



TRANSNET CORPORATE CENTRE (TCC)

an Operating Division of **TRANSNET SOC LTD**

[hereinafter referred to as **Transnet**]

[Registration No. 1990/000900/30]

REQUEST FOR PROPOSAL [RFP]

FOR APPOINTMENT OF A SERVICE PROVIDER TO PROVIDE COURSES SPECIALIZING IN ELECTRICAL ENGINEERING FOR A PERIOD OF FIVE (5) YEARS, AND ON REQUEST DESIGN, DEVELOP AND DELIVER BESPOKE RAILWAY OPERATIONS AND ENGINEERING PROGRAMMES

RFP NUMBER	TCC/2022/09/0268/13517/RFP
ISSUE DATE:	17.10.2022
CLOSING DATE:	07.11.2022
CLOSING TIME:	15:00 PM
BID VALIDITY PERIOD:	120 Business Days from Closing Date

BIDDER NAME:.....

SCHEDULE OF BID DOCUMENTS

Section No	Page
SECTION 1: SBD1 FORM	3
SECTION 2 : NOTICE TO BIDDERS.....	6
1 INVITATION TO BID	6
2 FORMAL BRIEFING	7
3 PROPOSAL SUBMISSION	7
4 RFP INSTRUCTIONS.....	8
5 JOINT VENTURES OR CONSORTIUMS.....	8
7 COMMUNICATION	8
8 CONFIDENTIALITY.....	9
9 COMPLIANCE	9
10 EMPLOYMENT EQUITY ACT	9
11 DISCLAIMERS.....	9
12 LEGAL REVIEW.....	10
13 SECURITY CLEARANCE.....	10
14 NATIONAL TREASURY'S CENTRAL SUPPLIER DATABASE.....	10
15 TAX COMPLIANCE.....	10
SECTION 3: BACKGROUND, OVERVIEW AND SCOPE OF REQUIREMENTS	12
SECTION 4: PRICING AND DELIVERY SCHEDULE.....	28
SECTION 5: PROPOSAL FORM AND LIST OF RETURNABLE DOCUMENTS	31
SECTION 6: CERTIFICATE OF ACQUAINTANCE WITH RFP, MASTER AGREEMENT & APPLICABLE DOCUMENTS	45
SECTION 7: RFP DECLARATION AND BREACH OF LAW FORM.....	46
SECTION 8 : B-BBEE PREFERENCE POINTS CLAIM FORM.....	51
SECTION 9: PROTECTION OF PERSONAL INFORMATION	57

RFP ANNEXURES:

ANNEXURE A: TRANSNET'S GENERAL BID CONDITIONS

ANNEXURE B: TRANSNET'S SUPPLIER INTEGRITY PACT

ANNEXURE C: NON-DISCLOSURE AGREEMENT

SECTION 1: SBD1 FORM**PART A****INVITATION TO BID**

YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS AT TRANSNET SOC LTD							
BID NUMBER:	TCC/2022/09/0268/13517/RFP	ISSUE DATE:	17.10.2022	CLOSING DATE:	07.11.2022	CLOSING TIME:	15:00PM
DESCRIPTION	FOR APPOINTMENT OF A SERVICE PROVIDER TO PROVIDE COURSES SPECIALIZING IN ELECTRICAL ENGINEERING FOR A PERIOD OF FIVE (5) YEARS, AND ON REQUEST DESIGN, DEVELOP AND DELIVER BESPOKE RAILWAY OPERATIONS AND ENGINEERING PROGRAMMES						
BID RESPONSE DOCUMENTS SUBMISSION							
RESPONDENTS ARE TO UPLOAD THEIR BID RESPONSE PROPOSALS ONTO THE TRANSNET SYSTEM AGAINST EACH TENDER SELECTED <i>(please refer to section 2, paragraph 3 for a detailed process on how to upload submissions):</i> https://www.transnet.net							
BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO							
CONTACT PERSON		Matlala Mabetlela					
TELEPHONE NUMBER		011- 308-1284					
E-MAIL ADDRESS		Matlala.Mabetlela@transnet.net					
SUPPLIER INFORMATION							
NAME OF BIDDER							
POSTAL ADDRESS							
STREET ADDRESS							
TELEPHONE NUMBER		CODE		NUMBER			
CELLPHONE NUMBER							
FACSIMILE NUMBER		CODE		NUMBER			
E-MAIL ADDRESS							
VAT REGISTRATION NUMBER							
SUPPLIER COMPLIANCE STATUS		TAX COMPLIANCE SYSTEM PIN:		OR	CENTRAL SUPPLIER DATABASE	UNIQUE REGISTRATION REFERENCE NUMBER: MAAA	
		<input type="checkbox"/>	<input type="checkbox"/>				
B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE		TICK APPLICABLE BOX]		B-BBEE STATUS LEVEL SWORN AFFIDAVIT		[TICK APPLICABLE BOX]	
		Yes No				Yes No	

[A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE/ SWORN AFFIDAVIT (FOR EMES & QSEs) MUST BE SUBMITTED FOR PURPOSES OF COMPLIANCE WITH THE B-BBEE ACT]			
1 ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES ENCLOSE PROOF]	2 ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES, ANSWER QUESTIONNAIRE BELOW]
QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS			
IS THE ENTITY A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?		<input type="checkbox"/> YES <input type="checkbox"/> NO	
DOES THE ENTITY HAVE A BRANCH IN THE RSA?		<input type="checkbox"/> YES <input type="checkbox"/> NO	
DOES THE ENTITY HAVE A PERMANENT ESTABLISHMENT IN THE RSA?		<input type="checkbox"/> YES <input type="checkbox"/> NO	
DOES THE ENTITY HAVE ANY SOURCE OF INCOME IN THE RSA?		<input type="checkbox"/> YES <input type="checkbox"/> NO	
IS THE ENTITY LIABLE IN THE RSA FOR ANY FORM OF TAXATION?		<input type="checkbox"/> YES <input type="checkbox"/> NO	
IF THE ANSWER IS "NO" TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER FOR A TAX COMPLIANCE STATUS SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 1.3 BELOW.			

PART B**TERMS AND CONDITIONS FOR BIDDING**

1. TAX COMPLIANCE REQUIREMENTS
1.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.
1.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER'S PROFILE AND TAX STATUS.
1.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE

WWW.SARS.GOV.ZA.

- 1.4 BIDDERS MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE BID.
- 1.5 IN BIDS WHERE UNINCORPORATED CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER.
- 1.6 WHERE NO TCS IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.

NB: FAILURE TO PROVIDE / OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.

SIGNATURE OF BIDDER:

CAPACITY UNDER WHICH THIS BID IS SIGNED:

(Proof of authority must be submitted e.g. company resolution)

DATE: _____

SECTION 2: NOTICE TO BIDDERS**1 INVITATION TO BID**

Responses to this RFP [hereinafter referred to as a **Bid** or a **Proposal**] are requested from persons, companies, close corporations or enterprises [hereinafter referred to as an **entity, Respondent** or **Bidder**].

DESCRIPTION	FOR APPOINTMENT OF A SERVICE PROVIDER TO PROVIDE COURSES SPECIALIZING IN ELECTRICAL ENGINEERING FOR A PERIOD OF FIVE (5) YEARS, AND ON REQUEST DESIGN, DEVELOP AND DELIVER BESPOKE RAILWAY OPERATIONS AND ENGINEERING PROGRAMMES
TENDER ADVERT	All Transnet tenders are advertised on the National Treasury's e-Tender Publication Portal and the Transnet website. Should one of these media (i.e. National Treasury's e-Tender Publication Portal or Transnet website) not be available, bidders are advised to check on the other media for advertised tenders.
RFP DOWNLOADING	<p>This RFP may be downloaded directly from National Treasury's e-Tender Publication Portal at www.etenders.gov.za free of charge.</p> <p>To download RFP and Annexures:</p> <ul style="list-style-type: none"> • Click on "Tender Opportunities"; • Select "Advertised Tenders"; • In the "Department" box, select Transnet SOC Ltd. <p>Once the tender has been located in the list, click on the 'Tender documents' tab and process to download all uploaded documents.</p> <p>The RFP may also be downloaded from the Transnet website at www.transnet.net free of charge. To access the Transnet eTender portal, please click here (refer to section 2, paragraph 3 below for detailed steps)</p>
COMMUNICATION	<p>Any addenda to the RFP or clarifications will be published on the e-tender portal and Transnet website. Bidders are required to check the e-tender portal or Transnet website prior to finalising their bid submissions for any changes or clarifications to the RFP.</p> <p>Transnet will not be held liable if Bidders do not receive the latest information regarding this RFP with the possible consequence of either being disadvantaged or disqualified as a result thereof.</p>
BRIEFING SESSION	<p>Compulsory Briefing Session 25 October 2022</p> <p>Bidders are required to confirm their attendance and to send their contact details including the number of representatives to the contact person and email stated in Section 1: SBD 1 Form. This is to ensure that Transnet may make the necessary arrangements for the briefing session.</p>
CLOSING DATE	<p>15:00 pm on 07 November 2022</p> <p>Bidders must ensure that bids are uploaded timeously onto the system.</p>

	<p>As a general rule, if a bid is late, it will not be accepted for consideration.</p> <p><i>Bidders are required to ensure that electronic bid submissions are done at least a day before the closing date to prevent issues which they may encounter due to their internet speed, bandwidth or the size of the number of uploads they are submitting. Please do not wait for the last hour to submit. A Bidder can upload 30mb per upload and multiple uploads are permitted.</i></p>
VALIDITY PERIOD	<p>120 Business Days from Closing Date</p> <p>Bidders are to note that they may be requested to extend the validity period of their bid, at the same terms and conditions, if the internal evaluation process has not been finalised within the validity period. However, once the adjudication body has approved the process and award of the business to the successful bidder(s), the validity of the successful bidder(s)' bid will be deemed to remain valid until a final contract has been concluded.</p>

Any additional information or clarification will be published on the e-Tender portal and Transnet website, if necessary.

2 FORMAL BRIEFING

A compulsory briefing Session will be conducted at virtually on the **25 October 2022, at 11h00am** for a period of ± 1 hour. The briefing session will start punctually, and information will not be repeated for the benefit of Respondents arriving late.

- a) Respondents failing to attend the compulsory RFP briefing will be disqualified.
- b) An Attendance Register will be recorded during the Briefing Session Meeting
- c) Bidders are required to confirm their attendance and to send their contact details including the number of representatives to Matlala.Mabetlela@transnet.net. This is to ensure that Transnet sends the link for the briefing session.

