

NATIONAL LOTTERIES COMMISSION

APPOINTMENT OF A SERVICE PROVIDER TO DELIVER AN ENTERPRISE ARCHITECTURE (EA) BLUEPRINT AND SUPPORT FOR A PERIOD OF TWENTY-FOUR (24) MONTHS

BID PROCESS	BID REQUIREMENTS
Tender number	NLC2025-022
Bid Advertisement Date	10 December 2025
Closing date and time	27 January 2026
Tender validity period	120 business working days
Compulsory Briefing meeting	16 January 2026 @ 11:00 National Lotteries Commission 333 Grosvenor Street Block D, Hatfield Gardens Hatfield, Pretoria 0083
Tenders Submission:	All submissions Must be addressed to Supply Chain Management, NLC Submission of proposals through (online submission on e-tender).

1. BACKGROUND

The National Lotteries Commission (NLC) is a public entity established by the Lotteries Act No. 57 of 1997, as amended, to regulate the South African lotteries industry. The NLC has a dual mandate:

1. Regulation of the National Lottery and other Lotteries – ensuring compliance with applicable laws and regulations, protecting players, and promoting integrity within the industry.
2. Administration of the National Lottery Distribution Trust Fund (NLDTF) – ensuring that funds raised through lottery activities are distributed responsibly to support good causes in line with government priorities.

The Distributing Agencies (DAs), appointed by the Minister of Trade & Industry, are responsible for adjudicating funding applications in accordance with the Lotteries Act and applicable Regulations. The NLC, through its oversight, ensures fair, transparent, and accountable processes in the allocation and administration of the NLDTF.

The NLC's mandate requires a strong alignment between regulatory functions, grant administration, governance, and enabling ICT systems to deliver services efficiently. To achieve this, the NLC aims to appoint a service provider for a 24-month contract term. This longer duration is intended to ensure that the service provider not only develops an Enterprise Architecture (EA) blueprint but also unpacks initiatives arising from it, prepares board-ready approval packs, and provides advisory oversight through governance processes. The extended term offers sufficient time for blueprinting, initiating business cases, Value realisation and costing, soliciting Executive and Board approval and funding, as well as tender specifications and support during initial implementation.

The development of an Enterprise Architecture (EA) framework as an NLC blueprint is critical to ensure integration, reduce duplication, enhance digital transformation, and build a sustainable technology ecosystem that supports the Commission's statutory obligations and service delivery objectives.

2. PURPOSE OF THE TERMS OF REFERENCE

The purpose of this engagement is to appoint a suitably qualified service provider to develop, design, implement, and institutionalise an Enterprise Architecture (EA) for the National Lotteries Commission (NLC). The EA initiative must ensure that the NLC's ICT environment is fully aligned to its dual mandate of regulating the lotteries industry and administering the National Lottery Distribution Trust Fund (NLDTF). The EA will provide a blueprint to guide technology investments, strengthen governance, enhance service delivery, and support long-term digital transformation.

3. OBJECTIVES

The objectives of these Terms of Reference are to:

1. **Establish an EA Capability:** Develop a sustainable EA function within the NLC, including frameworks, standards, processes, roles, and tools to govern ICT decisions and ensure consistency across the organisation.
2. **Baseline the Current Environment (AS-IS):** Document and assess the current state of NLC's business, application, data, infrastructure, and security landscapes to identify strengths, weaknesses, risks, and inefficiencies.
3. **Define the Future State (TO-BE):** Create a forward-looking target architecture that supports NLC's strategic priorities, improves efficiency, ensures compliance, and enables agility and scalability in service delivery.
4. **Identify Gaps and Opportunities:** Perform a comprehensive gap analysis to determine where the NLC needs to improve, rationalise, or transform systems, processes, and infrastructure, and highlight opportunities for cost optimisation and innovation.
5. **Develop a Transformation Roadmap:** Provide a prioritised, phased roadmap with clear milestones, business cases, estimated costs, and benefits that will guide the transition from the current to the future state.
6. **Strengthen EA Governance:** Establish decision-making frameworks, review processes, reference architectures, and compliance mechanisms to ensure that ICT investments align with organisational goals and regulatory requirements.
7. **Support Strategic Planning:** Translate the EA target state into a comprehensive, costed, and actionable five-year ICT Strategy and Plan, including risk management, resourcing, operating model, and funding considerations.
8. **Enable Knowledge Transfer:** Ensure that NLC staff are empowered through training, workshops, and knowledge transfer to sustain the EA function beyond the contract term.

4. SCOPE OF WORK

The successful bidder will deliver the following workstreams, each aligned to the National Lotteries Commission (NLC) mandate, current ICT environment, and strategic priorities. This

scope reflects the Commission's dual mandate of regulating the lotteries industry and administering the National Lottery Distribution Trust Fund (NLDTF), as well as its ongoing modernisation journey, system implementations, and governance requirements.

Importantly, the appointed service provider will not only produce an Enterprise Architecture (EA) blueprint but will also be required to unpack, document, and deliver detailed initiatives arising from the blueprint's outcomes. These initiatives must be packaged into actionable programmes and projects, supported by business cases, timelines, and costings. They must be presented for approval by the NLC Executive and ultimately by the NLC Board. The service provider will be held accountable for producing high-quality, board-ready documentation and ensuring that initiatives identified in the EA are clearly articulated, costed, and aligned to NLC's strategic priorities. This accountability extends to providing advisory and support capacity to NLC in guiding initiatives through the relevant governance structures.

Given the strategic depth and the requirement for accountability through board approval processes, the service provider will be appointed for a period of 24 months. This will enable delivery of the EA framework, unpacking of initiatives, support for board approvals, and initial oversight of implementation alignment.

SOW A: EA FOUNDATIONS & PRINCIPLES

1. Develop an EA vision and guiding principles that align ICT with the NLC's dual mandate of regulating lotteries and administering the NLDTF.
2. Define standards, tools, modelling conventions, and a meta-model suitable for capturing NLC's regulatory, financial, and grant-administration processes.
3. Establish an EA operating model, with clear roles and responsibilities integrated into NLC governance forums (Executive Committee, ICT Steering, Change Control Committee, Audit & Risk, and Distributing Agencies oversight structures).
4. Ensure EA principles directly support NLC's Annual Performance Plan (APP) and Annual Operational Plan (AOP), which currently serve as interim ICT strategies.

