



**ETHEKWINI MUNICIPALITY**  
**Occupational Health & Safety Unit**

**BASELINE RISK ASSESSMENT**

Document Title	Baseline Risk Assessment
Client	EThekweni Municipality – Human settlements
Project	Provision of Civil Infrastructure for Lamontville Ministerial Housing Project – Phase 3
Contract Number	1H-27952
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## **BASELINE RISK ASSESSMENT**

**1. INTRODUCTION:** In accordance with the Occupational Health and Safety Act, (Act 85 of 1993) the Legislator places specific requirements on an Employer. One of these is prescribed in Section 8(i) of the Act where it requires the Employer to ascertain the risks and dangers which may occur within the workplace or section of the workplace and then goes on to establish working procedures or practices.

**2. PURPOSE:** This is conducted to create a benchmark of the potential risks that apply to the whole project or business operation.

**3. SCOPE:** This assessment could be approached on a site, regional or national level concerning any facet of the business operation or process or activity.

### **4. REVIEW AND MONITORING PLAN**

The risk assessment form part of the health and safety plan to be applied on the site and must include the following:

- (a) The identification of the risk and hazards to which persons may be exposed.
- (b) An analysis and evaluation of the risk and hazards identified based on a documented method,
- (c) A documented plan and applicable safe work procedures to mitigate, reduce or control the risks and hazards that have been identified;
- (d) A monitoring plan; and
- (e) A review plan

### **5. REFERENCES**

- (a) Tender document number 1H – 27952
- (b) Occupational Health & Safety Act and its Regulation

## **6. LOCALITY PLAN**

Nil

## **SCOPE OF WORK**

Traffic management

Demolitions

Existing services

Site clearing

Site establishment

Concrete work

Excavation/ Earthwork

Construction vehicle and mobile plant

Construction of roads

Construction of appurtenant

Compaction

Construction of storm-water drainage system

Construction of sewer-line system

Soil poisoning

Working in a fall risk positions

Corona virus

Retaining wall/ Gabions

# 1. RISK ESTIMATION AND EVALUATION

## RISK CLASSIFICATION USING A RISK SCORE TECHNIQUE

<b>Exposure (E) How frequently does the hazardous event occur</b>		<b>Risk level</b>
Continuously .....		10
Frequently (daily) .....		6
Occasionally (weekly) .....		3
Unusually (monthly) .....		2
Rarely (few a year) .....		1
<b>Probability (P) The probability of a loss when the hazardous event does occur</b>		<b>Risk level</b>
Frequent (happens often) .....		10
Probable (quite possible) .....		6
Occasional (unusual, but possible) .....		3
Remotely possible (has happened somewhere) .....		1
Improbable (practically impossible) .....		0.5
<b>Severity (S) Consequences of the hazardous event</b>		<b>Risk level</b>
<b>Catastrophic</b> many fatalities; or interruption of longer than 2 weeks; or asset or environmental damage (or both) exceeding R100m .....		100
<b>Disaster</b> (few fatalities; or interruption between one and 2 weeks; or asset or environmental damage (or both) exceeding R10m) .....		40
<b>Very serious</b> (one fatality; or interruption of 6 days; or asset or environmental damage (or both) exceeding R100,000 .....		7
<b>Important</b> (temporary disability; or interruption between 6 and 24 hours; or damage exceeding R10,000 .....		3
<b>Noticeable</b> (first aid needed; or interruption of less than 6 hours; damage exceeding R1000) .....		1
<b>Risk classification (Risk score = E x P x S )</b>		
<b>Risk score</b>		<b>Risk level</b>
Over 400-----5		Very high risk – discontinue operation or activity
200 to 400 ----- 4		High risk – immediate correction needed
70 to 200----- 3		Substantial risk – correction needed
20 to 70----- 2		Possible risk – attention needed
Under 20 ----- 1		Risk accepted

