
	REQUEST FOR QUOTATION	
	RFQ Number: Q24/140AS	Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023

Description	THE APPOINTMENT OF THE ENVIRONMENTAL ASSESSMENT PRACTITIONER TO OBTAIN WUL FOR THE UMZINTO SLUDGE PLANT UPGRADE
Advert Date	29 SEPTEMBER 2023
Closing Date and Time	10/10/2023 at 15:00
Compulsory or Non-Compulsory Briefing Session	N/A
SCM Enquiries	Asheena Singh Tel: 031 7197300 ext 7390 Email: Asheena.singh@umgeni.co.za
Technical Enquiries	Constance Nko Tel: 084 5822487 Email: constance.nko@umgeni.co.za
Contents of RFQ	1. Invitation to quote 2. Bidders information 3. Terms and Conditions 4. Undertaking by Bidder 5. Terms of Reference/RFQ specifications 6. Price Schedule 7. Authority to Sign 8. Bidders disclosure [SBD 4] 9. Preference points claim form (SBD 6.1) 10. Contract Form [SBD 7.2]

Quotation Submission:	Tip-Offs Anonymous Hotline:
by email to : scmquotes@umgeni.co.za using Quote number as the email subject	Report unethical conduct at uMngeni-uThukela Water on: Toll Free Number: 0800 864 463 Email: umgeniwater@whistleblowing.co.za Toll Free Fax: 0800 212 689 Postal: Freepost KZN665, Musgrave, 4062 SMS: 33490 Online: www.whistleblowing.co.za <i>Stop theft / fraud / dishonesty / bribery /blackmail / intimidation, and remain anonymous.</i>

	REQUEST FOR QUOTATION	Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS	

BIDDERS INFORMATION


Name of Bidder	
Company Registration number	
VAT registration number	
Contact Person	
Telephone number	
Cell number	
E-mail address	
Postal address	
Physical address	
uMngeni-Uthukela Vendor Number	
CSD Supplier number	

I certify that the information furnished on this form is true and correct. I further accept that, in addition to cancellation of a contract, action may be taken against me should this declaration prove to be false.

Name of Representative
(Duly Authorised)


Signature

Date

	REQUEST FOR QUOTATION	Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS	

TERMS AND CONDITIONS OF REQUEST FOR QUOTATION (RFQ)

1. Any alteration made by the bidder must be initialled.
2. Use of correcting fluid is prohibited
3. Bidders must be registered on the National Treasury's Central Suppliers Database.
4. Tenderers are required to submit a valid Tax clearance verification PIN or CSD MAAA number
5. This quotation is subject to the Preferential Procurement Policy Framework Act and the Preferential Procurement Regulations, 2022; the General Conditions of Contract (GCC) and if applicable any other Special Conditions of Contract.
6. The applicable preference point system for this tender is the 80/20 preference point system, Failure on the part of a tenderer to submit proof or documentation required in terms of this RFQ to claim points for specific goals, will be interpreted to mean that preference points for specific goals are not claimed by the bidder. Kindly refer to SBD 6.1 form for additional information.
7. Suppliers must complete the attached SBD 4 – Bidders disclosure, failure to complete these documents may result in the quotation being invalidated.
8. Quotations must be in accordance and comply with the terms of reference/specifications provided, unless otherwise stipulated.
9. The official uMngeni-Uthukela Water quotation form must be used to quote the offered price. Should the allocated price page be insufficient, the tenderer may supplement the price page with an additional pricing breakdown.
10. Price Declaration must be completed, and should the total RFQ prices differ, the one indicated on the price declaration shall be considered the correct price.
11. The successful supplier may be required to fill in and sign a written Contract Form. (If applicable)
12. This document may contain confidential information that is the property of uMngeni-Uthukela Water.
13. No part of the contents may be used, copied, disclosed or conveyed in whole or in part to any party in any manner whatsoever other than for preparing a proposal in response to this RFQ, without prior written permission from uMngeni-Uthukela Water and the Bidder.
14. All Copyright and Intellectual Property herein vests with uMngeni-Uthukela Water and its Bidder.
15. Responses to this RFQ must be submitted by email scmquotes@umgeni.co.za or be deposited in tender box situated as indicated on the quotation request form marked appropriated as directed. (*The applicable submission method is reflected on the cover page*).
16. It is the responsibility of the bidder to ensure that its response reaches uMngeni-Uthukela Water on or before the closing date and time of the RFQ. Late and incomplete submissions will not be accepted.
17. No services must be rendered or goods delivered before an official uMngeni-Uthukela Water Purchase Order form has been received.
18. **uMngeni-Uthukela Water reserves the right to appoint more than one supplier/service provider and or to award the quote as whole or in part.**
19. A full copy of General Conditions of Contract (GCC) are available on the National Treasury website (<https://www.treasury.gov.za/divisions/ocpo/sc/generalconditions/general%20conditions%20of%20contract.pdf>)

	REQUEST FOR QUOTATION		Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS		


UNDERTAKING BY BIDDER

1. I/We hereby quote to supply all or any of the supplies and/or to render all or any of the services described in the attached documents to uMngeni-Uthukela Water on the terms and conditions. In accordance with the specifications stipulated in the quotation documents (and which shall be taken as part of and be incorporated into this quote) at the prices and on the terms regarding time for delivery and/or execution inserted therein.

2. I/we agree that:
 - (a) the offer herein shall remain binding upon me and open for acceptance by uMngeni-Uthukela Water during the validity period indicated and calculated from the closing time of the quote;
 - (b) this quote and its acceptance shall be subject to the Public Finance Management Act, 1999, uMngeni-Uthukela Water's Supply Chain Management Policy and Procedures, the General and Special Conditions of Contract as may be applicable, with which I/we am fully acquainted;
 - (c) if I/we withdraw my quote within the period for which I/we have agreed that the quote shall remain open for acceptance, or fail to fulfil the contract when called upon to do so. uMngeni-Uthukela Water may, without prejudice to its other rights, agree to the withdrawal of my quote or cancel the contract that may have been entered into between uMngeni-Uthukela Water and I/us. I/we will then pay to uMngeni-Uthukela Water any additional expenses incurred for having either to accept any less favourable quote or, if fresh quote have to be invited, the additional expenditure incurred by the invitation of fresh quotes and by the subsequent acceptance of any less favourable quotes. uMngeni-Uthukela Water shall reserve the right to recover such additional expenditure by set-off against monies which may be due to me under this, or any other tender or contract or against any guarantee or deposit that may have been furnished by me or on my behalf for the due fulfilment of this or any other tender or contract. Pending the ascertainment of the amount of such additional expenditure to retain such monies, guarantee or deposit as security for any loss uMngeni-Uthukela Water may sustain by reason of my default;
 - (d) if my quote is accepted, the acceptance may be communicated to me by electronic mail, to the email address supplied in my quotation document;
 - (e) the law of the Republic of South Africa shall govern the contract created by the acceptance of my quote and I choose *domicilium citandi et executandi* in the Republic at (full physical address) :

3. I/we furthermore confirm that I/we have satisfied myself as to the correctness and validity of my quote: that the price(s), rate(s) and preference quoted cover all of the work/item(s) and my obligations under a resulting contract, and I accept that any mistakes regarding the price(s) and calculations will be at my risk.

