

Annexure A

Construction Health and Safety Baseline Specification

**RFR/TFM/2024: ESTABLISH A FRAMEWORK OF
SPECIALIZED TOTAL FACILITIES MANAGEMENT FIRMS
FOR A PERIOD OF 5 YEARS,
WITH AN OPTION OF EXTENDING FOR FURTHER 1 YEAR
AFTER EXPIRY OF THE INITIAL PROJECT PERIOD.**

DETAILS

**SUPERVISION BY THE CLIENT
DBSA – DEVELOPMENT BANK OF SOUTHERN AFRICA**

**APPOINTMENT OF A SERVICE PROVIDER
(TBC)**

TABLE OF CONTENTS

- 1. INTRODUCTION**
- 2. SCOPE OF HEALTH & SAFETY DOCUMENT**
- 3. PURPOSE**
- 4. DEFINITIONS**
- 5. OCCUPATIONAL HEALTH & SAFETY MANAGEMENT**
 - 5.1 Organizational Structure of HSMS Responsibilities
 - 5.2 Communication & Liaison
- 6. INTERPRETATION**
- 7. RESPONSIBILITIES**
 - 7.1 Client
 - 7.2 Service Provider and Sub - Contractor
- 8. SITE SPECIFIC WORKS INFORMATION**
- 9. HEALTH AND SAFETY FILE**
- 10. OH&S GOALS AND OBJECTIVES AND ARRANGEMENTS FOR MONITORING AND REVIEWING PERFORMANCE**
- 11. IDENTIFICATION OF HAZARDS & DEVELOPMENT OF RISK ASSESSMENTS, STANDARD WORKING PROCEDURES (SWP) & METHOD STATEMENTS**
- 12. ARRANGEMENTS FOR MONITORING AND REVIEW**
 - 12.1 Periodical Audit by Client or its Agent;
 - 12.2 Other Audits & Inspections by Client or Agent;
 - 12.3 Incident Investigation and reporting;
 - 12.4 Review;
 - 12.5 Site Rules and other Restrictions;
 - 12.6 Training;
 - 12.7 Incident Investigation; and
 - 12.8 SHE Representatives and SHE Committees.
- 13. PROJECT/SITE SPECIFIC REQUIREMENTS**
- 14. OUTLINED DATA, REFERENCES & INFORMATION ON CERTAIN AND/OR SPESIFIC OBLIGATORY REQUIREMENTS TO ENSURE COMPLIANCE**
- 15. THE SERVICE PROVIDER'S GENERAL DUTIES**

- 16. THE SERVICE PROVIDER'S SPECIFIC DUTIES REGARDING HAZARDOUS ACTIVITIES**
- 17. GENERAL NOTES TO THE SERVICE PROVIDER**
- 18. HOUSE KEEPING**
- 19. INCIDENT INVESTIGATION**
- 20. GENERAL**
- 21. IMPORTANT LISTS AND RECORDS TO BE KEPT**

1. INTRODUCTION

- 1.1. In terms of Construction Regulation 5(1) (b) of the Occupational Health and Safety Act, 1993 (Act 85 of 1993), DBSA – Development Bank of Southern Africa, as the Client and/or its Agent on its behalf, shall be responsible to prepare Health & Safety Specifications for any intended construction work and to provide any Contractor or supplier who is making a bid or appointed to perform construction work for the Client and/or its Agent on its behalf with the same.
- 1.2. The Service Provider shall be responsible for the Health & Safety Policy for the sites in terms of Section 7 of the Occupational Health and Safety Act, 1993 (Act No. 85 of 1993) Edition 24.
- 1.3. This 'Health and Safety Specifications' document is governed by the "Occupational Health and Safety Act, 1993 (Act No. 85 of 1993) Edition 24, hereinafter referred to as 'The Act'. It should be noted that no single Act or its set of Regulations be read in isolation. Furthermore, although the definition of Health and Safety Specifications stipulates 'a documented specification of all health and safety requirements pertaining to associated works on a site, so as to ensure the health and safety of persons', it is required that the entire scope of the Labour Legislation, including the Basic Conditions of Employment Act be considered as part of the legal compliance system. With reference to this specification document this requirement is limited to all health, safety and environmental issues pertaining to the site of the project as referred to here-in. Despite the foregoing it is reiterated that environmental management shall receive due attention.
- 1.4. Prior to drafting the Health and Safety Plan, and in consideration of the information contained here-in, all contractors or suppliers shall set up a Risk Assessment Program to identify and determine the scope and details of any risk associated with any hazard related to the scope of works in order to identify the steps needed to be taken to remove, reduce or control such hazard. This Risk Assessment and the steps identified will be the basis or point of departure for the Health and Safety Plan. The Health and Safety Plan shall include documented 'Method Statements of Work' detailing the key activities to be performed when delivering/offloading of construction materials in order to reduce as far as reasonably practicable, the hazards identified in the Risk Assessment.
- 1.5. Every effort has been made to ensure that this specification document is accurate and adequate in all respects. Should it however, contain any errors or omissions they may not be considered as grounds for claims under the contract for additional reimbursement or extension of time, or relieve the Service Provider from his responsibilities and accountability in respect of the project to which

this specification document pertains. Any such inaccuracies, inconsistencies and/or inadequacies must immediately be brought to the attention of the Agent and/or Client.

2. SCOPE OF HEALTH AND SAFETY SPECIFICATION DOCUMENT

The Health and Safety Specifications pertaining to the **establish a framework of specialized Total Facilities Management firms for a period of 5 years, with an option of extending for further 1 year after expiry of the initial project period.**

These specifications are contained in the index and intend to specify the normal and specific requirements of DBSA – Development Bank of Southern Africa pertaining to the health and safety matters (including the environment) applicable to the tender in question. These Specifications should be read in conjunction with the Occupational Health and Safety Act No 85 and Amendment Act No 181 of 1993, Edition 24 (latest edition), Construction Regulations 2014, including the Code of Practice: Managing exposure to SARS-CoV-2 in the workplace. This will also include any Safety Standards which were or will be promulgated under the Act or incorporated into the Act and be in force or come into force during the effective duration of the project. The stipulations in this specification, as well as those contained in all other documentation pertaining to the project, including contract documentation and technical specifications shall not be interpreted, in any way whatsoever, to cancel or nullify any stipulation of the Act, Regulations and Safety Standards which are promulgated under, or incorporated into the Act.

3. PURPOSE

The purpose of this specification document is to provide the **(TBC)** (Service Providers, Suppliers or contractors) with any information other than the standard conditions pertaining to construction, maintenance activities which might affect the health and safety of persons at work and of persons in connection with the use of plant and machinery or portable electrical tools. It further aims to protect persons other than its employees against any potential hazards to their health and safety arising out of or in connection with the activities of persons at work during the supply and delivery of construction materials for **DBSA – Development Bank of Southern Africa.**

- 3.1 To brief the Service Provider on the significant health and safety requirements and aspects of the tender. This shall include the provision of the following information and requirements namely:
- a) safety considerations affecting the site and its environment;

- b) health and safety aspects of the associated structures and equipment;
- c) required submissions on health and safety matters required from the Service Provider);
- d) and the Service Provider, suppliers and contractors health and safety plans.

3.2 To serve to ensure that the Service Provider is fully aware of what is expected from them with regards to the Occupational Health and Safety Act No 85 and Amendment Act No 181 of 1993, Edition 24 (latest edition), Construction Regulations 2014, including the Code of Practice: Managing exposure to SARS-CoV-2 in the workplace and the Regulations made there-under including the applicable safety standards, and in particular in terms of Section 8 of the Act.

3.3 To inform the Service Provider that the Occupational Health and Safety Act No 85 and Amendment Act No 181 of 1993, Edition 24 (latest edition) and the Code of Practice: Managing exposure to SARS-CoV-2 in the workplace in its entirety shall apply to the contract to which this specification document applies. The Construction Regulations promulgated on 7 February 2014 and incorporated into the above Act by Government Notice R 84, published in Government Gazette 37305 shall specifically apply to all persons involved in the construction work pertaining to this project.

4. DEFINITIONS

“Purpose of the Act” –To provide for the health and safety of persons at work and the health and safety of persons in connection with the use of plant and machinery; the protection of persons other than persons at work against hazards to health and safety arising out of or in connection with the activities of persons at work; to establish an advisory council for occupational health and safety; and to provide for matters connected therewith.

"the Act" means the Occupational Health and Safety Act, 1993 (Act No. 85 of 1993) Edition 24;

“Agent” –means a competent person who acts as a representative for a client;

“Client” –means any person for whom construction work is performed;

"Construction manager" means a competent person responsible for the management of the physical construction processes and the coordination, administration and management of resources on a construction site;

"Construction site" means a work place where construction work is being performed;

"Construction supervisor" means a competent person responsible for supervising construction activities on a construction site;

"Construction work" means any work in connection with -

- (a) the construction, erection, alteration, renovation, repair, demolition or dismantling of or addition to a building or any similar structure; or
- (b) the construction, erection, maintenance, demolition or dismantling of any bridge, dam, canal, road, railway, runway, sewer or water reticulation system; or the moving of earth, clearing of land, the making of excavation, piling, or any similar civil engineering structure or type of work;

"Contractor" –means an employer who performs construction work;

"Designer" means-

- (a) a competent person who-
 - (i) prepares a design;
 - (ii) checks and approves a design;
 - (iii) arranges for a person at work under his or her control to prepare a design, including an employee of that person where he or she is the employer; or
 - (iv) designs temporary work, including its components;
- (b) an architect or engineer contributing to, or having overall responsibility for a design;
- (c) a building services engineer designing details for fixed plant;
- (d) a surveyor specifying articles or drawing up specifications;
- (e) a contractor carrying out design work as part of a design and building project; or an interior designer, shop-fitter or landscape architect;

"Excavation work" means the making of any man-made cavity, trench, pit or depression formed by cutting, digging or scooping;

"Fall protection plan" means a documented plan, which includes and provides for—

- (a) all risks relating to working from a fall risk position, considering the nature of work undertaken;
- (b) the procedures and methods to be applied in order to eliminate the risk of falling; and
- (c) a rescue plan and procedures;

“Health and Safety File” –means a file, or other record containing the information in writing required by the Construction Regulations 2014;

“Health and Safety Plan” –means a site, activity or project specific documented plan in accordance with the client's health and safety specification;

“Health and Safety Specification” –means a site, activity or project specific document prepared by the client pertaining to all health and safety requirements related to construction work;

“Method Statement” –means a document detailing the key activities to be performed in order to reduce as reasonably as practicable the hazards identified in any risk assessment;

“Service Provider” means an employer appointed by the client to perform construction work;

“Risk Assessment” –means a program to determine any risk associated with any hazard at a construction site, in order to identify the steps needed to be taken to remove, reduce or control such hazard.