3 PROPOSAL SUBMISSION

Transnet has implemented a new electronic tender submission system, the e-Tender Submission Portal, in line with the overall Transnet digitalization strategy where suppliers can view advertised tenders, register their information, log their intent to respond to bids and upload their bid proposals/responses on to the system.

- a) The Transnet e-Tender Submission Portal can be accessed as follows:
 - Log on to the Transnet eTenders management platform website (<https://www.transnet.net>);
 - Click on "TENDERS";
 - Scroll towards the bottom right hand side of the page;
 - On the blue window click on "register on our new eTender Portal";
 - Click on "ADVERTISED TENDERS" to view advertised tenders;
 - Click on "SIGN IN/REGISTER – for bidder to register their information (must fill in all mandatory information);
 - Click on "SIGN IN/REGISTER" - to sign in if already registered;

- Toggle (click to switch) the “Log an Intent” button to submit a bid;
- Submit bid documents by uploading them into the system against each tender selected.
- No late submissions will be accepted. The bidder guide can be found on the Transnet Portal transnettenders.azurewebsites.net

4 RFP INSTRUCTIONS

- a) Please sign documents [sign, stamp and date the bottom of each page] before uploading them on the system. The person or persons signing the submission must be legally authorised by the respondent to do so.
- b) **All returnable documents tabled in the Proposal Form [Section 5] must be returned with proposals.**
- c) Unless otherwise expressly stated, all Proposals furnished pursuant to this RFP shall be deemed to be offers. Any exceptions to this statement must be clearly and specifically indicated.
- d) Any additional conditions must be embodied in an accompanying letter. Subject only to [Alterations made by the Respondent to Bid Prices] of the General Bid Conditions, (Legal Review) and Section 6 of the RFP, alterations, additions or deletions must not be made by the Respondent to the actual RFP documents.

5 JOINT VENTURES OR CONSORTIUMS

Respondents who would wish to respond to this RFP as a Joint Venture [JV] or consortium with B-BBEE entities, must state their intention to do so in their RFP submission. Such Respondents must also submit a signed JV or consortium agreement between the parties clearly stating the percentage [%] split of business and the associated responsibilities of each party. If at the time of the bid submission such a JV or consortium agreement has not been concluded, the partners must submit confirmation in writing of their intention to enter into a JV or consortium agreement should they be awarded business by Transnet through this RFP process. This written confirmation must clearly indicate the percentage [%] split of business and the responsibilities of each party. In such cases, award of business will only take place once a signed copy of a JV or consortium agreement is submitted to Transnet.

Respondents are to note that for the purpose of Evaluation:

- a JV will be evaluated based on one consolidated B-BBEE score card as per the B-BBEE Preferential Procurement Regulations, 2017 preference point scoring.
- Experience, qualification or certification of Lead Bidder (JV Partner with higher % split of Business) must have the required Experience, qualification or certification, where the split of business is 50/50, Experience, qualification or certification of either member of the JV will be used for evaluation.

6 COMMUNICATION

- a) Specific queries relating to this RFP before the closing date of the RFP should be submitted to the contact person specified in Section 1 of this RFP before **12:00 pm on 04 November 2022**. In the interest of

fairness and transparency Transnet's response to such a query will then be made available to other bidders.

- b) It is prohibited for Respondents to attempt, either directly or indirectly, to canvass any officer or employee of Transnet in respect of this RFP between the closing date and the date of the award of the business.
- c) Respondents found to be in collusion with one another will be automatically disqualified and restricted from doing business with organs of state for a specified period.
- d) Respondents may also, at any time after the closing date of the RFP, communicate with the contact person specified in Section 1 of this RFP on any matter relating to its RFP response
- e) Respondents are to note that changes to its submission will not be considered after the closing date.

7 CONFIDENTIALITY

All information related to this RFP is to be treated with strict confidence. In this regard Respondents are required to certify that they have acquainted themselves with the Non-Disclosure Agreement. All information related to a subsequent contract, both during and after completion thereof, will be treated with strict confidence. Should the need however arise to divulge any information related to this RFP or the subsequent contract, written approval must be obtained from Transnet.

8 COMPLIANCE

The successful Respondent [hereinafter referred to as the **Learning Institutions**] shall be in full and complete compliance with any and all applicable laws and regulations.

9 EMPLOYMENT EQUITY ACT

Respondents must comply with the requirements of the Employment Equity Act 55 of 1998 applicable to it including (but not limited to) Section 53 of the Employment Equity Act.

10 DISCLAIMERS

Respondents are hereby advised that Transnet is not committed to any course of action as a result of its issuance of this RFP and/or its receipt of Proposals. In particular, please note that Transnet reserves the right to:

- a) modify the RFP's Goods/Services and request Respondents to re-bid on any such changes;
- b) reject any Proposal which does not conform to instructions and specifications which are detailed herein;
- c) disqualify Proposals submitted after the stated submission deadline [closing date];
- d) award a contract in connection with this Proposal at any time after the RFP's closing date;
- e) award a contract for only a portion of the proposed Goods/Services which are reflected in the scope of this RFP;
- f) split the award of the contract between more than one Supplier/Learning Institutions, should it at Transnet's discretion be more advantageous in terms of, amongst others, cost or developmental considerations;
- g) cancel the bid process;
- h) validate any information submitted by Respondents in response to this bid. This would include, but is not limited to, requesting the Respondents to provide supporting evidence. By submitting a bid, Respondents hereby irrevocably grant the necessary consent to Transnet to do so;

- i) request audited financial statements or other documentation for the purposes of a due diligence exercise.
- j) not accept any changes or purported changes by the Respondent to the bid rates after the closing date and/or after the award of the business unless the contract specifically provided for it.
- k) to cancel the contract and/request that National Treasury place the Respondent on its Database of Restricted Suppliers for a period not exceeding 10 years, on the basis that a contract was awarded on the strength of incorrect information furnished by the Respondent or on any other basis recognised in law.
- l) to award the business to the next ranked bidder, provided that he/she is still prepared to provide the required Goods/Services at the quoted price, should the preferred bidder fail to sign or commence with the contract within a reasonable period after being requested to do so. Under such circumstances, the validity of the bids of the next ranked bidder(s) will be deemed to remain valid, irrespective of whether the next ranked bidder(s) were issued with a Letter of Regret. Bidders may therefore be requested to advise whether they would still be prepared to provide the required Goods/Services at their quoted price, even after they have been issued with a Letter of Regret.

Note that Transnet will not reimburse any Respondent for any preparatory costs or other work performed in connection with its Proposal, whether or not the Respondent is awarded a contract.

11 LEGAL REVIEW

A Proposal submitted by a Respondent will be subjected to review and acceptance or rejection of its proposed contractual terms and conditions by Transnet's Legal Counsel, prior to consideration for an award of business. A material deviation from the Standard terms or conditions could result in disqualification.

12 SECURITY CLEARANCE

Acceptance of this bid could be subject to the condition that the Successful Respondent, its personnel providing the Goods/Services and its subcontractor(s) must obtain security clearance from the appropriate authorities to the level of **CONFIDENTIAL/ SECRET/TOP SECRET**. Obtaining the required clearance is the responsibility of the Successful Respondent. Acceptance of the bid is also subject to the condition that the Successful Respondent will implement all such security measures as the safe performance of the contract may require.

13 NATIONAL TREASURY'S CENTRAL SUPPLIER DATABASE

Respondents are required to self-register on National Treasury's Central Supplier Database (CSD) which has been established to centrally administer supplier information for all organs of state and facilitate the verification of certain key supplier information. Respondents must register on the CSD prior to submitting their bids. Business may not be awarded to a Respondent who has failed to register on the CSD. Only foreign suppliers with no local registered entity need not register on the CSD.

For this purpose, the attached SBD 1 form must be completed and submitted as a mandatory returnable document by the closing date and time of the bid.

14 TAX COMPLIANCE

Respondents must be compliant when submitting a proposal to Transnet and remain compliant for the entire contract term with all applicable tax legislation, including but not limited to the Income Tax Act, 1962 (Act No. 58 of 1962) and Value Added Tax Act, 1991 (Act No. 89 of 1991).

It is a condition of this bid that the tax matters of the successful Respondents be in order, or that satisfactory arrangements have been made with South African Revenue Service (SARS) to meet the Respondents tax obligations.

The Tax Compliance status requirements are also applicable to foreign Respondents/ individuals who wish to submit bids.


Where Consortia / Joint Ventures / Sub-contractors are involved, each party must be registered on the Central Supplier Database and their tax compliance status will be verified through the Central Supplier Database.

Transnet urges its clients, suppliers and the general public to report any fraud or corruption to

TIP-OFFS ANONYMOUS:

 **Ethics Helpdesk** (Pty) LTD.
Ethics Management System™

You can choose to be Anonymous or Non-Anonymous on ANY of the platforms
PLEASE RETAIN YOUR REFERENCE NUMBER

				
	<p>AI Voice Bot "Jack" Speak to our AI Voice Chat Bot "JACK", you converse with him like chatting to a human, with the option to record a message and speak to an agent at anytime.</p>	<p>What's App Speak to an Agent via What's App.</p>	<p>Speak to an Agent Speak to an Agent via the platform with no call or data charge</p>	<p>Telegram Speak to an Agent via Telegram</p>
				

SECTION 3: BACKGROUND, OVERVIEW AND SCOPE OF REQUIREMENTS

1 BACKGROUND

In line with the Transnet's Strategic Initiatives, an opportunity exists for Transnet Academy, Faculty of Rail (FoR) to position itself as Africa's Centre of Excellence in service provision and building human capital capabilities through flexible learning pathways that support Transnet Freight Rail's core business needs in the Rail Operations and Rail Engineering environment.

On an annual basis, TFR in affiliation with FoR conducts training needs analysis and deploys initiatives to close skills gaps through various training interventions. Some training programmes are procured from various training Learning Institutions and institutions of higher learning whilst others are done internally from the Transnet Academy through its various faculties. This promotes an environment of structured and systematic learning and continuous professional development of all its employees to enable them performing their duties effectively and efficiently.

Transnet Academy (TA) through the Faculty of Rail (FoR), is committed to provide Learning and Development interventions to all employees of Transnet Freight Rail (TFR) and thereby ensures that there are appropriate rail specific, safety critical, safety related and engineering skills to deliver on its mandate. FoR is also committed to ensure that TFR always has a constant source of future skills and knowledge ahead of demand through an integrated value chain from secondary educational institutions, further education, and higher tertiary institutions through workplace programmes. Opportunities for employee development and training from Learning Institutions are a response to a specific need either in terms of developing new skills, contributing to improved performance, or assisting in the acquisition of knowledge to enhance existing professionalism.

