

**MANDATORY****TRANSNET CORPORATE CENTRE**

an Operating Division of **TRANSNET SOC LTD**

[hereinafter referred to as **Transnet**]

[Registration No. 1990/000900/30]

REQUESTS FOR QUALIFICATION [RFQ]

are sought to establish those parties interested in participating in this procurement process.

THEREAFTER**THROUGH A SEPARATE "REQUEST FOR PROPOSAL" [RFP] PROCESS**

For the establishment of a Rolling Stock Leasing Company (LeaseCo)

RFQ NUMBER:	TCC/2025/03/0001/92339/RFQ
ISSUE DATE:	8 April 2025
BRIEFING SESSION:	6 May 2025
CLOSING DATE:	4 July 2025
CLOSING TIME:	16h00 CAT (Pretoria)

Note to the bidders:

Bidders are required to ensure that electronic bid submissions are done at least a day before the closing date to prevent issues which they may encounter due to their internet speed, bandwidth or the size of the number of uploads they are submitting. Transnet will not be held liable for any challenges experienced by bidders as a result of the technical challenges. Please do not wait for the last hour to submit. A Bidder can upload 30mb per upload and multiple uploads are permitted.

SCHEDULE OF CONTENTS

Section No	Page
SECTION 1: SBD1 FORM.....	7
SECTION 2: NOTICE TO RESPONDENTS	11
1 THIS IS A REQUEST FOR QUALIFICATIONS AND NOT A TENDER CALL	11
2 FORMAL BRIEFING..	13
3 RESPONSE SUBMISSION.....	13
4 RFQ INSTRUCTIONS.....	14
5 JOINT VENTURES OR CONSORTIUMS.....	14
6 B-BBEE REQUIREMENTS	15
7 COMMUNICATION...	16
8 CONFIDENTIALITY..	16
9 STATUS OF THIS RFQ AND SUBSEQUENT PROCESS.....	16
10 PROCUREMENT PROCESS OVERVIEW	16
11 DISCLAIMERS.....	17
12 SECURITY CLEARANCE	18
13 NATIONAL TREASURY'S CENTRAL SUPPLIER DATABASE.....	19
14 TAX COMPLIANCE.	19
SECTION 3: RFQ SCOPE OF REQUIREMENTS	21
SECTION 4: TRANSNET'S RFQ INFORMATION	34
SECTION 5: REQUEST FOR QUALIFICATION.....	41
SECTION 6: CERTIFICATE OF ACQUAINTANCE WITH RFQ, TERMS & CONDITIONS & APPLICABLE DOCUMENTS	47
SECTION 7: RFQ CLARIFICATION REQUEST FORM	49
SECTION 8: SBD 4 - BIDDER'S DISCLOSURE	50
SECTION 9: CERTIFICATE OF ATTENDANCE OF COMPULSORY/NON-COMPULSORY RFQ BRIEFING	54
SECTION 10: PROTECTION OF PERSONAL INFORMATION	56
TECH FORM A: OWNERSHIP STRUCTURE AND AUTHORISED REPRESENTATIVE.....	59
TECH FORM B: BIDDER KEY INFORMATION	61
OPTIONAL TECH FORM C: OPTIONAL INPUT ON TRANSACTION AND LEASECO STRUCTURING	64
SCHEDULE "A"REGISTRATION FORM	66
SCHEDULE "B": NON-DISCLOSURE AGREEMENT	69
SCHEDULE "C" DECLARATION OF INTEREST	72

Respondent's Signature

Date and Company Stamp

DEFINITIONS

As used in this Request for Qualifications (RFQ) and each of the Submission Documents:

"Applicable Law" means all laws in force and effect as of the date of issue of this RFQ and which may be promulgated or brought into force and effect in the Republic of South Africa, including statutes, rules, regulations, directions, by-laws, notifications, provincial legislation and judgments having force of law, or any final interpretation by a court of law having jurisdiction over the matter in question, as may be in force and effect during the course of this Procurement Process.

"Authorised Representative" means a natural person designated by the Bidder who has the capacity and legal authority to represent the Bidder and act as its contact with Transnet for all matters relating to this RFQ and each of the Submission Document. In the event that the Bidder is a Consortium, the Authorised Representative of the Bidder must be a duly authorised representative of the Lead Member of the Consortium.

"Bidder" means an interested party (which could be a single Person or a Consortium) who will submit a Statement of Qualifications and Proposals pursuant to this RFQ.

"Bid Submission Date" means 4 July 2025.

"Companies Act" means the Companies Act, 2008 (Act No. 71 of 2008) as may be amended from time to time.

"Competition Act" means the Competition Act, 1998 (Act No. 89 of 1998) as may be amended from time to time.

"Conflict of Interest" has the meaning ascribed to it in paragraph 59 of this RFQ.

"Consortium" means any joint venture, partnership or other entity which is comprised of two or more corporations, members or partners, established for purposes of responding to the RFQ and participating in the Project.

"Consortium Team Member" means the members of the Consortium, where the Bidder is a Consortium.

"Contact Person" means the sole point of contact for Bidders to submit communications to Transnet in relation to this RFQ, as detailed at paragraph 46.2.7 of this RFQ.

"CSD" means Central Supplier Database maintained by the South African National Treasury. It is a single database that serves as the source of all supplier information for organs of state in South Africa.

"EBITDA" means earnings before interest, taxes, depreciation, and amortization.

"Experience in leasing" means the Bidder acting as the lessor and being contractually responsible for the provision of the relevant moveable assets under commercial lease (e.g., dry lease, wet lease, or full-service lease).

"Financier" means a commercial bank licensed by the South African Reserve Bank (SARB); an international commercial bank with a long-term credit rating of at least BBB- (investment grade) from a recognized credit rating agency (e.g., S&P, Moody's, or Fitch); and a development finance institution (DFI) or multilateral development bank (MDB).

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"Forms" means the blank forms included in this RFQ, numbered as Form I to Form IX, each of which must be completed by the Bidder and, where applicable, each Consortium Team Member, and submitted to Transnet as part of the response to the RFQ.

"Government Department" means the Department of Transport, National Treasury and any other department or state organ notified by Transnet to the Participants which is directly connected with the implementation of the Procurement Process.

"Lead Member" means the entity which, pursuant to any applicable law, legally and fully represents and irrevocably binds all Consortium members in all matters connected with the Procurement Process.

"LeaseCo" means the rolling stock leasing company that is anticipated to be established by Transnet in partnership with the private sector partner.

"List of Restricted Suppliers" means suppliers who for valid reasons, have transgressed Transnet and Government Department procurement policies, processes, and legislation and as such, have been restricted by National Treasury from doing any business with the state in terms of the Restricted Supplier and Tender Defaulter Report issued by National Treasury.

"Memorandum of Incorporation" means "Memorandum of Incorporation" or "MOI" means a public document which sets out the rights, obligations and responsibilities of shareholders, directors, and prescribed officers of a company as set out in the Companies Act.

"Moveable Assets" means tangible, non-fixed capital assets capable of being moved between locations. For the purposes of this RFQ, this term includes the following categories relevant to assessing bidder experience:

- a) Rolling Stock: Including assets such as locomotives and wagons.
- b) Motor Vehicles: Including large-scale commercial or passenger vehicle rental/leasing fleets..
- c) Heavy Equipment: Including machinery and equipment used in industrial, mining, or construction sectors..

"National Treasury" has the meaning South African National Treasury established in terms of Section 216(1) of the Constitution of the Republic of South Africa, 1996 and further elaborated it in the PFMA.

"Non-Disclosure Agreement" means the form of Non-Disclosure Agreement attached hereto as Schedule "B".

"Person" means a natural person, company, close corporation or any other juristic person or other corporate entity, charity, partnership, joint venture, syndicate, association, trust or unincorporated organization and any trustee or any other association of persons.

"Person of Influence" means any person who is or at any point in the 10 (ten) years preceding the issue of the RFQ was a (a) Domestic Prominent Influential Person; or (b) Foreign Prominent Public Official in terms of Schedule 3A and Schedule 3B, respectively, of the Financial Intelligence Centre Act, 2001 (Act No. 38 of 2001) as may be amended from time to time, including their family members contemplated in section 21H of that Act and their friends or business partners.

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"PFMA" means the Public Finance Management Act, 1999 (Act No. No. 1 of 1999) as may be amended from time to time.

"PPPFA" means the Preferential Procurement Policy Framework Act, 2000 (Act No. 5 of 2000) as may be amended from time to time.

"Preferred Bidder" means the Bidder whose compliant Proposal has met or exceeded the Evaluation Criteria and is invited by Transnet to participate in contract negotiations for purposes of finalization of the Project Agreements.

"Prevention and Combating of Corrupt Activities Act" means the Prevention and Combatting of Corrupt Activities Act, 2004 (Act No.12 of 2004) as may be amended from time to time.

"Private Sector Participation" or "PSP" means the introduction of the private sector partner to participate in the establishment the LeaseCo.

"Procurement Process" means the process for selecting the preferred bidders to participate in the Request for Proposal stage in the LeaseCo project following the conclusion RFQ process.

"Prohibited Person" means a Person (a) whose name appears on the Database of Restricted Suppliers published by National Treasury; or blacklisted by such Person's professional body (if applicable); or (c) who is or who is Related to any employee or director of Transnet; or (d) who is or who is Related to any official or employee of a Government Department who has or may have the ability to influence a decision of any Government Department or a regulatory authority pertaining to the Project; or (e) who or which has been charged with or found guilty in a court of law of fraud or corruption-related crimes; or (f) listed in a Sanctions List or who/which is subject to Sanctions; or (g) ordinarily resident, organised or operating in a country or territory which is listed on a Sanctions List or is subject to Sanctions; or (h) who is a Person of Influence, whose involvement in the Project has the potential to harm the reputation or standing of Transnet or bring the Project into disrepute.

"Project" means the establishment of the LeaseCo, the scope of which is set out in this RFQ, which is to be carried out by the LeaseCo in accordance with the Project Agreements, including, without limitation, and, except to the extent as may otherwise be agreed, the operation and maintenance of the rolling stock, and financing throughout the contract term.

"Project Agreements" means, collectively all the agreements relating to management and execution of the Project, including but not limited to the share purchase agreement, shareholder agreement, direct agreement, license agreements, leases and legal documentation and/ or instruments to be entered into by Transnet, PSP and/or the LeaseCo in respect of the Project.

"Proponent" means a Shortlisted Respondent who, having received an RFP, responds thereto by submitting a Proposal.

"Proposal" means a proposal submitted by a Proponent in response to the RFP.

Respondent's Signature

Date and Company Stamp



"Proposal Documents" means, collectively, the Non-Disclosure Agreement/s, the completed prescribed Forms, and all other returnable documents and annexures specified in the RFP.

"Qualification Declaration" means the form of Qualification Declaration attached hereto as Section 5.

"Qualifying Transactions" means structured, asset-backed, limited-recourse or non-recourse financing transactions.

"Recognised Auditor" means a an independent professional or firm registered as an auditor with a recognized supervisory body and is authorized to review the accuracy and transparency of financial records and processes.

"Register for Tender Defaulters" means a register of suppliers who have been found to have breached procurement tender rules when doing business with the state.

"Registration Form" means the form of procurement participation Registration Form attached hereto as Schedule "A".

"Respondent" means any Participant, including a Consortium, submitting a Qualification Declaration and Statement of Qualifications in response to this RFQ.

"Request for Proposals" or "RFP" means the Request for Proposal documentation issued by Transnet to Shortlisted Respondents setting out the requirements for the participation of Proponents in subsequent phases of the Procurement Process, and requesting their submission of Proposals.

"Request for Qualifications" or "RFQ" means this document issued by Transnet inviting Participants to submit a Qualification Declaration and Statement of Qualifications, to be considered for selection as Shortlisted Respondent.

"Related" shall have the same meaning as ascribed to that term under the Companies Act.

"Returnable Documents" means the SBD1 Form provided in paragraph 1, and documents mentioned in Section 4.

"Sanctions" means trade, economic or financial sanctions, embargoes or restrictions imposed or applied pursuant to applicable Laws and regulations which are administered or enforced from time to time by any Sanctions Authority.

"Sanctions Authority" means: (a) the United Nations; (b) the European Union; (c) the government of the United States of America; (d) the government of the United Kingdom; (e) the government of the Republic of France, and any of their applicable and authorised governmental authorities, including, without limitation, the Office of Foreign Assets Control for the US Department of Treasury (also known as "OFAC"), the US Department of Commerce, the US State Department or the US Department of the Treasury, Her Majesty's Treasury (also known as "HMT"), the Bank of England and the French Ministry of Finance.

"Sanctions List" means: (a) the Specially Designated Nationals and Blocked Persons List maintained by OFAC; and (b) the Consolidated List of Financial Sanctions Targets and the Investments Ban List maintained by HMT, and any similar list maintained, or a public announcement of a Sanctions designation made by any Sanctions Authority, in each case as amended, supplemented, or substituted from time to time.

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"Shortlisted Respondent" means a Respondent, following the evaluation of submitted Statements of Qualifications, selected to participate further in the Procurement Process and to receive the RFP.

"Statement of Qualifications" means a statement of qualifications to be made by a Bidder and submitted to Transnet in response to the RFP, as part of the Returnable Documents.

"Submission" means a Respondent's response to this RFQ and in particular but without limitation, to Part 6 (*Statement of Qualifications Requirements*) of this RFQ.

"Submission Documents" means, collectively, the Registration Form, Non-Disclosure Agreement, Qualification Declaration, Statement of Qualifications and Declaration of Interest Form.

"Transaction Advisors" means the advisors appointed by Transnet to provide transaction advisory services in respect of the **Project**.

