

## NATIONAL LOTTERIES COMMISSION

### THE APPOINTMENT OF A SUPPLEMENTARY PANEL OF IT AUDIT SERVICE PROVIDERS IN A CO-SOURCED CAPACITY AT THE NATIONAL LOTTERIES COMMISSION FOR A PERIOD OF TWO (2) YEARS

BID PROCESS	BID REQUIREMENTS
RFP Number	<b>NLC2025-012</b>
RFP Description	THE APPOINTMENT OF A SUPPLEMENTARY PANEL OF IT AUDIT SERVICE PROVIDERS IN A CO- SOURCED CAPACITY AT THE NATIONAL LOTTERIES COMMISSION FOR A PERIOD OF TWO (2) YEARS
RFP Issue Date	21 August 2025
Compulsory Briefing Session	<b>02 September 2025 @11:00</b>
Place where advertised	e-Tender and NLC Website (ONLY)
Submissions	<p>All submissions Must be addressed to Supply Chain Management, NLC Submission through USB at the below address: (USBs with passwords will not be considered)</p> <p><b>333 Grosvenor Street, Block D, Hatfield Gardens Hatfield, Pretoria, 0083</b></p> <p>Enquiries ONLY can be emailed to: <a href="mailto:penelope@nlcsa.org.za">penelope@nlcsa.org.za</a></p>
Closing date and time	<b>22 September 2025 @11:00</b>
RFPs Validity Period	The validity period of the quotation requested must be 120 days from the closing date.

# **THE APPOINTMENT OF A SUPPLEMENTARY PANEL OF IT AUDIT SERVICE PROVIDERS IN A CO-SOURCED CAPACITY AT THE NATIONAL LOTTERIES COMMISSION FOR A PERIOD OF TWO (2) YEARS**

## **SECTION 1: BACKGROUND, OVERVIEW AND RFP SCOPE OF REQUIREMENTS**

### **1. INTRODUCTION**

The National Lotteries Commission was established in terms of the Lotteries Act No. 57 of 1997, as amended (Lotteries Act) to regulate the National Lottery as well as other lotteries and societies.

The NLC aims to ensure that funds raised through the National Lottery are distributed equitably and expeditiously across South Africa in order to advance social upliftment of communities in need with the aim of addressing poverty and reducing inequalities in line with the National Development Plan.

The Commission is required to apply principles of openness and transparency in the exercise of its functions assigned to it in terms of the Lotteries Act No 57 of 1997.

### **2. BACKGROUND**

PFMA S(51)(1)(a) requires that “An accounting authority for a public entity must ensure that that public entity has and maintains effective, efficient and transparent systems of financial and risk management and internal control. In line with the requirements of the Public Finance Management Act No. 1 of 1999 (“PFMA”) and Treasury Regulations, the NLC seeks to appoint a supplementary panel of service providers to render IT audit services in a co-sourced capacity for a period of two (2) years.

### **3. OBJECTIVE**

The objectives are as follows:

- To provide independent and objective assurance to management and the board regarding the adequacy, efficiency, and effectiveness of internal controls in place.
- To ensure that IT audit is conducted in accordance with the International Standards set by the Institute of Internal Auditors.
- To review Risk, Ethics and Governance processes and therefore ensure consistency with the NLC values and to improve the image of the NLC.
- To assist the organisation in identifying and evaluating significant exposures to risk and contributing to the improvement of risk management.

### **4. ROTATION CRITERIA**

- 4.1. The supplementary panel will consist of consulting firms who have achieved the minimum evaluation threshold of 75% in the respective category.
- 4.2. The selection of service providers from the supplementary panel will be on a rotational basis.
- 4.3. All successful bidders will be afforded an opportunity to provide quotations (pricing) for each scope of work to be issued.
- 4.4. The bidder with the lowest pricing will then be considered. The successful bidder may be placed on a cool-off period and only be considered again after another different bidder has been appointed for a different work on the same category.
- 4.5. For a bidder to be on a supplementary panel will not guarantee assignment of work.

### **5. SCOPE OF WORK**

Scope of work entails the following:

#### **4.1 The successful supplementary panel of IT audit service providers will be required to:**

- 4.1.1 Execute allocated audit assignments at the NLC in line with the International Standards set by the Institute of Internal Auditors.
- 4.1.2 Provide the NLC with skilled and experienced auditors and supervisors to conduct IT audit projects according to the internal audit plan that may include the following areas:
  - (a) IT Audit: NLC Audit Universe;
  - (b) Information Technology (IT) audits and/or IT consulting services; and
  - (c) Consulting engagements.
- 4.1.3 Demonstrate knowledge, understanding and utilisation of Information's systems that includes Oracle Enterprise Resource Plan (ERP) reviews;
- 4.1.4 To conduct follow-up audits on the implementation of agreed audit action plans;
- 4.1.5 To assist the NLC to strengthen its internal control environment by considering fraud risks in allocated audit projects;

## **6. Deliverables**

- The successful bidder to report directly to the Chief Audit Executive (CAE) and/ or his representative and shall conduct/prepare reports as have directed.
- In carrying out its support functions to the CAE, the appointed bidder shall produce the following:
  - Prepare for approval by the CAE, an engagement letter indicating details of budgets and timeframes and the detail scope of the allocated Information Technology audits.
  - Submit audit reports after completion of projects signed by project director to the CAE.
  - Submit working papers of audit projects to the CAE after finalisation of the audit projects within a week.
  - Prepare reports for the Board Audit and Risk Committee (BARC) meetings as and when required by the CAE.
  - To ensure that the co-sourced IT audit support is conducted in accordance with the international standards as set by the Institute of Internal Auditors and the NLC internal audit methodology.
  - Transfer skills to NLC IT Audit employees as and when required.

Assist NLC in achieving objectives by evaluating and developing recommendations for the enhancement or improvement of the process through which:

- Objectives and values are established and communicated;
- The accomplishment of objectives is monitored;
- Accountability is ensured and
- Corporate values are preserved.

## **COMPOSITION OF TEAM AND CV TEMPLATES**

### **IT AUDIT**

It is the bidders' responsibility to clearly indicate in their proposal who is the Partner / Director for IT Audit, IT Audit Manager and two (2) IT Auditor. Bid Evaluation Committee members can only evaluate and score according to what is shown or reflected in a proposal.

Key Staff members must be in possession of the following qualifications:

- Partner or Director IT Audit should possess a relevant Postgraduate Academic Qualification (NQF 8) in Internal Auditing or Accounting or IT or Computer Science or Equivalent and must
- be a Certified Information Systems Auditor (CISA).

- The IT Audit Manager should possess a relevant qualification (NQF 7) in either auditing or, accounting or Computer science or Equivalent and must be a Certified Information Systems Auditor (CISA).
- Two (2) IT Auditors should hold an NQF 7 qualification in auditing or accounting or IT or Computerscience or Equivalent and must be a Certified information systems auditor (CISA).

