



# TENDER DOCUMENT

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 PART A  
 INVITATION TO BID

## FORM A

|   |   |  |             |   |                              |
|---|---|--|-------------|---|------------------------------|
| YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF THE (NAME OF DEPARTMENT/ PUBLIC ENTITY)   |   |  |             |   |                              |
| BID NUMBER:   | SGR/SCM/COR10/CRES/01/2022  | CLOSING DATE:  | 21 FEB 2022 | CLOSING TIME:                             | 12H00                        |
| DESCRIPTION   | THE APPOINTMENT OF PROFESSIONAL CONSULTANTS CORRIDOR 10 (VEREENIGING TO MLAMLANKUNZI) SOUTH GAUTENG REGION FOR A PERIOD OF THREE (3) YEARS (FIXED TERM) |  |             |   |                              |
| THE SUCCESSFUL BIDDER WILL BE REQUIRED TO FILL IN AND SIGN A WRITTEN CONTRACT FORM (SBD7).  |   |  |             |   |                              |
| BID RESPONSE DOCUMENTS MAY BE DEPOSITED IN THE BID BOX SITUATED AT:   |   |  |             |   |                              |
| <b>PASSENGER RAIL AGENCY OF SOUTH AFRICA</b><br><b>PRASA CRES – SGR.</b><br><b>GROUND FLOOR UMJANTSHI BUILDING,</b><br><b>30 WOLMARANS STREET, BRAAMFONTEIN.</b><br><b>JOHANNESBURG. 2000</b> |   |  |             |   |                              |
| SUPPLIER INFORMATION  |   |  |             |   |                              |
| NAME OF BIDDER  |   |  |             |   |                              |
| POSTAL ADDRESS  |   |  |             |   |                              |
| STREET ADDRESS  |   |  |             |   |                              |
| TELEPHONE NUMBER  | CODE  |  | NUMBER      |   |                              |
| CELLPHONE NUMBER  |   |  |             |   |                              |
| FACSIMILE NUMBER  | CODE  |  | NUMBER      |   |                              |
| E-MAIL ADDRESS  |   |  |             |   |                              |
| VAT REGISTRATION NUMBER   |   |  |             |   |                              |
|   | TCS PIN:  |  | OR          | CSD No:                                   |                              |
| B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE<br>[TICK APPLICABLE BOX]   | <input type="checkbox"/> Yes  |  |             | B-BBEE STATUS<br>LEVEL SWORN<br>AFFIDAVIT | <input type="checkbox"/> Yes |
|   | <input type="checkbox"/> No   |  |             |   | <input type="checkbox"/> No  |
| IF YES, WHO WAS THE CERTIFICATE ISSUED BY?  |   |  |             |   |                              |
| AN ACCOUNTING OFFICER AS CONTEMPLATED IN<br>THE CLOSE CORPORATION ACT (CCA) AND NAME<br>THE APPLICABLE IN THE TICK BOX  | <input type="checkbox"/>  | AN ACCOUNTING OFFICER AS CONTEMPLATED IN THE CLOSE CORPORATION ACT (CCA)           |             |   |                              |
|   | <input type="checkbox"/>  | A VERIFICATION AGENCY ACCREDITED BY THE SOUTH AFRICAN ACCREDITATION SYSTEM (SANAS) |             |   |                              |
|   | <input type="checkbox"/>  | A REGISTERED AUDITOR   |             |   |                              |
|   |   | NAME:  |             |   |                              |
| [A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE/SWORN AFFIDAVIT(FOR EMEs& QSEs) MUST BE SUBMITTED IN ORDER TO QUALIFY FOR PREFERENCE POINTS FOR B-BBEE]                                       |   |  |             |   |                              |





