submitted as part of the bid documentation,
- 2. The stipulated minimum threshold(s) for local production and content (refer to Annex A of SATS 1286:2011) for this bid is/are as follows:**

| <u>Description of services, works or goods</u> | <u>Stipulated minimum threshold</u> |
|--|-------------------------------------|
| _____  | _____ %                             |
| _____  | _____ %                             |
| _____  | _____ %                             |

- 3 Does any portion of the goods or services offered have any imported content?

(Tick applicable box)

|     |  |    |  |
|-----|--|----|--|
| YES |  | NO |  |
|-----|--|----|--|

- 3 1 If yes, the rate(s) of exchange to be used in this bid to calculate the local content as prescribed in paragraph 1 5 of the general conditions must be the rate(s) published by SARB for the specific currency at 12 00 on the date of advertisement of the bid

The relevant rates of exchange information is accessible on [www.reservebank.co.za](http://www.reservebank.co.za)

Indicate the rate(s) of exchange against the appropriate currency in the table below (refer to Annex A of SATS 1286 2011).


| Currency       | Rates of exchange |
|----------------|-------------------|
| US Dollar      |                   |
| Pound Sterling |                   |
| Euro           |                   |
| Yen            |                   |
| Other          |                   |

**NB** Bidders must submit proof of the SARB rate (s) of exchange used

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

4. Where, after the award of a bid, challenges are experienced in meeting the stipulated minimum threshold for local content the dti must be informed accordingly in order for the dti to verify and in consultation with the AO/AA provide directives in this regard

**LOCAL CONTENT DECLARATION**  
**(REFER TO ANNEX B OF SATS 1286:2011)**

**LOCAL CONTENT DECLARATION BY CHIEF FINANCIAL OFFICER OR OTHER LEGALLY RESPONSIBLE PERSON NOMINATED IN WRITING BY THE CHIEF EXECUTIVE OR SENIOR MEMBER/PERSON WITH MANAGEMENT RESPONSIBILITY (CLOSE CORPORATION, PARTNERSHIP OR INDIVIDUAL)**

**IN RESPECT OF BID NO. MPMAT10311GX**

**ISSUED BY** (Stanley Ngwenya - ESKOM)

NB

- 1 The obligation to complete, duly sign and submit this declaration cannot be transferred to an external authorized representative, auditor or any other third party acting on behalf of the bidder
- 2 Guidance on the Calculation of Local Content together with Local Content Declaration Templates (Annex C, D and E) is accessible on [http://www.thdti.gov.za/industrial\\_development/ip.jsp](http://www.thdti.gov.za/industrial_development/ip.jsp) Bidders should first complete Declaration D After completing Declaration D, bidders should complete Declaration E and then consolidate the information on Declaration C **Declaration C should be submitted with the bid documentation at the closing date and time of the bid in order to substantiate the declaration made in paragraph (c) below.** Declarations D and E should be kept by the bidders for verification purposes for a period of at least 5 years The successful bidder is required to continuously update Declarations C, D and E with the actual values for the duration of the contract.


I, the undersigned, \_\_\_\_\_ (full names),  
do hereby declare, in my capacity as \_\_\_\_\_  
of \_\_\_\_\_ (name of bidder  
entity), the following

- (a) The facts contained herein are within my own personal knowledge
- (b) I have satisfied myself that.
  - (i) the goods/services/works to be delivered in terms of the above-specified bid comply with the minimum local content requirements as specified in the bid, and as measured in terms of SATS 1286 2011, and
- (c) The local content percentage (%) indicated below has been calculated using the formula given in clause 3 of SATS 1286 2011, the rates of exchange indicated in

**Confidential**

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

paragraph 4.1 above and the information contained in Declaration D and E which has been consolidated in Declaration C

|  |   |
|--|---|
| Bid price, excluding VAT (y)                                       | R |
| Imported content (x), as calculated in terms of SATS 1286 2011     | R |
| Stipulated minimum threshold for local content (paragraph 3 above) |   |
| Local content %, as calculated in terms of SATS 1286 2011          |   |

If the bid is for more than one product, the local content percentages for each product contained in Declaration C shall be used instead of the table above.

The local content percentages for each product has been calculated using the formula given in clause 3 of SATS 1286:2011, the rates of exchange indicated in paragraph 4.1 above and the information contained in Declaration D and E.