4. I/we hereby accept full responsibility for the proper execution and fulfilment of all obligations and conditions devolving on me under this agreement, as the Principal(s) liable for the due fulfilment of this contract.


	REQUEST FOR QUOTATION	Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS	

5. I/we agree that any action arising from this contract may in all respects be instituted against me and I/we hereby undertake to satisfy fully any sentence or judgement which may be pronounced against me as a result of such action.
6. I/we confirm that I/we have declared all and any interest that I or any persons related to my business has with regard to this quote or any related quotations by completion of the Declaration of Interest Section.
7. **I/WE, THE UNDERSIGNED, WHO WARRANT THAT I AM DULY AUTHORISED TO DO SO ON BEHALF OF THE TENDERER, CERTIFY THAT THE INFORMATION SUPPLIED IN TERMS OF THIS DOCUMENT IS CORRECT AND TRUE, THAT THE SIGNATORY TO THIS DOCUMENT IS DULY AUTHORISED AND ACKNOWLEDGE THAT:**
 - (1) The tenderer will furnish documentary proof regarding any tendering issue to the satisfaction of the uMngeni-Uthukela Water, if requested to do so.
 - (2) If the information supplied is found to be incorrect and/or false then uMngeni-Uthukela Water, in addition to any remedies it may have, may: -
 - a) Recover from the contractor all costs, losses or damages incurred or sustained by uMngeni-Uthukela Water as a result of the award of the contract, and/or
 - b) Cancel the contract and claim any damages which uMngeni-Uthukela Water may suffer by having to make less favourable arrangements after such cancellation.

 Name of Representative
 (Duly Authorised)

 Signature

 Date

	REQUEST FOR QUOTATION		Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS		

TERMS OF REFERENCE FOR THE APPOINTMENT OF THE ENVIRONMENTAL ASSESSMENT PRACTITIONER TO OBTAIN WUL FOR THE UMZINTO SLUDGE PLANT UPGRADE

1. Background

Umgengi-Uthukela Water has appointed Engineering Services to undertake the Umzinto Sludge Handling Feasibility Study. The objective of this study is to determine the feasibility of constructing a Sludge Plant at the Umzinto Water Treatment Plant (WTP). This report includes the procedures carried out during this study, a hydraulic analysis of the sludge plant and design of major infrastructure and associated equipment, including:

- Thin Sludge Holding Tank;
- Poly Dosing System;
- Gravity thickener; (Sludge) Drying Beds
- Transfer pumps

The Umzinto Water Treatment Plant (WTP) is part of the Middle South Coast Sub-System and receives its raw water from two sources. The first source is the water from Umzinto River Weir, which gets water from the Umzinto Dam. The second source is the E.J. Smith Dam situated on the Mzimayi River. Raw water is supplied from both sources simultaneously.



Location of Umzinto Water Treatment Works plant

The treatment plant has a capacity of 20 Ml/day and is currently operating at 13.5 Ml/day. Properties of the existing infrastructure and dosing chemicals at the Treatment Plant are described in Table 1 and were used in the assessment of the plant to ensure the integration of the Sludge Plant with the existing infrastructure and processes.


	REQUEST FOR QUOTATION		Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS		

Table 1 Characteristics of the Umzinto Water Treatment Plant

Maximum Design Capacity	Ml/day	13.6
Raw Water Supply Capacity	Ml/day	12.24
Pre-Oxidation Type	-	KMnO ₄
Primary Water Pre-Treatment Chemical	-	Polymeric Coagulant
Rapid Mixing Method	-	Conventional Paddle Flash Mixer
Clariflocculator Type	-	Clariflocculator
Number of Clariflocculators	-	3
Total Area of all Clariflocculators	m ²	387
Total Capacity of Clariflocculators	-	14 Ml/day at 1.5 m/h Up-flow Rate 19 Ml/day at 2 m/h Up-flow Rate
Filter Type	-	Constant Rate Rapid Gravity Filters
Number of Filters	-	5
Total Filtration Area of all Filters	m ²	127
Total Filtration Design Capacity of all Filters	-	12 Ml/day at 3.9 m/h Filtration Rate 15 Ml/day at 5 m/h Filtration Rate
Disinfection Dosing Capacity	-	12 kg Cl ₂ /h including the Stand-By Unit

The options of temporary disposal included the discharge into the stream/river that requires a WUL. The WUL requirements include section 21 a, b, c, f, g and I for:

- WUL for the water treatment plant - the upgrade of the sludge plant (500m around the plant will need to be assessed for section 21 c & i.
- WUL for discharge/disposal of the sludge into the stream section 21 f & g.
- WUL for taking and storing water from a water resource Section a & b.

Purpose


The purpose of these terms of reference is to secure an environmental consultant that will provide Umgengi-Uthukela Water with the necessary assessments and applications for a Water Use Licence (WUL) during the upgrade of the Umzinto Sludge Plant

2. Description of services required

Scope of Work

The environmental consultant will need to provide and/or collate the following documents for the application for the WUL for Section 21 (a,b,c, I, f, g). While the list below has been provided the authority could have other additional requirements that will need to be aligned with.