Key Staff members must have the following years' experience:

- IT Audit Partner or director should have at least 3 years of professional experience in overseeing IT Audit projects on Partner / director Level. *NB: The number of Years of experience at Partner/ Director level must be clearly indicated on the CV.*
- IT Audit Manager should have at least 5 years' professional experience in leading an IT audit team. *NB: The number of Years of experience at Manager level must be clearly indicated on the CV.*
- Two (2) IT Auditors should have at least two years' professional experience in conducting IT Audits.

Bidders must submit comprehensive CVs of all key staff. *The CVs must be supported by copies of all qualifications and certifications obtained as indicated on the CV.* To assist with the evaluation, a Template is provided in Annexure A.

**NLC reserves the right to verify if indeed the proposed resource/ team member is employed by the audit firm.**

#### **Other requirements:**

- The staff should maintain their objectivity by remaining independent of the activities they audit.
- There must be professionally qualified supervisors present throughout the duration of the contract.
- The NLC will not be billed for any unproductive or duplicated time spent on assignments, for any reason.
- Sufficient time must be spent on assignments to ensure that quality is maintained at the highest standards.
- The IT audit service provider will be responsible for its own hardware and technical software to adequately perform its functions.
- Independence from the external audit activities (given that most service providers are also providing external audit services through AG).

## **7. Duration of the Project**

- The expected duration of the project is for a period of 2 years from the date of signing of the service level agreement (SLA).

## SECTION 2: NOTICE TO BIDDERS

### 1. Terms and conditions of Request for Proposals (RFP)

- 1.1 This document may contain confidential information that is the property of the NLC.
- 1.2 No part of the contents may be used, copied, disclosed, or conveyed in whole or in part to any party in any manner whatsoever other than for preparing a proposal in response to this RFP without prior written permission from the NLC.
- 1.3 All copyright and intellectual property herein vests with the NLC.
- 1.4 Late and incomplete submissions will not be accepted.
- 1.5 No services must be rendered, or goods delivered before an official NLC Purchase Order form has been received.
- 1.6 This RFP will be evaluated in terms of the 80/20 preference point system.
- 1.7 Suppliers are required to register on the Central Supplier Database at [www.csd.gov.za](http://www.csd.gov.za).
- 1.8 Suppliers must provide their CSD registration number (and attach a CSD Registration report) and ensure that their tax matters are compliant.
- 1.9 All questions regarding this RFP must be forwarded to [penelope@nlcsa.org.za](mailto:penelope@nlcsa.org.za),
- 1.10 Any supplier who has reasons to believe that the RFP specification is based on a specific brand must inform the NLC via the email addressed on 1.9.

### 2. General rules and instructions

- 2.1 News and press releases
  - 2.1.1 Bidders or their agents shall not make any news releases concerning this RFP or the awarding of the same or any resulting agreement(s) without the consent of, and then only in co-ordination with, the NLC.
- 2.2 Precedence of documents
  - 2.2.1 This RFP consists of a number of sections. Where there is a contradiction in terms between the clauses, phrases, words, stipulations, or terms and herein referred to generally as stipulations in this RFP and the stipulations in any other document attached hereto, or the RFP submitted hereto, the relevant stipulations in this RFP shall take precedence.
  - 2.2.2 Where this RFP is silent on any matter, the relevant stipulations addressing such matter, and which appear in section 217 of the constitution of the republic shall take precedence. Bidders shall refrain from incorporating any additional stipulations in its proposal submitted in terms hereof other than in the form of a clearly marked recommendation that the NLC may in its sole discretion elect to import or to ignore. Any such inclusion shall not be used for any purpose of interpretation unless it has been so imported or acknowledged by the NLC.

It remains the exclusive domain and election of the NLC as to which of these stipulations are applicable and to what extent. Bidders are hereby acknowledging that the decision of the commission in this regard is final and binding. The onus to enquire and obtain clarity in this regard rests with the Bidder(s). The Bidder(s) shall take care to restrict its enquiries in this regard to the most reasonable interpretations required to ensure the necessary consensus.

- 2.3 Preferential procurement reform
  - 2.3.1 The commission supports B-BBEE as an essential ingredient of its business. In accordance with government policy, the NLC insists that the private sector demonstrates its commitment and track record to B-BBEE in the areas of ownership (shareholding), skills transfer, employment equity and procurement practices (SMME Development) etc.

## 2.4 National Industrial Participation Programme

2.4.1 The Industrial Participation policy, which was endorsed by Cabinet on 30 April 1997, is applicable to contracts that have an imported content. The NIP is obligatory and therefore must be complied with. Bidders are required to sign and submit the Standard Bidding Document (SBD).

## 2.5 Language

2.5.1 Bids shall be submitted in English.

## 2.6 Gender

2.6.1 Any word implying any gender shall be interpreted to imply all other genders.

## 2.7 Headings

2.7.1 Headings are incorporated into this RFP document and submitted in response thereto, for ease of reference only and shall not form part thereof for any purpose of interpretation or for any other purpose.

## 2.8 Occupational Injuries and Diseases Act 13 of 1993

2.8.1 The Bidder warrants that all its employees (including the employees of any sub-contractor that may be appointed) are covered in terms of the Compensation for Occupational Injuries and Diseases Act 13 of 1993 and that the cover shall remain in force for the duration of the adjudication of this RFP and/ or subsequent agreement. The commission reserves the right to request the Bidder to submit documentary proof of the Bidder's registration and "good standing" with the Compensation Fund, or similar proof acceptable to the commission.

## 2.9 Processing of the Bidder's Personal Information

2.9.1 All Personal Information of the Bidder, its employees, representatives, associates and sub-contractors ("Bidder Personal Information") required under this RFP is collected and processed for the purpose of assessing the content of its tender proposal and awarding the bid. The Bidder is advised that Bidder Personal Information may be passed on to third parties to whom the commission is compelled by law to provide such information. For example, where appropriate, the commission is compelled to submit information to National Treasury's Database of Restricted Suppliers.

2.9.2 All Personal Information collected will be processed in accordance with POPIA and with the commission Data Privacy Policy.

2.9.3 The following persons will have access to the Personal Information collected:

2.9.3.1 The commission personnel participating in procurement/award procedures; and

2.9.3.2 Members of the public: within seven working days from the time the bid is awarded, the following information will have to be made available on National Treasury's e-Tender portal:

2.9.3.3 contract description and bid number.

2.9.3.4 names of the successful bidder(s) and preference points claimed.

2.9.3.5 the contract price(s) (if possible).

2.9.3.6 contract period.

2.9.3.7 names of directors; and

2.9.3.8 date of completion/award.

