

TRANSNET CORPORATE CENTRE

an Operating Division of **TRANSNET SOC LTD**

[hereinafter referred to as **Transnet**]

[Registration No. 1990/000900/30]

REQUEST FOR PROPOSAL [RFP] [SERVICES]

**FOR THE INDEPENDENT ASSET VALUATION OF 619 LOCOMOTIVES AND 21,208
WAGONS WITHIN A PERIOD OF 13 WEEKS.**

RFP NUMBER	TCC/2025/05/0006/96823/RFP
ISSUE DATE:	16 July 2025
BRIEFING SESSION	30 July 2025 @ 10:00 am (CAT) Pretoria
CLOSING DATE:	29 August 2025
CLOSING TIME:	16:00 pm (CAT) Pretoria
BID VALIDITY PERIOD:	180 Business Days from Closing Date

SUBMISSION TO: Transnet e-tender submission portal – see SBD 1 for details

SCHEDULE OF BID DOCUMENTS

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RFP FOR THE INDEPENDENT ASSET VALUATION OF 619 LOCOMOTIVES AND 21,208 WAGONS WITHIN A PERIOD OF 13 WEEKS**SECTION 1: SBD1 FORM****PART A
INVITATION TO BID****YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF TRANSNET CORPORATE CENTRE, A DIVISION TRANSNET SOC LTD**

BID NUMBER:	TCC/2025/05/0006/96823/RFP	ISSUE DATE:	16 July 2025	CLOSING DATE:	29 August 2025	CLOSING TIME:	16:00pm
DESCRIPTION	FOR THE INDEPENDENT ASSET VALUATION OF 619 LOCOMOTIVES AND 21,208 WAGONS FOR A PERIOD WITHIN 13 WEEKS						
BID RESPONSE DOCUMENTS SUBMISSION INSTRUCTIONS							

(please refer to section 2, paragraph 3 for a detailed process on how to upload submissions):<https://transnetetenders.azurewebsites.net>**BIDDING PROCEDURE / TECHNICAL ENQUIRIES MAY BE DIRECTED TO:**

CONTACT PERSON	Matlala Mabetlela
TELEPHONE NUMBER	011 308 1284
E-MAIL ADDRESS	Matlala.Mabetlela@transnet.net

SUPPLIER INFORMATION

NAME OF BIDDER			
POSTAL ADDRESS			
STREET ADDRESS			
TELEPHONE NUMBER	CODE		NUMBER
CELLPHONE NUMBER			
FACSIMILE NUMBER	CODE		NUMBER
E-MAIL ADDRESS			
VAT REGISTRATION NUMBER			

IT IS A CONDITION OF THIS BID THAT THE TAX MATTERS OF THE SUCCESSFUL RESPONDENTS BE IN ORDER, OR THAT SATISFACTORY ARRANGEMENTS HAVE BEEN MADE WITH SOUTH AFRICAN REVENUE SERVICE (SARS) TO MEET THE RESPONDENTS TAX OBLIGATIONS.

	TCP PIN		OR	CSD NO	
SUPPLIER COMPLIANCE STATUS	<input type="checkbox"/> Yes <input type="checkbox"/> No		OR	BEEE STATUS LEVEL SWORN AFFIDAVIT	
If Yes, Who was the Certificate issued by?					
AN ACCOUNTING OFFICER AS CONTEMPLATED IN THE CLOSE CORPORATION ACT (CCA) AND NAME THE APPLICABLE IN THE TICK BOX	<input type="checkbox"/>	AN ACCOUNTING OFFICER AS CONTEMPLATED IN THE CLOSE CORPORATION ACT (CCA)			
	<input type="checkbox"/>	A VERIFICATION AGENCY ACCREDITED BY THE SOUTH AFRICAN ACCREDITATION SYSTEM (SANAS)			
	<input type="checkbox"/>	A REGISTERED AUDITOR			
		NAME:			

Respondent's Signature

Date & Company Stamp

[A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE/ SWORN AFFIDAVIT (FOR EMES & QSEs) MUST BE SUBMITTED FOR PURPOSES OF COMPLIANCE WITH THE B-BBEE ACT]

1 ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES ENCLOSE PROOF]	2 ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES, ANSWER QUESTIONNAIRE BELOW]
Signature of the Bidder	Date:

QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS

IS THE BIDDER A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?	<input type="checkbox"/> YES <input type="checkbox"/> NO
DOES THE BIDDER HAVE A BRANCH IN THE RSA?	<input type="checkbox"/> YES <input type="checkbox"/> NO
DOES THE BIDDER HAVE A PERMANENT ESTABLISHMENT IN THE RSA?	<input type="checkbox"/> YES <input type="checkbox"/> NO
DOES THE BIDDER HAVE ANY SOURCE OF INCOME IN THE RSA?	<input type="checkbox"/> YES <input type="checkbox"/> NO

IF THE ANSWER IS "NO" TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER FOR A TAX COMPLIANCE STATUS SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 1.3 BELOW.

PART B
TERMS AND CONDITIONS FOR BIDDING

1. TAX COMPLIANCE REQUIREMENTS

- 1.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.
- 1.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER'S PROFILE AND TAX STATUS.
- 1.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE WWW.SARS.GOV.ZA.
- 1.4 BIDDERS MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE BID.
- 1.5 IN BIDS WHERE UNINCORPORATED CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER.
- 1.6 WHERE NO TCS IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.
- 1.7 RESPONDENTS ARE REQUIRED TO SELF-REGISTER ON NATIONAL TREASURY'S CENTRAL SUPPLIER DATABASE (CSD) WHICH HAS BEEN ESTABLISHED TO CENTRALLY ADMINISTER SUPPLIER INFORMATION FOR ALL ORGANS OF STATE AND FACILITATE THE VERIFICATION OF CERTAIN KEY SUPPLIER INFORMATION. ONLY FOREIGN SUPPLIERS WITH NO LOCAL REGISTERED ENTITY NEED NOT REGISTER ON THE CSD. THE CSD CAN BE ACCESSED AT [HTTPS://SECURE.CSD.GOV.ZA/](https://secure.csd.gov.za/).

NB: FAILURE TO PROVIDE / OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.

SIGNATURE OF BIDDER:

CAPACITY UNDER WHICH THIS BID IS SIGNED:

(Proof of authority must be submitted e.g. company resolution) DATE: _____

Respondent's Signature

Date & Company Stamp

SECTION 2: NOTICE TO BIDDERS**1 INVITATION TO BID**

Responses to this RFP [hereinafter referred to as a **Bid** or a **Proposal**] are requested from persons, companies, close corporations or enterprises [hereinafter referred to as an **entity, Respondent** or **Bidder**].

DESCRIPTION	For the independent asset valuation of 619 locomotives and 21,208 wagons within a period of 13 weeks [services]
TENDER ADVERT	All Transnet tenders are advertised on the National Treasury's e-Tender Publication Portal and the Transnet website. Should one of these media (i.e. National Treasury's e-Tender Publication Portal or Transnet website) not be available, bidders are advised to check on the other media for advertised tenders.
RFP DOWNLOADING	<p>This RFP may be downloaded directly from National Treasury's e-Tender Publication Portal at www.etenders.gov.za free of charge.</p> <p>To download RFP and Annexures:</p> <ul style="list-style-type: none"> • Click on "Tender Opportunities"; • Select "Advertised Tenders"; • In the "Department" box, select Transnet SOC Ltd. <p>Once the tender has been in the list, click on the 'Tender documents' tab and process to download all uploaded documents.</p> <p>The RFP may also be downloaded from the Transnet Portal at https://transnetetenders.azurewebsites.net (</p>
COMMUNICATION	<p>Transnet will publish the outcome of this RFP on the National Treasury e-tender portal and Transnet website with 10 days after the award has been finalised. All unsuccessful bidders have a right to request for reasons for their bid not being successful. This requested must be directed to the contact person stated in the SBD 1 form</p> <p>Any addenda to the RFP or clarifications will be published on the e-tender portal and Transnet website. Bidders are required to check the e-tender portal or Transnet website prior to finalising their bid submissions for any changes or clarifications to the RFP.</p> <p>Transnet will not be held liable if Bidders do not receive the latest information regarding this RFP with the possible consequence of either being disadvantaged or disqualified as a result thereof.</p>
BRIEFING SESSION	<p>Yes – Compulsory</p> <p>Bidders are required to confirm their attendance and to send their contact details including the number of representatives (where applicable) to the following address: Matlala.Mabetlela@transnet.net</p> <p>This is to ensure that Transnet may make the necessary arrangements for the briefing session.</p>

	Refer to paragraph 2 for details.
CLOSING DATE	<p>16:00pm (CAT) Pretoria on Friday 29 August 2025</p> <p>Bidders must ensure that bids are uploaded timeously onto the system (transnetetenders.azurewebsites.net).</p> <p>Generally, if a bid is late, it will not be accepted for consideration.</p> <p><i>Respondents are to submit bid documents by uploading them onto the Transnet system against each tender selected. A Bidder can upload 30mb per upload and multiple uploads are permitted.</i></p> <p><i>Bidders should ensure that electronic bid submissions are submitted at least a day before the closing date and bidders should not wait for the last hour before the deadline to submit. This is to enable them to timeously address issues which they may encounter due to internet speed, bandwidth or the size of the number of uploads being submitted. Transnet will not be held liable for any challenges experienced by bidders as a result of their own technical challenges.</i></p>
VALIDITY PERIOD	<p>180 Business Days from Closing Date</p> <p>Bidders are to note that they may be requested to extend the validity period of their bid, at the same terms and conditions, if the internal evaluation process has not been finalised within the validity period. However, once the adjudication body has approved the process and award of the business to the successful bidder(s), the validity of the successful bidder(s)' bid will be deemed to remain valid until a final contract has been concluded.</p> <p>Should a bidder fail to respond to a request for extension of the validity period before it expires, that bidder will be excluded from tender process.</p> <p>With regard to the validity period of next highest ranked bidders, please refer to Section 2, paragraph 10.12</p>

Any additional information or clarification will be published on the e-Tender portal and Transnet website, if necessary.

2 FORMAL BRIEFING

A **compulsory** pre-proposal **briefing** will be conducted **virtually via Microsoft Teams on Wednesday 30 July 2025, at 10:00am (CAT) Pretoria** for a period of \pm 1.5 hours. The briefing session will start punctually, and information will not be repeated for the benefit of Respondents arriving late.

- 2.1 Respondents failing to attend the compulsory RFP briefing will be disqualified.
- 2.2 Respondents are encouraged to bring a copy of the RFP to the RFP briefing.

3 PROPOSAL SUBMISSION

Transnet has implemented a new electronic tender submission system, the e-Tender Submission Portal, in line with the overall Transnet digitalization strategy where suppliers can view advertised tenders, register their information, log their intent to respond to bids and upload their bid proposals/responses on to the system.

The Transnet e-Tender Submission Portal can be accessed as follows:

- a) Log on to the Transnet eTenders management platform website/ Portal (transnetetenders.azurewebsites.net)
- b) Click on "ADVERTISED TENDERS" to view advertised tenders;
- c) Click on "SIGN IN/REGISTER –to register new bidder information and ensure that all mandatory information is completed) OR;
- d) to sign in if already registered;
- e) Toggle (click to switch) the "Log an Intent" button to submit a bid;
- f) Submit bid documents by uploading them into the system against each tender selected.
- g) Respondents are to submit bid documents by uploading them onto the Transnet system against each tender selected. A Bidder can upload 30mb per upload and multiple uploads are permitted.
- h) Bidders should ensure that electronic bid submissions are submitted at least a day before the closing date and bidders should not wait for the last hour before the deadline to submit. This is to enable them to timeously address issues which they may encounter due to internet speed, bandwidth or the size of the number of uploads being submitted. Transnet will not be held liable for any challenges experienced by bidders as a result of their own technical challenges.
- i) No late submissions will be accepted. The bidder guide can be found on the Transnet Portal transnetetenders.azurewebsites.net
- j) Each company must register its own profile using its company details and use the corresponding registered profile to log an intent to bid as well as submitting any bid.
- k) Transnet will not accept a bid or will disqualify a bidder who submits a bid in the Transnet e-tender submission through another bidders'/Company's profile. In other words, each bidder must register the intent to bid and submit its bid through its own profile under the same company name that will eventually bid for the tender. No company shall submit a bid on behalf of another company regardless of the company being a subsidiary or holding company.

- l) In case of a Joint Venture, any of the parties/companies to the Joint Venture may use its registered profile to submit a bid on behalf of the Joint Venture.
- m) A detailed bidder guide can be found on the Transnet Portal transnetetenders.azurewebsites.net

4 RFP INSTRUCTIONS

- 4.1 Please sign documents [sign, stamp and date the bottom of each page] before uploading them on the system. The person or persons signing the submission must be legally authorised by the respondent to do so.
- 4.2 **All returnable documents tabled in the Proposal Form [Section 5] must be returned with proposals.**
- 4.3 Unless otherwise expressly stated, all Proposals furnished pursuant to this RFP shall be deemed to be offers. Any exceptions to this statement must be clearly and specifically indicated.
- 4.4 Any additional conditions must be embodied in an accompanying letter. Subject only to clause 15 [Alterations made by the Respondent to Bid Prices] of the General Bid Conditions, paragraph 11 below (Legal Review) and Section 6 of the RFP, alterations, additions or deletions must not be made by the Respondent to the actual RFP documents.

