Part T2: returnable schedules

SOUTH AFRICAN NATIONAL ROADS AGENCY SOC LIMITED

CONTRACT SANRAL N.003-110-2022/1

MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11

**T.2.1 LIST OF RETURNABLE SCHEDULES**

The tenderer must complete the following returnable schedules:

**Notes to tenderer:**

1. **Returnable documents have been based on the CIDB Standard for Uniformity and incorporate National Treasury requirements contained in their Standard Bidding Document (SBD) within them.**
2. **Returnable documents are separated into the following categories:**
	1. **Forms, certificates and schedules for completion by the tenderer for use in the quantitative and qualitative evaluation of the tender (Forms A, B, C&D).**
	2. **A list of all returnable documents for completion by the tenderer (Form E1).**
3. **Failure to submit fully completed relevant returnable documents may render such a tender offer non-responsive.**
4. **Tenderers shall note that their signatures appended to each returnable form represents a declaration that they vouch for the accuracy and correctness of the information provided, including the information provided by candidates proposed for the specified key positions.**
5. **Notwithstanding any check or audit conducted by or on behalf of the Employer, the information provided in the returnable documents is accepted in good faith and as justification for entering into a contract with a tenderer. If subsequently, any information is found to be incorrect such discovery shall be taken as wilful misrepresentation by that tenderer to induce the contract. In such event the Employer has the discretionary right under FIDIC Particular Condition 15.2 (g) to terminate the contract.**
6. **the Employer shall inform the tenderer and give the tenderer an opportunity to make representations within 14 days as to why the tender submitted should not be disqualified and as to why the tenderer should not be restricted by the National Treasury from conducting any business with any organ of state for a period not exceeding 10 years;**
7. **if the Employer has already entered into a contract with the Tenderer, the Employer has the discretionary right under FIDIC Particular Condition 15.2(g) to terminate the contract.**
8. **These forms must be completed electronically, and any alterations made prior to tender closure countersigned by an authorized signatory.**

**PART T2.1 LIST OF RETURNABLE DOCUMENTS**

**Note to tenderer:**

**The list of returnable documents is shown in the following table, with the status of those documents incorporated into the contract indicated.**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Form No / SBD NO** | **electronic format** | **Form description** | **Tick if completed** | **envelope** |
| A1 | PDF | CERTIFICATE OF CONFIRMATION THAT THE TENDERER READ THE PRESENTATION | \*1 | technical proposal(first envelope-containing CD/USB FLASH DRIVE) |
| A.1.1 | PDF | CERTIFICATE OF INTENTION TO SUBMIT A TENDER | \*1 |
| a2.1 | PDF | certificate of authority for signatory | \*1 |
| A2.2 | PDF | DECLARATION OF TENDERER’S CURRENT STATUS OF ANY DEBT OUTSTANDING TO SANRAL | \*1 and \*2 |
| A2.3 | PDF | CERTIFICATE OF SINGLE TENDER SUBMISSION | \*1 and \*2 |
| A2.4 | PDF | certificate of fronting practices | \*1 and \*2 |
| A.2.5 | PDF | DECLARATION FORM - MANAGEMENT OF DOMESTIC PROMINENT INFLUENTIAL PERSONS, FOREIGN PROMINENT PUBLIC OFFICIALS AND FOREIGN INFLUENTIAL NATIONALS | \*1 and \*2 |
| A.2.6 | PDF | CERTIFICATE OF PERMISSION TO CONDUCT DUE DILIGENCE INVESTIGATION | \*1 and \*2 |
| a3.1 / SBD4 | PDF | BIDDER’S DISCLOSURE | \*1 and \*2 |
| A3.2 / SBD9 | PDF | CERTIFICATE OF INDEPENDENT TENDER | Not Appliicable |
| A3.3 / SBD8 | PDF | DECLARATION OF TENDERER’S PAST SUPPLY CHAIN MANAGEMENT PRACTICES | Not Appliicable |
| A3.4 | PDF | REGISTRATION ON NATIONAL TREASURY CENTRAL SUPPLIER DATABASE | \*1 and \*2 |
| a4 | PDF | schedule of Deviations OR QUALIFICATIONS by tenderer | \*1 and \*2 |
| a5 | PDF | schedule of addenda to tender documents | \*1 and \*2 |
| a6 / SBD2 | PDF | certificate of tax COMPLIANCE | \*1 |
| a7 | PDF | certificate of insurance cover | \*1 |
| a8 | PDF | tenderer’s registered financial service provider letter and bank details | \*1 |
| a9 | PDF | schedule of tender’s litigation history | \*1 |
| A10 | PDF | SCHEDULE OF CURRENT COMMITMENTS - TENDERER |  |
| a10.1 | PDF | SCHEDULE OF CURRENT COMMITMENTS – PROPOSED KEY PERSONNEL | \*1 |
| a11 | PDF | CERTIFICATE OF COMPLIANCE WITH COMPENSATION FOR OCCUPATIONAL INJURIES AND DISEASES ACT, 1993 (ACT nO. 130 OF 1993) | \*1 |
| A13/sbd1: | PDF | FORM SBD1 – INVITAITON TO BID AND TERMS AND CONDITIONS FOR BIDDING | \*1 & \*2 |
| c1.1 / SBD6.1 | PDF | TENDERER’S b-bbee VERIFICATION CERTIFICATE | \*1 and \*2 |
| c1.2 | PDF | preference points claim form in terms of the preferential procurement regulations 2017 (incorporating sbd6.1) |  |
| ~~D1~~ | ~~PDF~~ | ~~SCHEDULE OF TENDERER’S PLANT AND EQUIPMENT~~ | ~~1 and 2~~ |
| d2 | PDF | tenderer’s method statement |  |
| D3 |  | tenderer’s organisation and staffing | \*1 and \*2 |
| D4.1 | PDF | tenderer’s key personnel experience (Manager: Traffic Control Centre) | \*1  |
| d4.2 | PDF | tenderer’s key personnel experience (Assistant Manager Operations) | \*1 |
| d4.3 | PDF | tenderer’s KEY personnel experience (Assistant Manager Technical) | \*1 |  |
| d4.4 | PDF | TENDERER'S PERSONNEL EXPERIENCE (Occupational Health and Safety Officer) | \*1 |  |
| d4.5 | PDF | tenderer's personnel experience (Environmental Office) | \*1 |
| D5 | PDF | TENDERER’S (Company Experience) EXPERIENCE | \*1 |
| d6 | PDF | Tenderer’s indicative programme | \*1 |  |
| d8 | PDF | schedule of subcontractors | \*1 |  |
| d9 | PDF | corporate social investment | \*1 and \*2 |  |
| e1 | pdf | SCHEDULE OF TENDER COMPLIANCE | \*1 |  |
| **Form No / SBD NO** | **ELECTRONIC FORMAT** | **Form description** | **Tick if completed** | **envelope** |
| B1 | PDF | contractor's establishment on site | \*1 | financialproposal(second envelope-containing CD/USB flash drive) |
| C1.1.1 / SBD7 | PDF | FORM OF OFFER | \*1 and \*2 |
| C1.2.3 | MS eXCEL | CONTRACT DATA – INFORMATION PROVIDED BY THE TENDERER | \*1 and \*2 |
| C2.2 / SBD3 | MS EXCEL & PDF | PRICING SCHEDULE | \*1 and \*2 |
| C.2.3 | MS ECXEL & PDF | SUMMARY OF PRICING SCHEDULE (including breakdown of rates) | \*1 and \*2 |
| D7 | pdf | schedule of estimated monthly expenditure | \*1 and \*2 |  |

Notes: \*1 Schedules/documents required for tender evaluation purposes

 \*2 Schedules/documents that will be incorporated into the Contract

Tenderers shall note that their signature on this form represents a declaration that they vouch for the accuracy and correctness of the information provided, including the information provided by candidates proposed for the specified key positions.

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FORM A1: CERTIFICATE OF CONFIRMATION THAT THE TENDERER READ THE PRESENTATION OR ATTENDED THE COMPULSORY CLARIFCATION MEETING

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FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

This is to certify that I,

representative of (tenderer)

of (address)

telephone number

fax number

e-mail

read/viewed the non-compulsory clarification presentation/pre-recorded video presented by the Employer online.

attended the non-compulsory clarification meeting on (date) 13/10/2022 at 10H00.............................................................................................

conducted by ............................................................................................................................................

in the presence of (Employer’s representative) ........................................................................................

TENDERER'S REPRESENTATIVE (Signature)

FORM A1.1: CERTIFICATE OF INTENTION TO SUBMIT A TENDER

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FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

**Notes to Tenderer:**

1. **The duly completed certificate of intention to submit a tender must be submitted by whoever intends to tender for this particular tender within seven (7) days from the date the tender is advertised as specified in T1.1, the tender notice and invitation tender. Failure to submit the certificate of intention to tender within the required period may render the tenderer non-responsive and SANRAL does not accept responsibility for any communication not received by the tenderer timeously.**
2. **Late notification of intention to tender by a prospective tenderer will not necessarily result in the tender closing date being extended.**
3. **Should you intend to submit a tender for this particular tender please sign the certificate, scan and email the completed document to the email address indicated in T1.1 of this tender document.**
4. **The Employer shall send all correspondence, including Addenda, only to the Tenderer’s email address as provided herein.**

This is to certify that I,

representative of (insert name of tenderer)

of (address)

telephone number

fax number

e-mail

intends to submit a tender in response to the tender notice and invitation for tender this contract.

TENDERER'S REPRESENTATIVE Date …………………..