## PART B TERMS AND CONDITIONS FOR BIDDING

## FORM B

|  |  |   |  |   |   |   |  |   |
|--|--|---|--|---|---|---|--|---|
| <b>1.</b>  |  |   |  |   |   |   |  |   |
| <b>2. BID SUBMISSION:</b>  |  |   |  |   |   |   |  |   |
| <p>1.1. BIDS MUST BE DELIVERED BY THE STIPULATED TIME TO THE CORRECT ADDRESS. LATE BIDS WILL NOT BE ACCEPTED FOR CONSIDERATION.</p> <p>1.2. ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED-(NOT TO BE RE-TYPED) OR ONLINE</p> <p>1.3. BIDDERS MUST REGISTER ON THE CENTRAL SUPPLIER DATABASE (CSD) TO UPLOAD MANDATORY INFORMATION NAMELY: ( BUSINESS REGISTRATION/ DIRECTORSHIP/ MEMBERSHIP/IDENTITY NUMBERS; TAX COMPLIANCE STATUS; AND BANKING INFORMATION FOR VERIFICATION PURPOSES). B-BBEE CERTIFICATE OR SWORN AFFIDAVIT FOR B-BBEE MUST BE SUBMITTED TO BIDDING INSTITUTION.</p> <p>1.4. WHERE A BIDDER IS NOT REGISTERED ON THE CSD, MANDATORY INFORMATION NAMELY: (BUSINESS REGISTRATION/ DIRECTORSHIP/ MEMBERSHIP/IDENTITY NUMBERS; TAX COMPLIANCE STATUS MAY NOT BE SUBMITTED WITH THE BID DOCUMENTATION. B-BBEE CERTIFICATE OR SWORN AFFIDAVIT FOR B-BBEE MUST BE SUBMITTED TO BIDDING INSTITUTION.</p> <p>1.5. THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT 2000 AND THE PREFERENTIAL PROCUREMENT REGULATIONS, 2017, THE JBCC AND, IF APPLICABLE, ANY OTHER LEGISLATION OR SPECIAL CONDITIONS OF CONTRACT.</p>  |  |   |  |   |   |   |  |   |
| <b>3. TAX COMPLIANCE REQUIREMENTS</b>  |  |   |  |   |   |   |  |   |
| <p>2.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.</p> <p>2.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VIEW THE TAXPAYER'S PROFILE AND TAX STATUS.</p> <p>2.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) OR PIN MAY ALSO BE MADE VIA E-FILING. IN ORDER TO USE THIS PROVISION, TAXPAYERS WILL NEED TO REGISTER WITH SARS AS E-FILERS THROUGH THE WEBSITE WWW.SARS.GOV.ZA.</p> <p>2.4 BIDDERS MAY ALSO SUBMIT A PRINTED TCS TOGETHER WITH THE BID.</p> <p>2.5 IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE PROOF OF TCS / PIN / CSD NUMBER.</p> <p>2.6 WHERE NO TCS IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.</p>   |  |   |  |   |   |   |  |   |
| <b>4. QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS</b>   |  |   |  |   |   |   |  |   |
| <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%; vertical-align: top;">3.1. IS THE BIDDER A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?<br/>NO</td> <td style="width: 20%; text-align: right; vertical-align: bottom;"> <input type="checkbox"/> YES <input type="checkbox"/> </td> </tr> <tr> <td style="vertical-align: top;">3.2. DOES THE BIDDER HAVE A BRANCH IN THE RSA?<br/>NO</td> <td style="text-align: right; vertical-align: bottom;"> <input type="checkbox"/> YES <input type="checkbox"/> </td> </tr> <tr> <td style="vertical-align: top;">3.3. DOES THE BIDDER HAVE A PERMANENT ESTABLISHMENT IN THE RSA?<br/>NO</td> <td style="text-align: right; vertical-align: bottom;"> <input type="checkbox"/> YES <input type="checkbox"/> </td> </tr> <tr> <td style="vertical-align: top;">3.4. DOES THE BIDDER HAVE ANY SOURCE OF INCOME IN THE RSA?<br/>NO</td> <td style="text-align: right; vertical-align: bottom;"> <input type="checkbox"/> YES <input type="checkbox"/> </td> </tr> </table> <p>IF THE ANSWER IS "NO" TO ALL OF THE ABOVE, THEN, IT IS NOT A REQUIREMENT TO OBTAIN A TAX COMPLIANCE STATUS / TAX COMPLIANCE SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 2.3 ABOVE.</p> | 3.1. IS THE BIDDER A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?<br>NO | <input type="checkbox"/> YES <input type="checkbox"/> | 3.2. DOES THE BIDDER HAVE A BRANCH IN THE RSA?<br>NO | <input type="checkbox"/> YES <input type="checkbox"/> | 3.3. DOES THE BIDDER HAVE A PERMANENT ESTABLISHMENT IN THE RSA?<br>NO | <input type="checkbox"/> YES <input type="checkbox"/> | 3.4. DOES THE BIDDER HAVE ANY SOURCE OF INCOME IN THE RSA?<br>NO | <input type="checkbox"/> YES <input type="checkbox"/> |
| 3.1. IS THE BIDDER A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?<br>NO   | <input type="checkbox"/> YES <input type="checkbox"/>                      |   |  |   |   |   |  |   |
| 3.2. DOES THE BIDDER HAVE A BRANCH IN THE RSA?<br>NO   | <input type="checkbox"/> YES <input type="checkbox"/>                      |   |  |   |   |   |  |   |
| 3.3. DOES THE BIDDER HAVE A PERMANENT ESTABLISHMENT IN THE RSA?<br>NO  | <input type="checkbox"/> YES <input type="checkbox"/>                      |   |  |   |   |   |  |   |
| 3.4. DOES THE BIDDER HAVE ANY SOURCE OF INCOME IN THE RSA?<br>NO   | <input type="checkbox"/> YES <input type="checkbox"/>                      |   |  |   |   |   |  |   |
| <b>NB: FAILURE TO PROVIDE ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.</b>   |  |   |  |   |   |   |  |   |