5 JOINT VENTURES OR CONSORTIUMS

Respondents who would wish to respond to this RFP as a Joint Venture [JV] or consortium with B-BBEE entities, must state their intention to do so in their RFP submission. Such Respondents must also submit a signed JV or consortium agreement between the parties clearly stating the percentage [%] split of business and the associated responsibilities of each party. If at the time of the bid submission such a JV or consortium agreement has not been concluded, the partners must submit confirmation in writing of their intention to enter into a JV or consortium agreement should they be awarded business by Transnet through this RFP process. This written confirmation must clearly indicate the percentage [%] split of business and the responsibilities of each party. In such cases, award of business will only take place once a signed copy of a JV or consortium agreement is submitted to Transnet.

- Respondents are to note that for the purpose of Evaluation, a JV will be evaluated based on one consolidated B-BBEE score card (a consolidated B-BBEE Status Level verification certificate) Preference points will be awarded to a bidder for attaining the specific goals

requirements in accordance with the table indicated in Section 9 clause 4.1 of the specific goals Claim Form.

6 COMMUNICATION (CLARIFICATIONS AND COMPLAINTS)

- 6.1 For specific clarification relating to this RFP, an RFP Clarification Request Form should be submitted to [Matlala Mabetlela] before **17h00 (CAT) Pretoria on Friday 15 August 2025**, substantially in the form set out in Section 8 hereto. In the interest of fairness and transparency, Transnet's response to such a query will be published on the e-tender portal and Transnet website.
- 6.2 Specific complaints relating to this RFP before or after the closing date should be formally submitted by emailing to groupscmcomplaints@transnet.net . Once the complaint has been submitted, the Transnet SCM Complaints office will acknowledge your complaint and send you a complaint form for completion.
- 6.3 After the closing date of the RFP, a Respondent may only communicate with (Matlala Mabetlela), at telephone number **011 308 1284**, email Matlala.Mabetlela@transnet.net on any matter relating to its RFP Proposal.
- 6.4 Respondents are to note that changes to its submission will not be considered after the closing date.
- 6.5 It is prohibited for Respondents to attempt, either directly or indirectly, to canvass any officer or employee of Transnet in respect of this RFP between the closing date and the date of the award of the business.
- 6.6 Respondents found to be in collusion with one another will be automatically disqualified and restricted from doing business with organs of state for a specified period.
- 6.7 Transnet will publish the outcome of this RFP in the National Treasury e-tender portal and Transnet website with 10 days after the award has been finalised. Respondents are required to check the National Treasury e-tender Portal and Transnet website for the results of the tender process. All unsuccessful bidders have a right to request Transnet to furnish reasons for their bid not being successful. This requested must be directed to the contact person stated in the SBD 1 form

7 CONFIDENTIALITY

All information related to this RFP is to be treated with strict confidence. In this regard Respondents are required to certify that they have acquainted themselves with the Non-Disclosure Agreement. All information related to a subsequent contract, both during and after completion thereof, will be treated with strict confidence. Should the need however

arise to divulge any information related to this RFP or the subsequent contract, written approval must be obtained from Transnet.

8 COMPLIANCE

The Successful Respondent [hereinafter referred to as the **[Service provider]**] shall be in full and complete compliance with any and all applicable laws and regulations.

9 EMPLOYMENT EQUITY ACT

Respondents must comply with the requirements of the Employment Equity Act 55 of 1998 applicable to it including (but not limited to) Section 53 of the Employment Equity Act.

10 DISCLAIMERS

Respondents are hereby advised that Transnet is not committed to any course of action as a result of its issuance of this RFP and/or its receipt of Proposals. In particular, please note that Transnet reserves the right to:

- 10.1 modify the RFP's Services;
- 10.2 award a contract in connection with this Proposal at any time after the RFP's closing date;
- 10.3 award a contract for only a portion of the proposed Goods/Services which are reflected in the scope of this RFP;
- 10.4 split the award of the contract between more than one Supplier/Service provider, as may be explicitly articulated in the conditions or objective criteria to this RFP;
- 10.5 cancel the bid process;
- 10.6 validate any information submitted by Respondents in response to this bid. This would include, but is not limited to, requesting the Respondents to provide supporting evidence. By submitting a bid, Respondents hereby irrevocably grant the necessary consent to Transnet to do so;
- 10.7 request audited financial statements or other documentation for the purposes of a due diligence exercise;
- 10.8 not accept any changes or purported changes by the Respondent to the bid rates after the closing date and/or after the award of the business, unless the contract specifically provided for it;
- 10.9 to cancel the contract and/request that National Treasury place the Respondent on its Database of Restricted Suppliers for a period not exceeding 10 years, on the basis

that a contract was awarded on the strength of incorrect information furnished by the Respondent or on any other basis recognised in law;

10.10 to award the business to the next ranked bidder, provided that he/she is still prepared to provide the required Goods at the quoted price, should the preferred bidder fail to sign or commence with the contract within a reasonable period after being requested to do so. Under such circumstances, the validity of the bids of the next ranked bidder(s) will be deemed to remain valid, irrespective of whether the outcome of the tender has been published the outcome of the bid process on the National Treasury e-tender Portal and Transnet website. Bidders may therefore be requested to advise whether they would still be prepared to provide the required Goods at their quoted price.

10.11 Request a bidder to furnish further information relating to its Environmental, Social and Governance (ESG) standing at any stage of the procurement or contracting process. This information may not be used for purposes of evaluation and/or disqualify bidder but may be use for purpose of record and analysis of ESG compliance.

10.12 Where sub-contracting is applied in a tender, conduct due diligence assessment on the sub-contractor(s) and this may entail requesting the bidder to provide further information relating to the sub-contractor(s) or directly requesting the information from the sub-contractor(s) as well as conducting any necessary investigations on the sub-contractor(s) to detect issues of "FRONTING".

Note that Transnet will not reimburse any Respondent for any preparatory costs or other work performed in connection with its Proposal, whether or not the Respondent is awarded a contract.

For purposes of avoiding a potential conflict of interest, the Successful Respondent selected to conduct an Independent Asset Valuation under the current Request for Proposal (TCC/2025/05/0006/96823/RFP) is precluded from offering consultancy or advisory services as a Service Provider and/or participating as a Bidder (either as the main bidder, part of a Joint venture or Consortium and/or a sub-contractor) in the main LeaseCo RFP which will be issued to the qualified bidders from the Request for Qualification (TCC/2025/03/0001/92339/RFQ).

11 LEGAL REVIEW

A Proposal submitted by a Respondent will be subjected to review and acceptance or rejection of its proposed contractual terms and conditions by Transnet's Legal Counsel, prior to consideration for an award of business. A material deviation from the Standard terms or conditions could result in disqualification.

12 SECURITY CLEARANCE

Acceptance of this bid could be subject to the condition that the Successful Respondent, its personnel providing the Goods/Services and its subcontractor(s) must obtain security clearance from the appropriate authorities to the level of **CONFIDENTIAL/ SECRET/TOP SECRET**. Obtaining the required clearance is the responsibility of the Successful Respondent. Acceptance of the bid is also subject to the condition that the Successful Respondent will implement all such security measures as the safe performance of the contract may require.

TRANSNET URGES ITS CLIENTS, SUPPLIERS AND THE GENERAL PUBLIC TO REPORT ANY FRAUD OR CORRUPTION TO

IF YOU DON'T REPORT IT, YOU SUPPORT IT!



Email: Transnet.Reportit@outlook.com

Toll free: 0800 003 056

SMS: 0637867403

Please Call Me number: *120*0637867403

Website: <https://whistleblowersoftware.com/secure/Transnet>

SECTION 3: BACKGROUND, OVERVIEW AND SCOPE OF REQUIREMENTS

13 BACKGROUND

Transnet SOC Ltd (Transnet) is establishing a new rolling stock leasing company (LeaseCo), in partnership with the private sector, to manage and lease locomotives and freight wagons to third-party Train Operating Companies (TOCs) and regional railway operators.

The strategic purpose of the LeaseCo is to unlock value from Transnet's idle and surplus rolling stock and diversify Transnet's revenue through private sector partnerships. Private sector investors will inject expertise and capital into the LeaseCo in exchange for equity, leveraging Transnet's assets and technical capabilities as part of the joint venture.

Transnet has identified a **significant fleet of rolling stock – 619 locomotives and 21,208 wagons** – to be transferred to the LeaseCo. These assets are expected to form Transnet's equity contribution to the new entity (with private sector partners owning a majority stake in the entity).

A credible, **independent valuation** of the rolling stock is therefore critical to:

- **Support the establishment of the LeaseCo:** The valuation will inform the initial balance sheet of LeaseCo and underpin negotiations with private investors on equity contributions.
- **Determine the asset value as equity contribution:** By establishing the fair market value of the locomotives and wagons, Transnet can confidently contribute these assets as equity at arm's length value, ensuring transparency and fairness in the public-private partnership.

This Scope of Requirements outlines the requirements for a **service provider** to undertake a comprehensive **condition assessment and valuation** of **619 locomotives and 21,208 wagons**. The purpose is to obtain an accurate and independent assessment of their value (considering condition and market factors) to facilitate the creation of the LeaseCo and to satisfy all stakeholders (Transnet, investors, and regulators) that the asset values are realistic and well-substantiated.

14 EXECUTIVE OVERVIEW

The appointed service provider will be required to carry out a **comprehensive valuation exercise** of the specified rolling stock, encompassing on-site inspections, technical assessments, and market analysis.

15 SCOPE OF REQUIREMENTS

The scope of work includes, but is not limited to, the following activities:

- 1.1. Physical Rolling Stock Asset Inspections Nationwide:** Perform physical inspections of the locomotives and wagons at the locations where they are currently situated across South Africa.

Assets are located across various depots, yards, and operational sites (and some may be in transit on the network). The valuator must **verify the existence** of each asset and document its location, serial/identification numbers, and configuration. This will likely involve travel to multiple provinces and coordination with Transnet site personnel for safe access to the rolling stock. Detailed site visit logs should be kept, including photographs and condition notes for representative assets.

Annexure O and P Asset locations outlines the location of the wagons and locomotives per area and has been provided for purposes of completing this tender. Only assets within the borders of South Africa will be evaluated. Transnet will subsequently provide a weekly asset location update to the appointed service provider.

1.2. Technical Condition Assessment: Evaluate the **technical condition** of each locomotive and wagon (or a statistically representative sample for the wagons, if a full inspection of all 21,208 is impractical, and justify the sampling approach). This includes assessing factors such as age, mileage/usage history, maintenance records, overhaul status, and any known defects or required repairs. The service provider should categorise assets by condition (e.g., operational, stored serviceable, stored unserviceable, scrap etc.) and estimate the level of effort and cost to return non-operational units to service using parts from the Original Equipment Manufacturer (OEM). The condition assessment will feed into the valuation (assets in better condition or with recent overhauls are expected to carry higher value than those requiring heavy refurbishment).

1.3. Refurbishment (return-to-service) costs knowledge is crucial – the valuator should leverage expertise in rolling stock engineering to estimate what it would cost to repair or upgrade each asset to bring it to operation/service.

1.4. Valuation Methodology Development: Develop and apply a **robust valuation methodology** tailored to rolling stock. The methodology should be in line with the latest internationally recognised valuation standards (e.g., the **International Valuation Standards (IVS)** or **RICS Red Book guidelines**) to ensure credibility.

Multiple valuation approaches should be considered to cross-check results, including:

- **Cost Approach (Depreciated Replacement Cost):** Determine the replacement cost of a similar new locomotive or wagon and depreciate it for age, usage, and condition to estimate the asset's current value. This approach should factor in current procurement costs for rolling stock and adjust for wear-and-tear and obsolescence.
- **Market Approach (Comparable Sales/Benchmarking):** Research recent transactions, auctions, or sales of similar locomotives and wagons in South Africa and internationally to establish market benchmarks. Given the limited market for used heavy rail equipment locally, this will likely involve looking at international markets or analogous equipment sales (consider differences in gauge, specifications, etc.). The valuator should adjust for context to ensure **fair value** comparisons.
- **Income Approach (Discounted Cash Flow), if applicable:** For assets that could be leased **out** for revenue, an income-based approach (calculating the present value of future lease cash flows) may be applied to cross-verify value, especially for assets expected to generate income in the LeaseCo. This should consider realistic lease rates, utilisation, and remaining useful life.

The chosen methodology should be clearly explained and justified in the valuation report. The service provider must demonstrate that they have considered **supply and demand** for rolling stock (e.g., the demand for certain locomotive classes or wagon types in the region) and any **external factors** (market conditions, technological obsolescence, regulatory changes affecting rolling stock value).

The service provider must justify the weighting of each valuation method (e.g. 60% Discount Cash Flow for leased assets, 30% Market Approach for idle assets) and disclose all assumptions (e.g. residual values, lease rates etc.).

1.5. Market Benchmarking Analysis: Conduct a specific **market benchmarking** study as part of the valuation. This entails comparing Transnet's rolling stock values and characteristics with those in other relevant markets:

- Identify at least a few **comparable markets** or reference points (for example, other freight rail operators in Africa or worldwide with similar gauge and rolling stock or known resale values of second-hand locomotives/wagons).
- Benchmark key metrics such as **value per locomotive by type/age, value per wagon by type**, and how these compare to Transnet's fleet value estimates.

Explain any significant variances – e.g., if Transnet locomotives are valued lower due to their condition or higher due to unique specifications.

- Include **industry research** on trends in rolling stock value. For instance, note if locomotive values are generally rising due to high demand and long lead times for new units, or if certain wagon types have become less valuable due to a drop in commodity transport demand. This provides context to justify the valuation conclusions.