(Signature)

FORM A2.1: CERTIFICATE OF AUTHORITY FOR SIGNATORY

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FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

**Notes to tenderer:**

1. **The signatory for the tenderer shall confirm his/her authority thereto by attaching on the tendering company’s letterhead a duly signed and dated copy of the relevant resolution of the board of directors/partners.**
2. **In the event that the tenderer is a joint venture, a certificate is required from each member of the joint venture clearly setting out:**
3. **authority for signatory,**
4. **undertaking to formally enter into a joint venture contract should an award be made to the joint venture,**
5. **name of designated lead member of the intended joint venture, as required by tender condition 4.13.2.**
6. **The resolution below is given as an example of an acceptable format for authorisation, but submission of this page with the example completed shall not be accepted as authorisation of the tenderer’s signatory.**

By resolution of the board of directors passed at a meeting held on

Mr/Ms ,

whose signature appears below, has been duly authorised to sign all documents in connection with the tender for

Proforma

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and any contract which may arise therefrom on behalf of ***enter name of tenderer in block capitals***

SIGNED ON BEHALF OF THE COMPANY:

IN HIS/HER CAPACITY AS:

DATE:

SIGNATURE OF SIGNATORY:

WITNESS:

 SIGNATURE SIGNATURE

 NAME (PRINT) NAME (PRINT)

FORM A2.2: DECLARATION OF TENDERER’S CURRENT STATUS OF ANY DEBT OUTSTANDING TO SANRAL

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

**Notes to tenderer:**

1. **The signatory for the tenderer shall complete and sign this form declaring the current status of any debt outstanding to SANRAL.**
2. **In the event that the tenderer is a Joint Venture, a declaration is required from each member of the Joint Venture.**

I, the undersigned ……………………………………………………………………………. declare that:

1. the tenderer or any of its Directors/Members do not have any debt outstanding to SANRAL, other than what is listed below:

1. the tenderer and/or any of its Directors/Members freely, voluntarily and without undue duress unconditionally authorises the SANRAL to set off any debts agreed to which is due and payable by the tenderer or any of its Directors/Members in terms of this declaration against any moneys due to the tenderer or any of its Directors/Members; and
2. to the best of my knowledge the above information is true and accurate.

SIGNATURE:

Signed and sworn before me at ……………………………….…………………. on the ……… day of ………………………….………………… 20…

The deponent having:

1. acknowledged that he/she knows and understands the contents hereof;
2. confirmed that he/she has no objection to the taking of the prescribed oath;
3. confirmed that he/she considered the prescribed oath as binding upon his/her conscience; and
4. confirmed that the Regulations contained in the Government Gazette Notice R1258 of July 1972 and R1648 of August 1977 have been complied with.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

COMMISSIONER OF OATHS

FORM A2.3: CERTIFICATE OF SINGLE TENDER SUBMISSION

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

**Notes to tenderer:**

1. **This certificate serves as a declaration by the tenderer that a single tender was submitted.**
2. **In the case of a Joint Venture (JV) or a Targeted Enterprise, a separate certificate is to be completed and submitted by each JV member or Targeted Enterprise.**

**DECLARATION**

I, the undersigned, …………………………..……………………………………………………. in submitting the accompanying tender on behalf of the tenderer do hereby make the following statements that I certify to be true and complete in every respect:

1. I have read and understand the notes to, and the contents of, this certificate.
2. I understand that the accompanying tender and any other tender shall be disqualified in the event that I, including a Joint Venture partner or a Targeted Enterprise, participate in more than 1 (one) tender.

SIGNATURE: …………………………………………………………………………..…………………

DATE: …………………………………………………………………………..…………………

NAME: …………………………………………………………………………..…………………

POSITION: …………………………………………………………………………..…………………

FORM A2.4: CERTIFICATE OF FRONTING PRACTICES

SOUTH AFRICAN NATIONAL ROADS AGENCY SOC LIMITED

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

**Fronting Practices**

**Window-dressing:**  This includes cases in which black people are appointed or introduced to an enterprise on the basis of tokenism and may be:

1. Discouraged or inhibited from substantially participating in the core activities of an enterprise; and
2. Discouraged or inhibited from substantially participating in the stated areas and/or levels of their participation;

**Benefit Diversion:** This includes initiatives implemented where the economic benefits received as a result of the B-BBEE Status of an enterprise do not flow to black people in the ratio as specified in the relevant legal documentation.

**Opportunistic Intermediaries:** This includes enterprises that have concluded agreements with other enterprises with a view to leveraging the opportunistic intermediary's favourable B-BBEE status in circumstances where the agreement involves:

1. Significant limitations or restrictions upon the identity of the opportunistic intermediary's suppliers, service providers, clients or customers;
2. The maintenance of their business operations in a context reasonably considered improbable having regard to resources; and
3. Terms and conditions that are not negotiated at arms-length on a fair and reasonable basis.

**Responsibility to Report Fronting**

In order to effectively deal with the scourge of Fronting, verification agencies, and/or procurement officers and relevant decision makers are encouraged to obtain a signed declaration from the clients or entities that they verify or provide business opportunities to, which states that the client or entity understands and accepts that the verification agency, procurement officer or relevant decision maker may report Fronting practices to **the DTI**. Intentional misrepresentation by measured entities may constitute fraudulent practices, public officials and verification agencies are to report such cases to **the DTI**. SANRAL or its appointed agent has every right to embark on an investigation of fronting with regards to any potential service provider. In this regard, SANRAL is entitled to request any further information, interview and any documentation from the respective potential service provider prior to any award. (This statement is for internal use)

**Fronting Indicators**

|  |
| --- |
| 1. The black people identified by an enterprise as its shareholders, executives or management are unaware or uncertain of their role within an enterprise;
 |
| 1. The black people identified by an enterprise as its shareholders, executives or management have roles of responsibility that differ significantly from those of their non-black peers;
 |
| 1. The black people who serve in executive or management positions in an enterprise are paid significantly lower than the market norm, unless all executives or management of an enterprise are paid at a similar level;
 |
| 1. There is no significant indication of active participation by black people identified as top management at strategic decision-making level;
 |
| 1. An enterprise only conducts peripheral functions and does not perform the core functions reasonably expected of other, similar, enterprises;
 |
| 1. An enterprise relies on a third-party to conduct most core functions normally conducted by enterprises similar to it;
 |
| 1. An enterprise cannot operate independently without a third-party, because of contractual obligations or the lack of technical or operational competence;
 |
| 1. The enterprise displays evidence of circumvention or attempted circumvention;
 |
| 1. An enterprise buys goods or services at a significantly different rate than the market from a related person or shareholder;
 |
| 1. An enterprise obtains loans, not linked to the good faith share purchases or enterprise development initiatives, from a related person at an excessive rate; and
 |
| 1. An enterprise shares all premises and infrastructure with a related person, or with a shareholder with no B-BBEE status or a third-party operating in the same industry where the cost of such premises and infrastructure is disproportionate to market-related costs.
 |

**DECLARATION**

I, the undersigned, …………………………..……………………………………………………. in submitting the accompanying tender on behalf of the tenderer do hereby make the following statements that I certify to be true and complete in every respect:

1. I have read and understand the contents of this certificate.
2. I accept that the Employer may report fronting practices to the Department of Trade and Industry and the BEE commissioner.
3. I accept that intentional mis-representation by measured entities may constitute fraudulent practices that shall be reported to the Department of Trade and Industry and the BEE commissioner.

SIGNATURE: ………………………………………………………………………..…………………

DATE: …………………………………………………………………………..………………

NAME: …………………………………………………………………………..………………

POSITION: …………………………………………………………………………..………………

FORM A2.5: DECLARATION FORM - MANAGEMENT OF DOMESTIC PROMINENT INFLUENTIAL PERSONS, FOREIGN PROMINENT PUBLIC OFFICIALS AND FOREIGN INFLUENTIAL NATIONALS

SOUTH AFRICAN NATIONAL ROADS AGENCY SOC LIMITED

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FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

**Notes to Tenderer:**

1. **In line with a policy on Domestic Prominent Influential Persons (DPIP’s), Foreign Prominent Public Officials (FPPO’s) and Foreign Influential Nationals (FIN’s), the purpose of this declaration form is to ensure maintenance and monitoring of the business relationships with prominent, influential stakeholders who have domestic and/or foreign influence as far as the procurement under the management of the Employer is concerned. This is done to mitigate the Employer’s perceived association, reputational, operational or legal risk, as it strives to foster and maintain fair and transparent business relations. (This policy is available on the Employer’s website:** [**www.sanral.co.za**](http://www.nra.co.za)**)**
2. **It is compulsory that all prospective and existing tenderers conducting the business with the Employer, who potentially meet the definition of DPIP’s, FPPO’s or FIN’s, complete this form by supplying credible information as required and submit together with their tender document.**
3. **Tenderers are required at the tender stage to declare any DPIP’s, FPPO’s or FIN’s involved in their tenders, as part of their submission.**
4. **Further, that tenderers shall at the tender stage furnish the Employer of all information relating to namely, shareholders names, identity numbers and share certificates of the indi­vidual and/or transaction concerned using the form below, for verification purposes, in­cluding where applicable, confirmation as it relates to:**
	1. **Knowledge of any offence within the meaning of Chapter 2, Section 12 and 13 of Prevention and Combating of Corrupt Practices Act No 4 of 2006; and/or**
	2. **Knowledge of any offence within the meaning of Chapter 3 of Prevention of Organised Crime Act No 121 of 1998 as it relates to any of the shareholders, directors, owners and/or individual link to the tenderer.**
5. **Tenderers undertake that should it be discovered that the information provided in the table below is fraudulently or negligently misrepresented, then Chapter 9, Section 214 and 216 of Companies Act No 17 of 2008 shall apply to shareholders, directors, owners and/or indi­vidual link to the tenderer.**
6. **Should the tenderer fail to declare or supply the Employer with credible information in the prescribed form, the tender may be rendered invalid.**
7. **Should the Employer, in the process of conducting verification and investigation of in­formation supplied by the tenderer find out that the information poses a reputational risk, the tender shall be rendered invalid.**
8. **The following definitions shall apply:**
9. **“Board” means the Board of Directors or the Accounting Authority of the Employer.**
10. **“Business relationship” means the connection formed between the Employer and external stakeholders for commercial purposes.**
11. **“DD” means Due Diligence.**
12. **“Domestic Prominent Influential Person” means an individual who holds an influential position, including in an acting position for a period exceeding 6 (six) months, or has held at any time in the preceding 12 (twelve) months, in the Republic, as defined in the Financial Intelligence Centre Amendment Act No 1 of 2017.**
13. **“DPIP” means a Domestic Prominent Influential Person.**
14. **“Family members and known close associates” means immediate family members and known close associates of a person in a foreign or domestic prominent position, as the case may be, as defined in the Financial Intelligence Centre Amendment Act No 1 of 2017.**
15. **“Foreign Influential National” means an individual who is not a South African citizen or does not have a permanent residence permit issued in terms of the Immigration Act No 13 of 2002, who possesses personal power that induces another person to give con­sideration or to act on any basis other than the merits of the matter.**
16. **“Foreign Prominent Public Official” means (as defined in the Financial Intelligence Centre Amendment Act No 1 of 2017) an individual who holds or has held at any time in the preceding 12 (twelve) months, in any foreign country a prominent public function.**
17. **“FPPO” means a Foreign Prominent Public Official.**
18. **“Improper influence” means personal power that induces another person to give con­sideration or to act on any basis other than the merits of the matter.**
19. **“The Employer” means the South African National Roads Agency SOC Limited (SANRAL) with registration number 1998/009584/30.**
20. **“Senior Management” means the Executive Committee or its individual members.**
21. **A separate declaration is required from each DPIP, FPPO and FIN. In the event that the tenderer is a Joint Venture (JV), a separate declaration from each DPIP, FPPO and Fin from each of the Joint Venture (JV) members, is required.**