Technical and Administrative Requirements
Integrated Water and Waste Management Plan *
Certified copy of the Applicant's Identity Document (listed as the Signatory Contact on eWULAAS), which is not older than three months at the time of submission.
Company Registration Certificate of the Water User.
Title Deeds of Properties Where Water Uses Occur (WinDeed Report / Original Title Deed)
Property Zoning Certificate

	REQUEST FOR QUOTATION		Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS		

Clearance letter from the Department of Rural Development and Land Reform
Proof of BBEEE Status
Licence Application Forms signed by the Applicant (listed as the Signatory Contact on eWULAAS).
Topographic map
Master Layout Plan
Alternatives Report *
Environmental Authorisation
Environmental Management Programme *
Wetland and Hydropedological Assessment *
Geotechnical Assessment *
Water Use Licence Application Summary Report
Aquatic Assessment *
Geohydrological Assessment *
Hydrological Assessment *
Water Balance *
Method Statement *
Stormwater Management Plan *
Civil Design Report *
Monitoring programme (surface and groundwater, and biomonitoring), including environmental audit (for existing facilities) *
Contingency Plans *
Public Participation Report *
Financial Provision Letter
Application for Exemption from GN704 (if applicable)
Mining Permit, Prospecting Right, Signed Social and Labour Plan
Service Level Agreements

* This report must be signed by the relevant author and reviewer; may not be older than five years at the time of submission; and may not be a draft report.

3. Reporting Requirements


This consultant will report to the Environmental Scientist (Technical Support, Infrastructure Development).

- Monthly reporting to UW, Technical Support Scientist.
- Monthly progress meetings to be attended with project team.
- All for the duration of the construction period (1.5 years) and post construction period (six months)

Report Requirements

1. Aquatic Assessment

- The assessment must be completed during both the wet and dry seasons.
- The assessment must include nocturnal surveys.
- The initial desktop assessment must discuss the project site and rivers, streams and drainage lines within the regulated area (i.e. within 100m of the river, stream or drainage line, within the 1: 100 floodline or the river or stream and within the riparian area, whichever is the greatest distance). These must be depicted on a map.
- All rivers, streams and drainage lines, which may be impacted upon by the water uses (regardless of the level of impact), must be described as hydrogeomorphic units, and the Present Ecological State and Environmental Importance and Sensitivity thereof must be provided. These must be depicted separately, along with contours and flow direction in relation to the proposed development. The rivers, streams and drainage lines which will not be impacted upon must be greyed out in this image and the reason for this must be provided.

	REQUEST FOR QUOTATION		Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS		

- The full Risk Assessment Matrix must be provided, as completed by a professionally registered aquatic specialist (provide their registration number).
- Provide a Water Uses Table for only the Section 21(c) and (i) water uses relating to rivers, streams and drainage lines, in the following format:

Water use(s)	Purpose	Dimensions (length, width and height) of the structure in metres	Property Description as per Title Deeds	Start and end co-ordinates of the structure	Property Owner
Section 21(c) and (i)					

- Provide an Impacts and Mitigation Measures Table for the Section 21(c) and (i) water uses relating to rivers, streams and drainage lines, in the following format:

Water Use	Activity	Construction		Operation	
		Impacts	Mitigation Measures	Impacts	Mitigation Measures


- The aquatic specialist's recommendations, proposed licence conditions and proposed licence period must be included as separate sections in the report.
- The following must be submitted as appendices:
 - Aquatic Management Plan; and
 - Aquatic Monitoring Programme (including a map with the monitoring points).

2. Civil Design Report


- The report must address all Section 21 (a) (b) (c), (g) (f) and (i) water uses and must include the relevant designs.
- Both the designs and report must be signed off by a qualified professional engineer (provide registration number).
- All dam designs (Section 21(b)) must comply with GN704.
- Classification details of the waste water contained in the impoundment facilities and the stockpile material (Section 21(g)) must be done in accordance with articles 634 and 635 of Regulation 36784 of August 2013.
- Barrier systems must be specified for all the facilities containing contaminated water or material in accordance with article 636 of the Regulation 36784. When addressing the barrier systems, your attention is drawn to Section 2 of the R636 that lists the other requirements that must also be met.

Section 21 (c) & (i)

- River Crossings / Impeding Structures
 - Nature of crossing, below on or above river bed
 - If on or above river bed, analysis of effect of pipe on flow velocity and remedial effects on possible erosion and ponding/flooding caused by the impeding pipe structure
 - Construction method on perennial rivers/streams ensuring further damage to the watercourse is minimized
 - If the stream is diverted during construction, method used to divert the flow to minimize the silt load on the stream
 - For culverts/bridges, check storm event designed for and the freeboard allowed for
 - For design storm events less than 1:100 year storm, what measures are taken to protect the public and the risk of the structure being washed away
- Storm water discharge into the watercourse/environment
 - What measures are taken to attenuate the storm water
 - Energy dissipation measures to minimize erosion at the discharge into the watercourse or environment

	REQUEST FOR QUOTATION		Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS		


- Impoundment Facilities
 - Type of facilities
 - Sizing of each facility (Design calculations), 1:50 storm event & 0.8m freeboard
 - Safety risk of each impoundment facility (Dams more than 50,000m³ & wall height more than 5m high)
 - Waste Classification Type (Norms and Standards, R.635 August 2013)
 - Barrier Layout Type (Commensurate with Waste Classification Type)
 - Design reports and drawings certified by a registered, professional civil engineer
 - Service life considerations quantified taking into account temperature effects on containment barriers
 - Alternative elements of proven equivalent performance considered, such as the replacement of –
 - granular filters or drains with geosynthetic filters or drains
 - protective soil layers with geotextiles
 - clay components with geomembranes or geosynthetic clay liners
 - All drainage layers that must contain drainage pipes of adequate size, spacing and strength to ensure atmospheric pressure within the drainage application for the service life of the facility
 - Alternative design layouts for slopes exceeding 1: 3 (vertical: horizontal) (considered provided equivalent performance is demonstrated)
 - Construction Quality Assurance (CQA);
 - Geosynthetic materials & compliance with relevant South African National Standard specifications, or any prescribed management practice or standards which ensure equivalent performance; and Consideration of the compatibility of liner material with the waste stream, in particular noting the compatibility of natural and modified clay soils exposed to waste containing salts
- Stockpile Facilities
 - Type of facilities
 - Waste Classification Type (Norms and Standards, R.635 August 2013)
 - Barrier Layout Type (Commensurate with Waste Classification Type)
 - Barrier requirements (August 2013 Article 636 NEM:WA)
 - Service life considerations quantified taking into account temperature effects on containment barriers
 - Alternative elements of proven equivalent performance considered, such as the replacement of –
 - granular filters or drains with geosynthetic filters or drains;
 - protective soil layers with geotextiles or
 - clay components with geomembranes or geosynthetic clay liners
 - All drainage layers that must contain drainage pipes of adequate size, spacing and strength to ensure atmospheric pressure within the drainage application for the service life of the landfill
 - Alternative design layouts for slopes exceeding 1:3 (vertical:horizontal) (considered provided equivalent performance is demonstrated)
 - Construction Quality Assurance (CQA)
 - Geosynthetic materials & compliance with relevant South African National Standard specifications, or any prescribed management practice or standards which ensure equivalent performance; and Consideration of the compatibility of liner material with the waste stream, in particular noting the compatibility of natural and modified clay soils exposed to waste containing salts
- Water Treatment Facilities
- Sewage Management
- Conclusion

