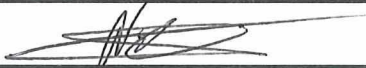
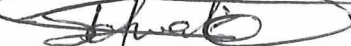


Tasks to improve control effectiveness (all controls not Fully Effective)		Task Owner	Task Owner Acceptance (Signature)	Due Date
1	Strengthen due diligence process by introducing a third-party audit of bidders before contract award.	Thobile Yonga		
2	Introduce penalties and incentives for service providers based on performance KPIs.	Thobile Yonga		
3	Conduct a thorough cost-benefit analysis before finalizing contract terms.	Thobile Yonga		
4	Implement stricter scope change controls post-contract award.	Thobile Yonga		
5				

New Treatment Tasks / Opportunities		Task Owner	Task Owner Acceptance (Signature)	Due Date
1	Require bidders to submit recent third-party audit reports along with the proposal.	Ntobeko Sikhakhane		
2	Require bidders to have redundant laboratory setups or SLA's in case of equipment failure.	Ntobeko Sikhakhane		
3	Introduce competitive benchmarking for pricing to prevent overcharging.	Thobile Yonga		

DATE CAPTURED	2025/02/03
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COMPILED BY:	
NAME	Ntobeko Sikhakhane
DESIGNATION	Snr Advisor OHS
DATE	03-02-2025
SIGNATURE	

PARTICIPANTS		
	NAME	SIGNATURE
1	Teffo Lesetja	Occupational Hygiene Technologist 
2	Ntobeko Sikhakhane	Snr Advisor OHS 
3	Tshepo Sekwale	Occupational Hygiene Assistant 

	Tasks to improve control effectiveness	Task Owner	Task Owner Acceptance (Signature)	Due Date
1	Increase audit frequency for service provider compliance checks.	Ntobeko Sikhakhane		
2	Develop a corrective action plan for non-compliance and contract breach penalties.	Ntobeko Sikhakhane		
3	Improve internal communication for risk-based interventions while waiting for analysis results.	Ntobeko Sikhakhane		
4	Develop emergency response protocols if high-risk contaminants are suspected but results are delayed.	Ntobeko Sikhakhane		
5	Develop an early warning system for budget overruns.	Ntobeko Sikhakhane		

New Treatment Tasks / Opportunities		Task Owner	Task Owner Acceptance (Signature)	Due Date
1	Implement a tracking system for samples with updates for stakeholders.	Ntobeko Sikhakhane		
2	Establish a cost-sharing agreement with other divisions for sample analysis.	Thobile Yonga		
3	Explore technology-driven solutions (e.g., automated sampling) to reduce costs.	Ntobeko Sikhakhane		

ACCEPTED BY:	
NAME	Thobile Yonga
DESIGNATION	Occupational Hygiene and safety Manager
DATE	03-02-2025
SIGNATURE	

APPROVED BY:	
NAME	Sipho Shabangu
DESIGNATION	Risk and Assurance Manager
DATE	03-02-2025
	p.p. 

1. Risk

3. Consequences (of the risk, not of the causes)	
1	Invalid test results leading to incorrect safety decisions.
2	Regulatory non-compliance.
3	Delayed decision-making on safety and occupational hygiene issues.
4	Potential health and safety risks due to late detection of hazards.
5	Increased operational disruptions due to non-compliance with reporting timelines.
6	Budget overruns impacting other safety projects.
7	Inability to conduct required occupational hygiene assessments due to financial constraints.
8	Risk of contract termination due to financial misalignment.

5. Existing Controls for the				Control	Control Owner
Consequences				Effectiveness	
1	Periodic internal audits on service provider performance.	ME	Ntobeko Sikhakhane		
2	Cross-verification of sample analysis results.	ME	Ntobeko Sikhakhane		
3	Escalation procedures for late reporting.	ME	Ntobeko Sikhakhane		
4	Proactive monitoring of turnaround time compliance.	ME	Ntobeko Sikhakhane		
5	Alternative risk-based decision-making process in case of delayed reports.	ME	Thobile Yonga		
6	Financial risk monitoring by procurement and finance departments.	ME	Ntobeko Sikhakhane		
7	Escalation process for unplanned cost increases.	ME	Ntobeko Sikhakhane		
8	Contract renegotiation clauses in case of significant cost deviations.	ME	Ntobeko Sikhakhane		

Risk Control Effectiveness	Consequence Factor	Likelihood Factor	Risk Rating	Potential Exposure	Risk Owner
	4	B	III	BU	Sipho Shabangu
Consequence factor reasoning: Irreversible disability		Likelihood factor reasoning:			