**Domestic Prominent Influential Persons, Foreign Prominent Public Officials or Foreign Influential Nationals (DPIP’s, FPPO’s or FIN’s) Reporting Form**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Primary Particulars** | **First Name** | **Surname** | **Middle Name** | **ID/Passport Number** |
|  |
| **Country Details** | **Country of origin** | **Citizenship** | **Current country of Residence** |
|  | **CURRENT STATUS AND BACKGROUND** |
| **Current Occupation** | **Occupational Title** | **Status** |
| **Active** | **Non-Active** |
|  |  |  |
|  |  |  |
| **Is the potential/business partner (mark with an “X” whichever is applicable):** |
| **a DPIP**  | **a FPPO** | **a FIN** | **Family Member or Close Associate of a PIP?** |
|  | **KNOWN BUSINESS INTERESTS** |
| **No.** | **Name of Entity** | **Role in Entity** | **Status** |
| 1. |  |  | **Active** | **Non-Active** |
| 2. |  |  |  |  |
| 3. |  |  |  |  |
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| 10. |  |  |  |  |

I, the undersigned ……………………………………………………………………………. declare that:

1. the information furnished on this declaration form is true and correct, and
2. I accept that any action may be taken against me should this declaration prove to be false.

SIGNATURE:

NAME:

POSITION:

DATE:

NAME OF TENDERER:

FORM A2.6: CERTIFICATE OF PERMISSION TO CONDUCT DUE DILIGENCE INVESTIGATION

SOUTH AFRICAN NATIONAL ROADS AGENCY SOC LIMITED

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

**Notes to tenderer**

1. **The tenderer shall complete the declaration below.**
2. **In the event of a Joint Venture (JV), each member of the JV shall comply with the above requirements.**

**DECLARATION**

I, (name)

the undersigned in my capacity as (position)

 on behalf of (name of company),

herewith grant consent that SANRAL or any of their appointed Service Providers may conduct a due diligence investigation on (name of company)

to evaluate our ability to perform the contract as stipulated in the Standard Conditions of Tender, Clause C.3.13(b).

In addition, any information in this regard requested by SANRAL or any of their appointed Service Providers, shall be submitted within the timelines of the request.

SIGNATURE: …………………………………………………………………

DATE: …………………………………………………………………

NAME: …………………………………………………………………

POSITION: …………………………………………………………………

FORM A3.1: BIDDER’S DISCLOSURE

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

1. **PURPOSE OF THE FORM**

Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

1. **Bidder’s declaration**

2.1 Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest[[1]](#footnote-1) in the enterprise, employed by the state? **YES/NO**

* + 1. If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

|  |  |  |
| --- | --- | --- |
| **Full Name** | **Identity Number** | **Name of State institution** |
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2.2 Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution? **YES/NO**

2.2.1 If so, furnish particulars:

……………………………………………………………………………………………………………

……………………………………………………………………………………………………………

2.3 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are bidding for this contract? **YES/NO**

* + 1. If so, furnish particulars:

……………………………………………………………………………………………………………

……………………………………………………………………………………………………………

1. **DECLARATION**

I, the undersigned, (name)……………………………………………………………………. in submitting the accompanying bid, do hereby make the following statements that I certify to be true and complete in every respect:

3.1 I have read and I understand the contents of this disclosure;

3.2 I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect;

3.3 The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium[[2]](#footnote-2) will not be construed as collusive bidding.

3.4In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.

3.4 The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.

3.5 There have been no consultations, communications, agreements or arrangements made by the bidder with any official of the procuring institution in relation to this procurement process prior to and during the bidding process except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.

* 1. I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 1, 2 and 3 ABOVE IS CORRECT.

 I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

……………………………… ..……………………………………………

 Signature Date

……………………………… ………………………………………………

 Position Name of bidder

NOTE TO TENDERER:

In the case of a joint venture (JV), a separate declaration form is to be completed and submitted by each JV member.

If the Form is omitted or blank; or If the tenderer found to have failed to declare conflict or declare false information. The tender will be declared non-responsive and should it be discovered after the award of a contract, will be ultimately blacklisted.

FORM A3.2: CERTIFICATE OF INDEPENDENT TENDER (INCORPORATING SBD9)

**Form REPEALED**

FORM A3.3: DECLARATION OF TENDERER’S PAST SUPPLY CHAIN MANAGEMENT PRACTICES (INCORPORATING SBD8)

**Form REPEALED**

FORM A3.4: REGISTRATION ON NATIONAL TREASURY CENTRAL SUPPLIER DATABASE

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

The tenderer shall provide a scanned copy in .pdf of the Active Supplier Listing on the National Treasury Central Supplier Database ([www.treasury.gov.za](http://www.treasury.gov.za)). In the case of a joint venture (JV), the tenderer shall provide scanned copies in .pdf of the Active Supplier Listing on the National Treasury Central Supplier Database for each member of the JV.

Name of Contractor:

Central Supplier Database Supplier Number:

Supplier Commodity:

Delivery Location:

SIGNED BY TENDERER:

FORM A4: SCHEDULE OF DEVIATIONS OR QUALIFICATIONS BY TENDERER

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

**Notes to tenderer:**

1. **The postulated tender MUST be priced.**
2. **When submitting an alternative tender (including an alternative contract period), condition of tender clause C.2.12 of Tender Data, shall be followed.**

|  |  |
| --- | --- |
| **Page** | **Description** |
|  |  |

SIGNED BY TENDERER:

FORM A5: SCHEDULE OF ADDENDA TO TENDER DOCUMENTS

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

1. **If an addendum containing material amendments is not incorporated by the tenderers in his tender offer.**

**The tender will be declared non-responsive.”**

|  |
| --- |
| We confirm that the following communications received from the Employer before the submission of this tender offer, amending the tender documents, have been taken into account in this tender offer: |
|  | **Date** | **Title or Details** |
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SIGNED BY TENDERER:

FORM A6: CERTIFICATE OF TAX compliance (INCORPORATING SBD2)

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

The tenderer shall complete the declaration below.

I, (name)

the undersigned in my capacity as (position)

on behalf of (name of company)

herewith grant consent that SARS may disclose to the South African National Roads Agency SOC Limited (SANRAL) our tax compliance status. For this purpose, our unique security personal identification number (PIN) is …………………………. our tax reference number is

and our tax clearance certificate number is .

In the event of a joint venture, each member shall comply with the above requirement.

SIGNED BY TENDERER:

FORM A7: CERTIFICATE OF INSURANCE COVER

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

**Note to tenderer:**

**In the event of the tenderer being a joint venture/consortium, the details of the individual members must also be provided.**

The tenderer shall provide the following details of this insurance cover:

1. Name of Tenderer:
2. Period of Validity:
3. Value of Insurance:
	1. Insurance for Works and Contractor’s Equipment

Company:

Value:

1. Insurance for Contractor’s Personnel

Company:

Value:

1. General public liability

Company:

Value:

1. SASRIA

Company:

Value:

SIGNED BY TENDERER:

####

FORM A8: TENDERER’S REGISTERED FINANCIAL SERVICE PROVIDER LETTER AND BANK DETAILS

SOUTH AFRICAN NATIONAL ROADS AGENCY SOC LIMITED

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

**Notes to tenderer:**

1. The tenderer shall attach to this form a letter (dated less than 3 months prior to the tender closing date) from a Registered Financial Service Provider (registered with the FSB) confirming his account and confirms the Tenderer’s available capital and that the Tenderer has the financial means net of current commitments (independent of any contractual advance payment) available to meet the construction cash flow requirements estimated for the subject contract or a written confirmation from any registered financial institution or the tenderer’s independent registered accountant should be requested to submit confirmation of the available working capital to meet the construction workflow requirements estimated for the subject contract. The confirmation by an independent registered accountant must indicate that the review, to be conducted in terms of ISRS4400, was done in terms of the Section 30 and Regulation 29 of the Companies Act No. 71 of 2008.
2. Failure to provide the required letter with the tender submission may render the tenderer’s offer non-responsive in terms of tender condition C.3.8.
3. In the event that the tenderer is a joint venture enterprise, details of all the members of the joint venture shall be similarly provided and attached to this form.
4. In the event that the Employer at its sole discretion is not satisfied with the financial capability of the tenderer as a result of whatsoever nature and reason, the Employer reserves the right to invoke the provisions under tender data C.3.13. In addition, the Employer reserve the right to perform a full risk assessment as per tender data C.3.13. Furthermore, if the aforementioned occur, any and all report/s will be used to evaluate the Tenderer’s ability to perform the contract as stated in sub-clause C3.13.(b) of the CIDB Standard for Uniformity.
5. The letter shall contain the information as indicated below.
6. The successful Tenderer may be requested to demonstrate its financial capability to execute the contract prior to award at SANRAL’s discretion.
7. If the tenderer does not have financial resources, the tender will be declared non-responsive.