	REQUEST FOR QUOTATION		Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS		

- Recommendations

3. Geohydrological Assessment

- The assessment must include a hydrocensus of a 5km radius.
- Should the project be mining-related, the assessment must also include Acid Mine Drainage studies (including decanting and treatment).
- Geo-hydrological assessment of the water use activity/impact – aspects in terms of geo-hydrological conditions on site
 - The method and results of the geohydrological investigation which was conducted
 - The method and results of the geophysical investigation which was conducted
 - A description of the aquifer system, as well as the major/minor aquifer
 - A description of the geohydrology at the site, including the structural geological feature
 - The current status of groundwater quality at the site
 - The groundwater pollution potential of the water uses
 - The method and results of the groundwater model which was conducted
 - The possible impact of the water uses on down-gradient resources
 - The method and results of the hydrocensus, which must be conducted within a 5km radius of the outer boundaries of site
 - A statement on whether the assessment completed is sufficient or whether a more intensive groundwater investigation is required. Should a more intensive groundwater investigation be required, this must be conducted and submitted.
 - Identification of the surrounding groundwater users which are potentially impacted upon by the water uses
 - Critical issues identified during the geohydrological investigation
- Groundwater Monitoring Program
 - A description of the existing and/or proposed monitoring program. Should the monitoring program be existing, a statement on whether the existing monitoring network is adequate or whether it must be extended.
 - Identification of monitoring boreholes available to monitor the groundwater flow regime in relation to the water uses (upstream, downstream and at the site). A statement on whether the monitoring boreholes penetrate the whole aquifer.
 - If available, the historical groundwater monitoring data must be tabulated and graphically depicted. Furthermore, a statement on whether the monitoring record is sufficient and complete is required.
 - The maintenance plan for monitoring during decommission and post closure phases, must be provided.
 - The finalised proposed monitoring program, which is sufficient for managing groundwater on site, must be provided.
 - Critical issues identified during the compilation of the groundwater-monitoring program.
- Groundwater Management Plan (including Remediation Plan)
 - Management plan submitted in terms of groundwater quality
 - Management plan submitted in terms of groundwater quantities
 - Post Closure management plan
 - Remediation of physical activity
 - Remediation of storage facilities
 - Remediation of environmental impacts
 - Remediation of water resource Impacts
 - Critical issues identified during the compilation of the groundwater management plan.
 - Should the project be mining-related, also include the following:
 - Decant points

	REQUEST FOR QUOTATION	Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS	

- Prediction of start of decant
- Plume prediction
- Impacts and mitigation measures

4. Hydrological Assessment

- This assessment must be conducted by a qualified Hydrologist and is a non-exhaustive requirement.
- An understanding of the full hydrological cycle of the catchment, including both upstream and downstream users, must be provided.
- The impact of the proposed activity on the cycle, including surface water, groundwater, wetlands, etc. must be provided.

5. Hydropedological Assessment

The information submitted must be in the Operational Guideline: Integrated Water and Waste Management Plan (IWWMP) dated February 2010, and/or Aide Memoire for WWTW, which must include the following (not limited to):

- Background
- Location of the Project
- Project Description
- Water Supply Service
- Domestic Waste Handling
- Sewage Treatment Facility
- Method Statement Summary
- Rehabilitation
- Infrastructure on the Site
- Stormwater Management Plan
- Water Uses Applied for

Water use(s)	Purpose	Volume (m ³ /a) / Dimensions	Property Description as per Title Deeds	Co-ordinates	Property Owner
Section 21(a), (b), (c), (e), (f), (g), (i) and (j)					

- Water Demand and Supply Analysis (including a water balance)
- Impacts and mitigation measures (Surface, groundwater and wetlands)

Water Use	Activity	Construction		Operation	
		Impacts	Mitigation Measures	Impacts	Mitigation Measures


- Correspondences
- Public Participation Summary
- Motivation in terms of Section 27(1) of the National Water Act, 1998

6. Stormwater Management Plan

- This assessment must be conducted in consultation with the “Best Practice Guidelines for Water Resource Protection in the South African Mining Industry” (DWAF, 2008) - Guideline 1 – Stormwater Management. No mixing of clean stormwater with any effluent (treated or untreated).
- Ensure the separation of clean and dirty stormwater as per the guideline.

7. Water Balance

- This assessment must be conducted in consultation with the “Best Practice Guidelines for Water Resource Protection in the South African Mining Industry” (DWAF, 2008) - Guideline 2 on Water and Salt balance.

	REQUEST FOR QUOTATION		Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS		

- All the water uses excluding Section 21(c) and (i) must be individually considered and represented in the water balance.

8. Wetland and Hydropedological Assessment


- This assessment must be conducted by a qualified wetland specialist, who has completed at least 10 studies under supervision and 2 on their own.
- The assessment must be completed during both the wet and dry seasons.
- The initial desktop assessment must discuss the project site and wetlands within the regulated area (i.e. within 500m of the outer boundaries of site). These must be depicted on a map.
- The ground-truthed wetlands within the regulated area must be depicted separately.
- All wetlands which may be impacted upon by the water uses (regardless of the level of impact) must be described as hydrogeomorphic units, and the Present Ecological State and Environmental Importance and Sensitivity thereof must be provided. These must be depicted separately, along with contours and flow direction in relation to the proposed development. The wetlands which will not be impacted upon must be greyed out in this image and the reason for this must be provided.
- The full Risk Assessment Matrix must be provided, as completed by a professionally registered wetland specialist (provide their registration number).
- Provide a Water Uses Table for only the Section 21(c) and (i) water uses, in the following format:

Water use(s)	Purpose	Dimensions (length, width and height) of the structure in metres	Property Description as per Title Deeds	Start and end co-ordinates of the structure	Property Owner
Section 21(c) and (i)					

- The wetland buffers must be discussed, as well as the method used to determine these.
- Provide an Impacts and Mitigation Measures Table for the Section 21(c) and (i) water uses, in the following format:

Water Use	Activity	Construction		Operation	
		Impacts	Mitigation Measures	Impacts	Mitigation Measures

- Should any wetland rehabilitation be required as part of the project, this is a separate Section 21(c) and (i) water use which must be applied for accordingly. The rehabilitation methods must also be one of the water uses, the methods for doing so must be discussed.
- Should any wetlands be destroyed because of the water uses, these must be offset as per the South African National Biodiversity Institute (SANBI) guideline. The wetland destruction and associated offsets are each separate Section 21(c) and (i) water uses which must be applied for accordingly. The motivation for wetland destruction, as well as the offset methods must be discussed.
- The wetland specialist's recommendations, proposed licence conditions and proposed licence period must be included as separate sections in the report.
- The following must be submitted as appendices:
 - Wetland Management Plan;
 - Wetland Monitoring Programme (including a map with the monitoring points);
 - Wetland Rehabilitation Plan (if applicable); and
 - Wetland Offset Plan (if applicable).