1.6.Asset Registry Audit and Verification: Cross-check the **asset register** provided by Transnet against physical findings. This involves verifying that each listed locomotive and wagon exists and that its recorded details (model, series, year of manufacture, etc.) are accurate. The service provider should flag any discrepancies (e.g., assets on the books but not found physically). An **updated asset inventory** must be compiled, which will serve as a verified record of what assets are being valued. This inventory should include key attributes of each asset (make, model, serial number, year built, last overhaul date, condition class, location, etc.) and will form part of the deliverables (see Section 3 Deliverables and Timelines).

1.7.Data Collection and Stakeholder Interviews (if needed): Gather all necessary technical and financial data from Transnet to support the valuation. This may include design specifications of each class of locomotive/wagon, historical cost records, maintenance **histories**, and any prior valuations or net book values. The service provider may also interview Transnet's engineers, asset managers, or maintenance personnel to understand asset history and issues (for example, to learn if certain locomotives have chronic failures or if spare parts are scarce, which could affect value). All insights gained should be incorporated into the assessment.

1.8.Post-Valuation Support: The service provider will provide up to 20 hours of post-report support (e.g., investor briefings) at no additional cost.

The scope of work effectively covers **end-to-end valuation** – from field inspection and data gathering through analysis, calculation, and reporting. The service provider is expected to employ best practices in asset valuation and **exercise professional independence and objectivity** throughout, since the results will be scrutinised by auditors or partners. All work must be conducted in compliance with applicable safety standards when visiting operational sites and in coordination with Transnet's project team.

The service provider is expected to complete this valuation within **13 weeks** from commencement.

The key deliverables and their expected timing are outlined below:

Deliverable	Description	Timeline (from project start)
Inception Report & Work Plan	A detailed project inception report outlining the service provider's understanding of the assignment, methodology, detailed work plan, and schedule. This report should include an initial list of data required, a schedule of site visits (with locations and dates), and a risk management plan (e.g., how to address access issues or data gaps).	Within 2 weeks (10-14 days)
Asset Summary Condition Reports	Brief reports or compiled notes from each site visit/inspection campaign. These should document which assets were inspected, findings on condition, photographs, and any notable issues encountered (e.g., inability to access certain assets). This can be compiled as an annex or log rather than individual reports for each site. The summary should assure that all sites and assets have been covered as planned.	Throughout inspection phase; compiled summary by Week 8-9
Asset Inventory Database	A comprehensive database or asset register in Excel (or compatible format) listing every locomotive and wagon considered. For each asset, the database must record identification details, key attributes (type, model, capacity, current location), year of manufacture, condition assessment report (scope required for return to service, overall state of the asset, ie Minor repairs, planned maintenance, refurbishment/ major-overhaul program, rebuild or scrap) and the assessed value (Refer to Annexure Q for the full list) . This dataset should be cross-referenced with Transnet's provided records. It will serve as a verified record of the assets and values and should be delivered alongside the draft report for review.	By Week 10 (with Draft Report)
Valuation Model/Calculations	The underlying valuation models and calculations used to arrive at the values (e.g. spreadsheets with formulas, DCF models, cost build-up calculations). This should include documentation of assumptions (for depreciation, discount rates, comparable values, etc.). The models should be transparent and handed over such that Transnet can review or reuse them in future. (These may be provided as annexures or separate files.)	By Week 10 (with Draft Report)
Draft Valuation Report	A comprehensive Draft Report detailing the valuation findings. The report should include background and context, description of assets, methodology and assumptions, market overview and benchmarking results, detailed valuation results (aggregate values and value per asset class, with examples), and preliminary conclusions.	By Week 10 (Day ~70)

Deliverable	Description	Timeline (from project start)
Final Valuation Report	The Final Valuation Report , incorporating feedback on the draft including a PowerPoint presentation. This report will serve as the official valuation document for the 619 locomotives and 21,208 wagons. It must include an executive summary with overall fleet valuation, and main body with methodology, analysis, and asset-by-asset valuation summaries or appendices. The final report should be signed by a registered professional valuer to certify its findings.	By Week 13 (Day 90)
Presentation of Results	The service provider's team should be available to present the results and answer questions. This is to facilitate internal approval and understanding of the valuation outcomes.	At Final Report submission (Week 13)

Timeline: The project is expected to kick off immediately after contract award with a kick-off meeting in Week 1. The final report must be delivered **within 13 weeks** of project commencement.

1.9. Technical Proposal Submission Requirements

Bidders are required to structure their proposals in a clear and logical manner, following the suggested format below:

1.9.1. Technical Proposal

The technical proposal should address every aspect of the Scope of Requirements and demonstrate the bidder's understanding, capabilities, and plan. It is suggested to include the sections below (the bidder can augment this structure as needed for clarity):

- **Executive Summary:** A brief overview of the bidder's proposal, highlighting key credentials and a summary of the approach. This can also confirm the bidder's commitment to deliver within the required timeframe.
- **Company Profile and Experience:** Background of the bidding firm or consortium. Include the company's relevant experience, years in business, areas of specialization, and a summary of similar projects executed. If a consortium or joint venture is bidding, describe the partnership and roles of each member. Highlight experience that closely relates to this project (e.g., valuation of transport assets, rail industry projects, etc.).
- **Understanding of the Assignment:** A section demonstrating that the bidder understands Transnet's needs, the purpose of LeaseCo, and the importance of this valuation. The bidder can summarize their interpretation of the scope, any key issues they anticipate, and the value their work will

add. This is also an opportunity to perhaps identify any insightful observations about the project context (e.g., challenges of valuing aging fleets, or the economic context of rail leasing) to show depth of understanding.

- **Methodology and Approach:** A detailed description of how the bidder will carry out the work. This should include:
 - The valuation methods to be used and rationale (e.g., “We will use depreciated replacement cost method leveraging our database of rolling stock values, and cross-check with DCF for high-value assets”).
 - Plans for site inspections (how teams will be deployed, sample vs full inspection approach for wagons, safety measures, etc.).
 - Data collection strategy (what data from Transnet and external sources will be used, and how).
 - Approach to technical condition grading (any models or rating systems to be used).
 - Market research approach for benchmarking (data sources, region comparisons).
 - Quality control measures (peer review of valuations, validation against known benchmarks, etc.).
 - A project schedule or Gantt chart showing tasks over the 90-day period.
 - Risk management (identify key risks to the project like site access delays, and how these will be mitigated or managed).
- **Team Composition:** Present the **project team** in this section. Bidder must provide a Team mobilisation plan showing the resources that will be utilised for the rolling stock condition assessment and valuation, the proposed organizational arrangements, and resources.
 - Proposals should outline the structure and composition of the Bidder’s team, main disciplines of the assignment, the Key Experts and Non-Key Experts, and proposed technical and administrative support staff.
 - Clearly indicate the **Lead Valuer** who will sign off on the valuation. Bidder must provide CV(s) of Lead Valuer(s). CV(s) must show qualifications, number of years’ experience, professional registration with SACPVP, SAIV, (or equivalent) and demonstrate relevant experience in the valuation of industrial or engineering assets. Certified copies (less than 3 months old) of qualifications and certification for the Lead Valuer must be provided.
 - Respondents must provide CV(s) of **Lead Technical/Engineering Expert(s)**. CV(s) must show qualifications, professional registrations with ECSA (or equivalent) and demonstrate relevant experience in the manufacturing, refurbishment or maintenance of locomotives, wagons, or rolling stock equipment. Certified copies (less than 3 months old) of qualifications and certification for the Lead Technical/Engineering Expert(s) must be provided.
 - Indicate the allocation of each person (full-time, part-time, or number of days they will spend). Also confirm availability – that these personnel are free to work on this project during the scheduled period. If sub-

consultants or specialists (e.g., a metallurgist for scrap values) are to be used, identify them and how they integrate into the team.

- **Experience and Past Projects:** Bidder must demonstrate:
 - (1) Experience in the valuation of industrial or engineering assets. For purposes of this RFP and Technical evaluation, industrial and engineering assets include physical assets such as:
 - (a) Rolling Stock assets such as locomotives and wagons, or
 - (b) Heavy Equipment such as machinery and equipment used in industrial, mining, or construction sectors.
 - The Bidder must provide proof of valuation of industrial or engineering assets in the form of independently verifiable evidence such as client referrals/ reference letters. Client referrals/ reference letters must be on the client company's letterhead complete with contact details and must have information including the project name, year of the project, the scope of work, the scale of the project i.e., type of assets valued, number of assets valued and project value.
 - (2) Experience based on manufacturing, refurbishment or maintenance of locomotives, wagons, or rolling stock equipment.
 - The Bidder must provide proof of manufacturing, refurbishment or maintenance of locomotives, wagons, or rolling stock equipment in the form of independently verifiable evidence such as client referrals/ reference letters.
 - Client referrals/ reference letters must be on the client company's letterhead complete with contact details and must have information including the project name, year of the project, the scope of work, the scale of the project i.e., type of assets valued, number of assets valued and project value.
 - This evidence will substantiate claims made in the experience section and will be used for evaluation scoring.
- **Project Plan and Timeline:** While parts of this are in methodology, it can be broken out as a schedule. Provide a detailed schedule (work plan) with key milestones (inception, site visits, draft, **final**) and dates relative to start. This should align with the stipulated **13 week** duration for this assignment. If the bidder proposes a different timeline (still within the 13 weeks), explain the reasoning (e.g., more time for inspections but faster analysis phase, etc.). Also indicate any assumptions in the timeline (e.g., "requires timely access to sites by date X").
- **Resource Plan:** The **bidder** is expected to provide a resource plan to support the scope of work in line with the project plan and timeline.
- **Deliverables and Outputs:** Reiterate understanding of the required deliverables and any additional **deliverables** the bidder will provide. For instance, mention that draft and final reports will be delivered, along with the asset database and models, and that the bidder will be available to present findings. This assures the evaluators that the bidder has accounted for everything requested.

- **Quality Assurance:** Describe how the bidder will ensure high quality of the work. This can include details on internal review processes, use of senior oversight, validation against external sources, etc. If the bidder's organization has a quality certification (e.g., ISO 9001), mention how that will come to bear on this project. Also, the bidder can mention how they will ensure confidentiality of Transnet's data and any compliance with data protection (since asset information may be sensitive for security reasons).
- **Value-Added Benefits (Optional):** If the bidder can offer any additional value beyond the core scope (**without** extra cost), it can be mentioned. For example, transfer of knowledge to Transnet staff (training a few Transnet analysts in the valuation method), or providing a software tool to update valuations in future, etc.

16 GREEN ECONOMY / CARBON FOOTPRINT

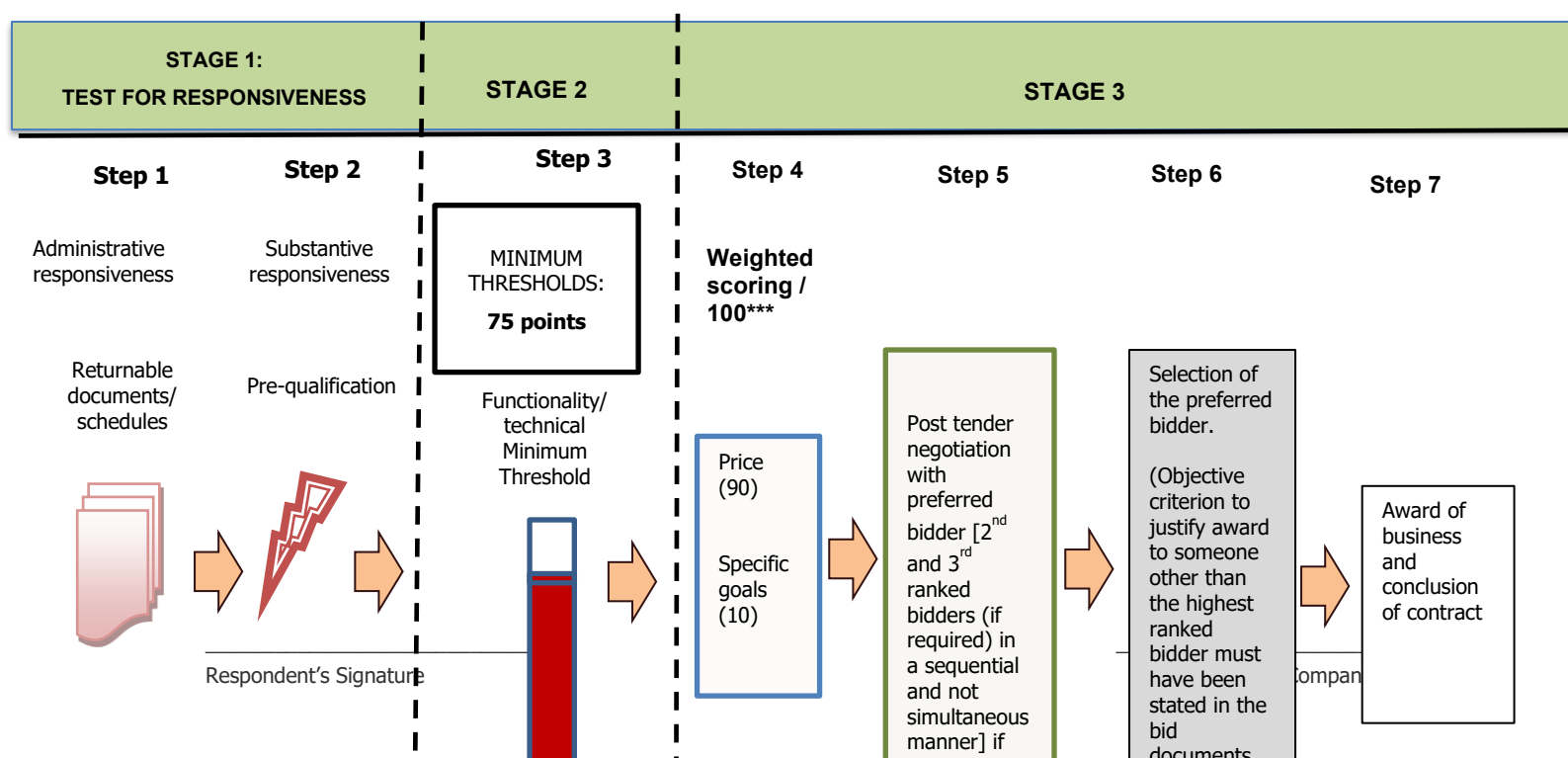
Transnet wishes to have an understanding of your company's position with regard to environmental commitments, including key environmental characteristics such as waste disposal, recycling and energy conservation. *Please submit details of your entity's policies in this regard.*

17 GENERAL SERVICE PROVIDER OBLIGATIONS

- 1.10.** The Service provider(s) shall be fully responsible to Transnet for the acts and omissions of persons directly or indirectly employed by them.
- 1.11.** The Service provider(s) must comply with the requirements stated in this RFP.

