

	<b>SDL&amp;I Input</b>	Document Identifier	559-156509788	Rev	1	
		Effective Date	July 2024			
		Review Date	July 2027			

<b>Business Unit</b>	<b>NTCSA</b>
<b>Description/ Scope of Work</b>	<b>The provision of consultancy services for the conduction of Comprehensive Study on Grid Forming Technology.</b>
<b>Duration of the Project</b>	<b>Twelve Months (12)</b>

**Section 1: Objective Criteria**

The inclusion of objective criteria in an enquiry is not mandatory but a condition for contract award, and if included, this must align with the requirements of the PPPFA [clause 2(1)(f)] and be clearly stated in the enquiry together with the consequence of such objective criteria (i.e., if the tenderer does not meet objective criteria; it may lead to the second-ranked tenderer being recommended for award).

**NB: The following documents are required to claim preference points,**

- Valid B-BBEE certificate issued by a SANAS accredited verification agency / sworn affidavit / CIPC affidavit
- “proof of B-BBEE status level of contributor” means-
- (a) the B-BBEE status level certificate issued by an authorised body or person; or
- (b) a sworn affidavit as prescribed by the B-BBEE Codes of Good Practice; or
- (c) any other requirement prescribed in terms of the Broad-Based Black Economic Empowerment Act

**Section 2: Other SDL&I Objectives in line with RDP Goals**

**2.1 Designated Sectors**

When applicable the following stipulated minimum threshold for Local Production and Content must be achieved in full by the tenderer		
	YES	NO
a) Is this Commodity or part of it a Designated Sector?	<input type="checkbox"/>	<input checked="" type="checkbox"/>

**2.2 CIDB Skills Development**

Continuation of Mandatory Requirements		
	YES	NO
a) Is there CIDB compulsory training?	<input type="checkbox"/>	<input checked="" type="checkbox"/>

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### 2.3 Mandatory Subcontracting as condition of award

Continuation of Mandatory Requirements		
a) Is subcontracting applicable?	YES	NO
	<input type="checkbox"/>	<input checked="" type="checkbox"/>

### Section 3: Other SDL&I Objectives in line with Reconstruction and Development Programme (RDP) Goals

Tenderers who complete and submit the objectives as required, but who do not meet Ntcsa's targets, will not be disqualified. SDL&I objectives do not form part of scoring, but commitments will form part of contractual obligations

**1. Transformation – BBBEE Improvement or Retention Plan**

Transformation remains an area of focus, where NTCSA continuously strives to align itself with national transformation imperatives to unlock growth, drive industrialization, create employment and contribute to skills development. NTCSA encourages its suppliers to constantly strive to improve their B-BBEE rating. Whereas Tenderer/s will be allocated points in terms of a preference point system based on specific goals, NTCSA also requests that tenderer/s submits their B-BBEE improvement or retention plan within 30 days of signing the contract.

Tenderer/s are therefore requested to indicate the extent to which they will maintain (only if the respondent is a Level 1) or may improve/maintain their B-BBEE status over the contract period if their B-BBEE status is level 2 or 3. Tenderer/s with a B-BBEE status level 4 at the time of contract award, shall migrate and achieve as a non-negotiable a milestone of B-BBEE Level 3 by the end of the first year of the contract and thereafter improve their B-BBEE status level or migrate by one level higher.

Tenderer/s with a B-BBEE recognition status of Level 5 to Level 8 or non-compliant at the time of contract award, shall migrate and achieve as a non-negotiable a milestone of Level 4 by the end of the first year of the contract and thereafter improve at least one B-BBEE Level higher of each year from the second year of the contract.

Tenderer/s are requested to submit their B-BBEE Improvement Plan as an essential document within 30 days of signing the contract.

**NB:** A valid B-BBEE certificate or Sworn Affidavit is a condition for contract award, if your company's annual Total Revenue is R10 Million or less you qualify as an Exempted Micro Enterprise therefore you can submit Sworn Affidavit. If your annual Total Revenue is R50 Million or less, you qualify as Qualifying Small Enterprise and must comply with all of the elements of QSE score card relevant to your sector unless an entity is at least 51% Black owned you are required to obtain a Sworn affidavit. If your Annual Total Revenue is above R50m you need to submit a Valid B-BBEE certificate.

1. **BBBEE requirements:**  
All tenderers must at a minimum maintain their B-BBEE status throughout the contract period.

2. **Skills Development:**  
Tenderers are required to propose against the following training initiatives:

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**SKILLS DEVELOPMENT**

<b>Skills Type</b>	<b>NTCSA Target</b>	<b>Supplier's Proposal</b>
Renewable energy skills development training	2	
Capacity building in renewable energy systems and applications	2	

Qualifying candidates shall be currently unemployed graduates from University, Technical and Vocational Education and Training (TVET) Colleges and/or Matriculates. The skills development candidates shall be representative of the population demographics of South Africa.

