



## **KWAZULU-NATAL PROVINCE**

**TRANSPORT**  
REPUBLIC OF SOUTH AFRICA

**ZNB 01391/00000/00/HOD/INF/22/T:**

**CALL FOR EXPRESSIONS OF INTEREST FOR THE ESTABLISHMENT OF A PANEL OF PROFESSIONAL ENGINEERING SERVICE PROVIDERS FOR NEW ROAD INFRASTRUCTURE AND UPGRADES, NEW MAJOR STRUCTURES UPGRADES AND ROAD REHABILITATION AND RESEAL PROJECTS FOR A PERIOD OF 3 YEARS.**

**Name of Bidder:** \_\_\_\_\_

**Central Supplier's Database (CSD) No. MAAA** \_\_\_\_\_

**This call for expressions of interest closes at 11:00 on Saturday 11 June 2022 at the offices of the Department of Transport: Pietermaritzburg Regional Office located at 1 Woodlands Road, Mountain Rise, Pietermaritzburg, 3201**

**LATE SUBMISSIONS WILL NOT BE CONSIDERED**

**PANELS OF PROFESSIONAL ENGINEERING SERVICE PROVIDERS FOR NEW ROAD  
INFRASTRUCTURE AND UPGRADES, NEW MAJOR STRUCTURES UPGRADES AND ROAD  
REHABILITATION AND RESEAL PROJECTS FOR A PERIOD OF 3 YEARS.**

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## DOCUMENT CHECKLIST

This document checklist is provided to assist the Respondent.

Document	Submitted Yes/No (To be completed by respondent)
E2.1 RECORD OF ADDENDA TO EXPRESSION OF INTEREST DOCUMENTS	
E2.2. COMPULSORY ENTERPRISE QUESTIONNAIRE	
E2.3 SBD1 INVITATION TO EXPRESSION OF INTEREST	
E2.4 NOTICES TO RESPONDENTS REGARDING THE COMPLETION OF FORMS	
E2.5 REGISTRATION ON THE CENTRAL SUPPLIERS DATABASE	
E2.6 SBD 4 BIDDERS DISCLOSURE	
E2.7 SBD 5 THE NATIONAL INDUSTRIAL PARTICIPATION PROGRAMME	
E2.8 CERTIFICATE OF AUTHORITY FOR SIGNATORY	
E2.9 RANKING ON PANEL	
E2.10 MANDATORY EVALUATION DOCUMENTS	

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## **PART E1: SUBMISSION PROCEDURES**

**PLEASE NOTE:**

Any appeals regarding the award of this call for expression of interest should be lodged within 5 working days from the date of the publication of call for expression of interest results in the same media as the advert of the Call for Expression of interest from the websites [www.cidb.org.za](http://www.cidb.org.za), [www.kzntransport.gov.za](http://www.kzntransport.gov.za) and e-tenderportal/www.kzntreasury.gov.za may be downloaded from the above websites.

The address provided for the lodging of appeals is:

The Chairperson  
Bid Appeals Tribunal  
Private Bag X9082  
Pietermaritzburg  
3200

FAX NO.: 033 897 4501

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**E1.1 NOTICE AND INVITATION TO SUBMIT AN EXPRESSION OF INTEREST**

**ZNB 01391/00000/00/HOD/INF/22/T:**

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ROAD REHABILITATION AND RESEAL PROJECTS FOR A PERIOD OF 3 YEARS.**

The Province of KwaZulu-Natal, Department of Transport (KZNDOT), invites respondents from consultants, for the appointment on the panels for professional engineering service providers for new road infrastructure and upgrades, new major structures upgrades and road rehabilitation and reseal projects for a period of 3 years.

The call for expressions of interest documents must be downloaded from the e-tender website: name [www.etenders.gov.za](http://www.etenders.gov.za) (no printed documents will be made available by the department)

Reference number: **ZNB 01391/00000/00/HOD/INF/22/T** and/or the KZNDOT website [www.kzntransport.gov.za](http://www.kzntransport.gov.za).

Queries relating to this call for expressions of interest may be addressed to:

Bidding procedure enquiries:	Technical enquiries:
Mrs Londiwe Mshengu Telephone: 033 355 8600 E-mail: <a href="mailto:Londiwe.mshengu@kzntransport.gov.za">Londiwe.mshengu@kzntransport.gov.za</a>	Mr Simphiwe Nene and Mrs Adriana Lazarova Telephone: 033 355 8615 or 033 392 6600 E-mail: <a href="mailto:simphiwe.nene@kzntransport.gov.za">simphiwe.nene@kzntransport.gov.za</a> E-mail: <a href="mailto:Adriana.lazarova@kzntransport.gov.za">Adriana.lazarova@kzntransport.gov.za</a>

**Deadline for the submission of all technical enquiries is the 06 June 2022 at 16h00. All technical queries to be submitted via email.**

A **Non-Compulsory Clarification Meeting** with representatives of the Employer will be held virtually via MICROSOFT TEAMS application on **25 May 2022** starting at **09h00**.

Meeting Invite Link : <https://bit.ly/ZNB01391>

The **closing time** for receipt of the Expressions of interest documents is **11h00 on Saturday, 11 June 2022**.

Respondents' expression of interest must be dropped off at the bid box will clearly marked **ZNB 01391/00000/00/HOD/INF/22/T**, located at the offices of the Department of Transport: Pietermaritzburg Regional Office located at 1 Woodlands Road, Mountain Rise, Pietermaritzburg, 3201 by the closing date and time.

No telegraphic, telephonic, telex, facsimile and late documents will not be accepted.

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**E1.2 SUBMISSION DATA**

The conditions of Call for Expressions of interest are the Standard Conditions for the calling for Expressions of Interest contained in Annex D of the Construction Industry Development Board Standard for Uniformity in Engineering and Construction Works Contracts, published in Government Gazette No. 42622 dated 8 August 2019.

The standard conditions of expressions of interest make several references to the Submission data for details that apply specifically to this call for expressions of interest. The submission data shall have precedence in the interpretation of any ambiguity or inconsistency between the submission data and the standard conditions for call for expressions of interest.

Each item of data given below is cross-referenced to the clause in the standard conditions of call for expressions of interest to which it mainly applies.

