

CONTRACT SANRAL

EOI NO. SANRAL
HO/58700/1004/2023/02

**CALL FOR EXPRESSION OF INTEREST:
APPOINTMENT OF SERVICE
PROVIDERS ON THE SANRAL PANEL
OF TECHNICAL AND FINANCIAL DUE
DILIGENCE FOR A PERIOD OF THREE
YEARS**

HEAD OFFICE
27 March 2023

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PLEASE NOTE:

FORM A1. CERTIFICATE OF RESPONDENT BRIEFING AND

FORM A2: CERTIFICATE OF INTENTION TO SUBMIT AN EXPRESSION OF INTEREST

Form A1	<p>CERTIFICATE OF RESPONDENT BRIEFING</p> <p>Note to Respondent:</p> <ol style="list-style-type: none">1. Scan a copy of completed and signed Certificate on the flash drive.
Form A2	<p>CERTIFICATE OF INTENTION TO SUBMIT AN EXPRESSION OF INTEREST</p> <p>Notes to Respondent:</p> <ol style="list-style-type: none">1. The duly completed certificate of intention to submit an Expression of Interest must be submitted by whoever intends to respond for this particular expression of interest by 31 March 2023. Failure to submit the certificate of intention to tender within the required period may render the Respondent non-responsive and SANRAL does not accept responsibility for any communication not received by the Respondent timeously.2. Late notification of intention to tender by a prospective respondent will not necessarily result in the tender closing date being extended.3. Should you intend to submit a tender for this particular tender please sign the certificate, scan and email the completed document to the email address indicated in T1.1 of this tender document.4. The Employer shall send all correspondence, including Addenda, only to the Respondent's email address as provided herein.

PART A: EXPRESSION OF INTEREST DATA

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CONDITIONS OF CALL FOR EXPRESSION ON INTEREST

Note to respondent:

The conditions for the calling for expressions of interest are the standard conditions for calling for expressions of interest as contained in SANS 10845-4:2015 Edition 1.

The standard conditions for calling for expressions of interest make several references to the submission data. The submission data shall have precedence in the interpretation of any ambiguity or inconsistency between the submission data and the standard conditions for calling for expressions of interest. Each item of data given below is cross-referenced to the subclause in the standard conditions for calling for expressions of interest to which it mainly applies.

EXPRESSION OF INTEREST DATA

The standard conditions of tender make several references to the tender data for details that apply specifically to this tender. The tender data shall have precedence in the interpretation of any ambiguity or inconsistency between the tender data and the standard conditions of tender.

Each item of data given below is cross-referenced to the clause in the standard conditions of tender to which it mainly applies

Clause Number	EOI Data
4,1	<p>Eligibility</p> <p>Only those respondents who satisfy the following criteria are eligible to submit expressions of interest:</p> <p>a) Registered on National Treasury Central Supplier Database.</p> <p>Respondents shall be registered on the National Treasury Central Supplier Database at the closing date for tender submissions. If not registered as verified online at tender closing, the tenderer will be declared non-responsive.</p> <p>b) Only respondents who are BBBEE compliant and are between level 1 to 4 are eligible and are EMEs, QSE or Generic.</p> <ul style="list-style-type: none"> • A copy of a valid BBBEE scorecard must be submitted. B-BBEE scorecard must be issued in accordance with the A tenderers' scorecard shall be a B-BBEE Verification Certificate issued in accordance with the: <ul style="list-style-type: none"> i. Generic Codes of Good Practice as issued by the Department of Trade and Industry and Competition; or ii. Chartered Accountancy Sector Codes Gazette Number: 34267 Issued on 11 May 2011. <p>c) Mandatory Technical requirements:</p> <ul style="list-style-type: none"> i. Proof of SAICA Registration for the lead Auditor ii. At least one ECSA Registered Engineer iii. Proof of Certified Internal Audit or iv. Membership with either Association of Certified Fraud Examiner (ACFE) or Institute Commercial Forensic Practitioners (ICFP) <p>Failure to satisfy the eligibility criteria will result in a non-responsive submission.</p>
4,2	<p>Cost of submission</p> <p>Tender documents are available from SANRAL website at no cost.</p>