**2. Job Opportunities**

Suppliers should propose the number of jobs to be created as a direct result of this contract. This proposal must be made on the table below:

<b>Proposed number of Jobs to be created</b>	<b>Number of Jobs to be Retain</b>
Not applicable for the research	

**Section 4: SDL&I Penalty and Performance Security**

NTCSA will apply a penalty of 2.5% of the invoice amount for failure to meet SDL&I Obligations
For the duration of the contract, NTCSA will retain 2.5% of every invoice (excluding VAT) as security for the fulfilment of all SDL&I Obligations. The retained amounts shall only be released to the Contractor upon: <ul style="list-style-type: none"> <li>• NTCSA receives the SDL&amp;I progress report/s from the contractor.</li> <li>• Fulfilment of all SDL&amp;I obligations by the contractor.</li> <li>• Submission of an approved compliance report by SDL&amp;I Department.</li> </ul>

**Section 5: Reporting and Monitoring**

<ul style="list-style-type: none"> <li>• The suppliers shall on a quarterly basis submit a report to NTCSA in accordance with Data Collection Template on their compliance with the SDL&amp;I obligations described above.</li> <li>• NTCSA shall review the SDL&amp;I reports submitted by the suppliers within 30 (thirty) days of receipt of the reports and notify the suppliers in writing if their SDL&amp;I obligations have not been met.</li> <li>• Upon notification by NTCSA that the suppliers have not met their SDL&amp;I obligations, the suppliers shall be required to implement corrective measures to meet those SDL&amp;I obligations before the commencement of the following report, failing which Retention clauses shall be invoked.</li> <li>• Every contract shall be accompanied by the SDL&amp;I Implementation Schedule, which must be completed by the suppliers and returned to SDL&amp;I representative for acceptance 28 days after contract award. This will be used as a reference document for monitoring, measuring and reporting on the supplier's progress in delivering on their stated SDL&amp;I commitments</li> </ul>
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### Section 6: General Information on Validity of Sworn Affidavits

The following must be considered when it comes to validity of Affidavits;

**Tenderers submitting B-BBEE Sworn Affidavits must ensure that the affidavits meet the following key pointers to ensure their validity:**

- Name/s of deponent as they appear in the identity document and the identity number.
- Designation of the deponent as the **director, owner** or **member** must be indicated in order to know that person is duly authorised to depose of an affidavit. **(Mark the applicable option)**.
- Name of enterprise as per enterprise registration documents issued by the CIPC, where applicable, and enterprise business address.
- Percentage of black ownership, black female ownership and designated group. In the case of specialised enterprises as per Statement 004, the percentage of black beneficiaries must be reflected. **(No blank spaces to be left)**.
- Indicate total revenue for the year under review and whether it is based on **audited financial statements** or **management account**. **(Mark the applicable option)**.
- Financial year end as per the **enterprise's registration documents**, which was used to determine the total revenue. **(Financial year end to be stipulated by day/month/year)**.
- B-BBEE Status level. An enterprise can only have one status level. **(Tick applicable level)**
- Empowering supplier status must be indicated. For QSEs, the deponent must select the basis for the empowering supplier status.
- Date deponent signed and date of Commissioner of Oath must be the same. **(The sworn affidavit must be signed in the presence of the Commissioner of Oath. Furthermore the Commissioner must also sign and stamp)**
- Commissioner of Oath cannot be an employee or ex officio of the enterprise because, a person cannot by law, commission a sworn affidavit in which they have an interest.

### Section 7: Market Research

<u>Current Suppliers Providing the Services</u> - NTCSA vendor database	<u>Potential Suppliers</u> - The market must be tested for potential suppliers
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### Section 8: General Information on Validity of Sworn Affidavits

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- Designation of the deponent as the **director, owner** or **member** must be indicated in order to know that person is duly authorised to depose of an affidavit. **(Mark the applicable option)**.
- Name of enterprise as per enterprise registration documents issued by the CIPC, where applicable, and enterprise business address.
- Percentage of black ownership, black female ownership and designated group. In the case of specialised enterprises as per Statement 004, the percentage of black beneficiaries must be reflected. **(No blank spaces to be left)**.
- Indicate total revenue for the year under review and whether it is based on **audited financial statements** or **management account**. **(Mark the applicable option)**.
- Financial year end as per the **enterprise's registration documents**, which was used to determine the total revenue. **(Financial year end to be stipulated by day/month/year)**.
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