Clause Number	Data
D.1.2	<p>The <b>Call for expressions of interest Documents</b> consist of the following:</p> <p><b>PART E1: SUBMISSION PROCEDURE</b>  E1.1 Notice and Invitation to submit an expression of interest  E1.2 Submission Data</p> <p><b>PART E2: RETURNABLE DOCUMENTS</b>  E2.1 List of Returnable Documents  E2.2 Submission Schedules</p> <p>(a) <b>“General Conditions of Contract for Construction Works, Third Edition (2015)”</b> issued by the South African Institution of Civil Engineering (abbreviated title ‘General Conditions of Contract 2015’ – ‘GCC 2015’). This document is obtainable separately and Respondents shall obtain their own copy.</p> <p>(b) <b>‘Standard Specifications for Road and Bridge Works for South African Road Authorities (Draft Standard) (October 2020)</b>. This document is obtainable separately and Respondents shall obtain their own copy.</p> <p>(c) <b>‘Occupational Health and Safety Act No. 85 of 1993’, ‘Occupational Health and Safety Amendment Act No. 181 of 1993’, and the ‘Construction Regulations, 2014’</b> (Government Notice No. R. 489 published in Government Gazette No. 40883 of 2 June 2017,). These documents are obtainable separately and Respondents shall obtain their own copies.</p> <p>(d) <b>‘Construction Industry Development Board Act No. 38 of 2000’</b> as amended and the <b>‘Regulations in terms of the Construction Industry Development Board Act No. 38 of 2000’</b> (Government Notice No. R. 692 published in Government Gazette No. 26427 of 9 June 2004, as amended).</p> <p>In addition, Respondents are advised, in their own interest, to obtain their own copies of the relevant Acts, Regulations and Standards referred to in this document as they are essential for the Respondents to become acquainted with the basics of construction management, the implementation of preferential construction procurement policies, and participation of targeted enterprises and labour.</p>

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<b>Clause Number</b>	<b>Data</b>
D.1.4	<p>The Employer's Representatives are:</p> <p>Name of the Department: KZN Department of Transport</p> <p><b>Technical Enquiries</b></p> <p>Contact person: Mr Simphiwe Nene / E-mail: <a href="mailto:simphiwe.nene@kzntransport.gov.za/">simphiwe.nene@kzntransport.gov.za/</a></p> <p>or</p> <p>Contact Person: Mrs Adriana Lazarova Email: <a href="mailto:Adriana.lazarova@kzntransport.gov.za">Adriana.lazarova@kzntransport.gov.za</a></p> <p><b>Bidding / SCM Enquiries</b></p> <p>Contact Person: Mrs Londiwe Mshengu Email: <a href="mailto:londiwe.mshengu@kzntransport.gov.za">londiwe.mshengu@kzntransport.gov.za</a></p>
D.2.1	<p>Only those Respondents who satisfy the following criteria are eligible to submit expressions of interest.</p> <p><b>(a) Central Supplier Database</b></p> <p>Respondents, or in the event of a joint venture, each member of the joint venture, must be registered on the Central Supplier Database at the closing date for expressions of interest submissions. Expressions of interest received from such respondents who do not comply with this requirement, will be considered non-responsive.</p> <p>Prospective suppliers should self-register on the CSD website <a href="http://www.csd.gov.za">www.csd.gov.za</a>.</p>

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Clause Number	Data
D.2.5	<p>The arrangements and venue for the Non-Compulsory Clarification Meeting are:</p> <p>Venue: The briefing session will be held virtually via the MICROSOFT TEAMS application.</p> <p>Date: <b>25 May 2022 starting at 09h00</b></p> <p><b>Meeting link:</b><a href="https://bit.ly/ZNB01391">https://bit.ly/ZNB01391</a></p> <p>Contact person: Mrs Londiwe Mshengu E-mail: <a href="mailto:londiwe.mshengu@kzntransport.gov.za">londiwe.mshengu@kzntransport.gov.za</a></p>
D.2.7	<p><b>D.2.7.1 Expressions of interest shall be submitted as an original hard copy. Under no circumstances whatsoever may the expressions of interest forms be retyped or redrafted. Photocopies of the original expressions of interest documentation may be used, but an original signature must appear on such photocopies.</b></p> <p>D.2.7.2 The Employer's address for delivery of expressions of interest and identification details to be shown on each expression of interest offer package are:</p> <p><b>Location of Tender Box:</b> Department of Transport: Pietermaritzburg Regional Office <b>Physical Address:</b> 1 Woodlands Road, Mountain Rise, Pietermaritzburg, 3201 <b>Identification Details:</b> Call for Expressions of interest</p> <p>CALL FOR EXPRESSIONS OF INTEREST FOR THE ESTABLISHMENT OF A PANEL OF PROFESSIONAL ENGINEERING SERVICE PROVIDERS FOR NEW ROAD INFRASTRUCTURE AND UPGRADES, NEW MAJOR STRUCTURES UPGRADES AND ROAD REHABILITATION AND RESEAL PROJECTS FOR A PERIOD OF 3 YEARS.</p> <p>ZNB01391/00000/00/HOD/INF/22/T</p>
D.2.9	<p>The closing time for submission of expression of interest is:</p> <p><b>11h00 on Saturday, 11 June 2022.</b></p> <p>Telegraphic, telephonic, telex, facsimile, electronic, e-mail and late expressions of interest will not be accepted.</p>
	<p>The expressions of interest offer validity period is twelve (12) weeks, calculated from the date of Call of expression of interest closure.</p>
D.3.4	<p>The time and location for opening of the expressions of interest submissions are: <b>11h00 on Saturday, 11 June 2022 or viewed on departmental website when published</b></p> <p>A bid closing register will be published on the KZNDOT Website within seven (7) calendar days after the closing date.</p> <p>Location / Venue: 1 Woodlands Road, Mountain Rise, Pietermaritzburg, 3201</p>



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D.3.6	<p>The legal requirements for acceptance of the expressions of interest submissions are:</p> <ul style="list-style-type: none"> <li>(i) <b>Tender Defaulters Register</b> - the Respondent or any of its principals is <u>not</u> listed on the register of Tender Defaulters in terms of the Prevention and Combating of Corrupt Activities Act of 2004 as a person prohibited from doing business with the public sector.</li> <li>(ii) <b>Abuse of the SCM System</b> - the Respondent has <u>not</u> abused the Employer's Supply Chain Management System.</li> <li>(iii) <b>Fraud and Corruption</b> - the Employer is satisfied that the Respondent or any of his principals have <u>not influenced</u> the expressions of interest evaluation and award by the following criteria: <ul style="list-style-type: none"> <li>• having offered, promised or given a bribe or other gift or remuneration to any person in connection with being appointed on the panels of this Call of expressions of interest;</li> <li>• having acted in a fraudulent or corrupt manner in appointing on the panels for this Call for expressions of interest;</li> <li>• having approached an officer or employee of the Employer or the Employer's Agent with the object of influencing the award of a Contract in the Respondent's favour;</li> <li>• having entered into any agreement or arrangement, whether legally binding or not, with any other person, firm or company to refrain from submission of Expressions of interest</li> </ul> </li> </ul> <p>The Employer may, in addition to using any other legal remedies, repudiate the Expressions of interest submitted and declare Expressions of interest invalid should it have been concluded already.</p>
D3.7	<p><b>Mandatory criteria</b> for the evaluation of expressions of interest submissions will be based on:</p> <ul style="list-style-type: none"> <li>• National Treasury Central Supplier Database Registration</li> <li>• Demonstrated experience to undertake Consultants work on Construction and maintenance on roads and structures stated in section E1.7 (Mandatory Requirements for Evaluation)</li> </ul>

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**E1.3 SCOPE OF WORKS**

The scope of work for the duration of the contract is summarised in the following table. The specific scope will be determined on a project by project basis.