2.9.4 The commission will ensure that the rights of the Bidder and of its employees and representatives (i.e., the right of access and the right to rectify) are effectively guaranteed in accordance with the procedures as specified in the commission PAIA manual.

- 2.9.5 In signing this document, the Bidder consents to the use of its Personal Information for the purposes as specified in section 2.9.1 above.

### **3. Compulsory Briefing Session**

Bidders required to attend a Compulsory briefing session on the **02 September 2025 at 11:00** at below address:

National Lotteries Commission  
333 Grosvenor Street  
Block D, Hatfield Gardens Hatfield,  
Pretoria  
0083

### **4. Validity Period**

- 4.1 The Commission requires a validity period of 120 Business Days [from closing date] against this RFP.
- 4.2 Bidders are to note that they may be requested to extend the validity period of their bids, on the same terms and conditions, if the internal evaluation process is not finalised within the validity period.

### **5. National Treasury's Central Supplier Database**

- 5.1 Bidders are required to self-register on National Treasury's Central Supplier Database (CSD) which has been established to centrally administer supplier information for all organs of state and facilitate the verification of certain key supplier information.
- 5.2 The Commission may not award business to a bidder who has failed to register on the CSD.
- 5.3 Only foreign suppliers with no local registered entity need not register on the CSD.
- 5.4 The CSD can be accessed at <https://secure.csd.gov.za/>

### **6. Confidentiality**

- 6.1 Bids submitted for this Request for Proposals will not be revealed to any other bidders and will be treated as contractually binding;
- 6.2 The Commission reserves all the rights afforded to it by the POPIA in the processing of any of its information as contained in Bid Proposals.
- 6.3 The Bidder acknowledges that it will obtain and have access to personal information of The NLC and agrees that it shall only process the information disclosed by the NLC in terms of this bid award and only for the purposes as detailed in this RFP and in accordance with any applicable law.
- 6.4 The Bidder shall notify the NLC in writing of any unauthorised access to personal information and the information of a third party, through cybercrimes or suspected cybercrimes, in its knowledge and report such crimes or suspected crimes to the relevant authorities in accordance with applicable laws, after becoming aware of such.

### **7. Communication**

- 7.1 Specific queries relating to this RFP should be submitted [penelope@nlcsa.org.za](mailto:penelope@nlcsa.org.za), before the



- 7.2 In the interest of fairness and transparency the NLC's response to such a query may be Made available to other bidders.
- 7.3 It is prohibited for bidders to attempt, either directly or indirectly, to canvass any officer or employee of the NLC in respect of this RFP between the closing date and the date of the award of the business.
- 7.4 Bidders found to be in collusion with one another will be automatically disqualified and restricted from doing business with organs of state for a specified period.

## **8. SUPPLIER PERFORMANCE**

- 8.1 The National Lotteries Commission conducts regular performance reviews in accordance with the requirements for the classification of the contract and or stakeholder by making use of supplier evaluation forms. The evaluation is conducted against the deliverables or scope of the contract with a minimum of an annual review done for contracts longer than a year and a review at completion of contract for those contracts less than a year.
- 8.2 Ad-hoc performance reviews shall be conducted where non-performance is identified outside the review period.
- 8.3 Non-performance will be addressed with at least a formal letter advising specific non-performing areas and stating remedial action/s required within specific time frames. Non-adherence to remedial actions shall lead to escalating performance management actions.
- 8.4 Any party to this agreement may request to participate in a joint performance review where appropriate and seek continuous improvement opportunities.



### Section 3: EVALUATION CRITERIA

The six (6) phases evaluation criteria will be considered in evaluating the proposals, being:

#### Stage 1: Tender Closing and Opening

##### 1.1 Tender closing details

The deadline for Tender submission is **22 September 2025 @11:00** Standard South African Time. Any late tenders will not be accepted. Tenders are to be submitted to the NLC's tender box at the following physical address:

National Lotteries Commission  
333 Grosvenor Street  
Block D, Hatfield  
Gardens Hatfield,  
Pretoria  
0083

##### 1.2 Bid Formats

Bid submissions must be submitted in a PDF format that is protected from any modifications, deletions, or additions.

Financial/pricing information must be presented in a separate folder from the Technical/Functional Response information. The onus is on the Bidder to ensure that all mandatory and required documents are included in the electronic submission.

Submissions must be prominently marked with the full details of the tender namely Bidder's Name, Tender No and Tender Title.

Tender submissions received after submission date and time will be declared late and will not be accepted for consideration by the NLC.

The NLC will not be responsible for any failure or delay in the submission or receipt of the bid including but not limited to:

- Traffic.
- Struggling to find parking.
- Courier arriving late.

**Only USBs will be accepted. Password protected USBs will not be evaluated. Links to external references (websites, etc. will not be accepted for evaluation). (No hard copies will be accepted)**

#### Stage 2: Administrative Compliance

All bid respondents must submit the relevant documents that comply with administrative compliance, which will include the following:

Evaluation Criteria	Supporting Document/Content
SCM - SBD 1 - Invitation to Bid	Fully Completed Standard and duly signed
SCM - SBD 6.1 - Preference Points Claim Form in terms of the Preferential Procurement Regulations 2022	Fully Completed Standard and duly signed
CSD Registration	Proof of CSD registration
Original Signed consent form in terms of the Protection of Personal Information Act No.4 2013 (POPIA)	POPIA Consent Form
BBBEE Certificate in terms of Codes of Good Practice-Valid	A valid BEE Certificate/Sworn affidavit

### Stage 3: Mandatory Compliance

All bid respondents must submit mandatory documents that comply with all mandatory requirements. Bids that do not fully comply with the mandatory requirements will be disqualified and will not be considered for further evaluation. The Mandatory Compliance Evaluation will include the following:

Evaluation Criteria	Supporting Document
1. In the event of the bidder being in a joint venture (JV), a signed JV agreement must be submitted (where applicable)	JV Agreement
2. Bidders must submit a fully complete declaration of interest form (failure to declare honestly will lead to bidder being disqualified)	SBD 4
3. Pricing Proposal	Completed Pricing Schedule
4. <i>Only bidders who are compliant with the requirements of this stage will proceed to stage 4.</i> <b>IT Audit</b> <ul style="list-style-type: none"> <li>Bidders must submit proof that the Partner /director responsible for IT Audit is Certified Information Systems Auditor (CISA).</li> </ul>	Please attach a copy of Certification: IT Audit <ul style="list-style-type: none"> <li><u>Director / Partner –CISA</u></li> </ul>
5. Proof of compulsory briefing session attendance	Briefing session certificate

***Failure to comply with the above mandatory/ compulsory requirements will render your submission non-responsive and unacceptable.***