**FORM-D****SITE INSPECTION CERTIFICATE / PRE-TENDER BRIEFING****COMPULSORY**

|                 |  |
|-----------------|--|
| Request number: | SGR/SCM/COR10/CRES/01/2022   |
| Request for:    | THE APPOINTMENT OF PROFESSIONAL CONSULTANTS<br>CORRIDOR 10 (VEREENIGING TO MLAMLANKUNZI) SOUTH<br>GAUTENG REGION FOR A PERIOD OF THREE (3) YEARS<br>(FIXED TERM) |

**Attendance**

This is to certify that \_\_\_\_\_ has / have today attended the site inspection / tender briefing session to which this enquiry relates.

THUS DONE and SIGNED at \_\_\_\_\_

on this \_\_\_\_\_ day of \_\_\_\_\_

\_\_\_\_\_ for / on behalf of PRASA

\_\_\_\_\_ Designation

**Acknowledgement**

This is to certify that the Bidder has / have acquainted himself / themselves with the Contract, Project Specification / Special Conditions, Specifications and / or Bills of Quantities / Schedule of Quantities / Schedule of Prices, together with the drawings enumerated therein, as laid down by the PRASA for the carrying out of the proposed WORKS to which the enquiry relates

THUS DONE and SIGNED at \_\_\_\_\_

on this \_\_\_\_\_ day of \_\_\_\_\_

DULY AUTHORISED SIGNATORY(IES)      WITNESSES

|          |          |
|----------|----------|
| 1. _____ | 1. _____ |
| 2. _____ | 2. _____ |
| 3. _____ | 3. _____ |



## FORM -E

### STATEMENT OF WORKS SUCCESSFULLY CARRIED OUT BY BIDDER

#### CURRENT TENDER DETAILS

|                 |   |
|-----------------|---|
| Request number: | SGR/SCM/COR10/CRES/01/2022  |
| Request for:    | THE APPOINTMENT OF PROFESSIONAL CONSULTANTS<br>CORRIDOR 10 (VEREENIGING TO MLAMLANKUZI) SOUTH<br>GAUTENG REGION FOR A PERIOD OF THREE (3) YEARS<br>(FIXED TERM) |

Bidders must state particulars of the works successfully carried out

| CLIENT | TEL.<br>NUMBER | NATURE OF WORKS | VALUE OF WORKS<br>FOR WHICH<br>BIDDER WAS<br>DIRECTLY<br>RESPONSIBLE | CONTRACT/<br>PROJECT<br>PERIOD |
|--------|----------------|-----------------|--|--------------------------------|
|        |                |                 |  |                                |
|        |                |                 |  |                                |
|        |                |                 |  |                                |
|        |                |                 |  |                                |
|        |                |                 |  |                                |
|        |                |                 |  |                                |
|        |                |                 |  |                                |

If the space provided above is insufficient for all the information, Bidder should furnish the information separately.



## FORM F

### SECURITY SCREENING FORM

I/We the under-signed in my/our capacity as indicated below hereby declare that I/we do not have previous conviction/s or civil Judgment/s registered against my/our name/s. I further confirm that there is no criminal or civil proceeding pending or being instituted against me or the Institution. I also declare that there are no Criminal Investigations pending against me or the Institution.