SOW B: CURRENT STATE (AS-IS) BASELINE

1. **Business Architecture:** Map NLC's capabilities including licensing of lotteries, compliance monitoring, grant adjudication, fund distribution, and stakeholder engagement. Document value streams for regulation and funding, including Minister, DTI, DAs, beneficiaries, and internal divisions.
2. **Application Architecture:** Catalogue current systems such as Oracle legacy systems, Sybrin GMS 4.0, ESRI GIS, Mimecast, Microsoft 365, Microsoft Azure, Intune, Defender,

Sentinel, SD-WAN, Symantec (for decommissioning), and hosted firewall services. Assess ownership, lifecycle, inter-dependencies, and support models.

3. **Data/Information Architecture:** Identify and document information domains critical for compliance and oversight, including Anti-Money Laundering (AML) and Know Your Customer (KYC) requirements. AML refers to processes, laws, and controls to detect and prevent the use of financial systems to disguise the origins of illegally obtained funds. KYC refers to the verification of an individual's or entity's identity before entering financial or contractual relationships, ensuring compliance and preventing fraud. In the NLC context, this includes AML/KYC checks on lottery operators, applicants, and beneficiaries, with API integrations to agencies such as SARS, DHA, CIPC, and FIC. Document grant disbursement flows, lottery revenue reporting, and beneficiary information. Include POPIA alignment, data quality, retention/classification, and National Archives (NARSSA) requirements.
4. **Technology/Infrastructure Architecture:** Assess the current hybrid infrastructure, including VM-Ware/Hyper-V virtualisation clusters, disaster recovery (DR) migration to a site of colocation to be specified, SD-WAN broadband rollout, Wi-Fi, and planned upgrade of the existing Cisco LAN infrastructure. Evaluate resilience, scalability, and DR capability.
5. **Security Architecture:** Review existing cybersecurity stack with focus on Microsoft E5 integration (Defender, Intune, Sentinel) and gaps in the absence of a SOC. Include Identity Access Management (IAM), endpoint protection, and monitoring controls for sensitive grant and regulatory data.

SOW C: GAP ANALYSIS & ROADMAP

1. Identify functional, technical, and compliance gaps between AS-IS and TO-BE states.
2. Provide a sequenced roadmap of initiatives, including quick wins such as records management, rationalisation of overlapping applications, and data cleansing for grant reporting.
3. Incorporate initiatives flagged as priorities in the ICT Management Report, such as ERP rollout, Cloud-Based Telephony System (CBTS) telephony replacement, cybersecurity enhancements, and records management.
4. Develop business cases and benefits realisation frameworks, ensuring alignment with AGSA and Internal Audit compliance requirements.

SOW D: EA GOVERNANCE & CHANGE

1. Establish an EA governance framework integrated with NLC structures including ICT Steering Committee and the Change Control Committee (CCC).
2. Define reference architectures, reusable patterns, and standards relevant to regulation, grant funding, and financial oversight.

3. Ensure governance supports resolution of AGSA and Internal Audit findings relating to ICT controls.
4. Deliver training and change management to embed EA practices across ICT and business units.

SOW E: FIVE-YEAR ICT STRATEGY & PLAN

1. Produce a costed ICT Strategy bridging the interim APP/AOP-based ICT plan with the 2026/27–2030/31 ICT Strategic Plan.
2. Define milestones, resourcing needs, and alignment with National Treasury frameworks for planning and budgeting.
3. Include benefit realisation tracking for each initiative.

5. METHODOLOGY AND FRAMEWORK ALIGNMENT

1. Apply an objective-based EA approach aligned to the Group Architecture Framework (TOGAF) Architecture Development Method (ADM) concepts.k.
2. Use an agreed EA content meta-model (capabilities, processes, applications, data, technology, security, projects, risks, costs, owners).
3. Use open standards; prefer reusable reference models and patterns.

6. DELIVERABLES

The appointed service provider must produce the following board-ready and actionable deliverables. All artefacts must be stored in the EA repository and delivered in both editable (source) and presentation formats.

1. **EA Foundations Pack:** Vision, principles, operating model, standards, tools, meta-model, and modelling conventions.
2. **AS-IS Architecture:** Business, Application, Data/Information, Technology, and Security (catalogues, matrices, and diagrams).
3. **TO-BE Architecture:** Target reference architectures & patterns for each domain; target maps and guardrails.
4. **Gap Analysis & Options Report:** Gaps, options, recommendations, risk/impact analysis.
5. **Portfolio of Initiatives & Business Cases:** A traceable register of initiatives derived from the EA blueprint, with board-ready project charters per initiative covering: scope, objectives, dependencies, delivery approach, resourcing/skills, milestone plan, CapEx/OpEx, TCO, benefits with KPIs, risks/assumptions, and compliance considerations (PFMA/Treasury/POPIA where relevant).

6. **Roadmap & Migration Approach:** Sequenced waves/releases with critical path, change/impact analysis, quick wins, and decision gates.
7. **EA Governance Framework:** Decision rights, artefact catalogue, review process, RACI, conformance/exception handling, and stage-gate checklists (including CCC/ICT SteerCom integration).
8. **Board-Ready Approval Packs (Initiatives & Strategy):** Executive summaries, decision memoranda, slide decks, cost–benefit overviews, risk heatmaps, and compliance checklists suitable for Executive Committee and Board approval cycles.
9. **Five-Year ICT Strategy & Plan (Board-Ready):** Strategy narrative, initiative book, sequencing, risks, costed plan (CapEx/OpEx), benefits, and operating-model blueprint, prepared for formal submission to the Board.
10. **Training & Knowledge Transfer:** Role-based training, playbooks, how-to guides, workshop artefacts, and handover pack to enable NLC self-sufficiency.
11. **Benefits Realisation & Measurement Framework:** Baselines, target KPIs, measurement methods, and a benefits tracking dashboard/template aligned to APP/AOP and audit requirements.
12. **Implementation Advisory & Support (within the 24 Months contract period):** Architecture conformance reviews, participation in SteerCom/CCC sessions, procurement/specification inputs for mobilising initiatives, monthly advisory status notes to support decision-making and Board reporting.