"Transnet" means Transnet SOC Ltd, Registration number 1990/000900/30, a state-owned company duly incorporated in terms of the laws of the Republic of South Africa, with its registered address at 138 Eloff Street, Johannesburg, 2001.

"Transnet e-tender Submission Portal" means the Transnet portal <https://transnetetenders.azurewebsites.net>

"Transnet Engineering" means the advanced manufacturing division of Transnet. TE offers research, engineering, manufacturing and maintenance services and products to the rail and port industries across the globe and specifically the African continent.

Respondent's Signature

Date and Company Stamp

**RFQ for the Establishment of the Rolling Stock Leasing Company (LeaseCo) for Transnet SOC Ltd
operating as Transnet Corporate Centre**

SECTION 1: SBD1 FORM

PART A

INVITATION TO BID

YOU ARE HEREBY INVITED TO BID REQUIREMENTS OF TRANSNET CORPORATE CENTRE, A DIVISION OF TRANSNET SOC LTD							
BID NUMBER:	TCC/2025/03/0001/ 92339/RFQ	ISSUE DATE:	8 April 2025	CLOSING DATE:	4 July 2025	CLOSING TIME:	16h00 pm (CAT) Pretoria
DESCRIPTION	RFQ For the Establishment of the Leasing Company (LeaseCo)						
BID RESPONSE DOCUMENTS SUBMISSION							
RESPONDENTS ARE TO UPLOAD THEIR BID RESPONSE PROPOSALS ONTO THE TRANSNET SYSTEM AGAINST EACH BID/RFQ SELECTED <i>(please refer to section 2, paragraph 4 for a detailed process on how to upload submissions):</i> https://transnetetenders.azurewebsites.net							
BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO				TECHNICAL ENQUIRIES MAY BE DIRECTED TO:			
CONTACT PERSON	psp@transnet.net			CONTACT PERSON	psp@transnet.net		
TELEPHONE NUMBER	N/A			TELEPHONE NUMBER	N/A		
FACSIMILE NUMBER	N/A			FACSIMILE NUMBER	N/A		
E-MAIL ADDRESS	psp@transnet.net			E-MAIL ADDRESS	psp@transnet.net		
SUPPLIER INFORMATION							
NAME OF BIDDER							
POSTAL ADDRESS							
STREET ADDRESS							
TELEPHONE NUMBER	CODE		NUMBER				
CELLPHONE NUMBER							
FACSIMILE NUMBER	CODE		NUMBER				

Respondent's Signature

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E-MAIL ADDRESS					
VAT REGISTRATION NUMBER					
SUPPLIER COMPLIANCE STATUS	TAX COMPLIANCE SYSTEM PIN:		OR	CENTRAL SUPPLIER DATABASE	UNIQUE REGISTRATION REFERENCE NUMBER:
					MAAA
B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE	[TICK APPLICABLE BOX]	B-BBEE STATUS LEVEL SWORN AFFIDAVIT		[TICK APPLICABLE BOX]	
	<input type="checkbox"/> Yes <input type="checkbox"/> No <div style="text-align: center;">No</div>			<input type="checkbox"/> Yes <input type="checkbox"/> No	
[A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE/ SWORN AFFIDAVIT MUST BE SUBMITTED FOR PURPOSES OF COMPLIANCE WITH THE B-BBEE ACT]					
1 ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES ENCLOSE PROOF]	2 ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES, ANSWER QUESTIONNAIRE BELOW]		
QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS					
IS THE ENTITY A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?					

Respondent's Signature

Date and Company Stamp

☐ YES ☐ NO

DOES THE ENTITY HAVE A BRANCH IN THE RSA?

☐ YES ☐ NO

DOES THE ENTITY HAVE A PERMANENT ESTABLISHMENT IN THE RSA?

☐ YES ☐ NO

DOES THE ENTITY HAVE ANY SOURCE OF INCOME IN THE RSA?

YES ☐ NO

☐

IS THE ENTITY LIABLE IN THE RSA FOR ANY FORM OF TAXATION?

☐ YES ☐ NO

IF THE ANSWER IS "NO" TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER FOR A TAX COMPLIANCE STATUS SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 1.3 BELOW.

PART B

TERMS AND CONDITIONS FOR BIDDING

1. TAX COMPLIANCE REQUIREMENTS

- 1.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.
- 1.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER'S PROFILE AND TAX STATUS.
- 1.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE WWW.SARS.GOV.ZA.
- 1.4 BIDDERS MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE BID.
- 1.5 IN BIDS WHERE UNINCORPORATED CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER.
- 1.6 WHERE NO TCS IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.

NB: FAILURE TO PROVIDE / OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.

SIGNATURE OF BIDDER:

Respondent's Signature

Date and Company Stamp



CAPACITY UNDER WHICH THIS BID IS SIGNED:

(Proof of authority must be submitted e.g. company resolution)

DATE:_____

Respondent's Signature

Date and Company Stamp

SECTION 2: NOTICE TO RESPONDENTS

1 THIS IS A REQUEST FOR QUALIFICATIONS AND NOT A TENDER CALL

Transnet is seeking Submissions from qualified parties interested in partnering with Transnet to enhance the business of the establishment of the leasing company (LeaseCo) including in relation to investments required for the refurbishment and maintenance of rolling stock, and the operations of the LeaseCo.

The partnering with Transnet will be structured through participation in the equity and funding of a newly established entity, the LeaseCo.

Respondents should note that Transnet will only approach those entities which have completed and submitted an RFQ to Transnet through this procurement process. The subsequent Request for Proposal if and when this is issued by Transnet will be limited only to those Respondents who have been shortlisted following the evaluation/prequalification process stipulated in this **RFQ**.

DESCRIPTION	RFQ For the Establishment of the Leasing Company (LeaseCo)
TENDER ADVERT	All Transnet tenders and request for qualifications are advertised on the National Treasury's e-Tender Publication Portal and the Transnet website. Should one of these media (i.e. National Treasury's e-Tender Publication Portal or Transnet website) not be available, bidders are advised to check on the other media for advertised tenders.
RFQ DOWNLOADING	<p>This RFQ may be downloaded directly from National Treasury's e-Tender Publication Portal at www.etenders.gov.za free of charge.</p> <p>To download RFQ and Annexures:</p> <ul style="list-style-type: none"> Click on "Tender Opportunities"; Select "Advertised Tenders"; In the "Department" box, select Transnet SOC Ltd; <p>Once the tender has been located in the list, click on the "Tender documents" tab and process to download all uploaded documents.</p> <p>The RFQ may also be downloaded from the Transnet Portal at https://transnetetenders.azurewebsites.net (please use Google Chrome to access Transnet link) free of charge (<i>refer to section 2, paragraph 4 below for detailed steps</i>).</p>
COMMUNICATION	<p>Transnet will publish the outcome of this RFQ on the National Treasury e-tender portal and Transnet website within 10 days after the shortlist has been finalised. All unsuccessful bidders have a right to request for reasons for their bid not being successful. This request must be directed to the contact person stated in the SBD 1 form.</p> <p>Any addenda to the RFQ or clarifications will be published on the e-tender portal and Transnet website. Bidders are required to check the e-tender portal or Transnet website prior to finalising their bid submissions for any changes or clarifications to the</p>

Respondent's Signature

Date and Company Stamp

	<p>RFQ. Response turnaround time is 2 working days post receipt of the request for clarification. In the event there are any delays in the response, Transnet will communicate such in due course.</p> <p>Transnet will not be held liable if Bidders do not receive the latest information regarding this RFQ with the possible consequence of either being disadvantaged or disqualified as a result thereof.</p>
BRIEFING SESSION	<p>Yes: Non-compulsory</p> <p>Bidders are required to confirm their attendance and to send their contact details including the number of representatives (where applicable) to the following address: [psp@transnet.net]</p> <p>This is to ensure that Transnet may make the necessary arrangements for the briefing session. Refer to paragraph 2 for details.</p>
CLOSING DATE	<p>16h00 (CAT) Pretoria on Friday 4 July 2025</p> <p>Bidders must ensure that bids are uploaded timeously onto the system (transnetetenders.azurewebsites.net).</p> <p>As a general rule, if a bid is late, it will not be accepted for consideration.</p> <p>Any requirement set out in this Request for Qualifications regarding the content of responses to this Request for Qualifications is stipulated for the sole benefit of Transnet and save as expressly provided Transnet does not bind itself, and there is no obligation of any nature whatsoever imposed upon Transnet, to respond to or accept any Statement of Qualifications or any other submission and hereby expressly reserves the right, subject to applicable laws to, at any time amend, modify withdraw from, or cancel, the Request for Qualifications or any part thereof, or processes hereby initiated or requirements herein stipulated.</p> <p>If any Participant or any of its subcontractors or any of their employees, advisors or agents makes or offers to make any gift to any official or employee of Transnet or the Transaction Advisors in respect of the Procurement Process or the Project, either directly or through an intermediary, then such Participant or its subcontractor may be disqualified forthwith from participating in the Procurement Process and the Project.</p> <p><i>Bidders are required to ensure that electronic bid submissions are done at least a day before the closing date to prevent issues which they may encounter due to their internet speed, bandwidth or the size of the number of uploads they are submitting. Transnet will not be held liable for any challenges experienced by bidders as a result of the technical challenges. Please do not wait for the last hour to submit. A Bidder can upload 30mb per upload and multiple uploads are permitted.</i></p>
VALIDITY PERIOD	<p>180 Business Days from Closing Date.</p> <p>Bidders are to note that they may be requested to extend the validity period of their bid, at the same terms and conditions, if the internal evaluation process has not been finalised within the validity period. However, once the adjudication body has approved</p>

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Date and Company Stamp

	the process and shortlisting of the bidder(s), the validity of the shortlisted bidder(s) bid will be deemed to remain valid until a final contract has been concluded. Should a bidder fail to respond to a request for extension of the validity period before it expires, that bidder will be excluded from tender process.
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Any additional information or clarification will be published on the e-Tender portal and Transnet website, if necessary.

2 FORMAL BRIEFING

A non-compulsory briefing will be conducted via **Microsoft Teams** on **6 May 2025 (Bidder will have an option between two sessions)** at **11h00 (CAT) Pretoria** and **15h00**. The bidder can attend either one of the two sessions. The briefing session will start punctually, and information will not be repeated for the benefit of Respondents arriving late.

- 2.1 A Certificate of Attendance set out in **Section 6** hereto must be completed and submitted with your RFQ response as proof of attendance. The response must be sent to psp@transnet.net
- 2.2 Despite the briefing session being non-compulsory, Transnet nevertheless encourages all Respondents to attend. Transnet will not be held responsible if any Respondent who did not attend the non-compulsory session subsequently feels disadvantaged as a result thereof.

3 RESPONSE SUBMISSION

Transnet has implemented a new electronic tender submission system, the e-Tender Submission Portal, in line with the overall Transnet digitalization strategy where suppliers can view advertised tenders, register their information, log their intent to respond to bids and upload their bid proposals/responses on to the system.

a) The Transnet e-Tender Submission Portal can be accessed as follows:

- Log on to the Transnet eTenders management platform website/ Portal (transnetetenders.azurewebsites.net)
- Click on "ADVERTISED TENDERS" to view advertised tenders;
- Click on "SIGN IN/REGISTER – to register new bidder information and ensure that all mandatory information is completed) OR;
- to sign in if already registered;
- Toggle (click to switch) the "Log an Intent" button to submit a bid;
- Submit bid documents by uploading them into the system against each tender selected.

Respondent's Signature

Date and Company Stamp

- Respondents are to submit bid documents by uploading them onto the Transnet system against each tender selected. A Bidder can upload 30mb per upload and multiple uploads are permitted.
- Bidders should ensure that electronic bid submissions are submitted at least a day before the closing date and bidders should not wait for the last hour before the deadline to submit. This is to enable them to timeously address issues which they may encounter due to internet speed, bandwidth or the size of the number of uploads being submitted. Transnet will not be held liable for any challenges experienced by bidders as a result of their own technical challenges.
- No late submissions will be accepted. The bidder guide can be found on the Transnet Portal **transnetetenders.azurewebsites.net**
- Each company must register its own profile using its company details and use the corresponding registered profile to log an intent to bid as well as submitting any bid.
- Transnet will not accept a bid or will disqualify a bidder who submits a bid in the Transnet e-tender submission through another bidders'/Company's profile. In other words, each bidder must register the intent to bid and submit its bid through its own profile under the same company name that will eventually bid for the tender. No company shall submit a bid on behalf of another company regardless of the company being a subsidiary or holding company.
- In case of a Joint Venture, any of the parties/companies to the Joint Venture may use its registered profile to submit a bid on behalf of the Joint Venture.
- A detailed bidder guide can be found on the Transnet Portal **transnetetenders.azurewebsites.net**

4 RFQ INSTRUCTIONS

- 4.1 All returnable documents listed in the REQUEST FOR QUALIFICATION **[section 5] in this RFQ** must be returned with your submission.
- 4.2 The person or persons signing the submission must be legally authorised by the respondent to do so.

