



4th Floor
Riverwalk Office Park
Block A, 41 Matroosberg Road
Ashlea Gardens
**PRETORIA SOUTH
AFRICA**
0081

P.O. Box 580, **MENLYN**, 0063
Tel: 012 346 1738, Fax: 086 693 7472 E-
Mail: tenders@pfa.org.za
Website: www.pfa.org.za

REQUEST FOR QUOTATION	IMPLEMENTATION, MAINTENANCE AND SUPPORT OF AN ON- PREMISES ITSM SOLUTION
REQUISITION NUMBER	00032
CLOSING DATE	17 OCTOBER 2025
CLOSING TIME	16:00



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1. INTRODUCTION

- 1.1. The Office of the Pension Funds Adjudicator (OPFA) is a schedule 3A entity established in terms of section 30B of the Pension Funds Act No.24 of 1956 with effect from 1 January 1998 to investigate and determine complaints lodged in terms of the Pension Funds Act in a procedurally fair and expeditious manner.
- 1.2. It is funded in terms of section 30R of the Pension Funds Act by way of a levy imposed by national legislation and collected by the Financial Sector Conduct Authority.
- 1.3. The mandate of the OPFA is to ensure a procedurally fair, economical, and expeditious resolution of complaints in terms of the ACT by:
 - 1.3.1. Ensuring that its services are accessible to all
 - 1.3.2. Investigating complaints in a procedurally fair manner
 - 1.3.3. Reaching a just and expeditious resolution of complaints in accordance with the Act.
- 1.4. The OPFA is located in Pretoria and has about 75 users. In supporting the mission of the OPFA, Information and Communication Technology (ICT) has been identified as one of the key drivers in modernising and continuously improving the functioning of the organisation. This modernisation is aimed at improving delivery service to the stakeholders of the office and optimising internal operations. To this end, various investments have been made in underlying technologies to support the mission on the organisation and to ensure business continuity.

2. BACKGROUND & CONTEXT

- 2.1. The OPFA currently utilises Cherwell as its primary IT Service Management platform. However, with Cherwell reaching its end-of-life in 2026, the organisation must transition to a new, modern, scalable, and fully supported solution.
- 2.2. The current Cherwell platform operates with 11 concurrent user licenses, which have consistently met the operational needs of the organisation since its initial deployment. This licensing model will serve as a reference point for evaluating the future ITSM's capacity and scalability requirements.
- 2.3. The implementation of the ITSM solution will be strategically aligned with the ITIL-based service management framework, with a primary focus on the modules most actively utilised within the organization namely Incident Management, Service Request Management, IT Change Management, Asset & Configuration Management (CMDB), Reporting and SLA Management.
- 2.4. These modules currently form the foundation of OPFA's service delivery operations and will be prioritised during the transition. In addition, the OPFA will also explore and leverage extended capabilities within the ITIL framework, including Release Management, Problem Management, Knowledge Management, and emerging features such as AI-driven automation and analytics.
- 2.5. This approach aims to enhance operational efficiency and service quality while ensuring scalability and future-readiness.

3. PURPOSE OF THE REQUEST FOR QUOTATION (RFQ)

- 3.1. The OPFA invites quotations and proposals from suitable qualified ITSM service providers for the following services:

- 3.1.1. **Configuration and Implementation of an on-premises ITSM Solution**
(proposals for cloud ITSM solutions will not be considered)

This will be considered a new project and must be completed within 3 months of appointment of the service provider.

- 3.1.2. **Maintenance and support**

Provide ongoing maintenance and support for the implemented ITSM solution over a 12-month period.

3.1.3. Licensing

- Supply licenses for all the OPFA IT environments.

3.1.4. Ad-hoc Enhancements

- Deliver ad-hoc enhancements and improvements to the ITSM solution as and when required by the OPFA.