<i>Scope of work for the duration of the panel</i>	<b>YES / NO</b>
<b>Simple/Straightforward/Routine work</b> - where the tasks or activities are of a straightforward nature in terms of which inputs are relatively well known and outputs can be readily defined.	<b>NO</b>
<b>Complex work</b> - characterised by requirements for higher levels of skills, greater resources or not well-defined inputs and outputs.	YES
<b>Specialist work</b> - requiring considerable innovation, creativity, and expertise or skill (or both) and work that has a high downstream impact.	YES

**Respondents must indicate their priority rank of specialized panels 1-3 with the relevant priority number against each panel in the Table below.**

**Panel Selection**

Respondents must indicate their priority rank of panels with the relevant priority number against each panel in the table below. The ranking is based on the respondent's preference of work based on experience and capacity to execute. If the respondent is not performing the activities, then indicate n/a.

**There are 3 groups of panels are detailed in the table below**

<b>Category</b>	<b>Scope of Work</b>	<b>Activity (Example: Not limited to)</b>	<b>Rank the priority of the panel 1 to 3 or n/a</b>
1) Roads – New and upgrades	Complex	Geometric and pavement design of roads, cross drainage, surface drainage, road marking, signage in accordance to TRH17 and all other applicable manuals and standards	
2) Major Structures – New and Upgrades	Specialist	Structural design and analysis of new and upgraded structures, hydrology, hydraulic modelling, concrete and reinforcement design and drafting in accordance with TMH7 p1,2,3 and all other applicable manuals and standards	
3) Road Rehabilitation and Reseals	Specialist	Assessment, improvement, strengthening, salvaging existing, deficient pavement and replacement design in accordance TRH 12, TRH4 and TRH3 and SAPEM Manual	

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**E1.4 MANDATORY REQUIREMENTS PER PANEL**

The table below details the mandatory requirements for each of the panels. The mandatory requirements must be supported by evidence namely **reference letters/completion certificates/certificate of registration/ CV's listing the experience**. **If evidence is not provided, respondent will be regarded as non-responsive.**

Panel no.	Panel Name	Mandatory requirements
1	Roads – New and upgrades	<p><b>Company</b></p> <ul style="list-style-type: none"> <li>1 Successfully completed new Road and/or upgrade projects in last 7 years. Reference letter signed by the client.</li> </ul> <p><b>Personnel</b></p> <ul style="list-style-type: none"> <li>1 Professionally registered Road design professional (Pr Eng or Pr Tech Eng or Pr Techni) with a minimum individual 5-year designs experience in <b><u>Road Infrastructure Design</u></b>. Copy of registration and CV's with contactable references must be provided.</li> </ul>
2	Major Structures – New and Upgrades	<p><b>Company</b></p> <ul style="list-style-type: none"> <li>1 Successfully completed Structural design and/or structure upgrade projects in last 5 years. Reference letter signed by the client.</li> </ul> <p><b>Personnel</b></p> <ul style="list-style-type: none"> <li>1 Professionally registered Road design professional (Pr Eng or Pr Tech Eng or Pr Techni) with a minimum of 5 years' experience each or Pr Techni with 5 Years' experience each <b><u>in Bridge/Culvert/Road Infrastructure Structures Design</u></b>. Copy of registration and CV's with contactable references must be provided.</li> </ul>
3	Road Rehabilitation and Reseals	<p><b>Company</b></p> <p>1 Successfully completed Road rehabilitation and /or road reseal projects in last 5 years. Reference letter signed by the client.</p> <p><b>Personnel</b></p> <p>1 Professionally registered Road design professional (Pr Eng or Pr Tech Eng or Pr Techni) with a minimum 5 year deigns experience <b><u>in Road Rehabilitation and Reseals</u></b>. Copy of registration and CV's with contactable references must be provided.</p>

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**E1.5 OTHER**

**a) SOURCING STRATEGY AT A PROJECT LEVEL**

A service provider will be awarded per panel, as and when projects are implemented. Service providers will be selected from the panel based on the grading, their preferences in terms of ranking

**b) RESPONDENTS OBLIGATION**

Respondents are required to submit supporting documents as specified per each panel number (from 1 to 3) to be appointed on the panel.

Please note that being placed on the panel will not necessarily mean that a service provider is guaranteed to obtain project/s in this regard from the Department. The Department will compile and implement a procedure manual for calling of quotes for project specific activities from the service providers placed on the respective panels. All service providers appointed to the panel shall be officially informed of acceptance in writing.

**c) Expression of Interest Rigging/collusion at quotation stage.**

EXPRESSION OF INTEREST rigging (or collusive quoting) occurs when businesses, that would otherwise be expected to compete, secretly conspire to raise prices or lower the quality of goods and / or services for purchasers who wish to acquire goods and / or services through a quoting process. Expression of Interest rigging is, therefore, an agreement between competitors not to compete.

***Respondents are advised to only tender with one company, tendering with more than one company will result to disqualification. This will be verified through CSD with companies registered under director's ID number.***

Service providers on the panel will, be required to provide suitable resources to provide assistance in the various categories of construction and maintenance, will be required to work within the budgets agreed upon.

Engagement letters will be signed between the service provider and the KZN Department of Transport for each assignment awarded.

**d) Terms and Conditions during project execution.**

Depending on the Terms of Reference of each project, the deliverable per project will include:

Provide full professional engineering services (Gates 1 – 7 FIDPM Policy) on identified projects where necessary which inter-alia include:

- a) Stage 1 is complete when the Initiation Report, as per the Department's prescribed format, is approved for a capital project below the threshold defined in this document for major capital projects, or is complete when the Prefeasibility Report, in the Department's prescribed format is approved for a major capital project.
- b) Stage 2 is complete when the Concept Report or the Feasibility Report, as per the Department's prescribed format is approved. This includes but is not limited to 3 options considered and why the recommended option in terms of constructability, economic, social, safety and aesthetic requirements.
- c) Stage 3 is complete when the Design Development Report, as per the Department's prescribed format is approved. This includes, but is not limited to: A detailed set of

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construction drawings including Layout plans, long sections, cross sections, General arrangements, concrete details, reinforcement/post tensioning details, setting out, bending schedules, pavement design etc.

- d) Stage 4 is complete when the Design Documentation Report (Draft Contract Document), as per the Department's prescribed format, is approved.
- e) Stage 5 is complete when the Works Completion Report (Practical Completion Certificate), in the Department's prescribed format, is approved.
- f) Stage 6 is complete when the Handover/Record Information Report (Completion Certificate), as per the Department's prescribed format, is approved.
- g) Stage 7 is complete when the Close-out Report, as per the Department's prescribed format is approved. The Close-Out Stage commences when the end user/Department accepts liability for the works.