5 JOINT VENTURES OR CONSORTIUMS

Statements of Qualifications from Consortiums will be accepted by Transnet provided that:

- a) at least one member of the Consortium must have registered as a Participant by the due date for submission of completed Registration Forms;

Respondent's Signature

Date and Company Stamp

- b) the nature of, and parties to, the Consortium must be detailed in Registration Forms submitted on or before the date of submission of the Consortium's Statement of Qualifications, in which Registration Forms they are clearly disclosed;
- c) each member of the Consortium will be bound by the procurement rules, terms and conditions set out in this RFQ and the Registration Form;
- d) each member of the Consortium enters into a Non-Disclosure Agreement on or before the date of submission of the Statement of Qualifications;
- e) members of any Consortium may only participate in the submission of one Statement of Qualifications (and in one Submission);
- f) the information and material required in TECH FORM A: OWNERSHIP STRUCTURE AND AUTHORISED REPRESENTATIVE, must be submitted for each member of the Consortium;
- g) each member of the Consortium must complete a Qualification Declaration and a Declaration of Interest Form;
- h) the Consortium must include at least one member that satisfies the minimum operating criteria described in Section 4, which member or members (if more than one member satisfies the minimum operating criteria) will be required to assume responsibility for the operation of the Terminal if ultimately selected as the preferred Proponent; and
- i) at least the Lead Member of the Consortium must satisfy the minimum financial criteria described in Section 4.

6 B-BBEE Requirements

As indicated, there are no B-BBEE requirements applicable in relation to the Respondent's Qualification Declaration and Statement of Qualification. However, Transnet intends to ensure that the LeaseCo attains and maintains an appropriate B-BBEE Contributor Status.

Respondent's Signature

Date and Company Stamp

7 COMMUNICATION

- 7.1 For specific queries relating to this RFQ a Clarification Request Form should be submitted onto the system and to **psp@transnet.net** up until **12h00 (CAT) Pretoria 20 June 2025** substantially in the form set out in **Section 8**. In the interest of fairness and transparency Transnet's response to such a query will be published on the e-tender portal and Transnet website.
- 7.2 After the closing date of the RFQ a Respondent may only communicate with the name of delegated individual (**PSP admin**), email **psp@transnet.net** on any matter relating to its RFQ Proposal.
- 7.3 Respondents are to note that changes to its submission will not be considered after the closing date.
- 7.4 It is prohibited for Respondents to attempt, either directly or indirectly, to canvass any officer or employee of Transnet in respect of this RFQ.

Respondents found to be in collusion with one another will be automatically disqualified and restricted from doing business with organs of state for a specified period.

8 CONFIDENTIALITY

All information related to this RFQ is to be treated with strict confidence. In this regard Respondents are required to certify that they have acquainted themselves with the Non-Disclosure Agreement. All information related to a subsequent contract, both during and after completion thereof, will be treated with strict confidence. Should the need however arise to divulge any information related to this RFQ or the subsequent RFP process, written approval to divulge such information must be obtained from Transnet.

9 STATUS OF THIS RFQ AND SUBSEQUENT PROCESS

- 9.1 It is envisaged that Respondents to this **RFQ** will be subjected to pre-qualification criteria and will be shortlisted to be part of the RFP stage of the establishment of the **LeaseCo**, thereafter through a separate request for proposal for the establishment of a leasing company (LeaseCo).

10 PROCUREMENT PROCESS OVERVIEW

Transnet expects to conduct the Procurement Process for the establishment of the rolling stock leasing company LeaseCo by:

- (a) Soliciting Submissions in response to this RFQ;
- (b) Providing Participants with the opportunity to seek clarification and to submit questions regarding this RFQ to Transnet. Enquiries and responses will be made available to all Participants. Transnet reserves the right, however, subject to applicable legislation, to elect not to respond to any enquiry or question;

Respondent's Signature

Date and Company Stamp

- (c) Selecting Shortlisted Respondents based on the criteria set out in Section 4;
- (d) Publishing the outcome of this RFQ on the National Treasury e-tender portal and Transnet website within 10 days after finalisation of the RFQ procurement process.
- (e) Issuing the RFP to all Shortlisted Respondents, which RFP shall request detailed proposals and technical submissions;
- (f) Providing Shortlisted Respondents with the opportunity to seek clarification and to submit questions regarding the RFP and the Project to Transnet. Enquiries and responses will be made available to all Participants. Transnet reserves the right, however, subject to applicable legislation, to elect to not respond to any enquiry or question;
- (g) Providing shortlisted respondents with the opportunity to conduct due diligence on the rolling stock assets
- (h) Evaluating the Proposals and, to the extent appropriate, engaging further with all or certain Proponents in accordance with the RFP;
- (i) Selecting a preferred Proponent; and
- (j) Negotiating and finalizing the Project Agreements with the preferred Proponent

11 DISCLAIMERS

11.1 Respondents are hereby advised that Transnet is not committed to any course of action as a result of its issuance of this RFQ and/or its receipt of submissions in response to it. In particular, please note that Transnet reserves the right and at its sole and full discretion to:

- (a) utilise any information provided to it in response to this RFQ to draft the scope of requirements for inclusion in an RFP;
- (b) take no further action whatsoever, if it so decides;
- (c) withdraw from this process and the provisions of this project at any time;
- (d) select the RFQ and RFP participants based on Transnet's criteria;
- (e) change the dates of adjudication and submission;
- (f) not invite RFQ respondents for further participation in the RFP process;
- (g) not bind itself to accept any or all the RFQs;
- (h) increase or decrease the quantities/scope as indicated in the RFQ;
- (i) validate any information submitted by Respondents in response to this bid. This would include, but is not limited to, requesting the Respondents to provide supporting evidence. By submitting a bid,

Respondent's Signature

Date and Company Stamp



Respondents hereby irrevocably grant the necessary consent to Transnet to do so;

- (j) request unqualified audited financial statements for the past 10 years or other documentation for the purposes of a due diligence exercise;
 - (k) not accept any changes or purported changes by the Respondent to the bid rates after the closing date;
 - (l) The information set out in this Request for Qualifications is not intended, and does not purport, to contain all the information required to enable Participants to determine whether or not to submit a Statement of Qualifications.
 - (m) Participants are required to conduct and rely upon their own investigations and analysis, including in relation to information provided as part of or pursuant to this Request for Qualifications, taking such advice (whether professional or otherwise) as they deem necessary.
 - (n) Participants are also requested to advise Transnet of any information set out in this Request for Qualifications which they determine to be inaccurate.
- 11.2 Transnet's decisions will be final and no correspondence will be entered into after the selection process. You will be formally notified of your result.
- 11.3 This Request for Qualifications is provided solely for the purpose set out herein and is not intended to form any part or basis of any investment decision by Participants. The recipient should not consider the RFQ as an investment recommendation by Transnet or any of its advisors. Each Person to whom this document (and other later documents) is made available must make his, hers or its own independent assessment of the Procurement Process stipulated herein after making such investigation and taking such professional advice as he, she or it deems necessary. Neither the receipt of this RFQ nor any related document by any person, nor any information contained in the documents or distributed with them or previously or subsequently communicated to any Participant or its advisors, is to be taken as constituting the giving of investment advice by Transnet or its advisors.
- 11.4 Note that Transnet will not reimburse any Respondent for any preparatory costs or other work performed in connection with this submission.

12 SECURITY CLEARANCE

Acceptance of this bid could be subject to the condition that the Successful Respondent, its personnel providing the goods and its subcontractor(s) must obtain security clearance from the appropriate authorities to the level

Respondent's Signature

Date and Company Stamp



of **CONFIDENTIAL/SECRET/TOP SECRET**. Obtaining the required clearance is the responsibility of the Successful Respondent. Acceptance of the bid is also subject to the condition that the Successful Respondent will implement all such security measures as the safe performance of the contract may require.

13 NATIONAL TREASURY'S CENTRAL SUPPLIER DATABASE

Respondents are required to self-register on National Treasury's Central Supplier Database (CSD) which has been established to centrally administer supplier information for all organs of state and facilitate the verification of certain key supplier information. Respondents must register on the CSD prior to submitting their bids. Business may not be awarded to a Respondent who has failed to register on the CSD. Only foreign suppliers with no local registered entity need not register on the CSD.

For this purpose, the attached SBD 1 Form must be completed and submitted as a returnable document by the closing date and time of the RFQ.

14 TAX COMPLIANCE

Respondents must be compliant when submitting a proposal to Transnet and remain compliant for the entire contract term with all applicable tax legislation, including but not limited to the Income Tax Act, 1962 (Act No. 58 of 1962) and Value Added Tax Act, 1991 (Act No. 89 of 1991).

It is a condition of this bid that the tax matters of the successful Respondents be in order, or that satisfactory arrangements have been made with South African Revenue Service (SARS) to meet the bidder's tax obligations.

Where foreign Bidders with tax obligations in South Africa are shortlisted, proof of tax compliance must be obtained from the Bidder. Foreign Bidders who do not have South African tax obligations and have no history of conducting business in South Africa must complete a questionnaire on SBD 1 for their tax obligation categorisation.

Where Consortia / Joint Ventures / Sub-contractors are involved, each party must be registered on the Central Supplier Database and their tax compliance status will be verified through the Central Supplier Database.

Transnet urges its clients, suppliers and the general public to report any fraud or corruption to

TIP-OFFS ANONYMOUS:

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Ethics Helpdesk (Pty) LTD.
Ethics Management System™

You can choose to be Anonymous or Non-Anonymous on ANY of the platforms
PLEASE RETAIN YOUR REFERENCE NUMBER



AI Voice Bot "Jack"

Speak to our AI Voice Chat Bot "JACK", you converse with him like chatting to a human, with the option to record a message and speak to an agent at anytime.



What's App

Speak to an Agent via What's App.



Speak to an Agent

Speak to an Agent via the platform with no call or data charge



Telegram

Speak to an Agent via Telegram



0800 003 056



086 551 4153



reportit@ethicshelpdesk.com



***120*0785980808#**

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SECTION 3: RFQ SCOPE OF REQUIREMENTS

1. INTRODUCTION

1.1. Company Overview

Transnet is a state-owned company (constituted in terms of the Legal Succession to the South African Transport Services Act No. 9 of 1989), with the South African Government as the sole shareholder. As a state-owned company (SOC) and major public entity, the Companies Act No. 71 of 2008 and the Public Finance Management Act No. 1 of 1999 (PFMA), serve as Transnet's primary governing legislation. Transnet is also subject to the JSE Debt Listings Requirements as they pertain to (i) the registration of a debt listing programme; and (ii) debt securities listed and to be listed.

Transnet's Memorandum of Incorporation - approved by the Shareholder Minister on 25 June 2013 – aligns with the provisions of the PFMA, the Companies Act, and the National Ports Act 12 of 2005.

Transnet signs an annual Shareholder's Compact with the Government of the Republic of South Africa, represented by the Minister of Transport. The Shareholder's Compact mandates Transnet to deliver on numerous strategic deliverables, including sustainable economic, social, and environmental outcomes.

Transnet is also responsible for ensuring that the country's transport industries operate according to world-class standards and that they form an integral part of the overall economy.

Transnet is the owner of South Africa's railway, ports and pipelines infrastructure and is structured to provide transport and handling services through its seven (7) operating divisions, namely:



- **Transnet Freight Rail (TFR):** The division's primary business is to provide rail transportation of bulk commodities for the export, regional and domestic markets.

Respondent's Signature

Date and Company Stamp

- **Transnet Engineering (TE):** TE is the advanced manufacturing division of Transnet. TE offers research, engineering, manufacturing and maintenance services and products to the rail and port industries across the globe and specifically the African continent.
- **Transnet Port Terminals (TPT):** TPT plays a key role in supporting the South African government's export-led growth strategy. TPT handles container sector, mineral bulk, agricultural bulk, and Roro sectors.
- **Transnet National Ports Authority (TNPA):** The national ports authority is responsible for the safe, effective, and efficient economic functioning of the national port system, which it manages in a landlord capacity.
- **Transnet Pipelines (TPL):** TPL is the custodian of the country's strategic pipeline assets and is currently servicing two key industries (fuel and gas) by transporting petroleum and gas products over varying distances in pipelines.
- **Transnet Property (TP):** TP manages the Transnet portfolio of commercial and residential properties.
- **Transnet Rail Infrastructure Manager (TRIM):** manages, operates, and maintains the Transnet rail network infrastructure.

1.2. Strategic Mandate and Leveraging Private Sector Participation

Transnet's core mandate is to effectively manage the cost of doing business in South Africa, enable economic growth and enable security of supply through providing appropriate ports, rail and pipeline infrastructure as well as operations in a cost-effective and efficient manner, within global benchmarks.

Transnet is mandated to deliver on the following strategic outcomes:

- To **decrease the overall cost of logistics** in relation to the transportable gross domestic product (GDP);
- To **effect and expedite the modal shift, enhancing the role of rail** in the national transport endeavour;
- To forge **stronger connections** between South Africa, the region, and the global community;
- To **maximise the social and economic effects** of all interventions; and
- To engage and **leverage the private sector** to provide infrastructure and operations, as needed.

Key to delivering on its core mandate is leveraging Private Sector Participations (PSP), which are premised on a diversified market approach that will unlock profitable and efficient supply chains. Transnet has adopted a

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segment strategy approach in response to socio-economic shifts and structural changes in the economy. This represents a fundamental change for the organisation, away from a divisional, modal service offering to strategic participations and structured collaborations in integrated commodity supply chains.

2. BACKGROUND

2.1. Rail Sector Reform and Open Access

The overarching policy framework guiding South Africa's radical structural reforms in the rail sector is the **White Paper on National Rail Policy, 2022**, which received Cabinet approval in March 2022. One of the key reforms to attract investment into the sector is the implementation of an open access regime. In a significant move towards this reform, Transnet established an interim Infrastructure Manager (IM), known as TRIM (Transnet Rail Infrastructure Manager), on 01 November 2023 to ensure equitable access to the rail network under a commercial framework. TRIM's primary objectives include maximising the utilisation of the rail network, increasing network density, improving performance and developing an effective and efficient market to increase the utilization of the rail network, including by private operators.

2.2. Market Opportunity for the LeaseCo

Based on its own experience, coupled with regional developments in Africa, Transnet has identified an opportunity to improve access to rolling stock.

