



REQUEST FOR BID PROFESSIONAL SERVICES

BID NUMBER:	BS/2023/RFB506
ADVERT DATE	Friday 29 September 2023
CLOSE	Date: Monday 23 October 2023
	Time: 11h00
DESCRIPTION:	APPOINTMENT OF AN HIGHER EDUCATION INSTITUTION TO IMPLEMENT THE BANKSETA EXECUTIVE DEVELOPMENT PROGRAMME IN DEVELOPMENT FINANCE.
ONLINE NON-COMPULSORY BRIEFING SESSION	<div style="border: 1px solid black; height: 20px; width: 100%;"></div> Send Email for briefing link to jacks@bankseta.org.za and scm@bankseta.org.za

Respondent details

(Use this as a cover page for response document and envelope)

Company Name:				
Contact person:				
Company physical address				
Email:				
Telephone:				
Mobile number:				
Date:				
Original copy of documents or copy - Mark with X	ORIGINAL		COPY	

1. BANKSETA BACKGROUND

The Banking Sector Education and Training Authority (BANKSETA) is the SETA for banking and alternative banking industry. It is a statutory body established through the Skills Development Act of 1998 as amended by the Skills Development Act, 26 of 2011 to enable its stakeholders to advance the national and global position of the banking and alternative banking sector. As guided by its mandate, the BANKSETA is as such an agent of transformation and seeks to promote employment equity and broad-based BEE through skills development.

For further details on the BANKSETA, visit www.bankseta.org.za and refer to the annual report under Media Center/publications.

2. PURPOSE AND OBJECTIVES OF THE PROJECT

- 2.1 The BANKSETA seeks to appoint an **Higher Education** Institution located in South Africa to deliver the BANKSETA International Executive Development Programme (IEDP) – Development Finance for the period 2023 – 2024 and 2024-2025.
- 2.2 The objective of the IEDP is to expose the candidates to best practice in the development finance Sector. In addition, the delegates are tasked with researching an industry relevant topic that adds value to the sector and that is benchmarked against international practices.
- 2.3 The programme targets policy makers and regulators, as well as board members, executive and senior –level managers of developmental financial institutions.
- 2.4 The International Executive Development Programme (IEDP), focuses on promoting financial inclusion through stimulating client-centre innovation, exploring the opportunities of digital financial services, and building a sound policy and regulatory framework in South Africa.
- 2.5 The programme aims to have two groups of 20 delegates each (total 40 for two financial years), there will be one intake of 20 delegates per financial year.

3. SCOPE OF WORK

- 3.1 The BANKSETA envisages the programme to comprise of 5 academic study blocks and all 5 will be in Gauteng. In addition, the programme will comprise of two international study blocks, one in The Netherlands and one in Kenya. Both international tours should be at minimum 5 days long, with a minimum of 10 immersion visits per country spread at least 1 immersion visit per day. During the local study blocks the appointed higher education institution should arrange at least 4 local (Gauteng) immersion company/entity visits spread at maximum 2 per study block. For both international and local components the immersion visits could include visits to a selection of the

relevant regulatory authorities, SMEs, microfinance associations, fintechs, development finance institutions and banking institutions.

- 3.2** The programme must consist of study blocks including a theoretical component and immersion study tours; that covers the programme objectives.
- 3.3** A total of not more than 20 participants will be on the course per financial year and will be selected from a pool of policy makers and regulators, as well as board members, executive and senior level managers of developmental financial institutions. The participants will be recruited and selected by BANKSETA.
- 3.4** The academic programme is envisaged to be for a year and should be pitched at NQF level 8. The course does not need to align itself to a formal qualification.
- 3.5** The content of the course must cover the following areas of learning: Topics are:
- (a) Financial Inclusion: Macro-economic relevance of inclusive financial markets and guiding principles
 - (b) Institutional Sustainability: Measures and levels of sustainability, operational models and case studies
 - (c) Legislative frameworks: Best -practise legislative and regulatory frameworks and partnership models
 - (d) Technology and digital financial services: branchless banking and mobile technology as a channel, a means of data collection, a transaction platform and behaviour change tool.
 - (e) Theory of innovation: process management, initiation and implementation of innovation, product innovation case studies.
 - (f) Credit products: microenterprise finance, supply chain finance, affordable housing finance.
 - (g) Savings and transaction/payments products: collateral options and credit information.
 - (h) Financial education and consumer protection: Insights will be gained from thought and industry leaders on theories and trends in financial inclusion both locally and internationally.
- 3.6** The appointed higher education institution is expected to deliver an executive development programme to 2 groups of 20 delegates per group/intake, per financial year, including course material, facilitation and assessment against outcomes.
- 3.7** The delivery methodology should include webinars, pod casts, E-Learning and facilitated online conversations and classroom facilitation.
- 3.8** The appointed higher education institution should provide support to the syndicate groups through leading edge mentoring and coaching.
- 3.9** The appointed higher education institution should plan and facilitate a networking gala dinner/cultural evening event with a strong theme in development finance in each of the international study blocks.

- 3.10** The course entry requirements must take into consideration that participants can be drawn from various stakeholders in the development Finance sector and participants could have varied entry qualifications.
- 3.11** The appointed business school must arrange venue and catering for delegates for the academic study blocks.
- 3.12** The appointed higher education institution must arrange for delegate travel and accommodation for local and out of country immersions including transport to and from site visits. The cost of travel and accommodation for the delegates must align to the National Treasury guidelines related to cost containment. – see attached annexure Instruction note on cost containment. The participants may upgrade their travel and accommodation arrangements and pay the difference.
- 3.13** The appointed higher education institution must arrange graduation functions for each group and liaise with BANKSETA Marketing and Communication Department to ensure that BANKSETA's corporate Identity (CI) are incorporated.
- 3.14** The appointed higher education institution must arrange photography of candidates and submission of these photos to BANKSETA in high resolution format of the graduation.

4. COMPETENCY AND EXPERTISE REQUIREMENTS

4.1 Personnel

The Project Team should comprise of at least the following resources:

- One Project Manager/Manager with experience in providing executive and/or management leadership development programmes with international component and who holds a qualification at least NQF Level 8,
- One Project Administrator with experience in providing executive and/or management leadership development programme with international component .
- Five Academic faculty Facilitators with experience in providing executive and/or management leadership development programme with international component and qualification in at least NQF 9 Level .

4.2 The service provider should provide a Project Plan indicative of project deliverables outlining the following project phases:

- Initiation
- Planning
- Implementation
- Monitoring and evaluation
- Project Close-Out Report

4.3 The track record of the Bidder should be provided through reference letters. The bidder should provide signed contactable references on the client's letterhead indicating

experience in providing executive and/or management leadership development programme with international component.

5. DURATION OF THE CONTRACT.

- 5.1 The contract will be valid from the contract signing date by both parties, for a period of two years. The 2 groups should have completed the programme by 31 March 2025.

6. PRICING STRUCTURE

N.B: The Pricing Schedule must be completed as per the attached annexure A. Failure to comply with the requirements may lead to disqualification of the bids because it will be impractical to compare across all submissions.

- 6.1 The quoted prices will remain fixed for the particular year indicated for the duration of the contract.
- 6.2 The attached pricing sheets (Appendix A) should be completed in full. The BANKSETA will not entertain pricing adjustments after the signing of contract, and it is therefore important that all pricing elements are disclosed.
- 6.3 The pricing sheet should show VAT separately.
- 6.4 The Bidders are therefore required to indicate a total bidding price by completing the pricing schedule provided in full.
- 6.5 Sufficient detail should be included to enable the BANKSETA to fully understand the make-up of the overall pricing.
- 6.6 All pricing assumptions, excluded costs and estimated costs should be clearly documented. The BANKSETA assumes that the pricing document as supplied is complete and covers all costs associated with this project.

7. SUBMISSION REQUIREMENTS

- 7.1 All submissions should be delivered in individual envelopes as per clause 7.4.
- 7.2 Respondents should take particular care to ensure that there is no discrepancies between all submissions presented to the BANKSETA.
- 7.3 The BANKSETA reserves the right to reject any submissions if there are discrepancies identified in the submissions thereto.
- 7.4 Document should be submitted as follows:
One hardcopy should be the original submission, clearly marked "Original" and one (1) copied version of the original and a soft (electronic) copy (preferably to be memory stick).

7.4.1 An Envelope 1 – Original

7.4.2 B Envelope 2 – Hard Copy of the original document and 1 Soft copy (USB)

7.4.3 C Envelope 3 – **Pricing and SBD1** – (invitation to bid) together with the BANKSETA PREFERENCE POINTS CLAIM DOCUMENT.