4. SCOPE OF GOODS AND SERVICES

- 4.1. **Configuration and Implementation of the ITSM solution** - The appointed service provider will be responsible for delivering the following implementation services:

PROJECT PHASE	RESPONSIBILITIES
1. Project Planning and Management	• Develop and oversee the execution of the project plan. • Coordinate project meetings and document project minutes. • Maintain and regularly update the project risk register. • Design and implement a change management plan for the implementation of the ITSM solution. • Prepare and submit the final project closure report. Note: A dedicated full-time Project Manager should be assigned to the project.
2. Business Analysis & Design	Conduct requirements gathering sessions and design the proposed solution. Develop key documentation, including the Business Requirements Document (BRD) and the Technical Design Specification. Note: A dedicated full-time Business Analyst should be assigned to the project.
3. System Configuration, Customisations and Integration	• Configure and customise the ITSM modules to align with the OPFA business needs and requirements for ICT, HR, Finance, Office Management etc. • Integrate the ITSM solution with third-party tools such as Microsoft 365, Active Directory (AD), Exchange Online, OpManager, MECM etc.
4. System Testing	• Produce test cases and test plans. • Log defects and manage the defect resolution process.

PROJECT PHASE	RESPONSIBILITIES
	Facilitate User Acceptance Testing (UAT).
5. System Training	- Provide administrator and end-user training - Develop comprehensive training materials, including administrator and user guides, in the form of documentation or instructional videos.
6. System Implementation	- Submit the change request and present it to the Change Advisory Board (CAB) for approval and implementation of the ITSM solution in the production environment. - Configure the application for operation in the disaster recovery environment. - Retain the URL currently used for the ITSM solution. - Ensure all “as-built” documentation is accurate and up to date. - Produce the project closure report.
7. Post Implementation Support	- Provide post-implementation support (e.g. troubleshooting, updates, enhancements etc.) - Align the SLA-based helpdesk support to the OPFA SLA times. - System health monitoring and performance optimisation. - Conduct periodic reviews and recommend continuous improvements to the system. - Participate in the annual disaster recovery tests and ensure the disaster recovery manuals for the ITSM solution are updated.

4.2. **Maintenance and Support of the ITSM environment (Development, Testing, Production and DR environment) (Excluding Windows and SQL environment which are supported internally) for a period of 12 months.** The following are minimum requirements:

- **Software Updates** - Implementing regular maintenance updates for the ITSM solution (e.g., patching). This will be done at least once a month during maintenance weekend, or as and when required, based on urgent business need (e.g., security requirements).
- **Incident and Problem Management** - Performing break fixes services (incident, problem management etc.) in case any component of the ITSM environment malfunctions (technical and functional). This includes dealing with the OEM, where required.
- **Service Requests** – These are minor updates to the ITSM solution such as making minor changes or updates to existing modules and functionality.
- **Security Management** – Ensuring that the ITSM environments (production, development, testing and disaster recovery) are secure.
- **Monitoring** – Monitoring the ITSM environments (production, development, testing and disaster recovery) for optimum performance and availability.
- **Documentation** - Ensuring that the ITSM documentation is always up to date.
- **Disaster Recovery and IT Continuity** – Participate in the backup and restoration of the ITSM environments.
- **Reporting** – Produce monthly reports for the ITSM solution and attending meetings (weekly, biweekly or monthly). The type of reports and occurrence of meetings will be agreed upon with the OPFA when the project commences.

4.3. **Licensing**

4.3.1. The service provider is required to supply 11 concurrent licenses. Should a concurrent licensing model not be available, the licensing must support 12 agents and 70 end users.

4.3.2. Licenses must be provided for the following environments.

- Production
- Development
- Testing
- Disaster Recovery

4.3.3. All licenses must be procured in the name of the OPFA.

4.4. **Ad-hoc Enhancements services**

4.3.4. This is a provision that will be used to implement **new** major business requirements. These ad-hoc requests will be initiated by the OPFA. Once the service provider receives a request its responsibilities will include the following

- Analysing the requirements in consultation with the OPFA.
- Documenting the solution design (functional and technical).
- Completing a change request form.
- Developing, testing and implementing the enhancement.
- Developing testing and training material.
- Updating the documentation.

