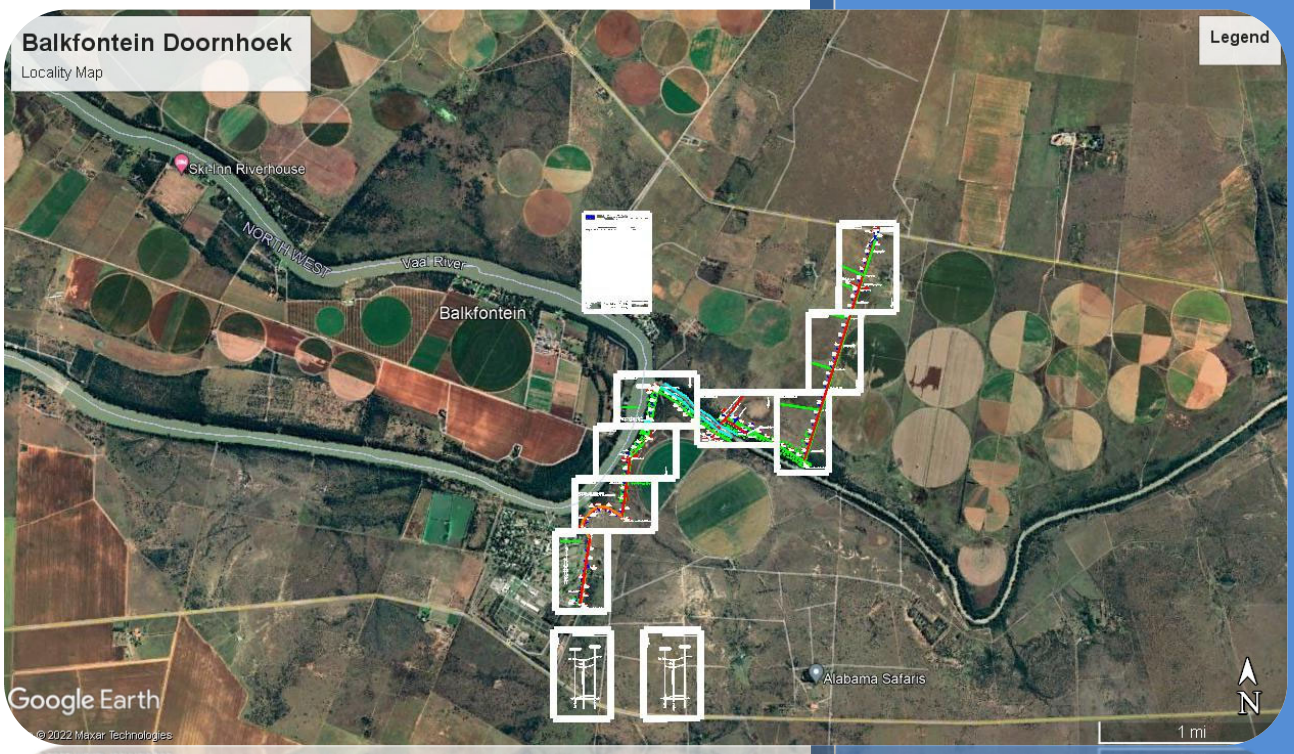
2022

PALAEONTOLOGICAL IMPACT ASSESSMENT FOR THE PROPOSED BALKFONTEIN-DOORNHOEK 11 KV POWERLINE, WEST OF BOTHAVILLE, FREE STATE PROVINCE



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Company Registration: 2015/084540/07

Palaeontological Impact Assessment for the proposed Balkfontein-Doornhoek 11 kV powerline, west of Bothaville, Free State Province

Site Visit Report (Phase 2)

For

Eskom Holdings SOC Ltd

11 March 2022

Prof Marion Bamford
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Expertise of Specialist

The Palaeontologist Consultant: Prof Marion Bamford
Qualifications: PhD (Wits Univ, 1990); FRSSAf, ASSAf
Experience: 33 years research; 25 years PIA studies

Declaration of Independence

This report has been compiled by Professor Marion Bamford, of the University of the Witwatersrand, on behalf of 1World Consultants (Pty) Ltd, Durban, South Africa. The views expressed in this report are entirely those of the author and no other interest was displayed during the decision-making process for the Project.

Specialist: Prof Marion Bamford

Signature: 

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Executive Summary

A Palaeontological Impact Assessment was requested by Eskom for the construction of an 11 kV overhead powerline between Balkfontein and Doornhoek, across the Vaal River, about 8km to the west of Bothaville, Free State Province. The line will be approximately 5 km long and close to the existing line.

To comply with the regulations of the South African Heritage Resources Agency (SAHRA) in terms of Section 38(8) of the National Heritage Resources Act, 1999 (Act No. 25 of 1999) (NHRA), a site visit (Phase 2) Palaeontological Impact Assessment (PIA) was completed for the proposed development.

The proposed site lies on the potentially very highly fossiliferous sediments of the Vryheid Formation (Ecca Group, Karoo Supergroup). The site visit was completed by palaeontologist Brandon Stuart on 10 March 2022. He surveyed the route on the south side of the river but the landowner on the north side refused access to Eskom and the palaeontologist. Nonetheless, the topography and vegetation in both areas appeared to be the same, so it can be assumed that the sediments are the same. **NO FOSSILS and no rocky outcrops were seen.** The land has been under crops and grazing for a long time and so is disturbed. Nevertheless, a Fossil Chance Find Protocol should be added to the EMPr. Based on this information it is recommended that no further palaeontological impact assessment is required unless fossils are found by the contractor/ environmental officer/ other designated responsible person once excavations for pole foundations have commenced. As far as the palaeontology is concerned, the project should be authorised.