7.4.4 Each individual envelope must be clearly marked with the following information:

Description of the Submission: **APPOINTMENT OF HIGHER EDUCATION INSTITUTION TO IMPLEMENT THE BANKSETA EXECUTIVE DEVELOPMENT PROGRAMME IN DEVELOPMENT FINANCE.**

Submission Bid Number: **BS/2023/RFB506**

7.5 Submissions that are faxed, sent via telex, and/ or electronic mail delivery will not be accepted.

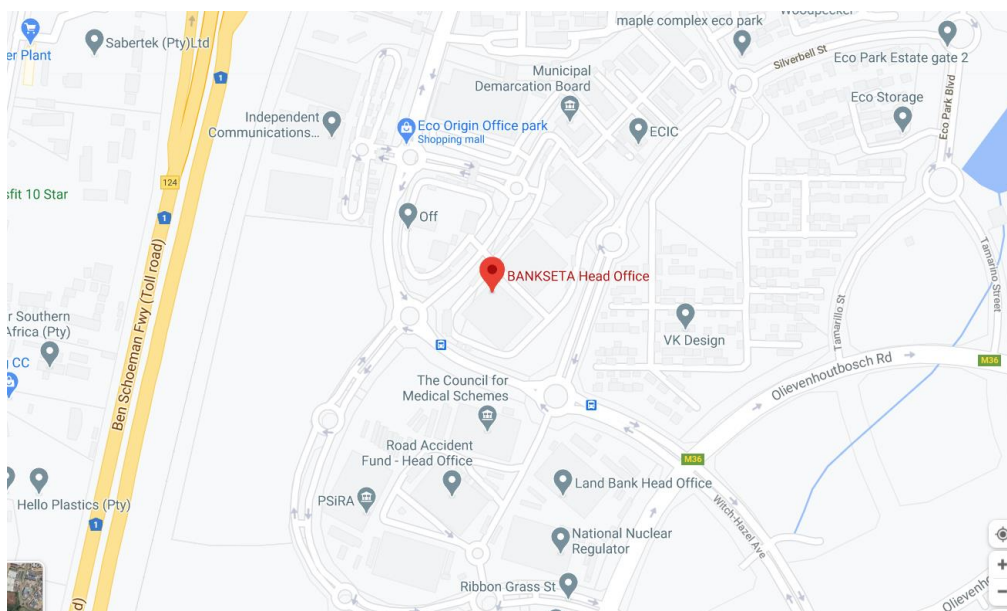
7.6 All submissions received by BANKSETA will become the property of the BANKSETA and will not be returned to the respondent.

7.7 The submissions must be inserted into the SUBMISSION BOX available at the Reception Area of BANKSETA Offices at the following address: -

Eco Origin Office Park, (Please use gate 1 to enter the Eco-origins Office Park)

Block C2, 349 Witch-Hazel Avenue, Eco Park Estate, Highveld, Centurion, 0144

The BANKSETA is situated in a very large office park with security offices at the main gate. Please allow at least 30 minutes to clear security and navigate through the office park.



7.8 NB: The Service provider is required to sign a register on their submission.

7.9 Unsuccessful bidders will be informed in writing when the process is concluded.

7.10 A tender will be considered late if received after the specified date and time.

Service providers are therefore strongly advised to ensure that Tenders be despatched allowing enough time for any unforeseen events that delay the delivery of the Tender.

8. ENQUIRIES/COMMUNICATION

8.1 Contact person for enquiries regarding the tender document:

Mr. Jack Serite

Title: Specialist: Supply Chain Management Unit

8.2 Bidders who wish to attend virtual non- compulsory briefing session should indicate in writing within a week after advertising date by emailing:

Email: jacks@bankseta.org.za copy scm@bankseta.org.za

8.3 All clarifications or enquiries should be made in writing and received by the BANKSETA at least 10 days before closing date of the Tender. Telephonic requests for clarification will not be accepted.

8.4 All questions received after the non-compulsory briefing session and the BANKSETA's answers will be updated on the BANKSETA website under the tender for all service providers' information.

Kindly check BANKSETA's website for this information before finalisation of your bid.

Should your questions not be included on the website kindly escalate this matter to Rapulas@bankseta.org.za and Beaulad@bankseta.org.za at least 5 days before the tender closes.

8.5 RFB TIMELINES

Activity	Time	Date
Tender Advertised	11:00	Friday 29 September 2023
Non-compulsory Virtual Briefing Bidders who wish to attend a virtual briefing session should indicate in writing within a week after advertising date.	11:00	Friday 6 October 2023
Final questions and answers emailed to BANKSETA. Responses will be published on the website under the tender,	c.o.b.	Friday 13 October 2023
Closing date	11h00	Monday 23 October 2023

Tender evaluation, Bidder Verification and Due Diligence	c.o.b.	6 November 2023
Clarification presentations by Service Providers if required/ Due Diligence	c.o.b.	13 November 2023
Provisional Contract Award	c.o.b.	27 November 2023
Contract Signatures	c.o.b.	4 December 2023

9. TENDER EVALUATION/ADJUDICATION

Bids will be evaluated in three phases:

- 9.1 Phase 1 - Compliance/eligibility Evaluation (Bids that do not pass the compliance eligibility evaluation will be disqualified from participating in the next evaluation)
- 9.2 Phase 2 -Technical/Functionality Evaluation (Bids that do not meet the minimum threshold indicated in clause 12 will not participate in price plus PPP points)
- 9.4 Phase 3 - Price and the BANKSETA Preferential Procurement points (The highest scoring bidder will be awarded)

10. COMPLIANCE STATUS

- 10.1 The service provider should be registered on the Central Supplier Database (CSD) maintained by the National Treasury and accessible on www.treasury.gov.za
- 10.2 The BANKSETA, before making an award, shall check the central supplier database (CSD) whether.
 - (a) the bidder's tax status is compliant
 - (b). the bidder or any of its directors are not listed / indicated as restricted from doing business with the public sector, and person prohibited, and
 - (c) the bidders, its directors or management are not employees of the state or if a director is an employee of the state, or if they are employees of the state, they have written authority to do work with the state as required by legislation.
- 10.3 The BANKSETA will not award any bids to service providers who do not comply with the above.
- 10.4 The BANKSETA will afford bidders an opportunity to clarify and provide evidence where there is any adverse information on the CSD reports.

11. COMPLIANCE/ELIGIBILITY EVALUATION

Respondents who do not meet the requirements below will be immediately disqualified.

NB: (For Joint Venture (JV) submissions each partner to the JV must submit all documents listed below and the JV agreement).

N.B All relevant forms/documents as prescribed by the PFMA Regulation: Framework for Supply Chain Management accompanying this document must be completed in full and signed where applicable by a duly authorized official of the primary contractor / bidder.

NB: Failure to submit the items listed below will result in the bid being immediately disqualified.

Item	Description
1	Submission of the proposal (response document) and the Pricing schedule Annexure A The Pricing Schedule must be completed as per the attached annexure A. Failure to comply may lead to disqualification because it will be impractical to compare pricing across all submissions.
2.	Submission of the following fully completed and signed returnable documents: <ul style="list-style-type: none"> - SBD 1 Invitation to submission - SBD 4 Declaration of interest - SBD 6.1 SBD 6.1 Preference points claim form where applicable (complete the part that is applicable to the BANKSETA Preference Points Claim Document). NB. BANKSETA will not allocate points for BBBEE status Level Contributor
3.	Special Conditions that the bidder needs to accept by signing the last page and submit.
4.	Submission of the service provider's Central Supplier Database report.
5.	Proof of registration in South Africa as a higher education institution

12. FUNCTIONAL/TECHNICAL EVALUATION

CRITERIA	SUB-CRITERION WEIGHTING/ PERCENTAGE	WEIGHT /PERCENTAGE
1. Experience and Qualifications of the Project Manager/Manager		15
One Project Manager/Manager with experience in providing executive and/or management leadership development programmes with international component and qualification on at least NQF Level 8.		