#### SECTION 1

\*to be completed by the Bidder (Compulsory)

|                                   |   |
|-----------------------------------|---|
| Name of Company/Trust/Partnership | Registration number of Company/Trust No |
| Physical Address                  | Vat Registration Number                 |
| Name of Auditing Firm             | Previous Name/s of Company              |
| Contact no. (Land line)           |   |
| Name of Holding Company if any    | Tender Number                           |
| Tax Number/PIN Number             |   |
|                                   | Banking Details                         |
|                                   | Bank Name:                              |
|                                   | Acc Number:                             |
|                                   | Acc Holder:                             |
|                                   | Branch Name:                            |
|                                   | Branch Code:                            |

#### SECTION 2

| Directors'/Trustees'/Partners' or Principals' Details |                 |                     |        |  |
|---|-----------------|---------------------|--------|--|
| Name & Surname  | Identity Number | Date of Appointment | Shares |  |
| 1.  |                 |                     |        |  |
| 2.  |                 |                     |        |  |
| 3.  |                 |                     |        |  |
| 4.  |                 |                     |        |  |

\*If the company has more than five directors/principals a list of all shareholders must be appended as Annexure "A"

#### SECTION 3 Only applicable for the Security Providers

|                                   |                           |
|-----------------------------------|---------------------------|
| Name of Company/Trust/Partnership | PSIRA Registration Number |
|                                   |                           |

Please attach a letter of GOOD STANDING from PSIRA



## SECTION 4

## Declaration of all Judgments (Directors &amp; Company) and Outstanding Debt

| Director / Company | Reason for Judgment | Date of Judgment | Nature of Debt | Amount |
|--------------------|---------------------|------------------|----------------|--------|
| 1.                 |                     |                  |                |        |
| 2.                 |                     |                  |                |        |
| 3.                 |                     |                  |                |        |

\*If more than five incidents are listed, attach a list as annexure "C"

## SECTION 5

I / We the under-mentioned in my / our capacity as indicated hereby declare that I am / we are not insolvent nor have been liquidated or any steps in this regard have been taken or are pending against me / us. I /We further declare that I/We have not been part of an entity which was liquidated in the last 5 years.

| Full Name(s) | ID Number | Capacity | Signature |
|--------------|-----------|----------|-----------|
| 1.           |           |          |           |
| 2.           |           |          |           |
| 3.           |           |          |           |

## SECTION 6

## DECLARATION AND ACKNOWLEDGEMENT OF CONSENT

I ..... Declare that the information provided above is true and correct. I also consent that a security screening be conducted on the company/trust or partnership and directors.

Contact Person:.....  
 .....

Tel no.

\_\_\_\_\_  
 BIDDER'S DULY AUTHORISED SIGNATORY

\_\_\_\_\_  
 Date





## **SBD 6.1: PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL PROCUREMENT REGULATIONS 2017**

1. This preference form must form part of all bids invited. It contains general information and serves as a claim form for preference points for Broad-Based Black Economic Empowerment (B-BBEE) Status Level of Contribution.

***NB: BEFORE COMPLETING THIS FORM, BIDDERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF B- BBEE, AS PRESCRIBED IN THE PREFERENTIAL PROCUREMENT REGULATIONS, 2017.***

### **1. GENERAL CONDITIONS**

1.1 The following preference point systems are applicable to this bids:

the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

1.2

a) 90/10 preference point system will be applicable to this tender

1.3 Points for this bid shall be awarded for:

- (a) Price; and
- (b) B-BBEE Status Level of Contributor.

1.4 The maximum points for this bid are allocated as follows:

|  | <b>POINTS</b> |
|--|---------------|
| PRICE  | 90            |
| B-BBEE STATUS LEVEL OF CONTRIBUTOR                       | 10            |
| <b>Total points for Price and B-BBEE must not exceed</b> | <b>100</b>    |

1.5 Failure on the part of a bidder to submit proof of B-BBEE Status level of contributor together with the bid, will be interpreted to mean that preference points for B-BBEE status level of contribution are not claimed.

1.6 The purchaser reserves the right to require of a bidder, either before a bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the purchaser.