Acceptance Notes (apply to all deliverables): (a) artefacts meet agreed templates and quality criteria; (b) changes are version-controlled in the EA repository; (c) ‘board-ready’ means documents include an executive summary (≤3 pages), clear decision statements, options considered, cost/benefit, and risk/mitigation; (d) successful knowledge transfer evidenced by attendance registers and materials; (e) EA diagrams are complete and traceable to capabilities, processes, data, applications, technology and security.

7. PROJECT DELIVERY TIMELINES

The project delivery is phased over the 24-month contract period. The following are key milestones to be considered when developing the project schedule:

7.1 EA blueprint

7.2 Roadmap and ICT strategy

7.3 EA Capability Establishment

The service provider is expected to define a project delivery schedule showing how the scope deliverables will be executed within the 24 month contract period.

Notes:

1. Deliverables must be presented at the end of each phase for formal review and acceptance by the NLC Project Steering structures.
2. The service provider remains accountable for producing board-ready documentation at the points of Executive and Board submission.
3. Ongoing advisory support runs throughout all phases, with a focus on initiative mobilisation and governance compliance.

8. REPORTING REQUIREMENTS

The service provider will report to the Information and Communications Technology (ICT) Division.

9. DURATION OF THE PROJECT

The expected duration of the contract is 24 months years which may be effective on the date of appointment, the date of signing of a service level agreement (SLA), or as directed and at the discretion of the NLC.

SECTION 2: NOTICE TO BIDDERS

1. Terms and conditions of Request for Proposals (RFP)

- 1.1 This document may contain confidential information that is the property of the NLC.
- 1.2 No part of the contents may be used, copied, disclosed, or conveyed in whole or in part to any party in any manner whatsoever other than for preparing a proposal in response to this RFP without prior written permission from the NLC.
- 1.3 All copyright and intellectual property herein vests with the NLC.
- 1.4 Late and incomplete submissions will not be accepted.
- 1.5 No services must be rendered, or goods delivered before an official NLC Purchase Order form has been received.
- 1.6 This RFP will be evaluated in terms of the 80/20 preference point system
- 1.7 Suppliers are required to register on the Central Supplier Database at www.csd.gov.za.
- 1.8 Suppliers must provide their CSD registration number (and attach a CSD Registration report) and ensure that tax matters are compliant.
- 1.9 All questions regarding this RFP must be forwarded to lucky@nlcsa.org.za.
- 1.10 Any supplier who has reasons to believe that the RFP specification is based

on a specific brand must inform the NLC via the email addressed in 1.9.

2. General rules and instructions

2.1 News and press releases

- 2.1.1 Bidders or their agents shall not make any news releases concerning this RFP or the awarding of the same or any resulting agreement(s) without the consent of, and then only in co-ordination with, the NLC.

2.2 Precedence of documents

- 2.2.1 This RFP consists of several sections. Where there is a contradiction in terms between the clauses, phrases, words, stipulations or terms and herein referred to generally as stipulations in this RFP and the stipulations in any other document attached hereto, or the RFP submitted hereto, the relevant stipulations in this RFP shall take precedence.

- 2.2.2 Where this RFP is silent on any matter, the relevant stipulations addressing such matter, and which appear in section 217 of the constitution of the republic shall take precedence. Bidders shall refrain from incorporating any additional stipulations in its proposal submitted in terms hereof other than in the form of a clearly marked recommendation that the NLC may in its sole discretion elect to import or to ignore. Any such inclusion shall not be used for any purpose of interpretation unless it has been so imported or acknowledged by the NLC.

It remains the exclusive domain and election of the NLC as to which of these stipulations are applicable and to what extent. Bidders are hereby acknowledging that the decision of the commission in this regard is final and binding. The onus to enquire and obtain clarity in this regard rests with the Bidder(s). The Bidder(s) shall take care to restrict its enquiries in this regard to the most reasonable interpretations required to ensure the necessary consensus.

2.3 Preferential procurement reform

- 2.3.1 The commission supports B-BBEE as an essential ingredient of its business. In accordance with government policy, the NLC insists that the private sector demonstrates its commitment and track record to B-BBEE in the areas of ownership (shareholding), skills transfer, employment equity and procurement practices (SMME Development) etc.

2.4 National Industrial Participation Programme

- 2.4.1 The Industrial Participation policy, which was endorsed by Cabinet on 30 April 1997, is applicable to contracts that have an imported content. The NIP is

obligatory and therefore must be complied with. Bidders are required to sign and submit the Standard Bidding Document (SBD).

2.5 Language

2.5.1 Bids shall be submitted in English.

2.6 Gender

2.6.1 Any word implying any gender shall be interpreted to imply all other genders.

2.7 Headings

2.7.1 Headings are incorporated into this RFP document and submitted in response thereto, for ease of reference only and shall not form part thereof for any purpose of interpretation or for any other purpose.

2.8 Occupational Injuries and Diseases Act 13 of 1993

2.8.1 The Bidder warrants that all its employees (including the employees of any sub-contractor that may be appointed) are covered in terms of the Compensation for Occupational Injuries and Diseases Act 13 of 1993 and that the cover shall remain in force for the duration of the adjudication of this RFP and/ or subsequent agreement. the commission reserves the right to request the Bidder to submit documentary proof of the Bidder's registration and "good standing" with the Compensation Fund, or similar proof acceptable to the commission.

2.9 Processing of the Bidder's Personal Information

2.9.1 All Personal Information of the Bidder, its employees, representatives, associates and sub-contractors ("Bidder Personal Information") required under this RFP is collected and processed for the purpose of assessing the content of its tender proposal and awarding the bid. The Bidder is advised that Bidder Personal Information may be passed on to third parties to whom the commission is compelled by law to provide such information. For example, where appropriate, the commission is compelled to submit information to National Treasury's Database of Restricted Suppliers.