To ensure competitive advantage, the workforce would require Education, Training and Development (ETD) from reputable training providers which will deliver training and on request be able to design and develop internationally recognised part-time engineering qualifications. The current curriculum in South African institutions of higher learning are too generic and does not meet the learning needs of working specialist and professionals seeking to progress in a part-time, work-based blended learning context with the railway industry. It is for this reason that FoR seeks a learning solution that will resolve challenges experienced in the current education and training system.

2 DETAILED SCOPE OF WORK

- 2.1 The purpose of this Scope of Work (SOW) is to provide Learning Institution with the proper guidelines to complete and submit the tender that provisions for the training delivery of courses listed within the scope of this RFP. Furthermore, on request as part of the SOW, the Learning Institution should be able to design, develop and deliver a multi-disciplinary, accredited, bespoke part-time qualifications for operations and engineering programs that are targeted at Artisans, Technicians, Technologists and Engineers.
- 2.2 FoR is seeking a Learning Institution that could support the growth of Transnet's employee's and establish a long-term relationship of providing professional skills, competencies and expertise that can be developed

through continuous learning through specific project exposure, short-term trainings, and more consistent long-term trainings.

- 2.3 The SOW grants the opportunity for Learning Institutions to evaluate the SOW and submit a tender for the courses/programmes that exists within their curriculum and can provide it as and when needed by Transnet.
- 2.4 In addition, the training programmes offered by the Learning Institutions should provide Subject Matter Experts (SME's) that will conduct formal training, offer coaching services, conduct research, and initiate development projects and offer technical support.
- 2.5 The intended in scope requirements for the programmes are as follow:
- a) The Learning Institutions will deliver quality, accredited, adaptable, and customised training to Transnet employees, other internal Transnet clients and external clients. This will close the current and future skills gaps required to address Transnet's strategy and goals. For this purpose, there is a need to establish a Collaboration between Transnet and Learning Institutions.
 - b) The outcome of this collaboration will benefit personnel within the Railway Industry, Artisans, Technicians, Technologist, Engineers, and all other employees of Transnet by:
 1. Providing continued training and education in various streams; Telecommunications, Signaling, Electrical, Metallurgy, Industrial, Civil and Mechanical Fields and Railway Operations.
 2. Availing expertise for teaching and learning through Subject Matter Experts (SME's) as part of curriculum development.
 3. Providing SMEs for lecturing, supervisory and advisory services that are essential for fostering content design, research and development.
 4. Position training as a value-added product for the export sales and geographic expansion drivers such as South African Development Countries (SADC), African and Middle East Countries.
 5. Integrating the results of such research and liaison into the curriculum of the programmes and thereby availing studies to Industry.
- 2.6 The training modules will include module structures, learning outcomes and relevant activities to reinforce learning.
- 2.7 The Programmes should allow a multi-pronged entry which include recognition of prior learning (RPL).
- 2.8 When required to design and develop accredited training programmes, the Learning Institution must conduct a learning gap analysis to determine the eligibility of the programmes to be offered at different NQF levels. Based on the learning gap analysis, the Learning Institutions must design, develop and deliver programs aimed at closing identified learning gaps through RPL or any suitable method.
- 2.9 The Programmes that need to be developed on request should be designed from a work-based approach, targeted for Railway personnel, Artisans, Technicians, Technologists, Engineers and other employees of Transnet.
- 2.10 The Programmes that need to be developed on request should be unit standard aligned to the National

Qualification Framework (NQF) of the South African Qualification Authority (SAQA) and should be pitched at the required level of the targeted railway personnel.

- 2.11 Engineering programmes must be accredited for Continuous Professional Development (CPD) and designed to ensure compliance with the Engineering Council of South Africa (ECSA).
- 2.12 The Learning Institutions should consider where possible to conduct theoretical training, that does not have a practical component, using other alternatives such as hybrid (Classroom and Online) training at Transnet locations / Depots / FoR Campuses to minimise traveling costs; Transnet will provide computer labs/lecture rooms in all Faculty of Rail campuses within Transnet Sites in such instances. Terms and specific requirements for lectures are as follows:
 - a) The lectures shall consist of classroom presentations of the said modules and the Learning Institutions shall conduct classes from 08:00 to 16:00 with a 45-minute lunch break and two teatime breaks of 15 minutes each.
 - b) The lectures shall be conducted Mondays to Fridays excluding any Public Holidays on such days.
- 2.13 The programme content should include, but not limited to:
 - a) Local context from the various institution(s) of higher learning.
 - b) Relevant Original Equipment Manufacturers (OEM's) content to ensure embedded learning of OEM manuals.
 - c) Content specific to the Rail Operation and Engineering Environment of Transnet.
- 2.14 The Learning Institutions will be responsible for Program Leadership Administration.
- 2.15 The Learning Institutions will provide academic lecturers, lecturer capacitation and support.
- 2.16 The Learning Institutions must provide or advise on the learning and development software required for computer lab or simulations and the appropriate software licences in line with professional body expectations.
- 2.17 The Learning Institutions must advise and provide on all requirements per module per qualification with sufficient details. In addition, Learning Institutions must provide detailed specification for curriculum related engineering software and hardware, amongst others:
 - a) Learning Facilities, Materials, etc.
 - b) Machinery and Equipment
 - c) Computers
 - d) Laboratories; etc.
- 2.18 The Learning Institutions ensure that learning could be accessed through internet link or to be sent to students via email.
- 2.19 Each course/program on the SOW will be evaluated individually on its own merit, therefore Learning

Institutions should quote and show the breakdown of the cost for each course/programme on the pricing schedule to show what is included in the price per course/programme.

- 2.20 The Learning Institutions must ensure compliance and be familiar with the following for the duration of the contract:
- a) Lecturers, Assessors and Moderators should be registered in terms of the local and/or internationally Quality Assurance and Accreditation status.
 - b) Will provide program documentation from SAQA indicating a statement of equivalency.
 - c) New courses/programmes will be developed on request based on the training needs expressed by Transnet. Transnet Academy will advise the Learning Institutions of its training requirements for the Learning Institutions to design, develop and deliver short courses on an as and when required basis.
- 2.21 The intended success of the project is founded on the assumption that certain conditions will exist and be provided by the Learning Institution. These assumptions are as follows:
- a) The Learning Institutions will obtain accreditation, quality assure and confer exit qualifications at the Program Approval Event.
 - b) The Learning Institutions will provide a certificate of completion or attendance at the end of each course/programme.
 - c) The Learning Institutions submit program pricing in line with student numbers per annum and per qualification.
 - d) The Learning Institutions must have the ability to deliver programmes within tight timeframes.
 - e) The Learning Institutions must package the learning content in line with the agreed curriculum to arrive at one coherent learning result.
 - f) The Learning Institutions must have the ability to manage a project according to Project Management Principles and relevant Project Management tools.
 - g) f) The Learning Institutions must have the ability to provide preparation work to attendees, as well as ability to follow-up and conduct satisfaction
 - h) survey after training
- 2.22 Transnet will provide to the Learning Institutions the following to enable the Learning Institutions to deliver the programme:
- a) Transnet will provide all the necessary background information, documents and support from the project team.
 - b) Transnet will assist the Learning Institutions with identification of SMEs within Transnet.
 - c) Transnet will assign a Project team and Subject Matter Experts (SMEs) to cooperate with the Learning Institutions, and vice versa in delivering the programme
 - d) Transnet will manage the project on the basis of a clear project plan agreed to between the the

Learning Institutions and Project team.

- e) Transnet will assign Steering committee members to sign off the work produced.
- f) Transnet will provide a list of potential students who qualify for programme eligibility assessments.
- g) Transnet will assist the academic program team with contextualisation of program material customization.
- h) Transnet will provide (where possible) artefacts (models, components, digital or technical drawings) for use as learning materials.
- i) Transnet will provide appropriate 'live' Operations and Engineering challenges or case studies for work-based projects.
- j) Transnet will support the program by securing partnerships with institutions which have structured laboratories as advised by the Learning Institutions as per respective program module.
- k) Transnet will identify roles and career pathways most suited to participation in the program and dissemination of program information to Line Managers to ensure a supportive learning environment.
- l) Transnet, FoR, may extend the programme to other Transnet Operating Divisions and other external third parties at its discretion.
- m) The Intellectual Property (IP) of learning material should comply to the following:
 - 1. All right, title and interest in and to all copyright to the training material developed by the Learning Institution pursuant to this project shall vest in Transnet and the institution shall acknowledge that it has no claim of any nature thereto. The Transnet logo, in line with Transnet's branding specifications as will be provided to the Learning Institution by Transnet, shall appear on all documentation and training materials.
 - 2. All training content and course materials developed shall be exclusively owned by Transnet, except for circumstances where such training content or course material was developed solely by the Learning Institution for a course already in existence in the institution's curriculum; then all right, title and interest to all copyright of such courses shall vest in the Learning Institution.
 - 3. In the event that course material is developed by the Learning Institution for a course and the development of such course material is fully funded by Transnet then all right, title and interest to all copyright shall vest in Transnet.
 - 4. In the event that course material is developed collaboratively by the Learning Institution and Transnet then all right, title and interest to all copyright shall vest in both parties.

2.23 In the process of developing and designing the Training Programmes, the Learning Institutions will engage in the following activities:

- a) Conduct a comprehensive needs analysis (including interviews and consultation sessions) with the Subject Matter Experts (SMEs) and steering committee members to acquire the necessary information that will inform the content of the training modules.
- b) Liaise with Transnet Academy to develop required programmes
- c) Familiarizing themselves with the expectations of the business, learning methodologies for the training modules.

- d) Conduct a consultative session with the Curriculum Development & Assessment Department to discuss instructional design framework and the 'look and feel' of the learner manuals and the suggested layout design templates of the Learner workbooks.
- e) Present the learning programme to the steering committee for approval.
- f) Revise the learning programme based on recommendations made by the Quality Assurers and input by the steering committee.
- g) Pilot the learning programme and incorporate inputs based on the pilot results.