Table of Contents

1. Background

A Palaeontological Impact Assessment was requested by Eskom for the construction of an 11 kV overhead powerline between Balkfontein and Doornhoek, across the Vaal River, about 8km to the west of Bothaville, Free State Province. The line will be approximately 5 km long (Figures 1, 2).

The powerline route is on existing farmland the evidence of crops and grazing, dirt tracks and fences. North of the Vaal River is the farm Doornhoek and south of the river is the Balkfontein town and farmlands.

A Palaeontological Impact Assessment was requested for the powerline project. To comply with the regulations of the South African Heritage Resources Agency (SAHRA) in terms of Section 38(8) of the National Heritage Resources Act, 1999 (Act No. 25 of 1999) (NHRA), a site visit and walkthrough (Phase 2) Palaeontological Impact Assessment (PIA) was completed for the proposed development and is reported herein.

Table 1: Specialist report requirements in terms of Appendix 6 of the EIA Regulations (amended 2017)

	A specialist report prepared in terms of the Environmental Impact Regulations of 2017 must contain:	**Relevant section in report**
ai	Details of the specialist who prepared the report	Appendix B
aii	The expertise of that person to compile a specialist report including a curriculum vitae	Appendix B
b	A declaration that the person is independent in a form as may be specified by the competent authority	Page 1
c	An indication of the scope of, and the purpose for which, the report was prepared	Section 1
ci	An indication of the quality and age of the base data used for the specialist report: SAHRIS palaeosensitivity map accessed – date of this report	Yes
cii	A description of existing impacts on the site, cumulative impacts of the proposed development and levels of acceptable change	Section 5
d	The date and season of the site investigation and the relevance of the season to the outcome of the assessment	N/A
e	A description of the methodology adopted in preparing the report or carrying out the specialised process	Section 2
f	The specific identified sensitivity of the site related to the activity and its associated structures and infrastructure	Section 4
g	An identification of any areas to be avoided, including buffers	N/A
h	A map superimposing the activity including the associated structures and infrastructure on the environmental sensitivities of the site including areas to be avoided, including buffers;	N/A
i	A description of any assumptions made and any uncertainties or gaps in knowledge;	Section 5
j	A description of the findings and potential implications of such findings on the impact of the proposed activity, including identified alternatives, on the environment	Section 4
k	Any mitigation measures for inclusion in the EMPr	Section 8, Appendix A
l	Any conditions for inclusion in the environmental authorisation	N/A
m	Any monitoring requirements for inclusion in the EMPr or environmental authorisation	Section 8, Appendix A
ni	A reasoned opinion as to whether the proposed activity or portions thereof should be authorised	Section 6
nii	If the opinion is that the proposed activity or portions thereof should be authorised, any avoidance, management and mitigation measures that should be included in the EMPr, and where applicable, the closure plan	Sections 6, 8
o	A description of any consultation process that was undertaken during the course of carrying out the study	N/A
p	A summary and copies if any comments that were received during any consultation process	N/A
q	Any other information requested by the competent authority.	N/A

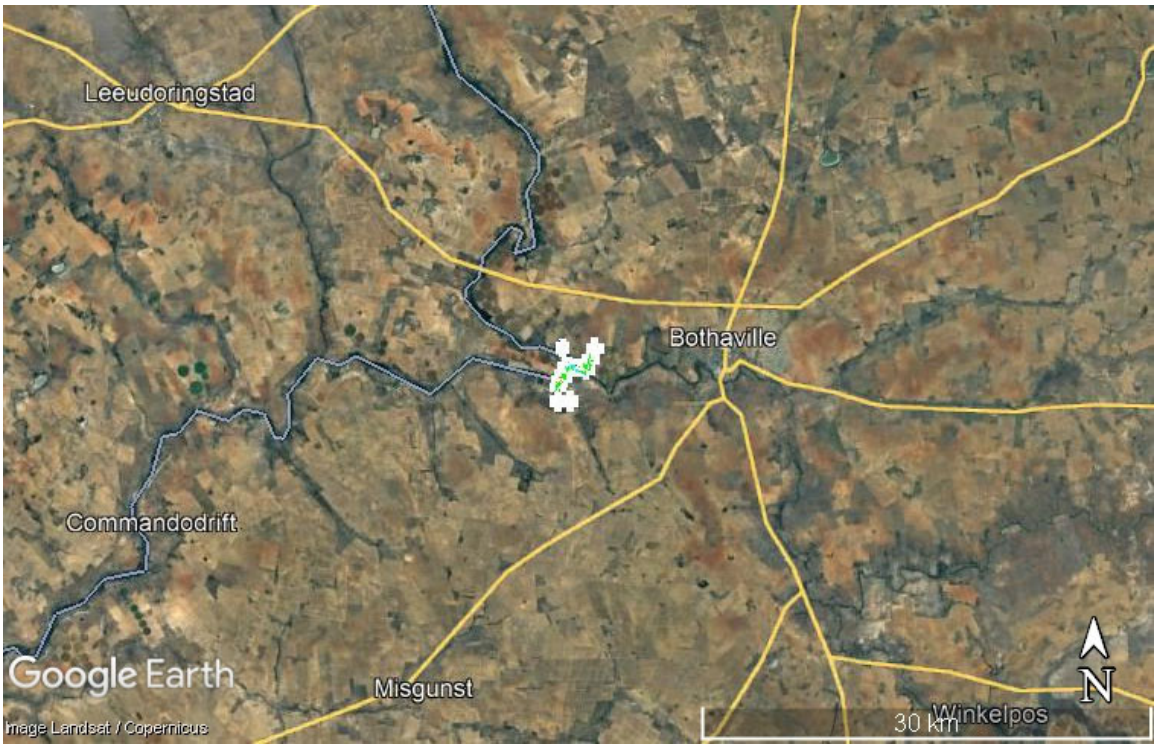

Figure 1: Google Earth map of the proposed development showing the relevant land marks.