## STAGE 4: TECHNICAL EVALUATION

3.1 The following rating scale will be used to evaluate bid proposals:

**Table 1: Rating Scale**

Rating	Definition	Score
<b>Excellent</b>	Exceeds the requirement. Exceptional demonstration by the bidder of the relevant ability, understanding, experience, skills, resource, and quality measures required to provide the goods / services. Response identifies factors that will offer potential added value, with supporting evidence.	<b>5</b>
<b>Good</b>	Satisfies the requirement with minor additional benefits. Above average demonstration by the bidder of the relevant ability, understanding, experience, skills, resource, and quality measures required to provide the goods / services. Response identifies factors that will offer potential added value, with supporting evidence.	<b>4</b>
<b>Acceptable</b>	Satisfies the requirement. Demonstration by the bidder of the relevant ability, understanding, experience, skills, resource, and quality measures required to provide the goods / services, with supporting evidence.	<b>3</b>
<b>Minor Reservations</b>	Satisfies the requirement with minor reservations. Some minor reservations of the supplier's relevant ability, understanding, experience, skills, resource and quality measures required to provide the goods / services, with little or no supporting evidence.	<b>2</b>
<b>Serious Reservations</b>	Satisfies the requirement with major reservations. Considerable reservations of the bidder's relevant ability, understanding, experience, skills, resource, and quality measures required to provide the goods / services, with little or no supporting evidence.	<b>1</b>
<b>Unacceptable</b>	Does not meet the requirement. Does not comply and/or insufficient information provided to demonstrate that the bidder has the ability, understanding, experience, skills, resource & quality measures required to provide the goods / services, with little or no supporting evidence.	<b>0</b>

**3.2** The evaluation for the Technical and Functional threshold will include the following:

**Rating scale of 0 – 5 to be applied.**

**Ensure that the proposal clearly designates who the proposed team will be for scoring purposes below.**

	EVALUATION CRITERIA	SCORE	WEIGHTING
<b>3.2.1</b>	<b>EXPERIENCE OF IT AUDIT TEAM</b>		<b>30</b>
<b>A)</b>	<b>EXPERIENCE: DIRECTOR / PARTNER – IT AUDIT</b>  Provide a comprehensive CV demonstrating experience of the Director/Partner in overseeing / leading IT audit and consulting engagements.	<b>EXPERIENCE: DIRECTOR / PARTNER – IT AUDIT</b>  The CV must demonstrate experience in IT Audits.  Rating scale of 0 – 5 to be applied:  i) Above 8 years' IT Audit experience on a partner / director level = <b>(5)</b> ii) Above 5 – up to and inclusive of 8 years' IT audit experience on a partner / level director level= <b>(4)</b> iii) 3 years' up to and inclusive of 5 years' IT audit experience on a partner / director level = <b>(3)</b> iv) Above 1 up to and inclusive of 2 years' IT audit experience on a partner / director level = <b>(2)</b> v) 1 years' IT audit experience on partner / director level = <b>(1)</b> vi) No experience on IT audit partner/ director level = <b>(0)</b>	<b>12</b>

<b>B)</b>	<p><b>EXPERIENCE: IT AUDIT MANAGER</b></p> <p>Provide a comprehensive CV demonstrating experience of the proposed IT Audit Manager.</p>	<p><b>Experience: IT Audit Manager</b></p> <p>Rating scale of 0 – 5 to be applied:</p> <ul style="list-style-type: none"> <li>i) Above 7 years' IT Audit managerial experience = <b>(5)</b></li> <li>ii) Above 6 – up to and inclusive of 7 years' IT audit managerial experience = <b>(4)</b></li> <li>iii) 5 years' – up to and inclusive of 6 years' IT audit managerial experience = <b>(3)</b></li> <li>iv) Above 2 – up to and inclusive of 4 years' IT auditmanagement experience = <b>(2)</b></li> <li>v) Less than 2 years' IT Audit managerial experience = <b>(1)</b></li> <li>vi) No IT Audit managerial experience = <b>(0)</b></li> </ul>	<p><b>10</b></p>
-----------	---	---	------------------

	EVALUATION CRITERIA	SCORE	WEIGHTING
C)	<b>EXPERIENCE: IT AUDITOR</b>  Provide a comprehensive CV demonstrating experience of the proposed IT Auditor in conducting IT Audit Engagements.	<b>Experience: IT Auditors (2)</b>  The CV must demonstrate experience in conducting IT Audits.  Rating scale of 0 – 5 to be applied:  i) Above 5 years' IT Audit experience = <b>(5)</b> ii) Above 4– up to and inclusive of 5 years' IT audit experience = <b>(4)</b> iii) 3 years' – up to and inclusive of 4 years' IT audit experience = <b>(3)</b> iv) Above 1 up to and inclusive of 2 years' IT Audit Experience = <b>(2)</b> v) 1 years' IT audit experience = <b>(1)</b> vi) No IT audit experience = <b>(0)</b>  <i>Formula = (Rating for each proposed IT Auditor) / 2 (Two) = Average score</i>	8
3.2.2	<b>QUALIFICATIONS OF THE IT AUDITTEAM</b>		20
A.	<b>QUALIFICATIONS: LEAD DIRECTOR / PARTNER – IT AUDIT</b>	<b>Qualifications: Lead Director / Partner:</b>  Rating scale of 0 – 5 to be applied:  i) Relevant master's degree (NQF 9) and CISA and any additional relevant auditing professional certifications (Certified Ethical Hacker (CEH) or Certified Information Systems Security Professional (CISSP) or Certified Information Security Manager (CISM) or Certified in Risk and Information Systems Control (CRISC) certifications = <b>(5)</b> ii) Relevant master's degree (NQF 9) and CISA = <b>(4)</b> iii) Relevant Post Graduate Qualification (NQF 8) and CISA = <b>(3)</b> iv) Relevant Qualification (NQF 7) and CISA = <b>(2)</b>	8

		<b>v)</b> Relevant Qualification (NQF 7) = <b>(1)</b> <b>vi)</b> No relevant qualification = <b>(0)</b>	
<b>B.</b>	<b>QUALIFICATIONS: IT AUDIT MANAGER</b>	<b>Qualifications: IT Audit Manager:</b>  Rating scale of 0 – 5 to be applied.	<b>7</b>
	<b>EVALUATION CRITERIA</b>	<b>SCORE</b>	<b>WEIGHTING</b>
		<b>i)</b> Relevant Post Graduate Qualification (NQF 8) and CISA and any additional relevant auditing professional certifications (Certified Ethical Hacker (CEH) or Certified Information Systems Security Professional (CISSP) or Certified Information Security Manager (CISM) or Certified in Risk and Information Systems Control (CRISC) certifications = <b>(5)</b>  <b>ii)</b> Relevant Post Graduate Qualification (NQF 8) and CISA = <b>(4)</b>  <b>iii)</b> Relevant Qualification (NQF 7) and CISA = <b>(3)</b>  <b>iv)</b> Relevant Qualification (NQF 7) = <b>(2)</b>  <b>v)</b> No relevant qualification = <b>(0)</b>	