	REQUEST FOR QUOTATION		Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS		

4. Deliverables/Key Outcomes.

- Aquatic Assessment
- Wetland Assessments
- Estuary Assessment
- Other Specialist Studies
- WUL

Description	Indicative date for delivery
Draft Report for all specialist studies	31 November 2023
Final report for all specialist studies together with the all other WULA documents	30 February 2024
IWULA and technical report	31 March 2024
Water use license	31 May 2024

5. Contract term

The Contract will commence in October 2023 and obtain a Water Use Licence in May 2024.

6. Other information

- We estimate the quantity to be delivered as per the Bill of Quantity.
- We require the services to be delivered to uMngeni-uThukela Water as specified.
- Payment will be effected on successful delivery of milestones / monthly 30 days from date on invoice.

7. Mandatory documentation

- The EAP will be required to hold a minimum qualification of an Honours Degree in Natural Science, Environmental Science, Environmental Engineering or Agricultural Engineering, **(submit qualification copies)**
- Provide proof of Registration with the EAPASA

8. Other documents

N/A


9. Special conditions

The PSP may be required to make presentations, provide further information or clarification to the project team.

The PSP will be required to submit, at its own cost, a proposal in which it will show its ability to perform the tasks described in these ToR within the stipulated period. The proposal, including a work programme schedule and a detailed schedule of resources with costs, should be concise and to the point.

Proposals shall include the following:

- CVs of proposed service provider showing relevant qualifications and experience in undertaking work of similar nature;
- Demonstration of an understanding of the scope of work required;
- Work program indicating time frame and deliverables;
- A clear understanding of what is required as per the deliverables of the project outlined in these ToR must be presented;
- Cost estimates for all tasks of work required; and

	REQUEST FOR QUOTATION		Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS		

Administration including project management fees where applicable.

10. Pricing

- The bidder shall provide the price proposal as detailed in SBD 3.1
- The bidders' price must be fully inclusive, and each item must be clearly specified.
- All additional costs must be clearly specified and included in the total quotation price, (e.g. transport, labour, etc.).
- All prices must be VAT inclusive.
- The bidder is responsible for all the cost that they shall incur related to the preparation and submission of the quotation.

11. Evaluation Process

The RFQ will be evaluated using a three (03) stage evaluation approach:

11.1 First stage:

10.1.1 Administrative Requirements

- Bidder completed all RFQ Forms
- Bidder registered on National Treasury, attach current dated CSD report
- Bidder to attach BBBEE Certificate / Sworn Affidavit and CIPC document


10.1.2 Mandatory Requirements

- SBD 4 – Bidders disclosure
- The EAP will be required to hold a minimum qualification of an Honours Degree in Natural Science, Environmental Science, Environmental Engineering or Agricultural Engineering, **(submit qualification copies)**
- Provide proof of Registration with the EAPASA

11.2 Second Stage: Functionality

The functionality criteria will be evaluated based on the following:

Functionality		
The minimum qualifying Functionality Evaluation Score shall be seventy (70) points.		
The table below lists the returnable schedules that set out the scoring criteria and sub-criteria, and the percentage weighting for the score achieved against the relevant schedule:		
i.	Tenderer's Experience	30
ii.	Experience of Key Personnel	50
iii.	Method Statement	10
iv.	Preliminary Programme	10
Failure to score a single point in any of the criteria listed above will deem the bid to be non-responsive and the bidder will be disqualified.		

	REQUEST FOR QUOTATION		Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS		

10.2.1. TENDERER'S PREVIOUS EXPERIENCE (30)

The experience of the Tenderer or joint venture partners in the case of an unincorporated joint venture or consortium will be evaluated on the basis of experience in similar projects or similar areas and conditions in relation to the scope of work.


Tenderers should briefly summarize their company's experience (and that of any specialist sub-consultants, joint venture partners or consortium members) relevant to the scope of work.

Scoring of the Tenderer's Company experience will be as follows:

Description	Max Possible Score
<p>Company experience as an EAP for a similar project</p> <p><u>Submit the list of project of similar nature undertaken by the company</u></p> <ul style="list-style-type: none"> • 1 project – 15 points, • 2 projects – 30 points, • 3 projects – 45 points • 4 Projects - 60 points <p>10 additional points for every project more than 4 projects to a maximum of 100 points</p>	100

The summary table below may be used. If a separate table is prepared, it shall be put in tabular form with the same headings.

Project name and location	Duration and Year Completed	Rand Value of work incl. VAT (Fee not project value)	Company (Where the service was provided) & Type of Company (Name & Sector)	Client/Employer	Client reference Contact Details

	REQUEST FOR QUOTATION		Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS		

10.2.2. EXPERIENCE OF KEY PERSONNEL (50)

Provide relevant information as prescribed below for the following Key Personnel proposed in the tender to fulfil the following positions:

DESCRIPTION	NAME
ENVIRONMENTAL ASSESSMENT PRACTITIONER (EAP)	

The experience of each key person, relevant to the scope of work, will be evaluated from the points below:

- 1) General experience (total duration of activity), level of education and training and positions held by the key person.
- 2) The education, training and experience of the person, in the specific sector, field, subject, etc. which is directly linked to the scope of work.


A CV (**not more than 3 pages**) in the required format below, shall be provided for each team member and should be attached to this schedule. Note that Copies of Qualification and Professional Registration Certificates should be attached separately.

Each CV should be structured under the following headings:

1. Personal particulars
 - name
 - date and place of birth
 - place (s) of tertiary education and dates associated therewith
2. Qualifications
3. Overview of last 10 years of experience (project name, project duration, organization)
4. Outline of recent assignments / experience that have a bearing on the scope of work for this tender.