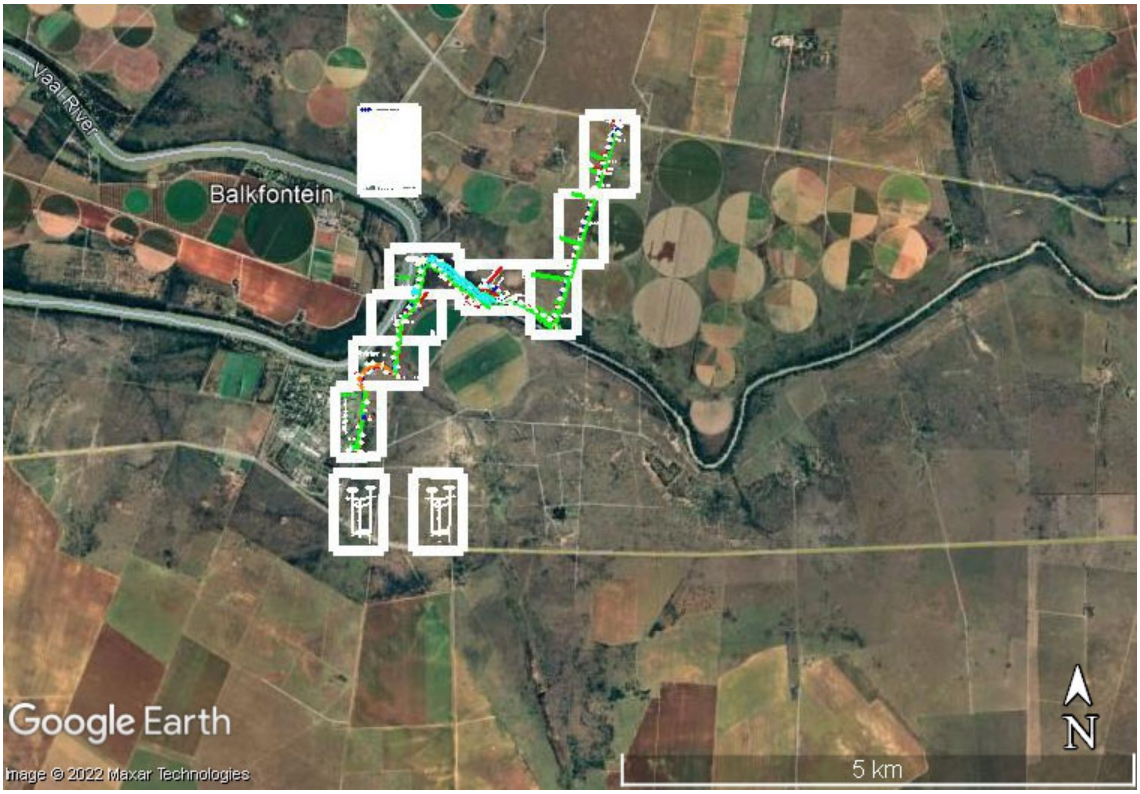

Figure 2: Google Earth map of the proposed powerline route and structures shown by the various symbols.

2. Methods and Terms of Reference

The Terms of Reference (ToR) for this study were to undertake a PIA and provide feasible management measures to comply with the requirements of SAHRA.
The methods employed to address the ToR included:

1. Consultation of geological maps, literature, palaeontological databases, published and unpublished records to determine the likelihood of fossils occurring in the affected areas. Sources included records housed at the Evolutionary Studies Institute at the University of the Witwatersrand and SAHRA databases;
2. Where necessary, site visits by a qualified palaeontologist to locate any fossils and assess their importance, as is the case here;
3. Where appropriate, collection of unique or rare fossils with the necessary permits for storage and curation at an appropriate facility (*not applicable to this assessment*); and
4. Determination of fossils' representivity or scientific importance to decide if the fossils can be destroyed or a representative sample collected (*not applicable to this assessment*).