“National Building Regulations” means the National Building Regulations made under the National Building Regulations and Building Standards Act, 1977 (Act No. 103 of 1977), and promulgated by Government Notice No. R. 2378 of 30 July 1990, as amended by Government Notices No's R. 432 of 8 March 1991, R. 919 of 30 July 1999 and R. 547 of 30 May 2008;

“Structure” means—

- a) any building, steel or reinforced concrete structure (not being a building), railway line or siding, bridge, waterworks, reservoir, pipe or pipeline, cable, sewer, sewage works, fixed vessels, road, drainage works, earthworks, dam, wall, mast, tower, tower crane, bulk mixing plant, pylon, surface and underground tanks, earth retaining structure or any structure designed to preserve or alter any natural feature, and any other similar structure;
- b) any falsework, scaffold or other structure designed or used to provide support or means of access during construction work; or
- c) any fixed plant in respect of construction work which includes installation, commissioning, decommissioning or dismantling and where any construction work involves a risk of a person falling;

5. OCCUPATIONAL HEALTH & SAFETY MANAGEMENT

5.1 Organizational Structure of HSMS Responsibilities

All responsibilities fall under the legal requirement of legal appointment letters – each responsible person must have an appointment letter.

ROLE	RESPONSIBILITIES
Client Client Agent	The Client and/or its Agent shall ensure that the Service Provider, Suppliers or contractors, appointed in terms of Construction Regulation 5(1)(k) or Construction Regulation 7(1)(c)(v) implements and maintains the agreed and approved Health and Safety Plan. Failure on the part of the Client or Agent to comply with this requirement will not relieve the Service Provider from any duties under the Act and Regulations.
CEO – Service Provider	The Chief Executive Officer of the Service Provider in terms of Section 16 (1) of the OHS Act to ensure that the Employer (as defined in the Act) complies with the Act. The pro forma Legal Compliance Audit may be used for this purpose by the Service Provider or his/her appointed contractor.
Person responsible for Health and Safety Section 16(2)	All OHS Act (85 /1993), Section 16 (2) appointee/s as detailed in their respective appointment forms shall regularly, in writing, report to management on health and safety matters or deviations identified during routine or ad hoc inspections/ audits. All reports shall be made available to the Service Provider to become part of their site records (Health & Safety File).
SHE Representatives	All Health and Safety Representatives (SHE-Reps) shall act and report as per Section 18 of the OHS Act. She Representatives shall inspect and monitor activities on a daily basis and report findings to the Client and Health and Safety manager immediately. These safety representatives have the right to stop any unsafe work or work due to unsafe conditions and report findings and reason immediately to (TBC) Management.
Other Legal Appointees	Further (Specific) Supervision Responsibilities for OH&S Several appointments or designations of responsible and /or competent people in specific areas of construction work are required by the OHS Act and Regulations. The following competent appointments, where applicable, in terms of the Construction Regulations 2014 are required to ensure compliance to the Act, Regulations and Safety Standards.

APPLICABLE LEGAL APPOINTMENTS REQUIRED IF IN CONSTRUCTION PHASE			
Item	Construction Regulation	Appointment	Responsible Person
1.	5(1)(k)	Principal contractor for each phase or project	Client / Agent
2.	6	Designer	Client / Agent
3.	7(1)(c)(v)	Contractor	Principal Contractor
4.	7(2)(c)	Sub-Contractor	Contractor
5.	8(1)	Construction Manager	Principal Contractor
7.	8(5)	Full-time Construction Health & Safety Officer (Pr.CHSO)	Principal Contractor & Contractor
8.	8(7)	Construction Supervisor	Construction Manager
9.	9(1)	Person to carry out risk assessment	Principal Contractor & Contractor
10.	10(1)(a)	Fall protection planner	Principal Contractor & Contractor
11.	11(2)	Competent structure inspector	Owner
12.	6(2) & 12(1)	Temporary Works Designer	Principal Contractor & Contractor
13.	12(2)	Temporary Works Supervisor	Principal Contractor & Contractor
14.	13(1)(a)	Excavation supervisor	Principal Contractor & Contractor
15.	13(2)(b)(ii)(bb)	Professional engineer or technologist	Principal Contractor & Contractor
16.	14(1)	Supervisor demolition work	Principal Contractor & Contractor
17.	16(1)	Scaffold supervisor, Scaffold erector & Scaffold Inspector	Principal Contractor & Contractor
18.	21(2)(b)	Explosive actuated fastening device expert	Principal Contractor & Contractor
19.	21(2) (g) (i)	Explosive actuated fastening device controller	Principal Contractor & Contractor
20.	23(1)(d)(i)	Construction vehicle and mobile plant operator	Principal Contractor & Contractor
21.	23(1)(k)	Construction vehicle and mobile plant inspector	Principal Contractor & Contractor
22.	24(d)	Temporary electrical installations inspector	Principal Contractor & Contractor
23.	24 (e)	Temporary electrical installations controller	Principal Contractor & Contractor
24.	28 (a)	Stacking and storage supervisor	Principal Contractor & Contractor
25.	29 (h)	Fire equipment inspector	Principal Contractor & Contractor

This list may be used as a reference or tool to determine which components of the Act and Regulations would be applicable to a particular site, as was intended under paragraph 3 & 4 of the Chapter “Introduction” (page 4) above. This list shall not be assumed to be exclusive or comprehensive.

5.2 Communication & Liaison

- 5.2.1 Communication between the Employer, the Service Provider, Suppliers, the Principal Contractor, Contractors, Project manager, Architect and other concerned parties shall take place in the SHE Committee or Project meeting
- 5.2.2 In addition to the above, communication may be directed to the Client or Client Agent, in writing, as and when the need arises;
- 5.2.3 The workforce may consult on Health and Safety matters with their supervisor or She Representative;
- 5.2.4 The Service Provider shall be responsible for the dissemination of all relevant Health and Safety information to Contractors.

6. INTERPRETATION

- 6.1 The Occupational Health and Safety Act and all its Regulations, with the exception of the Construction Regulations 2014, distinguish between the roles, responsibilities and functions of employers and employees respectively. It views consultants and contractors as employees of the “owner” of a construction or operational project, the “owner” being regarded as the employer. Only if formally agreed to by way of the written agreement in this regard between the “owner(s)” and consultant and /or between the “owner(s)” and the contractor(s), will these assumptions be relinquished in favour of the position agreed upon between the relevant parties;
- 6.2 In terms of the Construction Regulations 2014 the “**owner**”, in terms of its instructions, operates (has to operate) in the role of client as per relevant definition;
- 6.3 The **contractors** working for the “client” are seen to be in two categories, i.e. the PSP / Principal Contractor and Contractors. The PSP / Principal Contractor has to take full responsibility for the health and safety on the site of the relevant project / contract. This includes monitoring health and safety conditions and overseeing administrative measures required by the Construction Regulations 2014 from all contractors on the project site;
- 6.4 **Contractors** are required to operate under the control (in terms of all health and safety measures which are covered in the Construction Regulations 2014) of the Service Provider or Principal Contractor. Where, for the work (TBC) will have to execute himself, practical health and safety measures are applicable, he will also be subject to the relevant requirements with which Contractors have to comply. The Service Provider / Principal Contractor will, however, not have to actually fulfill such requirements in respect of any of the work / functions of any Contractors or suppliers on the site for which he has been appointed as Service Provider / Principal Contractor.

However, he has to monitor / oversee such processes, ensuring that the requirements are complied with and that the required appointments / evaluations / inspections / assessments and tests are done and that the records are duly generated and kept as prescribed in the Construction Regulations 2014. This has to feature clearly in the Health and Safety Plan.

7. RESPONSIBILITIES

7.1 In terms of Construction regulation 5 a Client must-

- 1 (a) prepare a baseline risk assessment for an intended construction work project;
- (b) prepare a suitable, sufficiently documented and coherent site-specific health and safety specification for the intended construction work based on the baseline risk assessment contemplated in paragraph (a);
- (c) provide the designer with the health and safety specification contemplated in paragraph (b);
- (d) ensure that the designer takes the prepared health and safety specification into consideration during the design stage;
- (e) ensure that the designer carries out all responsibilities contemplated in regulation 6;
- (f) include the health and safety specification in the tender documents;
- (g) ensure that potential Service Provider submitting tenders have made adequate provision for the cost of health and safety measures;
- (h) ensure that the Service Provider to be appointed has the necessary competencies and resources to carry out the construction work safely;
- (i) take reasonable steps to ensure co-operation between all contractors appointed by the client to enable each of those contractors to comply with these Regulations;
- (j) ensure before any work commences on a site that every Contractor is registered and in good standing with the compensation fund or with a licensed compensation insurer as contemplated in the Compensation for Occupational Injuries and Diseases Act, 1993 (Act No. 130 of 1993);
- (k) appoint every Service Provider in writing for the project or part thereof on the construction site;

- (l) discuss and negotiate with the Contractor the contents of the Service Provider health and safety plan contemplated in regulation 7(1), and must thereafter finally approve that plan for implementation;
 - (m) ensure that a copy of the Contractor health and safety plan is available on request to an employee, inspector or contractor;
 - (n) take reasonable steps to ensure that each contractor's health and safety plan contemplated in regulation 7(1)(a) is implemented and maintained;
 - (o) ensure that periodic health and safety audits and document verification are conducted at intervals mutually agreed upon between the Service Provider and any contractor, but at least once every 30 days;
 - (p) ensure that a copy of the health and safety audit report contemplated in paragraph (o) is provided to the Service Provider within seven days after the audit;
 - (q) stop any contractor from executing a construction activity which poses a threat to the health and safety of persons which is not in accordance with the client's health and safety specifications and the Service Provider health and safety plan for the site;
 - (r) where changes are brought about to the design or construction work, make sufficient health and safety information and appropriate resources available to the Service Provider to execute the work safely; and
 - (s) ensure that the health and safety file contemplated in regulation 7(1)(b) is kept and maintained by the Principle Contractor, Suppliers or contractors.
- (2) Where a client requires additional work to be performed as a result of a design change or an error in construction due to the actions of the client, the client must ensure that sufficient safety information and appropriate additional resources are available to execute the required work safely.
- (3) Where a fatality or permanent disabling injury occurs on a construction site, the client must ensure that the contractor provides the provincial director with a report contemplated in section 24 of the Act, in accordance with regulations 8 and 9 of the General Administrative

Regulations, 2003, and that the report includes the measures that the contractor intends to implement to ensure a safe construction site as far as is reasonably practicable.