Scoring of the experience of key staff will be as follows:

Description	Max Possible Score
Key Personnel as an EAP for a similar project <u>Submit CV and the list of project of similar nature completed</u> <ul style="list-style-type: none"> • 1 project – 20 points, • 2 projects – 40 points, • 3 projects – 60 points 10 additional points for every project more than 3 projects to a maximum of 100 points	100

	REQUEST FOR QUOTATION	
	RFQ Number: Q24/140AS	Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023

10.2.3. METHOD STATEMENT (10)

The method statement must respond to the Scope of Work and outline the proposed approach/methodology. The method statement should articulate what value the Tenderer will add by in achieving the stated objectives for the project.

The Tenderer must as such explain his / her understanding of the objectives of the assignment and the Purchaser's stated and implied requirements, highlight the issues of importance, and explain the technical approach they would adopt to address them. The approach paper should explain the methodologies which are to be adopted, and demonstrate the compatibility of those methodologies with the proposed approach. The approach should also include a quality plan which outlines processes, procedures and associated resources, applied by whom and when, to meet the requirements and indicate how risks will be managed and what contribution can be made regarding value management.

The Tenderer must attach his / her approach paper to this page. The approach paper should not be longer than 5 pages.


The scoring of the approach paper will be as follows:

No submission (Score 0)	No method statement submitted
Poor (Score 40)	The technical approach and / or methodology is poor / is unlikely to satisfy project objective or requirements. The tenderer has misunderstood certain aspects of the scope of work or does not deal with the critical aspect of the project)
Satisfactory (Score 70)	The approach is generic but tailored to address the general project objectives and methodology. The approach does not deal with the critical characteristics of the project. The quality plan, the manner in which risk is to be managed is very generic.
Good (Score 90)	The approach is specifically tailored to address the specific project objectives and methodology and is sufficiently flexible to accommodate changes that may occur during execution. The quality plan and approach to managing risk is specifically tailored to the critical characteristics of the project.
Excellent (Score 100)	Besides meeting the "good" rating, the important issues are approached in an innovative and efficient way, indicating that the Tenderer has outstanding knowledge or state-of-the-art approaches. The approach details ways to improve the project outcomes and the quality of the outputs.

10.2.4 PRELIMINARY PROGRAMME: 10

The Tenderer shall detail below or attach a preliminary programme reflecting the proposed sequence and tempo of execution of the main work components. The programme shall be in accordance with the information supplied in the Contract, requirements of the Project Specifications and with all other aspects of his Tender.

The programme to illustrate activities, sequencing and timelines as described and summarised in the Method Statement (6.2.3) above. The bidder should note that the contract is required to be completed, commissioned and handed over to the Purchaser by the date specified in the contract data.

	REQUEST FOR QUOTATION	Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS	

PROGRAMME														
Component / sub component	WEEKS / MONTHS													


Note: The programme must be based on the completion time as specified in the Contract Data. No other completion time that may be indicated on this programme will be regarded as an alternative offer, unless it is listed in supported by a detailed statement to that effect, all as specified in the Tender Data.

Scoring of the preliminary programme will be as follows:

	Suitability of programme
No submission (score 0)	No preliminary programme submitted
Poor (score 40)	Programme is inadequate and/or considered unrealistic and does not achieve required completion date
Satisfactory (score 70)	Programme is considered realistic and adequately shows the main components and compliance with completion date
Good (score 90)	Programme is considered realistic and includes the main components and sub subcomponents and compliance with completion date
Very good (score 100)	Programme is considered realistic and includes the main components and subcomponents and linkages and compliance with completion date

10.3 Third Stage: Price and Preference goals


- Subsequent to the evaluation of mandatory/ administrative requirements, the second stage of evaluation of the bids will be in respect of price and preferential procurement only.
- Tenderer is tax compliant
- In compliance with the Preferential Procurement Regulations 2022, the 80/20 preference point system is applicable: points for this bid shall be awarded for:

	REQUEST FOR QUOTATION		Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS		

- a) Price; and (80) and Preference as defined in SBD 6.1 (20)
- The Preference Goals that have been identified for this bid is stipulated in SBD 6.1
 - Preferential goals and applicable points for this tender in terms of Preferential Procurement Regulations 2022, are indicated in the table below:

	Description	80/20	Evidence to be provided
HDI	An entity which is at least 51% owned by black people	10	BBBEE Certificate / Sworn Affidavit
RDP	South African owned Company	10	CIPC / CSD
Total points for preferential goals		20	

- Failure on the part of a bidder to submit proof or documentation required in terms of this RFQ to claim points for specific goals, will be interpreted to mean that preference points for specific goals are not claimed by the bidder.
- UW reserves the right to require of a bidder, either before a bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by UW.
- UW reserves the right to conduct negotiations with the qualifying bidder/s regarding any terms and conditions, including price(s), of a proposed contract where applicable UW reserves the right not to accept the lowest financial offer or any offer

	REQUEST FOR QUOTATION	Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS	

PRICING SCHEDULE (BOQ)– FIRM PRICES

NOTE:


- a) Only firm prices will be accepted. Non-Firm prices (including prices subject to rates of exchange variations) will not be considered
- b) In cases where different delivery points influence the pricing, a separate pricing schedule must be submitted for each delivery point

Name of bidder _____	RFQ number _____
Closing Time _____	Closing date _____


OFFER TO BE VALID FOR _____ CALENDER DAYS FROM THE CLOSING DATE OF QUOTE.

BILL OF QUANTITIES - PRICE TABLE

No	Work Stage Description	Units	Quantity (A)	Rate (B)	Amount (R) (AxB) Excl VAT
Water Use License Application:					
1.	Consultation with the authorities,	Sum	1		R
2.	Authority fees	Sum	1		R
3.	Site inspection to assess the extent of the application	Sum	1		R
4.	Public Participation for WULA	Sum	1		R
5.	Land owner consent forms	No.	5		R
6.	Ecological Assessment report (with risk assessment)	Sum	1		R
7.	Wetland Assessment Report (with risk assessment)	Sum	1		R
8.	Aquatic Assessment Report (with risk assessment)	Sum	1		R
9.	Estuary assessment report (with risk assessment)	Sum	1		R
10.	Hydrological Assessments	Sum	1		R
11.	Hydropedological Assessment	Sum	1		R
12.	Storm water Management Plans	Sum	1		R
13.	IWULA and Technical Report	Sum	1		R
14.	Screening report of proposed activity	Sum	1		R
15.	Rehab plan and Rehab BoQ	Sum	1		R