DATE

Bank Name

FSB Number

Bank Address

(Letter to be on the Financial Service Provider’s letter head)

**RE: ACCOUNT CONDUCT AND CASHFLOW CONFIRMATION**

To Whom It May Concern:

We hereby confirm that Tenderer Name has been banking with xxxx bank for a period of xxx years and the account has been conducted in a satisfactory manner. Tenderer Name has the financial means, net of current commitments available to meet the construction cash flow requirements to the value of xxxxx for CONTRACT SANRAL N.003-110-2022/1

1. Name of Account Holder:
2. Account Number:
3. Bank name:
4. Branch Number:
5. Bank and branch contact details

Yours Sincerely,

BANK STAMP

Name\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

#### FORM A9.1: SCHEDULE OF TENDERER'S LITIGATION HISTORY

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

**Note to tenderer:**

**The tenderer shall list below details of any litigation with which the tenderer (including its directors, shareholders or other senior members in previous companies) has been involved with any organ of state or state department within the last ten years. The details must include the year, the litigating parties, the subject matter of the dispute, the value of any award or estimated award if the litigation is current and in whose favour the award, if any, was made.**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Employer** | **Other litigating party** | **Dispute** | **Award value** | **Date resolved** |
|  |  |  |  |  |

SIGNED BY TENDERER:

FORM A9.2: enviroNmental, social, health, and safety performance declaration

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

**Note to tenderer:**

**The tenderer (and in the case of a Joint Venture, each member of the Joint Venture) shall indicate below by marking with an “X” if suspension or termination of a contract occurred or not, and/or if the performance security has been called or not, related to Environmental, Social, Health or Safety (ESHS) performance, in the past five (5) years prior to the tender submission date.**

**In the event that suspension or termination occurred, and/or the performance security has been called, the tenderer shall complete the details of all the suspensions and terminations as well as the details of all performance securities called since the date indicated.**

**The Employer may use this information to seek further information or clarifications in carrying out its due diligence.**

|  |
| --- |
| **Environmental, Social, Health, and Safety Performance Declaration**  |
| ¨ **No suspension or termination of contract**: An employer has not suspended or terminated a contract and/or called the performance security for a contract for reasons related to Environmental, Social, Health, or Safety (ESHS) performance in the past five (5) years prior to the tender submission date.¨ **Declaration of suspension or termination of contract**: The following contract(s) has/have been suspended or terminated and/or Performance Security called by an employer(s) for reasons related to Environmental, Social, Health, or Safety (ESHS) performance in the past five (5) years prior to the tender submission date. Details are described below: |
| **Year** | **Suspended or terminated portion of contract** | **Contract Identification** | **Total Contract Amount**  |
| *[insert year]* | *[insert amount and percentage]* | *[insert complete contract name, contract number, Name of Employer, Address of Employer, Reason(s) for suspension or termination]* | *[insert amount]* |
|  |  |  |  |
|  |  |  |  |
| **Performance Security called by an employer(s) for reasons related to ESHS performance** |
| **Year** | **Contract Identification** | **Total Contract Amount** |
| *[insert year]* | *[insert complete contract name, contract number, Name of Employer, Address of Employer, Reason(s) for calling of performance security]* | *[insert amount]* |
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SIGNED BY TENDERER:

FORM A10: SCHEDULE OF CURRENT COMMITMENTS - TENDERER

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

**Notes to tenderer:**

1. The tenderer shall list below all current contracts or contracts awarded and about to commence and tenders for which offers have been submitted but awards not yet made.
2. In the event of a joint venture enterprise, details of all the members of the joint venture shall similarly be attached to this form.
3. The lists must be restricted to not more than 20 contracts and 20 tenders. If a tenderer’s actual commitments or potential commitments are greater than 20 each, those listed should be in descending order of expected final contract value or sum tendered.

|  |
| --- |
| **Table 1: CONTRACTS AWARDED** |
| Employer | Project | Expected total value of contract (incl. VAT) | Duration(Months) | Expected completion date |
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| **Table 2: TENDERS NOT YET AWARDED** |
| Employer | Project | Sum Tendered (incl. VAT) | Tendered Duration(Months) | Expected commencement |
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SIGNED BY TENDERER: .

FORM A10.1: SCHEDULE OF CURRENT COMMITMENTS – PROPOSED KEY PERSONNEL

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

**Notes to tenderer:**

1. The purpose of this form is for the tenderer to identify areas of conflict with respect to key personnel proposed for this project. Failure to identify where areas of conflict occur, or may occur, and which are subsequently discovered by the Employer shall render such offer as non-responsive, rejected and the tenderer automatically barred from tendering on SANRAL projects for a period of at least 3 (three) months from the date of tender closure.
2. The tenderer shall list below all projects with which proposed personnel are currently involved.
3. The start date in column 4 of the table below is that date indicated in the tender documents as being the intended start of the duties of the key personnel (i.e. expected start of design or supervisory duties).
4. In the event of a joint venture enterprise, details of all the members of the joint venture shall similarly be attached to this form.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| NAME & POSITION | Project | Client | Start date (M/Y) | Duration(Months) | Valueof service |
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SIGNED BY TENDERER: .

FORM A11: CERTIFICATE OF COMPLIANCE WITH COMPENSATION FOR OCCUPATIONAL INJURIES AND DISEASES ACT, 1993 (ACT nO. 130 OF 1993)

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

**Notes to tenderer:**

1. Discovery that the tenderer has failed to make proper disclosure may result in SANRAL terminating a contract that flows from this tender on the ground that it has been rendered invalid by the tenderer’s misrepresentation.
2. The tenderer shall attach to this Form evidence that he is registered and in good standing with the compensation fund or with a licensed compensation insurer who is approved by Department of Labour in terms of section 80 of the Compensation for Injury and Disease Act, 1993 (Act No. 130 of 1993).
3. The tenderer is required to disclose, by also attaching documentary evidence to this form, all inspections, investigations and their outcomes conducted by the Department of Labour into the conduct of the tenderer at any time during the 36 months preceding the date of this tender.
4. In the event of a joint venture, each and every member of the unincorporated Joint Venture shall comply with the above requirements.

SIGNED BY TENDERER:

FORM A12: CERTIFICATE OF REGISTRATION WITH CIDB/OTHER INDUSTRY BODY

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

The tenderer shall provide a printed copy of the Active Contractor’s Listing off the CIDB website. ([www.cidb.org.za](http://www.cidb.org.za/)). Tenderers whose CIDB registration expires within 21 days after close of tender should attach proof of their application for re-registration (refer to Tender Data Clause C.2.1). In the case of a Joint Venture, a printed copy of the Active Contractor’s Listing must be provided for each member of the Joint Venture.

Name of Contractor:

**Not Applicable**

Contractor Grading Designation:

CIDB Contractor Registration Number:

Expiry Date:

FORM A13: FORM SBD1 – INVITATION TO BID AND TERMS AND CONDITIONS FOR BIDDING

**PART A: INVITATION TO BID**

|  |
| --- |
| **YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF THE SOUTH AFRICAN NATIONAL ROADS AGENCY SOC LIMITED** |
| BID NUMBER: | N.003-110-2022/1  | CLOSING DATE: | 31 October 2022 | CLOSING TIME: | 11h00 |
| DESCRIPTION | FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11. |
| **BID RESPONSE DOCUMENTS MAY BE DEPOSITED IN THE BID BOX SITUATED AT:** |
| NORTHERN REGION38 IDA STREETMENLO PARKPRETORIA |
| **BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO:** | **TECHNICAL ENQUIRIES MAY BE DIRECTED TO:** |
| CONTACT PERSON | Procurement Officer | CONTACT PERSON | Procurement Officer |
| TELEPHONE NUMBER | N/A | TELEPHONE NUMBER | N/A |
| FACSIMILE NUMBER | N/A | FACSIMILE NUMBER | N/A |
| E-MAIL ADDRESS | procurementnr4@nra.co.za | E-MAIL ADDRESS | procurementnr4@nra.co.za |
| **SUPPLIER INFORMATION** |
| NAME OF BIDDER |  |
| POSTAL ADDRESS |  |
| STREET ADDRESS |  |
| TELEPHONE NUMBER | CODE |  | NUMBER |  |
| CELLPHONE NUMBER |  |
| FACSIMILE NUMBER | CODE |  | NUMBER |  |
| E-MAIL ADDRESS |  |
| VAT REGISTRATIONNUMBER |  |
| SUPPLIER COMPLIANCE STATUS | TAX COMPLIANCE SYSTEM PIN: |  | **OR** | CENTRAL SUPPLIER DATABASE No: | MAAA |
| B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE | TICK APPLICABLE BOX[ ]  Yes [ ]  No | B-BBEE STATUS LEVELSWORN AFFIDAVIT | TICK APPLICABLE BOX[ ]  Yes [ ]  No |
| ***A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE MUST BE SUBMITTED IN ORDER TO QUALIFY FOR PREFERENCE POINTS FOR B-BBEE*** |
| ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS/SERVICES/ WORKS OFFERED? | [ ] Yes [ ] No[IF YES ENCLOSE PROOF] | ARE YOU A FOREIGN BASED SUPPLIER FORTHE GOODS/ SERVICES /WORKS OFFERED? | [ ] Yes [ ] No[IF YES ANSWER THE QUESTIONNAIRE BELOW] |
| ***QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS*** |
| IS THE ENTITY A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)? | [ ]  YES [ ]  NO |
| DOES THE ENTITY HAVE A BRANCH IN THE RSA? | [ ]  YES [ ]  NO |
| DOES THE ENTITY HAVE A PERMANENT ESTABLISHMENT IN THE RSA? | [ ]  YES [ ]  NO |
| DOES THE ENTITY HAVE ANY SOURCE OF INCOME IN THE RSA? | [ ]  YES [ ]  NO |
| IS THE ENTITY LIABLE IN THE RSA FOR ANY FORM OF TAXATION | [ ]  YES [ ]  NO |
| **IF THE ANSWER IS “NO” TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER FOR A TAX COMPLIANCE STATUS SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT, REGISTER AS PER 2.3 BELOW** |