## 2. DEFINITIONS

- (a) **“B-BBEE”** means broad-based black economic empowerment as defined in section 1 of the Broad-Based Black Economic Empowerment Act;
- (b) **“B-BBEE status level of contributor”** means the B-BBEE status of an entity in terms of a code of good practice on black economic empowerment, issued in terms of section 9(1) of the Broad-Based Black Economic Empowerment Act;
- (c) **“bid”** means a written offer in a prescribed or stipulated form in response to an invitation by an organ of state for the provision of goods or services, through price quotations, advertised competitive bidding processes or proposals;
- (d) **“Broad-Based Black Economic Empowerment Act”** means the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);
- (e) **“EME”** means an Exempted Micro Enterprise in terms of a code of good practice on black economic empowerment issued in terms of section 9 (1) of the Broad- Based Black Economic Empowerment Act;
- (f) **“functionality”** means the ability of a tenderer to provide goods or services in accordance with specifications as set out in the tender documents.
- (g) **“prices”** includes all applicable taxes less all unconditional discounts;
- (h) **“proof of B-BBEE status level of contributor”** means:
  - 1) B-BBEE Status level certificate issued by an authorized body or person;
  - 2) A sworn affidavit as prescribed by the B-BBEE Codes of Good Practice;
  - 3) Any other requirement prescribed in terms of the B-BBEE Act;
- (i) **“QSE”** means a qualifying small business enterprise in terms of a code of good practice on black economic empowerment issued in terms of section 9 (1) of the Broad-Based Black Economic Empowerment Act;
- (j) **“rand value”** means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes;

## 3. POINTS AWARDED FOR PRICE

### 3.1 THE 90/10 PREFERENCE POINT SYSTEMS

A maximum of 80 points is allocated for price on the following basis: 90/10

$$PS = 90 \left( 1 - \frac{Pt - P_{min}}{P_{min}} \right)$$

Where:

Ps = Points scored for the price of tender under consideration;

Pt. = Rand value of the tender under consideration;

Pin = Rand value of the lowest acceptable tender.



#### 4. POINTS AWARDED FOR B-BBEE STATUS LEVEL OF CONTRIBUTOR

In terms of Regulation 6 (2) and 7 (2) of the Preferential Procurement Regulations, preference points must be awarded to a bidder for attaining the B-BBEE status level of contribution in accordance with the table below:

| B-BBEE STATUS LEVEL OF CONTRIBUTOR | NUMBER OF POINTS( 90/10 SYSTEM)ABOVE R 50 MILLION |
|------------------------------------|---|
| 1                                  | 20  |
| 2                                  | 18  |
| 3                                  | 14  |
| 4                                  | 12  |
| 5                                  | 8   |
| 6                                  | 6   |
| 7                                  | 4   |
| 8                                  | 2   |
| Non-Compliant Contributor          | 0   |

#### 5. BID DECLARATION

- 5.1 Bidders who claim points in respect of B-BBEE Status Level of Contribution must complete the following:

#### 6. B-BBEE STATUS LEVEL OF CONTRIBUTOR CLAIMED IN TERMS OF PARAGRAPHS 1.4 AND 4.1

- 6.1 B-BBEE Status Level of Contributor: = ..... (maximum of 10 points)  
 2. (Points claimed in respect of paragraph 7.1 must be in accordance with the table reflected in paragraph 4.1 and must be substantiated by relevant proof of B-BBEE status level of contributor.

#### 7. SUB-CONTRACTING

- 7.1 Will any portion of the contract be sub-contracted?

(***Tick applicable box***)

|     |                          |    |                          |
|-----|--------------------------|----|--------------------------|
| YES | <input type="checkbox"/> | NO | <input type="checkbox"/> |
|-----|--------------------------|----|--------------------------|

- 7.1.1 If yes, indicate:

- i) What percentage of the contract will be sub-contracted.....%
- ii) The name of the sub-contractor.....
- iii) The B-BBEE status level of the sub-contractor.....
- iv) Whether the sub-contractor is an EME or QSE

(***Tick applicable box***)

|     |                          |    |                          |
|-----|--------------------------|----|--------------------------|
| YES | <input type="checkbox"/> | NO | <input type="checkbox"/> |
|-----|--------------------------|----|--------------------------|