- (4) Where more than one Contractors is appointed as contemplated in sub-regulation (1) (k), the client must take reasonable steps to ensure co-operation between all principal contactors and contractors in order to ensure compliance with these Regulations.
- (5) Where a construction work permit is required as contemplated in regulation 3(1), the client must, without derogating from his or her health and safety responsibilities or liabilities, appoint a competent person in writing as an agent to act as his or her representative, and where such an appointment is made the duties that are imposed by these Regulations upon a client, apply as far as reasonably practicable to the agent so appointed.
- (6) Where notification of construction work is required as contemplated in regulation 4(1), the client may, without derogating from his or her health and safety responsibilities or liabilities, appoint a competent person in writing as an agent to act as his or her representative, and where such an appointment is made the duties that are imposed by these Regulations upon a client, apply as far as reasonably practicable to the agent so appointed: Provided that, where the question arises as to whether an agent is necessary, the decision of an inspector is decisive.
- (7) An agent contemplated in sub-regulations (5) and (6) must—
 - (a) manage the health and safety on a construction project for the client; and
 - (b) be registered with a statutory body approved by the Chief Inspector as qualified to perform the required functions;
- (8) When the chief inspector has approved a statutory body as contemplated in sub regulation (7)(b), he or she must give notice of that approval in the Gazette.

7.2 Service Provider / Contractor / Suppliers

- a) The Contractors or suppliers shall accept their appointment under the terms and Conditions of Contract when the Service Provider/Client is ready for construction works. The Contractors or Suppliers shall sign and agree to those terms and conditions and shall, before commencing work, notify the Department of Labour of the intended construction work in

terms of Regulation 4 of the Construction Regulations 2014 if Construction work activities is applicable. Refer to definition of Construction Works .

- b) The Service Provider / Contractor / Supplier shall ensure that he is fully conversant with the requirements of this Specification and all relevant health and safety legislation. This Specification is not intended to supersede the Act nor the Construction Regulations 2014 or any part of either. Those sections of the Act and the Construction Regulations 2014 which apply to the scope of work to be performed by the Service Provider in terms of this contract (entirely or in part) will continue to be legally required of the Service Provider / Contractor or Suppliers to comply with. The Service Provider will in no manner or means be absolved from the responsibility to comply with all applicable sections of the Act, the Construction Regulations 2014 or any Regulations proclaimed under the Act or which may perceivable be applicable to this contract;
- c) (1) Once Appointed the Contractor, Suppliers must further —
 - (a) provide and demonstrate to the client a suitable, sufficiently documented and coherent site-specific health and safety plan, based on the client's documented health and safety specifications contemplated in regulation 5(1)(b), which plan must be applied from the date of commencement of and for the duration of the construction work and which must be reviewed and updated by the Principal Contractor as work progresses;
 - (b) Principal Contractor to open and keep on site a health and safety file, which must include all documentation required in terms of the Act and these Regulations, which must be made available on request to an inspector, the client, the client's agent or a contractor; and
 - (c) on appointing any other contractor, in order to ensure compliance with the provisions of the Act—
 - (i) provide contractors who are tendering to perform construction work for the Principal Contractor, with the relevant sections of the health and safety specifications contemplated in regulation 5(1)(b) pertaining to the construction work which has to be performed;
 - (ii) ensure that potential contractors and suppliers submitting tenders have made sufficient provision for health and safety measures during the construction process;

(iii) ensure that no contractor is appointed to perform construction work unless the Service Provider / Client is reasonably satisfied that the contractor or supplier that he or she intends to appoint, has the necessary competencies and resources to perform the construction work safely;

(iv) ensure prior to work commencing on the site that every contractor or supplier is registered and in good standing with the compensation fund or with a licensed compensation insurer as contemplated in the Compensation for Occupational Injuries and Diseases Act, 1993;

(v) appoint each contractor in writing for the part of the project on the construction site;

(vi) take reasonable steps to ensure that each contractor's health and safety plan contemplated in sub-regulation (2)(a) is implemented and maintained on the construction site;

(vii) ensure that the periodic site audits and document verification are conducted at intervals mutually agreed upon between the Service Provider and any contractor, but at least once every 30 days;

(viii) stop any contractor from executing construction work which is not in accordance with the client's health and safety specifications and the Principal Contractor's health and safety plan for the site or which poses a threat to the health and safety of persons;

(ix) where changes are brought about to the design and construction, make available sufficient health and safety information and appropriate resources to the contractor to execute the work safely; and

(x) discuss and negotiate with the contractor the contents of the health and safety plan contemplated in sub-regulation (2)(a), and must thereafter finally approve that plan for implementation;

(d) ensure that a copy of his or her health and safety plan contemplated in paragraph (a), as well as the contractor's health and safety plan contemplated in sub-regulation (2)(a), is available on request to an employee, an inspector, a contractor, the client or the client's agent;

(e) hand over a consolidated health and safety file to the client upon completion of the construction work and must, in addition to the documentation referred to in sub-regulation (2)(b), include a record of all drawings, designs, materials used and other similar information concerning the completed structure;

(f) in addition to the documentation required in the health and safety file in terms of paragraph (c)(v) and sub-regulation (2)(b), include and make available a comprehensive and updated list of all the contractors on site accountable to the Principal Contractor, the agreements between the parties and the type of work being done; and

(g) ensure that all employees have a valid medical certificate of fitness specific to the construction work to be performed and issued by an occupational health practitioner in the form of Annexure 3.

(2) A contractor must prior to performing any construction work—

(a) provide and demonstrate to the Principal Contractor a suitable and sufficiently documented health and safety plan, based on the relevant sections of the client's health and safety specification contemplated in regulation 5(1)(b) and provided by the Service Provider/Client in terms of sub regulation (1)(a), which plan must be applied from the date of commencement of and for the duration of the construction work and which must be reviewed and updated by the contractor as work progresses;

(b) open and keep on site a health and safety file, which must include all documentation required in terms of the Act and these Regulations, and which must be made available on request to an inspector, the client, the client's agent or the Principal Contractor;

(c) before appointing another contractor to perform construction work be reasonably satisfied that the contractor that he or she intends to appoint has the necessary competencies and resources to perform the construction work safely;

(d) co-operate with the Service Provider/Principal Contractor as far as is necessary to enable each of them to comply with the provisions of the Act; and

(e) as far as is reasonably practicable, promptly provide the Principal Contractor with any information which might affect the health and safety of any person at work carrying out construction work on the site, any person who might be affected by the work of such a person at work, or which might justify a review of the health and safety plan.

(3) Where a contractor appoints another contractor to perform construction work, the duties determined in sub regulation (1)(b) to (g) that apply to the Principal Contractor apply to the contractor as if he or she were the Principal Contractor.

(4) A Service Provider must take reasonable steps to ensure co-operation between all contractors appointed by the Service Provider to enable each of those contractors to comply with these Regulations.

(5) No contractor may allow or permit any employee or person to enter any site, unless that employee or person has undergone health and safety induction training pertaining to the hazards prevalent on the site at the time of entry.

(6) A contractor must ensure that all visitors to a construction site undergo health and safety induction pertaining to the hazards prevalent on the site and must ensure that such visitors have the necessary personal protective equipment.

(7) A contractor must at all times keep on his or her construction site records of the health and safety induction training contemplated in sub regulation (6) and such records must be made available on request to an inspector, the client, the client's agent or the PRINCIPAL Contractor.

(8) A contractor must ensure that all his or her employees have a valid medical certificate of fitness specific to the construction work to be performed and issued by an occupational health practitioner in the form of Annexure 3.

8. SITE SPECIFIC WORKS INFORMATION

These specifications are applicable to the specific scope of work pertaining to the **establish a framework of specialized Total Facilities Management firms for a period of 5 years, with an option of extending for further 1 year after expiry of the initial project period** as detailed in the tender documents.

8.1 Purpose

This request for Registration is an invitation to specialized Total Facilities Management firms to be added to a DBSA Framework, to provide work in infrastructure and social infrastructure projects and programmes for various Clients and Client Departments in South Africa.

The DBSA through various Public Sector Clients seeks to engage the services of specialized Total Facilities Management (TFM) companies to render relevant comprehensive services. These services are currently being rendered through various modalities. The intention is, through this process to bring about consistency and a general standard by which TFM services are rendered. The aim is to deliver competitive advantage through reliable, controlled and efficient delivery of facilities services to meet business demand and manage client real estate risks.

The cost-based strategy (cost plus) is selected based on the scope of work yet to be defined in sufficient detail to enable it to be priced. The cost-based pricing strategy allows for the assessment of compensation events (risk events which are not at the contractors risk) based on cost as defined in the contract plus a percentage agreed at the time of contract formation which covers profit, overheads, finance charges, insurances etc.

