



## NATIONAL HERITAGE COUNCIL OF SOUTH AFRICA

### BID DOCUMENT

INVITATION TO TENDER NO: NHC/03/11/2025

**CLOSING DATE: 11 DECEMBER 2025**

**TIME: 11:00AM**

**APPOINTMENT OF A SERVICE PROVIDER TO PROVIDE  
BANKING SERVICES FOR A PERIOD OF FIVE (5) YEARS TO THE  
NATIONAL HERITAGE COUNCIL**

Bidder Name: \_\_\_\_\_

Contact person: \_\_\_\_\_

Tel No: \_\_\_\_\_

Email address: \_\_\_\_\_

CSD Ref No: MAAA\_\_\_\_\_

## **1. BACKGROUND**

The National Heritage Council (NHC) of South Africa is a public entity that is responsible for the preservation of the country's heritage. The important areas that the NHC focusses on are policy development for the sector to meet its transformation goals, public awareness and education, knowledge production in heritage subjects that were previously neglected, as well as making funding available to projects that place heritage as a socio-economic resource.

The NHC is a Schedule 3A public entity in terms of the Public Finance Management Act 1 of 1999 as amended by Act 29 of 1999, that came into existence through an amendment of the Cultural Laws Second Amendment Act, Act 69 of 2001, and was officially constituted through the National Heritage Council Act, Act 11 of 1999.

This bid is linked to the approved Demand Management Plan and Procurement Plan for the 2025/2025 financial year. Bidders must note that any deviation from these plans will require approval and reporting in line with Treasury Regulations.

## **2. PURPOSE**

The NHC intends to enter into a service agreement with a suitable, qualified, experienced, capable service provider to provide banking services for a period of 5 years.

## **3. OBJECTIVE TO ACHIEVE**

The appointment of a new banking service provider is critical to ensure compliance with SCM legislative requirements and to promote transparency, fairness, and accountability in the management of the entity's financial affairs.

Furthermore, a competitive bidding process will allow the entity to:

- 3.1 Obtain value for money by evaluating the cost-effectiveness and quality of services offered by potential service providers.
- 3.2 Strengthen internal controls over banking and cash-handling processes.
- 3.3 Ensure alignment with the Public Finance Management Act (PFMA), Treasury Regulations, and internal SCM policies.
- 3.4 Establish a formal contractual relationship that clearly defines service levels, responsibilities, and performance standards.

The Procurement of new banking services will therefore enhance operational efficiency, mitigate financial and compliance risks, and contribute to improved governance within the finance function.

#### **4. TERMS AND CONDITIONS**

- 4.1. This Request for Proposal (RFP), as compiled by NHC is being made available, on the same basis to all bidders and a bidder submitting a response thereto will be deemed to do so on the basis that they acknowledge and accept the terms and conditions set by NHC.
- 4.2. The successful bidder shall upon receipt of written notification of an award, be required to sign a Service Level Agreement for a period of 5 years which will form an integral part of the General Conditions of Contract. This SLA will serve as a tool to measure, monitor and assess the supplier's performance and ensure effective delivery of service, quality and value add to NHC. The scope of work shall form part of the agreement.
- 4.3. The appointment and signing of the Service Level Agreement will only be executed by an official with delegated authority as per the entity's approved Delegation of Authority Framework. No contract will be valid without such delegation.

#### **5. CONFIDENTIALITY**

The bidder undertakes, at any time during the term of its appointment and after any termination or cancellation thereof, not to directly or indirectly disclose, or directly or indirectly use, whether for its own benefit or that of any other person any confidential information of NHC including that of or any information relating to its clients, customers, suppliers, donors, sponsors or agents.