4.3.5. The service provider must make provision of 200 hours for ad-hoc services. These services will be initiated by the OPFA based on business requirements. The service provider is not entitled to utilising these hours. The payment for these services will be prorated based on the hours used.

4.5. **EXCLUSIONS**

4.4.1. Hosting services

4.4.2. Data migration.

4.4.3. The OPFA will provide all the on-premises ICT infrastructure (back-end and end resources) including Microsoft base operating systems licenses. The bidder must specify the technical requirements for the servers, storage and Microsoft Hyper-V servers, etc during the planning phase of the project.

4.4.4. Licensing for Windows, SQL and SharePoint. Note: The OPFA has a Microsoft 365 E5 license.

4.4.5. Maintenance and Support of the SQL environment.

4.6. Maintenance and Support

MAINTENANCE AND SUPPORT: SERVICE LEVEL AGREEMENT				
	Mean time to Respond	Mean time to Repair	Target	Penalties
Incidents – Normal	1 hour	8 hours	95%	3% of the monthly invoice
Incidents – Critical (Complete system failure or public cannot access the core functionality)	1 hour	4 hours	95%	3% of the monthly invoice
Service requests	1 hour	8 hours	95%	3% of the monthly invoice
Problem Management	n/a	40 hours	90%	3% of the monthly invoice
Problem Management (Root cause Analysis Report)	n/a	40 hours	100%	3% of the monthly invoice
Reports		As per agreed reporting dates	100%	5% of the monthly invoice

- Invoices will only be considered for approval once the milestone has been reached and the milestone report has been submitted and accepted by the project team.
- If the bidder fails to deliver the goods or services in line with the agreed timelines, the OPFA reserves the right to deduct penalty amount as specified above, from the next invoice, irrespective of the service to which the penalty applies. The bidder will not accrue service credits for finalising milestones ahead of schedule; therefore, the bidder will not be able to offset the penalties with the service credits.
- No penalty will be imposed against the bidder in instances where agreed timelines are not met for reasons that fall outside the bidder's scope.
- Imposing the penalty does not absolve the bidder from performing the task, if repetitive non-compliance continues the repetitive penalty will be imposed until the milestone is completed.

5. COST MODEL/PRICING SCHEDULE

ITEM #	DESCRIPTION	SCOPE SECTION	DURATION	COST (EXCL VAT)	COST (INCL VAT)
1.	Project Implementation	4.1	Once Off (3 months)		
2.	1 Year Maintenance and Support	4.2	12 months (monthly payments)		
3.	Licensing	4.1	Once off		
4.	Ad-hoc Enhancements services (200 hours)	4.4	As and when agreed		
TOTAL					

6. SUBMISSION REQUIREMENTS

- 6.1. The below requirements are mandatory and proof of compliance that should be submitted, failure to submit evidence will lead to disqualification.

#	MANDATORY REQUIREMENT	COMPLY	DO NOT COMPLY
1.	The bidder must be an accredited ITSM Partner to configure, maintain and support the proposed ITSM solution. Proof of accreditation/partnership should be supplied.		
2.	Bidder's Experience The bidder must have proven experience in configuring, implementing, maintaining and supporting the proposed ITSM solution in at least two (2) organisations in the last three (2) years with similar requirements as the OPFA. The bidder must provide evidence of their experience in implementing the solution in other organisations. Verifiable reference letters must be provided. Information provided must include the following: • Name of the organisation. • Contact person's name. • Contact person's telephone number/s. • Contract duration; and Nature of activities.		
3.	Local Presence and Support The bidder must be based in South Africa and have the necessary resources to provide ongoing support.		
4.	Project Team Experience and Qualifications The bidder must provide at least three (3) CVs of the project team with a minimum of two (2) years' experience to configure, implement, maintain and support the ITSM solution.		