Specify, by ticking the appropriate box, if sub-contracting with an enterprise in terms of Preferential Procurement Regulations, 2017:



| Designated Group: An EME or QSE which is at last 51% owned by:    | EME | QSE |
|---|-----|-----|
| Black people  | ✓   | ✓   |
| Black people who are youth  |     |     |
| Black people who are women  |     |     |
| Black people with disabilities                                    |     |     |
| Black people living in rural or underdeveloped areas or townships |     |     |
| Cooperative owned by black people                                 |     |     |
| Black people who are military veterans                            |     |     |
| <b>OR</b>   |     |     |
| Any EME   |     |     |
| Any QSE   |     |     |

8. **DECLARATION WITH REGARD TO COMPANY/FIRM**

8.1 Company Name: .....

8.2 VAT registration number: .....

8.3 Registration number: .....

8.4 **TYPE OF COMPANY/ FIRM**

Partnership/Joint Venture / Consortium

One person business/sole propriety

Close corporation

Company

(Pty) Limited

[TICK APPLICABLE BOX]

8.5 **DESCRIBE PRINCIPAL BUSINESS ACTIVITIES**

.....

.....

.....

.....

8.6 **COMPANY CLASSIFICATION**

Manufacturer

Supplier

Professional service provider

Other service providers, e.g. transporter, etc.

8.7 Total number of years the company/firm has been in business:.....

8.8 I/we, the undersigned, who is / are duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the B-BBE status level of contributor indicated in paragraphs 1.4 and 6.1 of the foregoing



certificate, qualifies the company/ firm for the preference(s) shown and I / we acknowledge that:

- i) The information furnished is true and correct;
- ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;
- iii) In the event of a contract being awarded as a result of points claimed as shown in paragraphs 1.4 and 6.1, the contractor may be required to furnish documentary proof to the satisfaction of the purchaser that the claims are correct;
- iv) If the B-BBEE status level of contributor has been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the purchaser may, in addition to any other remedy it may have –
  - (a) disqualify the person from the bidding process;
  - (b) recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;
  - (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
  - (d) recommend that the bidder or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted by the National Treasury from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied; and
  - (e) forward the matter for criminal prosecution.

|         |                                     |
|---------|-------------------------------------|
|         | .....<br>SIGNATURE(S) OF BIDDERS(S) |
| DATE:   | .....                               |
| ADDRESS | .....                               |
|         | .....                               |

| WITNESSES |
|-----------|
| 1.        |
| 2.        |



## DECLARATION OF INTEREST

## SBD 4

1. Any legal person, including persons employed by the state\*, or persons having a kinship with persons employed by the state, including a blood relationship, may make an offer or offers in terms of this invitation to bid (includes a price quotation, advertised competitive bid, limited bid or proposal). In view of possible allegations of favouritism, should the resulting bid, or part thereof, be awarded to persons employed by the state, or to persons connected with or related to them, it is required that the bidder or his/her authorised representative declare his/her position in relation to the evaluating/adjudicating authority and/or take an oath declaring his/her interest, where-
  - the bidder is employed by the state; and/or
  - the legal person on whose behalf the bidding document is signed, has a relationship with persons/a person who are/is involved in the evaluation and or adjudication of the bid(s), or where it is known that such a relationship exists between the person or persons for or on whose behalf the declarant acts and persons who are involved with the evaluation and or adjudication of the bid.
2. In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.
  - 2.1 Full Name of bidder or his or her representative:  
.....
  - 2.2 Identity Number:  
.....
  - 2.3 Position occupied in the Company (director, shareholder etc):  
.....
  - 2.4 Company Registration Number:  
.....
  - 2.5 Tax Reference Number:  
.....
  - 2.6 VAT Registration Number:  
.....

\* "State" means –

- (a) any national or provincial department, national or provincial public entity or constitutional institution within the meaning of the Public Finance Management Act, 1999 (Act No. 1 of 1999);
- (b) any municipality or municipal entity;
- (c) provincial legislature;
- (d) national Assembly or the national Council of provinces; or
- (e) Parliament.



2.7 Are you or any person connected with the bidder presently employed by the state?

YES / NO

2.7.1 If so, furnish the following particulars:

Name of person / director / shareholder/ member: .....

Name of state institution to which the person is connected:

.....

Position occupied in the state institution:

.....

