

	<b>TUTUKA POWER STATION</b>  <b>SHEQ CONTRACTOR FILE CHECKLIST</b>	<b>UNIQUE IDENTIFIER</b>	<b>RISK SRM/F225</b>
		<b>DOCUMENT TYPE</b>	<b>TUTUKA FM</b>
		<b>REVISIONS</b>	<b>8</b>
		<b>EFFECTIVE DATES</b>	<b>September 2021</b>
		<b>NEXT REVIEW DATE</b>	<b>September 2024</b>

<b>CONTRACT NUMBER</b>				<b>START &amp; END DATES</b>		<u>  /  /  </u> to <u>  /  /  </u>	
<b>ESKOM PROJECT MANAGER</b>				<b>CONTACT NUMBER</b>			
<b>PRINCIPAL CONTRACTOR</b>				<b>SUB-CONTRACTOR(S)</b>			
<b>PRINCIPAL CONTRACTOR SITE MANAGER</b>				<b>CONTACT No.</b>			
<b>NO</b>	<b>Legal Ref.</b>	<b>QUESTION</b>		<b>YES</b>	<b>NO</b>	<b>N/A</b>	<b>REMARKS</b>
<b>1.</b>		<b>PRINCIPAL CONTRACTOR &amp; CONTRACTOR</b>					
1.1	CR 3(6)	Copy of construction work permit in place.(Where applicable)					Work permit number:
	CR 3(4)	Work permit number displayed at main entrance of construction site.					Valid Until:
1.2	CR 4(1)	Notification of construction work to DOL. (Where applicable)					
1.3	CR 5(1) (b)	Client H&S specification					
1.4	CR 5(1)(j)	Letter of good standing with compensation fund/insurer.					Registration number: / / / / Expiry date:
1.5	CR 7(1)(a)	Health and Safety Plan based on client specs.					
1.6	CR 7(1)(b)	H&S File with necessary documentation available on site (Supplier induction to include Suppliers SHEQ Policy document and awareness )					
1.7	CR 7(1)(c) (i-x)	Subcontractor/s appointed in writing, in good standing with compensation fund, has necessary resources and competencies to perform safely, H&S plan and file checked and approved by principal contractor.					

**ERROR! UNKNOWN DOCUMENT PROPERTY NAME.**

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorized version on the system.  
 No part of this document may be reproduced in any manner or form by third parties without the written consent of Eskom Holdings SOC Ltd, © copyright Eskom Holdings SOC Ltd, Reg No 2002/015527/30



**TUTUKA POWER STATION**  
**SHEQ CONTRACTOR FILE CHECKLIST**

UNIQUE IDENTIFIER	RISK SRM/F225
DOCUMENT TYPE	TUTUKA FM
REVISIONS	8
EFFECTIVE DATES	September 2021
NEXT REVIEW DATE	September 2024

						<i>N.B:-Principal contractor is responsible for compliance of his/her subcontractors.</i>
1.8	CR 7(1)(g)	Valid medical certificate of fitness for all employees.				
1.9	37(2)	Health and Safety Agreement				

NO	Legal Ref.	QUESTION	YES	NO	N/A	REMARKS
2.		<b>APPOINTMENTS AND COMPETENCY</b>				
2.1	Sec. 16(2)	Designated Employer				
2.2	Sec. 17(1)	SHE Representatives (more than 20 employees)				
2.3	Sec. 19(1)	Chairperson of SHE committee				
2.4	GAR 9(2)(1)	Incident investigator				
2.5	GSR 3(4)	First aider				
2.6	GMR 2(1)	Competent person for machinery supervision				
	GMR 2(7)	Assistant machinery supervisor				
2.7	CR 5(1)k	Principal Contractor				
2.8	CR 7(1)(c)v	Subcontractors appointments				
2.9	CR 8(1)	Construction Manager (full-time)				
2.10	CR 8(2)	Assistant Construction Manager				
2.11	CR 8(5)	Construction Safety Officer				

**ERROR! UNKNOWN DOCUMENT PROPERTY NAME.**

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorized version on the system.  
No part of this document may be reproduced in any manner or form by third parties without the written consent of Eskom Holdings SOC Ltd, © copyright Eskom Holdings SOC Ltd, Reg No 2002/015527/30