<p>The service provider should submit the following for the Project Manager/Manager assigned to this project</p> <ul style="list-style-type: none"> - The CV or professional profile, or resume, or employee profile of the Project Manager/Manager demonstrating experience in providing executive or management leadership development programmes with an international component. <p style="text-align: center;">and</p> <ul style="list-style-type: none"> - The copy of the qualification (s) of the Project Manager/Manager which should be on at least NQF Level 8 in any field. <p>If the qualification is not provided the experience will not be considered.</p> <p>On evaluation, the BANKSETA will award points as follows:</p> <p>(a) Less than 1 year relevant Experience = 0 point</p> <p>(b) 1 year to less than 2 years' relevant experience = 1 Point</p> <p>(c) 2 years' to less than 3 years' relevant experience = 2 Points</p> <p>(d) 3 years' to less than 4 years' relevant experience = 3 Points</p> <p>(e) 4 years' to less than 5 years' relevant experience = 4 Points</p> <p>(f) 5 years and more relevant experience = 5 Points</p>		
<p>2. Experience of the Project Administrator</p>		<p>5</p>
<p>2.1 One project administrator with experience in providing administration services for executive and/or management leadership development programmes with international component.</p> <p>The service provider should submit the following for the Administrator assigned to this project.</p> <ul style="list-style-type: none"> - The CV or professional profile, or resume, or employee profile of the Administrator demonstrating experience in providing administration services for executive and/or management leadership development programmes with an international component. <p>On evaluation, the BANKSETA will award points as follows:</p> <p>(a) Less than 1 year relevant experience = 0 point</p> <p>(b) 1 year to less than 2 years' relevant experience = 1 Point</p> <p>(c) 2 years' to less than 3 years' relevant experience = 2 Points</p> <p>(d) 3 years' to less than 4 years' relevant experience = 3 Points</p> <p>(e) 4 years' to less than 5 years' relevant experience = 4 Points</p> <p>(f) 5 years and more experience = 5 Points</p>		

3. Experience and Qualifications of the Academic Faculty Facilitator Team The service provider/bid should assign a team of Academic faculty Facilitators with experience in providing services for executive and/or management leadership development programmes with international component and qualifications on at least NQF Level 9		20
3.1 Academic Faculty /Facilitator – No 1. The Academic Faculty Facilitator No 1 should have qualification/s and experience in providing services for executive and/or management leadership development programmes with international component that include/cover the topic: Financial Markets in South Africa . The qualification of this Academic Faculty Facilitator should be at NQF level 9 or higher in any field. The service provider should submit the details of the Academic Faculty Facilitator No 1 assigned to this project as follows. <ul style="list-style-type: none"> - The CV or professional profile, or resume, or employee profile of the Academic Faculty Facilitator No 1 demonstrating experience in providing services for an executive or management leadership development programme with an international component covering/including the topic Financial Markets in South Africa. <li style="text-align: center;">and - The copy of the qualification of the Academic Faculty Facilitator No 1 which should include/cover the topic: Financial Markets in South Africa be on at least NQF Level 9 in any field. . (The service provider should also provide the transcript/summary of subjects covered or qualification information if the qualification certification does not show the topic.) - If the qualification is not provided the experience will not be considered. On evaluation, the BANKSETA will award points as follows: <ul style="list-style-type: none"> (a) Less than 1 year relevant experience = 0 point (b) 1 year to less than 2 years' relevant experience = 1 Point (c) 2 years' to less than 3 years' relevant experience = 2 Points (d) 3 years' to less than 4 years' relevant experience = 3 Points (e) 4 years' to less than 5 years' relevant experience = 4 Points (f) 5 years and more relevant experience = 5 Points For this evaluation, the BANKSETA will consider the facilitator with qualification/s and experience in the topic: Financial Markets in	4	

<p>South Africa. The qualification of the facilitator should be at NQF 9 or higher.</p> <p>The CV may be for a full-time employee or a contracted worker.</p>		
<p>3.2 Academic faculty Facilitator – No 2.</p> <p>The Academic Faculty Facilitator No 2 should have qualification/s and experience in providing services for executive and/or management leadership development programmes with international component that include/cover the topic: Legislative framework of South Africa related to Banking. The qualification of this Academic Faculty Facilitator should be at NQF level 9 or higher in any field.</p> <p>The service provider should submit the details for the Academic Faculty Facilitator No 2 assigned to this project as follows.</p> <ul style="list-style-type: none"> - The CV or professional profile, or resume, or employee profile of the Academic Faculty Facilitator no 2 demonstrating experience in providing services for executive or management leadership development programmes with international component including/covering the topic Legislative framework of South Africa related to Banking. <li style="padding-left: 40px;">And - The copy of the qualification of the Academic Faculty Facilitator No 2 which includes/covers the topic: Legislative framework of South Africa related to Banking on at least NQF Level 9 in any field. (The service provider should also provide the transcript/ summary of subjects covered or qualifications information if the qualification certification does not show the topic.) <p>If the qualification is not provided, the experience will not be considered.</p> <p>On evaluation, the BANKSETA will award points as follows:</p> <ul style="list-style-type: none"> (a) Less than 1 year relevant experience = 0 point (b) 1 year to less than 2 years' relevant experience = 1 Point (c) 2 years' to less than 3 years' relevant experience = 2 Points (d) 3 years' to less than 4 years' relevant experience = 3 Points (e) 4 years' to less than 5 years' relevant experience = 4 Points (f) 5 years and more relevant experience = 5 Points <p>For this evaluation, the BANKSETA will consider the facilitator with qualification/s and experience in the topic: legislative framework of South Africa related to Banking. The qualification of the</p>	<p>4</p>	

<p>facilitator should be at NQF level 9 or higher. The CV may be for a full-time employee or a contracted worker.</p>		
<p>3.3 Academic faculty Facilitator – No 3.</p> <p>The Academic Faculty Facilitator No 3 should have qualification/s and experience in providing services for executive and/or management leadership development programmes with international component that include/cover the topic: Credit and savings systems in South Africa. The qualification of this Academic Faculty Facilitator should be at NQF 9 or higher in any field.</p> <p>The service provider should submit the details for the Academic Faculty Facilitator no 3 assigned to this project as follows.</p> <ul style="list-style-type: none"> - The CV or professional profile, or resume, or employee profile of the Academic Faculty Facilitator no 3 demonstrating experience in providing services for executive or management leadership development programmes with international component covering/including the topic Credit and savings systems in South Africa. <li style="padding-left: 40px;">and - The copy of the qualification of the Academic Faculty Facilitator No 3 which includes/covers the topic: Credit and savings systems in South Africa on at least NQF Level 9 in any field. (The service provider should also provide the transcript/summary of subjects covered or qualification information if the qualification certification does not show the topic.) <p>If the qualification is not provided the experience will not be considered.</p> <p>On evaluation, the BANKSETA will award points as follows:</p> <ul style="list-style-type: none"> (a) Less than 1 year relevant experience = 0 point (b) 1 year to less than 2 years' relevant experience = 1 Point (c) 2 years' to less than 3 years' relevant experience = 2 Points (d) 3 years' to less than 4 years' relevant experience = 3 Points (e) 4 years' to less than 5 years' relevant experience = 4 Points (f) 5 years and more relevant experience = 5 Points <p>For this evaluation, the BANKSETA will consider the facilitator with qualification/s and experience in the topic: Credit and savings systems in South Africa. The qualification of the facilitator</p>	<p>4</p>	

<p>should be at NQF 9 or higher. The CV may be for a full-time employee or a contracted worker.</p>		
<p>3.4 Academic faculty Facilitator – No 4.</p> <p>The Academic Faculty Facilitator No 4 should have qualification/s and experience in providing services for executive and/or management leadership development programmes with international component that include/cover the topic: Transactional Payments systems in South Africa. The qualification of this Academic Faculty Facilitator should be at NQF 9 or higher in any field.</p> <p>The service provider should submit details for the Academic Faculty Facilitator no 4 assigned to this project as follows.</p> <ul style="list-style-type: none"> - The CV or professional profile, or resume, or employee profile of the Academic Faculty Facilitator no 4 demonstrating experience in providing services for executive and/or management leadership development programmes with an international component covering/including the topic Transactional Payments systems in South Africa. and - The copy of the qualification of the Academic Faculty Facilitator no 4 which includes/covers the topic Transactional Payments systems in South Africa on at least NQF Level 9 in any field. (The service provider should also provide the transcript/summary of subject or qualification information if the qualification certification does not show the topic.) <p>If the qualification is not provided the experience will not be considered.</p> <p>On evaluation, the BANKSETA will award points as follows:</p> <ul style="list-style-type: none"> (a) Less than 1 year relevant experience = 0 point (b) 1 year to less than 2 years' relevant experience = 1 Point (c) 2 years' to less than 3 years' relevant experience = 2 Points (d) 3 years' to less than 4 years' relevant experience = 3 Points (e) 4 years' to less than 5 years' relevant experience = 4 Points (f) 5 years and more relevant experience = 5 Points <p>For this evaluation, the BANKSETA will consider the facilitator with qualification/s and experience in the topic: Transactional Payments systems in South Africa. The qualification of the</p>	<p>4</p>	