PART B: TERMS AND CONDITIONS FOR BIDDING

|  |
| --- |
| 1. **BID SUBMISSION:**
 |
| * 1. BIDS MUST BE DELIVERED BY THE STIPULATED TIME TO THE CORRECT ADDRESS. LATE BIDS WILL NOT BE ACCEPTED FOR CONSIDERATION.
	2. **ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED (NOT TO BE RE-TYPED) OR IN THE MANNER PRESCRIBED IN THE BID DOCUMENT.**
	3. THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT, 2000, AND THE PREFERENTIAL PROCUREMENT REGULATIONS, 2017, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER SPECIAL CONDITIONS OF CONTRACT.
	4. **THE SUCCESSFUL BIDDER WILL BE REQUIRED TO FILL IN AND SIGN A WRITTEN CONTRACT FORM (SBD7).**
 |
| 1. **TAX COMPLIANCE REQUIREMENTS**
 |
| 1. BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.
2. BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER’S PROFILE AND TAX STATUS.
3. APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE [WWW.SARS.GOV.ZA](http://www.sars.gov.za).
4. BIDDERS MAY ALSO SUBMIT A SCANNED COPY IN .PDF OF THE PRINTED TCS CERTIFICATE TOGETHER WITH THE BID.
5. IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER.
6. WHERE NO TCS IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.
7. NO BIDS WILL BE CONSIDERED FROM PERSONS IN THE SERVICE OF THE STATE, COMPANIES WITH DIRECTORS WHO ARE PERSONS IN THE SERVICE OF THE STATE, OR CLOSE CORPORATIONS WITH MEMBERS WHO ARE PERSONS IN THE SERVICE OF THE STATE\*.
 |

**NB: FAILURE TO PROVIDE OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID**.

SIGNATURE OF BIDDER:

CAPACITY UNDER WHICH THIS BID IS SIGNED:

(Proof of authority must be submitted e.g. company resolution)

DATE:

FORM B1: CONTRACTOR’S ESTABLISHMENT ON SITE

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

**Note to Tenderer:**

**If the tenderer should require additional compensation for his obligations under Establishment on Site and General Obligations Section 1 of Annexure 2 Measurement and Payment of Volume 1 (over and above the total tendered for Section 1.0) by including such additional compensation in the tendered rates and/or lump sum of items in the pricing schedule, these items and the value of such additional compensation shall also be set out in a letter attached to this form.**

Should the combined, extended total tendered for Section 1 Establishment on Site and General Obligations exceed a maximum of 10% of the tender sum, the tenderer shall clearly set out his reasons for tendering in this manner in a letter attached to this page.

The relevant regional project engineer will duly consider these reasons but reserves the right to consider the tendered rates to be imbalanced and to deal with them in terms of Conditions of Tender clause C.3.9 contained in this volume.

Total tendered for Item Section 1 Establishment on Site and General Obligations expressed as a percentage of the tender sum (excluding VAT) ……..%.

SIGNED BY TENDERER:

FORM C1.1: TENDERER’S B-BBEE VERIFICATION CERTIFICATE

**Notes to tenderer:**

1. **The tenderer shall attach to this form a copy of the B-BBEE verification certificate issued in accordance with:**

1. **the revised Notice of Clarification published in Notice 444 of 2015 of Government Gazette No.38799 on 15 May 2015 by the Department of Trade and Industry or**
2. **if the scorecard is issued after 1 December 2020, the amended Codes of Good Practice published in Notice 303 of 2019 of Government Gazette No. 42496 on 31 May 2019 by the Department of Trade and Industry or;**
3. **the amended Construction Sector Codes published in Notice 931 of 2017 of Government Gazette No. 41287 on 1 December 2017 by the Department of Trade and Industry. If Measured Entity operates in more than one sector or sub-sector, the scorecard for the sector or sub-sector in which the majority of its core activities (measured in terms of Annual Revenue) are located will be acceptable.**
4. **The certificate shall:**
5. **have been issued by a verification agency accredited by the South African National Accreditation System (SANAS; orbe in the form of a sworn affidavit or a certificate issued by the Companies and Intellectual Property Commission accompanied by financial statements or management accounts in the case of an Exempted Micro Enterprise (EME) with a total annual revenue of less than R3 million if issued in accordance with the amended Construction Sector Codes published in Notice 931 of 2017 of Government Gazette No. 41287 on 1 December 2017 by the Department of Trade and Industry; and be valid at the original advertised tender closing date; and**
6. **have a date of issue less than 12 (twelve) months prior to the tender closing date (see Tender Data C.2.15).**
7. **In the event of an un-incorporated joint venture (JV), a project specific (SANRAL project number indicated) consolidated B-BBEE verification certificate in the name of the JV, shall be attached, as well as a valid B-BBEE verification certificate for each member of the JV on which the JV certificate was calculated.**
8. **The attached verification certificate and the associated assessment report shall comply with the requirements of Tender Data clause C.3.11 and shall identify:**
9. **The name and domicilium citandi et executandi of the tenderer.**
10. **The registration and VAT number of the tenderer.**
11. **The dates of granting of the B-BBEE score and the period of validity.**
12. **The expiry date of the verification certificate.**
13. **A unique identification number.**
14. **The standard and/or normative document, including the issue and/or revision used to evaluate the tenderer.**
15. **The name and/or mark/logo of the B-BBEE verification agency.**
16. **The category (Generic, QSE, EME) in which the tenderer has been measured.**
17. **The B-BBEE status level.**
18. **The South African National Accreditation System (SANAS) logo on the verification certificate once verification agencies have been accredited.**
19. **The B-BBEE procurement recognition level.**
20. **The score achieved per B-BBEE element.**
21. **The % black shareholding.**
22. **The % black women shareholding.**
23. **The % black persons with disabilities shareholding**
24. **The % black youth shareholding**
25. **The % black people living in rural or underdeveloped areas or townships shareholding**
26. **The % black military veterans shareholding**
27. **The value-added status of the tenderer.**

**5. The Employer will not be responsible to acquire data that it needs for its own reporting systems and which may not form part of a verification agency’s standard certificate format. The tenderer, at its own cost, must acquire any missing specified data listed in 3 above from its selected verification agency and have it recorded on the certificate. Alternatively, such missing data must be supplied separately, but certified as correct by the same verification agency and also attached to this form.**

**6. The tender will be declared non-responsive:**

**a) If the B-BBEE Certificate is not submitted or submitted B-BBEE certificate is not valid; or**

**b) If the B-BBEE Certificate is not submitted, and the tenderer has claimed a status point**

**level (not applicable for 2 envelope system); or**

**c) If tenderer failed to submit a valid B-BBEE Certificate but claimed status level points; or**

**d) If the tenderer submits a B-BBEE Certificate that is expired - but did claim preference**

**points; or**

**e) If the tenderer submits a B-BBEE Certificate that does not comply with requirements (eg.**

**not SANAS); or**

**f) If the tenderer submits the Scorecard assessment report only; or**

**g) If, in a case of a Joint Venture, the tenderer submits an unincorporated consolidated Joint**

**Venture B-BBEE Certificate which is not project specific; or**

**h) If, in a case of a Joint Venture, the tenderer submits an unincorporated consolidated**

**Joint Venture B-BBEE Certificate does not have a contract description and / or a tender number; or**

**i) If a tenderer only submits one B-BBEE certificate, where multiple tenders were issued by**

**SANRAL; or**

**j) If the BBBEE certificate or Sworn Affidavit is not submitted or not valid.**

**k) Sworn Affidavit; if**

**i. EME (not start-up) submits a Sworn Affidavit with total revenue above R1.8 million (Consultants or BEP) or R3 million (contractors) instead of a B-BBEE Certificate; or**

**ii. QSE submits Sworn Affidavit (consultants in the built environment and contractors) instead of a B-BBEE Certificate**

**l) If the Sworn Affidavit is not valid. A valid Sworn Affidavit must contain the following:**

**i. Name/s of deponent as they appear in the identity document and the identity number.**

**ii. Designation of the deponent as either the director, owner or member must be indicated in order to know that person is duly authorised to depose of an affidavit.**

**iii. Name of enterprise as per enterprise registration documents issued by the CIPC, where applicable, and enterprise business address.**

**iv. Percentage black ownership, black female ownership and whether they fall within a designated group.**

**v. Indicate total revenue for the year under review and whether it is based on audited financial statements or management accounts.**

**vi. Financial year-end as per the enterprise’s registration documents, which was used to determine the total revenue.**

**vii. B-BBEE status level. An enterprise can only have one status level.**

**viii. Date deponent signed and date of Commissioner of Oath must be the same.**

**ix. Commissioner of Oath cannot be an employee or ex officio of the enterprise because, a person cannot by law, commission a sworn affidavit in which they have an interest”.**

FORM C1.2: PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL PROCUREMENT REGULATIONS 2017 (INCORPORATING SBD6.1)

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

**Notes to tenderer:**

1. **This preference form must form part of all bids invited. It contains general information and serves as a claim form for preference points for Broad-Based Black Economic Empowerment (B-BBEE) Status Level of Contribution**
2. **NB: BEFORE COMPLETING THIS FORM, THE TENDERER MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF B-BBEE, AS PRESCRIBED IN THE PREFERENTIAL PROCUREMENT REGULATIONS, 2017.**
3. **GENERAL CONDITIONS**
	1. The following preference point systems are applicable to all bids:
4. the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
5. the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).
	1. (a) The value of this bid is estimated to exceed R50 000 000 (all applicable taxes included) and therefore the 90/10 preference point system shall be applicable; or
	2. Points for this bid shall be awarded for:
6. Price; and
7. B-BBEE Status Level of Contributor.
	1. The maximum points for this bid are allocated as follows:

|  |  |
| --- | --- |
|  | **POINTS** |
| **PRICE** | **90**  |
| **B-BBEE STATUS LEVEL OF CONTRIBUTOR** | **10**  |
|  |  |
| **Total points for Price and B-BBEE must not exceed** | **100** |

* 1. Failure on the part of a bidder to submit proof of B-BBEE Status level of contributor together with the bid, will be interpreted to mean that preference points for B-BBEE status level of contribution are not claimed.
	2. The purchaser reserves the right to require of a bidder, either before a bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the purchaser.
1. **DEFINITIONS**
2. **“B-BBEE”** means broad-based black economic empowerment as defined in section 1 of the Broad-Based Black Economic Empowerment Act.
3. “**B-BBEE status level of contributor”** means the B-BBEE status of an entity in terms of a code of good practice on black economic empowerment, issued in terms of section 9(1) of the Broad-Based Black Economic Empowerment Act.
4. **“bid”** means a written offer in a prescribed or stipulated form in response to an invitation by an organ of state for the provision of goods or services, through price quotations, advertised competitive bidding processes or proposals.
5. **“Broad-Based Black Economic Empowerment Act”** means the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003).
6. **“EME”** means an Exempted Micro Enterprise in terms of a code of good practice on black economic empowerment issued in terms of section 9 (1) of the Broad-Based Black Economic Empowerment Act.
7. **“functionality”** means the ability of a tenderer to provide goods or services in accordance with specifications as set out in the tender documents.
8. **“prices”** includes all applicable taxes less all unconditional discounts.
9. **“proof of B-BBEE status level of contributor”** means:
10. B-BBEE Status level certificate issued by an authorized body or person;
11. A sworn affidavit as prescribed by the B-BBEE Codes of Good Practice;
12. Any other requirement prescribed in terms of the B-BBEE Act.
13. **“QSE”** means a qualifying small business enterprise in terms of a code of good practice on black economic empowerment issued in terms of section 9 (1) of the Broad-Based Black Economic Empowerment Act.
14. **“rand value”** means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes.
15. **POINTS AWARDED FOR PRICE**
	1. **THE 80/20 OR 90/10 PREFERENCE POINT SYSTEMS**