**BASELINE RISK ASSESSMENT WORKSHEET: IDENTIFYING EXISTING & POTENTIAL RISKS**

	Activity	Hazard	Risk	Risk Evaluation			Risk Score	Risk level
				E	P	S		
<b>1</b>	<b>Traffic management</b>							
		<ul style="list-style-type: none"> <li>Passing-by traffic. Nearby vendors. Poor demarcation/ displaying of signs. Incorrect traffic management plan. Incompetent traffic controllers.</li> </ul>	<ul style="list-style-type: none"> <li>Personal injuries or death. Road Accident;</li> </ul>	6	6	7	252	4
<b>2</b>	<b>Demolition</b>							
	<ul style="list-style-type: none"> <li>Mechanical and manual demolition</li> </ul>	<ul style="list-style-type: none"> <li>Collapsing of structures; Noise; Dust; Flying objects; Machinery running out of control; Manual handling of tools</li> </ul>	<ul style="list-style-type: none"> <li>Injury to body; Noise induced-hearing loss; Respiratory disease; Damage to eyes; Damage to nearby properties; Injury to hands</li> </ul>	6	6	3	108	3
<b>3</b>	<b>Existing services</b>							

		<ul style="list-style-type: none"> <li>• Improper disconnection and connection; Unforeseen existing services; Electrocuton</li> </ul>	<ul style="list-style-type: none"> <li>• Distraction of services in the area; community unrest; Burns; death;</li> </ul>	3	6	7	126	3
<b>4</b>	<b>Site clearing</b>							
	<ul style="list-style-type: none"> <li>• Clearing of the site using construction mobile plant and hand tools</li> </ul>	<ul style="list-style-type: none"> <li>• Incompetent driver/ operator</li> <li>• Unsafe construction mobile plant/ hand tools</li> <li>• Petrol and oil spillages</li> <li>• Existing services</li> <li>• Noise</li> </ul>	<ul style="list-style-type: none"> <li>• Accident/ property damage/ machinery running out of control</li> <li>• Injury to body</li> <li>• Environmental contamination</li> <li>• Noise induced hearing loss</li> </ul>	3	6	7	126	3
<b>5</b>	<b>Site establishment</b>							
	<ul style="list-style-type: none"> <li>• Manual and mechanical clearing of the land</li> <li>• Off-loading and positioning of containers by</li> </ul>	<ul style="list-style-type: none"> <li>• Incompetent construction mobile plant operator</li> <li>• Manual Handling of equipment</li> </ul>	<ul style="list-style-type: none"> <li>• Body injuries/ Accidents</li> <li>• Destruction of services</li> <li>• Burns</li> </ul>	2	6	7	84	3

	<ul style="list-style-type: none"> <li>mobile crane</li> <li>Fencing off the site</li> <li>Installation of temporary water supply, electricity,</li> </ul>	<ul style="list-style-type: none"> <li>and materials.</li> <li>Uneven surfaces</li> <li>Reckless driving.</li> <li>Electrocution</li> <li>Incorrect/poor connection of temporary services</li> </ul>						
<b>6</b>	<b>Excavation/ earthworks</b>							
	<ul style="list-style-type: none"> <li>Manual and mechanical excavation of trenches using construction mobile plants and hand tools</li> </ul>	<ul style="list-style-type: none"> <li>Collapsing of trenches.</li> <li>Unsafe access to trench</li> <li>Unsafe machinery/ hand tools</li> <li>Unprotected trenches</li> <li>People exposure to excavations</li> <li>Engulfment</li> </ul>	<ul style="list-style-type: none"> <li>Death/ buried/ trauma/ panic attack</li> <li>Broken bone/ dislocation/</li> <li>Accidents/ injuries to hands,</li> <li>Falling into excavation</li> <li>Death/ broken bones</li> </ul>	6	6	7	252	4
<b>7</b>	<b>Construction of stormwater drainage system</b>							
	<ul style="list-style-type: none"> <li>Excavation above 1m deep using and excavator and TLB</li> <li>Preparation and</li> </ul>	<ul style="list-style-type: none"> <li>Collapsing of trenches.</li> <li>Unsafe access to trench</li> </ul>	<ul style="list-style-type: none"> <li>Death/ dislocation/ trauma/ panic attack</li> <li>Broken borne/</li> </ul>	6	6	7	252	4