7. EVALUATION

- 7.1. The 80/20 preference point system shall be applicable to this bid, where 80 points represent maximum obtainable points for the lowest acceptable price, and 20 points represent the specific goals. Points will be awarded to a bidder for attaining the specific goals outlined in accordance with the table below:

#	Specific Goals of Preference	Proof/ Evidence required	Points Allocation
01	51% or more ownership by black people	CSD report/BEE Certificate or Sworn Affidavit	5
02	51% or more ownership by black women	CSD report/BEE Certificate or Sworn Affidavit	5
03	30% or more ownership by people living with disabilities	CSD report/BEE Certificate or Sworn Affidavit	3
04	30% or more ownership by youth	CSD report/BEE certificate or Sworn Affidavit	3
05	EME/QSE	CSD Reports/ BEE certificate or Sworn affidavits	4
TOTAL POINTS			20

- To claim preference, point bidders must submit evidence of the specific goals as per the table above. No points will be allocated if no supporting evidence is provided when submitting the bid.
- The OPFA will extract further CSD reports from the CSD system during the evaluation of bids. It is the responsibility of the Bidders to ensure information is updated and correct as per the points being claimed.

8. PROTECTION OF PERSONAL INFORMATION ACT (POPIA)

- 8.1. "POPIA" shall mean the Protection of Personal Information Act (Act Number 4 of 2013), as amended from time to time.
- 8.2. "Personal Information" (PI) shall mean the race, gender, sex, pregnancy, marital status, national or ethnic origin, colour, sexual orientation, age, physical or mental health, disability, religion, conscience, belief, culture, language and birth of a person; information relating to the education or the medical, financial, criminal or employment history of the person; any identifying number, symbol, email address, physical address, telephone number, location information, online identifier or other particular assignment to the person; the biometric information of the person; the personal opinions, views or preferences of the person; correspondence sent by the person that is implicitly or explicitly of a private or confidential nature or further correspondence that would reveal the contents of the original correspondence; the views or opinions of another individual about the person whether the information is recorded electronically or otherwise.
- 8.3. The parties acknowledge that for the purposes of this bid and agreement the parties may come into contact with or have access to PI and other information that may be classified, or deemed as private or confidential and for which the other party is responsible. Such PI may also be deemed or considered as private and confidential as it relates to any third party who may be directly or indirectly associated with this agreement. Further, it is acknowledged and agreed by the parties that they have the necessary consent to share or disclose the PI and that the information may have value.
- 8.4. The parties agree that they will always comply with POPIA's Regulations and Codes of Conduct and that it shall only collect, use and process PI it meets pursuant to this agreement in a lawful manner, and only to the extent required to execute the services, or to provide the goods and to perform their respective obligations in terms of this agreement.
- 8.5. The parties agree that it shall put in place, and always maintain, appropriate physical, technological, and contractual security measures to ensure the protection and confidentiality of PI that it, or its employees, its contractors or other authorized individuals meets pursuant to this agreement.
- 8.6. Notify each other immediately where it has reasonable grounds to believe that the Personal Information in respect of this agreement, which has been provided to it including any Personal Information which it has processed, has been lost, destroyed, or accessed or acquired by any unauthorized person.
- 8.7. Unless so required by law, the parties agree that it shall not disclose any PI as defined in POPIA to any third party without the prior written consent of the other party, and notwithstanding anything to the contrary contained herein, shall any party in no manner whatsoever transfer any PI out of the Republic of South Africa.