EXPRESSION OF INTEREST DATA

CLAUSE NUMBER	EOI DATA
4.9	<p>Closing time</p> <p>The closing time for submissions is: 11h00 hours on Tuesday 03 May 2023</p> <p>Where closing date was extended, documents that were valid at the original closing date, remain valid for the extended closing date.</p>

Queries relating to issues arising from this tender process may be addressed to:

Email ProcurementHO9@sanral.co.za

EXPRESSION OF INTEREST DATA

CLAUSE NUMBER	SUBMISSION DATA
4.7	<p>Making a submission</p> <p>The Employer's address for delivery of submissions and identification details to be shown on each submission package are:</p> <p>Location of tender box: SANRAL Northern Regional Offices Physical Address: 38 Ida Street, Menlo Park, Pretoria (GPS dms (WGS84) 25° 46' 39.9" S and 28° 16' 29.4" E)</p> <p>Identification details: Place the completed hard copy and flash drive in a package marked as follows:</p> <p>RESPONDENT'S NAME: _____</p> <p>PROJECT NUMBER & DESCRIPTION: EOI NO. SANRAL HO/64510/1002/2023/01</p> <p>CALL FOR EXPRESSIONS OF INTEREST: APPOINTMENT OF SERVICE PROVIDERS ON THE SANRAL PANEL OF TECHNICAL AND FINANCIAL DUE DILIGENCE FOR A PERIOD OF THREE YEARS:</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>Submissions must be submitted during hours (09:00 to 16:00) Monday to Friday at the Employer's address.</p> <p>It is in the tenderer's interest to ensure that the delivery of the tender offer is recorded in the Employer's tenders received register and deposited in the tender box.</p>

EXPRESSION OF INTEREST DATA

CLAUSE NUMBER	EOI DATA																													
SC 2	<p>Phase 2: Appointment process from the panel of Internal Audit service provider</p> <p>Appointment through Request for Quotation (RFQ) process:</p> <p>Appointment from the panel will follow a closed competitive request for quotation process among the qualifying service providers, as and when a need arises. SANRAL will issue a Request for Quotation document to all qualifying service providers registered on the panel. The service providers will be given a minimum three (3) days to submit a quotation.</p> <p>The RFQ will be issued to the service providers in line with the B-BBEE level and size applicable to the respective category based on estimated contract value:</p> <table><tr><th></th><th>CATEGORY 1</th><th>CATEGORY 2</th><th>CATEGORY 3</th><th>CATEGORY 4</th></tr><tr><td>Contract value</td><td>< R30 000</td><td>R30K ≤ R10 M</td><td>R10M ≤ R30M</td><td>> R30M</td></tr><tr><td>B-BBEE level</td><td>Level 1-2</td><td>Level 1-2</td><td>Level 1-4</td><td>Level 1-4</td></tr><tr><td rowspan="3">B-BBEE size</td><td rowspan="3">EME / EME start-ups</td><td rowspan="3">EME / EME start-ups</td><td>EME</td><td>EME</td></tr><tr><td>QSE / QSE start-ups</td><td>QSE</td></tr><tr><td></td><td>Generic</td></tr><tr><td>Sub-contracting requirements</td><td>No subcontracting requirements</td><td>No subcontracting requirements</td><td>Minimum 30% subcontracting provision applies to the designated groups</td><td>Minimum 30% subcontracting provision applies to the designated groups</td></tr></table>		CATEGORY 1	CATEGORY 2	CATEGORY 3	CATEGORY 4	Contract value	< R30 000	R30K ≤ R10 M	R10M ≤ R30M	> R30M	B-BBEE level	Level 1-2	Level 1-2	Level 1-4	Level 1-4	B-BBEE size	EME / EME start-ups	EME / EME start-ups	EME	EME	QSE / QSE start-ups	QSE		Generic	Sub-contracting requirements	No subcontracting requirements	No subcontracting requirements	Minimum 30% subcontracting provision applies to the designated groups	Minimum 30% subcontracting provision applies to the designated groups
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B-BBEE level	Level 1-2	Level 1-2	Level 1-4	Level 1-4																										
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				Generic																										
Sub-contracting requirements	No subcontracting requirements	No subcontracting requirements	Minimum 30% subcontracting provision applies to the designated groups	Minimum 30% subcontracting provision applies to the designated groups																										