**TUTUKA POWER STATION**  
**SHEQ CONTRACTOR FILE CHECKLIST**

UNIQUE IDENTIFIER	RISK SRM/F225
DOCUMENT TYPE	TUTUKA FM
REVISIONS	8
EFFECTIVE DATES	September 2021
NEXT REVIEW DATE	September 2024

		<b>Proof of registration with SACPCMP</b>				
		<b>Full time</b>				
		<b>Part time</b>				
		<b>If part time-what is the frequency of visits to site</b>				
2.12	CR 8(7)	Construction Supervisor				
2.13	CR 8(8)	Assistant Construction supervisor				
2.14	CR 9(1)	Competent person to do Risk Assessment				
2.15	CR 10(1)(a)	Competent person to prepare the Fall Protection Plan				
2.16	CR 10(5)(b)	Competency of roof erectors				

NO	Legal Ref.	QUESTION	YES	NO	N/A	REMARKS
2.17	CR 12(1)	Temporary works designer				
2.18	CR 12(3)(f)	Temporary works inspector				
2.19	CR 13(1)(a)	Excavation work supervisor				
2.20	CR 13(2)(k)	Competent person in the use of explosives during excavation				
2.21	CR 14(1)	Demolition work supervisor				
	AR 21(a)	Demolition of asbestos contractor registration				
2.22	CR14(11)	Competent person in the use of explosives				

ERROR! UNKNOWN DOCUMENT PROPERTY NAME.

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorized version on the system.  
No part of this document may be reproduced in any manner or form by third parties without the written consent of Eskom Holdings SOC Ltd, © copyright Eskom Holdings SOC Ltd, Reg No 2002/015527/30



**TUTUKA POWER STATION**  
**SHEQ CONTRACTOR FILE CHECKLIST**

UNIQUE IDENTIFIER	RISK SRM/F225
DOCUMENT TYPE	TUTUKA FM
REVISIONS	8
EFFECTIVE DATES	September 2021
NEXT REVIEW DATE	September 2024

		during demolition					
2.23	CR16(1)	Scaffold supervisor					
2.24	CR17(1)	Suspended platform supervisor					
2.25	CR 17(2)(ii)	Competency of suspended platform erectors, operators, inspectors					
2.26	CR 17(8)(a)(c)	Suspended platform inspector/tester					
2.27	CR 18(1)(a)	Rope access supervisor					
2.28	CR 18(1)(c)	Rope access operators competent and licensed					
2.29	CR 19(6)	Material hoist operator					
2.30	CR 8(a)	Material hoist inspector					
2.31	CR20(1)	Bulk mixing plant supervisor					
2.32	CR 20(2)	Bulk mixing plant operator					
2.33	CR 21(1)(b)	Explosive actuated fastening device user					
2.34	CR 22(a)	Tower crane supervisor					
2.35	CR 22(e),	Tower crane operators					
2.36	DMR18(11)	Lifting Machinery Operator					
2.37	DMR18(5) &18(10)e	Lifting Machinery/tackle inspector					

NO	Legal Ref.	QUESTION	Y E S	N O	N/ A	REMARKS
2.38	CR23(1)(d)	Construction vehicles & mobile plant operators (copy of drivers licence must be on file.)				

ERROR! UNKNOWN DOCUMENT PROPERTY NAME.

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorized version on the system.  
No part of this document may be reproduced in any manner or form by third parties without the written consent of Eskom Holdings SOC Ltd, © copyright Eskom Holdings SOC Ltd, Reg No 2002/015527/30

	<b>TUTUKA POWER STATION</b> <b>SHEQ CONTRACTOR FILE CHECKLIST</b>	<b>UNIQUE IDENTIFIER</b>	<b>RISK SRM/F225</b>
		<b>DOCUMENT TYPE</b>	<b>TUTUKA FM</b>
		<b>REVISIONS</b>	<b>8</b>
		<b>EFFECTIVE DATES</b>	<b>September 2021</b>
		<b>NEXT REVIEW DATE</b>	<b>September 2024</b>