<p>facilitator should be at NQF 9 or higher. The CV may be for a full-time employee or a contracted worker.</p>		
<p>3.5 Academic faculty Facilitator – No 5.</p> <p>The Academic Faculty Facilitator No 5 should have qualification/s and experience in providing services for executive and/or management leadership development programmes with international component that include/cover the topic: Financial Education and consumer protection in South Africa. The qualification of this Academic Faculty Facilitator should be at NQF 9 or higher in any field.</p> <p>The service provider should submit the details for Academic Faculty Facilitator no 5 assigned to this project as follows.</p> <ul style="list-style-type: none"> - The CV or professional profile, or resume, or employee profile of the Academic Faculty Facilitator no 5 demonstrating experience in providing services for executive and/or management leadership development programmes with an international component covering/including the topic Financial Education and consumer protection in South Africa and <p>The copy of the qualification of the Academic Faculty Facilitator no 5 which includes/cover the topic.</p> <p>Financial Education and consumer protection in South Africa on at least NQF Level 9 in any field. (The service provider should also provide the transcript/summary of subjects or qualification information if the qualification certification does not show the topic.)</p> <p>If the qualification is not provided the experience will not be considered.</p> <p>On evaluation, the BANKSETA will award points as follows:</p> <ul style="list-style-type: none"> (a) Less than 1 year relevant Experience = 0 point (b) 1 year to less than 2 years' relevant experience = 1 Point (c) 2 years' to less than 3 years' relevant experience = 2 Points (d) 3 years' to less than 4 years' relevant experience = 3 Points (e) 4 years' to less than 5 years' relevant experience = 4 Points (f) 5 years and more relevant experience = 5 Points <p>For this evaluation, the BANKSETA will consider the facilitator with qualification/s and experience in the topic: Financial Education and consumer protection in South Africa. The qualification of</p>	<p style="text-align: center;">4</p>	

the facilitator should be at NQF 9 or higher. The CV may be for a full-time employee or a contracted worker.		
4. Programme design		20
<p>(a) Learning Map</p> <p>The bidder should provide a course learning Map which shows all study blocks. Refer to clause 3.5 (a-h) under Clause 3 Scope of Work</p> <ul style="list-style-type: none"> - No information submitted = 0 Points - Information submitted = 5 Points <p>(b) The bidder should provide a sample of courseware in development finance.</p> <ul style="list-style-type: none"> - No information submitted = 0 Points - Information submitted = 5 Points <p>The sample courseware should be on 5 topics that will be presented by facilitator(s)</p>	<p>10</p> <p>10</p>	
5. Project Plan		10
<p>The service provider should provide a Project Plan indicating project deliverables and outlining time frame for each of the project phases taking into account that the project will be implemented from January 2024</p> <p>(a) Initiation Induction strategy for learners/attendees</p> <p>(b) Planning Travel plan for learner/attendees Study block timetable</p> <p>(c) Implementation Allocation of academic resources Research methodology for syndicate group work Training methodology for classroom lectures</p> <p>(d) Monitoring and evaluation Formative and Summative of assessment of learners</p> <p>(e) Project Close-Out Report Submission of detailed project close report with lessons learnt.</p> <p>On evaluation, the BANKSETA will award points as follows:</p> <p>(a) Initiation phase provided = 1 point</p> <p>(b) Planning phase provided = 1 point</p> <p>(c) Implementation phase provided = 1 point</p> <p>(d) Monitoring and evaluation phase provided = 1 point</p> <p>(e) Project Close-Out report phase provided = 1 point</p>		

6. Track record of the bidder (Company Experience)		30
<p>The bidder should provide signed contactable references on the client's letterhead in providing executive or management leadership development programme with an international component within the last 15 years counting from the date of closing of tender.</p> <p>The reference letters should</p> <ul style="list-style-type: none"> - Be on the client's letterhead, - Be signed and dated - Indicate the work done. - Show the client contact details including contact name and telephone or email address. <p>On evaluation, the BANKSETA will award points as follows:</p> <ul style="list-style-type: none"> (a) 0 reference letter = 0 points (b) 1 reference letters = 2 Point (c) 2 reference letters = 3 Points (d) 3 reference letters or more = 5 Points 		
TOTAL WEIGHTING		100
MINIMUM WEIGHTING/PERCENTAGE THRESHOLD TO PASS TECHNICAL/FUNCTIONAL EVALUATION		70

The minimum weighting threshold for technical / functional evaluation is 70%. Any bidder scoring less than 70% or 70 weight will be disqualified from further evaluation.

13. Functionality will be evaluated using the following formula for each criterion or sub-criterion.

$$Pf = (So/Ms) \times Ap$$

Where:

- Pf – is the percentage/weighting scored for functionality for that criterion or sub-criterion under consideration.
- So – is the total score evaluated by the BANKSETA for the criterion or sub-criterion under consideration.
- Ap – is the percentage allocated for functionality for the criterion or sub-criterion.
- Ms – is the maximum score possible per criterion or sub-criteria which is 5.

13.1 Each technical /functional evaluation criterion or sub-criterion shows how it will be evaluated by BANKSETA out of a maximum of 5 points. i.e Ms =5 points.

13.2 The score/points evaluated per criterion or sub-criterion by BANKSETA is divided by 5 and then multiplied by the weighting of the criteria to arrive at the percentage for that criterion/sub-criterion.

13.3 The percentages for all criteria/sub-criteria are added together to reach the final percentage.

14. PRICE AND PREFERENCE POINTS EVALUATION

The tender will be evaluated using the following:

80/20 PRICEPREFERENCE POINT SYSTEMS

Points for Price	Preference Points Utilising BANKSETA Goals	Total Points
80	20	100

A maximum of 80 points is allocated for price on the following basis:

80/20

$$P_s = 80 \left(1 - \frac{P_t - P_{\min}}{P_{\min}} \right)$$

Where

Ps = Points scored for price of bid under consideration.

Pt = Price of bid under consideration.

Pmin = Price of lowest acceptable bid.

15. PREFERENCE POINTS UTILISING BANKSETA GOALS

15.1 In terms of Gazette 2721, the BANKSETA has allocated preference points to be awarded to tenderers who meet certain BANKSETA Goals as follows:

No	Specific Goals	80/20 Preference Point system
1.	Empowerment of black persons- Ownership by black persons – 51% threshold as explained below	6
2.	Promotion of Local production and Delivery by South Africans – 100% threshold as explained below	6
3.	Empowerment of Women - Women Ownership Threshold 50% as explained below	2
4	Youth Empowerment Youth Ownership – 33% Threshold as explained below	2
5.	Empowerment of Persons with Disabilities - Ownership or Employment of People with Disabilities – 20% threshold for Ownership and 10% threshold for Employment of Persons with Disabilities as explained below	2
6.	Promotion of small and medium businesses, co-operatives,	2

	and non-governmental institutions in all areas- rural and urban areas – as explained below	
	Total Points allocated towards specific goals	20

The Service provider should complete the BANKSETA preference point bidding form attached.

EXPLANATIONS

15.2 Black persons are as defined in Broad based black economic empowerment Act (B-BBEE) which currently means Africans, Coloureds, and Indians:

- (a) who are citizens of the Republic of South Africa by birth or decent; or
- (b) who became citizens of the Republic of South Africa by naturalisation –
 - (i) before 27 April 1994.
 - (ii) on or after 27 April 1994 and who would have been entitled to acquire citizenship by naturalisation prior to that date.

15.3 Black Person Ownership points will be awarded to a Tenderer who have 51% or more black ownership of the company or entity. The shareholding will determine the ownership. The position and role that black owners play in the company should be stated.

15.4 Promotion of Local Production and Services Delivered by South Africans

The goods supplied should be 100% manufactured or assembled in South Africa from 100% local materials and any services supplied should 100% utilising South African citizens. Should only services be required, the services should be provided 100% utilising South African citizens.

15.5 Women ownership points will be awarded to a Tenderer who have 50% or more women ownership of the company or enterprise and are South African citizens.

15.6 Youth ownership points will be awarded to a Tenderer who have 33% or more youth ownership being persons 35 years and below, determined at the date of tender/ RFQ closing. Youth ownership will be determined based on the shareholding of the members who are defined as youth and are South African citizens.

15.7 Persons with Disability Ownership points will be awarded to a Tenderer who have 20% or more shareholding by South African citizen persons with disability or entity are OR to tenderers who employ 10% or more South African persons with disability on a permanent basis.

Disability ownership will be determined by the shareholding of the enterprise owned by such a South African citizen person with disability OR by enterprises whose permanent staff complement consists of 10% or more South African citizen persons with disabilities. The disabilities need to be legally verifiable for points to be claimed.