C.	QUALIFICATIONS: IT AUDITOR	<p><b>Qualifications: IT Auditors (2):</b></p> <p>Rating scale of 0 – 5 to be applied:</p> <ul style="list-style-type: none"> <li>i) Relevant Post graduate qualification (NQF 8) and CISA and any additional relevant auditing professional certifications (Certified Information Systems Auditor (CISA) and Certified Ethical Hacker (CEH) or Certified Information Systems Security Professional (CISSP) or Certified Information Security Manager (CISM) or Certified in Risk and Information Systems Control (CRISC) certifications = <b>(5)</b></li> <li>ii) Relevant Qualification (NQF 7) and CISA and any additional relevant auditing professional certifications (Certified Information Systems Auditor (CISA) and Certified Ethical Hacker (CEH) or Certified Information Systems Security Professional (CISSP) or Certified Information Security Manager (CISM) or Certified in Risk and Information Systems Control (CRISC) certifications = <b>(4)</b></li> </ul>	5
----	----------------------------	--	---

	EVALUATION CRITERIA	SCORE	WEIGHTING
		<p>iii) Relevant Qualification (NQF 7) and CISA = <b>(3)</b></p> <p>iv) Relevant Qualification (NQF 7) = <b>(2)</b></p> <p>v) Relevant Diploma (NQF 6) = <b>(1)</b></p> <p>vi) No relevant Qualification = <b>(0)</b></p> <p><b>Formula = (Rating for each proposed IT Auditor) / 2 (Two) = Average score</b></p>	
<b>3.2.3</b>	<b>BIDDER EXPERIENCE</b>		<b>20</b>
<b>A.</b>	<p><b>Bidder experience in providing IT Audit services.</b></p> <p>The bidder must demonstrate that they have the capacity to render the required services and demonstrate experience in, Information Technology (IT), by submitting a company profile. The experience must include a minimum of the following audits:</p> <ul style="list-style-type: none"> <li>• General controls review</li> <li>• Application Controls Review</li> <li>• Data Analytics</li> <li>• Pre- and Post Implementation Review (Project Assurance Reviews)</li> <li>• Operating Systems Review (Windows or Linux or Unix)</li> <li>• Database review</li> <li>• Cyber Security review</li> </ul>	<p>The Company profile should list clients where IT Audits/ Consulting have been completed in the last 6 years.</p> <p>i) 6 years and above experience = <b>(5)</b></p> <p>ii) Above 4– up to and inclusive of 5 years' experience = experience = <b>(4)</b></p> <p>iii) Above 3 – up to and inclusive of 4 years' experience = <b>(3)</b></p> <p>iv) Above 2 – up to and inclusive of 3 years' experience = <b>(2)</b></p> <p>v) Less than 2 years' experience = <b>(1)</b></p> <p>vi) No IT audits experience = <b>(0)</b></p>	<b>5</b>

	EVALUATION CRITERIA	SCORE	WEIGHTING
<b>C</b>	<p><b>Written reference letters.</b></p> <p>Bidders must provide a minimum of two (3) reference letters from at least two (3) contactable references, (different organizations) within the past five (5) years from the closing of the bid. Letters from 1 organization will be considered as 1 reference.</p> <p>Two (3) Reference letters must cite IT audit projects completed.</p> <p>The reference letter must indicate the services you rendered. The reference letter must be signed and dated, on the company letterhead and must have contactable email address.</p> <p>If the reference letter does not comply with the requirements, it will not be considered.</p> <p><b>No appointment letters from clients will be accepted as reference letters.</b></p>	<p>Reference letters for these auditable areas:</p> <p><b>IT Audit Projects:</b></p> <ul style="list-style-type: none"> <li>i) Five (5) relevant reference letters = <b>(5)</b></li> <li>ii) Four (4) relevant reference letters = <b>(4)</b></li> <li>iii) Two (3) relevant reference letters = <b>(3)</b></li> <li>iv) Two (2) relevant reference letters = <b>(2)</b></li> <li>v) One (1) relevant reference letter = <b>(1)</b></li> <li>vi) No reference Letters = <b>(0)</b></li> </ul>	<b>15</b>
<b>3.2.4</b>	<b>METHODOLOGY</b>		<b>30</b>
<b>A.</b>	<p><b>IT Audit Methodology.</b></p> <p>The proposal should include comprehensive methodology for each area that is based on relevant framework(s) and provide audit approach.</p>	<p>Rating scale of 0 – 5 to be applied for evaluation of methodology and approach.</p> <ul style="list-style-type: none"> <li>i) <b>Exceptionally well-documented, easy to follow: = (5)</b> <ul style="list-style-type: none"> <li>• Documentation is impeccably organized and easy to navigate.</li> <li>• All key components of the methodology are comprehensively explained.</li> </ul> </li> </ul>	<b>30</b>

	EVALUATION CRITERIA	SCORE	WEIGHTING
--	---------------------	-------	-----------

		<ul style="list-style-type: none"> <li>• Language and grammar are flawless, making it effortless to understand.</li> <li>• Visual aids and examples are extensive, enhancing clarity.</li> <li>• Terminology is consistently and precisely defined.</li> </ul> <p><b>ii) Well-documented, clear, and concise = (4)</b></p> <ul style="list-style-type: none"> <li>• Documentation is well-structured and logically organized.</li> <li>• Key components of the methodology are explained in detail.</li> <li>• Language and grammar are clear, with minimal errors.</li> <li>• Visual aids and examples enhance understanding.</li> <li>• Terminology is consistent and well-defined.</li> </ul> <p><b>iii) Adequate documentation, some room for improvement = (3)</b></p> <ul style="list-style-type: none"> <li>• Documentation provides a basic understanding of the methodology.</li> <li>• Most key components are covered but may lack detail.</li> <li>• Structure and organization are reasonable.</li> <li>• Language and grammar are generally clear but may need refinement.</li> <li>• Visual aids and examples are present but could be more comprehensive.</li> </ul> <p><b>iv) Limited documentation, lacking detail = (2)</b></p> <ul style="list-style-type: none"> <li>• Documentation lacks depth and thoroughness.</li> <li>• Key components of the methodology are briefly mentioned or absent.</li> <li>• Some sections may be incomplete or overly vague.</li> <li>• Minimal use of visual aids or examples.</li> </ul>	
--	--	---	--