Any other particulars:

.....

.....

.....

2.8 Did you or your spouse, or any of the company's directors shareholders / members or their spouses conduct business with the state in the previous twelve months?

YES / NO

#### DECLARATION

I, THE UNDERSIGNED

(NAME).....

CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 2.1 TO 2.11.1 ABOVE IS CORRECT.

I ACCEPT THAT THE STATE MAY ACT AGAINST ME IN TERMS OF PRASA'S GENERAL CONDITIONS OF TENDER AS STIPULATED IN THE RFP SHOULD THIS DECLARATION PROVE TO BE FALSE.

.....  
 SIGNATURE DATE

.....  
 POSITION NAME OF BIDDER



## SBD 8

## DECLARATION OF BIDDER'S PAST SUPPLY CHAIN MANAGEMENT PRACTICES

- 1 This Standard Bidding Document must form part of all bids invited.
- 2 It serves as a declaration to be used by institutions in ensuring that when goods and services are being procured, all reasonable steps are taken to combat the abuse of the supply chain management system.
- 3 The bid of any bidder may be disregarded if that bidder, or any of its directors have-
  - a. abused the institution's supply chain management system;
  - b. committed fraud or any other improper conduct in relation to such system; or
  - c. failed to perform on any previous contract.
- 4 In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.

| Item  | Question   | Yes                             | No                             |
|-------|--|---------------------------------|--------------------------------|
| 4.1   | <p>Is the bidder or any of its directors listed on the National Treasury's Database of Restricted Suppliers as companies or persons prohibited from doing business with the public sector?</p> <p>(Companies or persons who are listed on this Database were informed in writing of this restriction by the Accounting Officer/Authority of the institution that imposed the restriction after the <i>audi alteram partem</i> rule was applied).</p> <p><i>The Database of Restricted Suppliers now resides on the National Treasury's website(<a href="http://www.treasury.gov.za">www.treasury.gov.za</a>) and can be accessed by clicking on its link at the bottom of the home page.</i></p> | Yes<br><input type="checkbox"/> | No<br><input type="checkbox"/> |
| 4.1.1 | If so, furnish particulars:  |                                 |                                |
| 4.2   | <p>Is the bidder or any of its directors listed on the Register for Tender Defaulters in terms of section 29 of the Prevention and Combating of Corrupt Activities Act (No 12 of 2004)?</p> <p><i>The Register for Tender Defaulters can be accessed on the National Treasury's website (<a href="http://www.treasury.gov.za">www.treasury.gov.za</a>) by clicking on its link at the bottom of the home page.</i></p>   | Yes<br><input type="checkbox"/> | No<br><input type="checkbox"/> |
| 4.2.1 | If so, furnish particulars:  |                                 |                                |





|       |  |                                 |                                |
|-------|--|---------------------------------|--------------------------------|
| 4.3   | Was the bidder or any of its directors convicted by a court of law (including a court outside of the Republic of South Africa) for fraud or corruption during the past five years? | Yes<br><input type="checkbox"/> | No<br><input type="checkbox"/> |
| 4.3.1 | If so, furnish particulars:  |                                 |                                |
| 4.4   | Was any contract between the bidder and any organ of state terminated during the past five years on account of failure to perform on or comply with the contract?                  | Yes<br><input type="checkbox"/> | No<br><input type="checkbox"/> |
| 4.4.1 | If so, furnish particulars:  |                                 |                                |

### CERTIFICATION

I, THE UNDERSIGNED (FULL NAME).....

CERTIFY THAT THE INFORMATION FURNISHED ON THIS DECLARATION FORM IS TRUE AND CORRECT.

I ACCEPT THAT, IN ADDITION TO CANCELLATION OF A CONTRACT, ACTION MAY BE TAKEN AGAINST ME SHOULD THIS DECLARATION PROVE TO BE FALSE.

.....

Signature

.....

Date

.....

Position

.....