Other possible responsibilities:

- a) Prepare reports for the Departmental Representative and Department detailing project physical status, time related milestone and financial status.
- b) The consultant will report to the Departmental Representative and the responsible Department officials.
- c) Provide support and assistance to the relevant office, on instructions from the Responsibility Manager or his/her designee.
- d) In 7 (seven) days of entering into an Agreement with the Department develop and submit a programme of execution and deliverables to the Departmental Representative and Department for written approval.
- e) Assist with road assessments as deemed necessary including providing relevant information for classification and declaration purposes.
- f) Prepare reports for the Departmental Project and Department detailing project physical status, time related milestone and financial status.
- g) All work to be done according to the Department & Engineering standards.
- h) The above list is not exhaustive, and a detailed scope of works will be included in the service level agreement that will be entered into with the successful bidder.

**e) Pricing**

Bidders are **NOT** required to submit pricing at this point. Pricing will be requested on quotation bases **as and when the need arises as per the Department's discretion.**

**f) Time Frame**

The panel will be appointed for a period of three (3) years from the date of appointment with an option to extend for additional 2 years (solely at the discretion of the KZN DoT) to allow for alignment to a 5-year strategic plan.

**g) Guarantees**

No guarantee will be given of the amount of work to be allocated in any given year as the amount to be distributed depends on the final budget determined at each financial year by KZN Department of Transport based on the extent of work activities that exist.

**h) Work Allocation**

The KZN Department of Transport contract allocation is based on a procurement system that is fair, equitable, transparent, competitive, and cost-effective. All contracts will be allocated in compliance with this system wherein the deserving service provider will be appointed.

**i) Performance Management**

After every project completion, consultant/professional service providers are obliged to complete a close-out report that highlights the reasons for success or areas for improvement, thereby facilitating better planning and alerting KZN DoT to issues and elements that may need to be considered in such future projects.

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**j) Rights to Award**

- KZN Department of Transport reserves the right to call for presentations from shortlisted suppliers or ***Reserves the Right to accept express of interest In Whole or In Part.***
- Not to make any award in this panel or accept any proposals submitted,
- Award the project to more than one (1) Respondent for the same activity
- Request further technical information from any Respondent after the closing date,
- Verify information and documentation of the Respondent(s),
- Not to accept any of the Expression of Interest proposals submitted,
- To withdraw or amend any of the Expression of Interest conditions by notice in writing to all Respondents prior to closing of the Expression of Interest and post award, and
- If an incorrect award has been made to remedy the matter in any lawful manner it may deem fit.

**k) Price Negotiation at quotation stage.**

KZN DoT reserves the right to negotiate with the shortlisted Respondents prior and/or post award. The terms and conditions for negotiations will be communicated to the shortlisted Respondents prior to invitation to negotiations. The negotiation terms and conditions may include presentations and/or site visits. This phase is meant to ensure the conditions of Expression of Interest and projects will be implementable for the achievement of the panel contract objectives.

SIGNATURE: .....

DATE: .....

*(Of person authorised to sign on behalf of the Respondent)*

**Failure to complete, sign and date this form shall result in the expressions of interest being considered non-responsive in terms of subclause D.2.8 of the Conditions for the calling of Expressions of interest and such an Expressions of interest shall be rejected.**

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## **PART E2: RETURNABLE DOCUMENTS**

NOTE: The Respondent is required to complete each schedule and form listed above in full, as the evaluation of tenders and the eventual contract will be based on the information provided by the Tenderer. Failure of a Tenderer to complete the schedules and forms in full may lead to rejection on the grounds that the tender is non-responsive in terms of subclause D.2.8.

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 REHABILITATION AND RESEAL PROJECTS FOR A PERIOD OF 3 YEARS.**

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**E2.1 RECORD OF ADDENDA TO EXPRESSION OF INTEREST DOCUMENTS**

The undersigned confirm that the following communications received from the Employer or his representative before the date of submission of this expression of interest, amending the expression of interest documents, have been considered in this expression of interest submission. ***(Addenda can only be issued following approval from the Employer. The Employer’s representative is not allowed to issue addenda to Respondent without prior approval in terms of the SCM Delegations).***

ADDENDUM NO.	DATE	TITLE OR DETAILS

Signed		Date	
Name		Position	
Respondent			

***Failure to complete, sign and date this form or failure to acknowledge receipt of each Addendum issued shall result in the expressions of interest being considered non-responsive in terms of subclause D.2.8 of the Conditions for the calling of Expressions of interest and such an Expressions of interest shall be rejected.***



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**E2.2. COMPULSORY ENTERPRISE QUESTIONNAIRE**

The following must be furnished. In the case of a joint venture, separate enterprise questionnaires in respect of each partner must be completed and submitted.

**Section 1: Name of enterprise:**

**Section 2: VAT registration number, if any:**

**Section 3: CIDB registration number, if any:**

**Section 4: CSD number:**

**Section 5: Particulars of sole proprietors and partners in partnerships:**

Name*	Identity number*	Personal income tax number*

*\* Complete only if sole proprietor or partnership and attach separate page if more than 3 partners*

**Section 6: Particulars of companies and close corporations**

Company registration number:

Close corporation number:

Tax reference number:

**Section 7: SBD4 issued by National Treasury must be completed for each tender and be attached as a tender requirement.**

The undersigned, who warrants that he / she is duly authorised to do so on behalf of the enterprise:

- i) authorizes the employer to verify the tenderers tax clearance status from the South African Revenue Services that it is in order;
- ii) confirms that the neither the name of the enterprise or the name of any partner, manager, director or other person, who wholly or partly exercises or may exercise, control over the enterprise appears on the Register of Tender Defaulters established in terms of the Prevention and Combating of Corrupt Activities Act of 2004;
- iii) confirms that no partner, member, director or other person, who wholly or partly exercises, or may exercise, control over the enterprise appears, has within the last five years been convicted of fraud or corruption;
- iv) confirms that I / we are not associated, linked or involved with any other tendering entities submitting tender offers and have no other relationship with any of the tenderers or those responsible for compiling the scope of work that could cause or be interpreted as a conflict of interest; and
- iv) confirms that the contents of this questionnaire are within my personal knowledge and are to the best of my belief both true and correct.