The framework will comprise of Service Providers (SP) who can provide a full suite of TFM services for IDD on behalf of Client departments, to improve the management of infrastructure as mandated by departments. Service requirements will be broken into two (2) sections / phases namely Conditional Assessment Services (Phase 1) and TFM Execution Services (Phase 2)

One framework, split into Conditional Assessment (Undertaking of a once-off conditional assessment, scope determination, development of TFM project cost estimates, produce report, covering all the aspects of the TFM disciplines, and include additional items that are not covered in the set framework rates) and TFM execution services (required to action TFM project scope allocated in accordance with the conditional assessment outcome from Phase 1).

8.2 Scope of the works

The work envisaged will include the following possible Clients and will cover all 9 Provinces in South Africa:

- National Departments
- Provincial Departments

- Local Government (Metros and Municipalities) Departments

8.3 Conditional Assessment

- DBSA to appoint companies that must demonstrate TFM track record of having completed similar conditional assessment projects within South Africa and beyond.
- These companies must demonstrate the ability to deploy resources with demonstrable local experience, having been responsible to design and deliver TFM conditional assessment solutions.
- Staff to be deployed on the project must be able to provide CVs to demonstrate experience in similar projects and give details of specific roles for this project.
- Companies to propose on TFM technology platform/s and advise on solutions (CAFM) that will be used to effectively monitor, manage and report on the TFM portfolio. Such system/platform must be an integrated platform and allow for integration into Client systems.
- Exhibit benefits of conditional assessment solution to the clients and demonstrate ability to customize solution to suit their objectives.
- The Scope of works covered for the TFM conditional Assessment includes but is not limited to
 - Asset Verification (Asset Register)
 - Developing a User Immovable Asset Management Plan (UIAMP)
 - Development of Maintenance Plans including both planned and unplanned maintenance
 - Soft Services (Cleaning, Security, Catering, Waste Management, Pest Control, Landscaping etc.)
 - Hard/Technical Services (Building fabrics, structural and foundation systems – exposed systems only, lifts, fire, electrical infrastructure, mechanical and HVAC
 - Minor Works – Unplanned
 - Call Centre/Help Desk Operations and Management (CAFM)
 - Data Consolidation and Reporting

8.4 Facilities Project Delivery Team (Members)

- Facilities Project Manager
- Architecture
- Quantity Surveying
- Civil Engineering
- Electrical / Electronic Engineering
- Fire Engineering
- Health and Safety Consultant (Pr.CHSM SACPCMP)

8.5 Total Facilities Management

- Reference to be made to the TFM scope & cost proposal example, of services and works covered as general under standard TFM works, but are not limited to these.
- TFM for the facilities including procurement and management of the subcontractors, IT infrastructure operations, preparation of the monthly performance monitoring report, management and implementation of maintenance plans, management of maintenance systems & record.
 - The Service Provider will maintain all his office buildings, temporary accommodation and ablutions clean and tidy throughout the contract period
 - Maintain condition related information, Compliance with statutory requirements & client rules, compliance with the Occupational Health and Safety Act 85 of 1993 and its regulations
 - Conduct annual building inspections, Conduct annual statutory inspections
 - Energy management and utilities supply management
 - Establishment of prioritized preventive maintenance & life cycle replacement control plan
 - Establishing a performance monitoring system
 - Establishing a maintenance/services regime
 - Establishing an emergency repair plan
 - Establishing a planned maintenance program
 - Establishing a built preparation as facilities management software (including uploading of data)

The Service Provider will be expected to perform building services, electrical/electronic services, mechanical services, fire protection / prevention services, cleaning and related services, minor works services and various training to the end user

8.6 Occupational Health and Safety

The Service Provider needs to comply with the following legal requirements:

- Occupational Health and Safety Act, 1993 – Construction Regulations, 2014;
- Edition 24(latest edition) including the code of practice: managing exposure to SARS COV-2 in the workplace
- DBSA Occupational Health and Safety specification
- DBSA Baseline Risk Assessment
- DBSA Safety, Health, Environment and Quality Policy.

8.7 Other

As a result of the inherent nature of the Health and Safety Baseline Specifications document, specific relevant information on the project must be provided and it may be necessary to draft the required information under this paragraph on a separate attached document.

If at any time after commencement of the project changes are brought about to the design or construction or any repair or maintenance works, sufficient health and safety information and appropriate resources are to be made available to the Service Provider to execute the work safely.

According to Construction Regulation 7(1) (c) (ii) all potential contractors submitting tenders must make provision for the cost of health and safety measures during the construction process. When submitting a tender, the Service Provider shall therefore, make provision for the cost of health and safety measures in terms of their documented Health and Safety Plan and DBSA – Development Bank of Southern Africa Health and Safety Specifications. The cost shall be clearly specified and quantified within the tender document under a section for health and safety.

The Health and Safety Plan is therefore to be included with the Tender documents when Tenders are invited for the Project.

9. HEALTH AND SAFETY FILE

The Service Provider must ensure all Contractors and suppliers must submit a scope specific Health & Safety File that must include all documentation required in terms of the Act and Regulations.

IMPORTANT:

The Health and Safety File will remain the property of the Client and/or its Agent on its behalf throughout the period of the project and shall be consolidated and handed over to the Client and/or its Agent on its behalf at the time of completion of the project.

10. OH&S GOALS AND OBJECTIVES AND ARRANGEMENTS FOR MONITORING AND REVIEWING OH&S PERFORMANCE

The Service Provider is required to maintain an acceptable disabling incident frequency rate (DIFR) and report monthly on their performance to the Client or its Agent.

11. IDENTIFICATION OF HAZARDS AND DEVELOPMENT OF RISK ASSESSMENTS, STANDARD WORKING PROCEDURES (SWP) AND METHOD STATEMENTS

The Contractors and Suppliers is required to perform risk assessments, compile Standard Working Procedures (SWP) and Method Statements for each activity executed in the contract or project (see 13. below "Project/Site Specific Requirements").

The identification of hazards is over and above the hazards identification program and those hazards identified during the drafting of the Health and Safety Plan.

All the above documents must be submitted to the Service Provider for review and approval.

12. ARRANGEMENTS FOR MONITORING AND REVIEW

12.1 Periodical Audit by the Contractors and suppliers.

The Client and/or its Agent on its behalf will be conducting Periodic Audits at times agreed with the contractors or suppliers to comply with Construction Regulation 7(1) (c) (vii) to ensure that the contractors or suppliers has implemented, is adhering to and is maintaining the agreed and approved OH&S Plan (audits must be done at least once every 30 days).

12.2 Other audits and inspections by client or agent.

The Client or its Agent reserves the right to conduct any ad hoc audits and inspections as it deems necessary.

A representative of the Service Provider and the relevant Health and Safety Professional must accompany the Client and/or its Agent on all Audits and Inspections and may conduct their own audit/inspection simultaneously. Each party will, however, take responsibility for the results of his/her own audit/inspection results.

12.3 Incident Investigation and Reporting

12.3.1 The Service Provider shall report all incidents where an employee is injured on duty to the extent that he/she:

- Dies;
- becomes unconscious;
- loses a limb or part of a limb;
- is injured or becomes ill to such a degree that he/she is likely either to die or to suffer a permanent physical defect or likely to be unable for a period of at least 14 days either to work or continue with the activity for which he/she was usually employed.

or where:

- a major incident occurred;
- the health or safety of any person was endangered (this could be a near miss);
- where a dangerous substance was spilled;
- the uncontrolled release of any substance under pressure took place;
- machinery or any part of machinery fractured or failed resulting in flying, falling or uncontrolled moving objects;
- machinery ran out of control.

To the Provincial Director of the Department of Labour within seven days and at the same time to the Client or its Agent.

Refer in this regard to Section 24 of the Act, Construction Regulation 5(3) & General Administrative Regulation 8.

- 12.3.2 The Service Provider is required to provide the Client and/or its Agent on its behalf with copies of all statutory reports required in terms of the Act and the Regulations;
- 12.3.3 The Service Provider is required to provide the Client and/or its Agent on its behalf with a monthly "OHS Compliance Audit Report";
- 12.3.4 The Service Provider is required to provide a.s.a.p. the Client and/or its Agent on its behalf with copies of all internal and external accident/incident investigation reports including the reports contemplated in clause 12.7, 12.8.2, 15, 16, 17, 21 and 22 below. As soon as the occurrence of any accident/incident of whatever nature comes to the notice of the Service Provider, it shall be reported immediately to any of the following:
- Project Manager / Client / Agent; and
 - Health and Safety Manager / Consultant.

12.4 Review

- The Service Provider is to review the Hazard Identification, Risk Assessments and Standard Work Processes for each activity. Each time changes are made to the designs, plans and construction methods and processes. These items must be reviewed;
- The Service Provider must provide the Client and/or its Agent on its behalf, other Contractors and all other concerned parties with copies of any changes, alterations or amendments as contemplated in the above paragraph.

12.5 Site Rules and other Restrictions

12.5.1 Site OH&S Rules

The Service Provider must develop a set of site-specific Health and Safety Rules for the specific area that will be applied to regulate the Health and Safety Plan and associated aspects of the project.

When required for a site by law, visitors and non-employees upon entering the site shall be issued with the proper Personal Protective Equipment (PPE) as and when necessary.

12.5.2 Security Arrangements

- The Service Provider must establish site access rules and implement and maintain these throughout the supply and delivery of construction materials. Access control must include the rule that non-employees shall at all times be provided with fulltime supervision while on site;
- The Service Provider must develop a set of Security rules and procedures for their allocated site and maintain these throughout the construction period. These security rules must be submitted to the Client for approval. Additional security measures or rules may be specified for risk minimisation purposes;

12.6 Training

The contents and syllabi of all training required by the Act and Regulations including any other related or relevant training as required must be included in the Service Provider Health and Safety Plan and Health and Safety File.