A maximum of 80 or 90 points is allocated for price on the following basis:

 80/20 or 90/10

 **** or ****

 Where:

 Ps = Points scored for price of bid under consideration

 Pt = Price of bid under consideration

 Pmin = Price of lowest acceptable bid

1. **POINTS AWARDED FOR B-BBEE STATUS LEVEL OF CONTRIBUTOR**
	1. In terms of Regulation 6 (2) and 7 (2) of the Preferential Procurement Regulations, preference points must be awarded to a bidder for attaining the B-BBEE status level of contribution in accordance with the table below:

|  |  |  |
| --- | --- | --- |
| **B-BBEE Status Level of Contributor** | **Number of points****(90/10 system)** | **Number of points****(80/20 system)** |
| 1 | 10 | 20 |
| 2 | 9 | 18 |
| 3 | 6 | 14 |
| 4 | 5 | 12 |
| 5 | 4 | 8 |
| 6 | 3 | 6 |
| 7 | 2 | 4 |
| 8 | 1 | 2 |
| Non-compliant contributor | 0 | 0 |

1. **BID DECLARATION**
	1. Bidders who claim points in respect of B-BBEE Status Level of Contribution must complete the following:
2. **B-BBEE STATUS LEVEL OF CONTRIBUTOR CLAIMED IN TERMS OF PARAGRAPHS 1.4 AND 4.1**
	1. B-BBEE Status Level of Contributor: = (maximum of 10)

(Points claimed in respect of paragraph 6.1 must be in accordance with the table reflected in paragraph 4.1 and must be substantiated by relevant proof of B-BBEE status level of contributor.

1. **SUB-CONTRACTING**
	1. Will any portion of the contract be sub-contracted? (***Tick applicable box***)

|  |  |  |  |
| --- | --- | --- | --- |
| YES |  | NO |  |

* + 1. If yes, indicate:
1. What percentage of the contract will be subcontracted %
2. The name of the sub-contractor
3. The B-BBEE status level of the sub-contractor:
4. Whether the sub-contractor is an EME or QSE ***(Tick applicable box***)

|  |  |  |  |
| --- | --- | --- | --- |
| YES |  | NO |  |

1. Specify, by ticking the appropriate box, if subcontracting with an enterprise in terms of Preferential Procurement Regulations,2017:

|  |  |  |
| --- | --- | --- |
| **Designated Group: An EME or QSE which is at last 51% owned by:** | **EME****P** | **QSE****P** |
| Black people |  |  |
| Black people who are youth |  |  |
| Black people who are women |  |  |
| Black people with disabilities |  |  |
| Black people living in rural or underdeveloped areas or townships |  |  |
| Cooperative owned by black people |  |  |
| Black people who are military veterans |  |  |
| **OR** |
| Any EME  |  |  |
| Any QSE |  |  |

1. **DECLARATION WITH REGARD TO COMPANY/FIRM**
	1. Name of company/firm:
	2. VAT registration number:
	3. Company registration number:
	4. TYPE OF COMPANY/ FIRM ***(Tick applicable box***)

□ Partnership/Joint Venture / Consortium

□ One person business/sole propriety

□ Close corporation

□ Company

□ (Pty) Limited

* 1. DESCRIBE PRINCIPAL BUSINESS ACTIVITIES

* 1. COMPANY CLASSIFICATION ***(Tick applicable box***)

□ Manufacturer

□ Supplier

□ Professional service provider

□ Other service providers, e.g. transporter, etc.

* 1. Total number of years the company/firm has been in business:
	2. I/we, the undersigned, who is / are duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the B-BBEE status level of contributor indicated in paragraphs 1.4 and 6.1 of the foregoing certificate qualifies the company/ firm for the preference(s) shown and I / we acknowledge that:
1. The information furnished is true and correct;
2. The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;
3. In the event of a contract being awarded as a result of points claimed as shown in paragraphs 1.4 and 6.1, the contractor may be required to furnish documentary proof to the satisfaction of the purchaser that the claims are correct;
4. If the B-BBEE status level of contributor has been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the purchaser may, in addition to any other remedy it may have:
	1. disqualify the person from the bidding process;
	2. recover costs, losses or damages it has incurred or suffered as a result of that person’s conduct;
	3. cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
	4. recommend that the bidder or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted by the National Treasury from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied; and
	5. forward the matter for criminal prosecution.

WITNESSES:

1.
2.

SIGNATURE(S) OF BIDDERS(S)

DATE:

ADDRESS

####

FORM D1: SCHEDULE OF TENDERER’S PLANT AND EQUIPMENT

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

**Note to tenderer:**

**The tenderer shall provide details of the major plant and equipment required for this project.**

The tenderer shall state below what constructional plant will be immediately available for this contract, what constructional plant will become available by virtue of outstanding orders, and what further constructional plant will be acquired or hired for the work should the tenderer be awarded the contract.

1. Constructional plant immediately available (I)
2. Constructional plant on order (O)

(State details of arrangements made, with delivery dates)

1. Constructional plant that will be acquired or hired (H)

(State details of delivery arrangements)

|  |  |  |  |
| --- | --- | --- | --- |
| **PLANT AND EQUIPMENT TYPE** | **NUMBER TO BE USED ON THIS PROJECT** | **DATE OF MANUFACTURE**  | **AVAILABILITY****(State either I, O or H)** |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  | **Form D1 is Not applicable** |  |  |
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SIGNED BY TENDERER:

FORM D2: TENDERER’S METHOD STATEMENT

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

**Note to tenderer:**

The tenderer shall submit a method statement which complies with the following requirements: .

1. The tenderer’s method statement shall be a consice document, preferably limited to 5 pages and a PDF version thereof shall form part of the Returnable Schedules.
2. The tenderer’s method statement shall be evaluated for Quality points in terms of requirements stipulated in the Tender Data Appendix 1.
3. The tenderer’s method statement must respond to the Standard Specification and Scope of Works under Part C3 to outline the proposed approach/methodology.
4. The Tenderer must as such explain the following:
5. His/her understanding of the objectives of the the Employer’s requirements,
6. Highlight issues of importance and explain approach they would adopt to address them, and;
7. the methodologies which are to be adopted, demonstrate compatibility of those methodologies with the proposed approach.

SIGNED BY TENDERER:

FORM D3: TENDERER’S ORGANISATION AND STAFFING

**Notes to tenderer:**

1. **The intention of this form is to demonstrate the tenderer’s project structure, as well as the lines of responsibility between members of the project team and between the project team and the overall company structure. Attach own organogram to this form; do not populate the example diagram below.**
2. **Tenderers which are large companies may simplify the organogram by ‘rolling up’ portfolios e.g. combining directors/associates into one box of the organogram. However, the individual positions of the key persons within the structure must still be shown. The same person could fill multiple positions.**
3. **Joint Venture tenders require each element of the venture to submit separate organograms that show the individual structure of each member company and the lines of responsibility of the proposed personnel involved in the project. In addition there must also be a combined organogram that indicates how the joint venture itself will function and the proposed share of the work will become a contractual obligation between the members of the joint venture.**
4. **State the city or town where the company’s head office is located. The locality of regional or satellite offices, regardless of degree of autonomy or size is not required. Only submit the number of offices other than the head office. Do not count offices outside RSA.**
5. **In the lower table list those who will be involved in priority order of most to least responsibility for the service. Provide details of the key staff. Except for the MD, all of others must submit Forms D4. The same person may perform multiple roles.**
6. **Failure to comply with all requirements on Form D3 may render the Tender offer as non-responsive.**

|  |  |
| --- | --- |
| **Head Office:** | *State City/Town. See note 4.* |
| **Other Offices:** | *Only list number, See note 4* |
| **Total Employees:**  |  |
| **% share in JV agreement:**  | *State 100% if no JV* |

|  |  |  |  |
| --- | --- | --- | --- |
| **Name of employee** | **Position in team** | **Estimated monthly hours** | **Relevant specialist areas of knowledge demonstrating suitability for position** |
|  | **Managing Director/Director** |  |  |
|  | **Manager: Traffic Control Centre** |  |  |
|  | **Assistant Manager: Operations** |  |  |
|  | **Assistant Manager: Technical** |  |  |
|  | **Occupational Health and Safety Officer**  |  |  |
|  | **Environmental Officer** |  |  |

SIGNED BY TENDERER:

FORM D4.1: TENDERER’S KEY PERSONNEL EXPERIENCE (MANAGER: TRAFFIC CONTROL CENTRE)

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

Note to tenderer:

1. The Tenderer shall provide the Key Personnel’s details of previous experience relevant for this project; only on this form.
2. The Tenderer is referred to the Conditions of Tender for eligibility requirements.
3. Proof of Qualification(s) must be appended to this form. Information submitted herein shall be evaluated for Quality points in terms of requirements stipulated in the Tender Data Appendix 1, Item 2.1.
4. If the Form is omitted or incomplete or proposed candidate does not meet the minimum experience. The tender will be declared non-responsive in terms of clause C.2.1 of the Conditions of Tender.
5. Tenderer must submit proof that the proposed TCC Manager has the minimum experience in the management, operation, and maintenance of TCCs.
6. Reference to Traffic Control Centre(s) shall also mean Weighbridges or Toll Plazas/Operations or similar Traffic Law Enforcement facilities or Transportation facilities/hubs where services rendered include management, operation, and maintenance of the relevant facility.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Name | Position in team | Qualification | Date Obtained | No. of YearsExperience as TCC Manager |
|  | MANAGER: TRAFFIC CONTROL CENTRE |  |  |  |

Managerial Experience

**List only the most recent project(s) whose total duration of employment meets the stipulated years of experience that the tenderer considers relevant to the specified scope of works**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Client | Description of project | Project start date | Project end date | Value | Position Held | Contact Person and firm | Contact No. |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |

Comments:

I confirm that the information provided herein is true, that the projects reported and the corresponding responsibilities are truly the experiences of the firm or consortium of firms tendering for this project.