2.39	CR24(c)(d)	Temporary electrical installation controller/inspector			
2.40	CR 28(a) / GSR 8	Stacking and storage supervisor			
2.41	CR 29(h)	Fire equipment inspector			
2.42	CR 29(i)	Fire equipment users			
2.43	HCSR	Hazardous Chemical Substances Co-coordinator			
2.44	ER 9, CR 29	Emergency co-ordinator			
<b>3.</b>	<b>RISK ASSESSMENTS</b>				
3.1	CR 5(1)(a)	Client Baseline Risk Assessment on file			
3.2	CR 9(1-2)	Contractor Risk Assessment on file (hazards & risk s identified, analysed & evaluated; control measure, monitoring & review plan) and approved			
3.3	CR 9(3)	Employees informed, instructed and trained on risk assessment (proof on file)			
3.4	CR 9(4)	Subcontractors informed on risk assessment (proof on file)			
3.5	GSR 2	Record of PPE issuing and inspections thereof, as determined by risk assessment.			
<b>4.</b>	<b>WORK AT HEIGHTS</b>				
4.1	CR 10(1-2)	Fall protection plan on file			
4.2	CR 10(2)(b)	Medical fitness of employees working at height			
4.3	CR 10(4)(c), 10(5)(e-f) CR 10(2)(d)	Fall prevention and or fall protection equipment provided and Inspection/maintenance records			
<b>NO</b>	<b>Legal Ref.</b>	<b>QUESTION</b>	<b>Y E S</b>	<b>N O</b>	<b>N/ A</b>
					<b>REMARKS</b>

ERROR! UNKNOWN DOCUMENT PROPERTY NAME.

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorized version on the system.  
 No part of this document may be reproduced in any manner or form by third parties without the written consent of Eskom Holdings SOC Ltd, © copyright Eskom Holdings SOC Ltd, Reg No 2002/015527/30

	<b>TUTUKA POWER STATION</b> <b>SHEQ CONTRACTOR FILE CHECKLIST</b>	<b>UNIQUE IDENTIFIER</b>	<b>RISK SRM/F225</b>
		<b>DOCUMENT TYPE</b>	<b>TUTUKA FM</b>
		<b>REVISIONS</b>	<b>8</b>
		<b>EFFECTIVE DATES</b>	<b>September 2021</b>
		<b>NEXT REVIEW DATE</b>	<b>September 2024</b>

4.4	CR 17(2)(b), (c)	Certificate of system design and operational compliance plan for suspended platforms.			
4.5	CR 17(8)(9)(10)(11)	Inspection and performance tests records of suspended platforms			
<b>5.</b>	<b>LIFTING EQUIPMENTS</b>				
5.1	DMR 18(5)  CR 18(8)	Inspection and maintenance records of lifting machinery.			
5.2	CR 22(b)	Method statement for use of tower cranes.			
<b>6.</b>	<b>CONSTRUCTION VEHICLES AND MOBILE PLANT</b>				
6.1	CR 23(1)(k)	Inspection records of vehicles/mobile plant			
6.2	CR 23(2)(j)	Roadworthiness certificates for vehicles/plant travelling on public roads			
<b>7.</b>	<b>STRUCTURES &amp; TEMPORARY WORKS</b>				
7.1	CR 11(1)(c), CR 12(c)	Structure design drawings available			
7.2	CR 12(3)(q)	Temporary works drawings pre-approved by temporary works designer			
7.3	CR 11(2)(a,d) CR 12(3)(e,f)	Structure inspection/examination records on file.			
<b>8.</b>	<b>EXCAVATION &amp; DEMOLITION</b>				
8.1	CR 13(2)(h)	Records of excavation inspections			
8.2	CR 14(2)	Engineering survey and demolishing method statement of the structure to be demolished			
8.3	CR 14(3)	Structural integrity inspections during demolition			
8.4	CR 14(11)	Method statement for demolition using explosives			
8.5	CR 14(12)	Waste disposal certificates/records of safe disposal.			

ERROR! UNKNOWN DOCUMENT PROPERTY NAME.