12.6.1 General Induction Training

All employees of the Service Provider, suppliers and other Contractors must be in possession of proof of General Induction training.

12.6.2 Site Specific Induction Training

All employees of the Service Provider and other Contractors must be in possession of Site Specific Occupational Health and Safety Induction or other qualifying training.

12.6.3 Other Training

- All employees performing jobs requiring specific training in terms of the OHS Act 85, 1993 and Regulations must submit proof of such training;
- Occupational Health and Safety Training Requirements: (as required by the Construction Regulations 2014 and as indicated by the Health and Safety Specification Document & the Risk Assessment/s and recommendations by the Health and Safety Committee):
 - General Induction (Section 8 of the Act & CR 7(5));
 - Site/Job Specific Induction (also visitors) (Sections 8 & 9 of the Act & CR 7(5)&(6));
 - OH&S Representatives (Section 18 (3) of the Act);
 - Training of the Appointees indicated in 12.6.1 & 12.6.2 above;
 - Basic Fire Prevention & Protection (Environmental Regulations 9 and Construction Regulation 29);

- As a minimum basic First Aid to be upgraded when necessary (General Safety Regulations 3);
- Storekeeping Methods & Safe Stacking (Construction Regulation 28);
- Emergency, Security and Fire Coordinator.

12.7 Incident Investigation

The Service Provider is responsible to oversee the investigation of all incidents. This will include first aid, medical treatment by a doctor and hospital or clinic cases. (General Administrative Regulation 9).

All incidents must be recorded in the Accident/Incident Register. (General Administrative Regulation 9).

The Service Provider is responsible for the investigation of all incidents as described in Section 24 (1) (b) & (c) of the Act and keeping a record of the results of such investigations including the corrective action to prevent similar incidents in future.

The Service Provider is responsible for the investigation of all road traffic accidents relating to the construction site and keeping a record of the results of such investigations including the steps taken to prevent similar accidents in future.

Notwithstanding the requirements of Section 24 of the Act, All incidents shall be investigated and reported on in writing, irrespective of whether such incident gave rise to injury or damage.

12.8 SHE Representatives and SHE Committees

12.8.1 Designation of SHE Representatives

- Where the contractors or suppliers employs more than 20 persons (including the employees of the Contractors) he has to appoint a minimum of one SHE Representatives, then he must appoint one for every 50 employees or part thereof. (*OHS Act85, 1993 - Section 17 and GAR 6; 7.*);
- These SHE Representatives shall be designated in writing.

12.8.2 Duties and Functions of the H&S Representatives *(This is based on the Construction norms and is not an exhaustive list)*

- The contractor or supplier must ensure that the designated SHE Representatives conduct a formal weekly inspection of their respective areas of responsibility using a checklist. All

findings must be reported to the Service Provider. The reports shall be submitted to the Health and Safety Committee for action. Record shall be kept in the form of minutes;

- SHE Representatives must take part in incident investigations;
- SHE Representatives shall be members of at least one SHE Committee and attend all the SHE Committee meetings.

12.8.3 Establishment of H&S Committee(s)

The Contractors or suppliers must establish H&S Committees consisting of designated H&S Representatives together with a number of Employers Representatives appointed as per Section 19(3) that are not allowed to exceed the number of H&S Representatives on the committee. The persons nominated by the employer on an H&S Committee must be designated in writing for such period as may be determined by him. The H&S Committee shall co-opt advisory (temporary) members (who are not allowed to vote on issues discussed) and determine the procedures of the meetings including the chairmanship.

Legally, the H&S Committee must meet minimum every 3 months, but it is advised that they meet at least once a month and consider, at least, the following Agenda for the *first meeting*. Thereafter the H&S Committee shall determine its own procedures as per the previous paragraph.

Agenda:

- 1) Opening and determining of chairmanship (only when necessary);
- 2) Facilities and Hygiene;
- 3) Housekeeping;
- 4) Incidents and incident investigation; and
- 5) Inspection checklists and Registers:
 - a. H&S Rep. Inspections;
 - b. Matters of First Aid;
 - c. Scaffolding;
 - d. Ladders;
 - e. Excavations;
 - f. Portable Electric Equipment;
 - g. Fire Equipment;
 - h. Explosive Power Tools;
 - i. Power Hand tools;

- j. Incident Investigation reports;
 - k. Pressure Equipment and vessels under pressure;
 - l. Personal Protective Equipment.
- 6) Safety Statistics;
 - 7) Health and Safety Awareness / Training / Posters and Symbolic signs;
 - 8) First Aiders and First Aid equipment;
 - 9) Demarcation of work- /hazardous-/safe areas/walkways;
 - 10) Safety Suggestions;
 - 11) Environmental Management;
 - 12) General;
 - 13) Date of Next Meeting; and
 - 14) Closing.

13. PROJECT/SITE SPECIFIC REQUIREMENTS

The following is a list of specific activities and considerations that have been identified for the project and site and for which Risk Assessments, Standard Working Procedures (SWP), management and control measures and Method Statements (where necessary) have to be developed by (TBC) to ensure legal compliance to legislation:

Description of the site and scope of works (CR5 (1) (b);

Scope of works;

The Contractors or Suppliers is to display a site lay-out drawing to indicate at least the following (Not exclusive):

- PC site office, access and egress arrangements inclusive of delivery arrangements, employee facilities and highlighted “No-Go” areas;
- Emergency assembly points.

Other

- Project title sheet;
- A depiction of total people on site daily;
- A list of Sub-contractors active on site with contact details;
- Plant and material listings;
- Emergency contact numbers;
- SHE files to note where all drawings, plans and permits are stored for all the disciplines involved in the construction phase of the project;

- Chemical inventory with MSDS references;
- First aid box and first aid arrangements;
- Fire extinguisher(s);
- Employee shaded eating area;
- Communication board.

Task Activities undertaken in the execution of the above-mentioned work packages and must be addressed in the safety plan of the successful bidders.

- Installation and Maintenance of Temporary Construction Electrical Supply, Lighting and Equipment;
- Exposure to Noise;
- Exposure to Vibration;
- Handling, Storage, removal and disposal of Asbestos Contaminated Material.

Use and Storage of Flammable Liquids and other Hazardous Substances – (the client and/or its agent appointed on its behalf to be informed of this prior to commencing of the project).

As discovered by the Service Provider hazard identification exercise.

As discovered from any inspections and audits conducted by the Client and/or its Agent on its behalf or by the Service Provider or any other Contractor on site.

As discovered from any accident/incident investigation.

13.1 The following are in particular requirements depending on scope of works and will form a basis for compliance audits.

1. Administrative and Legal Requirements;
2. Education, Training & Promotion;
3. Public Safety and Emergency Preparedness;
4. Personal Protective Equipment;
5. Housekeeping;
6. Scaffolding, Formwork & Support work;
7. Ladders;
8. Electrical Safeguarding;
9. Emergency Procedures /Fire Prevention and Protection;
10. Demolition;
11. Tools;

12. Transport and Materials Handling;
13. Stacking and Storage Site/ Yards/ Site Workshops Specifics;
14. Health and Hygiene; and
15. Facilities.

14. OUTLINED DATA, REFERENCES AND INFORMATION ON CERTAIN AND/OR SPECIFIC OBLIGATORY REQUIREMENTS TO ENSURE COMPLIANCE

Administrative & Legal Requirements once maintenance or construction activities commence

OHS Act Section/ Regulation	Subject	Requirements
Construction Regulations 3 & 4	Application for construction work permit Notice of carrying out Construction work	Department of Labour must be notified by the client and by the contractor. Copy of Notice available on Site. Work permit to be displayed at the entrance if required.
General Admin Regulations 4	Copy of OH&S Act (Act 85 of 1993)	Updated copy of Act & Regulations available on site. Readily available for perusal by employees.
COID Act Section 80	Registration with Compensation Insurer	Written proof of registration/Letter of good standing available on Site
Construction Regulations 5(1)	SHE Specification and Program	SHE Spec received from Client and/or its Agent SHE Program developed and updated.
Section 8(2)(d) of the OHS Act and Regulations 5(1) & 7 of the Construction.	Hazard Identification & Risk Assessment	Identifications of hazards/Recorded Risk Assessment and – Plan drawn up/Updated Risk Assessment Plan available on Site Employees/Contractors informed/trained
Section 16(2)	Assigned duties (Managers)	Responsibility of complying with the OH&S Act assigned to other person/s by CEO.
Construction Regulations 8(1)	Designation of Person Responsible on Site	Competent person appointed in writing as Construction Manager with job description
Section 17 & 18 General Administrative Regulations 6 & 7	Designation of SHE Representatives	More than 20 employees - one H&S Representative, one additional H&S Rep. for each 50 employees or part thereof. Designation in writing, period and area of responsibility specified in terms of GAR 6 & 7 Meaningful H&S Rep. reports. Reports actioned by Management.
Section 37(1) & (2)	Agreement with Mandatories/ Contractors	Written agreement with Contractors List of Contractors displayed. Proof of Registration with Compensation Insurer/Letter of Good Standing (COID) Construction Manager designated Written arrangements regarding SHE Reps and Committee (OHS Act Section 17,18) Written arrangements for First Aid (COID)
Section 24 & General Admin Regulations 8, Construction Regulation 5(3) & COID Act Sect.38, 39 & 41	Reporting of Incidents (Dept. of Labour)	Incident Reporting Procedure displayed. All incidents in terms of Sect. 24 reported to the Provincial Director, Department of Labour, within 3 days. (Annexure 1?)(WCL 1 or 2) and to the Client and/or its Agent on its behalf Cases of Occupational Disease Reported