- (d) I accept that the Procurement Authority / Institution has the right to request that the local content be verified in terms of the requirements of SATS 1286 2011
- (e) I understand that the awarding of the bid is dependent on the accuracy of the information furnished in this application. I also understand that the submission of incorrect data, or data that are not verifiable as described in SATS 1286 2011, may result in the Procurement Authority / Institution imposing any or all of the remedies as provided for in Regulation 14 of the Preferential Procurement Regulations, 2017 promulgated under the Preferential Policy Framework Act (PPPFA), 2000 (Act No. 5 of 2000).

**SIGNATURE:** \_\_\_\_\_

**DATE:** \_\_\_\_\_

**WITNESS No. 1** \_\_\_\_\_

**DATE:** \_\_\_\_\_

**WITNESS No. 2** \_\_\_\_\_

**DATE:** \_\_\_\_\_

**Annexure F2- Local content Declaration-Summary Schedule (annex C)**



Adobe Acrobat Document

**Annexure F3 - Imports Declaration-Supporting schedule to Annex C(annex D)**



Adobe Acrobat Document

**Annexure F4 - Local Content Declaration-Supporting Schedule to Annex C (annex E)**




Adobe Acrobat Document

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                      |                     |                  |     |     |
|---|----------------------|---------------------|------------------|-----|-----|
|  | Invitation to Tender | Template Identifier | 240-114238630    | Rev | 8   |
|   |                      | Document Identifier | N/A              | Rev | N/A |
|   |                      | Effective Date      | 22 November 2019 |     |     |
|   |                      | Review Date         | November 2022    |     |     |

## ANNEXURE G

SBD 1


### PART A INVITATION TO BID

|  |  |                              |  |                                     |                              |
|--|--|------------------------------|--|-------------------------------------|------------------------------|
| YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF THE (NAME OF DEPARTMENT/ PUBLIC ENTITY)  |  |                              |  |                                     |                              |
| BID NUMBER   | MPMAT10311GX   | CLOSING DATE                 | 08 August 2022   | CLOSING TIME                        | 10:00 AM                     |
| DESCRIPTION  | Refurbishment of all MV Motors for Matla Power Station for a period of five (05) years |                              |  |                                     |                              |
| <b>THE SUCCESSFUL BIDDER WILL BE REQUIRED TO FILL IN AND SIGN A WRITTEN CONTRACT FORM (SBD7).</b>  |  |                              |  |                                     |                              |
| BOX RESPONSE DOCUMENTS MAY BE DEPOSITED IN THE BID BOX SITUATED AT (STREET ADDRESS)  |  |                              |  |                                     |                              |
|  |  |                              |  |                                     |                              |
|  |  |                              |  |                                     |                              |
|  |  |                              |  |                                     |                              |
| <b>SUPPLIER INFORMATION</b>  |  |                              |  |                                     |                              |
| NAME OF BIDDER   |  |                              |  |                                     |                              |
| POSTAL ADDRESS   |  |                              |  |                                     |                              |
| STREET ADDRESS   |  |                              |  |                                     |                              |
| TELEPHONE NUMBER   | CODE   |                              | NUMBER   |                                     |                              |
| CELLPHONE NUMBER   |  |                              |  |                                     |                              |
| FACSIMILE NUMBER   | CODE   |                              | NUMBER   |                                     |                              |
| E-MAIL ADDRESS   |  |                              |  |                                     |                              |
| VAT REGISTRATION NUMBER  |  |                              |  |                                     |                              |
|  |  | TCS PIN                      |  | OR                                  | CSD No                       |
| B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE [TICK APPLICABLE BOX]   |  | <input type="checkbox"/> Yes |  | B-BBEE STATUS LEVEL SWORN AFFIDAVIT | <input type="checkbox"/> Yes |
|  |  | <input type="checkbox"/> No  |  |                                     | <input type="checkbox"/> No  |
| IF YES, WHO WAS THE CERTIFICATE ISSUED BY?   |  |                              |  |                                     |                              |
| AN ACCOUNTING OFFICER AS CONTEMPLATED IN THE CLOSE CORPORATION ACT (CCA) AND NAME THE APPLICABLE IN THE TICK BOX   |  | <input type="checkbox"/>     | AN ACCOUNTING OFFICER AS CONTEMPLATED IN THE CLOSE CORPORATION ACT (CCA)           |                                     |                              |
|  |  | <input type="checkbox"/>     | A VERIFICATION AGENCY ACCREDITED BY THE SOUTH AFRICAN ACCREDITATION SYSTEM (SANAS) |                                     |                              |
|  |  | <input type="checkbox"/>     | A REGISTERED AUDITOR   |                                     |                              |
|  |  |                              | NAME   |                                     |                              |
| <b>[A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE/SWORN AFFIDAVIT(FOR EMEs&amp; QSEs) MUST BE SUBMITTED IN ORDER TO QUALIFY FOR PREFERENCE POINTS FOR B-BBEE]</b> |  |                              |  |                                     |                              |