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Signed		Date	
Name		Position	
Enterprise name			

**Failure to complete, sign and date this form shall result in the expressions of interest being considered non-responsive in terms of subclause D.2.8 of the Conditions for the calling of Expressions of interest and such an Expressions of interest shall be rejected.**

**PANELS OF PROFESSIONAL ENGINEERING SERVICE PROVIDERS FOR NEW ROAD  
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**E2.3 SBD1 INVITATION TO EXPRESSION OF INTEREST**

**PART A**

<b>YOU ARE HEREBY INVITED TO SUBMIT EXPRESSION OF INTEREST FOR REQUIREMENTS OF THE KWAZULU-NATAL DEPARTMENT OF TRANSPORT AS DESCRIBED BELOW:</b>				
<b>EXPRESSION OF INTEREST NUMBER:</b>	<b>ZNB01391/00000/00/HOD/INF/21/T</b>	<b>CLOSING DATE:</b>	<b>11 June 2022</b>	<b>CLOSING TIME:</b> <b>11:00</b>
<b>DESCRIPTION</b>	CALL FOR EXPRESSIONS OF INTEREST FOR THE ESTABLISHMENT OF A PANEL OF PROFESSIONAL ENGINEERING SERVICE PROVIDERS FOR NEW ROAD INFRASTRUCTURE AND UPGRADES, NEW MAJOR STRUCTURES UPGRADES AND ROAD REHABILITATION AND RESEAL PROJECTS FOR A PERIOD OF 3 YEARS			
<b>EXPRESSION OF INTEREST RESPONSE DOCUMENTS MAY BE DEPOSITED IN THE EXPRESSION OF INTEREST BOX SITUATED AT (STREET ADDRESS)</b>				
Main Entrance Foyer				
1 Woodlands Road				
Mountain Rise				
Pietermaritzburg, 3201				
<b>BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO</b>			<b>TECHNICAL ENQUIRIES MAY BE DIRECTED TO:</b>	
<b>CONTACT PERSON</b>	<b>Londiwe Mshengu</b>	<b>CONTACT PERSON</b>	<b>Simphiwe Nene</b>	
<b>E-MAIL ADDRESS</b>	<a href="mailto:londiwe.mshengu@kzntransport.gov.za">londiwe.mshengu@kzntransport.gov.za</a>	<b>E-MAIL ADDRESS</b>	<a href="mailto:simphiwe.nene@kzntransport.gov.za">simphiwe.nene@kzntransport.gov.za</a>	
<b>SUPPLIER INFORMATION</b>				
<b>NAME OF RESPONDANT</b>				
<b>POSTAL ADDRESS</b>				
<b>STREET ADDRESS</b>				
<b>TELEPHONE NUMBER</b>	<b>CODE</b>		<b>NUMBER</b>	
<b>CELLPHONE NUMBER</b>				
<b>FACSIMILE NUMBER</b>	<b>CODE</b>		<b>NUMBER</b>	
<b>E-MAIL ADDRESS</b>				
<b>VAT REGISTRATI ON NUMBER</b>				
<b>SUPPLIER COMPLIANCE STATUS</b>	<b>TAX COMPLIANCE SYSTEM PIN:</b>	<b>O R</b>	<b>CENTRAL SUPPLIER DATABASE NO:</b>	<b>MAAA</b>
<b>QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS</b>				
IS THE ENTITY A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?			<input type="checkbox"/> YES	
<input type="checkbox"/> NO				
DOES THE ENTITY HAVE A BRANCH IN THE RSA?			<input type="checkbox"/> YES	
<input type="checkbox"/> NO				
DOES THE ENTITY HAVE A PERMANENT ESTABLISHMENT IN THE RSA?			<input type="checkbox"/> YES	
<input type="checkbox"/> NO				
DOES THE ENTITY HAVE ANY SOURCE OF INCOME IN THE RSA?			<input type="checkbox"/> YES	
<input type="checkbox"/> NO				

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<input type="checkbox"/> NO IS THE ENTITY LIABLE IN THE RSA FOR ANY FORM OF TAXATION? <input type="checkbox"/> NO <b>IF THE ANSWER IS “NO” TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER FOR A TAX COMPLIANCE STATUS SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 2.3 BELOW.</b>	<input type="checkbox"/> YES
---	------------------------------

**PART B**

<b>1. EXPRESSION OF INTEREST SUBMISSION:</b>
1.1. EXPRESSION OF INTERESTS MUST BE DELIVERED BY THE STIPULATED TIME TO THE CORRECT ADDRESS. LATE EXPRESSION OF INTERESTS WILL NOT BE ACCEPTED FOR CONSIDERATION.
1.2. <b>ALL EXPRESSION OF INTERESTS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED– (NOT TO BE RE-TYPED) OR IN THE MANNER PRESCRIBED IN THE EXPRESSION OF INTEREST DOCUMENT.</b>
1.3. THIS EXPRESSION OF INTEREST IS SUBJECT TO THE CONDITION OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER SPECIAL CONDITIONS OF CONTRACT.
1.4. IF THE EXPRESSION OF INTEREST WAS INVITED THROUGH A CLOSE QUOTATION PROCESS; WHERE SUPPLIERS WERE SOURCED FROM CSD USING THE COMMODITY PROCESS; SUPPLIERS WHO WERE NOT INVITED WILL NOT BE CONSIDERED.
1.5. USE OF OMISSION IN THE DOCUMENT IS PROHIBITED.
1.6. FAILING TO COMPLY WITH THE ABOVE REQUIREMENTS WILL RESULT IN THE EXPRESSION OF INTEREST BEING NON-RESPONSIVE.
1.7. <b>THE SUCCESSFUL RESPONDENT WILL BE REQUIRED TO FILL IN AND SIGN A WRITTEN CONTRACT FORM.</b>
<b>2. TAX COMPLIANCE REQUIREMENTS</b>
2.1 RESPONDENTS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.
2.2 RESPONDENTS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER’S PROFILE AND TAX STATUS.
2.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE WWW.SARS.GOV.ZA.
2.4 RESPONDENTS MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE EXPRESSION OF INTEREST.
2.5 IN EXPRESSION OF INTERESTS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED; EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER.
2.6 WHERE NO TCS IS AVAILABLE BUT THE RESPONDENT IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.
2.7 NO EXPRESSION OF INTERESTS WILL BE CONSIDERED FROM PERSONS IN THE SERVICE OF THE STATE, COMPANIES WITH DIRECTORS WHO ARE PERSONS IN THE SERVICE OF THE STATE, OR CLOSE CORPORATIONS WITH MEMBERS PERSONS IN THE SERVICE OF THE STATE.”
<b>3. COMPLIANCE AND RETURNABLES: THE FOLLOWING RETURNABLE DOCUMENTS MUST RETURNED WITH THE TENDER DOCUMENT</b>
3.1 SCM APPENDIX 1 INVITATION TO EXPRESSION OF INTEREST (SBD1 PART A)
3.2 SCM APPENDIX 2 – TERMS AND CONDITIONS FOR EXPRESSION OF INTERST (SBD1 PART B)
3.3 SCM APPENDIX 3 – OFFICIAL BRIEFING SESSION/SITE INSPECTION CERTIFICATE (NOT APPLICABLE) AS THE CLARIFICATION MEETING IS NON-COMPULSORY
3.4 SBD 4 – RESPONDENT’S DISCLOSURE

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**NB: FAILURE TO PROVIDE / OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER  
THE EXPRESSION OF INTEREST INVALID.**

Signed		Date	
Name		Position	
<i>Enterprise name</i>			

CAPACITY UNDER WHICH THIS EXPRESSION OF INTEREST IS SIGNED: .....