#### **6. INTELLECTUAL PROPERTY RIGHTS**

- 6.1. All copyright and intellectual property rights that may result as consequences of the work to be performed will become the property of NHC.
- 6.2. The appointed bidder must hand over all documents and information in any format, including copies thereof that it received from NHC or that it had access to during the assignment immediately after completion of the assignments to NHC.
- 6.3. The appointed bidder shall deliver to NHC, on completion of an assignment, any security devices, passwords or protective mechanisms to the soft versions of documents that were written and NHC will have the right to amend and change these without obligation whatsoever to the supplier upon completion of the assignment.
- 6.4. A due diligence review may be conducted at the sole discretion of NHC at any time prior to the awarding of the contract which may include but is not limited to conducting site visits at bidder's corporate offices.
- 6.5. All instructions to the selected bidder shall be given in writing, by a duly authorized representative of NHC.
- 6.6. NHC promotes local production and content and for purposes of this RFP, NHC reserves the right to only consider South African Based bidders for appointment under this RFP.

- 6.7. NHC reserves the right to call the compliant or shortlisted bidders for a presentation.
- 6.8. Bidders may not cede or assign any part of their agreement with NHC nor subcontract any part of the work assigned to them without the prior written authorization of NHC.
- 6.9. Failure to comply with any conditions of this request for a proposal will invalidate respective tender proposal.
- 6.10. Regular monthly written feedback must be given to the IT department or his/her nominee on all matters, including employment law matters, received from the NHC with the bidder, at no cost to the NHC.
- 6.11. Payment of fees by NHC will be affected within 30 (thirty) days from the date of receipt of an acceptable invoice.
- 6.12. If any conflict of interest is discovered during a particular assignment, NHC reserves the right to summarily cancel the services agreement and demand that all information, documents and property of NHC be returned forthwith.
- 6.13. Where the service provider falls short of other fundamental aspects, NHC reserves the right to appoint a bidder outside the appointed bidder.
- 6.14. No entity may be involved, whether directly or indirectly, in more than one Bid in response to the invitation to bid.

**A failure to comply with this requirement may, within the sole discretion of NHC, result in disqualification of the relevant entity.**

## **7. SCOPE OF WORK**

The scope of work includes, but are not limited to:

### **7.1. HANDLING OF CASH**

Cash deposit facility (Cash protector / Similar).

### **7.2. ELECTRONIC BANKING SERVICES**

- 7.2.1. Direct online balance enquiry
- 7.2.2. Direct online statement enquiry
- 7.2.3. Direct online stop payment facility
- 7.2.4. An audit trail of all electronic fund transfers in/deposits
- 7.2.5. Direct online facility to enable transfer of funds between NHC bank accounts
- 7.2.6. Electronic direct debit facility to collect payments (Service provider and agent banks)
- 7.2.7. Electronic enquiry facility to access debit order rejections with codes

- 7.2.8. Online importing of batch payments
- 7.2.9. EFT payments with controls (e.g. max amount, number of payments per day, exceptions)
- 7.2.10. Audit trail of EFT payments (payee name, bank details)
- 7.2.11. Verification of accepted/rejected transfers
- 7.2.12. Integration with NHC's ERP System.

### **7.3. REPORTING, AUDIT TRAILS AND QUERIES**

- 7.3.1. Deposit error corrections reported within 48 hours with documentation
- 7.3.2. Online, real-time browsing of transactions
- 7.3.3. Audit confirmation letters/certificates
- 7.3.4. Softcopies of bank statements emailed after transacting (PDF and Excel)
- 7.3.5. Electronic format of bank statements for upload into NHC's ERP System NHC's ERP
- 7.3.6. Dedicated support team for queries (e.g., unidentifiable deposits)
- 7.3.7. Full details on returned/disputed debit orders available on request
- 7.3.8. Integration with NHC's ERP System

## **8. GENERAL REQUIREMENTS**

### **8.1. System Compatibility**

Service provider systems must integrate with NHC's ERP System.