18 EVALUATION METHODOLOGY

Transnet will utilise the following methodology and criteria in selecting a preferred Service provider:



Technical Pre-qualification Criteria

1. Compulsory briefing attendance Register
2. Section 4 -Pricing and delivery schedule
3. Proof of Accreditation as a Professional Valuer with the South African Council for the Property Valuers Profession (SACPVP) and/or South African Institute of Valuers (SAIV) (or equivalent) for the Lead Valuer. Certified copies (less than 3 months old) of qualifications and certifications must be provided, and Proof of Registration as a Professional Engineer or Professional Engineering Technician of the Lead Technical/Engineering Expert with Engineering Council of South Africa (ECSA) (or equivalent). Certified copies (less than 3 months old) of qualifications and certifications must be provided.

NB: Evaluation of the various stages will normally take place in a sequential manner. However, in order to expedite the process, Transnet reserves the right to conduct the different steps of the evaluation process in parallel. In such instances the evaluation of bidders at any given stage must not be interpreted to mean that bidders have necessarily passed any previous stage(s).

1.12. STEP ONE: Test for Administrative Responsiveness to RFP

The test for administrative responsiveness will include the following:

Administrative responsiveness check	RFP Reference
• Whether the Bid has been lodged on time	<i>Section 1 paragraph 3</i>
• Whether all Returnable Documents and/or schedules [where applicable] were completed and returned by the closing date and time	<i>Section 5</i>
• Verify the validity of all returnable documents	<i>Section 5</i>

Respondent's Signature

Date & Company Stamp

- | | |
|--|---------------------|
| <ul style="list-style-type: none"> Verify if the Bid document has been duly signed by the authorised respondent | <i>All sections</i> |
|--|---------------------|

The test for administrative responsiveness [Step One] must be passed for a Respondent's Proposal to progress to Step Two for further pre-qualification

1.13. STEP TWO: Test for Substantive Responsiveness to RFP

The test for substantive responsiveness to this RFP will include the following:

Check for substantive responsiveness	RFP Reference
<ul style="list-style-type: none"> Compulsory RFP briefing session 	Attendance register and chat box
<ul style="list-style-type: none"> Whether the Bid contains a priced offer as prescribed in the pricing and delivery schedule 	Section 4 Pricing and delivery schedule
<ul style="list-style-type: none"> Whether any Technical Pre-qualification Criteria/minimum requirements/legal requirements have been met as follows: <ul style="list-style-type: none"> ➤ Proof of Accreditation as a Professional Valuer with the South African Council for the Property Valuers Profession (SACPVP) and/or South African Institute of Valuers (SAIV) (or equivalent) for the Lead Valuer. Certified copies (less than 3 months old) of qualifications and certifications must be provided, and ➤ Proof of Registration as a Professional Engineer or Professional Engineering Technician of the Lead Technical/Engineering Expert with Engineering Council of South Africa (ECSA) (or equivalent). Certified copies (less than 3 months old) of qualifications and certifications must be provided. 	<i>Annexure I</i>

The test for substantive responsiveness [Step Two] must be passed for a Respondent's proposal to progress to Step Three for further evaluation

1.14. STEP THREE: Minimum Threshold 75% points for Technical Criteria

The test for the Technical and Functional threshold will include the following:

Technical Evaluation Criteria	Points Weightings	Scoring guideline
<p>Company Experience in the valuation of industrial or engineering assets</p> <p>For purposes of this RFP and Technical evaluation, industrial and engineering assets include physical assets such as:</p> <p>a) Rolling Stock assets such as locomotives and wagons, or</p> <p>b) Heavy Equipment such as machinery and equipment used in industrial, mining, or construction sectors.</p> <p>The Bidder must provide proof of valuation of industrial or engineering assets in the form of independently verifiable evidence such as client referrals/ reference letters.</p> <ul style="list-style-type: none"> Client referrals/ reference letters must be on the client company's letterhead complete with contact details and must have information including the project name, year of the project, the scope of work, the scale of the project i.e., type of assets valued, number of assets valued and project value. <p>Annexure J</p>	<p>25%</p>	<p>25% = proof of 15 or more industrial or engineering asset valuations the Bidder has done in the last 10 years.</p> <p>18.75% = proof of 10-14 industrial or engineering asset valuations the Bidder has done in the last 10 years.</p> <p>12.5 %= proof of 6-9 industrial or engineering asset valuations the Bidder has done in the last 10 years.</p> <p>6.25% = proof of 5 industrial or engineering asset valuations the Bidder has done in the last 10 years.</p> <p>0 %= Less than 5 or no proof provided and/or is irrelevant and /or does not contain all elements that must be listed in reference letter</p>
<p>Company Experience based on manufacturing, refurbishment or maintenance of locomotives, wagons, or rolling stock equipment.</p> <p>The Bidder must provide proof of manufacturing, refurbishment or maintenance of locomotives, wagons, or rolling stock equipment in the form of independently verifiable evidence such as client referrals/ reference letters.</p> <ul style="list-style-type: none"> Client referrals/ reference letters must be on the client company's letterhead complete with contact details and must have information 	<p>20%</p>	<p>20% = proof of manufacturing, refurbishment, or maintenance of more than 2,000 wagons, 50 locomotives or rolling stock equipment in the last 10 years.</p> <p>15% = proof of manufacturing, refurbishment, or maintenance 1,000-</p>

Technical Evaluation Criteria	Points Weightings	Scoring guideline
<p>including the project name, year of the project, the scope of work, the scale of the project i.e., type of assets manufactured, refurbished or maintained, number of assets manufactured, refurbished or maintained and project value.</p> <p>Annexure K</p>		<p>1,999 wagons, 30-49 locomotives or rolling stock equipment in the last 10 years.</p> <p>10 %= proof of manufacturing, refurbishment, or maintenance of 500-999 wagons, 10-29 locomotives or rolling stock equipment in the last 10 years.</p> <p>5% = proof of manufacturing, refurbishment, or maintenance 200-499 wagons, 5-9 locomotives or rolling stock equipment in the last 10 years.</p> <p>0 %= Less than 200 wagons and less than 5 locomotives or no proof provided and/or are irrelevant and /or does not contain all elements that must be listed in reference letter</p>
<p>Lead Valuer's Years of Experience</p> <p>The Lead Valuer must be a registered Professional Valuer with SACPVP and/or SAIV (or equivalent) with experience in machinery/asset valuations.</p> <p>Respondents must provide CV(s) of Lead Valuer(s).</p> <p>CV(s) must show qualifications, number of years' experience, professional registration with SACPVP, SAIV, (or equivalent) and demonstrate relevant experience in the valuation of industrial or engineering assets.</p> <p>Certified copies (less than 3 months old) of qualifications and certification must be provided.</p>	<p>10%</p>	<p>10% =The Lead Valuer has 15 or more years' experience in the valuation of industrial or engineering assets.</p> <p>7.5% = The Lead Valuer has 11-14 years' experience in the provision of valuations of industrial or engineering assets.</p> <p>5% = The Lead Valuer has 7-10 years' experience in the provision of valuations</p>

Technical Evaluation Criteria	Points Weightings	Scoring guideline
<p>Annexure L</p>		<p>of industrial or engineering assets.</p> <p>2.5% = The Lead Valuer has 4-6 years' experience in the provision of valuations of industrial or engineering assets.</p> <p>0 %= The Lead Valuer has less than 4 years' experience in the provision of valuations of industrial or engineering assets.</p>
<p>Lead Technical/Engineering Expert's Years of Experience The Lead Technical/Engineering Expert must be registered as a Professional Engineer, or Professional Engineering Technician with ECSA (or equivalent).</p> <p>Respondents must provide CV(s) of Lead Technical/Engineering Expert(s).</p> <p>CV(s) must show qualifications, professional registrations with ECSA (or equivalent) and demonstrate relevant experience in the manufacturing, refurbishment or maintenance of locomotives, wagons, or rolling stock equipment.</p> <p>Certified copies (less than 3 months old) of qualifications and certification must be provided.</p> <p>Annexure M</p>	<p>10%</p>	<p>10% = The Lead Technical/Engineering Expert has 15 or more years' experience in the manufacturing, refurbishment or maintenance of locomotives, wagons, or rolling stock equipment.</p> <p>7.5% = The Lead Technical/Engineering Expert has 11-14 years' experience in the manufacturing, refurbishment or maintenance of locomotives, wagons, or rolling stock equipment.</p> <p>5% = The Lead Technical/Engineering Expert has 7-10 years' experience in the manufacturing, refurbishment or maintenance of locomotives, wagons, or rolling stock equipment.</p> <p>2.5 %= The Lead Technical/Engineering Expert has 4-6 years'</p>

Technical Evaluation Criteria	Points Weightings	Scoring guideline
		<p>experience in the manufacturing, refurbishment or maintenance of locomotives, wagons, or rolling stock equipment.</p> <p>0 %= The Lead Technical/Engineering Expert has less than 4 years' experience in the manufacturing, refurbishment or maintenance of locomotives, wagons, or rolling stock equipment.</p>
<p>Bidder's Proposed Methodology and Project Plan</p> <p>The bidder must demonstrate a thorough understanding of the objectives and deliverables of this project.</p> <p>The bidder must provide a detailed proposal of the methodology/ approach to be used to carry out the scope of work outlined above, related timelines / project plan and clearly demonstrate how the deliverables will be achieved.</p> <ul style="list-style-type: none"> • Understanding of the assignment - Understanding of the objectives of the assignment, approach to the services, methodology for carrying out the activities and obtaining the expected output, and the degree of detail of such output. Explanation of the problems being addressed and their importance, and the technical approach the bidder would adopt to address them. Explanation of the methodologies it proposes to adopt and the compatibility of those methodologies with the proposed approach. • Suitability of the proposed organizational arrangements and resources - Proposal of the structure and composition of its team, main 	<p>35%</p>	<p>The bidders must provide a clear, comprehensive approach and method statement covering the following aspects as a minimum:</p> <ul style="list-style-type: none"> • Project Timelines and Quality Control, including Work Plan and Schedule (5%) • Valuation techniques or methods (5%) • Data collection strategy (3%) • Approach to technical condition grading (5%)

Technical Evaluation Criteria	Points Weightings	Scoring guideline
<p>disciplines of the assignment, the Key Experts and Non-Key Experts, and proposed technical and administrative support staff.</p> <ul style="list-style-type: none"> Detailed programming, phasing of activities and inputs; Proposal of the main activities of the assignment, their content and duration, phasing and interrelations, milestones (including interim approvals by the Client), and delivery dates of the reports including a list of the final documents, including reports, drawings, and tables to be delivered as final output Its consistency with the technical approach and methodology, showing understanding of the TOR and ability to translate them into a feasible working plan. The timelines should be in accordance with the requirement outlined in this document. The bidder is required to prepare and submit a Preliminary Programme of Works using MS Project software or similar, detailing the time framework for the major activities. The project should be delivered within 13 weeks. <p>Annexure N</p>		<ul style="list-style-type: none"> Market research approach for benchmarking (2%) Quality control measures (5%) A project schedule or Gantt chart (2%) Team mobilisation plan showing the resources that will be utilised for the rolling stock condition assessment and valuation (3%) Risk management (5%) Non submission of any of the above elements (0%) each.
Total Weighting:		100%
Minimum qualifying score required:		75%

*A Respondent's compliance with the minimum functionality/technical threshold will be measured by their responses to Annexure **J, K, L, M, N**.*

Respondents are to note that Transnet will round off final technical scores to the nearest 2 (two) decimal places for the purposes of determining whether the technical threshold has been met.

The minimum threshold for technical/functionality [Step Three] must be met or exceeded for a Respondent's Proposal to progress to Step Four for final evaluation

1.15. STEP FOUR Evaluation and Final Weighted Scoringa) **Price** [Weighted score 90 points]:

Evaluation Criteria	RFP Reference
• Commercial offer	<i>Section 4</i>

Transnet will utilise the following formula in its evaluation of Price:

$$PS = 90 \left(1 - \frac{Pt - Pmin}{Pmin} \right)$$

Where:

Ps = Score for the Bid under consideration
 Pt = Price of Bid under consideration
 $Pmin$ = Price of lowest acceptable Bid

b) **Specific Goals** [Weighted score 10 point]

- Specific goals preference points claim form
- Preference points will be awarded to a bidder for attaining the specific goals requirements in accordance with the table indicated in Section 4.1 of the specific goals Claim Form.