3. Geology and Palaeontology

i. Project location and geological context

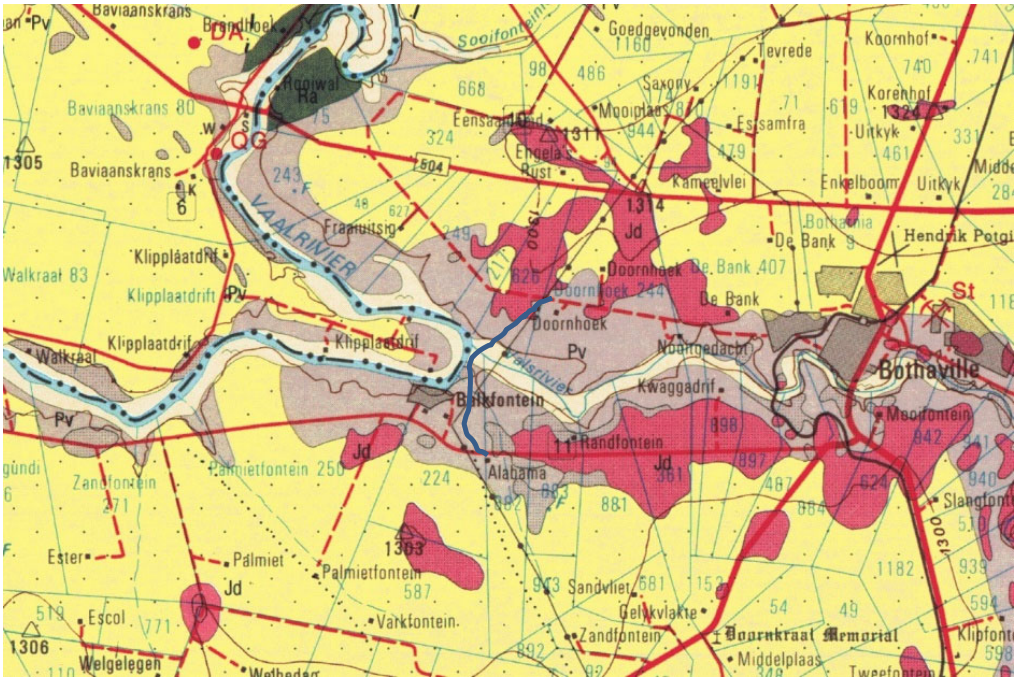

Figure 3: Geological map of the area around Farms Balkfontein and Doornhoek with the powerline route shown by the blue line. Abbreviations of the rock types are explained in Table 2. Map enlarged from the Geological Survey 1: 250 000 map 2726 Kroonstadt.

Table 2: Explanation of symbols for the geological map and approximate ages (Johnson et al., 2006). SG = Supergroup; Fm = Formation; Ma = million years; grey shading = formations impacted by the project.

Symbol	Group/Formation	Lithology	Approximate Age
Qs	Quaternary	Alluvium, sand, calcrete	Neogene, ca 2.5 Ma to present
Jd	Jurassic dykes	Dolerite dykes, intrusive	Jurassic, approx. 180 Ma
Pv	Vryheid Fm, Ecca Group, Karoo SG	Shales, sandstone, coal	Early Permian, Middle Ecca

The site lies in the western part of the Karoo Basin where the Vryheid Formation is exposed. Much younger sands and alluvium have been deposited along the river flanks.

The Karoo Supergroup rocks cover a very large proportion of South Africa and extend from the northeast (east of Pretoria) to the southwest and across to almost the KwaZulu Natal south coast. It is bounded along the southern margin by the Cape Fold Belt and along the northern margin by the much older Transvaal Supergroup rocks. Representing some 120 million years (300 – 183Ma), the Karoo Supergroup rocks have preserved a diversity of fossil plants, insects, vertebrates and invertebrates.

During the Carboniferous Period South Africa was part of the huge continental landmass known as Gondwanaland and it was positioned over the South Pole. As a result, there were several ice sheets that formed and melted, and covered most of South Africa (Visser, 1986, 1989; Isbell et al., 2012). Gradual melting of the ice as the continental mass moved northwards and the earth warmed, formed fine-grained sediments in the large inland sea. These are the oldest rocks in the system and are exposed around the outer part of the ancient Karoo Basin, and are known as the Dwyka Group (Johnson et al., 2006).

Overlying the Dwyka Group rocks are rocks of the Ecca Group that are Early Permian in age. There are eleven formations recognised in this group but they do not all extend throughout the Karoo Basin. In the Free State and KwaZulu Natal, from the base upwards are the Pietermaritzburg Formation, **Vryheid Formation** and the Volksrust Formation. All of these sediments have varying proportions of sandstones, mudstones, shales and siltstones and represent shallow to deep water settings, deltas, rivers, streams and overbank depositional environments.

Large exposures of Jurassic dolerite dykes occur throughout the area. These dykes intruded through the Karoo sediments around 183 million years ago at about the same time as the Drakensberg basaltic eruption.

ii. Palaeontological context

The palaeontological sensitivity of the area under consideration is presented in Figure 4. The proposed route for the 11 kV powerline is on rocks and sediments of the Vryheid Formation (red on the SAHRIS palaeosensitivity map) so a site visit is required.