	<p>laying of concrete pipes using a lifting equipment</p> <ul style="list-style-type: none"> <li>• Construction of above 1m deep manhole using cement, blocks, handtools and concrete manhole rings/ cover</li> <li>• Connection of the stormwater pipes into the existing stormwater drainage system</li> </ul>	<ul style="list-style-type: none"> <li>• Unprotected trenches</li> <li>• People exposure to excavations</li> <li>• Unsafe lifting devices</li> <li>• Incompetent lifting machinery operator</li> <li>• Equipment failure</li> <li>• Overloading of equipment/ machinery</li> <li>• Working/ operating equipment too close to the excavation</li> <li>• Contact with and inhalation of cement dust</li> <li>• Manual handling of heavy manhole rings and covers</li> <li>• Incorrect</li> </ul>	<p>dislocation,</p> <ul style="list-style-type: none"> <li>• Falling into excavation.</li> <li>• Injury to body/ accidents/ property damage/ noncompliance with DMR/ noncompliance with stipulated safe working load.</li> <li>• Falling into excavation/ property damage.</li> <li>• Respiratory diseases/ skin disease or irritation</li> <li>• Skeletal injuries/ possible of pinch/ Injury to hands and toes.</li> <li>• Destruction or blockage of stormwater drainage system</li> </ul>					
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		connection of stormwater pipe						
<b>8</b>	<b>Construction of sewerline system</b>							
	<ul style="list-style-type: none"> <li>Excavation above 1m deep using and excavator and TLB</li> <li>Preparation and laying of UPVC heavy pipes using a lifting equipment</li> <li>Construction of above 1m deep manhole using cement, blocks, hand tools and concrete manhole rings/ cover</li> <li>Connection of UPVC heavy duty sewer pipes into the existing sewer system</li> </ul>	<ul style="list-style-type: none"> <li>Collapsing of trenches.</li> <li>Unsafe access to trench</li> <li>Unprotected trenches</li> <li>People exposure to excavations</li> <li>Unsafe lifting devices</li> <li>Incompetent lifting machinery operator</li> <li>Equipment failure</li> <li>Overloading of equipment/ machinery</li> <li>Working/ operating equipment too close to the excavation</li> <li>Contact with and inhalation</li> </ul>	<ul style="list-style-type: none"> <li>Death/ dislocation/ trauma/ panic attack</li> <li>Broken borne/ dislocation,</li> <li>Falling into excavation.</li> <li>Injury to body/ accidents/ property damage/ noncompliance with DMR/ noncompliance with stipulated safe working load.</li> <li>Falling into excavation/ property damage.</li> <li>Respiratory diseases/ skin disease or irritation</li> <li>Skeletal injuries/</li> </ul>	6	6	7	252	4

		<ul style="list-style-type: none"> <li>of cement dust</li> <li>• Manual handling of heavy manhole rings and covers</li> <li>• Incorrect connection of UPVC sewer pipes</li> </ul>	<ul style="list-style-type: none"> <li>possible of pinch/ Injury to hands and toes.</li> <li>• Destruction or blockage of stormwater drainage system</li> </ul>						
<b>9</b>	<b>Compaction</b>								
	<ul style="list-style-type: none"> <li>• Operating a bomag roller, wacker etc.</li> </ul>	<ul style="list-style-type: none"> <li>• Incompetent operator,</li> <li>• Noise,</li> <li>• Vibration</li> </ul>	<ul style="list-style-type: none"> <li>• Personal injuries</li> <li>• Noise Induce Hearing loss</li> <li>• Kidney problem/ body or muscular pain</li> </ul>	6	6	3	108	3	
<b>10</b>	<b>Concrete works</b>								
	<ul style="list-style-type: none"> <li>• Concrete works</li> </ul>	<ul style="list-style-type: none"> <li>• Concrete spillage.</li> <li>• Concrete contact with body.</li> </ul>	<ul style="list-style-type: none"> <li>• Soil contamination</li> <li>• Skin problems</li> </ul>	3	3	3	27	2	
<b>11</b>	<b>Soil poisoning</b>								
	<ul style="list-style-type: none"> <li>• Applying and safekeeping of chemicals</li> </ul>	<ul style="list-style-type: none"> <li>• Contact or inhalation of soil poisoning chemical</li> </ul>	<ul style="list-style-type: none"> <li>• Death/ respiratory disease/ skin disease</li> </ul>	3	3	3	27	2	
<b>12</b>	<b>Construction of roads</b>								
	<ul style="list-style-type: none"> <li>• Clearing, cutting,</li> </ul>	<ul style="list-style-type: none"> <li>• Unsafe</li> </ul>	<ul style="list-style-type: none"> <li>• Accidents/</li> </ul>	6	6	3	108	3	