1.16. SUMMARY: Applicable Thresholds and Final Evaluated Weightings

Thresholds	Minimum Threshold
Technical / functionality	75%

Evaluation Criteria	Final Weighted Scores
Price	90
Specific goals - Scorecard	10
TOTAL SCORE:	100

1.17. STEP FIVE: Price Negotiations (if applicable)

- Respondents are to note that Transnet may not award a contract if the price offered is not market-related. In this regard, Transnet reserves the right to engage

in PTN with the view to achieving a market-related price or to cancel the tender. Negotiations will be done in a sequential manner i.e.:

- first negotiate with the highest ranked bidder or cancel the bid, should such negotiations fail,
 - negotiate with the 2nd and 3rd ranked bidders (if required) in a sequential manner.
- In the event of any Respondent being notified of such short-listed/preferred bidder status, his/her bid, as well as any subsequent negotiated best and final offers (BAFO), will automatically be deemed to remain valid during the negotiation period and until the ultimate award of business.
 - Should Transnet conduct post tender negotiations, Respondents will be requested to provide their best and final offers to Transnet based on such negotiations. Where a market related price has been achieved through negotiation, the contract will be awarded to the successful Respondent(s).

1.18. STEP SIX: Objective Criteria (if applicable)

Transnet reserves the right to award the business to the highest scoring bidder/s unless objective criteria justify the award to another bidder. The objective criteria Transnet may apply in this bid process include:

- Skills Transfer and Capacity Building for Transnet;
- Impact on Transnet's Return On Investment;
- Rotation of Suppliers to promote opportunities for other suppliers, by overlooking a supplier that has been awarded business repeatedly overtime in order to benefit other suppliers in the market;
- the bidder :
 - is undergoing a process of being restricted by Transnet or other state institution that Transnet may be aware of,
 - has the legal capacity to enter into the contract
 - is insolvent, in receivership, under Business Rescue as provided for in chapter 6 of the Companies Act, 2008, bankrupt or being wound up, has his affairs administered by a court or a judicial officer, has suspended his business activities, or is subject to legal proceedings in respect of any of the foregoing,
 - complies with the legal requirements, if any, stated in the tender data and

- is able, in the option of the employer to perform the contract free of conflicts of interest.

1.19. STEP SEVEN: Award of business and conclusion of contract

- Immediately after approval to award the contract has been received, the successful bidder(s) will be informed of the acceptance of his/their Bid by way of a Letter of Award. Thereafter the final contract will be concluded with the successful Respondent(s). where applicable.
- Alternatively, acceptance of a letter of award by the Successful Respondent. will constitute the final contract read together with their RFQ response and the Standard Terms and Conditions. This will be stated in the letter of award.

SECTION 4: PRICING AND DELIVERY SCHEDULE

Respondents are required to complete the table below:

Detailed Fee Breakdown: Bidders must provide a detailed **pricing table** as demonstrated below. This should break the total cost into **deliverables** with associated costs.:

Item No	Phase/Deliverable	Professional Fees	Disbursements (accommodation, travel, flights etc)	Total Price (ZAR)
1	Inception Report & Work Plan			
2	Asset Summary Condition Reports			
3	Asset Inventory Database			
4	Valuation Model/Calculations			
5	Draft Valuation Report			
6	Final Valuation Report			
7	Presentation of Results			
Total Price, exclusive of VAT				
VAT @15%				
Unconditional Discount(s)				
Total Price inclusive of VAT				

Respondents are to note that Transnet will round off final pricing scores to the nearest 2 (two) decimal places.

Notes to Pricing:

- a) Only assets within the borders of South Africa will be evaluated. Bidders are to quote based on scope of requirements that will be carried out within South Africa.
- b) Respondents are to note that if the price offered by the highest scoring bidder is not market-related, Transnet may not award the contract to that Respondent. Transnet may-
 - (i) negotiate a market-related price with the Respondent scoring the highest points or cancel the RFP;
 - (ii) if that Respondent does not agree to a market-related price, negotiate a market-related price with the Respondent scoring the second highest points or cancel the RFP;
 - (iii) if the Respondent scoring the second highest points does not agree to a market-related price, negotiate a market-related price with the Respondent scoring the third highest points or cancel the RFP.

 Respondent's Signature

 Date & Company Stamp

If a market-related price is not agreed with the Respondent scoring the third highest points, Transnet must cancel the RFP.

- c) Prices must be quoted in South African Rand inclusive of VAT and to be inclusive of all expenses except where otherwise specified.
- d) Any disbursement not specifically priced for will not be considered/accepted by Transnet. Travel and Disbursement Costs: Given that physical inspections across multiple sites are required, bidders should include estimates for travel and disbursements (e.g., airfare, car rental, accommodation, subsistence, etc.) in their cost proposal.

These costs should be shown separately from professional fees in the cost breakdown. While the proposal should include an estimated amount for reimbursable expenses, Transnet will only reimburse actual costs incurred for travel and disbursements, up to the quoted estimate, and in line with the agreed rates.

All reimbursable expenses must be supported by valid receipts. Bidders are encouraged to base their estimates on realistic assumptions of the number of trips, team size traveling, and duration of site work. If Transnet provides any support (e.g., accommodation at company guest houses or transport on company trains), this will be clarified, but otherwise bidders should assume no in-kind support and include all costs.

- e) To facilitate like-for-like comparison bidders must submit pricing strictly in accordance with this pricing schedule and not utilise a different format. Deviation from this pricing schedule could result in a bid being declared non-responsive.

1.19.1. For scope variation the Department of Public Works's Rates for Reimbursable Expenses will apply

1.19.2. Prices are to be quoted for defined deliverables. Bidders are required to quote a lump sum fee for the entire valuation assignment, broken down by project phases. The cost breakdown should correspond to the deliverables (Section 3 Deliverables and Timelines of the Scope of Work) – for example, a portion of the fee for completion of inspections and inception report, a portion for the draft report, and a portion for the final report, etc. This allows payments to be tied to successful delivery of each phase

- f) Please note that should you have offered a discounted price(s), Transnet will only consider such price discount(s) in the final evaluation stage if offered on an unconditional basis.

- g) Respondents, if awarded the contract, are required to indicate that their prices quoted would be kept firm and fixed for the contract duration. [Not to be confused with bid validity period Section 2, clause 1]

YES	
-----	--

2. DISCLOSURE OF CONTRACT INFORMATION

PRICES TENDERED

Respondents are to note that, on award of business, Transnet is required to publish the outcome of the RFQ and information of the successful Respondents *inter alia* on the National Treasury e-Tender Publication Portal, (www.etenders.gov.za), as required per National Treasury Instruction Note 09 of 2022/2023.

JOHANNESBURG STOCK EXCHANGE DEBT LISTING REQUIREMENTS

Transnet may also be required to disclose information relating to the subsequent contract i.e. the name of the company, goods/services provided by the company, the value and duration of the contract, etc. in compliance with the Johannesburg Stock Exchange (JSE) Debt Listing Requirements.

DOMESTIC PROMINENT INFLUENTIAL PERSONS (DPIP) OR FOREIGN PROMINENT PUBLIC OFFICIALS (FPPO)

Transnet is free to procure the services of any person within or outside the Republic of South Africa in accordance with applicable legislation. Transnet shall not conduct or conclude business transactions, with any Respondents without having:

- Considered relevant governance protocols;
- Determined the DPIP or FPPO status of that counterparty; and
- Conducted a risk assessment and due diligence to assess the potential risks that may be posed by the business relationship.

As per the Transnet Domestic Prominent Influential Persons (DPIP) and Foreign Prominent Public Officials (FPPO) and Related Individuals Policy available on Transnet website <https://www.transnet.net/search/pages/results.aspx?k=FPIDP#k=DPIP>, Respondents are required to disclose any commercial relationship with a DPIP or FPPO (as defined in the Policy) by completing the following section:

The below form contains personal information as defined in the Protection of Personal Information Act, 2013 (the "Act"). By completing the form, the signatory consents to the processing of her/his personal information in accordance with the requirements of the Act. Consent cannot unreasonably be withheld.

Is the Respondent

(Complete with a "Yes" or "No")

A		Closely Related to a DPIP/FPPO		Closely Associated to a DPIP/FPPO	
----------	--	---	--	--	--

List all known business interests, in which a DPIP/FPPO may have a direct/indirect interest or significant participation or involvement.

No	Name of Entity / Business	Role in the Entity / Business (Nature of interest/ Participation)	Sharehold- ing %	Registrati- on Number	Status (Mark the applicable option with an X)	
					Active	Non- Active
1						
2						
3						

Respondents declaring a commercial relationship with a DPIP or FPPO are to note that Transnet is required to annually publish on its website a list of all business contracts entered into with DPIP or FPPO. This list will include successful Respondents, if applicable.

1.1 The Respondent must state hereunder its annual holiday closedown period [if applicable] and whether this period has been included in the delivery lead time offered:

1.2 Respondents are required to indicate below the action that the Respondent proposes to take to ensure continuity of supply during non-working days or holidays.

3. EXCHANGE AND REMITTANCE

The attention of the Respondents is directed to clause 17 *[Exchange and Remittance]* of the General Bid Conditions. If Transnet is requested by the Respondent to effect payment overseas direct to the Respondent's principal or service provider, which is not a registered South African Company please complete the details below, using the rate of exchange published by the South African Reserve Bank 7 [seven] calendar days before the closing date of this RFP:

1.3 ZAR 1.00 [South African currency] being equal to _____ *[foreign currency]*

1.4 _____ % in relation to tendered price(s) to be remitted overseas by Transnet

1.5 _____ [Name of country to which payment is to be made]

1.6 Beneficiary details:

Name [Account holder] _____

Bank [Name and branch code] _____

Swift code _____

Country _____

1.7 _____ [Applicable base date of Exchange Rate used]

Respondents are advised that should a contract be awarded for deliveries on an "as and when required" basis, any future remittance(s) to overseas principals/service providers, as instructed above, will be based on an agreed rate of exchange related to the contractual price of the Services at that time.

Respondents should note that Transnet would prefer to receive fixed price offers expressed in South African Rand [ZAR].

4. EXPORT CREDIT AGENCY SUPPORTED FINANCE

In order to finance its payment obligations under a future contract where foreign transactions are involved, Transnet may consider raising debt financing [an **ECA Facility**] from one or more banks or financial institutions, with the benefit of export credit agency [ECA] credit support to be provided by an ECA.

Under such circumstances the successful Respondent will agree to undertake:

- a) to provide [and/or cause the Parent/OEM to provide, as applicable] to Transnet and the banks and financial institutions that may participate in the ECA Facility all such assistance as an importer of Goods and/or Services, which are eligible for ECA credit

supported finance by an ECA, is generally required to provide for the purposes of obtaining ECA support;

- b) not to do or [as Supplier of the relevant eligible Goods or services] omit to do anything, which may adversely affect Transnet's prospects of qualifying for or, once obtained, maintaining ECA credit support by an ECA in respect of an ECA Facility.

All cost, expenses, charges and liabilities incurred by Transnet in establishing an ECA Facility with credit support from an Export Credit Agency, may be for the account of Transnet.

5. RISK

Respondents must elaborate on the control measures put in place by their entity, which would mitigate the risk to Transnet pertaining to potential non-performance by the Respondent, in relation to:

1.8 Quality and specification of Goods/Services delivered:

1.9 Continuity of supply:

1.10 Compliance with the Occupational Health and Safety Act, 85 of 1993:

1.11 Compliance with the National Railway Safety Regulator Act, 16 of 2002:

SIGNED at _____ on this _____ day of _____ 20____

Respondent's Signature

Date & Company Stamp

SIGNATURE OF WITNESSES

ADDRESS OF WITNESSES

1 _____
Name _____

2 _____
Name _____

SIGNATURE OF RESPONDENT'S AUTHORISED REPRESENTATIVE:

NAME: _____

DESIGNATION: _____

Respondent's Signature

Date & Company Stamp

SECTION 5: PROPOSAL FORM AND LIST OF RETURNABLE DOCUMENTS

I/We _____
[name of entity, company, close corporation or partnership] of *[full address]*

carrying on business trading/operating as

represented

by _____
in my capacity as

being duly authorised thereto by a Resolution of the Board of Directors or Members or Certificate of Partners, dated _____ to enter into, sign execute and complete any documents relating to this proposal and any subsequent Agreement. The following list of persons are hereby authorised to negotiate on behalf of the abovementioned entity, should Transnet decide to enter into Post Tender Negotiations with highest ranked bidder(s).

FULL NAME(S)	CAPACITY	SIGNATURE

I/We hereby offer to supply/provide the abovementioned Goods/Services at the prices quoted in the schedule of prices in accordance with the terms set forth in the documents listed in the accompanying schedule of RFP documents.

I/We agree to be bound by those conditions in Transnet's:

- (i) Master Agreement (which may be subject to amendment at Transnet's discretion if applicable);
- (ii) General Bid Conditions; and

Respondent's Signature

Date & Company Stamp

- (iii) any other standard or special conditions mentioned and/or embodied in this Request for Proposal.

I/We accept that unless Transnet should otherwise decide and so inform me/us in the letter of award, this Proposal [and, if any, its covering letter and any subsequent exchange of correspondence], together with Transnet's acceptance thereof shall constitute a binding contract between Transnet and me/us.

Should Transnet decide that a formal contract should be signed and so inform me/us in a letter of award [the **Letter of Award**], this Proposal [and, if any, its covering letter and any subsequent exchange of correspondence] together with Transnet's Letter of Award, shall constitute a binding contract between Transnet and me/us until the formal contract is signed.