Projected Demand in South Africa.

The recent rail reforms in South Africa are expected to significantly increase the demand of rolling stock from new Train Operating Companies (TOCs). Conservative estimates place South Africa's potential rail addressable market at between **250-300 million tonnes per annum** of cargo. A recent research note from the GAIN Group¹, illustrated in the following graphs, reveals significant rail potential that can be realised.

¹ <https://gaingroup.co.za/index.php/articles/searching-for-rail-market-spaces-in-the-high-value-good-market>

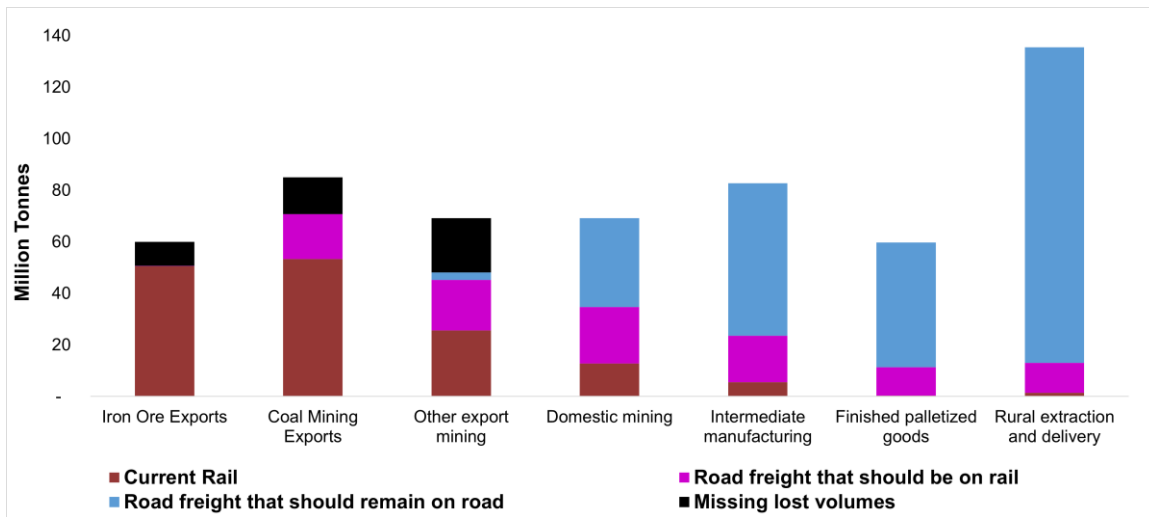


FIGURE 1: SOUTH AFRICA'S CURRENT AND POTENTIAL RAIL TONNAGES

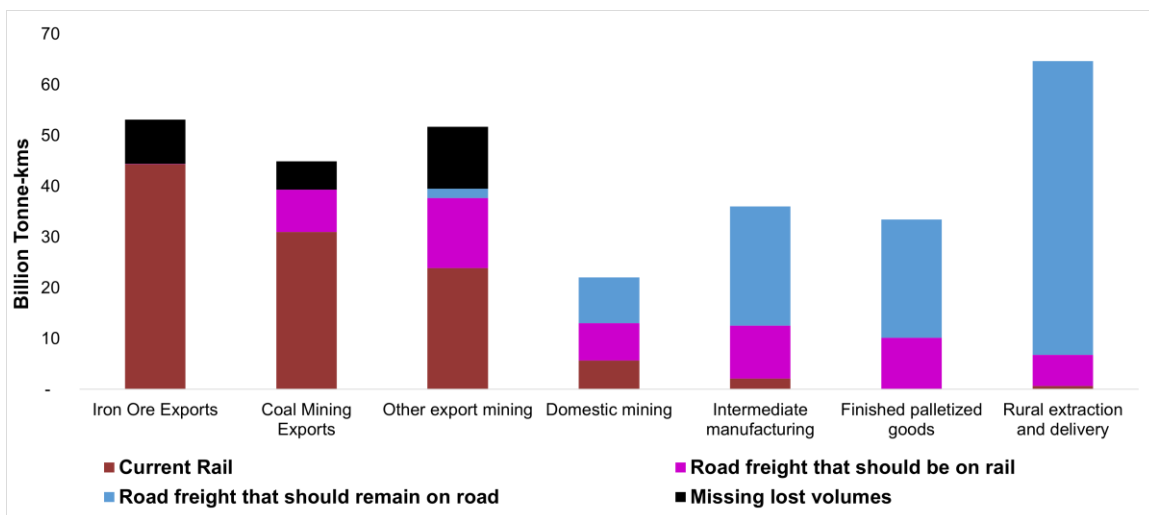


FIGURE 2: SOUTH AFRICA'S CURRENT AND POTENTIAL TONNE-KILOMETRES

The potential for rail volumes in South Africa is indeed supported by the recent applications for access to train slots in South Africa in which TRIM received nearly 100 applications from prospective TOCs seeking to convey nearly 100 million tonnes of cargo by rail.

Projected Demand in the rest of Southern Africa

In 2021, Transnet conducted a market study with the railway authorities on the North-South Railway Corridor (NSC) namely, Société Nationale des Chemins de fer du Congo (SNCC) in the Democratic Republic of Congo,

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Date and Company Stamp

Zambia Railways Limited (ZRL) in Zambia, National Railways of Zimbabwe (NRZ) in Zimbabwe, Eswatini Railways (ESR) in Eswatini which confirmed that there is a strong demand for rolling stock which remains unserved.

The regional study estimated a total demand of nearly 13,000 wagons and 80 diesel locomotives in 2024, increasing to over 17,000 wagons and nearly 170 diesel locomotives by 2030. Although some railway authorities indicated modest plans to acquire assets, there is still a significant shortfall of rolling stock that needs to be addressed through other means, such as leasing.

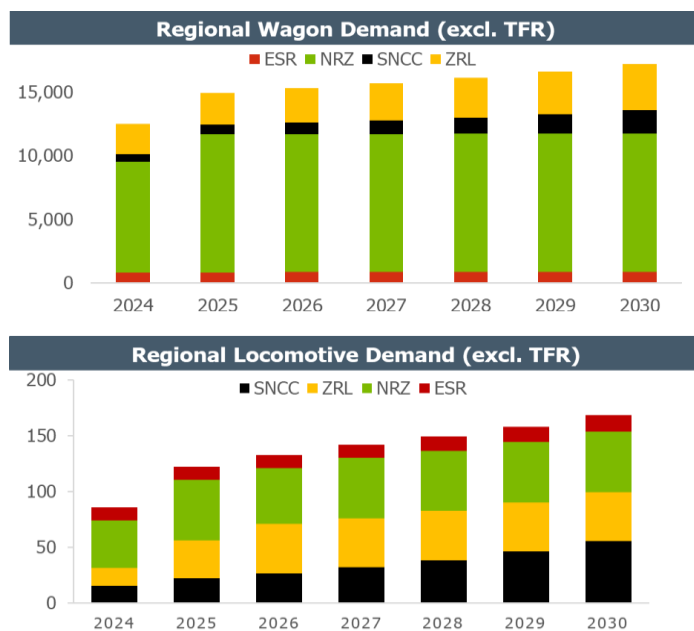


FIGURE 3: PROJECTED REGIONAL DEMAND FOR ROLLING STOCK

The recent rail reforms in South Africa and Transnet's assessment of the regional demand show that there is very significant potential demand for rolling stock. Lastly, a leading development finance institution published a report² on Africa's railway infrastructure in which it demonstrates the major nodes of potential rail developments in the continent as shown in the following map.

² https://www.afdb.org/fileadmin/uploads/afdb/Documents/Events/ATFforum/Rail_Infrastructure_in_Africa_-_Financing_Policy_Options_-_AfDB.pdf

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Date and Company Stamp

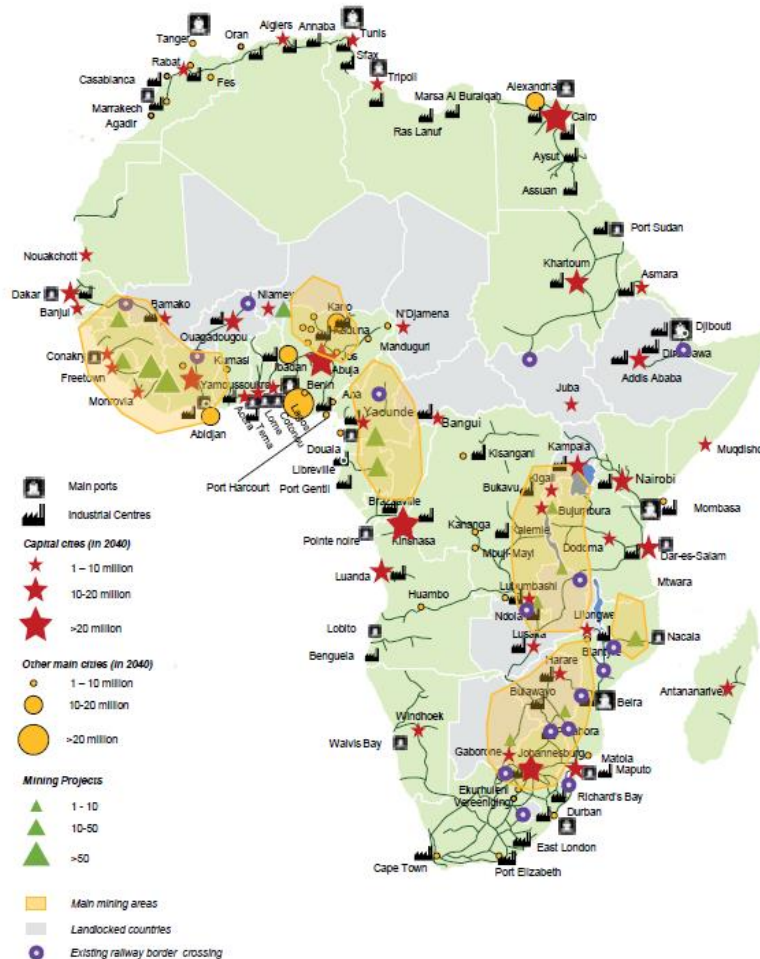


FIGURE 4: MAIN AREAS SUITABLE FOR RAILWAY DEVELOPMENT IN AFRICA

Transnet believes that the recent railway developments in South Africa, coupled with its own research of regional demand for rolling stock and the African Development Bank's report on railway potential in Africa make a strong case for establishing a rolling stock leasing company.

2.3. Rolling Stock Assets for the LeaseCo

In order to kickstart the establishment of the rolling stock leasing company (LeaseCo), Transnet has identified a fleet of approximately **600 locomotives** and **21,000 freight wagons** that it intends to use for this venture.

The locomotives are a combination of diesel locomotives (classes D34, D36 and D37) and 18E electric locomotives. The wagon fleet is made up of assets suitable for transporting containers, timber, grain, coal and chrome, automobiles, fuel and other general cargo.

Transnet anticipates that by the time the LeaseCo is established, approximately 50% of the locomotives and wagons will have been contracted to a combination of domestic and regional customers on a long-term basis (5 years or more). Transnet intends to **cede** these leases to the LeaseCo, thus presenting an immediate revenue-generating business.

Transnet expects that the rest of the fleet will have to be refurbished by the LeaseCo before the assets are contracted to customers. Furthermore, Transnet anticipates that the current assets may not be sufficient for the market demand, thus driving the need for new rolling stock acquisitions.

The complete details of the fleet, including but not limited to the asset condition, valuation, return-to-service costs, maintenance schedule and costs, and leases will be made available to participating bidders during the RFP stage.

2.4. **Technical Support to the LeaseCo**

Transnet intends to leverage Transnet Engineering's (TE) extensive technical expertise and capabilities to support the LeaseCo. TE is an integral part of South Africa's railway and port industry value chain as a provider of assets used in operations.

TE has the following competencies in locomotives, freight wagons, passenger coaches and port equipment:

- Manufacturing
- Remanufacturing, and
- Assembly of railway rolling stock.

These offerings are supported by specialist engineering proficiencies in research and design; asset condition monitoring; and systems testing and integration. TE has industry accreditations and certifications, over 150 years industry experience in heavy engineering and is an independent African original equipment manufacturer (OEM) for wagons and locomotives.

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TE has successfully developed and manufactured the 'Trans Africa' locomotive (TAL) which is currently in operations in Saldhana Ore line. Further to this, TE has researched, developed, and built the 'Transnet Port Hauler' which is under operational testing at the port of Durban in South Africa.

- Trans Africa Locomotive (TAL) is a locomotive developed and manufactured by TE. The locomotive structure, underframe and train control system is inhouse designed and manufactured.
- This locomotive was specifically designed for the African continent addressing the most common constraints such as rail gauge and axle weight.

TE remains the largest single source of heavy engineering capability in South Africa, with extensive manufacturing capability and engineering services. Operations are enabled by:

- a. Six manufacturing plants (out-of-service maintenance) in Cape Town, Uitenhage, Durban, Bloemfontein, Johannesburg, and Pretoria (which also provides support to satellite depots). These plants have the capacity to build and/or manufacture 4,000 wagons.
- b. TE has customer facing businesses which focus on manufacturing and engineering. The businesses offer the following products to domestic and regional markets: locomotive, wagon, coach, port equipment, wheel, rolling stock equipment, rotating machine.
- c. TE has two foundries that enable its manufacturing and engineering operations.
- d. Business support services which include plant equipment maintenance, School of Engineering and administration.

TE has primarily serviced the domestic market in South Africa. However, the organisation is geared towards driving economic development and growth in the Sub-Saharan Africa region, with focus on being the preferred supplier of rolling stock. In recent years, TE has conducted business with customers located outside of the Republic of South Africa, including Tanzania, Zambia, and the Democratic Republic of Congo.