2.9.2 All Personal Information collected will be processed in accordance with POPIA and with the commission.

2.9.3 Data Privacy Policy.

2.9.4 The following persons will have access to the Personal Information collected:

2.9.4.1 The commission personnel participating in procurement/award procedures; and

2.9.4.2 Members of the public: within seven working days from the time the bid is awarded, the following information will have to be made available on National Treasury's e-Tender portal:

2.9.4.2.1 contract description and bid number.

2.9.4.2.2 names of the successful bidder(s) and preference points claimed.

2.9.4.2.3 the contract price(s) (if possible).

- 2.9.4.2.4 contract period.
- 2.9.4.2.5 names of directors; and
- 2.9.4.2.6 date of completion/award.

2.9.5 The commission will ensure that the rights of the Bidder and of its employees and representatives (i.e., the right of access and the right to rectify) are effectively guaranteed in accordance with the procedures as specified in the commission PAIA manual.

2.9.6 In signing this document, the Bidder consents to the use of its Personal Information for the purposes as specified in section 2.9.1 above.

3. Compulsory Briefing Session

There will be compulsory briefing session. Please refer to the first page for briefing details;

4. Validity Period

- 4.1 The Commission requires a validity period of 120 Business Days against this RFP.
- 4.2 Bidders are to note that they may be requested to extend the validity period of their bids, on the same terms and conditions, if the internal evaluation process are not finalised within the validity period.

5. National Treasury's Central Supplier Database

- 5.1 Bidders are required to self-register on National Treasury's Central Supplier Database (CSD) which has been established to centrally administer supplier information for all organs of state and facilitate the verification of certain key supplier information.
- 5.2 The Commission may not award business to a bidder who has failed to register on the CSD.
- 5.3 Only foreign suppliers with no local registered entity need not register on the CSD.
- 5.4 The CSD can be accessed at <https://secure.csd.gov.za/>

6. Confidentiality

- 6.1 Bids submitted for this Request for Proposals will not be revealed to any other bidders and will be treated as contractually binding;
- 6.2 The Commission reserves all the rights afforded to it by the POPIA in the processing of any of its information as contained in Bid Proposals.
- 6.3 The Bidder acknowledges that it will obtain and have access to personal information of The NLC and agrees that it shall only process the information disclosed by the NLC in terms of this bid award and only for the purposes as detailed in this RFP and in accordance with any applicable law.
- 6.4 The Bidder shall notify the NLC in writing of any unauthorised access to personal

information and the information of a third party, through cybercrimes or suspected cybercrimes, in its knowledge and report such crimes or suspected crimes to the relevant authorities in accordance with applicable laws, after becoming aware of such.

7. Communication

- 7.1 Specific queries relating to this RFP should be submitted lucky@nlcsa.org.za, before the closing date.
- 7.2 In the interest of fairness and transparency the NLC's response to such a query may be made available to other bidders.
- 7.3 It is prohibited for bidders to attempt, either directly or indirectly, to canvass any officer or employee of the NLC in respect of this RFP between the closing date and the date of the award of the business.
- 7.4 Bidders found to be in collusion with one another will be automatically disqualified and restricted from doing business with organs of state for a specified period.

8. Supplier Performance

- 8.1 The National Lotteries Commission conducts regular performance reviews in accordance with the requirements for the classification of the contract and or stakeholder by making use of supplier evaluation forms. The evaluation is conducted against the deliverables or scope of the contract with a minimum of an annual review done for contracts longer than a year and a review at completion of contract for those contracts less than a year.
- 8.2 Ad-hoc performance reviews shall be conducted where non-performance is identified outside the review period.
- 8.3 Non-performance will be addressed with at least a formal letter advising specific non-performing areas and stating remedial action/s required within specific time frames. Non-adherence to remedial actions shall lead to escalating performance management actions.
- 8.4 Any party to this agreement may request to participate in a joint performance review where appropriate and seek continuous improvement opportunities.

SECTION 3 EVALUATION CRITERIA

The below phases evaluation criteria will be considered in evaluating the proposals, being:

Phase 1: Tender Closing and Opening

1.1 Tender closing details

The closing date for Tender submission is on **27 January 2026** Standard South African Time. Any late tenders will not be accepted. Tenders (USB) are to be submitted to the NLC's tender box at the following physical address:

National Lotteries Commission

**333 Grosvenor Street
Block D Hatfield Gardens
Hatfield, Pretoria**

1.2 Bid Formats

Bid submissions must be submitted in a PDF format that is protected from any modifications, deletions, or additions.

Financial/pricing information must be presented in a **separate attachment** from the Technical/Functional Response information. The onus is on the Bidder to ensure that all mandatory and required documents are included in the electronic submission.

The NLC will not be responsible for any failure or delay in the submission or receipt of the bid including but not limited to:

- Poor Network
- Load Shedding.

Phase 2: Administrative Compliance

All bid respondents must submit the relevant documents that comply with administrative compliance, which will include the following:

Evaluation Criteria	Supporting Document
<ul style="list-style-type: none"> • All Returnable Documents and/or schedules [where applicable] must be completed and returned by the closing date and time 	SBD Form 1 SBD Form 6.1
<ul style="list-style-type: none"> • The Bid document has been duly signed by the authorised bidder official 	Company resolution as proof of authorised individuals' delegation
<ul style="list-style-type: none"> • Whether Bid contains a priced/financial offer 	Pricing and delivery schedule
<ul style="list-style-type: none"> • Whether the Bidder tax affairs in order 	Valid Tax Compliance System Pin
<ul style="list-style-type: none"> • Bidders must register on the CSD. Only foreign suppliers with no local registered entity need not register on the CSD 	Proof of Full Central Supplier Database (CSD) registration

Phase 3: Mandatory Compliance

All bid respondents must submit mandatory documents that comply with all mandatory requirements. Bids that do not fully comply with the mandatory requirements will be disqualified and will not be considered for further evaluation. The mandatory Compliance Evaluation will include the following:

Evaluation Criteria	Supporting Document
1. In the event of the bidder being in a joint venture (JV), a signed JV agreement must be submitted (where applicable)	JV Agreement

2. Declaration of Interest (SBD 4)	Fully Completed SBD 4
3. EA Certification and experience of the Lead Architect	1. The Open Group Architecture Framework (TOGAF) version 9.2 or 10 Enterprise Architecture (EA) Practitioner Certificate.
4. Bidders must attend compulsory briefing session	Completed and signed briefing certificate
5. Must provide NLC with Price Quotation	Quotation

Note to Bidders:

Bidders may be requested, at the behest of the NLC, to submit via courier services to the SCM unit of the NLC, within a minimum of 3 working days from date of request hard copy certified qualifications, memberships certificates, COIDA etc. which may have been requested for mandatory or functionality assessment. Failure to submit the information within the requested period shall render the bidder non-responsive.