I/We further agree that if, after I/we have been notified of the acceptance of my/our Proposal, I/we fail to enter into a formal contract if called upon to do so, or fail to commence the provision of Services within 1 [one] week thereafter, Transnet may, without prejudice to any other legal remedy which it may have, recover from me/us any expense to which it may have been put in calling for Proposals afresh and/or having to accept any less favourable Proposal.

Furthermore, I/we agree to a penalty clause/s which will allow Transnet to invoke a penalty against us for non-compliance with material terms of this RFP including the delayed delivery of the Goods/Services due to non-performance by ourselves, etc.

I/we agree that non-compliance with any of the material terms of this RFP, including those mentioned above, will constitute a material breach of contract and provide Transnet with cause for cancellation.

ADDRESS FOR NOTICES

The law of the Republic of South Africa shall govern any contract created by the acceptance of this RFP. The *domicilium citandi et executandi* shall be a place in the Republic of South Africa to be specified by the Respondent hereunder, at which all legal documents may be served on the Respondent who shall agree to submit to the jurisdiction of the courts of the Republic of South Africa. Foreign Respondents shall, therefore, state hereunder the name of their authorised representative in the Republic of South Africa who has the power of attorney to sign any contract which may have to be entered into in the event of their Proposal being accepted and to act on their behalf in all matters relating to such contract.

Respondent to indicate the details of its *domicilium citandi et executandi* hereunder:

Name _____ of _____ Entity: _____

Facsimile: _____

Address: _____

NOTIFICATION OF AWARD OF RFP

As soon as possible after approval to award the contract(s), the successful Respondent [**the Service provider**] will be informed of the acceptance of its Proposal. Transnet will also publish the outcome of the tender, including successful and unsuccessful bidders, in the National Treasury e-tender portal. Any unsuccessful bidder has a right to request reasons for the bid not to be successful and Transnet has a duty to provide those reasons on receipt of the request from the bidder.

VALIDITY PERIOD

Transnet requires a validity period of **180 Business Days** [from closing date] against this RFP, excluding the first day and including the last day.

NAME(S) AND ADDRESS / ADDRESSES OF DIRECTOR(S) OR MEMBER(S)

The Respondent must disclose hereunder the full name(s) and address(s) of the director(s) or members of the company or close corporation [**C.C.**] on whose behalf the RFP is submitted.

(i) Registration number of company / C.C.

(ii) Registered name of company / C.C.

(iii) Full name(s) of director/member(s) Address/Addresses ID Number(s)

RETURNABLE DOCUMENTS

Returnable Documents means all the documents, Sections and Annexures, as listed in the tables below. There are three types of returnable documents as indicated below and Respondents are urged to ensure that these documents are returned with their bids based on the consequences of non-submission as indicated below:

Mandatory Documents	Returnable	<i>Failure to provide all these Mandatory Returnable Documents at the Closing Date and time of this RFP <u>will</u> result in a Respondent's disqualification.</i>
Returnable Used for Scoring	Documents	<i>Failure to provide all Returnable Documents used for purposes of scoring a bid, by the closing date and time of this bid will not result in a Respondent's disqualification. However, Bidders will receive an automatic score of zero for the applicable evaluation criterion.</i>
Essential Documents	Returnable	<i>Failure to provide essential Returnable Documents <u>will</u> result in Transnet affording Respondents a further opportunity to submit by a set deadline. Should a Respondent thereafter fail to submit the requested documents, this may result in a Respondent's disqualification.</i>

All Returnable Sections, as indicated in the header and footer of the relevant pages, must be signed, stamped and dated by the Respondent.

a) Mandatory Returnable Documents

Respondents are required to submit with their bid submissions the following **Mandatory Returnable Documents**, and also to confirm submission of these documents by so indicating [Yes or No] in the tables below:

MANDATORY RETURNABLE DOCUMENTS	SUBMITTED [Yes/No]
SECTION 4: Pricing and Delivery Schedule	
ANNEXURE I: ➤ Proof of Accreditation as a Professional Valuer with the South African Council for the Property Valuers Profession (SACPVP) and/or South African Institute of Valuers (SAIV) (or equivalent)	

MANDATORY RETURNABLE DOCUMENTS	SUBMITTED [Yes/No]
<p>for the Lead Valuer. Certified copies (less than 3 months old) of qualifications and certifications must be provided, and</p> <p>➤ Proof of Registration as a Professional Engineer or Professional Engineering Technician of the Lead Technical/Engineering Expert with Engineering Council of South Africa (ECSA) (or equivalent). Certified copies (less than 3 months old) of qualifications and certifications must be provided.</p>	

b) Returnable Documents Used for Scoring

In addition to the requirements of section (a) above, Respondents are further required to submit with their Proposals the following **Returnable Documents Used for Scoring** and also to confirm submission of these documents by so indicating [Yes or No] in the table below:

<u>RETURNABLE DOCUMENTS USED FOR SCORING</u>	SUBMITTED [Yes or No]
<i>Annexure F:</i> Valid proof of Respondent's compliance to Specific Goals requirements stipulated in Section 9 of this RFP (Valid B-BBEE certificate or Sworn- Affidavit as per DTIC guidelines)	
<i>Annexure J: Company Experience</i> in the valuation of industrial or engineering assets	
<i>Annexure K: Company Experience</i> based on manufacturing, refurbishment or maintenance of locomotives, wagons, or rolling stock equipment.	
<i>Annexure L: Lead Valuer's Qualifications</i> The Lead Valuer must be a registered Professional Valuer with SACPVP and/or SAIV (or equivalent) with experience in machinery/asset valuations.	
<i>Annexure M: Lead Technical/Engineering Expert's Qualifications</i> The Lead Technical/Engineering Expert must be registered as a Professional Engineer, or Professional Engineering Technician with ECSA (or equivalent).	
<i>Annexure N: Bidder's Proposed Methodology and Project Plan</i> The bidder must demonstrate a thorough understanding of the objectives and deliverables of this project. The bidder must provide a detailed proposal of the methodology/ approach to be used to carry out the scope of work outlined above, related timelines / project plan and clearly demonstrate how the deliverables will be achieved.	

<u>RETURNABLE DOCUMENTS USED FOR SCORING</u>	SUBMITTED [Yes or No]
<ul style="list-style-type: none"> • Understanding of the assignment - Understanding of the objectives of the assignment, approach to the services, methodology for carrying out the activities and obtaining the expected output, and the degree of detail of such output. Explanation of the problems being addressed and their importance, and the technical approach the bidder would adopt to address them. Explanation of the methodologies it proposes to adopt and the compatibility of those methodologies with the proposed approach. • Suitability of the proposed organizational arrangements and resources - Proposal of the structure and composition of its team, main disciplines of the assignment, the Key Experts and Non-Key Experts, and proposed technical and administrative support staff. • Detailed programming, phasing of activities and inputs; Proposal of the main activities of the assignment, their content and duration, phasing and interrelations, milestones (including interim approvals by the Client), and delivery dates of the reports including a list of the final documents, including reports, drawings, and tables to be delivered as final output Its consistency with the technical approach and methodology, showing understanding of the TOR and ability to translate them into a feasible working plan. • The timelines should be in accordance with the requirement outlined in this document. • The bidder is required to prepare and submit a Preliminary Programme of Works using MS Project software or similar, detailing the time framework for the major activities. • The project should be delivered within three months. 	

c) Essential Returnable Documents:

, Respondents are further required to submit the following **Essential Returnable Documents** with their RFP and to confirm submission of these documents by so indicating [Yes or No] in the table below:

ESSENTIAL RETURNABLE DOCUMENTS & SCHEDULES	SUBMITTED [Yes or No]
ANNEXURE A: MASTER AGREEMENT	
ANNEXURE B: TRANSNET'S GENERAL BID CONDITIONS	
ANNEXURE C: TRANSNET'S SUPPLIER INTEGRITY PACT	
ANNEXURE D: NON-DISCLOSURE AGREEMENT	
ANNEXURE E: TAX COMPLIANCE STATUS AND PIN	
ANNEXURE G: In the case of Joint Ventures, a copy of the Joint Venture Agreement or written confirmation of the intention to enter into a Joint Venture Agreement	
Section 1: SBD1 Form	
SECTION 5: Proposal Form and List of Returnable documents	
ANNEXURE H: SECTION 6: Certificate Of Acquaintance with RFP, Terms & Conditions & Applicable Documents	
SECTION 7: RFP Declaration and Breach of Law Form	
SECTION 9: Specific goals points claim form	
SECTION 10: Job-creation schedule	
SECTION 11: Protection of Personal Information	
SECTION 12: Protection of Personal Information (Operator Contract)	

Respondent's Signature_____
Date & Company Stamp

Annexure E:

Bidder to attach TAX compliance status and PIN

Respondent's Signature

Date & Company Stamp

ANNEXURE F:

Bidder to attach valid proof of Respondent's compliance to Specific Goals requirements stipulated in Section 9 of this RFP (Valid B-BBEE certificate or Sworn- Affidavit as per DTIC guidelines)

Respondent's Signature

Date & Company Stamp

Annexure G:

In the case of Joint Ventures, a copy of the Joint Venture Agreement or written confirmation of the intention to enter into a Joint Venture Agreement

Respondent's Signature

Date & Company Stamp

ANNEXURE I

Bidder to provide the following;

Proof of Accreditation as a Professional Valuer with the South African Council for the Property Valuers Profession (SACPVP) and/or South African Institute of Valuers (SAIV) (or equivalent) for the Lead Valuer. Certified copies (less than 3 months old) of qualifications and certifications must be provided, and

Proof of Registration as a Professional Engineer or Professional Engineering Technician of the Lead Technical/Engineering Expert with Engineering Council of South Africa (ECSA) (or equivalent). Certified copies (less than 3 months old) of qualifications and certifications must be provided.

Respondent's Signature

Date & Company Stamp

Annexure J

Company Experience

Bidder to attach reference letter indicating experience in the valuation of industrial or engineering assets

Client referrals/ reference letters must be on the client company's letterhead complete with contact details and must have information including the project name, year of the project, the scope of work, the scale of the project i.e., type of assets valued, number of assets valued and project value.

Respondent's Signature

Date & Company Stamp

Annexure K**Company Experience**

Bidder to attach reference letter indicating experience in manufacturing, refurbishment or maintenance of locomotives, wagons, or rolling stock equipment.

Client referrals/ reference letters must be on the client company's letterhead complete with contact details and must have information including the project name, year of the project, the scope of work, the scale of the project i.e., type of assets manufactured, refurbished or maintained, number of assets manufactured, refurbished or maintained and project value.

Respondent's Signature

Date & Company Stamp

Annexure L

Lead Valuer's Qualifications

Respondents must provide CV(s) of Lead Valuer(s).

CV(s) must show qualifications, number of years' experience, professional registration with SACPVP, SAIV, (or equivalent) and **demonstrate relevant experience in the valuation of industrial or engineering assets.**

Certified copies (less than 3 months old) of qualifications and certification must be provided.

Respondent's Signature

Date & Company Stamp

Annexure M

Lead Technical/Engineering Expert's Qualifications

Respondents must provide CV(s) of Lead Technical/Engineering Expert(s).

CV(s) must show qualifications, professional registrations with ECSA (or equivalent) and demonstrate relevant experience in the manufacturing, refurbishment or maintenance of locomotives, wagons, or rolling stock equipment.

Certified copies (less than 3 months old) of qualifications and certification must be provided.

Respondent's Signature

Date & Company Stamp

Annexure N

Bidder's Proposed Methodology and Project Plan

The bidder must demonstrate a thorough understanding of the objectives and deliverables of this project.

The bidder must provide a detailed proposal of the methodology/ approach to be used to carry out the scope of work outlined above, related timelines / project plan and clearly

demonstrate how the deliverables will be achieved.

- Understanding of the assignment - Understanding of the objectives of the assignment, approach to the services, methodology for carrying out the activities and obtaining the expected output, and the degree of detail of such output. Explanation of the problems being addressed and their importance, and the technical approach the bidder would adopt to address them. Explanation of the methodologies it proposes to adopt and the compatibility of those methodologies with the proposed approach.
- Suitability of the proposed organizational arrangements and resources - Proposal of the structure and composition of its team, main disciplines of the assignment, the Key Experts and Non-Key Experts, and proposed technical and administrative support staff.
- Detailed programming, phasing of activities and inputs; Proposal of the main activities of the assignment, their content and duration, phasing and interrelations, milestones (including interim approvals by the Client), and delivery dates of the reports including a list of the final documents, including reports, drawings, and tables to be delivered as final output Its consistency with the technical approach and methodology, showing understanding of the TOR and ability to translate them into a feasible working plan.
- The timelines should be in accordance with the requirement outlined in this document.
- The bidder is required to prepare and submit a Preliminary Programme of Works using MS Project software or similar, detailing the time framework for the major activities.
- The project should be delivered within three months.

CONTINUED VALIDITY OF RETURNABLE DOCUMENTS

The successful Respondent will be required to ensure the validity of all returnable documents, for the duration of any contract emanating from this RFP. Should the Respondent be awarded the contract [**the Agreement**] and fail to present Transnet with such renewals as and when they become due, Transnet shall be entitled, in addition to any other rights and remedies that it may have in terms of the eventual Agreement, to terminate such Agreement immediately without any liability and without prejudice to any claims which Transnet may have for damages against the Respondent.