(Proof of authority must be submitted e.g. company resolution)

**Failure to complete, sign and date this form shall result in the expressions of interest being considered non-responsive in terms of subclause D.2.8 of the Conditions for the calling of Expressions of interest and such an Expressions of interest shall be rejected.**

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## **E2.4 NOTICES TO RESPONDENTS REGARDING THE COMPLETION OF FORMS**

PLEASE NOTE THAT THIS CALL FOR EXPRESSION OF INTEREST IS SUBJECT TO TREASURY REGULATIONS 16A ISSUED IN TERMS OF THE PUBLIC FINANCE MANAGEMENT ACT, 1999, THE KWAZULU-NATAL SUPPLY CHAIN MANAGEMENT POLICY FRAMEWORK AND THE GENERAL CONDITIONS OF CONTRACT.

1. Unless inconsistent with or expressly indicated otherwise by the context, the singular shall include the plural and vice versa and with words importing the masculine gender shall include the feminine and the neuter.
2. Under no circumstances whatsoever may the Expression of Interest forms be retyped or redrafted. Photocopies of the original Expression of Interest documentation may be used, but an original signature must appear on such photocopies.
3. The Respondent is advised to check the number of pages and to satisfy himself that none are missing or duplicated.
4. Expression of Interests submitted must be complete in all respects.
5. Expression of Interests shall be lodged at the address indicated not later than the closing time specified for their receipt, and in accordance with the directives in the Expression of Interest documents.
6. Each Expression of Interest shall be addressed in accordance with the directives in the EXPRESSION OF INTEREST documents and shall be lodged in a separate sealed envelope, with the name and address of the Respondent, the Expression of Interest number and closing date indicated on the envelope. The envelope shall not contain documents relating to any Expression of Interest other than that shown on the envelope. If this provision is not complied with, such Expression of Interests may be rejected as being invalid.
7. All EXPRESSION OF INTERESTS received in sealed envelopes with the relevant Expression of Interest numbers on the envelopes are kept unopened in safe custody until the closing time of the Expression of Interests. Where, however, an Expression of Interest is received open, it shall be sealed. If it is received without an Expression of Interest number on the envelope, it shall be opened, the Expression of Interest number ascertained, the envelope sealed, and the Expression of Interest number written on the envelope.
8. A specific box is provided for the receipt of Expression of Interests, and no Expression of Interest found in any other box or elsewhere subsequent to the closing date and time of Expression of Interest will be considered.
9. No Expression of Interest sent through the post will be considered if it is received after the closing date and time stipulated in the EXPRESSION OF INTEREST documentation, and proof of posting will not be accepted as proof of delivery.
10. No Expression of Interest submitted by telefax, telegraphic or other electronic means will be considered.
11. Expression of Interests documents must not be included in packages containing samples. Such EXPRESSION OF INTERESTS may be rejected as being invalid.
12. Any alteration made by the Respondent must be initialled. If not initialled the Respondent may be disqualified.
13. Use of correcting fluid is prohibited
14. Expression of Interests will be opened in public as soon as practicable after the closing time of Expression of Interest.
15. Where practical, prices are made public at the time of opening Expression of Interests.

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**E2.5 REGISTRATION ON THE CENTRAL SUPPLIERS DATABASE**

1. In terms of the KwaZulu-Natal Supply Chain Management Policy Framework, all suppliers of goods and services are required to register on the Central Suppliers Database.
2. If you wish to apply for Central Supplier Database (CSD) registration, suppliers may go to **www.csd.gov.za** to register or call 033 897 4223/4676/4509 for assistance.
3. If a business is registered on the Database and it is found subsequently that false or incorrect information has been supplied, then the Department may, without prejudice to any other legal rights or remedies it may;
  - 3.1 de-register the supplier from the Database,
  - 3.2 cancel an Expression of Interest or a contract awarded to such supplier, and the supplier would become liable for any damages if a less favourable Expression of Interest is accepted or less favourable arrangements are made.
4. **The same principles as set out in paragraph 3 above are applicable should the supplier fail to update its information on the Central Suppliers Database, relating to changed particulars or circumstances.**

**DECLARATION THAT INFORMATION ON CENTRAL SUPPLIER DATABASE (CSD) IS CORRECT AND UP TO DATE**

(To be completed by Respondent)

THIS IS TO CERTIFY THAT I (name of Respondent/authorised representative)

.....  
WHO REPRESENTS (state name of Respondent)

.....  
I AM AWARE OF THE CONTENTS OF THE CENTRAL SUPPLIER DATABASE WITH RESPECT TO THE RESPONDENT'S DETAILS AND REGISTRATION INFORMATION, AND THAT THE SAID INFORMATION IS CORRECT AND UP TO DATE AS ON THE DATE OF SUBMITTING THIS EXPRESSION OF INTEREST. AND I AM AWARE THAT INCORRECT OR OUTDATED INFORMATION MAY BE A CAUSE FOR DISQUALIFICATION OF THIS EXPRESSION OF INTEREST FROM THE EXPRESSION OF INTERESTING PROCESS, AND/OR POSSIBLE CANCELLATION OF THE CONTRACT THAT MAY BE AWARDED ON THE BASIS OF THIS EXPRESSION OF INTEREST.

.....  
**SIGNATURE OF RESPONDENT OR AUTHORISED REPRESENTATIVE**

**DATE:**.....

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**E2.6 SBD 4 BIDDERS DISCLOSURE**

**1. PURPOSE OF THE FORM**

Any person (natural or juristic) may make an offer or offers in terms of this invitation to Expression of Interest. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the Respondent to make this declaration in respect of the details required hereunder.

Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the Expression of Interest process.

**2. Respondent's declaration**

2.1 Is the Respondent, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest<sup>1</sup> in the enterprise, employed by the state? **YES/NO**

2.1.1 If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

Full Name	Identity Number	Name of State institution

2.2 Do you, or any person connected with the Respondent, have a relationship with any person who is employed by the procuring institution? **YES/NO**

2.2.1 If so, furnish particulars:  
.....  
.....

2.3 Does the Respondent or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are Expression of Interest for this contract? **YES/NO**

2.3.1 If so, furnish particulars:  
.....  
.....

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<sup>1</sup> the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.