Phase 4: Technical Evaluation

The evaluation for the Technical and Functional threshold will include the following:

Rating	Definition	Score
Excellent	Exceeds the requirement. Exceptional demonstration of ability, understanding, experience, skills, resources, and quality measures. Adds clear value.	5
Good	Satisfies the requirement with minor additional benefits. Above average demonstration with supporting evidence.	4
Acceptable	Meets the requirement. Adequate demonstration with supporting evidence.	3
Minor Reservations	Does not meet the requirement with minor reservations. Limited supporting evidence.	2
Serious Reservations	Does not meet the requirement with major reservations. Considerable concerns and minimal evidence.	1
Unacceptable	Does not meet the requirement. Insufficient information or non-compliance.	0

EVALUATION CRITERIA	Scoring Matrix	% Weight
1. Company Experience		

EVALUATION CRITERIA	Scoring Matrix	% Weight
1.1 Company Experience & Capability		10%
Bidders must submit a company profile showing years of experience in delivering Enterprise Architecture projects.	<ul style="list-style-type: none"> No information provided = 0 Points < 1 years = 1 point. 1 – 3 years = 2 points. 4 –6 years = 3 points. 7 - 9 years = 4 points. 10 years and above = 5 points. 	
1.2 Written Reference Letters		15%
Provide up to 5 reference letters from clients in the last 5 years (2020–2025) confirming successful completion of Enterprise Architecture projects. Reference letters must be on official letterhead, signed and dated, and include contact details, scope, duration, and outcomes. Only completed projects will be considered valid.	<ul style="list-style-type: none"> No reference letters provided = 0 points 1 relevant reference letter = 1 point 2 relevant reference letters = 2 points 3 relevant reference letters = 3 points 4 relevant reference letters = 4 points 5 relevant reference letters = 5 points 	
2. CAPACITY AND ABILITY TO IMPLEMENT		
2.1 Experience – Lead Enterprise Architect (Project Manager)		15%
An abridged CV must clearly show name, role, qualifications, TOGAF certification, and years of experience leading EA or ICT Strategy projects.	<ul style="list-style-type: none"> No experience indicated = 0 points. Experience < 3 years = 1 point. Experience > 3 and =< 5 years = 2 points. Experience > 5 and =< 7 years = 3 points. Experience > 7 and =< 10 years = 4 points. Experience >= 10 years = 5 points. 	
2.2 Experience – Team Roles Coverage		20%
Evaluate whether the proposed team covers all six architect roles: Enterprise, Business, Application, Data, Infrastructure, and Security Architects.	<ul style="list-style-type: none"> No CVs = 0 points 1 role covered = 1 point. 2 roles covered = 2 points. 3–4 roles covered = 3 points. 	

EVALUATION CRITERIA	Scoring Matrix	% Weight
<p>Full points require that CVs show relevant certifications for each role:</p> <p><u>Enterprise Architect:</u> TOGAF practitioner certificate (mandatory for Lead)</p> <p><u>Business Architect:</u> BIZBOK or equivalent business architecture certification</p> <p><u>Application Architect:</u> TOGAF practitioner or Microsoft Certified Solutions Architect (Azure)</p> <p><u>Data Architect:</u> TOGAF practitioner or DAMA Certified Data Management Professional (CDMP) or equivalent</p> <p><u>Infrastructure Architect:</u> Cisco Certified Network Professional (CCNP), VMware Certified Professional (VCP), or TOGAF practitioner or Microsoft Azure Solutions Architect</p> <p><u>Security Architect:</u> Certified Information Systems Security Professional (CISSP), Certified Information Security Manager (CISM), or SABSA or TOGAF practitioner</p>	<ul style="list-style-type: none"> 5 roles covered = 4 points. All 6 roles covered with relevant certifications = 5 points. 	
3. TECHNICAL PROPOSAL		
3.1 Methodology & Approach		15%
<p>The bidder must demonstrate an understanding of best practice Enterprise Architecture approach and its applicability within NLC.</p>	<ul style="list-style-type: none"> No understanding of EA best practice and applicability within NLC context = 0 points. Very weak, generic statements with no link to NLC = 1 point. Limited understanding of of EA best practice and applicability within NLC context, mostly generic context = 2 points. Some knowledge of of EA best practice and applicability within NLC context and ICT modernisation needs = 3 points. 	

EVALUATION CRITERIA	Scoring Matrix	% Weight
	<ul style="list-style-type: none"> Clear understanding of of EA best practice and applicability within NLC context = 4 points. Deep contextualisation of EA best practice and applicability within NLC context, with explicit linkage to NLC challenges, governance, and board accountability = 5 points. 	
4. PROJECT MANAGEMENT & GOVERNANCE		
4.1 Project Plan		15%
The bidder must provide a realistic 24-month phased project plan with milestones, resources, risks, and dependencies.	<ul style="list-style-type: none"> No plan provided = 0 points. Plan provided but vague, with major reservations and little supporting evidence = 1 point. Basic timeline only, limited detail on sequencing or deliverables = 2 points. Timeline with resources and milestones, but minor reservations remain = 3 points. Timeline with resources, risks, and dependencies included, good supporting evidence = 4 points. Comprehensive phased plan (24 months) with milestones, QA, governance, and clear reporting = 5 points. 	
4.2 Service Level Agreement		10%
The bidder must describe governance arrangements and SLA framework (escalation, reporting, accountability).	<ul style="list-style-type: none"> No governance or SLA provided = 0 points Governance/SLA mentioned but very weak, considerable reservations = 1 point Basic governance or SLA framework provided, limited scope = 2 points Governance + SLA framework included with supporting evidence = 3 points 	