Name of Bidder

**COMMISSIONER OF OATHS**

*I certify that the above has acknowledged that he/she knows and understands the contents of this document, that he/she does not have any objection to taking the oath, and that he/she considers it to be binding on his/her conscience, and which was sworn to and signed before me at \_\_\_\_\_ on this the \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_, and that the administering oath complied with the regulations contained in Government Gazette No. R 1258 of 21 July 1972, as amended.*

\_\_\_\_\_ (Sign – SERVICE PROVIDER)

\_\_\_\_\_ (Name – SERVICE PROVIDER)

**COMMISSIONER OF OATHS STAMP AND DETAILS OF PERSON****STAMP :****NAME & SURNAME:****DESIGNATION/RANK :****PERSAL/EMPLOYEE NO:****PLACE/DATE:**



## SBD 9

### CERTIFICATE OF INDEPENDENT BID DETERMINATION

- 1 This Standard Bidding Document (SBD) must form part of all bids<sup>1</sup> invited.
- 2 Section 4 (1) (b) (iii) of the Competition Act No. 89 of 1998, as amended, prohibits an agreement between, or concerted practice by, firms, or a decision by an association of firms, if it is between parties in a horizontal relationship and if it involves collusive bidding (or bid rigging).<sup>2</sup> Collusive bidding is a *pe se* prohibition meaning that it cannot be justified under any grounds.
- 3 Treasury Regulation 16A9 prescribes that accounting officers and accounting authorities must take all reasonable steps to prevent abuse of the supply chain management system and authorizes accounting officers and accounting authorities to:
  - a. disregard the bid of any bidder if that bidder, or any of its directors have abused the institution's supply chain management system and or committed fraud or any other improper conduct in relation to such system.
  - b. cancel a contract awarded to a supplier of goods and services if the supplier committed any corrupt or fraudulent act during the bidding process or the execution of that contract.
- 4 This SBD serves as a certificate of declaration that would be used by institutions to ensure that, when bids are considered, reasonable steps are taken to prevent any form of bid-rigging.
- 5 In order to give effect to the above, the attached Certificate of Bid Determination (SBD 9) must be completed and submitted with the bid:



## CERTIFICATE OF INDEPENDENT BID DETERMINATION

I, the undersigned, in submitting the accompanying bid:

---

(Bid Number and Description)

in response to the invitation for the bid made by:

---

(Name of Institution)

do hereby make the following statements that I certify to be true and complete in every respect:

I certify, on behalf of: \_\_\_\_\_ that:

(Name of Bidder)

1. I have read and I understand the contents of this Certificate;
2. I understand that the accompanying bid will be disqualified if this Certificate is found not to be true and complete in every respect;
3. I am authorized by the bidder to sign this Certificate, and to submit the accompanying bid, on behalf of the bidder;
4. Each person whose signature appears on the accompanying bid has been authorized by the bidder to determine the terms of, and to sign the bid, on behalf of the bidder;
5. For the purposes of this Certificate and the accompanying bid, I understand that the word "competitor" shall include any individual or organization, other than the bidder, whether or not affiliated with the bidder, who:

- (a) has been requested to submit a bid in response to this bid invitation;
- (b) could potentially submit a bid in response to this bid invitation, based on their qualifications, abilities or experience; and

(c) provides the same goods and services as the bidder and/or is in the same line of business as the bidder

The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. I declare that I have not, directly or indirectly, entered into any agreement, arrangement or



understanding or any such like for the purpose of, with the intention to, enter into collusive tendering or with reasonable appreciation that the agreement, arrangement or

understanding or any such like may be construed as or result in or have the effect of collusive tendering. Should I in the process of the tender but prior to PRASA awarding the

tender to a preferred bidder become involved in or be aware of or do or caused to be done any agreement, arrangement or understanding or any such like for the purpose of or which may result in or have the effect of a collusive tender, I will notify PRASA of such any agreement, arrangement or understanding or any such like.

6. However communication between partners in a joint venture or consortium<sup>3</sup> will not be construed as collusive bidding.
7. In particular, without limiting the generality of paragraphs 6 above, there has been no consultation, communication, agreement or arrangement with any competitor regarding:
  - (a) prices;
  - (b) geographical area where product or service will be rendered (market allocation)
  - (c) methods, factors or formulas used to calculate prices;
  - (d) the intention or decision to submit or not to submit, a bid;
  - (e) the submission of a bid which does not meet the specifications and conditions of the bid; or
  - (f) bidding with the intention not to win the bid.
8. In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications and conditions or delivery particulars of the products or services to which this bid invitation relates.
9. The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.
10. I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation



and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

.....

.....

Signature

Date

.....

.....

Position

Name of Bidder