STANDARD BIDDING FORMS: PART A: INVITATION TO BID

YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF THE OFFICE OF PENSION FUNDS ADJUDICATOR					
BID NUMBER:	REQ00032	CLOSING DATE: 17 OCTOBER 2025	CLOSING TIME:	16:00	
DESCRIPTION	IMPLEMENTATION, MAINTENANCE AND SUPPORT OF AN ON-PREMISES ITSM SOLUTION				
BID RESPONSE DOCUMENTS MUST BE SUBMITTED ELECTONICALLY					
QUOTATIONS AND ALL SUPPORTING DOCUMENTS MUST BE EMAILED TO: tenders@pfa.org.za					
BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO			TECHNICAL ENQUIRIES MAY BE DIRECTED TO:		
CONTACT PERSON	LUFUNO BALIBALI		CONTACT PERSON	Magadi Tshitannye	
TELEPHONE NUMBER	012 748 4002		TELEPHONE NUMBER	012 748 4048	
FACSIMILE NUMBER			FACSIMILE NUMBER		
E-MAIL ADDRESS	tenders@pfa.org.za		E-MAIL ADDRESS	tenders@pfa.org.za	
SUPPLIER INFORMATION					
NAME OF BIDDER					
POSTAL ADDRESS					
STREET ADDRESS					
TELEPHONE NUMBER	CODE		NUMBER		
CELLPHONE NUMBER					
FACSIMILE NUMBER	CODE		NUMBER		
E-MAIL ADDRESS					
VAT REGISTRATION NUMBER					
SUPPLIER COMPLIANCE STATUS	TAX COMPLIANCE SYSTEM PIN:		OR	CENTRAL SUPPLIER DATABASE No:	MAAA
B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE	TICK APPLICABLE BOX] Yes No		B-BBEE STATUS LEVEL SWORN AFFIDAVIT		[TICK APPLICABLE BOX] Yes No
[A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE/ SWORN AFFIDAVIT (FOR EMES & QSEs) MUST BE SUBMITTED IN ORDER TO QUALIFY FOR PREFERENCE POINTS FOR B-BBEE]					
ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED?	Yes No [IF YES ENCLOSE PROOF]		ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED?		Yes No [IF YES, ANSWER THE QUESTIONNAIRE BELOW]
QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS					
IS THE ENTITY A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?			☐ YES ☐ NO		
DOES THE ENTITY HAVE A BRANCH IN THE RSA?			☐ YES ☐ NO		
DOES THE ENTITY HAVE A PERMANENT ESTABLISHMENT IN THE RSA?			☐ YES ☐ NO		
DOES THE ENTITY HAVE ANY SOURCE OF INCOME IN THE RSA?			☐ YES ☐ NO		
IS THE ENTITY LIABLE IN THE RSA FOR ANY FORM OF TAXATION?			☐ YES ☐ NO		
IF THE ANSWER IS "NO" TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER FOR A TAX COMPLIANCE STATUS SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 2.3 BELOW.					

PART B: TERMS AND CONDITIONS FOR BIDDING

1. BID SUBMISSION:

- 1.1. BIDS MUST BE DELIVERED BY THE STIPULATED TIME TO THE CORRECT ADDRESS. LATE BIDS WILL NOT BE ACCEPTED FOR CONSIDERATION.
- 1.2. **ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED–(NOT TO BE RE-TYPED) OR IN THE MANNER PRESCRIBED IN THE BID DOCUMENT.**
- 1.3. THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT, 2000 AND THE PREFERENTIAL PROCUREMENT REGULATIONS, **2022**, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER SPECIAL CONDITIONS OF CONTRACT.
- 1.4. **THE SUCCESSFUL BIDDER WILL BE REQUIRED TO FILL IN AND SIGN A WRITTEN CONTRACT FORM (SBD7).**

2. TAX COMPLIANCE REQUIREMENTS

- 2.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.
- 2.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER'S PROFILE AND TAX STATUS.
- 2.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE WWW.SARS.GOV.ZA.
- 2.4 BIDDERS MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE BID.
- 2.5 IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER.
- 2.6 WHERE NO TCS PIN IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.
- 2.7 NO BIDS WILL BE CONSIDERED FROM PERSONS IN THE SERVICE OF THE STATE, COMPANIES WITH DIRECTORS WHO ARE PERSONS IN THE SERVICE OF THE STATE, OR CLOSE CORPORATIONS WITH MEMBERS PERSONS IN THE SERVICE OF THE STATE."

NB: FAILURE TO PROVIDE / OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.