EXPRESSION OF INTEREST DATA

SC 2

The quotations will be evaluated through Price and Preference process stated below:

Scoring price

80/20 preference point system for acquisition of goods and services for Rand value equal to or above R30 000 and up to R50 million

The following formula will be used to calculate three points out of 80 for price:

$$P_s = 80(1 - (P_t - P_m) / P_m)$$

Where:

P_s is the points scored for price of tender under consideration.

P_t is the price of the tender under consideration; and

P_m is the price of the lowest acceptable tender.

90/10 preference point system for acquisition of goods and services for Rand value above R50 million

The following formula will be used to calculate three points out of 90 for price:

$$P_s = 90(1 - (P_t - P_m) / P_m)$$

Where:

P_s is the points scored for price of tender under consideration.

P_t is the price of the tender under consideration; and

P_m is the price of the lowest acceptable tender.

1. Scoring specific goals:

Points are based on a tenderer's scorecard measured in terms of the Broad-Based Black Economic Empowerment Act (B-BBEE, Act 53 of 2003 as amended in Act 46 of 2013) the Preferential Procurement Policy Framework Act (PPPFA, Act 5 of 2000).

Points awarded will be according to a tenderer's B-BBEE status level of contributor and summarised in the table below:

EXPRESSION OF INTEREST DATA

SC 2
(continued)

B-BBEE Status Level of contributor	Number of Points for financial value up to and including R50 000 000	Number of Points for financial value above R50 000 000
1	20	10
2	18	9
3	14	6
4	12	5
5	8	4
6	6	3
7	4	2
8	2	1

The contract will be awarded to the highest scoring tenderer.

EXPRESSION OF INTEREST DATA

<p>SC 2 (continued)</p>	<p>Conditions:</p> <p>1, Negotiations</p> <p>a) If the price offered by a tenderer scoring the highest points is not market related, the organ of state may not award the contract to that tenderer.</p> <p>2, The organs of state may –</p> <p>a) Negotiate a market related price with the tender scoring the highest points or cancel the contract; b) If the tenderer does not agree to a market related price, negotiate a market related price with the tenderer scoring the second highest points of cancel the tender; c) If the tenderer scoring the second highest points does not agree to a market related price, negotiate a market related price with the tenderer scoring the third highest points of cancel the tender;</p> <p>3, If a market related price is not agreed as envisaged in paragraph 2(c), the organ of state must cancel the tender.</p> <ul style="list-style-type: none"> • Tax compliant status The tenderer is tax compliant. The recommended tenderer who becomes non-compliant prior to award shall be notified and must become compliant within 7 (seven) working days of the date of being notified. A recommended tenderer who remains non-compliant after the 7 (seven) working days of being notified shall be declared non-responsive. • CSD and B-BBEE It is the successful panellist’s responsibility to ensure that their CSD and BBBEE certificates are valid at all times during the contract period. Failure to do so may lead to exclusion from phase two process.
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TENDER DATA