- 15.8 An entity may only claim once under this category regardless of if it qualifies under both South African citizen persons with disabilities ownership and employment of South African persons with disability.
- 15.9 Small and medium business includes all South African businesses, co-operatives, and non-governmental organisations with annual turnover up to R10 million or alternatively, these entities are recently incorporated, have been operating for less than one year and are projected to have annual turnover of less than R10 million in the first year. The ownership of small and medium business, co-operatives or non-governmental organisations should be 100% South African citizens (or entities owned 100% by South Africa citizens).
- 15.10 An entity may claim points based on the same shareholding or persons in more than one category. For example, black female disabled shareholders under 35 who is a SA citizen may lead a business to claim points under Empowerment of women, youth empowerment and empowerment of persons with disabilities.
- 15.11 False Information from Bidders
- Should the BANKSETA ascertain that any bidder has submitted any false information, the BANKSETA may disqualify the bidder/service provider, cancel any award without prejudice to any other remedies available to BANKSETA and report the service provider to National Treasury.
- The bidder/service provider will be given an opportunity to give reasons why BANKSETA should not take actions detailed above where false information has been submitted.
- The points scored by a bidder in respect of the **PREFERENCE POINTS UTILISING BANKSETA GOALS** contribution will be added to the points scored for price to arrive at the overall score. Points will be rounded off to the nearest 2 decimals. If two or more tenders have scored equal total points, the contract will be awarded to the bidder scoring the highest number of points for the specified goals or **PREFERENCE POINTS UTILISING BANKSETA GOALS** contribution.

16. REVIEW PROCESS

- 16.1 In order to evaluate and adjudicate proposals effectively, it is imperative that applicants submit responsive applications. To ensure an application will be regarded as responsive it is imperative to comply with all conditions pertaining to the application and to complete all the mandatory fields and questionnaires.
- 16.2 All applications duly lodged as per the submission requirements will be evaluated in accordance with the stipulated evaluation criteria.
- 16.3 All proposals will go through Bid Evaluation Committee (BEC) for evaluation on functionality.
- 16.4 The proposals from BEC will be tabled before the Bid Adjudication Committee (BAC).

17 TENDER CONDITIONS

- 17.1 BANKSETA reserves the right to withdraw or amend terms of reference by notice in writing by advertising in the media in which the tender was originally advertised prior to the closing date.
- 17.2 BANKSETA reserves the right not to award this tender or partially award the tender.
- 17.3 The cost of preparing the applications will not be reimbursed.
- 17.4 The BANKSETA reserves the right to conduct a due diligence (including site visits, capacity, assessment, and financial capability assessment) on short listed tender submitters before contracting.
- 17.5 BANKSETA reserves the right to verify the information submitted and request for further information during evaluation of the proposal.
- 17.6 BANKSETA shall not be liable for any direct, indirect, consequential or other losses or damages including loss of profit that may be incurred by any person including, but not limited to, an Applicant, Short Listed Applicant or Successful Applicant, or any director, officer or associated company thereof, as a result of any reliance on or use of information supplied in response to this tender or as a result of the tender process contemplated in this tender document.
- 17.7 BANKSETA makes no representations, undertakings, or warranties whatsoever to any person in respect of the tender or any information contained in the tender.
- 17.8 This tender is confidential and proprietary to BANKSETA and may not be used, reused, copied, or distributed for any purpose, other than in relation to the tender process, without BANKSETA's prior written consent.
- 17.9 POPIA - The Protection of Personal Information Act, ("POPIA") includes the right to protection against unlawful collection, retention, dissemination, and use of personal information. BANKSETA complies with POPIA in collecting, processing, and distributing of Personal Information, which include cooperation with the Regulator as provided for in the act.

18. REVIEW PROCESS

18.1 In order to evaluate and adjudicate proposals effectively, it is imperative that applicants submit responsive applications. To ensure an application will be regarded as responsive it is imperative to comply with all conditions pertaining to the application and to complete all the mandatory fields and questionnaires.

18.2 All applications duly lodged as per the submission requirements will be evaluated in accordance with the stipulated evaluation criteria.

18.3 All proposals will go through Evaluation Committee for evaluation on functionality.

18.4 The proposals from the tender evaluation committee will be tabled before the Bid Adjudication Committee (BAC).

18.5 **The validity period of proposals is 150 days after closing.**

19. REASONS FOR REJECTION

19.1 Applicants shall not contact BANKSETA on any matter pertaining to the application from the time the application is closed to the time the application has been adjudicated. The results of the Tender will be published by the BANKSETA on portal any other platform which was advertised. Any effort by an applicant to influence the evaluation, application comparisons or application award decisions in any matter, may result in rejection of the applicant concerned.

19.2 BANKSETA shall reject a submission if the applicant has committed a proven corrupt or fraudulent act in competing for a particular contract.

20. BRANDING CONDITION/CLAUSE

20.1 The Banking Sector Education and Training Authority (BANKSETA)'s brand value is vital for the positioning of the organisation's brand reputation to the various target markets that the BANKSETA provides services to. It is therefore paramount that training providers appointed by the BANKSETA adhere to the organisation's corporate identity guidelines whereby material is to be produced for learning programmes, programme research publications, promotional material, public relations whereby the BANKSETA is the funder of such programmes or materials.

20.2 Whereby programs are fully funded by the BANKSETA, BANKSETA will be deemed the sole or primary brand unless in instances whereby certification by institutions of higher learning

advise in advance that for certification of qualifications, their branding guidelines prohibit dual branding on certificates. In this regard, the respective institution or training provider is expected to provide a corporate identity manual or letter of confirmation that for certification, only their branding is allowed and provide reasons for such.

20.3 In instances whereby promotional material, press releases and other material is produced for BANKSETA funded programs/projects, the BANKSETA remains the hero brand. There may be agreement between the BANKSETA, training providers, other SETAs, and other collaborative partners to co-brand whereby the BANKSETA is still the funder or primary funder. In such instances, the BANKSETA will remain the hero or primary brand. Prior approval is required from the BANKSETA's Marketing and Communications Manager prior to any promotional items, corporate gifts, publications, and press releases being produced, distributed, or published.

20.4 The exception for the BANKSETA being the only primary brand, applies in circumstances whereby the partnership is of equal contribution whereby funding is concerned. This means that partners will have equal brand status. The corporate identity manual will be provided to all that enter into contracts with the BANKSETA through the respective operational departmental representatives.

21 JOINT VENTURE

21.1 In the case of a Joint Venture, the following will be Applicable:

21.2 Each JV Member must have a CSD report showing tax status.

21.3 Submission of a signed Joint Venture Agreement by the JV Partners and attached to this tender document: and

21.4 Submission of a BANKSETA PREFERENCE POINTS CLAIM DOCUMENT.

SBD 1

YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF THE BANKSETA					
BID NUMBER:	BS/2023/RFB506	CLOSING DATE:	Monday 23 October 2023	CLOSING TIME:	11:00am
DESCRIPTION	APPOINTMENT OF A SERVICE PROVIDER TO RECRUIT CANDIDATES FOR THE LEARNING PROGRAMMES				
THE SUCCESSFUL BIDDER WILL BE REQUIRED TO FILL IN AND SIGN A WRITTEN CONTRACT FORM (SBD7).					
BID RESPONSE DOCUMENTS MAY BE DEPOSITED IN THE BID BOX SITUATED AT (STREET ADDRESS)					
Eco Origin Office Park, Block C2, 349 Witch-hazel Avenue, Eco Park Estate, Highveld, Centurion,					
NB: Bidders as part on requirement - Submission of soft copy on PDF must be part of bid submissions.					

SUPPLIER INFORMATION				
NAME OF BIDDER				
POSTAL ADDRESS				
STREET ADDRESS				
TELEPHONE NUMBER	CODE		NUMBER	
CELLPHONE NUMBER				
FACSIMILE NUMBER	CODE		NUMBER	
E-MAIL ADDRESS				
VAT REGISTRATION NUMBER				
		TCS PIN:		OR CSD No:
IF YES, WHO WAS THE CERTIFICATE ISSUED BY?				
AN ACCOUNTING OFFICER AS CONTEMPLATED IN THE CLOSE CORPORATION ACT (CCA) AND NAME THE APPLICABLE IN THE TICK BOX		<input type="checkbox"/>	AN ACCOUNTING OFFICER AS CONTEMPLATED IN THE CLOSE CORPORATION ACT (CCA)	
		<input type="checkbox"/>	A VERIFICATION AGENCY ACCREDITED BY THE SOUTH AFRICAN ACCREDITATION SYSTEM (SANAS)	
		<input type="checkbox"/>	A REGISTERED AUDITOR	
		NAME:		
[A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE/SWORN AFFIDAVIT (FOR EMEs& QSEs) MUST BE SUBMITTED IN ORDER TO QUALIFY FOR PREFERENCE POINTS FOR B-BBEE]				
ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES ENCLOSE PROOF]	ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES ANSWER PART B:3 BELOW]	
SIGNATURE OF BIDDER	DATE		
CAPACITY UNDER WHICH THIS BID IS SIGNED (Attach proof of authority to sign this bid, e.g., resolution of directors, etc.)				
TOTAL NUMBER OF ITEMS OFFERED		TOTAL BID PRICE (ALL INCLUSIVE)		
BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO:		TECHNICAL INFORMATION MAY BE DIRECTED TO:		
DEPARTMENT/ PUBLIC ENTITY	BANKSETA	CONTACT PERSON		
CONTACT PERSON	Mr. Jack Serite	TELEPHONE NUMBER	+27 11 805 9661	
TELEPHONE NUMBER	+27 11 805 9661	FACSIMILE NUMBER		
FACSIMILE NUMBER		E-MAIL ADDRESS		
E-MAIL ADDRESS	Jacks@bankseta.org.za			

SBD4 BIDDER'S DISCLOSURE

1. PURPOSE OF THE FORM

Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

2. Bidder's declaration

2.1 Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest¹ in the enterprise, employed by the state? YES/NO

2.1.1 If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

Full Name	Identity Number	Name of State institution

2.2 Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution? YES/NO

2.2.1 If so, furnish particulars:

.....