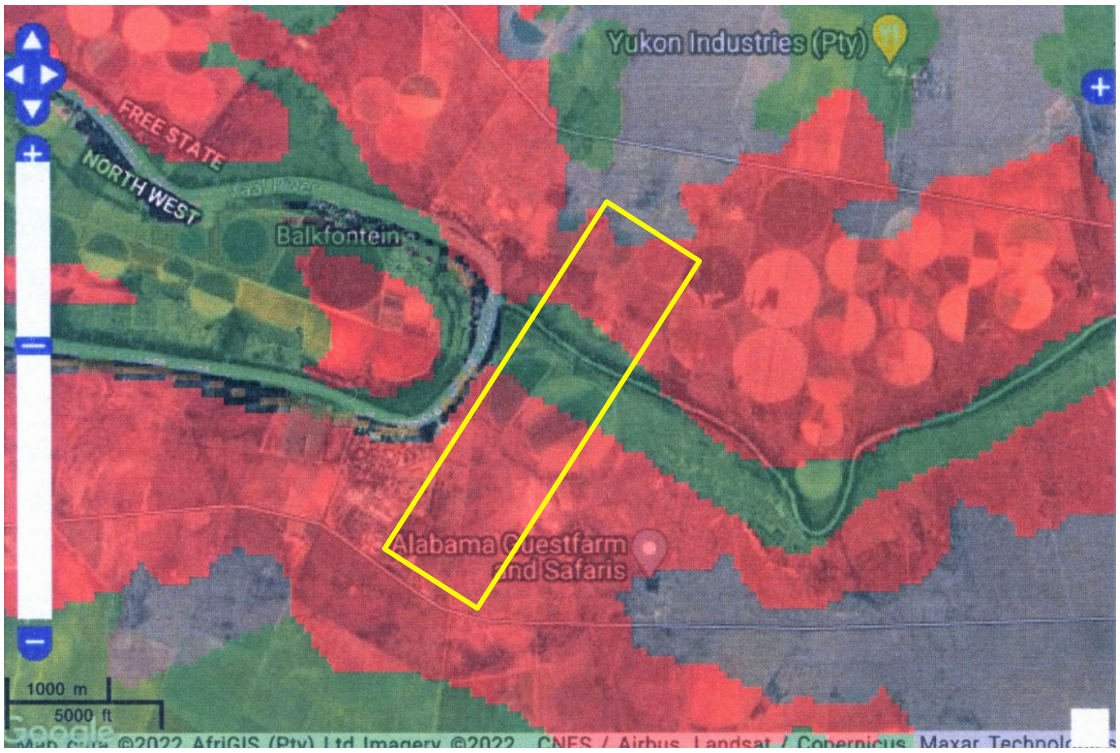

Figure 4: SAHRIS palaeosensitivity map for the site for the proposed Balkfontein-Doornhoek 11 kV power line shown within the yellow rectangle. Background colours indicate the following degrees of sensitivity: red = very highly sensitive; orange/yellow = high; green = moderate; blue = low; grey = insignificant/zero.

The potential fossils would be fossil leaf impressions of the *Glossopteris* flora, including leaves, reproductive structures, roots and trunk wood. Other plants that make up this flora are lycopods, sphenophytes, ferns and early gymnosperms (Plumstead, 1969; Anderson and Anderson, 1985; Bamford, 2004). No vertebrates are known from this formation. Conditions for preservation of plant matter and animal matter are different so usually only one is preserved (Cowan, 1995).

iii. Site visit observations

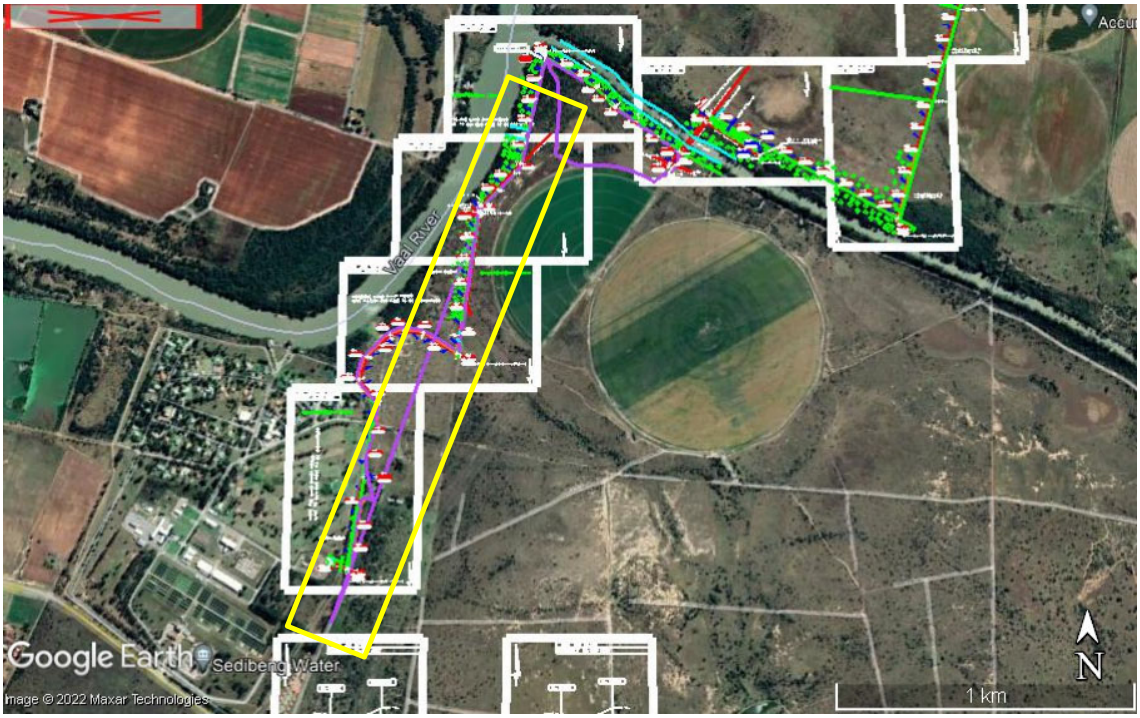

Figure 5: Annotated Google Earth map for the site stops and observations from within the yellow rectangle (refer to Table 3).

Table 3: Site observations, GPS points (separate file available) and relevant figures

GPS	Observations	Figures
-27.39914816 26.51218273	1 – Free State side near Balkfontein. Existing powerline. Note generally flat topography, deep soils and no rocky outcrops that could have any fossils	6a, b
-27.39840266 26.51226674	29 – dense grassland and disturbed area. Rocks are not *in situ*. Dolerite. No fossils	6c, d
-27.39735230 26.51243761	59 – soils exposed along fence-line and where vegetation has died off from recent flooding	6e, f
-27.39839514 26.51083003	199 – farm tracks closer to the Vaal river exposing the sandy soils; grassland still fairly dense after good rainy season.	7a, b
-27.40208339 26.50909255	329 – exotic trees have been planted along some of the roads.	7c, d
-27.39277707 26.51582994	597 – flat grasslands and no rocky exposures or any potential for finding fossils. From the accessible sites It was possible to see to the non-accessible area and the general topography and vegetation were the same	7e, f

Figure 6: Site photos - see table 3

Figure 7: Site photos – see table 3

4. Impact Assessment

An assessment of the potential impacts to possible palaeontological resources considers the criteria encapsulated in Table :