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

|  |  |  |   |
|--|--|--|---|
| ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED?                            | <input type="checkbox"/> Yes <input type="checkbox"/> No<br>[IF YES ENCLOSE PROOF] | ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED? | <input type="checkbox"/> Yes <input type="checkbox"/> No<br>[IF YES ANSWER PART B 3 BELOW ] |
| SIGNATURE OF BIDDER  | ....   | DATE   |   |
| CAPACITY UNDER WHICH THIS BID IS SIGNED (Attach proof of authority to sign this bid; e.g. resolution of directors, etc.) |  |  |   |
| TOTAL NUMBER OF ITEMS OFFERED  |  | TOTAL BID PRICE (ALL INCLUSIVE)  |   |
| BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO:  |  | TECHNICAL INFORMATION MAY BE DIRECTED TO:                                |   |
| DEPARTMENT/ PUBLIC ENTITY  |  | CONTACT PERSON   |   |
| CONTACT PERSON   |  | TELEPHONE NUMBER   |   |
| TELEPHONE NUMBER   |  | FACSIMILE NUMBER   |   |
| FACSIMILE NUMBER   |  | E-MAIL ADDRESS   |   |
| E-MAIL ADDRESS   |  |  |   |


## PART B TERMS AND CONDITIONS FOR BIDDING

|   |
|---|
| <b>1. BID SUBMISSION:</b>   |
| 1.1 BIDS MUST BE DELIVERED BY THE STIPULATED TIME TO THE CORRECT ADDRESS. LATE BIDS WILL NOT BE ACCEPTED FOR CONSIDERATION  |
| 1.2. ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED--(NOT TO BE RE-TYPED) OR ONLINE  |
| 1.3. BIDDERS MUST REGISTER ON THE CENTRAL SUPPLIER DATABASE (CSD) TO UPLOAD MANDATORY INFORMATION NAMELY: ( BUSINESS REGISTRATION/ DIRECTORSHIP/ MEMBERSHIP/IDENTITY NUMBERS; TAX COMPLIANCE STATUS; AND BANKING INFORMATION FOR VERIFICATION PURPOSES). B-BBEE CERTIFICATE OR SWORN AFFIDAVIT FOR B-BBEE MUST BE SUBMITTED TO BIDDING INSTITUTION. |
| 1.4 WHERE A BIDDER IS NOT REGISTERED ON THE CSD, MANDATORY INFORMATION NAMELY: (BUSINESS REGISTRATION/ DIRECTORSHIP/ MEMBERSHIP/IDENTITY NUMBERS; TAX COMPLIANCE STATUS MAY NOT BE SUBMITTED WITH THE BID DOCUMENTATION. B-BBEE CERTIFICATE OR SWORN AFFIDAVIT FOR B-BBEE MUST BE SUBMITTED TO BIDDING INSTITUTION.                                 |
| 1.5 THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT 2000 AND THE PREFERENTIAL PROCUREMENT REGULATIONS, 2017, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER LEGISLATION OR SPECIAL CONDITIONS OF CONTRACT  |
| <b>2. TAX COMPLIANCE REQUIREMENTS</b>   |
| 2.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS   |
| 2.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VIEW THE TAXPAYER'S PROFILE AND TAX STATUS  |
| 2.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) OR PIN MAY ALSO BE MADE VIA E-FILED IN ORDER TO USE THIS PROVISION, TAXPAYERS WILL NEED TO REGISTER WITH SARS AS E-FLIERS THROUGH THE WEBSITE WWW SARS GOV ZA   |

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

- 2.4 BIDDERS MAY ALSO SUBMIT A PRINTED TCS TOGETHER WITH THE BID
- 2.5 IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE PROOF OF TCS / PIN / CSD NUMBER
- 2.6 WHERE NO TCS IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED

### 3. QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS

- 3.1 IS THE BIDDER A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)? ☐ YES ☐ NO
- 3.2 DOES THE BIDDER HAVE A BRANCH IN THE RSA? ☐ YES ☐ NO
- 3.3 DOES THE BIDDER HAVE A PERMANENT ESTABLISHMENT IN THE RSA? ☐ YES ☐ NO
- 3.4 DOES THE BIDDER HAVE ANY SOURCE OF INCOME IN THE RSA? ☐ YES ☐ NO

IF THE ANSWER IS "NO" TO ALL OF THE ABOVE, THEN, IT IS NOT A REQUIREMENT TO OBTAIN A TAX COMPLIANCE STATUS / TAX COMPLIANCE SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 2.3 ABOVE.