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**3 DECLARATION**

I, the undersigned, (name)..... in submitting the accompanying Expression of Interest, do hereby make the following statements that I certify to be true and complete in every respect:

- 3.1 I have read, and I understand the contents of this disclosure;
- 3.2 I understand that the accompanying Expression of Interest will be disqualified if this disclosure is found not to be true and complete in every respect;
- 3.3 The Respondent has arrived at the accompanying Expression of Interest independently from, and without consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium<sup>2</sup> will not be construed as collusive Expression of Interest.
- 3.4 In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the Expression of Interest, Expression of Interest with the intention not to win the Expression of Interest and conditions or delivery particulars of the products or services to which this Expression of Interest invitation relates.
- 3.4 The terms of the accompanying Expression of Interest have not been, and will not be, disclosed by the Respondent, directly or indirectly, to any competitor, prior to the date and time of the official Expression of Interest opening or of the awarding of the contract.
- 3.5 There have been no consultations, communications, agreements or arrangements made by the Respondent with any official of the procuring institution in relation to this procurement process prior to and during the Expression of Interest process except to provide clarification on the Expression of Interest submitted where so required by the institution; and the Respondent was not involved in the drafting of the specifications or terms of reference for this Expression of Interest.
- 3.6 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to Expression of Interests and contracts, Expression of Interests that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 1, 2 and 3 ABOVE IS CORRECT.

I ACCEPT THAT THE STATE MAY REJECT THE EXPRESSION OF INTEREST OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

..... Signature	..... Date
..... Position	..... Name of Respondent

***Every question must be answered individually on this form, whether a relationship is present or not:  
Failure to do so will invalidate your tender/Expression of Interest***

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<sup>2</sup> Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

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## **E2.7 SBD 5 THE NATIONAL INDUSTRIAL PARTICIPATION PROGRAMME**

### **INTRODUCTION**

The National Industrial Participation (NIP) Programme, which is applicable to all government procurement contracts that have an imported content, became effective on the 1 September 1996. The NIP policy and guidelines were fully endorsed by Cabinet on 30 April 1997. In terms of the Cabinet decision, all state and parastatal purchases / lease contracts (for goods, works and services) entered after this date, are subject to the NIP requirements. NIP is obligatory and therefore must be complied with. The Industrial Participation Secretariat (IPS) of the Department of Trade and Industry (DTI) is charged with the responsibility of administering the programme.

### **1 PILLARS OF THE PROGRAMME**

- 1.1 The NIP obligation is benchmarked on the imported content of the contract. Any contract having an imported content equal to or exceeding US\$ 10 million or other currency equivalent to US\$ 10 million will have a NIP obligation. This threshold of US\$ 10 million can be reached as follows:
- (a) Any single contract with imported content exceeding US\$10 million.
  - or
  - (b) Multiple contracts for the same goods, works or services each with imported content exceeding US\$3 million awarded to one seller over a 2-year period which in total exceeds US\$10 million.
  - or
  - (c) A contract with a renewable option clause, where should the option be exercised the total value of the imported content will exceed US\$10 million.
  - or
  - (d) Multiple suppliers of the same goods work or services under the same contract, where the value of the imported content of each allocation is equal to or exceeds US\$ 3 million worth of goods, works or services to the same government institution, which in total over a two (2) year period exceeds US\$10 million.
- 1.2 The NIP obligation applicable to suppliers in respect of sub-paragraphs 1.1 (a) to 1.1 (c) above will amount to 30 % of the imported content whilst suppliers in respect of paragraph 1.1 (d) shall incur 30 % of the total NIP obligation on a *pro-rata* basis.
- 1.3 To satisfy the NIP obligation, the DTI would negotiate and conclude agreements such as investments, joint ventures, sub-contracting, licensee production, export promotion, sourcing arrangements and research and development (R&D) with partners or suppliers.
- 1.4 A period of seven years has been identified as the time frame within which to discharge the obligation.

### **2 REQUIREMENTS OF THE DEPARTMENT OF TRADE AND INDUSTRY**

- 2.1 In order to ensure effective implementation of the programme, successful Respondents (contractors) are required to, immediately after the award of a contract that is in excess of **R10 million** (ten million Rands), submit details of such a contract to the DTI for reporting purposes.
- 2.2 The purpose for reporting details of contracts in excess of the amount of R10 million (ten million Rands) is to cater for multiple contracts for the same goods, works or services; renewable contracts and multiple suppliers for the same goods, works or services under the same contract as provided for in paragraphs 1.1.(b) to 1.1. (d) above.

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**3 EXPRESSION OF INTEREST SUBMISSION AND CONTRACT REPORTING REQUIREMENTS OF  
RESPONDENTS AND SUCCESSFUL RESPONDENTS (CONTRACTORS)**

- 3.1 Respondents are required to sign and submit this Standard Bid Document (SBD 5) together with the Expression of Interest on the closing date and time.
- 3.2 In order to accommodate multiple contracts for the same goods, works or services; renewable contracts and multiple suppliers for the same goods, works or services under the same contract as indicated in sub-paragraphs 1.1 (b) to 1.1 (d) above and to enable the DTI in determining the NIP obligation, successful Respondents (contractors) are required, immediately after being officially notified about any successful Expression of Interest with a value in excess of R10 million (ten million Rands), to contact and furnish the DTI with the following information:
- Expression of Interest / contract number.
  - Description of the goods works or services.
  - Date on which the contract was accepted.
  - Name, address and contact details of the government institution.
  - Value of the contract.
  - Imported content of the contract, if possible.
- 3.3 The information required in paragraph 3.2 above must be sent to the Department of Trade and Industry, Private Bag X 84, Pretoria, 0001 for the attention of Mr Elias Malapane within five (5) working days after award of the contract. Mr Malapane may be contacted on telephone (012) 394 1401, facsimile (012) 394 2401 or e-mail at [Elias@thedti.gov.za](mailto:Elias@thedti.gov.za) for further details about the programme.

**4 PROCESS TO SATISFY THE NIP OBLIGATION**

- 4.1 Once the successful Respondent (contractor) has made contact with and furnished the DTI with the information required, the following steps will be followed:
- a. the contractor and the DTI will determine the NIP obligation;
  - b. the contractor and the DTI will sign the NIP obligation agreement;
  - c. the contractor will submit a performance guarantee to the DTI;
  - d. the contractor will submit a business concept for consideration and approval by the DTI;
  - e. upon approval of the business concept by the DTI, the contractor will submit detailed business plans outlining the business concepts;
  - f. the contractor will implement the business plans; and
  - g. the contractor will submit bi-annual progress reports on approved plans to the DTI.
- 4.2 The NIP obligation agreement is between the DTI and the successful Respondent (contractor) and, therefore, does not involve the purchasing institution.

Name of Respondent.....
Postal address .....
.....
Signature..... Name (in print).....
Date.....

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**E2.8 CERTIFICATE OF AUTHORITY FOR SIGNATORY**

The Respondent must indicate the enterprise status by ticking the appropriate box hereunder.