Table 4a: Criteria for assessing impacts

PART A: DEFINITION AND CRITERIA		
Criteria for ranking of the SEVERITY/NATURE of environmental impacts	**H**	Substantial deterioration (death, illness or injury). Recommended level will often be violated. Vigorous community action.
	M	Moderate/ measurable deterioration (discomfort). Recommended level will occasionally be violated. Widespread complaints.
	L	Minor deterioration (nuisance or minor deterioration). Change not measurable/ will remain in the current range. Recommended level will never be violated. Sporadic complaints.
	L+	Minor improvement. Change not measurable/ will remain in the current range. Recommended level will never be violated. Sporadic complaints.
	M+	Moderate improvement. Will be within or better than the recommended level. No observed reaction.
	H+	Substantial improvement. Will be within or better than the recommended level. Favourable publicity.
Criteria for ranking the DURATION of impacts	**L**	Quickly reversible. Less than the project life. Short term
	M	Reversible over time. Life of the project. Medium term
	H	Permanent. Beyond closure. Long term.
Criteria for ranking the SPATIAL SCALE of impacts	**L**	Localised - Within the site boundary.
	M	Fairly widespread – Beyond the site boundary. Local
	H	Widespread – Far beyond site boundary. Regional/ national
PROBABILITY (of exposure to impacts)	**H**	Definite/ Continuous
	M	Possible/ frequent
	L	Unlikely/ seldom

Table 4b: Impact Assessment

PART B: Assessment		
SEVERITY/NATURE	**H**	-
	M	-
	L	Soils do not preserve plant fossils; so far there are no records from the Vryheid formation of plant or animal fossils in this region so it is very unlikely that fossils occur on the site. The impact would be very unlikely.
	L+	-
	M+	-
	H+	-
DURATION	**L**	-
	M	-
	H	Where manifest, the impact will be permanent.
SPATIAL SCALE	**L**	Since the only possible fossils within the area would be fossil plants from the *Glossopteris* flora in the shales and rocky outcrops, the spatial scale will be localised within the site boundary.
	M	-
	H	-
PROBABILITY	**H**	**-**
	M	**-**
	L	It is extremely unlikely that any fossils would be found in the loose soils and sand that will be excavated. The site visit confirmed that there are no fossils along the route. Nonetheless, a Fossil Chance Find Protocol should be added to the eventual EMPr.

Based on the nature of the project, surface activities may impact upon the fossil heritage if preserved in the development footprint. The geological structures suggest that the rocks are the correct age and type to preserve fossils. The site visit and walk through confirmed that there were NO FOSSILS in the project footprint. Furthermore, the material to be excavated for pole foundations is soil and this does not preserve fossils. Since there is a small chance that fossils from the Vryheid Formation occur below ground and may be disturbed a Fossil Chance Find Protocol has been added to this report. Taking account of the defined criteria, the potential impact to fossil heritage resources is extremely low.

5. Assumptions and uncertainties

Based on the geology of the area and the palaeontological record as we know it, it can be assumed that the formation and layout of the dolomites, sandstones, shales and sands are typical for the country and might contain fossil plant material. The site visit and walk through on 10 March by palaeontologist Brandon Stuart confirmed that there are no fossils along the route and there were no rocky outcrops that could potentially preserve fossils. The Doornhoek side was not accessible but it was possible to see that both areas had the same topography and vegetation so it can be assumed that the rocks and soils are very similar. The sands of the Quaternary period would not preserve fossils.

6. Recommendation

Based on the fossil record but confirmed by the site visit and walk through there are NO FOSSILS of the Vryheid Formation flora even though fossils have been recorded from rocks of a similar age and type in South Africa. It is extremely unlikely that any fossils would be preserved in the overlying soils and sands of the Quaternary. There is a very small chance that fossils may occur below the ground surface in the shales of the Vryheid Formation so a Fossil Chance Find Protocol should be added to the EMPr. If fossils are found by the environmental officer, or other responsible person once excavations for pole foundations have commenced, then they should be rescued and a palaeontologist called to assess and collect a representative sample.

7. References

Anderson, J.M., Anderson, H.M., 1985. Palaeoflora of Southern Africa: Prodromus of South African megafloras, Devonian to Lower Cretaceous. A.A. Balkema, Rotterdam. 423 pp.

Bamford, M.K. 2004. Diversity of woody vegetation of Gondwanan southern Africa. Gondwana Research 7, 153-164.

Cowan, R., 1995. History of Life. 2nd Edition. Blackwell Scientific Publications, Boston. 462pp.

Johnson, M.R., van Vuuren, C.J., Visser, J.N.J., Cole, D.I., Wickens, H.deV., Christie, A.D.M., Roberts, D.L., Brandl, G., 2006. Sedimentary rocks of the Karoo Supergroup. In: Johnson, M.R., Anhaeusser, C.R. and Thomas, R.J., (Eds). The Geology of South Africa. Geological Society of South Africa, Johannesburg / Council for Geoscience, Pretoria. Pp 461 – 499.

Plumstead, E.P., 1969. Three thousand million years of plant life in Africa. Geological Society of southern Africa, Annexure to Volume LXXII. 72pp + 25 plates.

8. Chance Find Protocol

Monitoring Programme for Palaeontology – to commence once the excavations and associated activities begin.