	EVALUATION CRITERIA	SCORE	WEIGHTING
		<ul style="list-style-type: none"> <li>Language and grammar are somewhat problematic.</li> </ul> <p><b>v) Poorly documented, difficult to understand = (1)</b></p> <ul style="list-style-type: none"> <li>Documentation is disorganized and lacks structure.</li> <li>Key concepts are unclear or missing.</li> <li>Grammar and language usage impede comprehension.</li> <li>Terminology is inconsistent or undefined.</li> <li>No visual aids or examples to illustrate concepts.</li> </ul> <p><b>vi) No Methodology submitted = (0)</b></p>	
	<b>Total:</b>		<b>100</b>

Total Weighting:

100

Minimum qualifying score required:

75

## Stage 4: Pricing and Special goal

**Pricing Schedule: Please refer to Annexure B**

Evaluation Criteria	Final Weighted Scores
<p><b>Price</b></p> <p>The following formula will be used to calculate the points out of 80 for price in respect of a tender with a Rand value equal to or above R30 000 and up to a Rand value of R50 million, inclusive of all applicable taxes:</p> $P_s = 80 \left( 1 - \frac{P_t - P_{min}}{P_{min}} \right)$ <p>Where:</p> <p><math>P_s</math> = Score for the Bid under consideration</p> <p><math>P_t</math> = Price of Bid under consideration</p> <p><math>P_{min}</math> = Price of lowest acceptable Bid</p>	80

Note that only bidders who obtain the minimum qualifying score of 75 will be evaluated further on 80/20 and specific goals. The bidders will be awarded a maximum of top eight highest scoring bidders on price and specific goals.

**The evaluation for Pricing and Special goals will include the following:**

1. Procurement from entities who are Black Owned	Sub - points for specific goals	Maximum points for specific goals	Relevant Evidence
Tenderer who have 100% black Ownership	8	8	Copies of ID's/3 months CIPC Report from the closing date of the bid/ CSD Recent Report
Tenderer who have 51% to 99% black ownership	4		
Tenderer who have less than 51% black ownership	0		
2. Procurement from entities who are women Owned		4	B-BBEE Certificate / B-BBEE Sworn Affidavit
Tenderer who have 100% women ownership	4		
Tenderer who have 30% to 99% women ownership	2		
Tenderer who have less than 30% women ownership	0		
3. Black Youth Ownership		4	B-BBEE Certificate / B-BBEE Sworn Affidavit
Tenderer who have 100% black youth ownership	4		
Tenderer who have 30% to 99% black youth ownership	2		



Tenderer who have less than 30% black youth ownership	0		
<b>4. Procurement from Disabilities</b>			
Tenderer who have 20% or more owners with disability	4	<b>4</b>	Letter from the Doctor confirming disability and CSD report
Tenderer who have less than 20% but more than 10% owners with Disability	2		
Tenderer who have less than 10% owners with disability	0		
Total points for specific goals		<b>20</b>	
<b>TOTAL SCORE:</b>		<b>80+20+100</b>	

### Stage 5: Due Diligence

NLC reserves the right to conduct supplier due diligence prior to final award or at any time during the contract period. This may include site visits and requests for additional information.

### Stage 6: Contract and Award

The stage is for negotiation after receipt of formal tenders and before the conclusion of contracts with suppliers/contractors submitting the lowest acceptable tender with a view to obtaining an improvement in price, delivery or content, in circumstances which do not put other tenderers at a disadvantage or affect adversely their confidence or trust in the competitive system. Bidders may be requested to provide their best and final offers based on contract negotiation.

## ANNEXURE A: CV TEMPLATE

**Proposed role in the project:** .....

**Name:** .....

**First name:** .....

**Date of birth:** .....

**Nationality:** .....

**Education**

<b>Institution (Date from- Date to)</b>	<b>Degree(s) or Diploma(s) obtained</b>

**Membership of Professional Bodies:** .....

**Other skills (e.g. computer literacy, etc.):** .....

.....

**Present position** .....

**Years within the organisation:**.....

**Key qualifications (relevant to project):**.....

**Professional experience** .....

.....

<b>Date (From -To) (mm/yy)</b>	
<b>Organisation</b>	
<b>Location</b>	
<b>Position</b>	
<b>Description of duties</b>	

<b>Date (From -To) (mm/yy)</b>	
<b>Organisation</b>	
<b>Location</b>	
<b>Position</b>	
<b>Description of duties</b>	

<b>Date (From -To) (mm/yy)</b>	
<b>Organisation</b>	

Location	
Description of duties	

## ANNEXURE B: PRICING SCHEDULE TEMPLATE

Please provide rates (VAT Inclusive) for the rendering of co-sourced IT audit services for the National Lotteries Commission. Service providers must indicate the average audit fees per hour. The proposed fee structure per hourly rates of the following levels of staff should be indicated as follows:

IT Audit	Hourly Rates*		
Level and Capacity	Year 1	Year 2	Year 3
Partner/Director	R	R	R
Internal Audit Manager			
Internal Auditor X2	R	R	R
Disbursement			
VAT			
<b>Total</b>			

	Average variable rates*		
	Year 1	Year 2	Year 3
Average rate per year	R	R	R

## INVITATION TO BID (SBD 1) PART A

<b>YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS</b>							
BID NUMBER:		ISSUE DATE:		CLOSING DATE:		CLOSING TIME:	
DESCRIPTION	<b>THE APPOINTMENT OF A SUPPLEMENTARY PANEL OF IT AUDIT SERVICE PROVIDERS IN A CO- SOURCED CAPACITY AT THE NATIONAL LOTTERIES COMMISSION FOR A PERIOD OF TWO (2) YEARS</b>						
<b>BID RESPONSE MUST BE SUBMITTED VIA THE BELOW EMAIL</b>							
All submissions Must be addressed to Supply Chain Management, NLC Submission through USB at the below address:  <b>333 Grosvenor Street, Block D, Hatfield Gardens Hatfield, Pretoria, 0083</b>							
<b>BIDDING PROCEDURE ENQUIRIES MAY BEDIRECTED TO</b>				<b>TECHNICAL ENQUIRIES MAY BE DIRECTED TO:</b>			
CONTACTPERSON	<b>Supply Chain Management</b>			CONTACT PERSON	<b>Penelope Soyingwa</b>		
TELEPHONENUMBER	<b>012 432 1300</b>			TELEPHONE NUMBER	<b>012 432 1414</b>		
FACSIMILENUMBER				FACSIMILE NUMBER			
E-MAIL ADDRESS	<a href="mailto:quotation@nlcsa.org.za">quotation@nlcsa.org.za</a>			E-MAIL ADDRESS	<a href="mailto:penelope@nlcsa.org.za">penelope@nlcsa.org.za</a>		
<b>SUPPLIER INFORMATION</b>							
NAME OF BIDDER							
POSTAL ADDRESS							
STREET ADDRESS							
TELEPHONE NUMBER	CODE			NUMBER			
CELL PHONENUMBER							
FACSIMILENUMBER	CODE			NUMBER			
E-MAIL ADDRESS							
VAT REGISTRATION NUMBER							