EVALUATION CRITERIA	Scoring Matrix	% Weight
	<ul style="list-style-type: none"> Governance + SLA with escalation, reporting, and accountability = 4 points Comprehensive governance + SLA with escalation paths, reporting dashboards, monthly reviews, and accountability mechanisms = 5 points 	
Total:		100

Total Weighting:

100

Minimum qualifying score required:

70

Phase 5: Pricing and Specific Goals

5.1 The evaluation for Pricing and Specific Goals will include the following:

Pricing Schedule: Please refer to Annexure A: The evaluation for Pricing and Specific Goals will include the following:

Evaluation Criteria	Final Weighted Scores
<p>PRICE</p> <p>The following formula will be used to calculate the points out of 80 for price in respect of a tender with a Rand value equal to or above R30 000 and up to a Rand value of R50 million, inclusive of all applicable taxes:</p> $P_s = 80 \left(1 - \frac{P_t - P_{min}}{P_{min}} \right)$ <p>Where:</p> <p>P_s = Score for the Bid under consideration</p> <p>P_t = Price of Bid under consideration</p> <p>P_{min} = Price of lowest acceptable Bid</p>	80

<p>SPECIFIC GOALS</p> <p>In terms of Regulation 4(2); 5(2); 6(2) and 7(2) of the Preferential Procurement Regulations, preference points must be awarded for specific goals.</p>	<p>20</p>
<p>TOTAL SCORE:</p>	<p>100</p>

A maximum of 20 points to be awarded to a tenderer for the specific goals specified for this bid is as follows: -

1. Procurement from entities who are black Owned	Sub – points for specific goals	Maximum points for specific goals	Relevant Evidence
Tenderer who has 100% black Ownership	8	8	Copies of ID's/ CIPC Report Recent Full CSD Report
Tenderer who has 51% to 99% black ownership	4		
Tenderer who has less than 51% Black ownership	0		
2. Procurement from entities who are women owned		4	B-BBEE Certificate / B-BBEE/ Sworn Affidavit
Tenderer who has 100% women ownership	4		
Tenderer who has 30% to 99% women ownership	2		
Tenderer who has less than 30% women ownership	0		
3. Black Youth Ownership		4	B-BBEE Certificate / B-BBEE/ Sworn Affidavit
Tenderer who has 100% black youth ownership	4		
Tenderer who has 30% to 99% black youth ownership	2		
Tenderer who has less than 30% black youth ownership	0		
4. Procurement from Disabilities		4	Letter from the Doctor confirming disability
Tenderer who has 20% or more owners with disability	4		
Tenderer who has less than 20% but more than 10% owners with disability	2		
Tenderer who have less than 10% owners with disability	0		
Total points for specific goals		20	

The points scored for the specific goal must be added to the points scored for price and the total must be rounded off to the nearest two decimal places. Final appointment to be awarded to the bidder scoring the highest points.

Stage 6: Contract and Award

The stage is for negotiation after receipt of formal tenders and before the conclusion of contracts with suppliers/contractors submitting the lowest acceptable tender with a view to obtaining an improvement in price, delivery, or content, in circumstances which do not put other tenderers at a disadvantage or affect adversely their confidence or trust in the competitive system. Bidders may be requested to provide their best and final offers based on contract negotiation.

ANNEXURE A: CV TEMPLATE

Proposed role in the project: _____

Name: _____

First name: _____

Date of birth: _____

Nationality: _____

Education

Institution (Date from- Date to)	Degree(s) or Diploma(s) obtained

Membership of Professional Bodies: _____

Other skills (e.g. computer literacy, etc.): _____

Present position _____

Years within the organisation: _____

Key qualifications (relevant to project): _____

Professional experience _____

Date (From -To) (mm/yy)	
Organisation	
Location	
Position	
Description of duties	

Date (From -To) (mm/yy)	
Organisation	
Location	
Position	
Description of duties	

Date (From -To) (mm/yy)	
Organisation	
Location	
Description of duties	

SCM CONSENT REQUEST FORM

REQUEST FOR THE CONSENT OF A DATA SUBJECT FOR PROCESSING OF PERSONAL INFORMATION FOR THE PURPOSE OF PROCUREMENT OF GOODS AND SERVICES APPLICATION, IN LINE WITH THE NLC's SUPPLY CHAIN MANAGEMENT POLICY, IN TERMS OF SECTION 11(1)(a) OF THE PROTECTION OF PERSONAL INFORMATION ACT, 2013 (ACT NO.4 OF 2013) ("**POPIA**").

TO: _____

FROM: _____

ADDRESS: _____

Contact number: _____

Email address: _____

PART A

1. In terms of the PROTECTION OF PERSONAL INFORMATION ACT, consent for processing of personal information of a data subject (the person/entity to whom personal information relates) must be obtained for the purpose of processing of application for procurement of goods and services, in line with the NLC's supply chain management policy, and storage of your personal data by means of any form of electronic communication, including automatic calling machines, facsimile machines, SMSs or e-mail, which is prohibited unless written consent to the processing is given by the data subject. You may only be approached once for your consent by us (NLC). After you have indicated your wishes in Part B, you are kindly requested to submit this Form either by post, facsimile or e-mail to the address, facsimile number or e-mail address as stated above.
2. "Processing" means any operation or activity or any set of operations, whether or not by automatic means, concerning personal information, including—
 - 2.1 the collection, receipt, recording, organisation, collation, storage, updating or modification, retrieval, alteration, consultation or use;
 - 2.2 dissemination by means of transmission, distribution or making available in any other form; or

- 2.3 merging, linking, as well as restriction, degradation, erasure or destruction of information.
3. "Personal information" means information relating to an identifiable, living, natural person, and where it is applicable, an identifiable, existing juristic person, including, but not limited to—
- 3.1 information relating to the race, gender, sex, pregnancy, marital status, national, ethnic or social origin, colour, sexual orientation, age, physical or mental health, well-being, disability, religion, conscience, belief, culture, language and birth of the person;
 - 3.2 information relating to the education or the medical, financial, criminal or employment history of the person;
 - 3.3 any identifying number, symbol, e-mail address, physical address, telephone number, location information, online identifier or other particular assignment to the person;
 - 3.4 the biometric information of the person;
 - 3.5 the personal opinions, views or preferences of the person;
 - 3.6 correspondence sent by the person that is implicitly or explicitly of a private or confidential nature or further correspondence that would reveal the contents of the original correspondence;
 - 3.7 the views or opinions of another individual about the person; and
 - 3.8 the name of the person if it appears with other personal information relating to the person or if the disclosure of the name itself would reveal information about the person.