2.24 The methodology to be followed should as a minimum include the following:

- a) The Learning Institutions will provide academic support to enrolled Learners.
- b) The Learning Institutions will provide assignments to the Learners, mark the assignments, and provide feedback to the Learners on the results within 3 (three) weeks of the closing date for the submission of the assignment in question, unless there are exceptional circumstances which would prohibit the Universities from doing so.
- c) Will perform such duties, including administrative duties, as may be related, or required during the Programmes.
- d) The Learning Institutions will submit reports on each Learner's progress to Transnet within four weeks after the close of a module
- e) The Learning Institutions will submit copies of the assignments of the top five Learners in each module to the Transnet Academy within four weeks after the close of a module
- f) The Learning Institutions will update and review the training material of all modules, whereafter it shall submit these to the nominated representative of the Transnet Academy for acceptance and sign-off. The review and update shall correct any deficiencies, inconsistencies, defects, shortcomings, and lacunae discovered since the customization of the training material. The Learning Institutions shall apply its best endeavours to review the training material for the different modules within three (3) months of the date that the Scope of Work shall take effect and shall submit to the Transnet Academy the reviewed training material as and when the review has been completed.
- g) The Learning Institutions will upon the completion of the review of the training material as contemplated above submit the training material to Transnet Academy for acceptance and final accreditation.
- h) The Learning Institutions will, in conjunction with the nominated representative of Transnet Academy, agree on the dates for the training/workshops to be presented by universities in respect of the different modules that exist for the different courses.
- i) The Learning Institutions will render deliverables throughout the Agreement period and in sequence of the course roll out and in good time to allow employees of the Transnet registered as Learners for the different courses to qualify for the certifications/qualifications of those courses.
- j) The Learning Institutions will ensure delivery of all certifications for short courses to all attendees.
- k) The Learning Institutions will confer the qualifications at a formal graduation event.

2.25 Monitoring and Evaluation

- a) Work and Management Arrangements will be as follows:
1. Transnet will evaluate the work of the Learning Institutions according to an agreed project plan and timelines.
 2. The Terms of Reference (ToR) / specifications are issued and will be used to inform the agreement that will be entered into with the Learning Institutions.
 3. The activities necessary for the implementation of this programme will be coordinated by Transnet, FoR;
 4. Each milestone achieved in this project will be approved by Transnet, FoR, before the next step is implemented.
 5. Transnet, FoR reserves the right to evaluate the progress and outcome of the project as well as the quality of the services provided by the Learning Institutions;
 6. Bi-weekly management meetings will be held to review progress with regard to the development of the material.
- b) Measures of Success are as follows:
1. Learning Evaluation is the responsibility of the South African accredited institution and details should be provided of how evaluation will be conducted.
 2. The Learning Institutions will ensure that assessment takes place at all 5 levels of the Phillips model of learning evaluation:
 - Reaction, Satisfaction, & Planned Action
 - Learning (Measures skills and knowledge gains)
 - On-the-job Application and Implementation
 - Business Impact
 - Return on Investment (ROI)
 3. The success of this training programme will be measured by:
 - Enrolments and pass rate.
 - Skills acquisition and application.
 - Return on Investment (ROI)

2.26 Reporting

- a) The Learning Institutions will submit a fortnightly progress report in hard copy and electronic format in a template provided by Transnet, FoR.
- b) All documents submitted by Learning Institutions will include name, project title, date of draft and draft number of the institution.
- c) The Learning Institutions to provide progress report / presentation to the steering committee monthly.
- d) The Learning Institutions will provide Key metrics to steering committee: number of trainees, satisfaction-level per trainer or topic, progression of trainee on specific topics if follow-up is available.

2.27 Delivery Method

- a) The training delivery methodology must be blended learning to accommodate Transnet employees.
 - 1. Where possible, blended learning must include e-learning, visual aids as well as virtual learning capabilities
 - 2. Blended learning approach must include Action Learning Project work and block contact sessions.
 - 3. Case studies / assignments / exams or a work-based project should be part of the learning material.
 - 4. Use modern research learning methodologies

2.28 Below is the list of courses/ Modules required:

Electrical Engineering

No.	Draft Module Name	Brief Outline
1.	Overhead Track Systems (OHTE)	Understanding of the OHTE
2.	Overhead Track Systems (OHTE) & Substation Components	To give more understanding of the interface of OHTE & Substations
3.	Rail Return Circuit, Bonding and Electrolytic Corrosion	to be capacitated on the whole Rail return circuit, earthing and Electrolytic corrosion
4.	Maintenance and Testing of Earthing and Lightning Protection Systems	Importance and correct procedures for Testing Earthing and lightning protection system
5.	Traction Substation Protection	Must have in-depth Knowledge on Protection especially Traction Substation
6.	Energy Management in Traction Environment	To capacitate our Technician and Engineers in Training on Energy Management in Traction Environment
7.	Power Electronics in Traction and Train Environment	To capacitate our Technician and Engineers in Training on Power Electronics in Traction and Train Environment
8.	Substation Design	To enable our engineers to have the skills to design and develop substations
9.	OHTE Design	Design details of various OHTE equipment
10.	Insulation Coordination	Protective devices to give protection against the over voltage to make coordination.
11.	Pantograph Contact Interaction	A process of transferring electrical energy from the contact wire to the pantograph in a dynamic mode where larger currents are transmitted.
13.	Rail Return Circuits, Bonding, Electrolytic Corrosion	Metalic surface is continuously corroded by another metal it is in contact with, due to an electrolyte and the flow of the flow of an electrical current between the two metals caused from an external source of the electromotive force. This form of corrosion process was wide spread damage to critical equipment, and ways and means of monitoring, controlling and preventing this cause of damage have been developed and implemented.

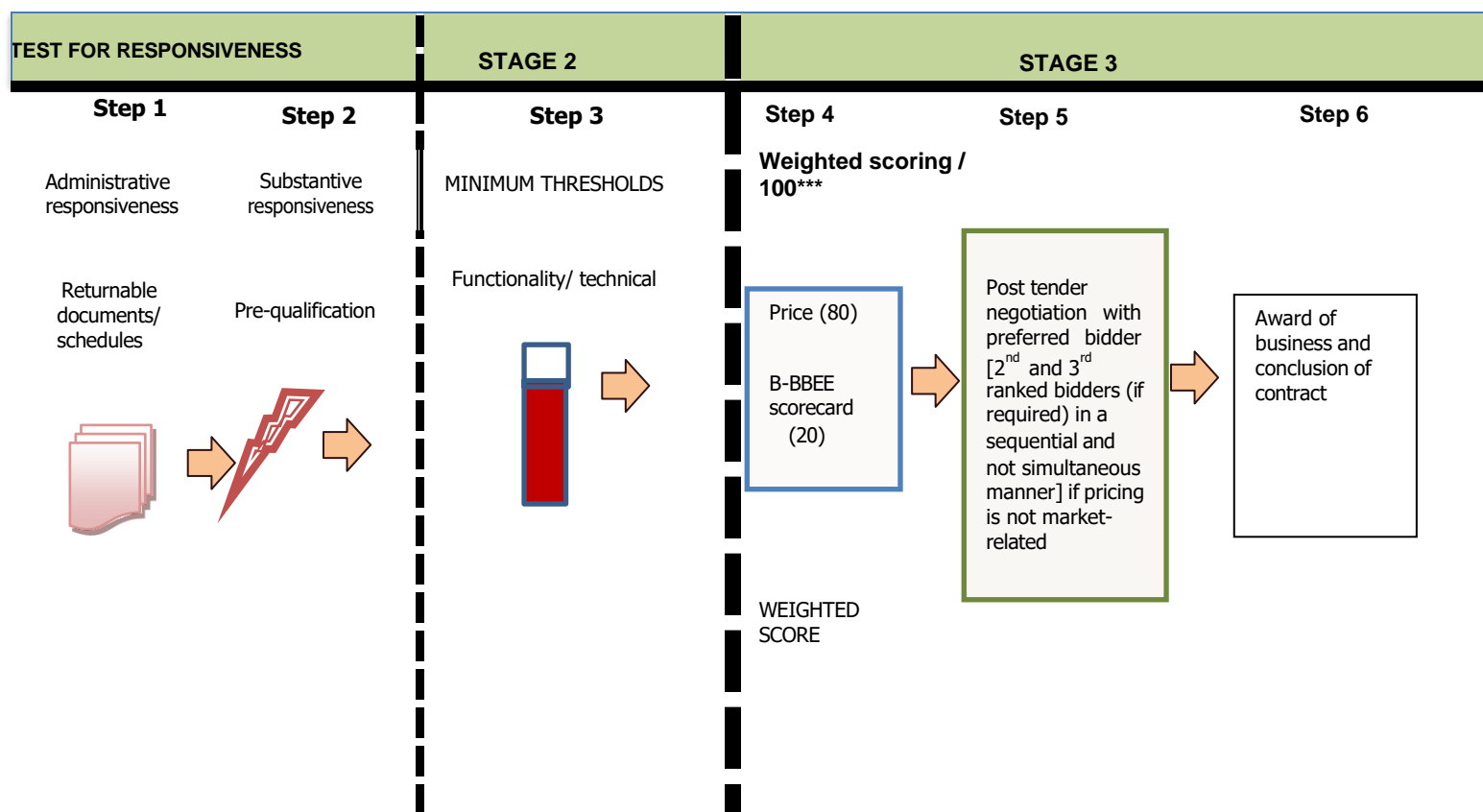
3 GREEN ECONOMY / CARBON FOOTPRINT

Transnet wishes to have an understanding of your company's position with regard to environmental commitments, including key environmental characteristics such as waste disposal, recycling and energy conservation. *Please submit details of your entity's policies in this regard.*

4 GENERAL LEARNING INSTITUTIONS OBLIGATIONS

- 4.1 The Learning Institutions(s) shall be fully responsible to Transnet for the acts and omissions of persons directly or indirectly employed by them.
- 4.2 The Learning Institutions(s) must comply with the requirements stated in this RFP.

Transnet will utilise the following methodology and criteria in selecting a preferred Learning Institutions:



NB: Evaluation of the various stages will normally take place in a sequential manner. However, in order to expedite the process, Transnet reserves the right to conduct the different steps of the evaluation process in parallel. In such instances the evaluation of bidders at any given stage must not be interpreted to mean that bidders have necessarily passed any previous stage(s).