SIGNED at _____ on this _____ day of _____ 20____

SIGNATURE OF WITNESSES

ADDRESS OF WITNESSES

1 _____

Name _____

2 _____

Name _____

SIGNATURE OF RESPONDENT'S AUTHORISED REPRESENTATIVE:

NAME: _____

DESIGNATION: _____

SECTION 6 ANNEXURE H: RFQ DECLARATION CERTIFICATE OF ACQUAINTANCE & BREACH OF LAW FORM WITH RFP

By signing this certificate the Respondent is deemed to acknowledge that he/she has made himself/herself thoroughly familiar with, and agrees with all the conditions governing this RFP. This includes those terms and conditions contained in any printed form stated to form part hereof, including but not limited to the documents stated below. As such, Transnet SOC Ltd will recognise no claim for relief based on an allegation that the Respondent overlooked any such term or condition or failed properly to take it into account for the purpose of calculating tendered prices or any other purpose:

1	Transnet's General Bid Conditions
2	Master Agreement
3	Transnet's Supplier Integrity Pact
4	Non-disclosure Agreement

Note: Should a Respondent be successful and awarded the bid, they will be required to complete a Supplier Declaration Form for registration as a vendor onto the Transnet vendor master database.

Should the Bidder find any terms or conditions stipulated in any of the relevant documents quoted in the RFP unacceptable, it should indicate which conditions are unacceptable and offer alternatives by written submission on its company letterhead, attached to its submitted Bid. Any such submission shall be subject to review by Transnet's Legal Counsel who shall determine whether the proposed alternative(s) are acceptable or otherwise, as the case may be. A material deviation from any term or condition may result in disqualification.

Bidders accept that an obligation rests on them to clarify any uncertainties regarding any bid to which they intend to respond on, before submitting the bid. **The Bidder agrees that he/she will have no claim or cause of action based on an allegation that any aspect of this RFP was unclear but in respect of which he/she failed to obtain clarity.**

The bidder understands that his/her Bid will be disqualified if the Certificate of Acquaintance with RFP documents included in the RFP as a returnable document, is found not to be true and complete in every respect.

Respondent's Signature

Date & Company Stamp

SECTION 7: RFP DECLARATION AND BREACH OF LAW FORM

We hereby certify that:

1. Transnet has supplied and we have received appropriate responses to any/all questions [as applicable] which were submitted by ourselves for RFP Clarification purposes;
2. We have received all information we deemed necessary for the completion of this Request for Proposal [**RFP**];
3. We have been provided with sufficient access to the existing Transnet facilities/sites and any and all relevant information relevant to the Goods/Services as well as Transnet information and Employees, and have had sufficient time in which to conduct and perform a thorough due diligence of Transnet's operations and business requirements and assets used by Transnet. Transnet will therefore not consider or permit any pre- or post-contract verification or any related adjustment to pricing, service levels or any other provisions/conditions based on any incorrect assumptions made by the Respondent in arriving at his Bid Price.
4. At no stage have we received additional information relating to the subject matter of this RFP from Transnet sources, other than information formally received from the designated Transnet contact(s) as nominated in the RFP documents;
5. We are satisfied, insofar as our entity is concerned, that the processes and procedures adopted by Transnet in issuing this RFP and the requirements requested from Bidders in responding to this RFP have been conducted in a fair and transparent manner;
6. We have complied with all obligations of the Bidder/Supplier as indicated in the Transnet Supplier Integrity which includes but are not limited to ensuring that we take all measures necessary to prevent corrupt practices, unfairness and illegal activities in order to secure or in furtherance to secure a contract with Transnet;
7. we declare that an owner / member / director / partner / shareholder/employee of our entity **has / has not been** [delete as applicable] a former employee or board member of Transnet in the past 10 years. I further declare that if they were a former employee or board member of Transnet in the past 10 years that they **were/were not** involved in the bid preparation or had access to the information related to this RFP; and
8. If such a relationship as indicated in paragraph 7, exists, the Respondent is to complete the following section:

Respondent's Signature

Date & Company Stamp

FULL NAME OF OWNER/MEMBER/DIRECTOR/
PARTNER/SHAREHOLDER/EMPLOYEE:
ADDRESS:

Indicate nature of relationship with Transnet:

[Failure to furnish complete and accurate information in this regard will lead to the disqualification of a response and may preclude a Respondent from doing future business with Transnet. Information provided in the declarations may be used by Transnet and/or its affiliates to verify the correctness of the information provided]

9. We declare, to the extent that we are aware or become aware of any relationship between ourselves and Transnet [other than any existing and appropriate business relationship with Transnet] which could unfairly advantage our entity in the forthcoming adjudication process, we shall notify Transnet immediately in writing of such circumstances.

BIDDER'S DISCLOSURE (SBD4)

12 PURPOSE OF THE FORM

12.1 Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

12.2 Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

13 Bidder's declaration

13.1 Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest¹ in the enterprise, employed by the state?

YES/NO

13.1.1.If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

Full Name	Identity Number	Name of State institution

13.2 Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution?

YES/NO

13.2.1.If so, furnish particulars:

.....

13.3 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest

¹ the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.

in the enterprise have any interest in any other related enterprise
whether or not they are bidding for this contract?

YES/NO

13.3.1.If so, furnish particulars:

.....

.....

14 DECLARATION

I, the undersigned, (name)..... in submitting
the accompanying bid, do hereby make the following statements that I certify to be true
and complete in every respect:

14.1 I have read and I understand the contents of this disclosure;

14.2 I understand that the accompanying bid will be disqualified if this disclosure is found
not to be true and complete in every respect;

14.3 The bidder has arrived at the accompanying bid independently from, and without
consultation, communication, agreement or arrangement with any competitor.
However, communication between partners in a joint venture or consortium² will not
be construed as collusive bidding.

14.4 In addition, there have been no consultations, communications, agreements or
arrangements with any competitor regarding the quality, quantity, specifications,
prices, including methods, factors or formulas used to calculate prices, market
allocation, the intention or decision to submit or not to submit the bid, bidding with
the intention not to win the bid and conditions or delivery particulars of the products
or services to which this bid invitation relates.

14.5 The terms of the accompanying bid have not been, and will not be, disclosed by the
bidder, directly or indirectly, to any competitor, prior to the date and time of the
official bid opening or of the awarding of the contract.

14.6 There have been no consultations, communications, agreements or arrangements
made by the bidder with any official of the procuring institution in relation to this
procurement process prior to and during the bidding process except to provide

² Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.

14.7 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 12, 13 and 14 ABOVE IS CORRECT.

I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

BREACH OF LAW

We further hereby certify that *I/we* (the bidding entity and/or any of its directors, members or partners) **have/have not been** [delete as applicable] found guilty during the preceding 5 [five] years of a serious breach of law, including but not limited to a breach of the Competition Act, 89 of 1998, by a court of law, tribunal or other administrative body. The type of breach that the Respondent is required to disclose excludes relatively minor offences or misdemeanours, e.g. traffic offences. This includes the imposition of an administrative fine or penalty.

Where found guilty of such a serious breach, please disclose:

NATURE	OF	BREACH:
--------	----	---------

DATE OF BREACH: _____

Furthermore, I/we acknowledge that Transnet SOC Ltd reserves the right to exclude any Respondent from the bidding process, should that person or entity have been found guilty of a serious breach of law, tribunal or regulatory obligation.

SIGNED at _____ on this _____ day of _____
20____

For and on behalf of _____ duly authorised hereto	AS WITNESS:
Name:	Name:
Position:	Position:
Signature:	Signature:
Date:	Registration No of Company/CC
Place:	Registration Name of Company/CC

SECTION 9: SPECIFIC GOALS POINTS CLAIM FORM

This preference form must form part of all bids invited. It contains general information and serves as a claim for preference points for specific goals Contribution. Transnet will award preference points to companies who provide valid proof of evidence of as per the table below.

NB: BEFORE COMPLETING THIS FORM, BIDDERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF SPECIFIC GOALS, AS PRESCRIBED IN THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT, 2000.

1. GENERAL CONDITIONS

- 1.1 The following preference point systems are applicable to all bids:
- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
 - the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).
- 1.2 The value of this bid is estimated to **exceed R50 000 000** (all applicable taxes included) and therefore the **90/10** preference point system shall be applicable. Despite the stipulated preference point system, Transnet shall use the lowest acceptable bid to determine the applicable preference point system in a situation where all received acceptable bids are received outside the stated preference point system.
- 1.3 Preference points for this bid shall be awarded for:
- (a) Price;
 - (b) B-BBEE Status Level of Contribution; and
 - (c) Any other specific goal determined in Transnet preferential procurement policy.
- 1.4 The maximum points for this bid are allocated as follows:

	POINTS
PRICE	90
B-BBEE STATUS LEVEL OF CONTRIBUTION	
• B-BBEE Level 1 & 2- 5	5
• EMEs and QSEs - 5	5
Total points for Price and Specific Goals must not exceed	100

- 1.5 Failure on the part of a bidder to submit proof of evidence for any of the specific goals together with the bid will be interpreted to mean that preference points are not claimed.

- 1.6 The purchaser reserves the right to require of a bidder, either before a bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the purchaser.

2. DEFINITIONS

- (a) **"all applicable taxes"** includes value-added tax, pay as you earn, income tax, unemployment insurance fund contributions and skills development levies;
- (b) **"B-BBEE"** means broad-based black economic empowerment as defined in section 1 of the Broad-Based Black Economic Empowerment Act;
- (c) **"B-BBEE status level of contributor"** means the B-BBEE status received by a measured entity based on its overall performance using the relevant scorecard contained in the Codes of Good Practice on Black Economic Empowerment, issued in terms of section 9(1) of the Broad-Based Black Economic Empowerment Act;
- (d) **"Ownership"** means 51% black ownership
- (e) **"bid"** means a written offer in a prescribed or stipulated form in response to an invitation by an organ of state for the supply/provision of services, works or goods, through price quotations, advertised competitive bidding processes or proposals;
- (f) **"Broad-Based Black Economic Empowerment Act"** means the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);
- (g) **"EME"** means an Exempted Micro Enterprise as defines by Codes of Good Practice under section 9 (1) of the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);
- (h) **"functionality"** means the ability of a bidder to provide goods or services in accordance with specification as set out in the bid documents
- (i) **"Price"** includes all applicable taxes less all unconditional discounts.
- (j) **"Proof of B-BBEE Status Level of Contributor"**
 - i) the B-BBBEE status level certificate issued by an authorised body or person;
 - ii) a sworn affidavit as prescribed by the B-BBEE Codes of Good Practice; or
 - iii) any other requirement prescribed in terms of the B-BBEE Act.
- (k) **"QSE"** means a Qualifying Small Enterprise as defines by Codes of Good Practice under section 9 (1) of the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);
- (l) **"rand value"** means the total estimated value of a contract in South African currency, calculated at the time of bid invitations, and includes all applicable taxes and excise duties.
- (m) **"Specific goals"** means targeted advancement areas or categories of persons or groups either previously disadvantaged or falling within the scope of the Reconstruction and Development Programme identified by Transnet to be given preference in allocation of procurement contracts in line with section 2(1) of the PPPFA.

3. POINTS AWARDED FOR PRICE**3.1 THE 90/10 PREFERENCE POINT SYSTEMS**

A maximum of 90 points is allocated for price on the following basis:

90/10

$$P_s = 90 \left(1 - \frac{P_t - P_{\min}}{P_{\min}} \right)$$

Where

P_s = Points scored for comparative price of bid under consideration

P_t = Comparative price of bid under consideration

P_{\min} = Comparative price of lowest acceptable bid

In terms of Transnet Preferential Procurement Policy (TPPP) and Procurement Manuals, the following preference points must be awarded to a bidder who provides the relevant required evidence for claiming points

Selected Specific Goal	Number of points allocated (90/10)
B-BBEE Level of contributor (1 & 2)	5
EME or QSE	5
Non-Compliant and/or B-BBEE Level 3-8 contributors	0

4. EVIDENCE REQUIRED FOR CLAIMING SPECIFIC GOALS

4.1 In terms of Transnet Preferential Procurement Policy (TPPP) and Procurement Manuals, preference points must be awarded to a bidder for providing evidence in accordance with the table below:

Specific Goals	Acceptable Evidence
B-BBEE	B-BBEE Certificate / Sworn- Affidavit / B-BBEE CIPC Certificate (in case of JV, a consolidated scorecard will be accepted) as per DTIC guideline
EME or QSE	B-BBEE Certificate / Sworn-Affidavit / CIPC Certificate

4.2 The table below indicates the required proof of B-BBEE status depending on the category of enterprises:

Enterprise	B-BBEE Certificate & Sworn Affidavit
Large	Certificate issued by SANAS accredited verification agency
QSE	Certificate issued by SANAS accredited verification agency Sworn Affidavit signed by the authorised QSE representative and attested by a Commissioner of Oaths confirming annual turnover and black ownership (only black-owned QSEs - 51% to 100% Black owned) [Sworn affidavits must substantially comply with the format that can be obtained on the DTI's website at www.dti.gov.za/economic_empowerment/bee_codes.jsp .]
EME³	Sworn Affidavit signed by the authorised EME representative and attested by a Commissioner of Oaths confirming annual turnover and black ownership Certificate issued by CIPC (formerly CIPRO) confirming annual turnover and black ownership Certificate issued by SANAS accredited verification agency only if the EME is being measured on the QSE scorecard

- 4.3 A trust, consortium or joint venture (including unincorporated consortia and joint ventures) must submit a consolidated B-BBEE Status Level verification certificate for every separate bid.
- 4.4 Tertiary Institutions and Public Entities will be required to submit their B-BBEE status level certificates in terms of the specialized scorecard contained in the B-BBEE Codes of Good Practice.
- 4.5 A person will not be awarded points for B-BBEE status level if it is indicated in the bid documents that such a bidder intends sub-contracting more than 25% of the value of the contract to any other enterprise that does not qualify for at least the points that such a bidder qualifies for, unless the intended sub-contractor is an EME that has the capability and ability to execute the sub-contract.