	filling and leveling of the road using construction mobile plants <ul style="list-style-type: none"> <li>• Compaction</li> <li>• Asphaltting</li> <li>• Road marking</li> <li>• Installation of signage</li> </ul>	construction mobile plants <ul style="list-style-type: none"> <li>• Oil/ petrol spillage</li> <li>• Incompetent driver/ operator</li> <li>• Uneven surface</li> <li>• Dust</li> <li>• Vibration</li> <li>• Noise</li> <li>• Contact with hot asphalt</li> <li>• Fumes</li> <li>• Exposure to hazardous paint</li> <li>• Unsafe drilling machine</li> <li>• Digging for signage poles</li> </ul>	Environmental contamination <ul style="list-style-type: none"> <li>• Accidents/ disablement/ damage to property</li> <li>• Capsizing of mobile construction plants/ Jammed construction mobile plants</li> <li>• Lung disease, eye irritation</li> <li>• Fatigue/ Kidney damage/ Muscle or joint pain</li> <li>• Noise induced hearing loss</li> <li>• Burns/ skin infections</li> <li>• Breathing/ respiratory diseases</li> <li>• Injury to hands</li> </ul>					
<b>13</b>	<b>Construction of appurtenant</b>							
	<ul style="list-style-type: none"> <li>• Kerbing and channeling using hand-tools</li> <li>• Retaining structure using</li> </ul>	<ul style="list-style-type: none"> <li>• Manual handling of kerbs</li> <li>• Unsafe tools</li> <li>• Ergonomic</li> </ul>	<ul style="list-style-type: none"> <li>• Skeletal injuries/ Injuries to hands and toes</li> <li>• Ergonomic risks</li> </ul>	3	6	3	54	2

	wire baskets and stones	<ul style="list-style-type: none"> <li>hazards</li> <li>Manual handling of stones</li> </ul>						
<b>14</b>	<b>Working in a fall risk positions</b>							
	<ul style="list-style-type: none"> <li>Working in a fall risk positions.</li> <li>Erection and dismantling of scaffolding/ platform.</li> </ul>	<ul style="list-style-type: none"> <li>Falling into or from.</li> <li>Unsafe tools/ manual handling of guardrails</li> </ul>	<ul style="list-style-type: none"> <li>Broken bones/ body injuries</li> <li>Injury to hands</li> </ul>	6	6	3	108	3
<b>15</b>	<b>Construction mobile plant and vehicles</b>							
	<ul style="list-style-type: none"> <li>Use of construction vehicles and mobile plants and equipment</li> </ul>	<ul style="list-style-type: none"> <li>Unsafe construction plants and equipment</li> <li>Incompetent drivers/ operators</li> <li>Uneven surface</li> <li>Running out of control</li> <li>Noise</li> <li>Vibration</li> <li>Oil Spillage</li> <li>Dust</li> </ul>	<ul style="list-style-type: none"> <li>Accidents/ property damage/ noncompliance with DMR/ noncompliance with stipulated safe working load/ capsizing of mobile construction plants/ injury to body/ death/ loss of limb/ disablement/ noise induced hearing loss/ muscular pains/ kidney damage/</li> </ul>	6	6	7	252	4

			environmental contamination/ lung disease						
<b>16</b>	<b>Corona virus</b>								
	<ul style="list-style-type: none"> <li>• Transportation of employees</li> <li>• Use of site facilities and hand-tools</li> <li>• Performing construction work</li> <li>• Meeting/ toolbox talks</li> </ul>	<ul style="list-style-type: none"> <li>• Non-compliance with COVID19 Codes of practice</li> <li>• Sharing of facilities and hand tools</li> <li>• Failure to use disinfectants</li> <li>• Virus contaminated vehicle, facilities and tool</li> <li>• Being in an enclosed place</li> <li>• Not using protective gear</li> <li>• Failure to apply distancing</li> </ul>	<ul style="list-style-type: none"> <li>• Employees could be infected with COVID19 virus, severe illness or death</li> <li>• Could be prosecuted or fined</li> </ul>	3	3	6	54	2	
<b>17</b>	<b>Retaining walls/ Gabions</b>								

	<ul style="list-style-type: none"> <li>Gabion</li> </ul>	<ul style="list-style-type: none"> <li>Manual handling of stones and basket;</li> <li>Unsafe tools</li> </ul>	<ul style="list-style-type: none"> <li>Skeletal injuries/ Injuries to hands and toes</li> <li>Ergonomic risks</li> </ul>	3	6	3	54	2
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**RISK PROFILE:**

**Provision of civil infrastructure for Lamontville Ministerial Housing Project – Phase 3 (1H-27952)**