4.3 STEP ONE: Test for Administrative Responsiveness

The test for administrative responsiveness will include the following:

Administrative responsiveness check
<ul style="list-style-type: none"> Whether the Bid has been lodged on time
<ul style="list-style-type: none"> Bidder has signed SECTION 6: Certificate of Acquaintance with RFP Documents
<ul style="list-style-type: none"> Bidder has attended a compulsory briefing session

The test for administrative responsiveness [Step One] must be passed for a Respondent's Proposal to progress to Step Two for further pre-qualification

4.4 STEP TWO: Test for Substantive Responsiveness to RFP

The test for substantive responsiveness to this RFP will include the following:

Check for substantive responsiveness
<ul style="list-style-type: none"> Bidder has completed SECTION 1: SBD1 Form
<ul style="list-style-type: none"> Bidder has submitted a Priced Offer
<ul style="list-style-type: none"> Bidder must be a registered Public Learning Institution or Learning Institution of Technology Registered with South African Department of Higher Education

The test for substantive responsiveness [Step Two] must be passed for a Respondent's proposal to progress to Step Three for further evaluation

4.5 STEP THREE: Minimum Threshold 70 points for Technical Criteria

The test for the Technical and Functional threshold will include the following:

Evaluation Criteria	Maximum Points
<ul style="list-style-type: none"> Bidders experience in delivering short programmes listed in the scope of work (SOW) accredited with ECSA for Continuous Professional Development (CPD) Bidder has provided no client reference for delivering any courses of programmes listed in the scope of work (SOW) [0] Bidder has provided client reference showcasing less than 5-year experience for delivering any courses of programmes listed in the scope of work (SOW) [4] Bidder has provided client reference showcasing more than 5 but less than 10-year experience for delivering any courses of programmes listed in the scope of work (SOW) [7] Bidder has provided client reference showcasing more than 10-year experience for delivering any courses of programmes listed in the scope of work (SOW) [10] <p>Provide all supporting documents.</p>	10

<p>Bidder Experience in designing engineering related Programmes / curriculum stipulated in the Scope of Work for the railway industry</p> <ul style="list-style-type: none"> • Bidder has provided no client reference for designing engineering related Programmes / curriculum within the railway industry [0] • Bidder has provided client reference for designing less than 2 engineering related Programmes / curriculum within the railway industry [3] • Bidder has provided client reference for designing less than 5 engineering related Programmes / curriculum within the railway industry [5] • Bidder has provided client reference for designing less than 15 engineering related Programmes / curriculum within the railway industry [7] • Bidder has provided client reference for designing more than 15 engineering related Programmes / curriculum within the railway industry [10] <p>Provide all supporting documents.</p>	<p>10</p>
<p>Bidder Experience in designing, developing and providing railway or engineering related Programmes in a classroom environment for Related Industry</p> <ul style="list-style-type: none"> • Bidder has provided no client reference [0] • Bidder has provided 1 client reference [5] • Bidder has provided more than 2 client references [10] <p>Provide all supporting documents.</p>	<p>10</p>
<p>Bidder Experience in designing, developing and providing railway or engineering related Programmes electronic (online) for Related Industry</p> <ul style="list-style-type: none"> • Bidder has provided no client reference [0] • Bidder has provided 1 client reference [5] • Bidder has provided more than 2 client references [10] 	<p>10</p>

Bidder Key Personnel Senior Resource experience in training, designing, development and managing of railway or engineering related programmes for a classroom environment. <ul style="list-style-type: none"> No client reference and CV's of Senior resource [0] 1 client reference and CV's of Senior resource provided [15] Over 2 client references and CV's of Senior Resource provided [20] *Provide all supporting documents.	20
Bidder Key Personnel Senior Resource experience in training, designing, development and manging of railway or engineering related programmes for an electronic (Online) environment. <ul style="list-style-type: none"> No client reference and CV's of Senior resource [0] 1 client reference and CV's of Senior resource provided [15] Over 2 client references and CV's of Senior Resource provided [20] *Provide all supporting documents.	20
Bidder's Turnaround Times <ul style="list-style-type: none"> Bidder confirm to provide required resources more than 15 days after receiving order [0] Bidder confirm to provide required resource within 12 days after receiving order [4] Bidder confirm to provide required resource within 10 days after receiving order [7] Bidder confirm to provide required resource within 7 days after receiving order [10] 	10
Key Personnel Accreditation Bidders has provided proof of CV's accompanied by relevant certification to provide lectures in railway or engineering related programmes for Resources that will be allocated to the project for the provision of Training for each course/programme that the bidder tendered: <ul style="list-style-type: none"> 1x Senior Lecturer [5] 1x Junior Lecturer [5] *Provide all supporting documents.	10
Total Score	100
Minimum Threshold	70

The minimum threshold for technical/functionality [Step Three] must be met or exceeded for a Respondent's Proposal to progress to Step Four for final evaluation

4.6 STEP FOUR: Evaluation and Final Weighted Scoring**a) Price Criteria** [Weighted score 80 points]:

Transnet will utilise the following formula in its evaluation of Price:

$$PS = 80 \left(1 - \frac{Pt - Pmin}{Pmin} \right)$$

Where:

Ps = Score for the Bid under consideration

Pt = Price of Bid under consideration

$Pmin$ = Price of lowest acceptable Bid

b) Broad-Based Black Economic Empowerment criteria [Weighted score 20 points]

- B-BBEE - current scorecard / B-BBEE Preference Points Claims Form
- Preference points will be awarded to a bidder for attaining the B-BBEE status level of contribution in accordance with the table indicated in Section 4.1 of the B-BBEE Preference Points Claim Form.

SUMMARY: Applicable Thresholds and Final Evaluated Weightings

Evaluation Criteria	Final Weighted Scores
Price	80
B-BBEE - Scorecard	20
TOTAL SCORE:	100

4.7 STEP FIVE: Post Tender Negotiations

- Respondents are to note that Transnet may not award a contract if the price offered is not market-related. In this regard, Transnet reserves the right to engage in PTN with the view to achieving a market-related price or to cancel the tender. Negotiations will be done in a sequential manner i.e.:
 - first negotiate with the highest ranked bidder or cancel the bid, should such negotiations fail,
 - negotiate with the 2nd and 3rd ranked bidders (if required) in a sequential manner.
- In the event of any Respondent being notified of such short-listed/preferred bidder status, his/her bid, as well as any subsequent negotiated best and final offers (BAFO), will automatically be deemed to remain valid during the negotiation period and until the ultimate award of business.
- Should Transnet conduct post tender negotiations, Respondents will be requested to provide their best and final offers to Transnet based on such negotiations. Where a market related price has been achieved through negotiation, the contract will be awarded to the successful Respondent(s).

4.8 STEP SIX: Award of business and conclusion of contract

- Immediately after approval to award the contract has been received, the successful bidder(s) will be informed of the acceptance of his/their Bid by way of a Letter of Award. Thereafter the final contract will be concluded with the successful Respondent(s).
- A final contract will be concluded and entered into with the successful Bidder at the acceptance of a letter of award by the Respondent.

SECTION 4: PRICING AND DELIVERY SCHEDULE

Respondents are required to complete the table below:

Bidders are required to only provide Pricing for items they offer. Bidders will be evaluated on Items offered

See attached ANNEXURE : Pricing Schedule

Respondents are to note that Transnet will round off final pricing scores to the nearest 2 (two) decimal places.

Notes to Pricing:

- a) Respondents are to note that if the price offered by the highest scoring bidder is not market-related, Transnet may not award the contract to that Respondent. Transnet may-
 - (i) negotiate a market-related price with the Respondent scoring the highest points or cancel the RFP;
 - (ii) if that Respondent does not agree to a market-related price, negotiate a market-related price with the Respondent scoring the second highest points or cancel the RFP;
 - (iii) if the Respondent scoring the second highest points does not agree to a market-related price, negotiate a market-related price with the Respondent scoring the third highest points or cancel the RFP.If a market-related price is not agreed with the Respondent scoring the third highest points, Transnet must cancel the RFP.
- b) Prices must be quoted in South African Rand.
- c) Any disbursement not specifically priced for will not be considered/accepted by Transnet.
- d) To facilitate like-for-like comparison bidders must submit pricing strictly in accordance with this pricing schedule and not utilise a different format. Deviation from this pricing schedule could result in a bid being declared non-responsive.

1. DISCLOSURE OF CONTRACT INFORMATION**PRICES TENDERED**

Respondents are to note that, on award of business, Transnet is required to publish the tendered prices of the successful and unsuccessful Respondents *inter alia* on the National Treasury e-Tender Publication Portal, (www.etenders.gov.za), as required per National Treasury Instruction Note 01 of 2015/2016.

JOHANNESBURG STOCK EXCHANGE DEBT LISTING REQUIREMENTS

Transnet may also be required to disclose information relating to the subsequent contract i.e. the name of the company, goods/services provided by the company, the value and duration of the contract, etc. in compliance with the Johannesburg Stock Exchange (JSE) Debt Listing Requirements.

DOMESTIC PROMINENT INFLUENTIAL PERSONS (DPIP) OR FOREIGN PROMINENT PUBLIC OFFICIALS (FPPO)

Transnet is free to procure the services of any person within or outside the Republic of South Africa in accordance with applicable legislation. Transnet shall not conduct or conclude business transactions, with any Respondents without having:

- Considered relevant governance protocols;
- Determined the DPIP or FPPO status of that counterparty; and
- Conducted a risk assessment and due diligence to assess the potential risks that may be posed by the business relationship.

As per the Transnet Domestic Prominent Influential Persons (DPIP) and Foreign Prominent Public Officials (FPPO) and Related Individuals Policy available on Transnet website <https://www.transnet.net/search/pages/results.aspx?k=FPIDP#k=DPIP>, Respondents are required to disclose any commercial relationship with a DPIP or FPPO (as defined in the Policy) by completing the following section:

The below form contains personal information as defined in the Protection of Personal Information Act, 2013 (the "Act"). By completing the form, the signatory consents to the processing of her/his personal information in accordance with the requirements of the Act. Consent cannot unreasonably be withheld.					
Is the Respondent (Complete with a "Yes" or "No")					
A DPIP/FPPO		Closely Related to a DPIP/FPPO		Closely Associated to a DPIP/FPPO	
List all known business interests, in which a DPIP/FPPO may have a direct/indirect interest or significant participation or involvement.					
No	Name of Entity / Business	Role in the Entity / Business (Nature of interest/ Participation)	Shareholding %	Registration Number	Status (Mark the applicable option with an X)
					Active Non-Active

1						
2						
3						

Respondents declaring a commercial relationship with a DPIIP or FPPO are to note that Transnet is required to annually publish on its website a list of all business contracts entered into with DPIIP or FPPO. This list will include successful Respondents, if applicable.