(I) SOLE PROPRIETOR	(II) CLOSE CORPORATION	(III) PARTNERSHIP	(IV) COMPANY	(V) JOINT VENTURE / CONSORTIUM	
				Incorporated	
				Unincorporated	

**Note:**

The following document must be attached to this form according to the status of the enterprise, in the form of a resolution authorising the signatory to sign all documents in connection with this Expression of Interest and any contract resulting therefrom on behalf of the enterprise, and **such resolution shall include a specimen signature of the signatory.**

Cooperative:	'Resolution of the Members'
Close Corporation:	'Resolution of the Members'
Company:	'Resolution of the Board' signed by the chairperson
Joint Venture / Consortium:	'Resolution/agreement passed/reached' signed by the authorised representatives of the enterprises

**Failure to complete, sign and date this form and failure to provide the certificate(s) in the form of resolution as described above shall result in the expressions of interest being considered non-responsive in terms of subclause D.2.8 of the Conditions for the calling of Expressions of interest and such a Expressions of interest shall be rejected.**

**PANELS OF PROFESSIONAL ENGINEERING SERVICE PROVIDERS FOR NEW ROAD  
INFRASTRUCTURE AND UPGRADES, NEW MAJOR STRUCTURES UPGRADES AND ROAD  
REHABILITATION AND RESEAL PROJECTS FOR A PERIOD OF 3 YEARS.**

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**MEMBERS RESOLUTION**

Close Corporation / Company / Partnership / Trust /Sole proprietor or sole trader Name: \_\_\_\_\_

Registration Number: \_\_\_\_\_

**DIRECTORS OF THE COMPANY etc RESOLVED OF THE**

\_\_\_\_\_, in his/her capacity as \_\_\_\_\_, is authorised to make applications on behalf of

the Close Corporation / Company / Partnership / Trust /Sole proprietor or sole trader for: any documentation relating to the business (which is not necessarily a change of ownership). The nominated person will also have access to webpage for the business.

Signature(s) for Close Corporation / Company / Partnership / Trust/ Sole proprietor or sole trader.  
(sole member still must sign this resolution)

Signature of members:  
Name

Signature

1. \_\_\_\_\_

\_\_\_\_\_

2. \_\_\_\_\_

\_\_\_\_\_

3. \_\_\_\_\_

\_\_\_\_\_

4. \_\_\_\_\_

\_\_\_\_\_

5. \_\_\_\_\_

\_\_\_\_\_

6. \_\_\_\_\_

\_\_\_\_\_

**Failure to complete, sign and date this form and failure to provide the certificate(s) in the form of resolution as described above shall result in the expressions of interest being considered non-responsive in terms of subclause D.2.8 of the Conditions for the calling of Expressions of interest and such a Expressions of interest shall be rejected.**

**PANELS OF PROFESSIONAL ENGINEERING SERVICE PROVIDERS FOR NEW ROAD INFRASTRUCTURE AND UPGRADES, NEW MAJOR STRUCTURES UPGRADES AND ROAD REHABILITATION AND RESEAL PROJECTS FOR A PERIOD OF 3 YEARS.**

**E2.9 RANKING ON PANEL**

Category	Scope of Work	Activity (Example: Not limited to)	Rank the priority of the panel 1 to 3 or n/a
1) Roads – New and upgrades	Complex	Geometric and pavement design of roads, cross drainage, surface drainage, road marking, signage in accordance to TRH17 and all other applicable manuals and standards	
2) Major Structures – New and Upgrades	Specialist	Structural design and analysis of new and upgraded structures, hydrology, hydraulic modelling, concrete and reinforcement design and drafting in accordance with TMH7 p1,2,3 and all other applicable manuals and standards	
3) Road Rehabilitation and Reseals	Specialist	Assessment, improvement, strengthening, salvaging existing, deficient pavement and replacement design in accordance TRH 12, TRH4 and TRH3 and SAPEM Manual	

Signed		Date	
Name		Position	
<i>Respondent name</i>			

**Failure to complete, sign and date this form shall result in the expressions of interest being considered non-responsive in terms of subclause D.2.8 of the Conditions for the calling of Expressions of interest and such an Expressions of interest shall be rejected.**

**PANELS OF PROFESSIONAL ENGINEERING SERVICE PROVIDERS FOR NEW ROAD  
INFRASTRUCTURE AND UPGRADES, NEW MAJOR STRUCTURES UPGRADES AND ROAD  
REHABILITATION AND RESEAL PROJECTS FOR A PERIOD OF 3 YEARS.**

**E2.10 MANDATORY EVALUATION DOCUMENTS**

The table below details the mandatory requirements for each of the panels. The mandatory requirements must be supported by evidence namely **reference letters/completion certificates/certificate of registration/ CV's listing the experience**. If evidence is not provided, respondent will be regarded as **non-responsive**.

Panel no.	Panel Name	Mandatory requirements	To be completed by respondent. Name of Personnel	To be completed by respondent. Submitted YES/NO/N/A
1	Roads – New and upgrades	<p><b>Company</b></p> <ul style="list-style-type: none"> <li>1 Successfully completed new Road and/or upgrade projects in last 7 years. Reference letter signed by the client.</li> </ul> <p><b>Personnel</b></p> <ul style="list-style-type: none"> <li>1 Professionally registered Road design professional (Pr Eng or Pr Tech Eng or Pr Techni) with a minimum individual 5-year designs experience in <b><u>Road Infrastructure Design.</u></b> Valid certificate of registration, CV's with contactable references must be provided.</li> </ul>		
2	Major Structures – New and Upgrades	<p><b>Company</b></p> <ul style="list-style-type: none"> <li>1 Successfully completed Structural design and/or structure upgrade projects in last 5 years. Reference letter signed by the client.</li> </ul> <p><b>Personnel</b></p> <ul style="list-style-type: none"> <li>1 Professionally registered Road design professional (Pr Eng or Pr Tech Eng or Pr Techni) with a minimum of 5 years' experience each or Pr Techni with 5 Years' experience each <b>in <u>Bridge/Culvert/Road Infrastructure Structures Design.</u></b> Copy of registration, CV's with contactable references must be provided.</li> </ul>		
3	Road Rehabilitation and Reseals	<p><b>Company</b></p> <ul style="list-style-type: none"> <li>1 Successfully completed Road rehabilitation and /or road reseal projects in last 5 years. Reference letter signed by the client.</li> </ul>		

**PANELS OF PROFESSIONAL ENGINEERING SERVICE PROVIDERS FOR NEW ROAD  
INFRASTRUCTURE AND UPGRADES, NEW MAJOR STRUCTURES UPGRADES AND ROAD  
REHABILITATION AND RESEAL PROJECTS FOR A PERIOD OF 3 YEARS.**

Panel no.	Panel Name	Mandatory requirements	To be completed by respondent. Name of Personnel	To be completed by respondent. Submitted YES/NO/N/A
		<p><b>Personnel</b></p> <p>1 Professionally registered Road design professional (Pr Eng or Pr Tech Eng or Pr Techni) with a minimum 5 year deigns experience <b>in Road Rehabilitation and Reseals.</b> Copy of registration, CV's with contactable references must be provided.</p>		

Signed		Date	
Name		Position	
Respondent name			

**Failure to complete, sign and date this form shall result in the expressions of interest being considered non-responsive in terms of subclause D.2.8 of the Conditions for the calling of Expressions of interest and such an Expressions of interest shall be rejected.**