		Copies of Reports available on Site Record of First Aid injuries kept
General Admin Regulations 9	Investigation and Recording of Incidents	All injuries which resulted in the person receiving medical treatment other than first aid, recorded and investigated by investigator designated in writing. Copies of Reports (Annexure 1) available on Site Tabled at H&S Committee meeting Action taken by Site Management.
Construction Regulations 10	Fall Prevention & Protection	Competent person appointed to draw up and supervise the Fall Protection Plan Proof of appointees competence available on Site Risk Assessment carried out for work at heights Fall Protection Plan drawn up/updated and available on Site
Construction Regulations 25	Use of temporary storage of flammable liquids on construction site	Flammable liquids must be stored in a way that it does not cause a fire or explosion hazard, and that the workplace is well ventilated. Suitable notices to be posted.
Construction Regulations 26	Water environments	If construction is performed over on in close proximity of water, then provision must be made to prevent persons from falling into water and have a rescue plan in case of such incident happening to prevent drowning.
Construction Regulations 27	Housekeeping	Suitable housekeeping measures must be implemented to reduce the risk of injuries and damage to the structures, machinery, etc. Debris must be removed with a chute from a high place. Construction area must be fenced off.
Construction Regulations 28/ General Safety Regulations 8(1)(a)	Designation of Stacking & Storage Supervisor.	Competent Person/s with specific knowledge and experience designated to supervise all Stacking & Storage Written Proof of Competence of above appointee available on Site
Construction Regulations 29/ Environmental Regulation 9	Designation of a Person to Co-ordinate Emergency Planning and Fire Protection	Person/s with specific knowledge and experience designated to co-ordinate emergency contingency planning and execution and fire prevention measures Emergency Evacuation Plan developed: - Drilled/Practiced - Plan & Records of Drills/Practices available on Site Fire Risk Assessment carried out All Fire Extinguishing Equipment identified and on <i>register</i> . Inspected weekly. And inspection register kept. Serviced annually
Construction Regulations 30	Employees Facilities	The contractor must provide and maintain in hygienic condition facilities for employees that include: • Showers (1 for every 15 employees) • Sanitary facilities for each sex (1 for every 30 employees) • Changing facilities for each sex • Sheltered eating areas
General Safety Regulations 3	First Aid	Every workplace provided with sufficient number of First Aid boxes. (Required where 5 persons or more are employed) First Aid freely available Equipment as per the list in the OH&S Act. One qualified First Aider appointed for every 50 employees. (Required where more than 10 persons are employed) List of First Aid Officials and Certificates Name of person/s in charge of First Aid box/es displayed. Location of First Aid box/es clearly indicated.

		Signs instructing employees to report all Injuries/illness including first aid injuries
General Safety Regulations 2	Personal Safety Equipment (PPE)	PPE Risk Assessment carried out Items of PPE prescribed/use enforced Records of Issue kept Undertaking by Employee to use/wear PPE. PPE remains property of Employer, and is not to be removed from the premises GSR 2(4)
Hazardous Chemical Substances (HCS) Regulations Construction Regulations 25	Control of Storage & Usage of HCS and Flammables	Competent Person/s with specific knowledge and experience designated to Control the Storage & Usage of HCS (including Flammables) Written Proof of Competence of above appointee available on Site Risk Assessment carried out Register of HCS kept/used on Site Separate, purpose made storage available for full and empty containers
General Safety Regulations 13A	Inspection of Ladders	Competent person appointed in writing to inspect Ladders Ladders inspected at arrival on site and weekly thereafter. Inspections register kept. Application of the types of ladders (wooden, aluminum etc.) regulated by training and inspections and noted in register

Education & Training

Subject	Requirement
Company OH&S Policy Section 7(1)	Policy signed by CEO and published/Circulated to Employees Policy displayed on Employee Notice Boards Management and employees committed.
Company/Site SHE Rules (Section 13(a))	Rules published Rules displayed on Employee Notice Boards Rules issued and employees effectively informed or trained: written proof Follow-up to ensure employees understand/adhere to the policy and rules.
Induction & Task Safety Training (Section 13(a))	All new employees receive SHE Induction Training. Training includes Task Safety Instructions. Employees acknowledge receipt of training. Follow-up to ensure employees understand/adhere to instructions.
General SHE Training (Section 13(a))	All current employees receive specified SHE training: written proof Operators of Plant and Equipment receive specified training Follow-up to ensure employees understand/adhere to instructions.

Public Safety, Security Measures & Emergency Preparedness

Subject	Requirement
Notices & Signs	Notices & Signs at entrances / along perimeters indicating "No Unauthorized Entry" . Notices & Signs at entrance instructing visitors and non - employees what to do, where to go and where to report on entering the site/yard with directional signs. e.g. "Visitors to report to Office" Notices & Signs posted to warn of overhead work and other hazardous activities. e.g. General Warning Signs
Site Safeguarding	Nets, Canopies, Platforms, Fences etc. to protect members of the public passing / entering the site.
Security Measures	Access control measures/register in operation

Emergency Preparedness	Security patrols after hours during weekends and holidays Sufficient lighting after dark Guard has access to telephone/ mobile/other means of emergency communication Emergency contact numbers displayed and made available to Security & Guard Emergency Evacuation instructions posted up on all notice boards (including employees' notice boards) Emergency contingency plan available on site/in yard Doors open outwards/unobstructed Emergency alarm audible all over (including in toilets) Adequate No. of employees trained to use Fire Fighting Equipment. Emergency Evacuation Plan available, displayed and practiced.
Emergency Drill and Evacuation	

Personal Protective Equipment

Subject	Requirement
PPE needs analysis	Need for PPE identified and prescribed in writing. PPE remain property of Employer, not to be removed from premises GSR 2(4)
Head Protection 	All persons on site wearing Hardhats including Contractors and Visitors (where prescribed)
Foot Protection 	All employees on site wearing Safety Footwear including Gumboots for concrete / wet work and non-slip shoes for roof work. Visitors to wear same upon request or where prescribed
Eye and Face Protection 	<u>Eye and Face (also Hand and Body) Protection</u> (Goggles, Face Shields, Welding Helmets etc.) used when operating the following:
Hand Protection 	<u>Protective Gloves</u> worn by employees handling / using / offloading construction materials: • Cement / Bricks / Steel / Chemicals •
Fall Prevention Equipment 	Suitable <u>Safety harnesses</u> / Fall Arrest Equipment correctly used by persons working on / in unguarded, elevated positions e.g.: • Edge work • Offloading of trucks •
PPE Issue & Control	Identified Equipment issued free of charge. All PPE maintained in good condition. (Regular checks). Workers instructed in the proper use & maintenance of PPE. Commitment obtained from wearer accepting conditions and to wear the PPE. Record of PPE issued kept on H&S File. PPE remain property of Employer, not to be removed from premises GSR 2(4)

Housekeeping

Subject	Requirement
Scrap Removal System	All items of Scrap/Unusable Off-cuts/Rubble and redundant material removed from working areas on a regular basis. (Daily) Scrap/Waste removal from heights by chute/hoist/crane. Nothing thrown/swept over sides. Scrap disposed of in designated containers/areas Removal from site/yard on a regular basis.
Stacking & Storage (See Section 1 for Designation & Register)	<u>Stacking:</u> * Stable, on firm level surface/base. * Prevent leaning/collapsing * Irregular shapes bonded * Not exceeding 3x the base * Stacks accessible * Removal from top only. <u>Storage:</u> * Adequate storage areas provided. * Functional – e.g. demarcated storage areas/racks/bins etc. * Special areas identified and demarcated e.g. flammable gas, cement etc. * Neat, safe, stable and square. * Store/storage areas clear of superfluous material. * Storage behind sheds etc. neat/under control. * Storage areas free from weeds, litter etc.
Waste Control/Reclamation	Re-usable off-cuts and other re-usable material removed daily and kept to a minimum in the work areas. All re-usable materials neatly stacked/stored in designated areas. (Nails removed/bent over in re-usable timber). Issue of hardware/nails/screws/cartridges etc. controlled and return of unused items monitored.
Asbestos contaminated material (waste)	On site storage prior removal of this material must be advised by a registered Asbestos Contractor. Asbestos contaminated material must be removed from the site by a company registered for handling and transportation of Asbestos, certificate of accreditation to be obtained from the owner. This material must be disposed of on sites specifically designated for this purpose.
Contractors (Housekeeping)	Contractors required to comply with Housekeeping requirements.

Working at Heights (including roof work)

Subject	Requirement
Openings	Unprotected openings adequately guarded/fenced/barricaded/catch nets installed
Roof work	Roof work discontinued when bad/hazardous weather Fall protection measures (including warning notices) when working close to edges or on fragile roofing material Covers over openings in roof of robust construction/secured against displacement

Scaffolding / Formwork / Support Work

Subject	Requirement
Access/System Scaffolding	- Foundation firm / stable - Sufficient bracing. - Tied to Structure/prevented from side or cross movement - Platform boards in good condition/sufficient/secured. - Handrails and toe boards provided. - Access ladders / stairs provided. - Area/s under scaffolding tidy. - Safe/unsafe for use signs - Complying with OH&S Act/SANS 10085
Free Standing Scaffolding	- Foundation firm / stable - Sufficient bracing. - Platform boards in good condition/sufficient/secured. - Handrails and toe boards provided. - Access ladders / stairs provided. - Area/s under scaffolding tidy. - Safe/unsafe for use signs - Height to base ratio correct - Outriggers used /tied to structure where necessary - Complying with OH&S Act/SANS 10085
Mobile Scaffolding	- Foundation firm / stable - Sufficient bracing. - Platform boards in good condition/sufficient/secured. - Handrails and toe boards provided. - Access ladders / stairs provided. - Area/s under scaffolding tidy. - Safe/unsafe for use signs
Mobile Scaffolding	- Wheels / swivels in good condition - Brakes working and applied. - Height to base ratio correct. - Outriggers used where necessary - Complying with OH&S Act/SANS 10085
Special Scaffolding	Special Scaffolding e.g. Cantilever, Jib and Truss-out scaffolds erected to an acceptable standard and inspected by specialists.
Edges & Openings	- Edges barricaded to acceptable standards. - Manhole openings covered / barricaded. - Openings in floor / other openings covered, barricaded/fenced. - Stairs provided with handrails. - Lift shafts barricaded / fenced off.