SIGNATURE OF BIDDER:

CAPACITY UNDER WHICH THIS BID IS SIGNED:
(Proof of authority must be submitted e.g. company resolution)

DATE:

SBD 4: BIDDER'S DISCLOSURE

1. PURPOSE OF THE FORM

Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

2. Bidder's declaration

- 2.1 Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest¹ in the enterprise, employed by the state? **YES/NO**

- 2.1.1 If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

Full Name	Identity Number	Name of State institution

- 2.2 Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution? **YES/NO**

- 2.2.1 If so, furnish particulars:

.....
.....

2.3 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are bidding for this contract? **YES/NO**

2.3.1 If so, furnish particulars:
.....
.....

3 DECLARATION

I, the undersigned, (name).....in submitting the accompanying bid, do hereby make the following statements that I certify to be true and complete in every respect:

- 3.1 I have read, and I understand the contents of this disclosure.
- 3.2 I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect.
- 3.3 The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium² will not be construed as collusive bidding.
- 3.4 In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.
- 3.4 The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.
- 3.5 There have been no consultations, communications, agreements or arrangements made by the bidder with any official of the procuring institution in relation to this procurement process prior to and during the bidding process except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.
- 3.6 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition

Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 1, 2 and 3 ABOVE IS CORRECT.
I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

.....
Date

.....
Signature

.....
Position

.....
Name of bidder

SBD 6.1 PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL PROCUREMENT REGULATIONS 2022

This preference form must form part of all tenders invited. It contains general information and serves as a claim form for preference points for specific goals.

NB: BEFORE COMPLETING THIS FORM, TENDERERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF THE TENDER AND PREFERENTIAL PROCUREMENT REGULATIONS, 2022

1. GENERAL CONDITIONS

1.1 The following preference point systems are applicable to invitations to tender:

- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
- the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

1.2 **To be completed by the organ of state**

a) The applicable preference point system for this tender is the 80/20 preference point system.

1.3 Points for this tender (even in the case of a tender for income-generating contracts) shall be awarded for:

- (a) Price; and
- (b) Specific Goals.

1.4 **To be completed by the organ of state:**

The maximum points for this tender are allocated as follows:

	POINTS
PRICE	80
SPECIFIC GOALS	20
Total points for Price and SPECIFIC GOALS	100

1.5 Failure on the part of a tenderer to submit proof or documentation required in terms of this tender to claim points for specific goals with the tender, will be interpreted to mean that preference points for specific goals are not claimed.

1.6 The organ of state reserves the right to require of a tenderer, either before a tender is adjudicated or at any time subsequently, to substantiate any claim regarding preferences, in any manner required by the organ of state.

2. DEFINITIONS

- (a) **“tender”** means a written offer in the form determined by an organ of state in response to an invitation to provide goods or services through price quotations, competitive tendering process or any other method envisaged in legislation.
- (b) **“price”** means an amount of money tendered for goods or services and includes all applicable taxes less all unconditional discounts.
- (c) **“Rand value”** means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes.
- (d) **“tender for income-generating contracts”** means a written offer in the form determined by an organ of state in response to an invitation for the origination of income-generating contracts through any method envisaged in legislation that will result in a legal agreement between the organ of state and a third party that produces revenue for the organ of state, and includes, but is not limited to, leasing and disposal of assets and concession contracts, excluding direct sales and disposal of assets through public auctions; and
- (e) **“The Act”** means the Preferential Procurement Policy Framework Act, 2000 (Act No. 5 of 2000).