2.3 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are bidding for this contract?

YES/NO

2.3.1 If so, furnish particulars:

.....

3 DECLARATION

I, the undersigned, (name)..... in submitting the accompanying bid, do hereby make the following statements that I certify to

¹ the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.

be true and complete in every respect:

- 3.1 I have read, and I understand the contents of this disclosure.
- 3.2 I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect.
- 3.3 The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement, or arrangement with any competitor. However, communication between partners in a joint venture or consortium² will not be construed as collusive bidding.
- 3.4 In addition, there have been no consultations, communications, agreements, or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.
- 3.4 The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.
- 3.5 There have been no consultations, communications, agreements, or arrangements made by the bidder with any official of the procuring institution in relation to this procurement process prior to and during the bidding process except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.
- 3.6 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 1, 2 and 3 ABOVE IS CORRECT.

I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

.....
Signature	Date
.....
Position	Name of bidder

² Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill, and knowledge in an activity for the execution of a contract.

SBD 6.1**PREFERENCE POINTS CLAIM FORM IN TERMS OF BANKSETA PREFERENCE POINTS CLAIM**

This preference form must form part of all bids invited. It contains general information and serves as a claim form for preference points.

NB: BEFORE COMPLETING THIS FORM, BIDDERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS.

1. GENERAL CONDITIONS

1.1 The following preference point systems are applicable to all bids:

- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
- the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

1.2

- a) The value of this bid is estimated to **not exceed** R50 000 000 (all applicable taxes included) and therefore the **80/20** preference point system shall be applicable; or
- b) Either the 80/20 preference point system will be applicable to this tender

1.3 Points for this bid shall be awarded for:

- (a) Price; and
- (b) Preference points using BANKSETA's preference point.

1.4 The maximum points for this bid are allocated as follows:

PRICE	80
PREFERENCE POINTS USING BANKSETA PREFERENCE POINTS SYSTEMB	20
Total points for Price and Preference Points must not exceed	

1.5 Failure on the part of a bidder to complete and submit BANKSETA's preference points form together with the bid, will be interpreted to mean that preference points are not claimed.

1.6 The purchaser reserves the right to require of a bidder, either before a bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the purchaser.

2. DEFINITIONS

- (a) **“bid”** means a written offer in a prescribed or stipulated form into an invitation by an organ of state for the provision of goods or services, through price quotations, advertised competitive bidding processes or proposals.
- (b) **“Broad-Based Black Economic Empowerment Act”** means the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003).
- (c) **“EME”** means an Exempted Micro Enterprise in terms of a code of good practice on black economic empowerment issued in terms of section 9 (1) of the Broad-Based Black Economic Empowerment Act.
- (d) **“functionality”** means the ability of a tenderer to provide goods or services in accordance with specifications as set out in the tender documents.
- (e) **“prices”** includes all applicable taxes less all unconditional discounts.
- (f) **“QSE”** means a qualifying small business enterprise in terms of a code of good practice on black economic empowerment issued in terms of section 9 (1) of the Broad-Based Black Economic Empowerment Act.
- (g) **“Rand value”** means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes.

3. POINTS AWARDED FOR PRICE

3.1 THE 80/20 OR 90/10 PREFERENCE POINT SYSTEMS

A maximum of 80 or 90 points is allocated for price on the following basis:

$$P_s = 80 \left(1 - \frac{P_t - P_{\min}}{P_{\min}} \right) \quad \text{or} \quad P_s = 90 \left(1 - \frac{P_t - P_{\min}}{P_{\min}} \right)$$

Where

P_s = Points scored for price of bid under consideration

P_t = Price of bid under consideration

P_{\min} = Price of lowest acceptable bid

4. PREFERENCE POINTS CLAIMED

THE BIDDER SHOULD COMPLETE THE BANKSETA PREFERENCE POINTS CLAIM DOCUMENT BELOW

5. SUB-CONTRACTING

5.1 Will any portion of the contract be sub-contracted?

(Tick applicable box)

YES		NO	
-----	--	----	--

5.1.1 If yes, indicate:

5.1.1.1 What percentage of the contract will be subcontracted. %

5.1.1.2 The name of the sub-contractor.....

6. DECLARATION WITH REGARD TO COMPANY/FIRM

6.1 Name of company/firm:.....

6.2 VAT registration number:.....

6.3 Company registration number:.....

6.4 TYPE OF COMPANY/ FIRM

- Y Partnership/Joint Venture / Consortium
- Y One person business/sole propriety
- Y Close corporation
- Y Company
- Y (Pty) Limited
- [TICK APPLICABLE BOX]

6.5 DESCRIBE PRINCIPAL BUSINESS ACTIVITIES

.....

.....

.....

.....

6.6 COMPANY CLASSIFICATION

- Y Manufacturer
- Y Supplier
- Y Professional service provider
- Y Other service providers, e.g., transporter, etc.[TICK APPLICABLE BOX]

6.7 Total number of years the company/firm has been in business:.....

6.8 I/we, the undersigned, who is / are duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the BANKSETA preference points system qualifies the company/ firm for the preference(s) shown and I / we acknowledge that:

- i) The information furnished is true and correct.
- ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form.
- iii) In the event of a contract being awarded as a result of points claimed as shown in paragraphs 1.4 and 6.1, the contractor may be required to furnish documentary proof to the satisfaction of the purchaser that the claims are correct.
- iv) If the bidder has claimed or obtained preference points on a fraudulent basis or any of the conditions of contract have not been fulfilled, the purchaser may, in addition to any other remedy it may have –
 - (a) disqualify the person from the bidding process.
 - (b) recover costs, losses, or damages it has incurred or suffered as a result of that person's conduct.
 - (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation.
 - (d) recommend that the bidder or contractor, its shareholders, and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted by the National Treasury from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule
 - (e) has been applied; and
 - (f) forward the matter for criminal prosecution.

WITNESSES

1.

2.

.....
SIGNATURE(S) OF BIDDERS(S)

DATE:

ADDRESS

.....

.....

BANKSETA PREFERENCE POINTS CLAIM DOCUMENT

APPOINTMENT OF HIGHER EDUCATION INSTITUTION TO IMPLEMENT THE BANKSETA EXECUTIVE DEVELOPMENT PROGRAMME IN DEVELOPMENT FINANCE - BS/2023/RFB506

1.1 The service provider is requested to complete the form below accurately and fully to show the areas where it wishes to claim preference points.

It is the service providers responsibility to ensure that the form is accurately and fully completed.

1.2 For shortlisted service providers, BANKSETA may request additional information and evidence to support the preference points claimed.

1.3 An entity may claim points based on the same shareholding or persons in more than one category. For example, Black female disabled shareholders under 35 who is a SA citizen may lead a business to claim points under Empowerment of women, youth empowerment and empowerment of persons with disabilities.

The BANKSETA will allocate preference points as follows:

No	Specific Goals	80/20 Preference Point system
1.	Empowerment of black persons- Ownership by black persons – 51% threshold as explained below	6
2.	Promotion of Local production and Delivery by South Africans – 100% threshold as explained below	6
3.	Empowerment of Women - Women Ownership t- Threshold 50% as explained below	2
4	Youth Empowerment Youth Ownership – 33% Threshold as explained below	2
	Empowerment of Persons with Disabilities - Ownership or Employment of People with Disabilities – 20% threshold for Ownership and 10% threshold for Employment of Persons with Disabilities as explained below	2
6.	Promotion of small and medium businesses, co-operatives, and non-governmental institutions in all areas- rural and urban areas – as explained below	2
	Total Points allocated towards specific goals	20

1.4 Empowerment of Black persons- Ownership by Black persons Black Person Ownership

1.4.1 Black persons are as defined in Broad based Black economic empowerment Act (B-BBEE) which currently means Africans, Coloureds, and Indians:

(a) who are citizens of the Republic of South Africa by birth or decent; or

(b) who became citizens of the Republic of South Africa by naturalisation –

(i) before 27 April 1994.

(ii) on or after 27 April 1994 and who would have been entitled to acquire citizenship by naturalisation prior to that date.