Further information related to TE's capacity and capabilities will be made be made available to participating bidders during the RFP stage.

2.5. **Support facilities for the LeaseCo**

Transnet recognises that the LeaseCo will require yards for accepting rolling stock on transfer from Transnet and for staging the assets in the future when they are not being leased out. Transnet estimates that

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Date and Company Stamp

approximately 300km of track would be required to stage the entire fleet of approximately 600 locomotives and 21,000 wagons. To this end, Transnet will arrange for the LeaseCo to access these real estate requirements through leases with Transnet Engineering and TRIM as the situation may dictate.

Further information related to the potential support facilities that will be made available to the LeaseCo will be provided to participating bidders during the RFP stage.

2.6. Proposed Transaction Structure

Transnet intends to use its rolling stock assets as equity contribution to establish the LeaseCo and is searching for a private sector partner that can bring the financial, technical and operational expertise to develop and manage the new LeaseCo business.

It is anticipated that the private sector partner will hold a majority equity stake in the new LeaseCo and Transnet the remaining minority. The graphic below outlines the indicative transaction structure.

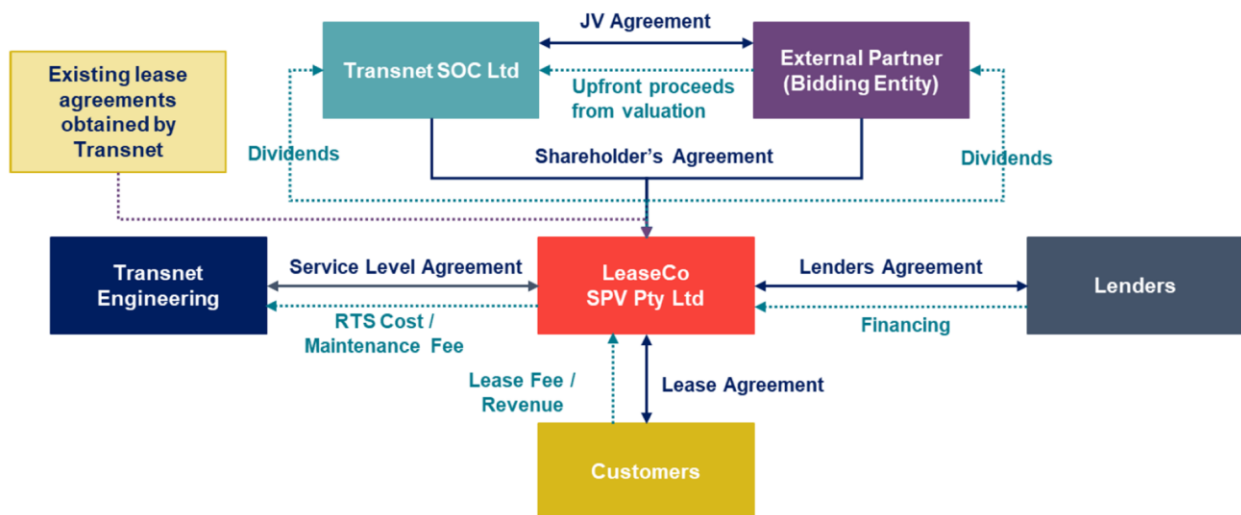


FIGURE 5: PROPOSED LEASECO TRANSACTION STRUCTURE

3. KEY OBJECTIVES OF THIS RFQ PROCESS

Transnet hereby invites interested bidders to respond to this Request for Qualification (RFQ) to participate in the procurement process for a private joint investor for the new LeaseCo business, as set out in the steps and requirements contained in this document.



During the RFQ process, Transnet seeks to gather insights regarding key considerations, recommendations, and/or strategic approaches to enhance the viability and attractiveness of the LeaseCo investment. Specifically, Transnet is interested in strategies that would maximise value for future shareholders and improve the LeaseCo's ability to finance and expand its rolling stock asset leasing business effectively. Participation in this feedback process is entirely voluntary and will not be considered as a criterion for prequalification.

4. GENERAL RESPONDENT OBLIGATIONS

- 4.1. The Respondent(s) shall be fully responsible to Transnet for the acts and omissions of persons directly or indirectly employed by them.
- 4.2. The Respondent (s) must comply with the requirements stated in this RFQ.

5. CONFIDENTIALITY AND COMPLIANCE

This RFQ and information contained herein or provided for purposes thereof, remain the property of Transnet and may not be reproduced, sold or otherwise disposed of. All recipients of this document (whether a RFQ is submitted or not) shall treat the details of this document as strictly private and confidential.

Information disclosed in this RFQ is given in good faith and only for the purposes of providing sufficient information to the Respondent to enable submission of a well-informed and realistic RFQ.

6. UNDERTAKINGS BY RESPONDENT

It will be accepted that the Respondent, on submitting the RFQ response, has read, understood and accepted all the terms and conditions of the document. The submission of an RFQ by any Respondent shall presume complete acceptance of the terms and conditions of the document. All qualifications and or exceptions should be noted in the RFQ Response document.

7. COSTS TO RESPOND TO THE RFQ

All Respondents wishing to submit a RFQ response must be in possession of this document, the RFQ. Transnet will not be responsible for or pay any expense or losses which may be incurred by any Respondent in the

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preparation and submission of the RFQ and the costs of the RFQ at all stages of the RFQ process. Costs, if any, will be for each Respondent's own account.

Transnet reserves the right to invite certain Respondents to present or otherwise demonstrate their proposed solution as per their RFQ, at the Respondent's own cost.

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8. AUTHORITY OF SIGNATORY

- 8.1. If the RFQ Respondent is a company, a notarised Power of Attorney or certified copy of the resolution of the Board of Directors (i.e. personally signed by the Chairman or Secretary of the Board) authorising the person who signs this RFQ to do so and any other documents and correspondence in connection with this RFQ and/or agreement on behalf of the company, must be submitted with their RFQ.
- 8.2. If the RFQ Respondent is a partnership, a certified copy of the resolution of the partners (personally signed by all the partners) authorising the person who signs this RFQ to do so and any other documents and correspondence in connection with this RFQ and/or agreement on behalf of the partnership, must be submitted with this RFQ.
- 8.3. If the RFQ Respondent is a Consortium or JV a notarised Power of Attorney or certified copy of the resolution of the Board of Directors (i.e. personally signed by the Chairman or Secretary of the Board) authorising the person who signs this RFQ to do so and any other documents and correspondence in connection with this RFQ and/or agreement on behalf of the partnership, must be submitted with this RFQ
- 8.4. If the RFQ Respondent constitutes a "one-man business", certified proof must be submitted that the person signing this RFQ and any other documents and correspondence in connection with this RFQ and/or agreement is the sole owner of the one-man business.

Failure to comply with this clause may result in rejection of the RFQ response.

9. OFFERING OF COMMISSION OR GRATUITY

If a Respondent, or any person employed by him/her, is found to have either directly or indirectly offered, promised or given to any person in the employ of Transnet, any commission, gratuity, gift or other consideration, Transnet shall have the right and without prejudice to any other legal remedy which it may have in regard to any loss or additional cost or expenses, to disqualify the RFQ Respondent from further participation in this process and any other subsequent processes in this regard. The RFQ Respondent will be responsible for all and any loss that Transnet may suffer as a result thereof. In addition, Transnet reserves the right to exclude such a Respondent from future business with Transnet.

10. UNDERTAKING BY TRANSNET

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In responding to this RFQ, Transnet encourages all RFQ Respondents to put their best effort into the construction and development of the RFQ.

The RFQ process will include due governance and the results of the adjudication process will be available to Respondents.

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SECTION 4: TRANSNET'S RFQ INFORMATION

4.1. Evaluation of Bidder Qualifications

Respondents who participate in this RFQ stage must qualify in terms of the minimum predetermined technical and financial capacity as stipulated in the following Sections 2 and 3 below.

Where a Respondent is a consortium, the qualifications of all members of the consortium will be evaluated jointly.

A Respondent may rely on the experience or qualifications of a Related company—including its parent company, a subsidiary, or an affiliate — for the purposes of meeting the requirements under section (4.3) Technical Qualification Criteria. This Related company must have assumed responsibility and liability for delivering the referenced services. In such cases, the experience will be deemed to have been delivered by the Respondent provided the required letter(s) of interest are provided by the Related company.

(A) Parent Company Experience

If a Respondent relies on the experience of its parent company, the Respondent's submission must include a letter of support from the parent company confirming:

- The parent company's role in delivering the referenced services;
- An affirmation from the parent company that the Bidder will have full access to and ongoing access to the experience and expertise within the Parent Company associated with the referenced project(s) in the event of a future contract award; and
- The parent company's acceptance of assumption of responsibility and liability for the accuracy of the representation of those services and its role in the delivery of the services/experience.

(B) Affiliate (Non-Parent) Experience

If the Respondent relies on the experience of a non-parent affiliate, the Respondent's submission must contain:

- A letter of support from the affiliate, confirming its role in delivering the referenced services, an affirmation that the Respondent will have full access to and ongoing access to its experience and expertise associated with the referenced project(s) in the event of a future contract award; and

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Date and Company Stamp

- Its assumption of responsibility and liability for the accuracy of the representation of those services and its role in the delivery of the services; and
- A letter of support from the ultimate parent company of both the Respondent and the affiliate, confirming the corporate relationship, an affirmation that the Respondent will have full and ongoing access to the affiliate company's relevant experience and expertise as well as affirm its assumption of responsibility and liability for the accuracy of the representation of those services and the representation of the affiliate company's role in the delivery of the services.

To be clear, in the event that the Respondent is relying on the experience of an affiliate company to meet the minimum technical qualification criteria, both the affiliate company and parent company in common must similarly affirm that they assume responsibility and liability for the accuracy of the depiction of the services or relevant experience, affirm that the Respondent will have access to the relevant expertise and experience associated with the referenced technical experience.

For the avoidance of doubt, no support letter is required where a Respondent submits a project example of the subsidiary of the Respondent.

Support letters provided to support such member's experience should describe:

- a) The corporate relationship (including share of ownership) between the Respondent and the parent company/affiliate as applicable.
- b) The role of the parent company/affiliate in the project, the stages of the project in which it participated and the duration of its involvement.
- c) A description of any management structures, knowledge transfer practices, operating approaches or other methodologies of the parent company/affiliate which would enable the Respondent to benefit from the experience of the parent company/affiliate, including experience gained from the submitted project example(s) of the parent company/affiliate.
- d) A statement confirming the commitment by the parent company/affiliate to support the Respondent in respect of the Consortium Member's involvement in LeaseCo project and a description of the type of support the parent company/affiliate may provide.

4.2. Financial capacity

Proponents are required to meet two (2) key financial capacity criteria for prequalification:

(i) Minimum Tangible Net Worth of at least ZAR 4.0 billion.

The formula used to calculate Tangible Net Worth will be:

Tangible Net Worth = Total Assets – Intangible Assets - Total Liabilities

Where:

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- *Total Assets* refers to all assets owned by the entity.
- *Intangible Assets* include goodwill, patents, trademarks, copyrights, and any other non-physical assets.
- *Total Liabilities* includes all outstanding obligations, including short-term and long-term liabilities.

*Evidence of compliance with this requirement must be provided in the form of **unqualified audited financial statements** from a recognised auditor.*

"Unqualified audited financial statements" refers to financial statements that have received an unqualified opinion (also known as a "clean opinion") from a recognised auditor. This opinion signifies that the auditor has concluded that the company's financial statements are fairly and accurately presented in all material respects, are free from material misstatements, and comply with International Financial Reporting Standards (IFRS) without significant exceptions or reservations.

If a consortium member is an investment fund, it may satisfy this requirement by providing evidence of committed but unallocated capital in place of tangible net worth. Acceptable documentation includes:

- *A letter or attestation from the fund's general partner or authorized fiduciary confirming:*
 - *The total amount of capital committed by investors;*
 - *The amount of capital already drawn or allocated;*
 - *The amount of unallocated capital currently available for the Project; and*
 - *That the fund has full discretionary authority to allocate such capital.*

For values denominated in foreign currencies, bidders must convert all financial values into South African Rand (ZAR). The exchange rate to be used shall be the official closing exchange rate published by the South African Reserve Bank (SARB) as at the end of the bidder's financial year. Bidders must clearly state the original currency, the exchange rate applied, and the equivalent ZAR value in their submission.

Respondent's Signature

Date and Company Stamp

(ii) Letter of Interest from a Financier

Respondents are requested to provide a Letter of Interest from a recognized financial institution or lender. This letter must be from:

1. A Financier indicating interest in financing the Respondent's participation in the proposed LeaseCo business, thus demonstrating the Respondent's ability to secure investment.

A "Financier" may include either:

- A commercial bank registered and licensed by the South African Reserve Bank (SARB); or
- An international commercial bank with a long-term credit rating of at least BBB– (investment grade) from a recognized credit rating agency (e.g., S&P, Moody's, or Fitch); or
- A development finance institution (DFI) or multilateral development bank (MDB).

4.3. Technical capability

Proponents are required to meet three (3) key technical capacity criteria for prequalification:

(i) Minimum of 10 years of experience in leasing Moveable Assets

Bidders must demonstrate they have provided leasing services of Moveable Assets for at least 10 years. This must be evidenced through verifiable evidence:

- Unqualified Audited Financial Statements or Asset Registers for the past 10 years – confirming ownership and deployment of leased assets.
- Fleet Management or Lease Management Reports – showing leasing activity, asset utilisation, and lessees.