Full names of the designated person on behalf of the Responsible Party

Signature of Designation

PART A INVITATION TO BID

SBD1

YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF THE NATIONAL LOTTERIES

BID NUMBER:	NLC2025-022	CLOSING DATE	27 January 2026	CLOSING TIME:	11:00
DESCRIPTION	APPOINTMENT OF A SERVICE PROVIDER TO DELIVER AN ENTERPRISE ARCHITECTURE (EA) BLUEPRINT AND SUPPORT FOR A PERIOD OF TWENTY-FOUR (24) MONTHS				

Bids Proposals Submission: All submissions Must be addressed to Supply Chain Management, NLC Submission of proposals through (online submission on e-tender).

BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO			TECHNICAL ENQUIRIES MAY BE DIRECTED TO:		
CONTACT PERSON	Supply Chain Management		CONTACT PERSON	Supply Chain Management	
TELEPHONE NUMBER	()		TELEPHONE NUMBER	012 432 1309	
FACSIMILE NUMBER			FACSIMILE NUMBER		
E-MAIL ADDRESS	lucky@nlcsa.org.za		E-MAIL ADDRESS	lucky@nlcsa.org.za	

SUPPLIER INFORMATION

NAME OF BIDDER					
POSTAL ADDRESS					
STREET ADDRESS					
TELEPHONE NUMBER	CODE		NUMBER		
CELLPHONE NUMBER					
FACSIMILE NUMBER	CODE		NUMBER		
E-MAIL ADDRESS					
VAT REGISTRATION NUMBER					
SUPPLIER COMPLIANCE STATUS	TAX COMPLIANCE SYSTEM PIN:		OR	CENTRAL SUPPLIER DATABASE No:	MAAA
B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE	<input type="checkbox"/> TICK APPLICABLE BOX Yes <input type="checkbox"/> No		B-BBEE STATUS LEVEL SWORN AFFIDAVIT		<input type="checkbox"/> TICK APPLICABLE BOX Yes <input type="checkbox"/> No

[A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE/ SWORN AFFIDAVIT (FOR EMES & QSEs) MUST BE SUBMITTED IN ORDER TO QUALIFY FOR PREFERENCE POINTS FOR B-BBEE]

ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES ENCLOSE PROOF]	ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES, ANSWER PART B:3]
---	--	--	---

QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS

- IS THE ENTITY A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)? ☐ YES ☐ NO
- DOES THE ENTITY HAVE A BRANCH IN THE RSA? ☐ YES ☐ NO
- DOES THE ENTITY HAVE A PERMANENT ESTABLISHMENT IN THE RSA? ☐ YES ☐ NO
- DOES THE ENTITY HAVE ANY SOURCE OF INCOME IN THE RSA? ☐ YES ☐ NO
- IS THE ENTITY LIABLE IN THE RSA FOR ANY FORM OF TAXATION? ☐ YES ☐ NO

IF THE ANSWER IS "NO" TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER FOR A TAX COMPLIANCE STATUS SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 2.3 BELOW.

PART B

TERMS AND CONDITIONS FOR BIDDING

1. BID SUBMISSION:

- 1.1. BIDS MUST BE DELIVERED BY THE STIPULATED TIME TO THE CORRECT ADDRESS. LATE BIDS WILL NOT BE ACCEPTED FOR CONSIDERATION.
- 1.2. **ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED- (NOT TO BE RE-TYPED) OR IN THE MANNER PRESCRIBED IN THE BID DOCUMENT.**
- 1.3. THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT, 2000 AND THE PREFERENTIAL PROCUREMENT REGULATIONS, 2017, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER SPECIAL CONDITIONS OF CONTRACT.
- 1.4. **THE SUCCESSFUL BIDDER WILL BE REQUIRED TO FILL IN AND SIGN A WRITTEN CONTRACT FORM (SBD7).**

2. TAX COMPLIANCE REQUIREMENTS

- 2.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.
- 2.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER'S PROFILE AND TAX STATUS.
- 2.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE WWW.SARS.GOV.ZA.
- 2.4 BIDDERS MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE BID.
- 2.5 IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED; EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER.
- 2.6 WHERE NO TCS IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.
- 2.7 NO BIDS WILL BE CONSIDERED FROM PERSONS IN THE SERVICE OF THE STATE, COMPANIES WITH DIRECTORS WHO ARE PERSONS IN THE SERVICE OF THE STATE, OR CLOSE CORPORATIONS WITH MEMBERS PERSONS IN THE SERVICE OF THE STATE."

NB: FAILURE TO PROVIDE / OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.

SIGNATURE OF BIDDER:

.....

CAPACITY UNDER WHICH THIS BID IS SIGNED:

(Proof of authority must be submitted e.g. company resolution)

.....

DATE:

.....

BIDDER’S DISCLOSURE

1. PURPOSE OF THE FORM

Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

2. Bidder’s declaration

2.1 Is the bidder, or any of its directors / trustees / shareholders / members/ partners or any person having a controlling interest¹ in the enterprise, employed by the state?
YES/NO

2.1.1 If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

Full Name	Identity Number	Name of State institution

¹ the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.

Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution? **YES/NO**

2.1.2 If so, furnish particulars:

.....
.....

2.2 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are bidding for this contract? **YES/NO**

2.2.1 If so, furnish particulars:

.....
.....