SIGNED BY TENDERER:

FORM D4.2: TENDERER’S KEY PERSONNEL EXPERIENCE (ASSISTANT MANAGER OPERATIONS)

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

Note to tenderer:

1. The Tenderer shall provide the Key Personnel’s details of previous experience relevant for this project, only on this form.
2. The tenderer is referred to the Conditions of Tender for eligibility requirements.
3. Proof of Qualification(s) must be appended to this form. Information submitted herein shall be evaluated for Quality points in terms of requirements stipulated in the Tender Data Appendix 1, Item 2.1.
4. If the Form is omitted or incomplete or proposed candidate does not meet the minimum experience. The tender will be declared non-responsive in terms of clause C.2.1 of the Conditions of Tender.
5. Reference to Traffic Control Centre(s) shall also mean Weighbridges or Toll Plazas/Operations or similar Traffic Law Enforcement facilities or Transportation facilities/hubs where services rendered include management, operation, and maintenance of the relevant facility.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Name | Position in team | QUALIFICATION | Date Obtained | No. of YearsExperience |
|  | ASSISTANT MANAGER OPERATIONS |  |  |  |

Relevant Managerial Experience

**List only the most recent project(s) whose total duration of employment meets the stipulated years of experience that the tenderer considers relevant to the specified scope of works.**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Client | Description of project | Project start date | Project end date | Value | Position Held | Contact Person and firm | Contact No. |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |

Comments:

I confirm that the information provided herein is true, that the projects reported and the corresponding responsibilities are truly the experiences of the firm or consortium of firms tendering for this project.

SIGNED BY TENDERER:

#### FORM D4.3: TENDERER’S KEY PERSONNEL EXPERIENCE (ASSISTANT MANAGER TECHNICAL)

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

Note to tenderer:

1. The Tenderer shall provide the Key Personnel’s details of previous experience relevant for this project; only on this form.
2. The tenderer is referred to the Conditions of Tender for eligibility requirements.
3. Proof of Qualification(s) must be appended to this form. Information submitted herein shall be evaluated for Quality points in terms of requirements stipulated in the Tender Data Appendix 1, Item 2.1.
4. If the Form is omitted or incomplete or proposed candidate does not meet the minimum experience. The tender will be declared non-responsive in terms of clause C.2.1 of the Conditions of Tender.
5. Reference to Traffic Control Centre(s) shall also mean Weighbridges or Toll Plazas/Operations or similar Traffic Law Enforcement facilities or Transportation facilities/hubs where services rendered include management, operation, and maintenance of the relevant facility.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Name | Position in team | Qualification  | Date Obtained | No. of YearsExperience |
|  | ASSISTANT MANAGER (TECHNICAL) |  |  |  |

RelevantManagerial Experience

**List only the most recent project(s) whose total duration of employment meets the stipulated years of experience that the tenderer considers relevant to the specified scope of works.**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Client | Description of project | Project start date | Project end date | Value | Position Held | Contact Person and firm | Contact No. |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |

Comments:

I confirm that the information provided herein is true, that the projects reported and the corresponding responsibilities are truly the experiences of the firm or consortium of firms tendering for this project.

SIGNED BY TENDERER:

FORM D4.4: TENDERER’S PERSONNEL EXPERIENCE- OCCUPATIONAL HEALTH AND SAFETY OFFICER

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

Note to tenderer:

1. The Tenderer shall provide the Key Personnel’s details of previous experience relevant for this project; only on this form.
2. The tenderer is referred to the Conditions of Tender for eligibility requirements.
3. Proof of Qualification(s) must be appended to this form. Information submitted herein shall be evaluated for Quality points in terms of requirements stipulated in the Tender Data Appendix 1, Item 2.1.
4. Reference to Traffic Control Centre(s) shall also mean Weighbridges or Toll Plazas/Operations or similar Traffic Law Enforcement facilities or Transportation facilities/hubs where services rendered include management, operation, and maintenance of the relevant facility.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Name | Position in team | Qualification | Date Obtained | No. of YearsExperience |
|  | OCCUPATIONAL HEALTH AND SAFETY OFFICER |  |  |  |

RelevantExperience

**List only the most recent project(s) whose total duration of employment meets the stipulated years of experience that the tenderer considers relevant to the specified scope of works.**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Client | Description of project | Project start date | Project end date | Value | Position Held | Contact Person and firm | Contact No. |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |

Comments:

I confirm that the information provided herein is true, that the projects reported and the corresponding responsibilities are truly the experiences of the firm or consortium of firms tendering for this project.

SIGNED BY TENDERER:

FORM D4.5: TENDERER’S PERSONNEL EXPERIENCE -ENVIROMENTAL OFFICER

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

Note to tenderer:

1. The Tenderer shall provide the Key Personnel’s details of previous experience relevant for this project; only on this form.
2. The tenderer is referred to the Conditions of Tender for eligibility requirements.
3. Proof of Qualification(s) must be appended to this form. Information submitted herein shall be evaluated for Quality points in terms of requirements stipulated in the Tender Data Appendix 1, Item 2.1.
4. Reference to Traffic Control Centre(s) shall also mean Weighbridges or Toll Plazas/Operations or similar Traffic Law Enforcement facilities or Transportation facilities/hubs where services rendered include management, operation, and maintenance of the relevant facility.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Name | Position in team | Qualification | Date Obtained | No. of YearsExperience |
|  | ENVIRONMENTAL OFFICER |  |  |  |

Relevant Experience

**List only the most recent project(s) whose total duration of employment meets the stipulated years of experience that the tenderer considers relevant to the specified scope of works.**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Client | Description of project | Project start date | Project end date | Value | Position Held | Contact Person and firm | Contact No. |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |

Comments:

I confirm that the information provided herein is true, that the projects reported and the corresponding responsibilities are truly the experiences of the firm or consortium of firms tendering for this project.

SIGNED BY TENDERER:

FORM D5.1: TENDERER’S (COMPANY) EXPERIENCE COMPLETED PROJECTS

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

**Note to tenderer:**

The tenderer shall provide details of previous experience required for this project.

1. The tenderer shall provide details of previous experience required for this project and attach contract performance evaluation certificates.
2. The Tenderer must have satisfactorily completed (at least completed as a prime contractor, joint venture member, management contractor or sub-contractor) a minimum number of one (1) similar contracts matching the subject project’s scope of work, between 1st January 2017 and tender submission deadline. Each completed contract must have a minimum value that exceeds R 50 million and have a Satisfactory Performance Rating, as rated in terms of the project(s) Employer’s Performance Rating system or Letter of confirmation.
3. For contracts under which the Tenderer participated as a joint venture member or sub-contractor, only the Tenderer’s share, by value, shall be considered to meet the minimum value requirement.
4. If the Tenderer is a joint venture, the value of contracts completed by the individual joint venture members can be combined and shall be treated as one contract to determine whether the requirement of the minimum value of a single contract has been met. In the case of combined contracts, each contract performed by each member shall exceed twenty-five percent (25%) of the minimum value of a single contract as required for single entity. In determining whether the joint venture meets the requirement of total number of contracts, the number of contracts completed by all JV members each of value equal or more than the minimum value required shall be aggregated. For the afore-mentioned purpose, contracts which are combined to meet the minimum value shall be treated as one contract.
5. If the tenderer’s experience does not meet the stipulated minimum requirement e.g., less than the minimum stipulated number of recent contracts or if the proposed project is not relevant and as a result the tenderer does not meet the minimum requirement, the tenderer will be scored zero.
6. Reference to Traffic Control Centre(s) shall also mean Weighbridges or Toll Plazas/Operations or similar Traffic Law Enforcement facilities or Transportation facilities/hubs where services rendered include management, operation, and maintenance of the relevant facility.

List only project(s) which comply with items 1 to 6 above.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Client/Employer** | **Description of project** | **Project start date** | **Project end date** | **Value of Work****(i.e. the service provided) inclusive of VAT (Rand)** | **Contact Person and Firm** | **Contact Number** |
|  |  |  |  |  |  |  |

Comments:

I confirm that the information provided herein is true, that the projects reported, and the corresponding responsibilities are truly the experiences of the firm or consortium of firms tendering for this project.

SIGNED BY TENDERER:

FORM D5.2: TENDERER’S EXPERIENCE based on projects IN PROGRESS

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

Note to tenderer:

1. Submission of this form is optional. This form must be submitted if the tenderer does not comply with the requirements of Form D5.1 and elects to list projects that are in progress to comply with afore- mentioned requirements.
2. The Tenderer must list details of similar projects in progress (as a prime contractor, joint venture member, management contractor or sub-contractor) matching the subject project’s scope of work, between 1st January 2017 and tender submission deadline. Each project in progress must have a certified value of work that exceeds 80% of the awarded value and exceeds R 50 million and have a Performance Rating not less than zero (0) Adequate, as rated in terms of the Employer’s Performance Rating system. The Performance Rating and certified value of work at closing date must be confirmed by the Project Employer or Project Engineer in writing.
3. For contracts under which the Tenderer participated as a joint venture member or sub-contractor, only the Tenderer’s share, by value, shall be considered to meet the minimum value requirement.
4. If the Tenderer is a joint venture, the value of contracts completed by the individual joint venture members can be combined and shall be treated as one contract to determine whether the requirement of the minimum value of a single contract has been met. In the case of combined contracts, each contract performed by each member shall exceed twenty-five percent (25%) of the minimum value of a single contract as required for single entity. In determining whether the joint venture meets the requirement of total number of contracts, the number of contracts completed by all JV members each of value equal or more than the minimum value required shall be aggregated. For the afore-mentioned purpose, contracts which are combined to meet the minimum value shall be treated as one contract.
5. If the tenderer’s experience does not meet the stipulated minimum requirement e.g. less than the minimum stipulated number of recent contracts or if the proposed project is not relevant and as a result the tenderer does not meet the minimum requirement, the tenderer will be scored zero.
6. Reference to Traffic Control Centre(s) shall also mean Weighbridges or Toll Plazas/Operations or similar Traffic Law Enforcement facilities or Transportation facilities/hubs where services rendered include management, operation, and maintenance of the relevant facility.