2. SERVICE LEVELS

- 2.1 An experienced account representative(s) is required to work with Transnet's procurement department.
- 2.2 Transnet will have contract reviews with the Learning Institutions's account representative on an on-going basis.
- 2.3 Transnet reserves the right to request that any member of the Learning Institutions's team involved on the Transnet account be replaced if deemed not to be adding value for Transnet.
- 2.4 The Learning Institutions guarantees that it will meet set service level agreement for the scope of work:

SECTION 5: PROPOSAL FORM AND LIST OF RETURNABLE DOCUMENTS

I/We _____
[name of entity, company, close corporation or partnership] of *[full address]*

carrying on business trading/operating as

represented by _____
 in my capacity as _____

being duly authorised thereto by a Resolution of the Board of Directors or Members or Certificate of Partners, dated _____ to enter into, sign execute and complete any documents relating to this proposal and any subsequent Agreement. The following list of persons are hereby authorised to negotiate on behalf of the abovementioned entity, should Transnet decide to enter into Post Tender Negotiations with highest ranked bidder(s).

FULL NAME(S)	CAPACITY	SIGNATURE
_____	_____	_____
_____	_____	_____
_____	_____	_____

I/We hereby offer to supply/provide the abovementioned Goods/Services at the prices quoted in the schedule of prices in accordance with the terms set forth in the documents listed in the accompanying schedule of RFP documents.

I/We agree to be bound by those conditions in Transnet's:

- (i) Master Agreement (which may be subject to amendment at Transnet's discretion if applicable);
- (ii) General Bid Conditions; and
- (iii) any other standard or special conditions mentioned and/or embodied in this Request for Proposal.

I/We accept that unless Transnet should otherwise decide and so inform me/us in the letter of award, this Proposal [and, if any, its covering letter and any subsequent exchange of correspondence], together with Transnet's acceptance thereof shall constitute a binding contract between Transnet and me/us.

Should Transnet decide that a formal contract should be signed and so inform me/us in a letter of award [the **Letter of Award**], this Proposal [and, if any, its covering letter and any subsequent exchange of correspondence] together with Transnet's Letter of Award, shall constitute a binding contract between Transnet and me/us until the formal contract is signed.

I/We further agree that if, after I/we have been notified of the acceptance of my/our Proposal, I/we fail to enter into a formal contract if called upon to do so, or fail to commence the supply/provision of Goods/Services within 2 [two] weeks thereafter, Transnet may, without prejudice to any other legal remedy which it may have, recover from me/us any expense to which it may have been put in calling for Proposals afresh and/or having to accept any less favourable Proposal.

Furthermore, I/we agree to a penalty clause/s which will allow Transnet to invoke a penalty against us for non-compliance with material terms of this RFP including the delayed delivery of the Goods/Services due to non-performance by ourselves, , etc.

I/we agree that non-compliance with any of the material terms of this RFP, including those mentioned above, will constitute a material breach of contract and provide Transnet with cause for cancellation.

ADDRESS FOR NOTICES

The law of the Republic of South Africa shall govern any contract created by the acceptance of this RFP. The *domicilium citandi et executandi* shall be a place in the Republic of South Africa to be specified by the Respondent hereunder, at which all legal documents may be served on the Respondent who shall agree to submit to the jurisdiction of the courts of the Republic of South Africa. Foreign Respondents shall, therefore, state hereunder the name of their authorised representative in the Republic of South Africa who has the power of attorney to sign any contract which may have to be entered into in the event of their Proposal being accepted and to act on their behalf in all matters relating to such contract.

Respondent to indicate the details of its *domicilium citandi et executandi* hereunder:

Name of Entity:

Facsimile: _____

Address: _____

NOTIFICATION OF AWARD OF RFP

As soon as possible after approval to award the contract(s), the successful Respondent [**the Supplier/Learning Institutions**] will be informed of the acceptance of its Proposal. Unsuccessful Respondents will be advised in writing of the name of the successful Supplier/Learning Institutions and the reason as to why their Proposals have been unsuccessful, for example, in the category of price, delivery period, quality, B-BBEE status or for any other reason.

VALIDITY PERIOD

Transnet requires a validity period of 180 Business Days [from closing date] against this RFP, excluding the first day and including the last day.

NAME(S) AND ADDRESS / ADDRESSES OF DIRECTOR(S) OR MEMBER(S)

The Respondent must disclose hereunder the full name(s) and address(s) of the director(s) or members of the company or close corporation [**C.C.**] on whose behalf the RFP is submitted.

(i) Registration number of company / C.C. _____

(ii) Registered name of company / C.C. _____

(iii) Full name(s) of director/member(s) Address/Addresses ID Number(s)

RETURNABLE DOCUMENTS

Returnable Documents means all the documents, Sections and Annexures, as listed in the tables below. **All Returnable Sections, as indicated in the header and footer of the relevant pages, must be signed, stamped and dated by the Respondent.**

RETURNABLE DOCUMENTS & SCHEDULES	SUBMITTED [Yes or No]
SECTION 1: SBD1 Form	
SECTION 4: Pricing and Delivery Schedule	
Annexure D: Valid proof of Respondent's compliance to B-BBEE requirements stipulated in Section 9 of this RFP	
Annexure E: In the case of Joint Ventures, a copy of the Joint Venture Agreement or written confirmation of the intention to enter into a Joint Venture Agreement	
Annexure F: Bidder's TAX Compliance confirmation and PIN	
Annexure G: Bidder's experience Classroom based	
Annexure H: Bidders experience Online	
Annexure I: Bidder's Key Personnel Experience	
Annexure J: Bidder's Turnaround Times	
SECTION 5: Proposal Form and List of Returnable documents	
SECTION 6: Certificate Of Acquaintance with RFP, Terms & Conditions & Applicable Documents	
SECTION 7: RFP Declaration and Breach of Law Form	
SECTION 8: B-BBEE Preference Claim Form	
SECTION 9: Protection of Personal Information	

Annexure D:

Valid proof of Respondent's compliance to B-BBEE requirements stipulated in Section 9 of this RFP

Annexure E:

In the case of Joint Ventures, a copy of the Joint Venture Agreement or written confirmation of the intention to enter into a Joint Venture Agreement

Annexure F:
Bidder to attach TAX compliance status and PIN

Bidder's experience

Relevant Industry include: State Owned Companies; Municipality; Government Departments; Logistics; Banking; Mining; Petrochemical; Aviation; Telecommunication.

[illegible]

Bidder Experience in designing, developing and providing Railway or Engineering related Programmes Online in a relevant industry

[illegible]

Annexure I:
Bidder's Key Personnel Experience

Using the format below, provide information on key personnel proposed.

Name and Surname					
Proposed position for the Position			Senior Resource - (Classroom based Programme)		
Professional Membership					
Date of Birth					
Nationality					
Qualifications					
Experience					
Employer	Client	Client Contact (Name, Contact Number, Email address)	Scope of work Description	Scope of work Value (Incl. VAT)	Scope of Work Duration (From -To)

Name and Surname					
Proposed position for the Position			Senior Resource - (Electronic -Online based Programme)		
Professional Membership					
Date of Birth					
Nationality					
Qualifications					
Experience					
Employer	Client	Client Contact (Name, Contact Number, Email address)	Scope of work Description	Scope of work Value (Incl. VAT)	Scope of Work Duration (From -To)

Bidder to attach Proof of certification to provide lectures in Railway or Engineering related programmes for all Resources

Name and Surname			
Proposed position for the Position		Senior Lecturer	
Professional Membership			
Date of Birth			
Nationality			
Qualifications			
Accreditation/Certification in Railway or Engineering related Programmes			

Name and Surname			
Proposed position for the Position		Junior Lecturer	
Professional Membership			
Date of Birth			
Nationality			

Qualifications	
Accreditation/Certification in Railway or Engineering related Programme	

Name and Surname	
Proposed position for the Position	Senior Assessor
Professional Membership	
Date of Birth	
Nationality	
Qualifications	
Accreditation/Certification in Railway or Engineering related Programmes	

Name and Surname	
Proposed position for the Position	Junior Assessor
Professional Membership	
Date of Birth	
Nationality	
Qualifications	
Accreditation/Certification in Railway or Engineering Programmes	

Name and Surname	
Proposed position for the Position	Senior Moderator
Professional Membership	
Date of Birth	
Nationality	
Qualifications	
Accreditation/Certification in Railway or Engineering related Programmes	

Name and Surname	
Proposed position for the Position	Junior Moderator
Professional Membership	
Date of Birth	
Nationality	
Qualifications	
Accreditation/Certification in Railway or Engineering related Programmes	

Annexure J:**Bidder's Turnaround Times**

	Mark with [X] the applicable
Bidder confirm to provide required resources to deliver a specific project more than 8 days after receiving order	
Bidder confirm to provide required resource to deliver a specific project within 7 days after receiving order	
Bidder confirm to provide required resource to deliver a specific project within 5 days after receiving order	
Bidder confirm to provide required resource to deliver a specific project within 3 days after receiving order	

CONTINUED VALIDITY OF RETURNABLE DOCUMENTS

The successful Respondent will be required to ensure the validity of all returnable documents, including but not limited to its valid proof of B-BBEE status, for the duration of any contract emanating from this RFP. Should the Respondent be awarded the contract [**the Agreement**] and fail to present Transnet with such renewals as and when they become due, Transnet shall be entitled, in addition to any other rights and remedies that it may have in terms of the eventual Agreement, to terminate such Agreement immediately without any liability and without prejudice to any claims which Transnet may have for damages against the Respondent.

SIGNED at _____ on this _____ day of _____ 20__

SIGNATURE OF WITNESSES

ADDRESS OF WITNESSES

1 _____

Name _____

2 _____

Name _____

SIGNATURE OF RESPONDENT'S AUTHORISED REPRESENTATIVE: _____

NAME: _____

DESIGNATION: _____

SECTION 6: CERTIFICATE OF ACQUAINTANCE WITH RFP, MASTER AGREEMENT & APPLICABLE DOCUMENTS

By signing this certificate the Respondent is deemed to acknowledge that he/she has made himself/herself thoroughly familiar with, and agrees with all the conditions governing this RFP. This includes those terms and conditions contained in any printed form stated to form part hereof, including but not limited to the documents stated below. As such, Transnet SOC Ltd will recognise no claim for relief based on an allegation that the Respondent overlooked any such term or condition or failed properly to take it into account for the purpose of calculating tendered prices or any other purpose:

1	Transnet's General Bid Conditions
2	Transnet's Supplier Integrity Pact
3	Non-disclosure Agreement

Note: Should a Respondent be successful and awarded the bid, they will be required to complete a Supplier Declaration Form for registration as a vendor onto the Transnet vendor master database.