Ladders

Subject	Requirement
Physical Condition / Use & Storage	- Stepladders - hinges/stays/braces/stiles in order. - Extension ladders - ropes/rungs/stiles/safety latch/hook in order. - Extension / Straight ladders secured or tied at the bottom / top. - No joined ladders used - Wooden ladders are never painted except with varnish

	- Aluminum ladders NOT to be used with electrical work - All ladders stored on hooks / racks and not on ground. - Ladders protrude 900 mm above landings / platforms / roof. - Fixed ladders higher than 5 m have cages/Fall arrest system
--	---

Electricity (as part of, or additional to the manual “Safety & Switching Procedures for Electrical Installations” - see attached document)

Subject	Requirement
Electrical Distribution Boards & Earth Leakage	- Color coded / numbered / symbolic sign displayed. - Area in front kept clear and unobstructed. - Fitted with inside cover plate / openings blanked off / no exposed “live” conductors / terminals/Door kept close - Switches / circuit breakers identified. - Earth leakage protection unit fitted and operating. - Tested with instrument: Test results within 15 – 30 milliamps - Aperture/Opening/s provided for the plugging in and removal of extension leads without the need to open the door - Apertures and openings used for extension leads to be protected against the elements and especially rain.
Electrical Installations & Wiring	Temporary wiring / extension leads in good condition / no bare or exposed wires. Earthing continuity / polarity correct: Looking at the open connectors to connect the wiring, the word “Brown” has the letter ‘R’ in it, so the <u>b’R’own</u> wire connects to the ‘<u>R</u>’ight hand connector. “Blue” has the letter ‘L’ in it, so the <u>b’L’ue</u> wire connects to the ‘<u>L</u>’eft hand connector. Cables protected from mechanical damage and moisture. Correct loading observed e.g. no heating appliance used from lighting circuit etc. Light fittings/lamps protected from mechanical damage/moisture. Cable arrestors in place and used inside plugs

Emergency and Fire Prevention and Protection

Subject	Requirement
Fire Extinguishing Equipment 	Fire Risks Identified and on record <u>The correct and adequate Fire Extinguishing Equipment available for:</u> - Offices - General Stores - Flammable Store - Fuel Storage Tank/s and catchment well - Gas Welding / Cutting operations - Where flammable substances are being used / applied. * Equipment Easily Accessible
Maintenance	Fire equipment checked minimum monthly, serviced yearly
Location & Signs	<u>Fire Extinguishing Equipment:</u> - Clearly visible - Unobstructed - Signs posted including “No Smoking” / “No Naked Lights” where required. (Flammable store, Gas store, Fuel tanks etc.)
Storage Issue & Control of Flammables (incl. Gas cylinders)	Storage Area provided for flammables with suitable doors, ventilation, bund etc. Flammable store neat / tidy and no Class A combustibles. Decanting of flammable substances carried out in ignition free and adequately ventilated area. Container bonding principles applied

	• Only sufficient quantities issued for one task or one day's usage • Separate, special gas cylinder store/storage area. • Gas Cylinders stored / used / transported upright and secured in trolley/cradle/structure and ventilated. • Types of Gas Cylinders clearly identified as well as the storage area and stored separately. • Full cylinders stored separately from empty cylinders. • All valves, gauges, connections, threads of all vessels to be checked regularly for leaks. • Leaking acetylene vessels to be returned to the supplier IMMEDIATELY.
Storage, Issue & Control of Hazardous Chemical Substances (HCS) 	• HCS storage principles applied: products segregated • Only approved, non-expired HCS to be used • Only the prescribed PPE shall be used as the minimum protection • Provision made for leakage/spillage containment and ventilation • Emergency showers/eye wash facilities provided • HCS under lock & key controlled by designated person • Decanted/issued in containers as prescribed with information/warning labels • Disposal of unwanted HCS by accredited disposal agent • No dumping or disposal of any HCS on or inside the storage area or anywhere else on the project site • All vessels or containers to be regularly checked for leak

Excavations

Subject	Requirement
Excavations deeper than 1.5 m. Based on the risk assessment.	• Shored / braced to prevent caving / falling in. • Provided with an access ladder. • Excavations guarded/barricaded/lighted after dark in public areas • Soil dumped at least 1 m away from edge of excavation • On sloping ground soil dumped on lower side of excavation • All excavations are subject to daily inspections

Tools

Subject	Requirement
Hand Tools	<u>Shovels / Spades / Picks:</u> • Handles free from cracks and splinters • Handles fit securely • Working end sharp and true <u>Hammers:</u> • Good quality handles, no pipe or reinforcing steel handles. • Handles free from cracks and splinters • Handles fit securely <u>Chisels:</u> • No mushroomed heads / heads chamfered • Not hardened • Cutting edge sharp and square <u>Saws:</u> • Teeth sharp and set correctly • * Correct saw used for the job

Explosive Powered Tools.	- Only used by trained / authorized personnel. - Prescribed warning signs placed / displayed where tool is in use. - Work area must be properly isolated/demarcated during use of tool. - Inspected at least monthly by competent person and results recorded. - Issue and return recorded including cartridges / nails and unused cartridges / nails / empty shells recorded. - Cleaned daily after use.
--------------------------	--

Transport & Materials Handling Equipment

Subject	Requirement
Site Vehicles	- All Site Vehicles, Dumpers, Bobcats, Loaders etc.; checked daily before use by driver / operator. - Inventory of vehicles used/operated on site - Inspection by means of a checklist / results recorded. - No persons riding on equipment not designed or designated for passengers. - Site speed limit posted, enforced and not exceeded. - Drivers / Operators trained / licensed and carrying proof. - No unauthorized persons allowed to drive / operate equipment.

Site Plant and Machinery

Subject	Requirement
Brick Cutting Machine	- Operator Trained. - Only authorized persons use the machine. - Emergency stop switch clearly marked and accessible. - Area around the machine dry and slip/trip free/clear of off-cuts - All moving drive parts guarded/electrical supply cable protected - Operator using correct PPE - eye/face/hearing/foot/hands/body.
Electric Arc Welder	- Welder Trained. - Only authorized / trained persons use welder. - Earth cable adequately earthed to work. - Electrode holder in good condition/safe - Cables, clamps & lugs/connectors in good condition. - Area in which welding machine is used is dry/protected from wet. - Welder using correct PPE - eye/ face/foot/body/respirator. - Correct transparent screens & warning signs placed
Woodworking Machines	- Operators Trained. - Only authorized persons use machines. - Provided with guards. - Guards used. - Operators using correct PPE - eye/face/feet/hearing - Circular saws strictly operated according to prescribed methods and settings - Only prescribed saw blades (cross-cut, ripping blade, smooth cut, aluminum) shall be used for various applications
Compressors	- Relief valves correctly set and locked / sealed. - Maximum Safe Working Pressure (MSWP) indicated on face of pressure gauge: not on glass cover. - All drives adequately guarded. - Receiver/lines drained daily - Hoses good condition/clamped, not wired

	Compressed air NEITHER used to dust off clothing/PPE/ and work areas NOR on bare skin.
Concrete Mixer / Batch Plant	- Top platform provided with guardrails. - Dust abatement methods in use. - Operators using correct PPE - eye / hands / respirators. - All moving drive parts guarded. - Emergency stops identified / indicated and accessible. - Area kept clean/dry/and free from tripping and slipping hazards. - Operator's overseer identified and crane signals displayed and used.
Gas Welding / Flame Cutting Equipment	- Only authorized/trained persons use the equipment. - Torches and gauges in good condition. - Flashback arrestors fitted at cylinders and gauges. - Hoses in good condition/correct type/all connections with clamps. - Cylinders stored, used and transported in upright position, secured in trolley / cradle / to structure. - All cylinders regularly checked for leaks, leaking cylinders returned immediately. - Fire prevention/control methods applied

Plant & Storage Yards/Site Workshops Specifics

Subject	Requirements
Section 8(2)(1) General Machinery Regulation 2(1): Supervision of the Use & Maintenance of Machinery	- Person/s with specific knowledge and experience designated in writing to supervise the Use & Maintenance of Machinery. - Critical items of Machinery identified/numbered/placed on register/inventory. - Inspection/maintenance schedules for abovementioned. - Inspections/maintenance carried out to above schedules. - Results recorded.
General Machinery Regulation 9(2): Notices re. Operation of Machinery	Schedule D Notice posted in Work areas.
Pressure Equipment Regulation 13(1)(b): Supervision of the Use & Maintenance of Vessels under Pressure or Pressure Equipment	- Person/s with specific knowledge and experience designated in writing to supervise the Use & Maintenance of Pressure Equipment. - Pressure Equipment identified/numbered/placed on register/Manufacturers plate intact. - Inspection/maintenance carried out according to schedule. - Results recorded/Test certificates available.