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorized version on the system.  
 No part of this document may be reproduced in any manner or form by third parties without the written consent of Eskom Holdings SOC Ltd, © copyright Eskom Holdings SOC Ltd, Reg No 2002/015527/30

 <b>Eskom</b>	<b>TUTUKA POWER STATION</b> <b>SHEQ CONTRACTOR FILE CHECKLIST</b>	<b>UNIQUE IDENTIFIER</b>	<b>RISK SRM/F225</b>
		<b>DOCUMENT TYPE</b>	<b>TUTUKA FM</b>
		<b>REVISIONS</b>	<b>8</b>
		<b>EFFECTIVE DATES</b>	<b>September 2021</b>
		<b>NEXT REVIEW DATE</b>	<b>September 2024</b>

<b>9.</b>	<b>ELECTRICAL INSTALLATION AND ELECTRICAL MACHINERY</b>				
9.1	CR 24 (d) Weekly Inspection records of electrical installations				
9.2	CR 24(e) Daily pre-use inspection records of electrical machinery				
9.3	EMR 3 Certificate f approval for electrical machinery in hazardous locations.				
9.4	EMR 9(8,9) Inspection and testing of electrical machinery in hazardous locations				
9.5	EMR 12(4) Certificate for electric fence system				
9.6	EIR 3(1) Proof of registration as an approved electrical installations inspection authority				
9.7	EIR 6(1,2) Proof of annual registration as electrical contractor				
9.8	EIR 7(1) Certificate of Compliance and a test report for electrical installation.				
<b>10.</b>	<b>TOOLS/EQUIPMENTS</b>				
10.1	List of tools/equipment to be used.				
10.2	Inspection records of tools/equipment				
10.3	EMR 10 Portable electrical equipment is in good condition and inspected.				
10.4	EMR 11 Portable electrical lights is in good condition and inspected				
<b>11.</b>	<b>HAZARDOUS CHEMICAL SUBSTANCES</b>				
11.1	HCSR 3(1), 3(3) Proof of employee training on HCSR and HCS handling requirements				
11.2	HCSR 3(1)(k), 3(2) Procedure in case of emergency involving HCS and proof of communication thereof.				
11.3	HCSR 5 HCS risk assessment				
11.4	HCSR 9A(1,2) Materials Safety Data Sheets available				

**ERROR! UNKNOWN DOCUMENT PROPERTY NAME.**

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorized version on the system. No part of this document may be reproduced in any manner or form by third parties without the written consent of Eskom Holdings SOC Ltd, © copyright Eskom Holdings SOC Ltd, Reg No 2002/015527/30



**TUTUKA POWER STATION**  
**SHEQ CONTRACTOR FILE CHECKLIST**

UNIQUE IDENTIFIER	RISK SRM/F225
DOCUMENT TYPE	TUTUKA FM
REVISIONS	8
EFFECTIVE DATES	September 2021
NEXT REVIEW DATE	September 2024

11.5	HCSR 12(b)	2 yearly examination and tests of engineering controls for HCS, by AIA.			
11.6	HCSR 15(d)	Safe disposal certificates of HCS waste			
11.7	CR29(b), GSR 4(10)	Safe storage facilities for HCS in place.			
<b>12.</b>	<b>OHS TRAINING AND INDUCTION</b>				
12.1	CR 7(5)	Contractor Induction syllabus and proof of induction			
12.2		Eskom Induction proof			
12.3		Relevant OHS training records			
<b>13.</b>	<b>OTHER RECORDS</b>				
13.1		Scope of work clearly defined			
13.2		PPE Issuing records			
13.3		Incident records			
13.4		Toolbox talks (SHEQ)			
13.5		First aid box inspection			
13.6		Copy of the OHS Act			
13.7		OHS policy			
<b>14.</b>	<b>ENVIRONMENTAL REQUIREMENTS (REFER TO 3.31 FOR DETAIL)</b>				
14.1		Tutuka Environmental Management System (EMS) Requirements			
14.2		• SHEQ Policy			
14.3		• Aspects & Impacts			
14.4		Environmental Management Plan			
14.5		• Approach to ensuring compliance with environmental compliance obligations.			

ERROR! UNKNOWN DOCUMENT PROPERTY NAME.