3 DECLARATION

I, the undersigned, (name).....in
submitting the accompanying bid, do hereby make the following
statements that I certify to be true and complete in every respect:

3.1 I have read and I understand the contents of this disclosure;

3.2 I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect;

3.3 The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium² will not be construed as collusive bidding.

3.4 In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.

3.4 The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.

3.5 There have been no consultations, communications, agreements or arrangements made by the bidder with any official of the procuring institution in relation to this procurement process prior to and during the bidding process except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.

3.6 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 1, 2 and 3 ABOVE IS CORRECT. I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

.....
Signature

.....
Date

.....
Position

.....
Name of bidder

2 Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL PROCUREMENT REGULATIONS 2022

This preference form must form part of all tenders invited. It contains general information and serves as a claim form for preference points for specific goals.

NB: BEFORE COMPLETING THIS FORM, TENDERERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF THE TENDER AND PREFERENTIAL PROCUREMENT REGULATIONS, 2022

1. GENERAL CONDITIONS

1.1 The following preference point systems are applicable to invitations to tender:

- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
- the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

1.2 To be completed by the organ of state

(delete whichever is not applicable for this tender).

- a) The applicable preference point system for this tender is the **90/10** preference point system.
- b) The applicable preference point system for this tender is the **80/20** preference point system.
- c) Either the **90/10 or 80/20 preference point system** will be applicable in this tender. The lowest/ highest acceptable tender will be used to determine the accurate system once tenders are received.

1.3 Points for this tender (even in the case of a tender for income-generating contracts) shall be awarded for:

- (a) Price; and
- (b) Specific Goals.

1.4 To be completed by the organ of state:

The maximum points for this tender are allocated as follows:

	POINTS
PRICE	80
SPECIFIC GOALS	20
Total points for Price and SPECIFIC GOALS	100

- 1.5 Failure on the part of a tenderer to submit proof or documentation required in terms of this tender to claim points for specific goals with the tender, will be interpreted to mean that preference points for specific goals are not claimed.
- 1.6 The organ of state reserves the right to require of a tenderer, either before a tender is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the organ of state.

2. DEFINITIONS

- (a) **“tender”** means a written offer in the form determined by an organ of state in response to an invitation to provide goods or services through price quotations, competitive tendering process or any other method envisaged in legislation;
- (b) **“price”** means an amount of money tendered for goods or services, and includes all applicable taxes less all unconditional discounts;
- (c) **“rand value”** means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes;
- (d) **“tender for income-generating contracts”** means a written offer in the form determined by an organ of state in response to an invitation for the origination of income-generating contracts through any method envisaged in legislation that will result in a legal agreement between the organ of state and a third party that produces revenue for the organ of state, and includes, but is not limited to, leasing and disposal of assets and concession contracts, excluding direct sales and disposal of assets through public auctions; and
- (e) **“the Act”** means the Preferential Procurement Policy Framework Act, 2000 (Act No. 5 of 2000).

3. FORMULAE FOR PROCUREMENT OF GOODS AND SERVICES

3.1. POINTS AWARDED FOR PRICE

3.1.1 THE 80/20 OR 90/10 PREFERENCE POINT SYSTEMS

A maximum of 80 or 90 points is allocated for price on the following basis:

$$P_s = 80 \left(1 - \frac{P_t - P_{min}}{P_{min}} \right) \quad \text{or} \quad P_s = 90 \left(1 - \frac{P_t - P_{min}}{P_{min}} \right)$$

Where

P_s = Points scored for price of tender under consideration
 P_t = Price of tender under consideration
 P_{min} = Price of lowest acceptable tender

Table 1: Specific goals for the tender and points claimed are indicated per the table below.

(Note to organs of state: Where the 80/20 preference point system is applicable, corresponding points must also be indicated as such. Note to tenderers: The tenderer must indicate how they claim points for each preference point system.) Please complete this table for claiming of points.

1. Procurement from entities who are black Owned	Sub - points for specific goals	Maximum points for specific goals	Relevant Evidence	Number of points claimed (80/20 system) (To be completed by the tenderer)
Tenderer who have 100% black Ownership	8	8	Copies of ID's/ CSD Recent Report	
Tenderer who have 51% to 99% black ownership	4			
Tenderer who have less than 51% black ownership	0			
2. Procurement from entities who are women Owned		4	B-BBEE Certificate / B-BBEE Sworn	
Tenderer who has 100% women ownership	4			
Tenderer who has 30% to 99% women ownership	2			
Tenderer who has less than 30% women ownership	0		Affidavit	
3. Youth Ownership		4	B-BBEE Certificate / B-BBEE/ Sworn Affidavit	
Tenderer who has 100% youth ownership	4			
Tenderer who has 30% to 99% youth ownership	2			
Tenderer who has less than 30% youth ownership	0			
4. Procurement from Disabilities		4	Letter from the Doctor confirming disability and CSD report	
Tenderer who has 20% or more owners with disability	4			
Tenderer who has less than 20% but more than 10% owners with disability	2			
Tenderer who has less than 10% owners with disability	0			
Total points for specific goals		20		

4. DECLARATION WITH REGARD TO COMPANY/FIRM

4.1 Name of Company/firm.....

4.2 Company registration number:

4.3 TYPE OF COMPANY/ FIRM

☐ Partnership/Joint Venture / Consortium

☐ One-person business/sole propriety

☐ Close corporation

☐ Public Company

☐ Personal Liability Company

☐ (Pty) Limited

☐ Non-Profit Company

☐ State Owned Company

[TICK APPLICABLE BOX]

- 4.4 I, the undersigned, who is duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the specific goals as advised in the tender, qualifies the company/ firm for the preference(s) shown and I acknowledge that:
- The information furnished is true and correct;
 - The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;
 - In the event of a contract being awarded as a result of points claimed as shown in paragraphs 1.4 and 4.2, the contractor may be required to furnish documentary proof to the satisfaction of the organ of state that the claims are correct;
 - If the specific goals have been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the organ of state may, in addition to any other remedy it may have disqualify the person from the tendering process;
 - recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;
 - cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
 - recommend that the tenderer or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied; and
 - forward the matter for criminal prosecution, if deemed necessary.

.....

SIGNATURE(S) OF TENDERER(S)

SURNAME AND NAME:

DATE:

ADDRESS:

.....

.....

.....