"Moveable Assets" means tangible, non-fixed capital assets capable of being moved between locations. For the purposes of this RFQ, this term includes any of the following categories relevant to assessing bidder experience:

- a) Rolling Stock: Including assets such as locomotives and wagons.
- b) Motor Vehicles: Including large-scale commercial or passenger vehicle rental/leasing fleets.
- c) Heavy Equipment: Including machinery and equipment used in industrial, mining, or construction sectors.

Respondent's Signature

Date and Company Stamp

(ii) Proof of Good Operational Standing

Bidders must demonstrate that they are in good operational standing and fully compliant with relevant safety, quality management standards and regulatory requirements in their jurisdiction. To verify compliance, bidders must submit evidence of such operational good standing as relevant to their jurisdiction of operation.

(iii) Acknowledgement of rolling stock knowledge

Bidders must submit Tech Form C Acknowledgement of Rolling Stock Experience, a signed attestation confirming their understanding and acceptance that, should they be shortlisted and invited to submit a proposal in the subsequent Request for Proposal (RFP) stage, their proposal must demonstrate that the management and operational structure of the proposed LeaseCo entity will possess significant depth of experience and capability concerning:

- The technical management, maintenance, and operation of rolling stock assets (including locomotives and wagons) as a distinct asset class.
- The commercial and operational dynamics of the broader railway sector.

This attestation signifies the Bidder's commitment to ensuring the necessary rolling stock and railway sector expertise will reside within the LeaseCo structure. The specific requirements, metrics, and acceptable methods for demonstrating this required expertise at the RFP stage (which may include, for example, qualifications of the proposed management team, binding agreements with experienced operational contractors, or other verifiable means) will be detailed within the RFP documents.

Evidence Required at RFQ Stage: A signed attestation statement confirming the points above.

Respondent's Signature

Date and Company Stamp

EVALUATION METHODOLOGY AND CRITERIA

During this RFQ process Transnet will undertake a 3-stage process for the evaluation of expressions of interest.

4.4. STEP ONE: Test for Administrative Responsiveness to RFQ

The test for administrative responsiveness will include the following:

Administrative responsiveness check	RFQ Reference
Whether the Bid has been lodged on time	<i>Section 1 paragraph 3</i>
Whether all Returnable Documents and/or schedules [where applicable] were completed and returned by the closing date and time	<i>Section 5</i>
Verify the validity of all returnable documents	<i>Section 5</i>
Verify if the RFQ document has been duly signed by the authorised respondent	<i>All sections</i>
Whether any general and legislation qualification criteria set by Transnet, have been met	<i>All sections</i>

The test for administrative responsiveness [Step One] must be passed for a Respondent's Proposal to progress to Step Two for further pre-qualification.

4.5. STEP TWO: Test for Substantive Responsiveness to RFQ

The test for substantive responsiveness to this RFQ will include an assessment of whether the bidder's financial and technical capacity meet the minimum criteria as described in Section 4 above. Bidders must meet the minimum criteria established for all of the requirements below in order to be considered technically and financially capable for prequalification.

Criteria	Assessment
FIN (i) <i>Tangible Net Worth.</i> Minimum Tangible Net Worth of at least ZAR 4.0 billion.	<i>The tangible net worth of the bidder, or each member of the bidding consortium will be reviewed as per the latest unqualified audited financial statements. Where the bidder consists of more than one member, the tangible net worth of each member of the bidding consortium will be added together to calculate the total tangible net worth of the bidder.</i>
FIN (ii) Letter of Interest from Financier	<i>The evaluation team will review letter (s) of interest from a recognized financier indicating interest in financing the bidder's participation in the proposed LeaseCo business.</i>

Respondent's Signature

Date and Company Stamp

Criteria	Assessment
	<i>The letter must not be more than 3 months old from the closing date of this bid.</i>
TECH (i) Minimum of [10] years of experience in leasing Movable Assets (own and/or lease)	<i>The evaluation team will review the:</i> <ul style="list-style-type: none"> • <i>Unqualified Audited Financial Statements or Asset Registers for the past 10 years– confirming ownership and deployment of leased assets.</i> • <i>Fleet Management or Lease Management Reports – showing leasing activity, asset utilisation, and lessees.</i>
TECH (ii) Proof of Good Operational Standing Bidders must demonstrate that they are in good operational standing and fully compliant with relevant safety, regulatory, and quality management standards in their jurisdiction	<i>The evaluation team will review the relevant documentation to prove compliance with safety, regulatory, and quality management standards in the relevant jurisdiction.</i>
TECH (iii) Rolling stock knowledge	<i>The evaluation team will review the signed Tech Form C Acknowledgement of Rolling Stock Experience to ensure that it has been completed accurately and without modification and signed by the duly authorized representative.</i>

The test for substantive responsiveness [Step Two] must be passed for a Respondent's Proposal to progress Shortlisting of services providers to progress to the RFP stage of the process.

4.6. STEP THREE: Verification

Transnet will reserve the right to undertake verification (due diligence) of any claims where there is doubt based upon the information provided. This may include site visits or requests for additional information that is not provided. Such requests will be made at the behest of Transnet where deemed necessary to affirm the accuracy of the information provided.

Respondent's Signature

Date and Company Stamp

SECTION 5: REQUEST FOR QUALIFICATION

I/We _____

[name of company, close corporation or partnership]

of [full address] _____

carrying on business under style or title of [trading as]

represented by _____

in my capacity as _____

being duly authorised, hereby lodge a **REQUEST FOR QUALIFICATION** for the Establishment of the Leasing Company (LeaseCo)

ADDRESS FOR NOTICES

Respondent to indicate its *domicilium citandi et executandi* hereunder:

Name of entity: _____

Facsimile: _____

Address: _____

NAME(s) AND ADDRESS / ADDRESSES OF DIRECTOR(s) OR MEMBER(s)

The Respondent must disclose hereunder the full name(s) and address(s) of the director(s) or members of the company or close corporation [C.C.] on whose behalf the RFI is submitted.

(i). Registration number of company / C.C.

Respondent's Signature

Date and Company Stamp



(ii). Registered name of company / C.C.

(iii). Full name(s) of director/member(s): Address/Addresses: ID Number/s:

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CONFIDENTIALITY

All information related to a subsequent contract, both during and after completion, is to be treated with strict confidence. Should the need however arise to divulge any information gleaned from provision of the Services, which is either directly or indirectly related to Transnet's business, written approval to divulge such information must be obtained from Transnet.

RETURNABLE DOCUMENT

Returnable Documents means all the documents, Sections and Annexures, as listed in the tables below. There are three types of returnable documents as indicated below and Respondents are urged to ensure that these documents are returned with their bids based on the consequences of non-submission as indicated below:

Mandatory Returnable Documents	<i>Failure to provide all these Mandatory Returnable Documents at the Closing Date and time of this RFQ <u>will</u> result in a Respondent's disqualification.</i>
Returnable Documents Used for Scoring	<i>Failure to provide all Returnable Documents used for purposes of scoring a bid, by the closing date and time of this bid will not result in a Respondent's disqualification. However, Bidders will receive an automatic score of zero for the applicable evaluation criterion.</i>

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Respondent's Signature

Date and Company Stamp

Essential Returnable Documents	<i>Failure to provide essential Returnable Documents may result in Transnet affording Respondents a further opportunity to submit by a set deadline, where applicable. Should a Respondent thereafter fail to submit the requested documents, this may result in a Respondent's disqualification.</i>
--------------------------------	--

a) Mandatory Returnable Documents

Respondents are required to submit with their RFQ submissions the following **Mandatory Returnable Documents**, also to confirm submission of these documents by indicating [Yes or No] and the reference pages where these can be found in the respondents submission:

Mandatory Returnable Documents	Submitted [Yes or No]	Reference Page for Returnable Document
❖ Unqualified Audited Financial Statements for the previous 10* years from a recognised auditor for all members of the bidding entity as identified in Form Tech A.		
❖ In the case of Joint Ventures, a copy of the Joint Venture Agreement or written confirmation of the intention to enter into a Joint Venture Agreement.		
Tech form A: Ownership structure and authorised representative		
Tech form B: Bidder key information		
Tech form C Acknowledgement of Rolling Stock Experience		
Letter of Attorney or Board Resolution for Authorised Representative		

***10 Year Requirement for Unqualified Audited Financial Statements:**

Each member of the Bidding Entity (including all consortium members, if applicable) must submit its unqualified audited financial statements, prepared by a recognised auditor, for either:

- The previous ten (10) consecutive financial years, OR

Respondent's Signature

Date and Company Stamp

- The entire duration of the member's existence, if the member has been established and operational for fewer than ten (10) years.
- **Mandatory 10-Year Requirement for key members:** Notwithstanding the above allowance for newer entities, the following Bidding Entity members **must** demonstrate they have been established and operational for at least ten (10) years and **must** provide the full ten (10) consecutive years of unqualified audited financial statements:
 - The entity designated as the **Lead Member** of the consortium (refer to definition [source: 28]).
 - Any entity (or entities) whose experience is primarily relied upon by the Bidder to meet the Technical Capability requirement for **Minimum of 10 years of experience in leasing Moveable Assets** (as specified in Section 4.3(i) [source: 317]).
- *(Purpose Note): These financial statements are essential for verifying the financial capacity criteria outlined in Section 4.2 ([source: 287-316]), including Tangible Net Worth and Track Record of Raising Finance, and may also support the verification of leasing experience claimed under Section 4.3(i)*

b) Essential Returnable Documents:

Respondents are further required to submit the following **Essential Returnable Documents** with their and to confirm submission of these documents by indicating [Yes or No] in the table below:

	SUBMITTED [Yes or No]	Reference Page for Returnable Document
SECTION 1: SBD1 FORM		
SECTION 2: Notice to Respondents		
SECTION 3: RFQ Scope of Requirements		
SECTION 4: Transnet's RFQ Information		
SECTION 5: REQUEST FOR QUALIFICATION		
SECTION 6: Certificate Of Acquaintance with RFQ, Terms & Conditions & Applicable Documents		
SECTION 8: SBD 4 - Bidder's Disclosure		
SECTION 9: Certificate of attendance of compulsory / non-compulsory Site Meeting / RFI Briefing		
SECTION 10 : Protection of Personal Information.		
SARS Pin compliance status		

Respondent's Signature

Date and Company Stamp



CSD Summary Report		
Proof of CIPC document		

CONTINUED VALIDITY OF RETURNABLE DOCUMENTS

The Respondent will be required to ensure the validity of all returnable documents, including but not limited to its valid proof of status, for the duration of any contract emanating from this RFI/RFQ process.

SIGNED at _____ on this _____ day of _____ 20____

SIGNATURE OF WITNESSES

ADDRESS OF WITNESSES

1 _____

Name _____

Respondent's Signature

Date and Company Stamp



2 _____

Name _____

SIGNATURE OF RESPONDENT'S AUTHORISED REPRESENTATIVE: _____

NAME: _____

DESIGNATION: _____

Respondent's Signature

Date and Company Stamp



SECTION 6: CERTIFICATE OF ACQUAINTANCE WITH RFQ, TERMS & CONDITIONS & APPLICABLE DOCUMENTS

By signing these RFQ documents, the Respondent is deemed to acknowledge that he/she has made himself/herself thoroughly familiar with all the conditions governing this RFQ, including those contained in any printed form stated to form part hereof including but not limited to the documents stated below. Transnet SOC Ltd will recognise no claim for relief based on an allegation that the Respondent overlooked any such term or condition.

Should the Bidder find any terms or conditions stipulated in any of the relevant documents quoted in this RFI/RFQ unacceptable, it should indicate which conditions are unacceptable and offer alternatives by written submission on its company letterhead, attached to its submitted Bid. Any such submission shall be subject to review by Transnet's Legal Counsel who shall determine whether the proposed alternative(s) are acceptable or otherwise, as the case may be. A material deviation from any term or condition may result in disqualification.

Bidders accept that an obligation rests on them to clarify any uncertainties regarding any bid to which they intend to respond on, before submitting the bid. The Bidder agrees that he/she will have no claim or cause of action based on an allegation that any aspect of this RFI/RFQ was unclear but in respect of which he/she failed to obtain clarity.

The bidder understands that his/her Bid will be disqualified if the Certificate of Acquaintance with RFI documents included in the RFI/RFQ as a returnable document, is found not to be true and complete in every respect.

SIGNED at _____ on this _____ day of _____ 20____

SIGNATURE OF WITNESSES

ADDRESS OF WITNESSES

1 _____

Name _____

2 _____

Respondent's Signature

Date and Company Stamp



Name _____

SIGNATURE OF RESPONDENT'S AUTHORISED REPRESENTATIVE: _____

NAME: _____

DESIGNATION: _____

Respondent's Signature

Date and Company Stamp



SECTION 7: RFQ CLARIFICATION REQUEST FORM

RFQ No:

Deadline for RFQ clarification submissions: Up until 12:00 **20 June 2025**.

TO: Transnet SOC Ltd

ATTENTION: **PSP admin**

EMAIL **[psp@transnet.net]**

DATE:

FROM:

RFQ Clarification No *[to be inserted by Transnet]*

REQUEST FOR RFQ CLARIFICATION:

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Respondent's Signature

Date and Company Stamp

SECTION 8: SBD 4 - BIDDER'S DISCLOSURE

1 PURPOSE OF THE FORM

- 1.1 Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.
- 1.2 Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

2 Bidder's declaration

- 2.1 Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest³ in the enterprise, employed by the state?

YES/NO

- 2.1.1. If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

Full Name	Identity Number	Name of State institution

³ the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.

Respondent's Signature

Date and Company Stamp

2.2 Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution?

YES/NO

2.2.1. If so, furnish particulars:

.....