List only project(s) which comply with items 1 to 6 above.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Client | Description of project | Project start date | Project end date | Award Value of Work(i.e. the service provided) inclusive of VAT (Rand) | Value of works certified | Contact Person and Firm | Contact Number |
|  |  |  |  |  |  |  |  |

Comments:

I confirm that the information provided herein is true, that the projects reported, and the corresponding responsibilities are truly the experiences of the firm or consortium of firms tendering for this project.

SIGNED BY TENDERER:

FORM D6: TENDERER’S INDICATIVE PROGRAMME

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

**Notes to tenderer:**

1. **The tenderer shall attach an indicative programme, reflecting the proposed sequence and tempo of execution of the various activities comprising the work for this contract. The programme shall be in accordance with the information provided in “Form D7 Schedule of Estimated Monthly Expenditure”, and with all other requirements of the Project Document**.
2. **~~If a tenderer wishes to submit an alternative tender then this form, appropriately completed, shall also be attached to the Pricing Schedule for the alternative proposal.~~**

FORM D7: SCHEDULE OF ESTIMATED MONTHLY EXPENDITURE

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

The tenderer shall state his estimated value of the work to be completed every month, based on his preliminary programme and his tendered unit rates, in the table below.

|  |  |  |  |
| --- | --- | --- | --- |
| **MONTH** | **VALUE** | **MONTH** | **VALUE** |
| 12345678910111213141516171819TOTAL c/f | R R R R R R R R R R R R R R R R R R R R  | TOTAL b/f20212223242526272829303132333435……..61 (FINAL)\* | R R R R R R R R R R R R R R R R R R  |
|  | TOTAL: R  |

SIGNED BY TENDERER:

FORM D8: SCHEDULE OF SUBCONTRACTORS

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

With regard to sub-clause 4.4 of the FIDIC Conditions of Contract as amended:

**Notes to tenderer:**

1. The tenderer shall list below the items of work he intends to subcontract.
2. Acceptance of this tender shall not be construed as approval of all or any of the listed subcontractors. Should any or all of the subcontractors not be approved subsequent to the acceptance of the tender, it shall in no way invalidate this tender, and the tendered unit rates for the various items of work shall remain final and binding, even in the event of a subcontractor not listed below being approved by the engineer.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **ITEM OF WORK** | **NAME OF SUBCONTRACTOR (IF KNOWN) AND INDICATE SPECIALIST SUBCONTRACTORS WITH “S”** | **% VALUE OF THE TENDER AMOUNT SUB-CONTRACTED** | **B-BBEE STATUS LEVEL OF SUB-CONTRACTOR** | **STATE IF TARGETED ENTERPRISE** | **STATE IF EME** |
|  |  |  |  |  |  |
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SIGNED BY TENDERER:

FORM D9: CORPORATE SOCIAL INVESTMENT

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

With regard to clause D1012: Community Development, of the Project Specifications:

**Notes to tenderer:**

1. The tenderer shall list below his own Corporate Social Investment initiatives that will actively be pursued for this project.
2. The Corporate Social Investment initiatives will be evaluated and applied as objective criteria in terms of section 2(1)(f) of the Preferential Procurement Policy Framework Act, 2000.

|  |  |  |  |
| --- | --- | --- | --- |
| **CSI INITIATIVE** | **COMMUNITY AFFECTED** | **ESTIMATED RAND VALUE OF INITIATIVE** | **ESTIMATED TIME FOR IMPLEMENTATION** |
|  |  |  |  |
|  |  |  |  |
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SIGNED BY TENDERER:

FORM E1: SCHEDULE OF TENDER COMPLIANCE

CONTRACT SANRAL N.003-110-2022/1

FOR MANAGEMENT, OPERATIONS AND MAINTENANCE OF THE HEIDELBERG TRAFFIC CONTROL CENTRE (HTCC) ON NATIONAL ROUTE 3 SECTION 11.

**Note to tenderer:**

**This form has been created as an aid to ensure a tenderer’s compliance with the completion of the returnable forms and schedules and subsequent placement in the correct envelope.**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Form No / SBD NO** | **electronic format** | **Form description** | **Tick if completed** | **envelope** |
| A1 | PDF | CERTIFICATE OF CONFIRMATION THAT THE TENDERER READ THE PRESENTATION | \*1 | technical proposal(first envelope-containing CD/USB FLASH DRIVE) |
| A1.1 | PDF | Certificate of intention to submit a tender | \*1 |
| a2.1 | PDF | certificate of authority for signatory | \*1 |
| A2.2 | PDF | DECLARATION OF TENDERER’S CURRENT STATUS OF ANY DEBT OUTSTANDING TO SANRAL | \*1 and \*2 |
| A2.3 | PDF | CERTIFICATE OF SINGLE TENDER SUBMISSION | \*1 and \*2 |
| A2.4 | PDF | certificate of fronting practices | \*1 and \*2 |
| A.2.5 | PDF | DECLARATION FORM - MANAGEMENT OF DOMESTIC PROMINENT INFLUENTIAL PERSONS, FOREIGN PROMINENT PUBLIC OFFICIALS AND FOREIGN INFLUENTIAL NATIONALS | \*1 and \*2 |
| A.2.6 | PDF | CERTIFICATE OF PERMISSION TO CONDUCT DUE DILIGENCE INVESTIGATION | \*1 and \*2 |
| a3.1 / SBD4 | PDF | BIDDER’S DISCLOSURE | \*1 and \*2 |
| A3.2 / SBD9 | PDF | CERTIFICATE OF INDEPENDENT TENDER | Not Applicable |
| A3.3 / SBD8 | PDF | DECLARATION OF TENDERER’S PAST SUPPLY CHAIN MANAGEMENT PRACTICES | Not Applicable |
| A3.4 | PDF | REGISTRATION ON NATIONAL TREASURY CENTRAL SUPPLIER DATABASE | \*1 and \*2 |
| a4 | PDF | schedule of Deviations OR QUALIFICATIONS by tenderer | \*1 and \*2 |
| a5 | PDF | schedule of addenda to tender documents | \*1 and \*2 |
| a6 / SBD2 | PDF | certificate of tax COMPLIANCE | \*1 |
| a7 | PDF | certificate of insurance cover | \*1 |
| a8 | PDF | tenderer’s registered financial service provider letter and bank details | \*1 |
| a9.1 | PDF | schedule of tender’s litigation history | \*1 |
| A9.2 | PDF | enviroNmental, social, health, and safety performance declaration | \*1 |
| A10 | PDF | SCHEDULE OF CURRENT COMMITMENTS - TENDERER | \*1 |
| a10.1 | PDF | SCHEDULE OF CURRENT COMMITMENTS – PROPOSED KEY PERSONNEL | \*1 |
| a11 | PDF | CERTIFICATE OF COMPLIANCE WITH COMPENSATION FOR OCCUPATIONAL INJURIES AND DISEASES ACT, 1993 (ACT nO. 130 OF 1993) | \*1 |
| A13/sbd1: | PDF | FORM SBD1 – INVITAITON TO BID AND TERMS AND CONDITIONS FOR BIDDING | \*1 & \*2 |
| c1.1 / SBD6.1 | PDF | TENDERER’S b-bbee VERIFICATION CERTIFICATE | \*1 and \*2 |
| c1.2 | PDF | preference points claim form in terms of the preferential procurement regulations 2017 (incorporating sbd6.1) |  |
| ~~D1~~ | ~~PDF~~ | ~~SCHEDULE OF TENDERER’S PLANT AND EQUIPMENT~~ | ~~1 and 2~~ |
| d2 | PDF | tenderer’s method statement |  |
| D3 |  | tenderer’s organisation and staffing | \*1 and \*2 |
| D4.1 | PDF | tenderer’s key personnel experience (Manager: Traffic Control Centre) | \*1  |
| d4.2 | PDF | tenderer’s key personnel experience (Assistant Manager Operations) | \*1 |
| d4.3 | PDF | tenderer’s KEY personnel experience (Assistant Manager Technical) | \*1 |  |
| d4.4 | PDF | TENDERER'S PERSONNEL EXPERIENCE (Occupational Health and Safety Officer) | \*1 |  |
| d4.5 | PDF | tenderer's personnel experience (Environmental officer) | \*1 |
| D5 | PDF | TENDERER’S (Company Experience) EXPERIENCE | \*1 |
| d6 | PDF | Tenderer’s indicative programme | \*1 |  |
| d8 | PDF | schedule of subcontractors | \*1 |  |
| d9 | PDF | corporate social investment | \*1 and \*2 |  |
| e1 | pdf | SCHEDULE OF TENDER COMPLIANCE | \*1 |  |
| **Form No / SBD NO** | **ELECTRONIC FORMAT** | **Form description** | **Tick if completed** | **envelope** |
| B1 | PDF | contractor's establishment on site | \*1 | financialproposal(second envelope-containing CD/USB flash drive) |
| C1.1.1 / SBD7 | PDF | FORM OF OFFER | \*1 and \*2 |
| C1.2.3 | MS eXCEL | CONTRACT DATA – INFORMATION PROVIDED BY THE TENDERER | \*1 and \*2 |
| C2.2 / SBD3 | MS EXCEL & PDF | PRICING SCHEDULE | \*1 and \*2 |
| C.2.3 | MS ECXEL & PDF | SUMMARY OF PRICING SCHEDULE (including breakdown of rates) | \*1 and \*2 |
| D7 | pdf | schedule of estimated monthly expenditure | \*1 |  |

Notes: \*1 Schedules/documents required for tender evaluation purposes

 \*2 Schedules/documents that will be incorporated into the Contract

Tenderers shall note that their signature on this form represents a declaration that they vouch for the accuracy and correctness of the information provided, including the information provided by candidates proposed for the specified key positions.

SIGNED BY TENDERER:

1. the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise. [↑](#footnote-ref-1)
2. Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract. [↑](#footnote-ref-2)