1. The following procedure is only required if fossils are seen on the surface and when excavations commence.
2. When excavations begin the rocks and must be given a cursory inspection by the environmental officer or designated person. Any fossiliferous material (trace fossils, fossils of plants, insects, bone or coalified material) should be put aside in a suitably protected place. This way the project activities will not be interrupted.
3. Photographs of similar fossils must be provided to the developer to assist in recognizing the fossil plants, vertebrates, invertebrates or trace fossils in the shales and mudstones (for example see Figure 8). This information will be built into the EMP's training and awareness plan and procedures.
4. Photographs of the putative fossils can be sent to the palaeontologist for a preliminary assessment.
5. If there is any possible fossil material found by the contractor/ environmental officer then the qualified palaeontologist sub-contracted for this project, should visit the site to inspect the selected material and check the dumps where feasible.
6. Fossil plants or vertebrates that are considered to be of good quality or scientific interest by the palaeontologist must be removed, catalogued and housed in a suitable institution where they can be made available for further study. Before the fossils are removed from the site a SAHRA permit must be obtained. Annual reports must be submitted to SAHRA as required by the relevant permits.
7. If no good fossil material is recovered then no site inspections by the palaeontologist will be necessary. A final report by the palaeontologist must be sent to SAHRA once the project has been completed and only if there are fossils.
8. If no fossils are found and the excavations have finished then no further monitoring is required.

9. Appendix A – Examples of fossils from the Vryheid Formation

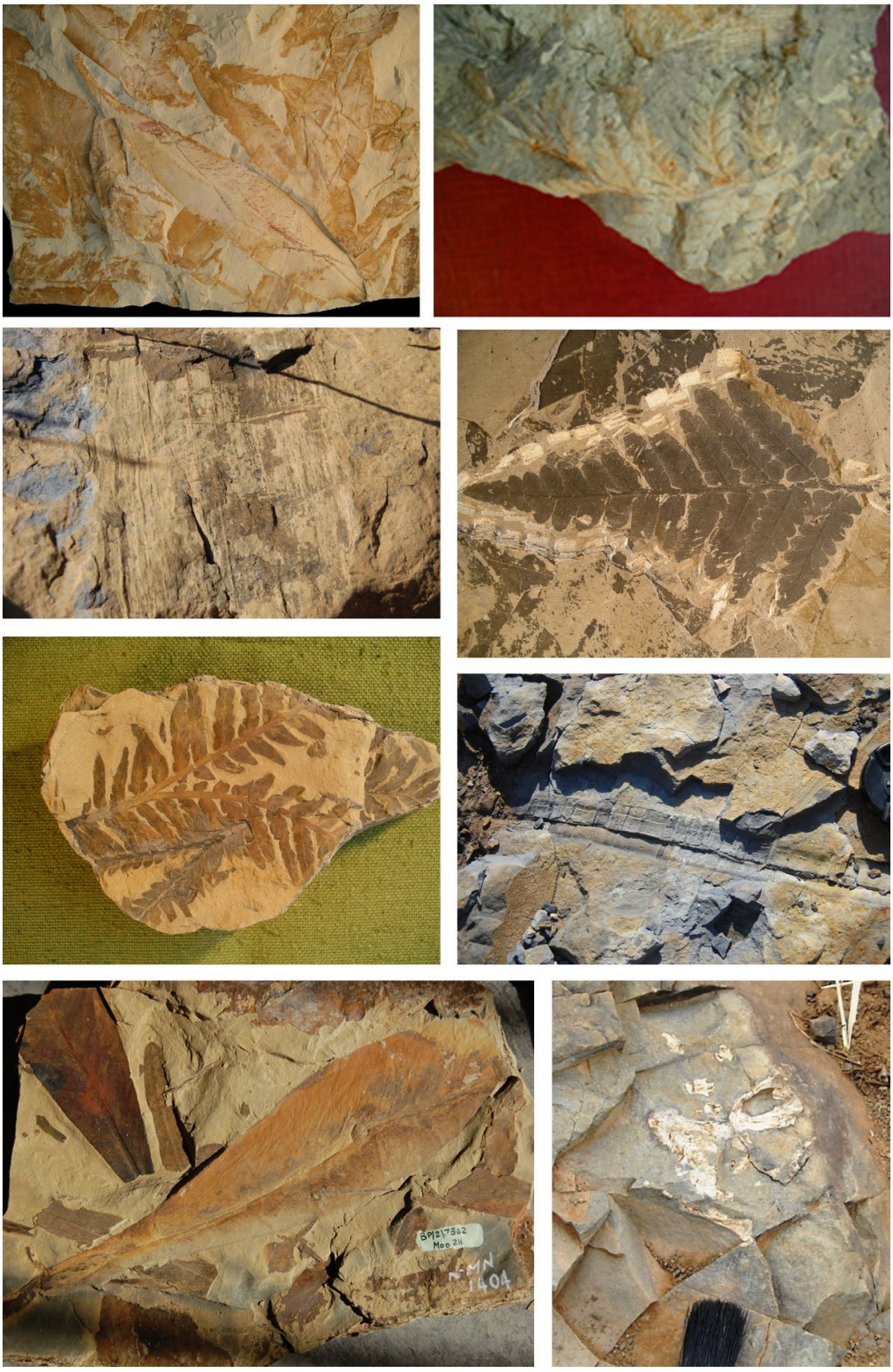

Figure 8: Photographs of fossil plants of the Glossopteris flora that occur in the Vryheid Formation.