	REQUEST FOR QUOTATION		Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS		

16.	Adhoc site visits and assessments	No.	5		R
A SUB TOTAL					
B CONTINGENCY @ 10% OF A					
C SUB TOTAL A+B					
D Vat (15%) OF C					
E GRAND					
TOTAL (price SA Rands with all applicable taxes included)					
Does the offer comply with the specification(s)		YES		NO	
If not to specification, indicate deviation(s)					
Delivery date					
I (full name) _____, in my capacity as _____, the duly authorized representative of _____ (business name) hereby declares that the offer is in accordance with the attached specification, notes to suppliers & accepts all conditions/clauses contained in the said documents.					
Signature of duly authorized representative		_____		DATE: _____	

	REQUEST FOR QUOTATION	Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS	

AUTHORITY TO SIGN

RFQ NO: _____

Description: _____

Close Corporation / Company / Partnership / Trust /Sole proprietor or Sole trader

Company Name: _____

Registration Number: _____

Resolution Of The Directors Of The Company etc. resolved that _____, in his/her capacity as _____, is authorized to make applications on behalf of the Close Corporation / Company / Partnership / Trust /Sole proprietor or sole trader for:


Any documentation relating to the business (which is not necessarily a change of ownership). The nominated person will also have access to webpage for the business. Signature(s) for Close Corporation / Company / Partnership / Trust/ Sole proprietor or sole trader.

(Sole member still must sign this resolution)

Signature of members:

Name	Signature	Date
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____
6. _____	_____	_____

NB: FAILURE TO COMPLETE, SIGN AND DATE THE RESOLUTION AS OUTLINED ABOVE WILL RESULT IN THE TENDERER RENDERED INCOMPLETE AND **WILL BE DISQUALIFIED/ ALTERNATIVELY THE TENDERER MAY ATTACH A SIGNED COMPANY RESOLUTION ON A COMPANY LETTERHEAD**

	REQUEST FOR QUOTATION	Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS	

SBD 4 - BIDDER'S DISCLOSURE

1. PURPOSE OF THE FORM

Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

2. Bidder's declaration

2.1 Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest¹ in the enterprise, employed by the state **YES/NO**


2.1.1 If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

Full Name	Identity Number	Name of State institution

2.2 Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution? **YES/NO**

2.2.1 If so, furnish particulars:

¹ the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.

	REQUEST FOR QUOTATION	Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS	

2.3 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are bidding for this contract? **YES/NO**


2.3.1 If so, furnish particulars:

3 DECLARATION

I, the undersigned, (name)_____ in submitting the accompanying bid, do hereby make the following statements that I certify to be true and complete in every respect:

- 3.1 I have read and I understand the contents of this disclosure;
- 3.2 I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect;
- 3.3 The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium² will not be construed as collusive bidding.
- 3.4 In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.
- 3.5 The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.
- 3.6 There have been no consultations, communications, agreements or arrangements made by the bidder with any official of the procuring institution in relation to this procurement process prior to and during the bidding process except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.
- 3.7 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to

² Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

	REQUEST FOR QUOTATION	Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS	

the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 1, 2 and 3 ABOVE IS CORRECT.


I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

Signature

Date

Position

Name of bidder

	REQUEST FOR QUOTATION	Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS	

PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL PROCUREMENT REGULATIONS 2022 (SBD 6.1)

This preference form must form part of all tenders invited. It contains general information and serves as a claim form for preference points for specific goals.

NB: BEFORE COMPLETING THIS FORM, TENDERERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF THE TENDER AND PREFERENTIAL PROCUREMENT REGULATIONS, 2022

1. GENERAL CONDITIONS

1.1 The following preference point systems are applicable to invitations to tender:

the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and

the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

1.2 To be completed by the organ of state

The applicable preference point system for this tender is the **80/20** preference point system.

1.3 Points for this tender (even in the case of a tender for income-generating contracts) shall be awarded for:

- (a) Price; and
- (b) Specific Goals.


1.4 To be completed by the organ of state:

The maximum points for this tender are allocated as follows:

	POINTS
PRICE	80
SPECIFIC GOALS	20
TOTAL POINTS FOR PRICE AND SPECIFIC GOALS	100

1.5 Failure on the part of a tenderer to submit proof or documentation required in terms of this tender to claim points for specific goals with the tender, will be interpreted to mean that preference points for specific goals are not claimed.

1.6 The organ of state reserves the right to require of a tenderer, either before a tender is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the organ of state

	REQUEST FOR QUOTATION		Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS		

2. DEFINITIONS

- (a) **“tender”** means a written offer in the form determined by an organ of state in response to an invitation to provide goods or services through price quotations, competitive tendering process or any other method envisaged in legislation;
- (b) **“price”** means an amount of money tendered for goods or services, and includes all applicable taxes less all unconditional discounts;
- (c) **“rand value”** means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes;
- (d) **“tender for income-generating contracts”** means a written offer in the form determined by an organ of state in response to an invitation for the origination of income-generating contracts through any method envisaged in legislation that will result in a legal agreement between the organ of state and a third party that produces revenue for the organ of state, and includes, but is not limited to, leasing and disposal of assets and concession contracts, excluding direct sales and disposal of assets through public auctions; and
- (e) **“the Act”** means the Preferential Procurement Policy Framework Act, 2000 (Act No. 5 of 2000).

3. FORMULAE FOR PROCUREMENT OF GOODS AND SERVICES

3.1. POINTS AWARDED FOR PRICE

3.1.1 THE 80/20 OR 90/10 PREFERENCE POINT SYSTEMS

A maximum of 80 or 90 points is allocated for price on the following basis:

80/20	or	90/10	
$P_s = 80 \left(1 - \frac{P_t - P_{min}}{P_{min}} \right)$	or	$P_s = 90 \left(1 - \frac{P_t - P_{min}}{P_{min}} \right)$	

Where

- Ps = Points scored for price of tender under consideration
Pt = Price of tender under consideration
Pmin = Price of lowest acceptable tender

3.2. FORMULAE FOR DISPOSAL OR LEASING OF STATE ASSETS AND INCOME GENERATING PROCUREMENT


3.2.1. POINTS AWARDED FOR PRICE

A maximum of 80 or 90 points is allocated for price on the following basis:

80/20	or	90/10	
$P_s = 80 \left(1 + \frac{P_t - P_{max}}{P_{max}} \right)$	or	$P_s = 90 \left(1 + \frac{P_t - P_{max}}{P_{max}} \right)$	