Preference Point	Service Provider to INDICATE YES OR NO	No of Points per BANKSETA Preference point System	For BANKSETA USE Only Points Claimed
Does the service provider wish to claim points under Black ownership where 51% or more ownerships are by Black people		6	

IF YES please provide the following details

DETAILS OF BLACK OWNERS				
	Full Name of Black Owners	ID Number	Ownership Percentage (via shareholding)	Position in the Company
1				
2				
3				
4				
5				
6				
	TOTAL Black Ownership			

For shortlisted service providers, BANKSETA will request additional information and evidence to support the information shown for the preference points claimed. Please note that such

additional information may include but is not limited to certified IDs, naturalisation records for owners not South African by birth and CIPC records of the entity.

1.5 Preference Points Claimed for Empowerment of 100% Local production of Goods and/or 100% Use of South African Citizens for Delivery of Services.

The goods supplied should be 100% manufactured or assembled in South Africa from 100% local materials and any services supplied should 100% utilising South African citizens. If only services are being sought, the services should be delivered using 100% South African citizens.

Preference Point	Service Provider to Indicate YES OR NO	No of Points per BANKSETA Preference point System	For BANKSETA USE Only Points Claimed
Does the service provider wish to claim points under Promotion of Local production and Delivery by South Africans – 100% threshold		6	

IF YES please provide the following details

Details	Service Provider to Indicate YES or NO
2.1 If goods are to be supplied are these 100% assembled or manufactured in South Africa	
2. If goods are to be supplied are these 100% assembled or manufactured in South Africa	
2.3 If services are to be supplied, are these to be delivered 100% by South African citizens. Kindly note that the citizen status of employees is stated in the ID document	

For shortlisted service providers, BANKSETA will request additional information and evidence to support the information shown for preference points claimed. Please note that such additional information may include but is not limited to sworn affidavits, details of manufacturing/assembly plant, details of main raw material suppliers, employee lists and ID numbers.

1.6 Preference Points Claimed for Empowerment of Women – Through Women Ownership of the Entity- Threshold 50%

Women ownership points will be awarded to a Tenderer who have 50% or more women ownership of the company or enterprise. The woman should be South African citizens.

Preference Point	Service Provider to Indicate YES OR NO	No of Points per BANKSETA Preference point System	For BANKSETA USE Only Points Claimed
Does the service provider wish to claim points under women ownership where 50% or more ownerships are by women who are South African citizens		2	

IF YES please provide the following details

DETAILS OF WOMEN OWNERS WHO ARE SOUTH AFRICAN CITIZENS				
	Full Name of Black Owners	ID Number	Ownership Percentage (via shareholding)	Position in the Company
1				
2				
3				
4				
5				
6				
	TOTAL WOMEN OWNERSHIP			

For shortlisted service providers, BANKSETA will request additional information and evidence to support the information shown for preference points claimed. Please note that such additional information may include but is not limited to certified IDs and CIPC records.

1.7 Preference Points Claimed for Empowerment of Youth Through Youth Ownership of the Service Provider /Enterprise– 33% Threshold

1.7.1 Youth ownership points will be awarded to a Tenderer who have 33% or more youth ownership being persons 35 years and below, determined at the date of tender/ RFQ closing. Youth ownership will be determined based on the shareholding of the members who are defined as youth and are South African citizens,.

Preference Point	Service Provider to Indicate YES OR NO	No of Points per BANKSETA Preference point System	For BANKSETA USE Only Points Claimed
Does the service provider wish to claim points under Youth Ownership – 33% Threshold. The youth should be South African citizens		2	

IF YES please provide the following details

DETAILS OF YOUTH OWNERS WHO ARE SOUTH AFRICAN CITIZENS				
	Full Name of Black Owners	ID Number	Ownership Percentage (via shareholding)	Position in the Company
1				
2				
3				
4				
5				
6				
	TOTAL YOUTH OWNERSHIP			

For shortlisted service providers, BANKSETA will request additional information and evidence to support the information shown for preference points claimed. Please note that such additional information may include but is not limited to certified IDs and CIPC records.

1.8 Preference Points Claimed for Empowerment of Persons with Disabilities - Ownership or Employment of People with Disabilities – 20% threshold for Ownership and 10% threshold for Employment of Persons with Disabilities of Youth Empowerment

1.8.1 Persons with Disability Ownership points will be awarded to a Tenderer who have 20% or more shareholding by South African citizen persons with disability.

OR

to tenderers who employ 10% or more South African persons with disability on a permanent basis.

Disability ownership will be determined by the shareholding of the enterprise owned by such a South African citizen person with disability.

OR

by enterprises whose permanent staff complement consists of 10% or more South African citizen persons with disabilities. Any disabilities need to be legally verifiable for points to be claimed. Kindly note that full points are awarded for either ownership of persons with disabilities or employment of persons with disabilities.

Preference Point	Service Provider to Indicate YES OR NO	No of Points per BANKSETA Preference point System	For BANKSETA USE Only Points Claimed
Does the service provider wish to claim points under? Persons with Disability Ownership points will be awarded to a Tenderer who have 20% or more shareholding by South African citizen persons with disability of the company or entity are. OR to tenderers who employ 10% or more South African persons with disability on a permanent basis.		2	

IF YES please provide the following details

DETAILS OF OWNERS WHO HAVE DISABILITIES AND ARE SOUTH AFRICAN CITIZENS				
	Full Name of Persons with Disabilities Owners	ID Number	Ownership Percentage (via shareholding)	Position in the Company
1				
2				
3				
4				
5				
6				
	TOTALPERSON WITH DISABILITIES OWNERSHIP			

AND/OR

Total Number of Permanent Employees	Number of Permanent Employees with	% Of Employees with Disabilities
-------------------------------------	------------------------------------	----------------------------------

	Disabilities	

For shortlisted service providers, BANKSETA will request additional information and evidence to support the information shown for preference points claimed. Please note that such additional information may include but is not limited to certified IDs, CIPC records, employee list, disabilities list or certificates of disability.

1.9 Preference Points Claimed for Empowerment Small and Medium Enterprises Including Co-operatives and Non-Governmental Organisations in All Areas – Rural and Urban

1.9.1 Small and medium business includes all South African businesses, co-operatives, and non-governmental organisations with annual turnover up to R10 million or alternatively, these entities are recently incorporated, have been operating for less than one year and are projected to have annual turnover of less than R10 million in the first year. The ownership of small and medium business, co-operatives or non-governmental organisations should be 100% South African citizens (or entities owned 100% by South Africa citizens).

Preference Point	Service Provider to Indicate YES OR NO	No of Points per BANKSETA Preference point System	For BANKSETA USE Only Points Claimed
Does the service provider wish to claim points under small and medium business includes all South African businesses, co-operatives, and non-governmental organisations with annual turnover up to R10 million or alternatively, these entities are recently incorporated, have been operating for less than one year and are projected to have annual turnover of less than R10 million in the first year. The ownership of small and medium business, co-operatives or non-governmental organisations should be 100% South African citizens (or entities owned 100% by South Africa citizens).		2	

IF YES please provide the following details

DETAILS OF THE BUSINESS				
Dated Business Incorporated	Financial Year Ending	Turnover in Prior Financial Year of the Enterprise	Budgeted Turnover This Current Financial Year	Turnover to Date in Current Financial Year

For shortlisted service providers, BANKSETA will request additional information and evidence to support the information shown for preference points claimed. Please note that such additional information may include but are not limited to CIPC records, annual financial statements and sworn affidavits.

1.10 False Information from Bidders

Should the BANKSETA ascertain that any bidder has submitted any false information, the BANKSETA may disqualify the bidder/service provider, cancel any award without prejudice to any other remedies available to BANKSETA and report the service provider to National Treasury.

The bidder/service provider will be given an opportunity to give reasons why BANKSETA should not take actions detailed above where false information has been submitted.

SPECIAL CONDITIONS THAT THE BIDDER NEEDS TO COMPLY WITH.

BIDDER TO SIGN THE LAST PAGE

NB: Complete only the part which is applicable for this tender.

SPECIAL CONDITIONS		CONFIRMATION		
		Yes	No	If no, indicate deviation
1	GENERAL			
1.1	<p>Respondents must indicate compliance or noncompliance on a paragraph-by-paragraph basis. Indicate compliance with the relevant special conditions by marking the YES box and noncompliance by marking the NO box. The bidder must clearly state if a deviation from these special conditions is offered and the reason, therefore. If an explanatory note is provided, the paragraph reference must be attached as an appendix to the bid submission. Responses not completed in this manner may be considered incomplete and rejected. Answering questions or supplying detail by referring to other sections will not be accepted.</p> <p>Should respondents fail to indicate agreement/compliance or otherwise, BANKSETA will assume that the respondents are not in compliance or agreement with the statement(s) as specified in this request for quotation.</p>			
2	THE SPECIAL CONDITIONS OF REQUEST FOR QUOTATION, REQUEST FOR BID AND CONTRACT			
		Yes	No	If no, indicate deviation
2.1	Special Conditions of Request for Quotation, Request for Bid and Contract has been noted.			
3	GENERAL CONDITIONS OF CONTRACT			
		Yes	No	If no, indicate deviation
3.1	The General Conditions of Contract must be accepted by signing the last page of this document.			