10. Appendix B – Details of specialists

Marion Bamford (PhD)

Short CV for PIAs – Jan 2022

I) **Personal details**

Present employment : Professor; Director of the Evolutionary Studies Institute. Member Management Committee of the NRF/DST Centre of Excellence Palaeosciences, University of the Witwatersrand, Johannesburg, South Africa
Telephone : +27 11 717 6690
Fax : +27 11 717 6694
Cell : 082 555 6937
E-mail : marion.bamford@wits.ac.za ; marionbamford12@gmail.com

ii) **Academic qualifications**

Tertiary Education: All at the University of the Witwatersrand:
1980-1982: BSc, majors in Botany and Microbiology. Graduated April 1983.
1983: BSc Honours, Botany and Palaeobotany. Graduated April 1984.
1984-1986: MSc in Palaeobotany. Graduated with Distinction, November 1986.
1986-1989: PhD in Palaeobotany. Graduated in June 1990.

iii) **Professional qualifications**

Wood Anatomy Training (overseas as nothing was available in South Africa):
1994 - Service d'Anatomie des Bois, Musée Royal de l'Afrique Centrale, Tervuren, Belgium, by Roger Dechamps
1997 - Université Pierre et Marie Curie, Paris, France, by Dr Jean-Claude Koeniguer
1997 - Université Claude Bernard, Lyon, France by Prof Georges Barale, Dr Jean-Pierre Gros, and Dr Marc Philippe

iv) **Membership of professional bodies/associations**

Palaeontological Society of Southern Africa
Royal Society of Southern Africa - Fellow: 2006 onwards
Academy of Sciences of South Africa - Member: Oct 2014 onwards
International Association of Wood Anatomists - First enrolled: January 1991
International Organization of Palaeobotany – 1993+
Botanical Society of South Africa
South African Committee on Stratigraphy – Biostratigraphy - 1997 - 2016
SASQUA (South African Society for Quaternary Research) – 1997+
PAGES - 2008 –onwards: South African representative
ROCEEH / WAVE – 2008+
INQUA – PALCOMM – 2011+onwards

vii) **Supervision of Higher Degrees**

All at Wits University

Degree	Graduated/completed	Current
Honours	11	0
Masters	12	4
PhD	11	4
Postdoctoral fellows	12	2

viii) **Undergraduate teaching**

Geology II – Palaeobotany GEOL2008 – average 65 students per year
Biology III – Palaeobotany APES3029 – average 25 students per year
Honours – Evolution of Terrestrial Ecosystems; African Plio-Pleistocene Palaeoecology; Micropalaeontology – average 12 - 20 students per year.

ix) **Editing and reviewing**
Editor: Palaeontologia africana: 2003 to 2013; 2014 – Assistant editor
Guest Editor: Quaternary International: 2005 volume
Member of Board of Review: Review of Palaeobotany and Palynology: 2010 –
Associate Editor: Cretaceous Research: 2018-2020
Associate Editor: Royal Society Open: 2021 -
Review of manuscripts for ISI-listed journals: 25 local and international journals

x) **Palaeontological Impact Assessments**

Selected from recent project only – list not complete:

- Mala Mala 2017 for Henwood
- Modimolle 2017 for Green Vision
- Klipoortjie and Finaalspan 2017 for Delta BEC
- Ledjadja borrow pits 2018 for Digby Wells
- Lungile poultry farm 2018 for CTS
- Olienhout Dam 2018 for JP Celliers
- Isondlo and Kwasobabili 2018 for GCS
- Kanakies Gypsum 2018 for Cabanga
- Nababeep Copper mine 2018
- Glencore-Mbali pipeline 2018 for Digby Wells
- Remhoogte PR 2019 for A&HAS
- Bospoort Agriculture 2019 for Kudzala
- Overlooked Quarry 2019 for Cabanga
- Richards Bay Powerline 2019 for NGT
- Eilandia dam 2019 for ACO
- Eastlands Residential 2019 for HCAC
- Fairview MR 2019 for Cabanga
- Graspan project 2019 for HCAC
- Lieliefontein N&D 2019 for Enviropro
- Skeerpoort Farm Mast 2020 for HCAC
- Vulindlela Eco village 2020 for 1World
- KwaZamakhule Township 2020 for Kudzala
- Sunset Copper 2020 for Digby Wells
- McCarthy-Salene 2020 for Prescali
- VLNR Lodge 2020 for HCAC
- Madadeni mixed use 2020 for Enviropro
- Frankfort-Windfield Eskom Powerline 2020 for 1World
- Beaufort West PV Facility 2021 for ACO Associates
- Copper Sunset MR 2021 for Digby Wells
- Sannaspos PV facility 2021 for CTS Heritage
- Smithfield-Rouxville-Zastron PL 2021 for TheroServe
- Glosam Mine 2021 for AHSA

Xi) **Research Output**
Publications by M K Bamford up to January 2022 peer-reviewed journals or scholarly books: over 160 articles published; 5 submitted/in press; 10 book chapters.
Scopus h-index = 30; Google Scholar h-index = 38; -i10-index = 95
Conferences: numerous presentations at local and international conferences.

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Brandon Stuart CV

January 2022

After completing my BSc degree majoring in Zoology and Genetics in 2019. In 2020 enrolled and completed a BSc Hons. degree majoring in Zoology and specializing in Paleontology. My Honours research project was focused on describing the postcranial anatomy of the therocephalian *Moschorhinus kitchingi,* supervised by Dr. Jennifer Botha at the National Museum, Bloemfontein.
I am currently enrolled at the University of the Free State for my MSc degree in Palaeobiology. I am carrying out my research through the National Museum, Bloemfontein supervised by Dr. Jennifer Botha. My research is focused on studying the postcranial morphology of therocephalian therapsids from the Karoo Basin of South Africa.

Qualifications
BSc – Majors: Genetics and Geology - University of the Free State – 2019
BSc Honours – Palaeontology – University of the Free State – 2020
MSc – Palaeontology – University of the Free State – registered 2021, in progress.

PIA Fieldwork Experience
July 2021 – Sannaspos SEF, Free State, for CTS Heritage
October 2021 – Beatrix Mine-Theunissen Eskom Powerline for 1World
January 2022 – Fouriesburg residential development for Mang Geoenviron-mental

References:
Dr Jennifer Botha, Head of Palaeontology, National Museum, Bloemfontein
jbotha@nasmus.ac.za

Prof Jonah Choiniere, Evolutionary Studies Institute, University of the Witwatersrand, Johannesburg
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