Where

- Ps = Points scored for price of tender under consideration
Pt = Price of tender under consideration
Pmax = Price of highest acceptable tender

	REQUEST FOR QUOTATION		Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS		

4. POINTS AWARDED FOR SPECIFIC GOALS

- 4.1. In terms of Regulation 4(2); 5(2); 6(2) and 7(2) of the Preferential Procurement Regulations, preference points must be awarded for specific goals stated in the tender. For the purposes of this tender the tenderer will be allocated points based on the goals stated in table 1 below as may be supported by proof/ documentation stated in the conditions of this tender:
- 4.2. In cases where organs of state intend to use Regulation 3(2) of the Regulations, which states that, if it is unclear whether the 80/20 or 90/10 preference point system applies, an organ of state must, in the tender documents, stipulate in the case of—
- an invitation for tender for income-generating contracts, that either the 80/20 or 90/10 preference point system will apply and that the highest acceptable tender will be used to determine the applicable preference point system; or
 - any other invitation for tender, that either the 80/20 or 90/10 preference point system will apply and that the lowest acceptable tender will be used to determine the applicable preference point system, then the organ of state must indicate the points allocated for specific goals for both the 90/10 and 80/20 preference point system.

Table 1: Specific goals for the tender and points claimed are indicated per the table below.

(Note to organs of state: Where either the 90/10 or 80/20 preference point system is applicable, corresponding points must also be indicated as such.)

Note to tenderers: The tenderer must indicate how they claim points for each preference point system.)

The specific goals allocated points in terms of this tender	Number of points allocated (80/20 system) (To be completed by the organ of state)	Number of points claimed (80/20 system) (To be completed by the tenderer)
An entity which is at least 51% owned by black people	10	
South African owned Company	10	


DECLARATION WITH REGARD TO COMPANY/FIRM

4.3. Name of company/firm _____

4.4. Company registration number:


TYPE OF COMPANY/ FIRM [TICK APPLICABLE BOX]

- ☐ Partnership/Joint Venture / Consortium
- ☐ One-person business/sole propriety
- ☐ Close corporation
- ☐ Public Company
- ☐ Personal Liability Company
- ☐ (Pty) Limited
- ☐ Non-Profit Company
- ☐ State Owned Company

	REQUEST FOR QUOTATION	Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS	

- 4.5. I, the undersigned, who is duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the specific goals as advised in the tender, qualifies the company/firm for the preference(s) shown and I acknowledge that:
- i) The information furnished is true and correct;
 - ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;
 - iii) In the event of a contract being awarded as a result of points claimed as shown in paragraphs 1.4 and 4.2, the contractor may be required to furnish documentary proof to the satisfaction of the organ of state that the claims are correct;
 - iv) If the specific goals have been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the organ of state may, in addition to any other remedy it may have –
 - (a) disqualify the person from the tendering process;
 - (b) recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;
 - (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
 - (d) recommend that the tenderer or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied; and
 - (e) forward the matter for criminal prosecution, if deemed necessary.

SIGNATURE(S) OF TENDERER(S)	_____
SURNAME AND NAME:	_____
DATE:	_____
ADDRESS:	_____

	REQUEST FOR QUOTATION	Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS	

SBD 7.2 CONTRACT FORM - RENDERING OF SERVICES

THIS FORM MUST BE FILLED IN DUPLICATE BY BOTH THE SERVICE PROVIDER (PART 1) AND THE PURCHASER (PART 2). BOTH FORMS MUST BE SIGNED IN THE ORIGINAL SO THAT THE SERVICE PROVIDER AND THE PURCHASER WOULD BE IN POSSESSION OF ORIGINALLY SIGNED CONTRACTS FOR THEIR RESPECTIVE RECORDS.

PART 1 (TO BE FILLED IN BY THE SERVICE PROVIDER)

1. I hereby undertake to render services described in the attached bidding documents to uMngeni-Uthukela Water in accordance with the requirements and task directives / proposals specifications stipulated in above mentioned RFQ Number at the price/s quoted. My offer/s remain binding upon me and open for acceptance by the Purchaser during the validity period indicated and calculated from the closing date of the bid.
2. The following documents shall be deemed to form and be read and construed as part of this agreement:
 - (i) Bidding documents, viz
 - Invitation to bid;
 - Proof of tax compliance status;
 - Pricing schedule(s);
 - Filled in task directive/proposal;
 - Preference claim form for Preferential Procurement in terms of the Preferential Procurement Regulations;
 - Bidder's Disclosure form;
 - Special Conditions of Contract;
 - (ii) General Conditions of Contract; and
 - (iii) Other (specify)
3. I confirm that I have satisfied myself as to the correctness and validity of my bid; that the price(s) and rate(s) quoted cover all the services specified in the bidding documents; that the price(s) and rate(s) cover all my obligations and I accept that any mistakes regarding price(s) and rate(s) and calculations will be at my own risk.
4. I accept full responsibility for the proper execution and fulfilment of all obligations and conditions devolving on me under this agreement as the principal liable for the due fulfillment of this contract.
5. I declare that I have no participation in any collusive practices with any bidder or any other person regarding this or any other bid.
6. I confirm that I am duly authorised to sign this contract.

NAME (PRINT) _____


CAPACITY _____

SIGNATURE _____

NAME OF TENDERER _____

DATE _____

WITNESSES	
1.	_____
2.	_____
DATE:	_____

	REQUEST FOR QUOTATION	Form No: UW-RFQ-2 Version No: 3/2023 Effective Date: Jul 2023
	RFQ Number: Q24/140AS	

CONTRACT FORM - RENDERING OF SERVICES (SBD 7.2)

PART 2 (TO BE FILLED IN BY THE PURCHASER)

- I _____ in my capacity as _____ accept your quotation under reference number _____ dated _____ for the rendering of services indicated hereunder and/or further specified in the annexure(s).
- An official order indicating service delivery instructions is forthcoming.
- I undertake to make payment for the services rendered in accordance with the terms and conditions of the contract, within 30 (thirty) days after receipt of an invoice.

DESCRIPTION OF SERVICE	
PRICE (ALL APPLICABLE TAXES INCLUDED)	
CONTRACT TERM / COMPLETION DATE	
TOTAL PREFERENCE POINTS CLAIMED	
An entity which is at least 51% owned by black people	
South African owned Company	

- I confirm that I am duly authorised to sign this contract.

SIGNED AT _____

ON: _____

NAME (PRINT): _____

SIGNATURE: _____

OFFICIAL STAMP

WITNESSES

1. _____

2. _____

DATE: _____