4	ADDITIONAL INFORMATION REQUIREMENTS			
		Yes	No	If no, indicate deviation
4.1	During evaluation of the responses, additional information may be requested in writing from respondents. Replies to such request must be submitted, within 5 (five) working days or as otherwise indicated. Failure to comply, may lead to your response being disregarded.			
5	VENDOR INFORMATION	Yes	No	If no, indicate deviation
5.1	Vendor are encouraged to register on the Central Supplier Database (CSD) as an award cannot be made to a vendor who is not registered and tax compliant on CSD.			

6	CONFIDENTIALITY			
		Yes	No	If no, indicate deviation
6.1	The response and all information in connection therewith shall be held in strict confidence by respondents and usage of such information shall be limited to the preparation of the response. Respondents shall undertake to limit the number of copies of this document.			
6.2	All respondents are bound by a confidentiality agreement preventing the unauthorised disclosure of any information regarding BANKSETA or of its activities to any other organisation or individual. The respondents may not disclose any information, documentation, or products to other clients without written approval of the accounting authority or the delegate.			
7	INTELLECTUAL PROPERTY, INVENTIONS AND COPYRIGHT (Only applicable to services requiring IP)			
7.1	Copyright of all documentation relating to this contract belongs to the client. The successful bidder may not disclose any information, documentation, or products to other clients without the written approval of the accounting			

	authority or the delegate.			
7.2	All the intellectual property rights arising from the execution of this contract shall vest in BANKSETA who shall be entitled to cede and assign such to the Department of Higher Education and Training (DHET) and the contractor undertakes to honour such intellectual property rights and all future rights by keeping the know-how and all published and unpublished material confidential.			
7.3	In the event that the contractor or any project team member would like to use information or data generated by the project, for academic or any other purpose, prior written permission must be obtained from the client. Such permission will not be unreasonably withheld and if it is withheld, written reasons will be provided.			

7.4	BANKSETA shall own all deliverables produced by the Contractor during the course of, or as part of the contract whether capable of being copyrighted or not ("IP") and which are or may become eligible for copyright under the laws of the Republic of South Africa and which relates to the contract or which arises directly from this contract. This IP BANKSETA shall be entitled to freely cede and assign to the Department of Higher Education and Training. No other document needs to be executed to give effect to this session, assignment, or transfer.			
7.5	The provisions of this clause 7 shall only apply to such IP that is created during the course and scope in terms of this contract.			
7.6	The contractor assigns to BANKSETA or the Department of Higher Education and Training, as BANKSETA directs, the rights conferred upon itself as author by section 20(1) of the Copyright Act, no 98 of 1978, as amended.			

7.7	The Contractor acknowledges and agrees that each provision of clause 7 is separate, severally, and separately enforceable from any other provisions of this contract.			
7.8	The invalidity or non-enforceability of any one or more provision hereof, shall not prejudice or effect the enforceability and validity of the remaining provisions of this contract.			
7.9	This contract contains various stipulatio alteri in favour of the Department of Higher Education and Training, which rights shall continue in effect after termination of this contract, and which rights can be exercised and enforced at any time by the Department of Higher Education and Training.			
7.10	This clause 7 shall survive termination of this contract.			
8	NON-COMPLIANCE WITH DELIVERY TERMS			
		Yes	No	If no, indicate deviation
8.1	As soon as it becomes known to the contractor that he/she will not be able to deliver the services within the delivery period and/or against the quoted price and/or as specified, BANKSETA must be given immediate written notice to this effect.			

9	WARRANTS and PAYMENTS			
		Yes	No	If no, indicate deviation
9.1	The Contractor warrants that it is able to conclude this agreement to the satisfaction of the BANKSETA.			
9.2	The successful respondent IS NOT required to furnish to the purchaser a performance security.			
9.3	Although the contractor will be entitled to provide services to persons other than BANKSETA, the contractor shall not without the prior written consent of BANKSETA, be involved in any manner whatsoever, directly, or indirectly, in any business or venture which competes or conflicts with the obligations of the contractor to provide Services.			

9.4	The BANKSETA will pay the contractor the fee as set out in the final contract. No additional amounts will be payable by the BANKSETA to the contractor.			
9.5	The Contractor shall from time to time during the currency of the contract, invoice the BANKSETA for the services rendered. No payment will be made to the contractor unless an invoice complying with section 20 of the VAT act No 89 of 1991 has been submitted to the BANKSETA.			
9.6	Payment shall be made into the contractor's bank account normally 30 days after the receipt of an acceptable and valid invoice. Banking details must be submitted with the contractor's first invoice. Proof of the banking details will be accepted in the following forms: <input type="checkbox"/> Copy of a cancelled cheque; <input type="checkbox"/> Letter from bank; <input type="checkbox"/> Statement.			
9.7	The contractor shall be responsible for accounting to the appropriate authorities for its income tax, VAT or other monies required to be paid in terms of applicable law.			

9.8	No favour, delay, relaxation, or indulgence on the part of any Party in exercising any power or right conferred on such Party in terms of this contract shall operate as a waiver of such power or right nor shall any single or partial exercise of any such power or right under this agreement.			
10	PARTIES NOT AFFECTED BY WAIVER OR BREACHES			
		Yes	No	If no, indicate deviation
10.1	The waiver (whether express or implied) by any Party of any breach of the terms or conditions of this contract by the other Party shall not prejudice any remedy of the waiving party in respect of any continuing or other breach of the terms and conditions hereof.			
10.2	No favour, delay, relaxation, or indulgence on the part of any Party in exercising any power or right conferred on such Party in terms of this contract shall operate as a waiver of such power or right nor shall any single or partial exercise of any such power or right under this agreement.			
11	RETENTION			

		Yes	No	If no, indicate deviation
11.1	On termination of this agreement, the contractor shall, on demand hand over all documentation provided as part of the project and all deliverables, etc., without the right of retention, to BANKSETA.			
11.2	No agreement to amend or vary a contract or order or the conditions, stipulations or provisions thereof shall be valid and of any force and effect unless such agreement to amend or vary is entered into in writing and signed by the contracting parties. Any waiver of this requirement shall be in writing			
12	Dispute Resolution			
		Yes	No	If no, indicate deviation
12.1	If any dispute or difference of any kind whatsoever arises between the purchaser and the supplier in connection with or arising out of the contract, the parties shall make every effort to resolve amicably such dispute or difference by mutual consultation.			
12.2	If, after thirty (30) days, the parties have failed to resolve their dispute or difference by such mutual consultation, then either the Purchaser or the Supplier may give notice to the other party of his			

	intention to commence with mediation. No mediation in respect of this matter may be commenced unless such notice is given to the other party. Such notice shall be in English.			
12.3	Notice of intention to commence with mediation shall be writing, in the English language, and served on the other party either personally, by facsimile or electronic mail.			
12.4	If the parties are unable to agree on a mediator or to resolve any disputes by way of mediation within 14 days (fourteen days) of any party requesting in writing that the dispute be resolved by mediation, it may be settled in a South African court of law.			
12.5	All disputes shall be referred to mediation with an AFSA accredited and appointed mediator in accordance with the then current rules of the Arbitration Foundation of Southern Africa or its successor.			

12.6	Notwithstanding any reference to mediation and/or court proceedings herein, (a) the parties shall continue to perform their respective obligations under the contract unless they otherwise agree; and (b) the purchaser shall pay the supplier any monies due the supplier			
13	FORMAT OF REQUEST FOR QUOTATION, REQUEST FOR BID AND CONTRACT			
		Yes	No	If no, indicate deviation
13.1	Respondents must complete all the necessary quotation documents and undertakings required in this quotation document. Respondents are advised that their responses should be concise, written in plain English and simply presented. Respondents are to set out their quotation in the format prescribed in the RFQ/RFB documents:			
13.2	Respondents must complete and return Special Conditions of Contract.			

<div style="border-bottom: 1px solid black; margin-bottom: 10px; width: 80%; margin-left: 0;"></div> <div style="text-align: center; margin-bottom: 10px;">NAME OF BIDDER</div> <div style="border-bottom: 1px solid black; margin-bottom: 10px; width: 80%; margin-left: 0;"></div> <div style="border-bottom: 1px solid black; margin-bottom: 10px; width: 80%; margin-left: 0;"></div> <div style="text-align: center;">SIGNATURE</div>	<div style="text-align: center; margin-top: 100px;">DATE</div>
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