**NB: FAILURE TO PROVIDE ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID**

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30



|   |                             |                     |                  |     |     |
|---|-----------------------------|---------------------|------------------|-----|-----|
|  | <b>Invitation to Tender</b> | Template Identifier | 240-114238630    | Rev | 8   |
|   |                             | Document Identifier | N/A              | Rev | N/A |
|   |                             | Effective Date      | 22 November 2019 |     |     |
|   |                             | Review Date         | November 2022    |     |     |

## Annexure H

## SBD 6.1

### PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL PROCUREMENT REGULATIONS 2017

This preference form must form part of all bids invited. It contains general information and serves as a claim form for preference points for Broad-Based Black Economic Empowerment (B-BBEE) Status Level of Contribution.

**NB: BEFORE COMPLETING THIS FORM, BIDDERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF B-BBEE, AS PRESCRIBED IN THE PREFERENTIAL PROCUREMENT REGULATIONS, 2017.**

#### 1. GENERAL CONDITIONS

- 1.1 The following preference point systems are applicable to all bids
- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
  - the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included)

#### 1.2

- a) The value of this bid is estimated to exceed/not exceed R50 000 000 (all applicable taxes included) and therefore the . . . . . preference point system shall be applicable, or
- b) Either the 80/20 or 90/10 preference point system will be applicable to this tender (*delete whichever is not applicable for this tender*)

- 1.3 Points for this bid shall be awarded for

- (a) Price; and
- (b) B-BBEE Status Level of Contributor

- 1.4 The maximum points for this bid are allocated as follows


| POINTS   |            |
|--|------------|
| PRICE  |            |
| B-BBEE STATUS LEVEL OF CONTRIBUTOR                       |            |
| <b>Total points for Price and B-BBEE must not exceed</b> | <b>100</b> |

- 1.5 Failure on the part of a bidder to submit proof of B-BBEE Status level of contributor

#### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system.

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

together with the bid, will be interpreted to mean that preference points for B-BBEE status level of contribution are not claimed

- 1 6 The purchaser reserves the right to require of a bidder, either before a bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the purchaser

## 2. DEFINITIONS

- (a) **"B-BBEE"** means broad-based black economic empowerment as defined in section 1 of the Broad-Based Black Economic Empowerment Act;
- (b) **"B-BBEE status level of contributor"** means the B-BBEE status of an entity in terms of a code of good practice on black economic empowerment, issued in terms of section 9(1) of the Broad-Based Black Economic Empowerment Act,
- (c) **"bid"** means a written offer in a prescribed or stipulated form in response to an invitation by an organ of state for the provision of goods or services, through price quotations, advertised competitive bidding processes or proposals,
- (d) **"Broad-Based Black Economic Empowerment Act"** means the Broad-Based Black Economic Empowerment Act, 2003 (Act No 53 of 2003),
- (e) **"EME"** means an Exempted Micro Enterprise in terms of a code of good practice on black economic empowerment issued in terms of section 9 (1) of the Broad-Based Black Economic Empowerment Act,
- (f) **"functionality"** means the ability of a tenderer to provide goods or services in accordance with specifications as set out in the tender documents
- (g) **"prices"** includes all applicable taxes less all unconditional discounts,
- (h) **"proof of B-BBEE status level of contributor"** means:
  - 1) B-BBEE Status level certificate issued by an authorized body or person,
  - 2) A sworn affidavit as prescribed by the B-BBEE Codes of Good Practice,
  - 3) Any other requirement prescribed in terms of the B-BBEE Act,
- (i) **"QSE"** means a qualifying small business enterprise in terms of a code of good practice on black economic empowerment issued in terms of section 9 (1) of the Broad-Based Black Economic Empowerment Act,
- (j) **"rand value"** means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes,

## Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30



|   |                      |                     |                  |     |     |
|---|----------------------|---------------------|------------------|-----|-----|
|  | Invitation to Tender | Template Identifier | 240-114238630    | Rev | 8   |
|   |                      | Document Identifier | N/A              | Rev | N/A |
|   |                      | Effective Date      | 22 November 2019 |     |     |
|   |                      | Review Date         | November 2022    |     |     |

## 6. B-BBEE STATUS LEVEL OF CONTRIBUTOR CLAIMED IN TERMS OF PARAGRAPHS 1.4 AND 4.1

6 1 B-BBEE Status Level of Contributor = (maximum of 10 or 20 points)

(Points claimed in respect of paragraph 7 1 must be in accordance with the table reflected in paragraph 4 1 and must be substantiated by relevant proof of B-BBEE status level of contributor)

## 7. SUB-CONTRACTING

7 1 Will any portion of the contract be sub-contracted?