Should the Bidder find any terms or conditions stipulated in any of the relevant documents quoted in the RFP unacceptable, it should indicate which conditions are unacceptable and offer alternatives by written submission on its company letterhead, attached to its submitted Bid. Any such submission shall be subject to review by Transnet's Legal Counsel who shall determine whether the proposed alternative(s) are acceptable or otherwise, as the case may be. A material deviation from any term or condition may result in disqualification.

Bidders accept that an obligation rests on them to clarify any uncertainties regarding any bid to which they intend to respond on, before submitting the bid. **The Bidder agrees that he/she will have no claim or cause of action based on an allegation that any aspect of this RFP was unclear but in respect of which he/she failed to obtain clarity.**

The bidder understands that his/her Bid will be disqualified if the Certificate of Acquaintance with RFP documents included in the RFP as a returnable document, is found not to be true and complete in every respect.

SIGNED at _____ on this _____ day of _____ 20__

SIGNATURE OF WITNESSES

ADDRESS OF WITNESSES

1 _____

Name _____

2 _____

Name _____

SIGNATURE OF RESPONDENT'S AUTHORISED REPRESENTATIVE: _____

NAME: _____

DESIGNATION: _____

SECTION 7: RFP DECLARATION AND BREACH OF LAW FORM

NAME OF ENTITY: _____

We _____ do hereby certify that:

1. Transnet has supplied and we have received appropriate responses to any/all questions [as applicable] which were submitted by ourselves for RFP Clarification purposes;
2. We have received all information we deemed necessary for the completion of this Request for Proposal [**RFP**];
3. We have been provided with sufficient access to the existing Transnet facilities/sites and any and all relevant information relevant to the Goods/Services as well as Transnet information and Employees, and have had sufficient time in which to conduct and perform a thorough due diligence of Transnet's operations and business requirements and assets used by Transnet. Transnet will therefore not consider or permit any pre- or post-contract verification or any related adjustment to pricing, service levels or any other provisions/conditions based on any incorrect assumptions made by the Respondent in arriving at his Bid Price.
4. At no stage have we received additional information relating to the subject matter of this RFP from Transnet sources, other than information formally received from the designated Transnet contact(s) as nominated in the RFP documents;
5. We are satisfied, insofar as our entity is concerned, that the processes and procedures adopted by Transnet in issuing this RFP and the requirements requested from Bidders in responding to this RFP have been conducted in a fair and transparent manner;
6. We have complied with all obligations of the Bidder/Supplier as indicated in the Transnet Supplier Integrity which includes but are not limited to ensuring that we take all measures necessary to prevent corrupt practices, unfairness and illegal activities in order to secure or in furtherance to secure a contract with Transnet;
7. We declare that a family, business and/or social relationship **exists / does not exist** [delete as applicable] between an owner / member / director / partner / shareholder of our entity and an employee or board member of the Transnet Group including any person who may be involved in the evaluation and/or adjudication of this Bid;
8. We declare that an owner / member / director / partner / shareholder of our entity **is / is not** [delete as applicable] an employee or board member of Transnet;
9. In addition, we declare that an owner / member / director / partner / shareholder/employee of our entity **has / has not been** [delete as applicable] a former employee or board member of Transnet in the past 10 years. I further declare that if they were a former employee or board member of Transnet in the past 10 years that they **were/were not** involved in the bid preparation or had access to the information related to this RFP; and

10. If such a relationship as indicated in paragraph 7, 8 and/or 9 exists, the Respondent is to complete the following section:

FULL NAME OF OWNER/MEMBER/DIRECTOR/

PARTNER/SHAREHOLDER/EMPLOYEE:

ADDRESS:

Indicate nature of relationship with Transnet:

[Failure to furnish complete and accurate information in this regard will lead to the disqualification of a response and may preclude a Respondent from doing future business with Transnet. Information provided in the declarations may be used by Transnet and/or its affiliates to verify the correctness of the information provided]

11. We declare, to the extent that we are aware or become aware of any relationship between ourselves and Transnet [other than any existing and appropriate business relationship with Transnet] which could unfairly advantage our entity in the forthcoming adjudication process, we shall notify Transnet immediately in writing of such circumstances.

BIDDER'S DISCLOSURE (SBD4)

12 PURPOSE OF THE FORM

12.1 Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

12.2 Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

13 Bidder's declaration

13.1 Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest¹ in the enterprise, employed by the state?

YES/NO

13.1.1. If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

Full Name	Identity Number	Name of State institution
-----------	-----------------	---------------------------

¹ the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.

13.2 Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution?

YES/NO

13.2.1. If so, furnish particulars:

.....

13.3 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are bidding for this contract?

YES/NO

13.3.1. If so, furnish particulars:

.....

14 DECLARATION

I, the undersigned, (name).....in submitting the accompanying bid, do hereby make the following statements that I certify to be true and complete in every respect:

14.1 I have read and I understand the contents of this disclosure;

14.2 I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect;

14.3 The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium² will not be construed as collusive bidding.

² Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

- 14.4 In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.
- 14.5 The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.
- 14.6 There have been no consultations, communications, agreements or arrangements made by the bidder with any official of the procuring institution in relation to this procurement process prior to and during the bidding process except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.
- 14.7 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 12, 13 and 14 ABOVE IS CORRECT.

I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

BREACH OF LAW

We further hereby certify that *I/we* (the bidding entity and/or any of its directors, members or partners) ***have/have not been*** [delete as applicable] found guilty during the preceding 5 [five] years of a serious breach of law, including but not limited to a breach of the Competition Act, 89 of 1998, by a court of law, tribunal or other administrative body. The type of breach that the Respondent is required to disclose excludes relatively minor offences or misdemeanours, e.g. traffic offences. This includes the imposition of an administrative fine or penalty.

Where found guilty of such a serious breach, please disclose:

NATURE OF BREACH:

DATE OF BREACH: _____

Furthermore, I/we acknowledge that Transnet SOC Ltd reserves the right to exclude any Respondent from the bidding process, should that person or entity have been found guilty of a serious breach of law, tribunal or regulatory obligation.

SIGNED at _____ on this _____ day of _____ 20____

For and on behalf of _____ duly authorised hereto	AS WITNESS:
Name:	Name:
Position:	Position:
Signature:	Signature:
Date:	Registration No of Company/CC
Place:	Registration Name of Company/CC

SECTION 8: B-BBEE PREFERENCE POINTS CLAIM FORM

This preference form must form part of all bids invited. It contains general information and serves as a claim for preference points for Broad-Based Black Economic Empowerment [**B-BBEE**] Status Level of Contribution.

Transnet will award preference points to companies who provide valid proof of their B-BBEE status using either the latest version of the generic Codes of Good Practice or Sector Specific Codes (if applicable).

NB: BEFORE COMPLETING THIS FORM, BIDDERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF B-BBEE, AS PRESCRIBED IN THE PREFERENTIAL PROCUREMENT REGULATIONS, 2017.

1. GENERAL CONDITIONS

1.1 The following preference point systems are applicable to all bids:

- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
- the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

1.2 The value of this bid is estimated to below R50 000 000 (all applicable taxes included) and therefore the 80/20 preference point system shall be applicable. Despite the stipulated preference point system, Transnet shall use the lowest acceptable bid to determine the applicable preference point system in a situation where all received acceptable bids are received outside the stated preference point system.

1.3 Preference points for this bid shall be awarded for:

- (a) Price; and
- (b) B-BBEE Status Level of Contribution.

1.4 The maximum points for this bid are allocated as follows:

	POINTS
PRICE	80
B-BBEE STATUS LEVEL OF CONTRIBUTION	20
Total points for Price and B-BBEE must not exceed	100

1.5 Failure on the part of a bidder to submit proof of B-BBEE status level of contributor together with the bid will be interpreted to mean that preference points for B-BBEE status level of contribution are not claimed.

1.6 The purchaser reserves the right to require of a bidder, either before a bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the purchaser.

2. DEFINITIONS

- (a) **"all applicable taxes"** includes value-added tax, pay as you earn, income tax, unemployment insurance fund contributions and skills development levies;
- (b) **"B-BBEE"** means broad-based black economic empowerment as defined in section 1 of the Broad-Based Black Economic Empowerment Act;
- (c) **"B-BBEE status level of contributor"** means the B-BBEE status received by a measured entity based on its overall performance using the relevant scorecard contained in the Codes of Good Practice on Black Economic Empowerment, issued in terms of section 9(1) of the Broad-Based Black Economic Empowerment Act;
- (d) **"bid"** means a written offer in a prescribed or stipulated form in response to an invitation by an organ of state for the supply/provision of services, works or goods, through price quotations, advertised competitive bidding processes or proposals;
- (e) **"Broad-Based Black Economic Empowerment Act"** means the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);
- (f) **"EME"** means an Exempted Micro Enterprise as defines by Codes of Good Practice under section 9 (1) of the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);
- (g) **"functionality"** means the ability of a bidder to provide goods or services in accordance with specification as set out in the bid documents
- (h) **"Price"** includes all applicable taxes less all unconditional discounts.
- (i) **"Proof of B-BBEE Status Level of Contributor"**
 - i) the B-BBBEE status level certificate issued by an authorised body or person;
 - ii) a sworn affidavit as prescribed by the B-BBEE Codes of Good Practice; or
 - iii) any other requirement prescribed in terms of the B-BBEE Act.
- (j) **"QSE"** means a Qualifying Small EEnterprise as defines by Codes of Good Practice under section 9 (1) of the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);
- (k) **"rand value"** means the total estimated value of a contract in South African currency, calculated at the time of bid invitations, and includes all applicable taxes and excise duties.