3. FORMULAE FOR PROCUREMENT OF GOODS AND SERVICES

3. POINTS AWARDED FOR PRICE

3.1.1 THE 80/20 OR 90/10 PREFERENCE POINT SYSTEMS

A maximum of 80 or 90 points is allocated for price on the following basis:

80/20	or	90/10
$Ps = 80 \left(1 - \frac{Pt - P_{min}}{P_{min}} \right) \text{ or } Ps = 90 \left(1 - \frac{Pt - P_{min}}{P_{min}} \right)$		

Where

Ps = Points scored for price of tender under consideration

Pt = Price of tender under consideration

Pmin = Price of lowest acceptable tender

3.1. FORMULAE FOR DISPOSAL OR LEASING OF STATE ASSETS AND INCOME GENERATING PROCUREMENT

3.1.1. POINTS AWARDED FOR PRICE

A maximum of 80 or 90 points is allocated for price on the following basis:

80/20	or	90/10
$Ps = 80 \left(1 + \frac{Pt - P_{max}}{P_{max}} \right) \text{ or } Ps = 90 \left(1 + \frac{Pt - P_{max}}{P_{max}} \right)$		

Where

Ps = Points scored for price of tender under consideration

Pt = Price of tender under consideration

Pmax = Price of highest acceptable tender

4. POINTS AWARDED FOR SPECIFIC GOALS

- 4.1. In terms of Regulation 4(2); 5(2); 6(2) and 7(2) of the Preferential Procurement Regulations, preference points must be awarded for specific goals stated in the tender. For the purposes of this tender the tenderer will be allocated points based on the goals stated in table 1 below as may be supported by proof/ documentation stated in the conditions of this tender:
- 4.2. In cases where organs of state intend to use Regulation 3(2) of the Regulations, which states that, if it is unclear whether the 80/20 or 90/10 preference point system applies, an organ of state must, in the tender documents, stipulate in the case of—
- (a) an invitation for tender for income-generating contracts, that either the 80/20 or 90/10 preference point system will apply and that the highest acceptable tender will be used to determine the applicable preference point system: or
 - (b) any other invitation for tender, that either the 80/20 or 90/10 preference point system will apply and that the lowest acceptable tender will be used to determine the applicable preference point system,

then the organ of state must indicate the points allocated for specific goals for both the 90/10 and 80/20 preference point system.

Table 1: Specific goals for the tender and points claimed are indicated per the table below.

(Note to organs of state: Where either the 90/10 or 80/20 preference point system is applicable, corresponding points must also be indicated as such.

Note to tenderers: The tenderer must indicate how they claim points for each preference point system.)

The specific goals allocated points in terms of this tender	Number of points allocated (80/20 system) (To be completed by the organ of state)	Number of points claimed (80/20 system) (To be completed by the tenderer)
51% or more ownership by black people	5	
51% or more ownership by black women	5	
30% or more ownership by people living with disabilities	3	
30% or more ownership by youth	3	
EME/QSE	4	
Total points allocated	20	
Number of points claimed by the bidder		

DECLARATION WITH REGARD TO COMPANY/FIRM

4.3. Name of company/firm.....

4.4. Company registration number:

4.5. TYPE OF COMPANY/ FIRM

- ☐ Partnership/Joint Venture / Consortium
- ☐ One-person business/sole propriety
- ☐ Close corporation
- ☐ Public Company
- ☐ Personal Liability Company
- ☐ (Pty) Limited
- ☐ Non-Profit Company
- ☐ State Owned Company

[TICK APPLICABLE BOX]

4.6. I, the undersigned, who is duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the specific goals as advised in the tender, qualifies the company/firm for the preference(s) shown and I acknowledge that:

- i) The information furnished is true and correct.
- ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form.
- iii) In the event of a contract being awarded because of points claimed as shown in paragraphs 1.4 and 4.2, the contractor may be required to furnish documentary proof to the satisfaction of the organ of state that the claims are correct.
- iv) If the specific goals have been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the organ of state may, in addition to any other remedy it may have –
 - (a) disqualify the person from the tendering process.
 - (b) recover costs, losses or damages it has incurred or suffered because of that person's conduct.
 - (c) cancel the contract and claim any damages which it has suffered because of having to make less favourable arrangements due to such cancellation.
 - (d) recommend that the tenderer or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied; and
 - (e) forward the matter for criminal prosecution, if deemed necessary.

.....
SIGNATURE(S) OF TENDERER(S)

SURNAME AND NAME:

DATE:

ADDRESS:

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