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorized version on the system.  
No part of this document may be reproduced in any manner or form by third parties without the written consent of Eskom Holdings SOC Ltd, © copyright Eskom Holdings SOC Ltd, Reg No 2002/015527/30

	<b>TUTUKA POWER STATION</b> <b>SHEQ CONTRACTOR FILE CHECKLIST</b>	<b>UNIQUE IDENTIFIER</b>	<b>RISK SRM/F225</b>
		<b>DOCUMENT TYPE</b>	<b>TUTUKA FM</b>
		<b>REVISIONS</b>	<b>8</b>
		<b>EFFECTIVE DATES</b>	<b>September 2021</b>
		<b>NEXT REVIEW DATE</b>	<b>September 2024</b>

14.6		• Waste Management			
14.7		• Water Management and Conservation			
14.8		• Spill Prevention Methods			
14.9		• Dust Suppression			
14.10		• Environmental Awareness and Communication			
14.11		• Animal and Pest Control			
14.12		Incident Management Process			
15.	<b>QUALITY REQUIREMENTS</b>				
15.1	<b>SCOPE OF WORK</b> (Full description of the Works Information)				
15.2	<b>ORGANOGRAM</b>				
15.3	<b>INDEX OF DOCUMENTS /RECORDS THAT SHALL BE SUBMITTED TO ESKOM DURING PROJECT</b> (e.g. Quality Manual, Quality Policy, QCP/ITP, COC, Procedures)				
15.4	<b>PROOF THAT SUPPLIERS, SUB-SUPPLIERS WORK TO SPECIFIED QUALITY STANDARDS</b> (e.g. Description of Sub-Supplier Surveillance method/procedure)				
15.5	<b>INDEX OF ITEMS TO BE MANUFACTURED, REFURBISHED AND NEWLY PURCHASED</b> (Description of all products/processes which will be monitored by an approved QCP)				
15.6	<b>AREAS AND PROCESSES REQUIRING SPECIAL CONTROLS</b> (Description of all products/processes/services which will require special controls, i.e. welding, NDT/NDE)				
15.7	<b>INDEX OF ALL STANDARDS &amp; SPECIFICATIONS</b> (For all processes, products, materials and plant applicable to the works/contract)				
15.8	<b>HOW QUALITY RECORDS WILL BE CONTROLLED AND RETAINED</b> (Description of how all quality records shall be controlled (e.g. identified, completed, retained and disposition))				

ERROR! UNKNOWN DOCUMENT PROPERTY NAME.

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorized version on the system.  
 No part of this document may be reproduced in any manner or form by third parties without the written consent of Eskom Holdings SOC Ltd, © copyright Eskom Holdings SOC Ltd, Reg No 2002/015527/30



**TUTUKA POWER STATION**  
**SHEQ CONTRACTOR FILE CHECKLIST**

UNIQUE IDENTIFIER	RISK SRM/F225
DOCUMENT TYPE	TUTUKA FM
REVISIONS	8
EFFECTIVE DATES	September 2021
NEXT REVIEW DATE	September 2024

15.9		<b>LIST OF PURCHASE ORDERS FOR QUALITY CRITICAL ITEMS</b> (Indicate purchase order number and scope of supply)				
15.10		Tutuka Audit Procedure				
15.11		Tutuka Calibration Procedure (if applicable to SOW)				
15.12		Tutuka Incident Management procedure				
15.13		<b>DATABOOK INDEX</b>				
<b>SEEN AND ACCEPTED</b>						
Eskom Project/Contract Manager		Signature			Date	
Eskom Environmental Department		Signature			Date	
Eskom Quality Officer		Signature			Date	
Eskom Safety Officer		Signature			Date	
Eskom Safety Manager		Signature			Date	
<i><b>NB: Obligation to keep the file up to date at all times during the duration of the contract.</b></i>						
Contractor Representative		Signature			Date	

**ERROR! UNKNOWN DOCUMENT PROPERTY NAME.**

When downloaded from the document management system, this document is uncontrolled and the responsibility rests with the user to ensure it is in line with the authorized version on the system.  
No part of this document may be reproduced in any manner or form by third parties without the written consent of Eskom Holdings SOC Ltd, © copyright Eskom Holdings SOC Ltd, Reg No 2002/015527/30