2.3 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other Related enterprise whether or not they are bidding for this contract?

YES/NO

2.3.1. If so, furnish particulars:

.....

3 DECLARATION

I, the undersigned, (name)..... in submitting the accompanying bid, do hereby make the following statements that I certify to be true and complete in every respect:

3.1 I have read and I understand the contents of this disclosure;

 Respondent's Signature

 Date and Company Stamp

- 3.2 I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect;
- 3.3 The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium⁴ will not be construed as collusive bidding.
- 3.4 In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.
- 3.5 The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.
- 3.6 There have been no consultations, communications, agreements or arrangements made by the bidder with any official of the procuring institution in relation to this procurement process prior to and during the bidding process except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.
- 3.7 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 1, 2 and 3 ABOVE IS CORRECT.

⁴ Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

Respondent's Signature

Date and Company Stamp



I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

Respondent's Signature

Date and Company Stamp



SECTION 9: CERTIFICATE OF ATTENDANCE OF COMPULSORY/NON-COMPULSORY RFQ BRIEFING

It is hereby certified that –

1. _____

2. _____

Representative(s) of _____ *[name of entity]*

attended the RFQ briefing in respect of the proposed establishment of the rolling stock leasing company project
on _____ 20____

TRANSNET'S REPRESENTATIVE

RESPONDENT'S REPRESENTATIVE

DATE _____

DATE _____

EMAIL _____

NOTE:

Respondent's Signature

Date and Company Stamp



This certificate of attendance must be filled in duplicate, one copy to be kept by Transnet and the other copy to be kept by the Respondent.

Respondent's Signature

Date and Company Stamp

SECTION 10: PROTECTION OF PERSONAL INFORMATION

1. The following terms shall bear the same meaning as contemplated in Section 1 of the Protection of Person Information Act, No.4 of 2013 ("POPIA"):

consent; data subject; electronic communication; information officer; operator; person; personal information; processing; record; Regulator; responsible party; special information; as well as any terms derived from these terms.

2. Transnet will process all information by the Respondent in terms of the requirements contemplated in Section 4(1) of the POPIA:

Accountability; Processing limitation; Purpose specification; Further processing limitation; Information quality; Openness; Security safeguards and Data subject participation.

3. The Parties acknowledge and agree that, in relation to personal information that will be processed pursuant to this RFQ, the Responsible party is "Transnet" and the Data subject is the "Respondent". Transnet will process personal information only with the knowledge and authorisation of the Respondent and will treat personal information which comes to its knowledge as confidential and will not disclose it, unless so required by law or subject to the exceptions contained in the POPIA.
4. Transnet reserves all the rights afforded to it by the POPIA in the processing of any of its information as contained in this RFQ and the Respondent is required to comply with all prescripts as detailed in the POPIA relating to all information concerning Transnet.
5. In responding to this bid, Transnet acknowledges that it will obtain and have access to personal information of the Respondent. Transnet agrees that it shall only process the information disclosed by Respondent in their response to this bid for the purpose of evaluating and subsequent award of business and in accordance with any applicable law.
6. Transnet further agrees that in submitting any information or documentation requested in this RFQ, the Respondent is consenting to the further processing of their personal information for the purpose of, but not limited to, risk assessment, assurances, contract award, contract management, auditing, legal opinions/litigations, investigations (if applicable), document storage for the legislatively required period, destruction, de-identification and publishing of personal information by Transnet and/or its authorised appointed third parties.
7. Furthermore, Transnet will not otherwise modify, amend or alter any personal data submitted by the Respondent or disclose or permit the disclosure of any personal data to any third party without the prior written consent from

Respondent's Signature

Date and Company Stamp



the Respondent. Similarly, Transnet requires the Respondent to process any personal information disclosed by Transnet in the bidding process in the same manner.

8. Transnet shall, at all times, ensure compliance with any applicable laws put in place and maintain sufficient measures, policies and systems to manage and secure against all forms of risks to any information that may be shared or accessed pursuant to this RFQ (physically, through a computer or any other form of electronic communication).
9. Transnet shall notify the Respondent in writing of any unauthorised access to information, cybercrimes or suspected cybercrimes, in its knowledge and report such crimes or suspected crimes to the relevant authorities in accordance with applicable laws, after becoming aware of such crimes or suspected crime. The Respondent must take all necessary remedial steps to mitigate the extent of the loss or compromise of personal information and to restore the integrity of the affected personal information as quickly as is possible.
10. The Respondent may, in writing, request Transnet to confirm and/or make available any personal information in its possession in relation to the Respondent and if such personal information has been accessed by third parties and the identity thereof in terms of the POPIA. The Respondent may further request that Transnet correct (excluding critical/mandatory or evaluation information), delete, destroy, withdraw consent or object to the processing of any personal information relating to the Respondent in Transnet's possession in terms of the provision of the POPIA and utilizing Form 2 of the POPIA Regulations.
11. In submitting any information or documentation requested in this RFQ, the Respondent is hereby consenting to the processing of their personal information for the purpose of this RFQ and further confirming that they are aware of their rights in terms of Section 5 of POPIA.

Respondents are required to provide consent below:

YES		NO	
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12. Further, the Respondent declares that they have obtained all consents pertaining to other data subject's personal information included in its submission and thereby indemnifying Transnet against any civil or criminal action, administrative fines or other penalty or loss that may arise as a result of the processing of any personal information that the Respondent submitted.
13. The Respondent declares that the personal information submitted for the purpose of this RFQ is complete, accurate, not misleading, is up to date and may be updated where applicable.

Respondent's Signature

Date and Company Stamp



Signature of Respondent's authorised representative: _____

Should a Respondent have any complaints or objections to processing of its personal information, by Transnet, the Respondent can submit a complaint to the Information Regulator on <https://www.justice.gov.za/infoereg/>, click on contact us, click on complaints.IR@justice.gov.za

Respondent's Signature

Date and Company Stamp

TECH FORM A: OWNERSHIP STRUCTURE AND AUTHORISED REPRESENTATIVE

From: [Name of Bidder]

Date:

Ownership Structure of Bidder

1. The Bidder shall present its ownership structure in the format indicated in the table below.
2. In the event that the Bidder is a Consortium, each Consortium Team Member should be described in the following table.

Name of company (Bidder or Consortium Team member)	Description of the company's core business activities	Proposed percentage ownership in the Bidder	Anticipated Role in Bidding Consortium (e.g. Lead Investor, Lead Technical Member, Minority Financial Investor, etc.)

Authorised Representative

The Bidder shall identify their Authorised Representative in the table below. Authorised Representatives must be supported by a notarised Power of Attorney or of the resolution of the Board of Directors (i.e. personally signed by the Chairman or Secretary of the Board) affirming that the Authorised Representative is empowered to represent the company or entity for the purposes of this submission.

In the event that the Bidder is a Consortium, a duly authorised representative of each Consortium Team Member shall sign this Form on behalf of the Consortium Team Member. Therefore, please duplicate the table below in the completed Form I, as many times as necessary to be able to include the details in respect of each Consortium Team Member.

Respondent's Signature

Date and Company Stamp



Name of natural person authorised as representative of the Bidder or Consortium Team Member	
Date and place of birth	
Capacity	
Name of legal entity represented	
I confirm that [the Consortium Team Member] has agreed to participate in the Consortium in the capacity and to the extent provided for in the Proposal, and to participate in the RFP phase of the Procurement Process and in the Project; and that the Authorised Representative is authorised to legally represent this company concerning the Proposal, the Procurement Process and the Project.	[Signature of natural person authorised to represent the Consortium Team Member]

Respondent's Signature

Date and Company Stamp

TECH FORM B: BIDDER KEY INFORMATION

Note: In the case of a consortium, each member of the bidding consortium must complete this form and have their authorised representative sign the form to affirm its accuracy. However, this Technical Information section only needs to be completed by members whose experience is being presented to meet the technical prequalification criteria outlined in Section 4.3.

Name of Bidder [or bidding member]:

Details of registration including year, country of incorporation, nature of legal formation, registered address and principal place of business:

Description of Business (activities, geographies, etc.):

Financial Information:

(i) Tangible Net Worth Summary (all values in ZAR):

Year	Total Assets	Intangible Assets	Total Liabilities	Tangible Net Worth

Disclosure of Change in Information or Potential Financial Liabilities:

"Bidders are to confirm whether the financial information provided above has materially or substantially changed in any way since their latest audited financial statements. If so, they must describe the nature of such changes and explain how these changes may impact the values reported in their submission.

Additionally, bidders must disclose any potential financial liabilities that are not reflected in the above financial summary but could materially impact their financial position. These include, but are not limited to:

- Contingent Liabilities – Legal claims, pending litigation, or arbitration proceedings that may result in financial obligations.
- Off-Balance Sheet Obligations – Unrecognized liabilities, guarantees, or commitments that could affect financial health.
- Loan Covenants & Debt Obligations – Potential breaches of loan agreements or pending maturities that could lead to financial distress.
- Pending Regulatory Penalties or Fines – Any known financial exposure due to ongoing investigations or compliance issues.
- Major Changes in Capital Structure – Recent or anticipated mergers, acquisitions, divestitures, or significant changes in shareholding that could alter financial standing.

Note: For values denominated in foreign currencies, bidders must convert all financial values into South African Rand (ZAR). The exchange rate to be used shall be the official closing exchange rate published by the South African Reserve Bank (SARB) as at the end of the bidder's financial year. Bidders must clearly state the original currency, the exchange rate applied, and the equivalent ZAR value in their submission.

Respondent's Signature

Date and Company Stamp



In the event the bidder is a fund, they may instead indicate the total value of their committed but unallocated funds.

Respondent's Signature

Date and Company Stamp

**Technical Information:**

In the event of a consortium bidding members do not need to fill out the technical information section if that member does not bring experience relevant to the prequalification criteria

(ii) Moveable Assets Under Management for Leasing

Asset Type	Total Number	Owned	Leased	Operated (but not owned/leased)	Year of Most Recent Addition

Narrative Description of Fleet and Operations (1 page maximum):

Declaration & Affirmation of Accuracy

"I, the undersigned, hereby affirm that the information provided in this form, including all financial, technical, and operational details, is true, complete, and accurate to the best of my knowledge and belief. I further certify that no material facts have been omitted that would affect the accuracy or completeness of this submission.

I understand that any misrepresentation, omission, or false statement in this submission may result in disqualification from the procurement process."*

Authorized Representative Details

Full Name	Designation/Title	Company Name	Date
[Name]	[Title]	[Company]	[DD/MM/YYYY]

Signature: _____

Place:

Respondent's Signature

Date and Company Stamp

TECH FORM C: ACKNOWLEDGEMENT OF ROLLING STOCK EXPERTISE REQUIREMENT

Submission Reference:

This attestation is submitted in accordance with the requirements of Section 4.3(iii) of the Request for Qualification (RFQ) TCC/2025/03/0001/92339/RFQ.

Bidding Entity Information:

Name of Bidding Entity: _____

(If a Consortium, provide the name of the Consortium)

Attestation Statement:

The undersigned, being a duly authorised representative of the Bidding Entity named above, hereby formally attests to the following:

1. The Bidding Entity fully understands and accepts that, should it be shortlisted and invited to submit a proposal in the subsequent Request for Proposal (RFP) stage for the establishment of the LeaseCo, its proposal **will be required to demonstrate** that the management and operational structure of the proposed LeaseCo entity will possess significant depth of experience and capability concerning:
 - A) The technical management, maintenance, and operation of rolling stock assets (including locomotives and wagons) as a distinct asset class; and
 - B) The commercial and operational dynamics of the broader railway sector.
2. The Bidding Entity confirms its commitment to ensuring the necessary rolling stock and railway sector expertise will reside within the LeaseCo structure, in accordance with the specific requirements, metrics, and acceptable methods for demonstrating this expertise that will be detailed in the RFP documents.

Authorised Signature:

Executed on behalf of the Bidding Entity by its authorised representative:

Signature: _____

Name of Authorised Representative: _____

Position / Title: _____

Name of Bidding Entity: _____

Date: _____

Respondent's Signature

Date and Company Stamp



OPTIONAL TECH FORM D: OPTIONAL INPUT ON TRANSACTION AND LEASECO STRUCTURING

Transnet invites interested parties to provide suggestions, feedback, or insights on the final structuring of the LeaseCo project. Potential bidders and interested stakeholders are encouraged to submit an optional written statement alongside their Expressions of Interest, offering recommendations on how the transaction and LeaseCo business can be structured to:

- Maximize LeaseCo's ability to raise capital for fleet refurbishment and expansion.
- Enhance operational and financial sustainability to ensure long-term success.
- Deliver optimal value to LeaseCo's shareholders and stakeholders.

Submission Guidelines:

- This input is entirely optional and will not impact the prequalification process.
- Submissions should be limited to a maximum of three (3) pages.
- Interested parties will have an additional opportunity to provide comments on the draft contracts during the Request for Proposal (RfP) stage.

Transparency and Fair Process:

- Transnet reserves the right to consider or disregard feedback at its sole discretion. Submission of input does not guarantee adoption nor preferential treatment in the procurement process.
- All bidders will be evaluated based on the defined qualification criteria in the RFQ and subsequent RfP stages, and no weight will be given to optional feedback submissions.
- Any modifications to the project structure will be made publicly available as part of the final procurement documentation to ensure fairness and transparency.
- Transnet appreciates the valuable insights of market participants and welcomes constructive input that can contribute to the successful development of the LeaseCo business.