(Tick applicable box)

|     |  |    |  |
|-----|--|----|--|
| YES |  | NO |  |
|-----|--|----|--|

7 1 1 If yes, indicate:

- i) What percentage of the contract will be subcontracted . . . . . %
- ii) The name of the sub-contractor.....
- iii) The B-BBEE status level of the sub-contractor .. . . .

iv) Whether the sub-contractor is an EME or QSE

(Tick applicable box)

|     |  |    |  |
|-----|--|----|--|
| YES |  | NO |  |
|-----|--|----|--|


v) Specify, by ticking the appropriate box, if subcontracting with an enterprise in terms of Preferential Procurement Regulations, 2017

| Designated Group: An EME or QSE which is at least 51% owned by:   | EME<br>√ | QSE<br>√ |
|---|----------|----------|
| Black people  |          |          |
| Black people who are youth  |          |          |
| Black people who are women  |          |          |
| Black people with disabilities                                    |          |          |
| Black people living in rural or underdeveloped areas or townships |          |          |
| Cooperative owned by black people                                 |          |          |

## Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                            |                  |            |     |
|---|-----------------------------|----------------------------|------------------|------------|-----|
|  | <b>Invitation to Tender</b> | <b>Template Identifier</b> | 240-114238630    | <b>Rev</b> | 8   |
|   |                             | <b>Document Identifier</b> | N/A              | <b>Rev</b> | N/A |
|   |                             | <b>Effective Date</b>      | 22 November 2019 |            |     |
|   |                             | <b>Review Date</b>         | November 2022    |            |     |

|  |  |  |
|--|--|--|
| Black people who are military veterans |  |  |
| <b>OR</b>                              |  |  |
| Any EME                                |  |  |
| Any QSE                                |  |  |

#### 8 DECLARATION WITH REGARD TO COMPANY/FIRM

8.1 Name of company/firm . . . . . of

8.2 VAT registration number . . . . .

8.3 Company registration number . . . . .

#### 8.4 TYPE OF COMPANY/ FIRM

- ☐ Partnership/Joint Venture / Consortium
- ☐ One person business/sole propriety
- ☐ Close corporation
- ☐ Company
- ☐ (Pty) Limited

[TICK APPLICABLE BOX]

#### 8.5 DESCRIBE PRINCIPAL BUSINESS ACTIVITIES

. . . . .

. . . . .

. . . . .

. . . . .

#### 8.6 COMPANY CLASSIFICATION

- ☐ Manufacturer
- ☐ Supplier
- ☐ Professional service provider
- ☐ Other service providers, e g transporter, etc


[TICK APPLICABLE BOX]

8.7 Total number of years the company/firm has been in business . . . . .

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30

|   |                             |                     |                  |     |     |
|---|-----------------------------|---------------------|------------------|-----|-----|
|  | <b>Invitation to Tender</b> | Template Identifier | 240-114238630    | Rev | 8   |
|   |                             | Document Identifier | N/A              | Rev | N/A |
|   |                             | Effective Date      | 22 November 2019 |     |     |
|   |                             | Review Date         | November 2022    |     |     |

8.8 I/we, the undersigned, who is / are duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the B-BBE status level of contributor indicated in paragraphs 1.4 and 6.1 of the foregoing certificate, qualifies the company/ firm for the preference(s) shown and I / we acknowledge that:

- i) The information furnished is true and correct,
- ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form,
- iii) In the event of a contract being awarded as a result of points claimed as shown in paragraphs 1.4 and 6.1, the contractor may be required to furnish documentary proof to the satisfaction of the purchaser that the claims are correct,
- iv) If the B-BBEE status level of contributor has been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the purchaser may, in addition to any other remedy it may have –
  - (a) disqualify the person from the bidding process,
  - (b) recover costs, losses or damages it has incurred or suffered as a result of that person's conduct,
  - (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation,
  - (d) recommend that the bidder or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted by the National Treasury from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied, and
  - (e) forward the matter for criminal prosecution

|  |  |
|--|--|
| <p>WITNESSES</p><br><br><p>1</p><br><br><p>2</p> | <p>SIGNATURE(S) OF BIDDERS(S)</p><br><br><br><br><p>DATE</p> |
|--|--|

### Confidential

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorised version on the system

No part of this document may be reproduced without the expressed consent of the copyright holder, Eskom Holdings SOC Ltd, Reg No 2002/015527/30