SUPPLIER COMPLIANCE STATUS	TAX COMPLIANCE SYSTEM PIN:		OR	CENTRAL SUPPLIER DATABASE	UNIQUE REGISTRATION REFERENCE NUMBER:MAAA
B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE	[TICK APPLICABLE BOX] Yes      No	B-BBEE STATUS LEVEL SWORN AFFIDAVIT		[TICK APPLICABLE BOX] Yes      No	

**[A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE/ SWORN AFFIDAVIT (FOR EMES & QSEs) MUST BE SUBMITTED IN ORDER TO QUALIFY FOR PREFERENCE POINTS FOR B-BBEE]**

1. ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS / SERVICES / WORKS OFFERED?	Yes <input type="checkbox"/> No <input type="checkbox"/> [IF YES ENCLOSE PROOF]	2. ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS / SERVICES / WORKS OFFERED?	Yes <input type="checkbox"/> No <input type="checkbox"/> [IF YES, ANSWER QUESTIONNAIRE BELOW]
--	--	---	--

**QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS**

IS THE ENTITY A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?	YES <input type="checkbox"/>	NO <input type="checkbox"/>
DOES THE ENTITY HAVE A BRANCH IN THE RSA?	YES <input type="checkbox"/>	NO <input type="checkbox"/>
DOES THE ENTITY HAVE A PERMANENT ESTABLISHMENT IN THE RSA?	YES <input type="checkbox"/>	NO <input type="checkbox"/>
DOES THE ENTITY HAVE ANY SOURCE OF INCOME IN THE RSA?	YES <input type="checkbox"/>	NO <input type="checkbox"/>
IS THE ENTITY LIABLE IN THE RSA FOR ANY FORM OF TAXATION	YES <input type="checkbox"/>	NO <input type="checkbox"/>
<b>IF THE ANSWER IS "NO" TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER FOR A TAX COMPLIANCE STATUS SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 1.3 BELOW.</b>		

**PART B  
TERMS AND CONDITIONS FOR**

**TAX COMPLIANCE REQUIREMENTS**

BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.	
i.	BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN)
ii.	ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER'S PROFILE AND TAX STATUS.
iii.	APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE WWW.SARS.GOV.ZA.
v.	BIDDERS MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE BID.
iv.	IN BIDS WHERE UNINCORPORATED CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER.
vi.	WHERE NO TCS IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.

**BIDDING**

**NB: FAILURE TO PROVIDE / OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.**



SIGNATURE OF BIDDER: .....

CAPACITY UNDER WHICH THIS BID IS SIGNED: .....

(Proof of authority must be submitted e.g. company resolution)

DATE: \_\_\_\_\_



## SECTION 5: BIDDER'S DISCLOSURE (SBD 4)

### 1 PURPOSE OF THE FORM

Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

### 2 Bidder's Declaration

**2.1** Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest<sup>1</sup> in the enterprise, employed by the state? **YES/NO**

**2.1.1** If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

Full Name	Identity Number	Name of State institution

2.1.2 Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution?

**YES/NO**

2.1.3 If so, furnish particulars:

.....

.....

**2.2** Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are bidding for this contract?

**YES/NO**

2.2.1 If so, furnish particulars:.....

.....

### **3 DECLARATION**

I, the undersigned, (name) .....in submitting the accompanying bid, do hereby make the following statements that I certify to be true and complete in every respect:

- 3.1 I have read and I understand the contents of this disclosure;
- 3.2 I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect;
- 3.3 The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium<sup>2</sup> will not be construed as collusive bidding.
- 3.4 In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.
- 3.4 The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and there have been no consultations, communications, agreements or arrangements made by the bidder with any official of the procuring institution in relation to this procurement process prior to and during the bidding process

except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.

- 3.5 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or maybe restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 1, 2 and 3 ABOVE IS CORRECT.

I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

.....  
Signature

.....  
Date

.....  
Position

.....  
Name of bidder

1 the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.

---

## SECTION 6: PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL PROCUREMENT REGULATIONS 2022

This preference form must form part of all tenders invited. It contains general information and serves as a claim form for preference points for specific goals.

**NB: BEFORE COMPLETING THIS FORM, TENDERERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF THE TENDER AND PREFERENTIAL PROCUREMENT REGULATIONS, 2022**

### 1. GENERAL CONDITIONS

1.1 The following preference point systems are applicable to invitations to tender:

- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included)

1.2 To be completed by the organ of state

*(Delete whichever is not applicable for this tender).*

a) The applicable preference point system for this tender is the **80/20** preference point system.

b) The **80/20 preference point system** will be applicable in this tender. The lowest/highest acceptable tender will be used to determine the accurate system once tenders are received.

1.3 Points for this tender (even in the case of a tender for income-generating contracts) shall be awarded for:

- (a) Price; and
- (b) Specific Goals.

### 1.4 To be completed by the organ of state:

The maximum points for this tender are allocated as follows:

	POINTS
PRICE	80
SPECIFIC GOALS	20
Total points for Price and SPECIFIC GOALS	100

1.5 Failure on the part of a tenderer to submit proof or documentation required in terms of this tender to claim points for specific goals with the tender, will be interpreted to mean

that preference points for specific goals are not claimed.

- 1.6 The organ of state reserves the right to require of a tenderer, either before a tender is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the organ of state.

## 2. DEFINITIONS

- (a) **“tender”** means a written offer in the form determined by an organ of state in response to an invitation to provide goods or services through price quotations, competitive tendering process or any other method envisaged in legislation;
- (b) **“price”** means an amount of money tendered for goods or services and includes all applicable taxes less all unconditional discounts.
- (c) **“rand value”** means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes;
- (d) **“tender for income-generating contracts”** means a written offer in the form determined by an organ of state in response to an invitation for the origination of income-generating contracts through any method envisaged in legislation that will result in a legal agreement between the organ of state and a third party that produces revenue for the organ of state, and includes, but is not limited to, leasing and disposal of assets and concession contracts, excluding direct sales and disposal of assets through public auctions; and
- (e) **“the Act”** means the Preferential Procurement Policy Framework Act, 2000 (Act No. 5 of 2000).