3. POINTS AWARDED FOR PRICE**3.1 THE 0/10 PREFERENCE POINT SYSTEMS**

A maximum of 20 points is allocated for price on the following basis:

80/20

$$P_s = 80 \left(1 - \frac{P_t - P_{\min}}{P_{\min}} \right)$$

Where

Ps	=	Points scored for comparative price of bid under consideration
Pt	=	Comparative price of bid under consideration
Pmin	=	Comparative price of lowest acceptable bid

4. POINTS AWARDED FOR B-BBEE STATUS LEVEL OF CONTRIBUTION

- 4.1 In terms of Regulation 6 (2) and 7 (2) of the Preferential Procurement Regulations, preference points must be awarded to a bidder for attaining the B-BBEE status level of contribution in accordance with the table below:

B-BBEE Status Level of Contributor	Number of points (80/20 system)
1	20
2	18
3	14
4	12
5	8
6	6
7	4
8	1
Non-compliant contributor	0

- 4.2 The table below indicates the required proof of B-BBEE status depending on the category of enterprises:

Enterprise	B-BBEE Certificate & Sworn Affidavit
Large	Certificate issued by SANAS accredited verification agency
QSE	<p>Certificate issued by SANAS accredited verification agency</p> <p>Sworn Affidavit signed by the authorised QSE representative and attested by a Commissioner of Oaths confirming annual turnover and black ownership (only black-owned QSEs - 51% to 100% Black owned)</p> <p>[Sworn affidavits must substantially comply with the format that can be obtained on the DTI's website at www.dti.gov.za/economic_empowerment/bee_codes.jsp.]</p>
EME³	<p>Sworn Affidavit signed by the authorised EME representative and attested by a Commissioner of Oaths confirming annual turnover and black ownership</p> <p>Certificate issued by CIPC (formerly CIPRO) confirming annual turnover and black ownership</p> <p>Certificate issued by SANAS accredited verification agency only if the EME is being measured on the QSE scorecard</p>

³ In terms of the Implementation Guide: Preferential Procurement Regulations, 2017, Version 2, paragraph 11.11 provides that in the Transport Sector, EMEs can provide a letter from accounting officer or get verified and be issued with a B-BBEE certificate by SANAS accredited professional or agency as the Transport Sector Code has not been aligned to the generic Codes. EMEs in the Transport Sector are not allowed to provide a sworn affidavit as the generic codes are not applicable to them.

- 4.3 A trust, consortium or joint venture (including unincorporated consortia and joint ventures) must submit a consolidated B-BBEE Status Level verification certificate for every separate bid.
- 4.4 Tertiary Institutions and Public Entities will be required to submit their B-BBEE status level certificates in terms of the specialized scorecard contained in the B-BBEE Codes of Good Practice.
- 4.5 A person will not be awarded points for B-BBEE status level if it is indicated in the bid documents that such a bidder intends sub-contracting more than 25% of the value of the contract to any other enterprise that does not qualify for at least the points that such a bidder qualifies for, unless the intended sub-contractor is an EME that has the capability and ability to execute the sub-contract.
- 4.6 A person awarded a contract may not sub-contract more than 25% of the value of the contract to any other enterprise that does not have an equal or higher B-BBEE status level than the person concerned, unless the contract is sub-contracted to an EME that has the capability and ability to execute the sub-contract.
- 4.7 Bidders are to note that the rules pertaining to B-BBEE verification and other B-BBEE requirements may be changed from time to time by regulatory bodies such as National Treasury or the DTI. It is the Bidder's responsibility to ensure that his/her bid complies fully with all B-BBEE requirements at the time of the submission of the bid.

5. BID DECLARATION

- 5.1 Bidders who claim points in respect of B-BBEE Status Level of Contribution must complete the following:

6. B-BBEE STATUS LEVEL OF CONTRIBUTION CLAIMED IN TERMS OF PARAGRAPHS 1.4 AND 6.1

- 6.1 B-BBEE Status Level of Contribution: . = (maximum of 20 points)

(Points claimed in respect of paragraph 6.1 must be in accordance with the table reflected in paragraph 4.1 and must be substantiated by relevant proof of B-BBEE status level of contributor.

7. SUB-CONTRACTING

- 7.1 Will any portion of the contract be sub-contracted?

(***Tick applicable box***)

YES		NO	
-----	--	----	--

- 7.1.1 If yes, indicate:

- i) What percentage of the contract will be subcontracted.....%
- ii) The name of the sub-contractor.....
- iii) The B-BBEE status level of the sub-contractor.....

- iv) Whether the sub-contractor is an EME or QSE.

(Tick applicable box)

YES		NO	
-----	--	----	--

- v) Specify, by ticking the appropriate box, if subcontracting with an enterprise in terms of Preferential Procurement Regulations, 2017:

Designated Group: An EME or QSE which is at last 51% owned by:	EME ✓	QSE ✓
Black people		
Black people who are youth		
Black people who are women		
Black people with disabilities		
Black people living in rural or underdeveloped areas or townships		
Cooperative owned by black people		
Black people who are military veterans		
OR		
Any EME		
Any QSE		

8. DECLARATION WITH REGARD TO COMPANY/FIRM

8.1 Name of company/firm:.....

8.2 VAT registration number:.....

8.3 Company registration number:.....

8.4 TYPE OF COMPANY/ FIRM

- ☐ Partnership/Joint Venture / Consortium
- ☐ One person business/sole propriety
- ☐ Close corporation
- ☐ Company
- ☐ (Pty) Limited

[TICK APPLICABLE BOX]

8.5 DESCRIBE PRINCIPAL BUSINESS ACTIVITIES

.....

.....

8.6 COMPANY CLASSIFICATION

- ☐ Manufacturer
- ☐ Supplier
- ☐ Professional Learning Institutions
- ☐ Other Learning Institutionss, e.g. transporter,

etc. [TICK APPLICABLE BOX]

- 8.7 Total number of years the company/firm has been in business:.....
- 8.8 I/we, the undersigned, who is / are duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the B-BBE status level of contribution indicated in paragraphs 1.4 and 6.1 of the foregoing certificate, qualifies the company/ firm for the preference(s) shown and I / we acknowledge that:
- i) The information furnished is true and correct;
 - ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;
 - iii) In the event of a contract being awarded as a result of points claimed as shown in paragraph 1.4 and 6.1, the contractor may be required to furnish documentary proof to the satisfaction of the purchaser that the claims are correct;
 - iv) If a bidder submitted false information regarding its B-BBEE status level of contributor, local production and content, or any other matter required in terms of the Preferential Procurement Regulations, 2017 which will affect or has affected the evaluation of a bid, or where a bidder has failed to declare any subcontracting arrangements or any of the conditions of contract have not been fulfilled, the purchaser may, in addition to any other remedy it may have
 - (a) disqualify the person from the bidding process;
 - (b) recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;
 - (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
 - (d) if the successful bidder subcontracted a portion of the bid to another person without disclosing it, Transnet reserves the right to penalise the bidder up to 10 percent of the value of the contract;
 - (e) recommend that the bidder or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted by the National Treasury from obtaining business from any organ of state for a period not exceeding 10 years, after the audi alteram partem (hear the other side) rule has been applied; and
 - (f) forward the matter for criminal prosecution.

WITNESSES

1.
2.

.....

SIGNATURE(S) OF BIDDERS(S)

DATE:

ADDRESS.....

SECTION 9: PROTECTION OF PERSONAL INFORMATION

1. The following terms shall bear the same meaning as contemplated in Section 1 of the Protection of Person information act, No.4 of 2013.(“POPIA”):

consent; data subject; electronic communication; information officer; operator; person; personal information; processing; record; Regulator; responsible party; special information; as well as any terms derived from these terms.
2. Transnet will process all information by the Respondent in terms of the requirements contemplated in Section 4(1) of the POPIA:

Accountability; Processing limitation; Purpose specification; Further processing limitation; Information quality; Openness; Security safeguards and Data subject participation.
3. The Parties acknowledge and agree that, in relation to personal information that will be processed pursuant to this RFP, the Responsible party is “Transnet” and the Data subject is the “Respondent”. Transnet will process personal information only with the knowledge and authorisation of the Respondent and will treat personal information which comes to its knowledge as confidential and will not disclose it, unless so required by law or subject to the exceptions contained in the POPIA.
4. Transnet reserves all the rights afforded to it by the POPIA in the processing of any of its information as contained in this RFP and the Respondent is required to comply with all prescripts as detailed in the POPIA relating to all information concerning Transnet.
5. In responding to this bid, Transnet acknowledges that it will obtain and have access to personal information of the Respondent. Transnet agrees that it shall only process the information disclosed by Respondent in their response to this bid for the purpose of evaluating and subsequent award of business and in accordance with any applicable law.
6. Transnet further agrees that in submitting any information or documentation requested in this RFP, the Respondent is consenting to the further processing of their personal information for the purpose of, but not limited to, risk assessment, assurances, contract award, contract management, auditing, legal opinions/litigations, investigations (if applicable), document storage for the legislatively required period, destruction, de-identification and publishing of personal information by Transnet and/or its authorised appointed third parties.
7. Furthermore, Transnet will not otherwise modify, amend or alter any personal data submitted by the Respondent or disclose or permit the disclosure of any personal data to any third party without the prior written consent from the Respondent. Similarly, Transnet requires the Respondent to process any personal information disclosed by Transnet in the bidding process in the same manner.
8. Transnet shall, at all times, ensure compliance with any applicable laws put in place and maintain sufficient measures, policies and systems to manage and secure against all forms of risks to any information that may be shared or accessed pursuant to this RFP (physically, through a computer or any other form of electronic communication).
9. Transnet shall notify the Respondent in writing of any unauthorised access to information, cybercrimes or suspected cybercrimes, in its knowledge and report such crimes or suspected crimes to the relevant authorities in

accordance with applicable laws, after becoming aware of such crimes or suspected crime. The Respondent must take all necessary remedial steps to mitigate the extent of the loss or compromise of personal information and to restore the integrity of the affected personal information as quickly as is possible.

10. The Respondent may, in writing, request Transnet to confirm and/or make available any personal information in its possession in relation to the Respondent and if such personal information has been accessed by third parties and the identity thereof in terms of the POPIA. The Respondent may further request that Transnet correct (excluding critical/mandatory or evaluation information), delete, destroy, withdraw consent or object to the processing of any personal information relating to the Respondent in Transnet's possession in terms of the provision of the POPIA and utilizing Form 2 of the POPIA Regulations.
11. In submitting any information or documentation requested in this RFP, the Respondent is hereby consenting to the processing of their personal information for the purpose of this RFP and further confirming that they are aware of their rights in terms of Section 5 of POPIA

Respondents are required to provide consent below:

YES		NO	
------------	--	-----------	--

12. Further, the Respondent declares that they have obtained all consents pertaining to other data subject's personal information included in its submission and thereby indemnifying Transnet against any civil or criminal action, administrative fines or other penalty or loss that may arise as a result of the processing of any personal information that the Respondent submitted.
13. The Respondent declares that the personal information submitted for the purpose of this RFP is complete, accurate, not misleading, is up to date and may be updated where applicable.

Signature of Respondent's authorised representative: _____

Should a Respondent have any complaints or objections to processing of its personal information, by Transnet, the Respondent can submit a complaint to the Information Regulator on <https://www.justice.gov.za/inforeg/>, click on contact us, click on complaints.IR@justice.gov.za