Lock-out Procedure	Lock-out procedure in operation
Ergonomics	- Ergonomics survey conducted – results on record. - Survey results applied.
Demarcation & Color Coding	- Demarcation principles applied - All services, pipes, electrical installation, stop-start controls, emergency controls etc. colour coded to own published or SABS standard - Employees trained to identify colour coding
Portable & Bench Grinders	- Area around grinder clear/trip/slip free - Bench grinders mounted securely/grinder generally in good condition/No excessive vibration - On/Off switch/button clearly demarcated/accessible - Adequate guards in place - Tool rest – secure/square/max. 2 mm gap, perpendicular to drive shaft - Stone/disk - correct type and size/mounted correctly/dressed - Use of Eye protection enforced
Battery Storage & Charging	- Adequately ventilated, ignition free room/area/no smoking sign/s - Batteries placed on rubber/wooden surface - Emergency shower/eye wash provided - No acid storage in area - Prescribed methods in place and adhered to when charging batteries

Workplace Environment, Health and Hygiene

Subject	Requirement
Lighting	Adequate lighting in places where work is being executed e.g. stairwells and basements. Light fittings placed / installed causing no irritating/blinding glare. Stroboscopic effect eliminated (not only reduced) where moving objects or machinery is used
Ventilation	Adequate ventilation / extraction / exhausting in hazardous areas e.g. chemicals / adhesives / welding / petrol or diesel/ motors running and in confined spaces / basements.
Noise	Tasks identified where noise levels exceeds 85 dB at any one time. All reasonable steps taken to reduce noise levels at the source. Hearing protection used where noise levels could not be reduced to below 85 dB.
Heat Stress	Measures in place to prevent heat exhaustion in heat stress problem areas e.g. steel decks, when the WBGT index reaches 30. (See Environmental Regulation 4) Cold drinking water readily available at all times.
Ablutions	Sufficient hygiene facilities provided - 1 toilet per 30 employees (National Building Regulations prescribe chemical toilets for Construction sites) - Toilet paper available. - Sufficient showers provided. - Facilities for washing hands provided. - Soap/cleaning agent available for washing hands. - Means of drying hands available. - Lock-up changing facilities / area provided. - Ablution facilities kept hygienic and clean.
Eating / Cooking Facilities	Adequate storage facilities provided. Weather protected eating area provided, separate from changing area. Refuse bins with lids provided. Facilities kept clean and hygienic.
Pollution of Environment	Measures in place to minimize dust generation. Accumulation or littering of empty cement pockets, plastic wrapping / bags, packing materials etc. prevented. Spillage / discarding of oil, chemicals and dieseline into storm

	water and other drains or into existing or newly dug holes/cavities on site expressly prohibited.
Hazardous Chemical Substances	All substances identified and list available e.g. acids, flammables, poisons etc. Material Safety Data Sheets (MSDS) indicating hazardous properties and emergency procedures in case of incident on file and readily available. Substances stored safely. Expiry dates meticulously checked where applicable.

15. THE SERVICE PROVIDER GENERAL DUTIES

The Service Provider shall at all times ensure his status of an “employer” as referred to in the Act, and will abide by his/her responsibilities, duties and functions as per the requirements of the Act and Regulations with specific reference to Section 8 of the Act.

The Service Provider shall keep, and on demand make available, a copy of the Act on site at all times and in addition to that he/she will introduce and maintain a file titled “Health and Safety File”, or other record in permanent form, which shall contain all relevant aspects and information as contemplated in the Construction Regulations. He/she will make this file available to the client or his representative whenever necessary or on request to an interested party.

16. GENERAL NOTES TO THE SERVICE PROVIDER

Legal Framework and obligations

The more important Acts and relevant subordinate/secondary legislation as well as other (inter alia Local Government) legislation that also apply to the project as well as to project owned buildings and premises: -

- (i) The latest issue of SABS 0142: "Code of Practice for the Wiring of Premises";
- (ii) The Local Government Ordinance 1939 (Ordinance 17 of 1939) as amended and the municipal by-laws and any special requirements of the local supply authority;
- (iii) The Fire Brigade Services Act 1987, Act 99 of 1987 as amended;
- (iv) National Building Regulations made under the National Building Regulations and Building Standards Act, 1977 (Act No. 103 of 1977), and promulgated by Government Notice No. R. 2378 of 30 July 1990, as amended by Government Notices No's R. 432 of 8 March 1991, R. 919 of 30 July 1999 and R. 547 of 30 May 2008; (SANS 100400);
- (v) The Post Office Act 1958 (Act 44 of 1958) as amended;
- (vi) The Electricity Act 1984, Act 41 of 1984;
- (vii) The Regulations of Local Gas Board(s), including Publications of the SABS Standards and Codes of Practice, with specific reference to GNR 17468 dated 4th October 1997;
- (viii) Legislation pertaining to water usage and the environment;

- (ix) Legislation governing the use of equipment, which may emit radiation (e.g. X-Rays etc.)
- (x) Common Law

Legal Liabilities

Common Law and Legislation
Based on two main criteria –

- Would the reasonable person have foreseen the hazard?
That is a reasonable person in that specific position, taking experience, qualifications, authority, position in the organization etc. into consideration
- Would the reasonable person have taken precautionary measures (action) to prevent or limit the hazard?

Negligence can be proven on failure on any or both of the above criteria
(There may not necessarily be a relationship between criminal and civil liability!)

17. HOUSE KEEPING

Good housekeeping will be maintained at all times as per Construction Regulation 27. Poor housekeeping contributes to three major problems, namely, costly or increased accidents, fire or fire hazards and reduction in production. Good housekeeping will enhance production time.

Particular emphasis is to be placed on the following crucial elements of a construction site:

- Storage facilities;
- Effective, sufficient and maintained lighting or illumination;
- Principal sources of injuries e.g. stairways, runways, ramps, loose building material;
- Oil, grease, water, waste, rubble, glass, storm water;
- Color coding;
- Demarcations;
- Pollution;
- Waste disposal;
- Ablution and hygiene facilities; and
- First aid.

This list must not be taken to be exclusive or exhaustive!

In promotion of environmental control all waste, rubble, scrap etc., will be disposed of at a registered dump site and records will be maintained. Where it is found to be impractical to use a registered

dump site or it is not available, the Service Provider will ensure that the matter is brought to record with the client or his representative, after which suitable, acceptable alternatives will be sought and applied.

NOTE: No employer shall require or permit any person to work at night or after hours unless there is adequate, suitable artificial lighting including support services in respect of Health and Safety.

18. LOCKOUT SYSTEMS - ELECTRICAL

A system of control shall be established in order that no unauthorized person can energize a circuit, open a valve, or activate a machine on which people are working or doing maintenance, even if equipment, plant or machinery is out of commission for any period, thus eliminating injuries and damage to people and equipment as far as is reasonably practicable.

Physical/mechanical lock-out systems shall be part of the safety system and included in training. Lockouts shall be tagged and the system tested before commencing with any work or repairs.

19. GENERAL

The project under control of the Service Provider shall be subject to periodic health and safety audits that will be conducted by the client at intervals agreed upon between the Service Provider and the client, provided such intervals will not exceed periods of one month. The Service Provider is to ensure that he/she and all persons under his control on the construction site shall adhere to the above specifications, as non-conformance will lead to the client taking action as directed by Construction Regulation 5.1(q). The Service Provider should note that he/she shall be held liable for any anomalies including costs and resulting deficiencies due to delays caused by non-conformance and/or non-compliance to the above Health and Safety Specifications and the Health and Safety Plan based on these specifications.

20. IMPORTANT LISTS AND RECORDS TO BE KEPT

The following are lists of several records that are to be kept in terms of the Construction Regulations 2014. The lists are:

- List of appointments;
- List of record keeping responsibilities; and
- Inspection checklist.

These lists and documents are to be used as a point of reference to determine which components of the Act would be applicable to a particular site or task or project, as was intended under paragraph 1 (“Preamble”) above.

LIST OF RECORD KEEPING RESPONSIBILITIES

ITEM	CR	RECORD TO BE KEPT	RESPONSIBLE PERSON
1.	3(2)	Application for construction work permit to Provincial Director – Annexure 1, where applicable Available on site	Client
2.	4(1)	Notification to the Provincial Director – Annexure 2, where applicable Available on site	Principal Contractor
3.	5(1)(m)	Copy of Principle Contractor's Health & Safety Plan Available on request	Client
4.	7(d)	Copy of Principle Contractor's Health & Safety Plan As well as each Contractor's Health & Safety Plan Available on request	Principal Contractor
5.	7(b)	Health and Safety File opened and kept on site (including all documentation required i.t.o. OHSA & Regulations Available on request	All Contractor, suppliers
6.	7(e)	Consolidated Health and Safety File handed to Client on completion of Construction work. To include all documentation required i.t.o. OHSA & Regulations and records of all drawings, designs, materials used and similar information on the structure	Principal Contractor, contractors and suppliers
7.	7(f)	Comprehensive and Updated List of all Contractors on site, the agreements between the parties and the work being done Included in Health and Safety file and available on request	Principal Contractor
ITEM	CR	RECORD TO BE KEPT	RESPONSIBLE PERSON
8.	8(6)	Keep record on the Health and Safety Officers registration with a statutory body approved by the Chief Inspector.	Contractor
9.	9(1)	Risk Assessment - Available on site for inspection	Contractor
10.	7 (5)	Proof of Health and Safety Induction Training	Every Employee on site
11.	10(3)	Construction Manager [CR 8(1)] has latest updated version of Fall Protection Plan [CR 10(1)]	Contractor
12.	11(2)(b)	Record of inspections of the structure [First 2 years – once every 6 months, thereafter yearly] - Available on request	Owner of Structure
13.	11(2)(c)	Maintenance records - safety of structure - Available on request	Owner of Structure
14.	13(2)(h)	Record of excavation inspection - On site available on request	Contractor
15.	17(11)	Suspended Platform inspection and performance test records Kept on site available, on request	Contractor
16.	19(8)(c)	Material Hoist daily inspection entered and signed in record book kept on the premises	Contractor
17.	19(8)(d)	Maintenance records for Material Hoist - Available on site	Contractor

18.	20(8)	Records of Batch Plant maintenance and repairs On site available for inspection	Contractor
19.	21(2)(g)(ii)	Issuing and collection of cartridges and nails or studs (Explosive Powered Tools) recorded in register – recipient signed for receipt as well as return	Contractor
22.	23(1)(k)	Findings of daily inspections (prior to use) of Construction Vehicles and Mobile Plant	Contractor
23.	24(d)	Record of temporary electrical installation inspections [once a week] and electrical machinery [daily before use] in a register and kept on site	Contractor
24.	29(l)	Fire Evacuation Plan	Contractor

ACCEPTANCE

Confirmation and Acceptance	Signature	Date
I _____ confirm that I have read and understood the Health and Safety Specifications as set out above.		
I _____ confirm that I have read and understood and confirm my intention to comply with all the legal requirements.		
I _____ confirm my acceptance and understanding of the assigned responsibilities and duties involved.		