5. BID DECLARATION

- 5.1 Bidders who claim points in respect of B-BBEE Status Level of Contribution must complete the following:

6. B-BBEE STATUS LEVEL OF CONTRIBUTION CLAIMED

- 6.1 B-BBEE Status Level of Contribution: = (maximum of 10 points)
- (Points claimed in respect of paragraph 6.1 must be in accordance with the table reflected in paragraph 4.1 and must be substantiated by relevant proof of B-BBEE status level of contributor.

7. SUB-CONTRACTING

7.1 Will any portion of the contract be sub-contracted?

(Tick applicable box)

YES		NO	
-----	--	----	--

7.1.1 If yes, indicate:

- i) What percentage of the contract will be subcontracted.....%
- ii) The name of the sub-contractor.....
- iii) The B-BBEE status level of the sub-contractor.....
- iv) Whether the sub-contractor is an EME or QSE.

(Tick applicable box)

YES		NO	
-----	--	----	--

- v) Specify, by ticking the appropriate box, if subcontracting with any of the following enterprises:

: An EME or QSE which is at last 51% owned by:	EME ✓	QSE ✓
Black people		
Black people who are youth		
Black people who are women		
Black people with disabilities		
Black people living in rural or underdeveloped areas or townships		
Cooperative owned by black people		
Black people who are military veterans		
OR		
Any EME		
Any QSE		

8. DECLARATION WITH REGARD TO COMPANY/FIRM

8.1 Name of company/firm:.....

8.2 VAT registration number:.....

8.3 Company registration number:.....

8.4 TYPE OF COMPANY/ FIRM

- Y Partnership/Joint Venture / Consortium
- Y One person business/sole propriety
- Y Close corporation
- Y Company

☐ (Pty) Limited
[TICK APPLICABLE BOX]

8.5 DESCRIBE PRINCIPAL BUSINESS ACTIVITIES

.....
.....
.....

8.6 COMPANY CLASSIFICATION

☐ Manufacturer
☐ Supplier
☐ Professional Service provider
☐ Other Service providers, e.g. transporter, etc.
[TICK APPLICABLE BOX]

8.7 Total number of years the company/firm has been in business:.....

8.8 I/we, the undersigned, who is / are duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the B-BBE status level of contribution of the foregoing certificate, qualifies the company/ firm for the preference(s) shown and I / we acknowledge that:

- i) The information furnished is true and correct;
- ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;
- iii) In the event of a contract being awarded as a result of points claimed as shown in paragraph 1.4 and 6.1, the contractor may be required to furnish documentary proof to the satisfaction of the purchaser that the claims are correct;
- iv) If a bidder submitted false information regarding its B-BBEE status level of contributor or any other matter required in terms of the Preferential Procurement Regulations, 2022 which will affect or has affected the evaluation of a bid the purchaser may, in addition to any other remedy it may have
 - (a) disqualify the person from the bidding process;
 - (b) recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;
 - (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
 - (d) if the successful bidder subcontracted a portion of the bid to another person without disclosing it, Transnet reserves the right to penalise the bidder up to 10 percent of the value of the contract;
 - (e) recommend that the bidder or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted by the National Treasury from obtaining business from any organ of state for a period not exceeding 10 years, after the audi alteram partem (hear the other side) rule

- has been applied; and
- (f) forward the matter for criminal prosecution.

WITNESSES	
1.
2.

.....
SIGNATURE(S) OF BIDDERS(S)
DATE:
ADDRESS.....

SECTION 10: JOB-CREATION SCHEDULE**(Please ensure that you return this schedule with your bid submission)**

The Government has identified State Owned Enterprises sourcing activities as a key enabler to achieve the National Development Plan (NDP) objective of reducing unemployment from the current baseline of 28% to 6%. In order to give effect to these job creation objectives, Respondents are required to provide the following undertaking of new jobs that will be created (either by them or by their subcontractors) should they be awarded this bid.

Note that this undertaking is not required if a NIPP obligation is applicable to a Respondent's bid as indicated in Section 12. **Respondents are required to indicate below whether the NIPP obligation is applicable to their bid:**

YES		NO	
------------	--	-----------	--

(a) Please indicate the total number of new jobs that will be created over the term of the contract:

Total number and value of new jobs created	Total number of new jobs	Total rand value of new jobs created

(b) Of the total number of new jobs created, please indicate the number and value of new jobs to be created for the following designated groups:

	Total number of new jobs	Total rand value of new jobs
Black men		
Black women		
Black Youth		
Black people living in rural or underdeveloped areas or townships		
Black People with Disabilities		

(c) Of the total number of new jobs created, please indicate the number of skilled, semi-skilled and unskilled new jobs that will be created over the term of the contract:

	Total number of Skilled jobs	Total number of Semi-skilled jobs	Total number of Unskilled jobs
Black men			

Black women			
Black Youth			
Black people living in rural or underdeveloped areas or townships			
Black People with Disabilities			
Other			

(d) Please indicate the number of new jobs to be created, broken down per quarter over the term of the contract. :

Months	Month 1	Month 2	Month 3
Total number of new jobs			
Number of new jobs for Black men			
Number of new jobs for black women			
Number of new jobs for black youth			
Number of new jobs for black people living in rural or underdeveloped areas or townships			
Number of new jobs for black People with Disabilities			
Number of new jobs for other categories			
Number of new skilled jobs			
Number of new semi-skilled jobs			
Number of new unskilled jobs			

SECTION 11: PROTECTION OF PERSONAL INFORMATION

1. The following terms shall bear the same meaning as contemplated in Section 1 of the Protection of Person information act, No.4 of 2013.(“POPIA”):

consent; data subject; electronic communication; information officer; operator; person; personal information; processing; record; Regulator; responsible party; special information; as well as any terms derived from these terms.

2. Transnet will process all information by the Respondent in terms of the requirements contemplated in Section 4(1) of the POPIA:

Accountability; Processing limitation; Purpose specification; Further processing limitation; Information quality; Openness; Security safeguards and Data subject participation.

3. The Parties acknowledge and agree that, in relation to personal information that will be processed pursuant to this RFP, the Responsible party is “Transnet” and the Data subject is the “Respondent”. Transnet will process personal information only with the knowledge and authorisation of the Respondent and will treat personal information which comes to its knowledge as confidential and will not disclose it, unless so required by law or subject to the exceptions contained in the POPIA.
4. Transnet reserves all the rights afforded to it by the POPIA in the processing of any of its information as contained in this RFP and the Respondent is required to comply with all prescripts as detailed in the POPIA relating to all information concerning Transnet.
5. In responding to this bid, Transnet acknowledges that it will obtain and have access to personal information of the Respondent. Transnet agrees that it shall only process the information disclosed by Respondent in their response to this bid for the purpose of evaluating and subsequent award of business and in accordance with any applicable law.
6. Transnet further agrees that in submitting any information or documentation requested in this RFP, the Respondent is consenting to the further processing of their personal information for the purpose of, but not limited to, risk assessment, assurances, contract award, contract management, auditing, legal opinions/litigations, investigations (if applicable), document storage for the legislatively required period, destruction, de-identification and publishing of personal information by Transnet and/or its authorised appointed third parties.
7. Furthermore, Transnet will not otherwise modify, amend or alter any personal data submitted by the Respondent or disclose or permit the disclosure of any personal data to any third party without the prior written consent from the Respondent. Similarly, Transnet requires the

Respondent to process any personal information disclosed by Transnet in the bidding process in the same manner.

8. Transnet shall, at all times, ensure compliance with any applicable laws put in place and maintain sufficient measures, policies and systems to manage and secure against all forms of risks to any information that may be shared or accessed pursuant to this RFP (physically, through a computer or any other form of electronic communication).
9. Transnet shall notify the Respondent in writing of any unauthorised access to information, cybercrimes or suspected cybercrimes, in its knowledge and report such crimes or suspected crimes to the relevant authorities in accordance with applicable laws, after becoming aware of such crimes or suspected crime. The Respondent must take all necessary remedial steps to mitigate the extent of the loss or compromise of personal information and to restore the integrity of the affected personal information as quickly as is possible.
10. The Respondent may, in writing, request Transnet to confirm and/or make available any personal information in its possession in relation to the Respondent and if such personal information has been accessed by third parties and the identity thereof in terms of the POPIA. The Respondent may further request that Transnet correct (excluding critical/mandatory or evaluation information), delete, destroy, withdraw consent or object to the processing of any personal information relating to the Respondent in Transnet's possession in terms of the provision of the POPIA and utilizing Form 2 of the POPIA Regulations.
11. In submitting any information or documentation requested in this RFP, the Respondent is hereby consenting to the processing of their personal information for the purpose of this RFP and further confirming that they are aware of their rights in terms of Section 5 of POPIA

Respondents are required to provide consent below:

YES		NO	
------------	--	-----------	--

12. Further, the Respondent declares that they have obtained all consents pertaining to other data subject's personal information included in its submission and thereby indemnifying Transnet against any civil or criminal action, administrative fines or other penalty or loss that may arise as a result of the processing of any personal information that the Respondent submitted.
13. The Respondent declares that the personal information submitted for the purpose of this RFP is complete, accurate, not misleading, is up to date and may be updated where applicable.

Signature of Respondent's authorised representative: _____

Should a Respondent have any complaints or objections to processing of its personal information, by Transnet, the Respondent can submit a complaint to the Information Regulator on <https://www.justice.gov.za/infoereg/>, click on contact us, click on complaints.IR@justice.gov.za

Respondent's Signature

Date & Company Stamp

SECTION 12: PROTECTION OF PERSONAL INFORMATION (For Operator Contract)

1. The following terms shall bear the same meaning as contemplated in Section 1 of the Protection of Person information act, No. of 2013 "(POPIA"):
consent; data subject; electronic communication; information officer; operator; person; personal information; processing; record; Regulator; responsible party; special information; as well as any terms derived from these terms.
2. The Operator will process all information by the Transnet in terms of the requirements contemplated in Section 4(1) of the POPIA:
Accountability; Processing limitation; Purpose specification; Further processing limitation; Information quality; Openness; Security safeguards and Data subject participation.
3. The Parties acknowledge and agree that, in relation to personal information of Transnet and the information of a third party that will be processed pursuant to this Agreement , the Operator is (Respondent) and the Data subject is "Transnet". Operator will process personal information only with the knowledge and authorisation of Transnet and will treat personal information and the information of a third party which comes to its knowledge as confidential and will not disclose it, unless so required by law or subject to the exceptions contained in the POPIA.
4. Transnet reserves all the rights afforded to it by the POPIA in the processing of any of its information as contained in this Agreement and the Operator is required to comply with all prescripts as detailed in the POPIA relating to all information concerning Transnet.
5. In terms of this Agreement, the Operator acknowledges that it will obtain and have access to personal information of Transnet and the information of a third party and agrees that it shall only process the information disclosed by Transnet in terms of this Agreement and only for the purposes as detailed in this Agreement and in accordance with any applicable law.
6. Should there be a need for the Operator to process the personal information and the information of a third party in a way that is not agreed to in this Agreement, the Operator must request consent from Transnet to the processing of its personal information or and the information of a third party in a manner other than that it was collected for, which consent cannot be unreasonably withheld.
7. Furthermore, the Operator will not otherwise modify, amend or alter any personal information and the information of a third party submitted by Transnet or disclose or permit the disclosure of any personal information and the information of a third party to any third party without prior written consent from Transnet.
8. The Operator shall, at all times, ensure compliance with any applicable laws put in place and maintain sufficient measures, policies and systems to manage and secure against all forms of risks to any information that may be shared or accessed pursuant to the services offered to Transnet in terms of this Agreement (physically, through a computer or any other form of electronic communication).
9. The Operator shall notify Transnet in writing of any unauthorised access to personal information and the information of a third party , cybercrimes or suspected cybercrimes, in its knowledge and report such crimes or suspected crimes to the relevant authorities in accordance with applicable laws, after

becoming aware of such crimes or suspected crime. The Operator must inform Transnet of the breach as soon as it has occurred to allow Transnet to take all necessary remedial steps to mitigate the extent of the loss or compromise of personal information and the information of a third party and to restore the integrity of the affected personal information as quickly as is possible.

10. Transnet may, in writing, request the Operator to confirm and/or make available any personal information and the information of a third party in its possession in relation to Transnet and if such personal information has been accessed by third parties and the identity thereof in terms of the POPIA.
11. Transnet may further request that the Operator correct, delete, destroy, withdraw consent or object to the processing of any personal information and the information of a third party relating to the Transnet or a third party in the Operator's possession in terms of the provision of the POPIA and utilizing Form 2 of the POPIA Regulations.
12. In signing this addendum that is in terms of the POPIA, the Operator hereby agrees that it has adequate measures in place to provide protection of the personal information and the information of a third party given to it by Transnet in line with the 8 conditions of the POPIA and that it will provide to Transnet satisfactory evidence of these measures whenever called upon to do so by Transnet.

The Operator is required to provide confirmation that all measures in terms of the POPIA are in place when processing personal information and the information of a third party received from Transnet:

YES		NO	
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13. Further, the Operator acknowledges that it will be held liable by Transnet should it fail to process personal information in line with the requirements of the POPIA. The Operator will be subject to any civil or criminal action, administrative fines or other penalty or loss that may arise as a result of the processing of any personal information that Transnet submitted to it.

Signature of Respondent's authorised representative: _____

14. Should a Respondent have any complaints or objections to processing of its personal information, by Transnet, the Respondent can submit a complaint to the Information Regulator on <https://www.justice.gov.za/infoereg/>, click on contact us, click on complaints.IR@justice.gov.za