Respondent's Signature

Date and Company Stamp



SCHEDULE "A" REGISTRATION FORM

**TRANSNET SOC Ltd REQUEST FOR QUALIFICATIONS
ESTABLISHMENT OF A ROLLING STOCK COMPANY**

THIS REGISTRATION OF PARTICIPATION

SUBMITTED BY: [•]
 [Name of Participant]
 [•]
 [Address of Participant]
 Email: [•]
 [Email address of Participant]
 (the "**Participant**")

TO: **TRANSNET**
 138 Eloff Street
 Johannesburg
 Email: PSP@Transnet.net

DATE: [•], 2025

Respondent's Signature

Date and Company Stamp



THE PARTICIPANT HEREBY REGISTERS its intent to participate in the Procurement Process described in the RFQ and acknowledges, confirms and agrees with Transnet that it shall comply with and be bound by each of the rules, terms and conditions of the Procurement Process set out in the RFQ and this Registration Form.

THE PARTICIPANT HEREBY FURTHER CONFIRMS and agrees with Transnet as follows:

1. The Participant is a [•]. (corporation, partnership, joint venture, or other legal entity)
2. The jurisdiction of incorporation or organization of the Participant is [•].
3. The Participant intends to participate in the Procurement Process on its own and not as part of a Consortium.

[OR]

The Participant intends to participate in the Procurement Process as part of a Consortium and the other members of the Consortium will be notified to Transnet by no later than the closing date for submission of responses to this RFQ.

4. The authorized representative of the Participant is:

[•]
(Individual's Name)

[•]
(Title)

5. The individual appointed by the Participant to make or receive enquiries and correspondence regarding the Procurement Process is:

[•]
(Individual's Name)

[•]
(Title)

[•]
(Address)

[•]
(Telephone number)

[•]
(Email address)

6. The Participant has received the RFQ, obtained such legal and other advice as it has determined necessary and confirms its understanding of and agreement with the Procurement Process and the rules, terms and conditions set out in the RFQ.
7. The Participant is fully acquainted with the laws of South Africa (including without limitation all statutes and regulations (on a national, provincial and municipal level)) in relation to procurement and the subject matter of the Project, and will comply with such laws.

OR

8. The Participant has engaged South African counsel to advise them in relation to the laws of South Africa (including without limitation all statutes and regulations (on a national, provincial and municipal level)) in relation to procurement and the subject matter of the Project.

Respondent's Signature

Date and Company Stamp



9. The Participant will not make any claim or demand, or bring any action, suit or petition against Transnet, or any of its directors, officers, employees, consultants or representatives, for any costs, damages, liabilities or claims of any nature whatsoever relating to its participation in the Procurement Process or based upon or relating to any information, material, forecasts or estimates contained in the RFQ or otherwise provided or made available by Transnet or any of its directors, officers, employees, consultants, contractors or representatives.
10. The submission of any inaccurate information or material by the Participant to Transnet, or the failure of the Participant to comply with any of the rules or conditions of the Procurement Process, may, at the discretion of Transnet, result in the Participant's exclusion from further participation in the Procurement Process.

DATED AT [•] ON [•], 2025.

Authorized Signatory of Participant

Name

Title

Respondent's Signature

Date and Company Stamp

SCHEDULE "B": NON-DISCLOSURE AGREEMENT

TRANSNET SOC Ltd REQUEST FOR QUALIFICATIONS ESTABLISHMENT OF A ROLLING STOCK LEASING COMPANY

NON-DISCLOSURE AGREEMENT

[•], 2025

Transnet SOC Ltd
138 Eloff Street Johannesburg 2000
PSP@Transnet.net

Re: Transnet – Request for Qualifications for the Establishment of a Rolling Stock Leasing Company

[NAME OF PARTICIPANT] of **[ADDRESS OF PARTICIPANT]** (the "**Participant**") confirms its intention to participate in the request for qualification and proposal Procurement Process described in the Request for Qualifications issued 8 April 2025 (the "**RFQ**") by Transnet for the selection of a private sector partner for the Establishment of a Rolling Stock Leasing Company ("**LeaseCo**").

The Participant further confirms, acknowledges and agrees that:

- a) The Participant has received the RFQ and is concurrently herewith submitting a Registration Form confirming its intent to participate in the Procurement Process.
- b) In connection with the Participant's participation in the Procurement Process, and in order to assist it in considering the potential opportunity to undertake the establishment and operations of the LeaseCo as described in the RFQ, Transnet will disclose and make available to the Participant information, materials and documents relating to the development of the LeaseCo and the business and affairs of Transnet.
- c) All such information, materials and documents made available or provided by Transnet whether furnished before or after the date hereof, whether oral or written, and regardless of the manner it is made available or provided (including whether or not it is specifically described as being confidential), is of a confidential and proprietary nature and is referred to in this Agreement as "**Confidential Information**".
- d) The Confidential Information includes, without limitation, all communications, whether written, electronically stored or delivered, or oral, of any kind between Transnet, or any of its representatives and the Participant, including all information, materials and documents contained or made available through Transnet, whether by way of a dedicated LeaseCo website, or otherwise, the electronic data room established by Transnet in respect of the Procurement Process, and any and all information reports, analyses, studies, compilations, forecasts and other material prepared by or on behalf of the Participant which contain or otherwise reflect such information.

In consideration of the opportunity to participate in the Procurement Process, the Participant hereby further agrees, acknowledges and confirms with Transnet as follows:

1. Non-Disclosure and use of Confidential Information

Respondent's Signature

Date and Company Stamp



Except as required by law, the Participant shall:

- (a) keep all Confidential Information confidential and not disclose or reveal any Confidential Information to any person other than to the Participant's directors, officers, employees, agents and representatives who are actively and directly participating in the Procurement Process or who otherwise need to know the Confidential Information for the purposes of the Procurement Process (collectively, the "**Participant's Representatives**") and to cause all such Participant's Representatives to observe the terms of this Agreement;
- (b) not use, or permit the use of, the Confidential Information for any purpose other than in connection with the Procurement Process, and without limiting the generality of the foregoing, not use, or permit the use of, the Confidential Information to obtain a competitive advantage over Transnet or any other person directly or indirectly, in any line of business in which either Transnet or the Participant is engaged;
- (c) not (and the Participant shall cause the Participant's Representatives to not) contact or attempt to contact any customer, supplier or employee of Transnet, any of their respective directors, officers, employees, agents and representatives or any other person having business relations with Transnet;
- (d) not copy, reproduce or distribute, in whole or in part, any portion of the Confidential Information to any persons other than to the Participant's Representatives or as otherwise permitted by Transnet hereunder, at any time without the prior written consent of Transnet; and
- (e) not disclose to any person (other than to the Participant's Representatives) any information about the Procurement Process.

2. Liability for Breach

The Participant acknowledges, confirms and agrees with Transnet that any disclosure of any Confidential Information by the Participant or any of the Participant's Representatives other than as specifically permitted hereunder, or any other breach of the terms of this Agreement by the Participant, or any of the Participant's Representatives may result in substantial and irreparable damage and loss to Transnet and that the Participant shall remain solely responsible for and liable to Transnet for any damages, losses or liabilities arising from any breach of this Agreement by the Participant, or any Participant's Representatives.

3. Disclosure Required by Law

In the event the Participant or any of the Participant's Representatives are requested pursuant to, or required by, applicable law or regulation or by legal process to disclose any Confidential Information or any other information concerning Transnet or the Procurement Process, the Participant agrees that it will provide Transnet with prompt written notice of such request or requirement in order to enable Transnet to seek an appropriate protective order or other remedy, to consult with the Participant with respect to Transnet taking steps to resist or narrow the scope of such request or legal process, or to waive compliance, in whole or in part, with the terms of this Agreement. In any such event the Participant will consent to Transnet obtaining any protective order or other appropriate remedy that Transnet may seek for the purpose of preventing disclosure of any of the Confidential Information. In the event that such protective order or other remedy is not obtained, or that Transnet waives compliance with the provisions of this Agreement, the Participant or the Participant's Representatives shall furnish only that portion of the Confidential Information which the Participant is advised by written opinion of counsel is legally required and the Participant shall use reasonable best efforts to cooperate with Transnet to obtain a protective order and to ensure that all Confidential Information and other information that is so disclosed will be accorded confidential treatment.

4. Governing Law and Jurisdiction

Respondent's Signature

Date and Company Stamp



This Agreement shall be governed by and construed in accordance with the laws of South Africa. Each party hereto irrevocably submits to the non-exclusive jurisdiction of the courts of South Africa with respect to any matter arising hereunder or in relation to this Agreement.

[NAME OF PARTICIPANT]

Per:

Authorized Signatory

Per:

Authorized Signatory

Acknowledged and Agreed to as of the ____ day of , 2025 by Transnet.

Per:

Authorized Signatory

Respondent's Signature

Date and Company Stamp



SCHEDULE "C" DECLARATION OF INTEREST

TRANSNET SOC Ltd REQUEST FOR QUALIFICATIONS ESTABLISHMENT OF A ROLLING STOCK LEASING COMPANY

NAME OF ENTITY: _____

We/I [insert full name]

_____ representing the above-named Participant do hereby certify that:

PART I: DECLARATION OF INTEREST REGARDING TRANSNET

1. At no stage has the Participant received additional information relating to the subject matter of this RFQ from Transnet sources, other than information formally received from the designated Transnet contact(s) as nominated in the RFQ documents;
2. The Participant has complied with all obligations which apply to Bidders as indicated in the Transnet Supplier Integrity Pact (available on the Transnet website) which includes but are not limited to ensuring that the Participant takes all measures necessary to prevent corrupt practices, unfairness and illegal activities in order to secure or in furtherance to secure a contract with Transnet;
3. We declare that a family, business and/or social relationship **exists / does not exist** [delete as applicable] between an owner / member / director / partner / shareholder / employee of the Participant and a board member of Transnet or any employee of Transnet who may be involved in the evaluation and/or adjudication of this Submission;
4. We declare that an owner / member / director / partner / shareholder / employee of the Participant **is / is not** [delete as applicable] an employee or board member of Transnet;
5. In addition, we declare that an owner / member / director / partner / shareholder / employee of the Participant **has / has not been** [delete as applicable] an employee or board member of Transnet in the past 10 years. We further declare that if they were a former employee or board member of Transnet in the past 10 years, they **were/were not** involved in the bid preparation or had access to the information related to this RFQ; and
6. If such a relationship as indicated in paragraph 3, 4 and/or 5 above exists, the Participant is to complete the following section:

FULL NAME AND POSITION IN THE PARTICIPANT, OF OWNER / MEMBER / DIRECTOR / PARTNER / SHAREHOLDER / EMPLOYEE	NATURE OF RELATIONSHIP WITH TRANSNET (AND RELEVANT TIME- PERIOD IF FORMER EMPLOYEE OR BOARD MEMBER)

Respondent's Signature

Date and Company Stamp

- 2.3 Position occupied in the Company (director, trustee, shareholder3):.....
- 2.4 Company Registration Number:.....
- 2.5 Tax Reference Number:.....

2 "State" means –

- (a) any national or provincial department, national or provincial public entity or constitutional institution within the meaning of the Public Finance Management Act, 1999 (Act No. 1 of 1999);
 - (b) any municipality or municipal entity;
 - (c) provincial legislature;
 - (d) national Assembly or the national Council of provinces; or
 - (e) Parliament.
- 2.6 VAT Registration Number:
- 2.6.1 The names of all directors / trustees / shareholders / members, their individual identity numbers, tax reference numbers and, if applicable, employee / persal numbers must be indicated in paragraph 3 below.
- 2.7 Are you or any person connected with the bidder **YES / NO**

presently employed by the state?

2.7.1 If so, furnish the following particulars:

Name of person / director / trustee / shareholder/ member:

.....

Name of state institution at which you or the person

connected to the bidder is employed : Position occupied in the state institution:

..... Any other particulars:

.....

.....

.....

2.7.2 If you are presently employed by the state, did you obtain **YES / NO**
the appropriate authority to undertake remunerative work outside employment in the public sector?

2.7.2.1 If yes, did you attached proof of such authority to the bid **YES / NO**
document?

(Note: Failure to submit proof of such authority, where applicable, may result in the disqualification of the bid.)

2.7.2.2 If no, furnish reasons for non-submission of such proof:

.....

.....

2.8 Did you or your spouse, or any of the company's directors / **YES / NO**
trustees / shareholders / members or their spouses conduct business with the state in the previous twelve months?

Respondent's Signature

Date and Company Stamp

2.8.1 If so, furnish particulars:

.....

2.9 Do you, or any person connected with the bidder, have **YES / NO**
 any relationship (family, friend, other) with a person employed by the state and who may be involved with the
 evaluation and or adjudication of this bid?

2.9.1 If so, furnish particulars.

.....

2.10 Are you, or any person connected with the bidder, **YES/NO**
 aware of any relationship (family, friend, other) between any other bidder and any person employed by the state
 who may be involved with the evaluation and or adjudication of this bid?

2.10.1 If so, furnish particulars.

.....

2.11. Do you or any of the directors / trustees / shareholders / members **YES/NO**
 of the company have any interest in any other Related companies whether or not they are bidding for this contract?

2.11.1. If so, furnish particulars:

.....

3. FULL DETAILS OF DIRECTORS / TRUSTEES / MEMBERS / SHAREHOLDERS.

Full Name	Identity Number	Personal Tax Reference Number	State Employee Number / Persal Number (where applicable)

Respondent's Signature

Date and Company Stamp

4. DECLARATION

I, THE UNDERSIGNED
(NAME).....

CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 2 and 3 ABOVE IS CORRECT.
I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 23 OF THE
GENERAL CONDITIONS OF CONTRACT SHOULD THIS DECLARATION PROVE TO BE FALSE.

..... Signature	Date
..... Position	Name of bidder

END

Respondent's Signature

Date and Company Stamp