## 3. FORMULAE FOR PROCUREMENT OF GOODS AND SERVICES

### 3.1. POINTS AWARDED FOR PRICE

#### 3.1.1 THE 80/20 PREFERENCE POINT SYSTEMS

A maximum of 80 points is allocated for price on the following basis:

$$P_s = 80 \left( 1 - \frac{P_t - P_{min}}{P_{min}} \right)$$

Where

Ps = Points scored for price of tender under consideration

Pt = Price of tender under consideration

Pmin = Price of lowest acceptable tender

#### 4. POINTS AWARDED FOR SPECIFIC GOALS

4.1. In terms of Regulation 4(2); 5(2); 6(2) and 7(2) of the Preferential Procurement Regulations, preference points must be awarded for specific goals stated in the tender. For the purposes of this tender the tenderer will be allocated points based on the goals stated in table 1 below as may be supported by proof/ documentation stated in the conditions of this tender:

4.2. In cases where organs of state intend to use Regulation 3(2) of the Regulations, which states that, if it is unclear whether the 80/20 or 90/10 preference point system applies, an organ of state must, in the tender documents, stipulate in the case of—

(a) an invitation for tender for income-generating contracts, that the 80/20 preference point system will apply and that the highest acceptable tender will be used to determine the applicable preference point system; or

(b) any other invitation for tender, that the 80/20 preference point system will apply and that the lowest acceptable tender will be used to determine the applicable preference point system, then the organ of state must indicate the points allocated for specific goals for the 80/20 preference point system.

**Table 1: Specific goals for the tender and points claimed are indicated per the table below.**

***(Note to organs of state: Where either the 90/10 or 80/20 preference point system is applicable, corresponding points must also be indicated as such. Note to tenderers: The tenderer must indicate how they claim points for each preference point system.)***

1. Procurement from entities who are black Owned	Sub - points for specific goals	Maximum points for specific goals	Number of points claimed (80/20 system) (To be completed by the tenderer)
Tenderer who have 100% black Ownership	8	8	
Tenderer who have 51% to 99% black ownership	4		
Tenderer who have less than 51% black ownership	0		
2. Procurement from entities who are women Owned			
Tenderer who have 100% women Ownership	4	4	
Tenderer who have 30% to 99% women ownership	2		

Tenderer who have less than 30% women Ownership	0		
<b>3. Black Youth Ownership</b>		<b>4</b>	
Tenderer who have 100% youth ownership	4		
Tenderer who have 30% to 99% youth Ownership	2		
Tenderer who have less than 30% youth Ownership	0		
<b>4. Procurement from Disabilities</b>		<b>4</b>	
Tenderer who have 20% or more owners with disability	4		
Tenderer who have less than 20% but more than 10% owners with disability	2		
Tenderer who have less than 10% owners with disability	0		
Total points for specific goals		<b>20</b>	

## 5. DECLARATION WITH REGARD TO COMPANY/FIRM

5.1. Name of company/firm.....

5.2. Company registration number: .....

5.3 TYPE OF COMPANY/ FIRM

- ☐ Partnership/Joint Venture / Consortium
- ☐ One-person business/sole propriety
- ☐ Close corporation
- ☐ Public Company
- ☐ Personal Liability Company
- ☐ (Pty) Limited
- ☐ Non-Profit Company
- ☐ State Owned Company

[TICK APPLICABLE BOX]

5.4 I, the undersigned, who is duly authorized to do so on behalf of the company/firm, certify that the points claimed, based on the specific goals as advised in the tender, qualifies the company/ firm for the preference(s) shown and I acknowledge that:

- i) The information furnished is true and correct.
- ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form.
- iii) In the event of a contract being awarded as a result of points claimed as shown in paragraphs 1.4 and 4.2, the contractor may be required to furnish documentary proof to the satisfaction of the organ of state that the claims are correct;

If the specific goals have been claimed or obtained on a fraudulent basis or any of the

conditions of contract have not been fulfilled, the organ of state;

iv) may, in addition to any other remedy it may have –

- (a) disqualify the person from the tendering process.
- (b) recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;
- (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation.
- (d) recommend that the tenderer or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted from obtaining business from any organ of state for a period not exceeding 10 years, after the *audialteram partem* (hear the other side) rule has been applied; and
- (e) forward the matter for criminal prosecution, if deemed necessary.

<p>.....</p> <p><b>SIGNATURE(S) OF TENDERER(S)</b></p>	
<p><b>SURNAME AND NAME:</b></p>	<p>.....</p>
<p><b>DATE:</b></p>	<p>.....</p>
<p><b>ADDRESS:</b></p>	<p>.....</p> <p>.....</p> <p>.....</p>



**SCM:**  
**CONSENT REQUEST**  
**FORM**

REQUEST FOR THE CONSENT OF A DATA SUBJECT FOR PROCESSING OF  
PERSONAL INFORMATION FOR THE PURPOSE OF PROCUREMENT OF GOODS AND SERVICES  
APPLICATION, IN LINE WITH THE NLC's SUPPLY CHAIN MANAGEMENT POLICY, IN TERMS OF SECTION  
11(1)(a) OF THE PROTECTION OF PERSONAL INFORMATION ACT, 2013 (ACT NO. 4 OF 2013) ("POPIA").

TO: \_\_\_\_\_

FROM: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

Contact number: \_\_\_\_\_

Email address: \_\_\_\_\_

1. In terms of the PROTECTION OF PERSONAL INFORMATION ACT, consent for processing of personal information of a data subject (the person/entity to whom personal information relates) must be obtained for the purpose of processing of application for procurement of goods and services, in line with the NLC's supply chain management policy, and storage of your personal data by means of any form of electronic communication, including automatic calling machines, facsimile machines, SMSs or e-mail, which is prohibited unless written consent to the processing is given by the data subject. You may only be approached once for your consent by us (NLC). After you have indicated your wishes in Part B,  
  
you are kindly requested to submit this Form either by post, facsimile or e-mail to the address, facsimile number or e-mail address as stated above.
2. "Processing" means any operation or activity or any set of operations, whether or not by automatic means, concerning personal information, including—
  - 2.1 the collection, receipt, recording, organisation, collation, storage, updating or modification, retrieval, alteration, consultation or use;
  - 2.2 dissemination by means of transmission, distribution or making available in any other form; or
  - 2.3 merging, linking, as well as restriction, degradation, erasure or destruction of information.

3. “Personal information” means information relating to an identifiable, living, natural person, and where it is applicable, an identifiable, existing juristic person, including, but not limited to—
- 3.1 information relating to the race, gender, sex, pregnancy, marital status, national, ethnic or social origin, colour, sexual orientation, age, physical or mental health, well-being, disability, religion, conscience, belief, culture, language and birth of the person;
- 3.2 information relating to the education or the medical, financial, criminal or employment history of the person;
- 3.3 any identifying number, symbol, e-mail address, physical address, telephone number, location information, online identifier or other particular assignment to the person;
- 3.4 the biometric information of the person;
- 3.5 the personal opinions, views or preferences of the person;
- 3.6 correspondence sent by the person that is implicitly or explicitly of a private or confidential nature or further correspondence that would reveal the contents of the original correspondence;
- 3.7 the views or opinions of another individual about the person; and
- 3.8 the name of the person if it appears with other personal information relating to the person or if the disclosure of the name itself would reveal information about the person.

---

Full names of the designated person on behalf of the Responsible Party

---

Signature of Designation person