SC 3	<p>Updating of the database</p> <p>The framework agreement panel will be updated on an annual basis to allow new qualifying service providers to be included in the panel. The period of the newly added individuals will be linked to the remaining period of the 3-year period.</p>
SC 4	<p>Proposed Resources</p> <p>Should the resources proposed in the submission no longer available during Phase 2 the responded will be requested to replace such resource/s with equal or better resources. It is the responsibility of the respondent to notify SANRAL of the replacement.</p>

PART B: RETURNABLE SCHEDULES

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PART B: RETURNABLE SCHEDULES

FORM NO	ELECTRONIC FORMAT	FORM DESCRIPTION	INITIAL IF COMPLETED
A1	PDF	CERTIFICATE OF RESPONDENT BRIEFING	*1
A3	PDF	CERTIFICATE OF INTENTION TO SUBMIT AN EXPRESSION OF INTEREST	*1
A3	PDF	CERTIFICATE OF AUTHORITY FOR SIGNATORY	*1
A4	PDF	DECLARATION OF RESPONDENT'S CURRENT STATUS OF ANY DEBT OUTSTANDING TO SANRAL	*1 & *2
A5	PDF	CERTIFICATE OF SINGLE SUBMISSION	*1 & *2
A6	PDF	CERTIFICATE OF FRONTING PRACTICES	*1 & *2
A7	PDF	DECLARATION – MANAGEMENT OF PROMINENT INFLUENTIAL PERSONS	*1 & *2
A8	PDF	BIDDER'S DISCLOSURE (SBD 4)	*1 & *2
A9	PDF	REGISTRATION ON NATIONAL TREASURY CENTRAL SUPPLIER DATABASE	*1 & *2
A10	PDF	SCHEDULE OF ADDENDA TO EXPRESSION OF INTEREST DOCUMENTS	*1
A11	PDF	CERTIFICATES OF TAX COMPLIANCE (SBD 2)	*1
A12	PDF	DECLARATION OF RESPONDENT'S LITIGATION HISTORY	*1
A13	PDF	INVITATION TO BID AND TERMS AND CONDITIONS FOR BIDDING (SBD 1)	*1 & *2
A14	PDF	RESPONDENT'S B-BBEE VERIFICATION CERTIFICATE	*1 & *2
A15	PDF	RESPONDENT'S PAST EXPERIENCE	*1 & *2
A16.1	PDF	LEAD AUDITOR EXPERIENCE	*1 & *2
A16.2	PDF	LEAD ENGINEER EXPERIENCE	*1 & *2
A17	PDF	KEY RESOURCE QUALIFICATION AND PROFESSIONAL AFFILIATION	*1 & *2

PART F: SCOPE OF SERVICES

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Scope of Services

The scope of services for this panel includes assessing the overall risk in terms of financial and technical capability of awarding contracts to a particular contractor, consultants or service provider based on amongst others, review of the following.

- a) Founding documents of the prospective contractor, consultants, or service provider
- b) The financial position of the prospective contractor, consultants, or service provider
- c) Financial performance and rating of the prospective contractor, consultants, or service provider
- d) Cashflow position of the prospective contractor in relation to funds available to fund SANRAL projects and ensure seamless work, i.e., no stoppages because of lack of funds.
- e) Bank statements of the prospective contractor, consultants, or service provider
- f) Credit support obligations which the prospective contractor, consultants or service provider might have claimed to have.
- g) Contingent liabilities that the prospective contractor, consultants, or service provider might have
- h) Off- balance sheet transactions, etc. that the prospective contractor, consultants, or service provider might have.
- i) Litigations against or for the prospective contractor, consultants, or service provider.
- j) Previous work experience in terms of projects declared as executed in the tender documents.
- k) Current workload of the prospective contractor, consultants, or service provider.
- l) Review of organisation structure and existence of tender documents declared employees.
- m) Fixed assets that the prospective contractor, consultants, or service provider claims to have access or own.
- n) Any other information relevant to concluding on the risk to SANRAL of awarding work to a prospective contractor, consultants, or service provider.



THANK YOU

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