### **8.2. Draft Agreements**

- 8.1.1 Submit a **draft Service Level Agreement (SLA)**
- 8.1.2 Submit a **draft Agreement for cash management facility**

### **8.3. Transition Plan**

The bidder must support both **Onboarding (April 2026)** and **Offboarding (March 2030)** transitions:

#### **8.3.1 Onboarding / Go-Live Transition Plan (April 2026):**

- 8.3.1.1 Account opening
- 8.3.1.2 User registration and access provisioning (ten Users)
- 8.3.1.3 Data migration
- 8.3.1.4 System integration and testing
- 8.3.1.5 Staff training (ten users)
- 8.3.1.6 Go-live sign-off

### **8.3.2 Contract Offboarding (March 2030):**

- 8.3.2.1 Final reporting and records handover
- 8.3.2.2 Secure archival of statements and EFT records
- 8.3.2.3 Closure of NHC accounts
- 8.3.2.4 Transfer of balances to newly appointed bank
- 8.3.2.5 Support during operational switchover

### **8.4. Reference Letters**

Reference letters must include:

- 8.4.1 Institution letterhead and signature
- 8.4.2 Project dates (within last 5 years)
- 8.4.3 Description of services
- 8.4.4 Value and satisfaction level

### **8.5. Credit Balances**

Positive balances must earn monthly interest/

## **9. COMPLIANCE AND REGULATORY REQUIREMENTS**

- 9.1 FICA Compliance: Proof of compliance and support for NHC adherence
- 9.2 POPIA Compliance: Secure handling of personal information
- 9.3 FAIS Act Compliance: Declaration that all financial advice will comply

## **10. CYBERSECURITY and DATA PROTECTION**

- 10.1 Cybersecurity Controls: Annual penetration testing and vulnerability Assessments.
- 10.2 Disaster Recovery and Data Backup: Business continuity plan with:
  - 10.2.1 Disaster recovery site
  - 10.2.2 Off-site backups
  - 10.2.3 System failover capabilities

## **11. ACCESSIBILITY and SUPPORT**

- 11.1 **Accessibility Standards:** Compliance with Web Content Accessibility Guidelines version 2.1 for all platforms.
- 11.2 **24/7 Support:** Around-the-clock technical and operational support, especially for:

- 11.2.1 System failures
- 11.2.2 Cybersecurity incidents
- 11.2.3 After-hours transactions

## **12. ACCOUNT MANAGEMENT and FLEXIBILITY**

- 12.1 Additional Accounts: Council may open additional accounts under same Terms.
- 12.2 Authorised Signatory Control:
  - 12.2.1 Secure digital platform
  - 12.2.2 Dual control features
  - 12.2.3 Full audit trail of updates

## **13. INTEGRATION REQUIREMENTS**

- 13.1 Web Services / API Integration: Real-time processing via API/web services with NHC's ERP System.
- 13.2 Testing Environment: Sandbox environment for safe integration testing

## **14. TRAINING AND SKILLS TRANSFER**

- 14.1 Training Sessions: Initial and refresher training for finance staff.
- 14.2 User Documentation: Manuals for online banking and EFT platforms.

## **15. REPORTING ENHANCEMENTS**

- 15.1 Custom Report Generation: Reports filtered by cost centre, department, etc.
- 15.2 Monthly Reconciliation Support: Automation or assistance for recon with NHC's ERP System.

## **16. ESG COMMITMENT (Optional but Recommended)**

Disclosure of ESG-aligned initiatives (e.g., green banking, digital-first services, community investments).

## **17. GOVERNANCE and RISK MANAGEMENT**

- 17.1 Quarterly Review Meetings: With NHC finance and audit team.
- 17.2 Audit Support: Full access to banking systems for internal/external audits

**18. CONTRACT PERIOD**

Five-year term: 01 April 2026 to 31 March 2030.

**19. CONTRACT PRICE ADJUSTMENTS**

- 19.1. Annual increase based on average **CPI** (as published by the Reserve Bank).
- 19.2. Any annual price adjustment based on CPI will require prior written approval from the Accounting Authority in compliance with PFMA Section 54 and Treasury Regulation 16A6.4. Unauthorised adjustments will be deemed a breach of contract.

**20. AWARDING OF BIDS**

The Council reserves the right to accept any part of a bid and is not obliged to accept the lowest bid.

**21. STATED QUANTITIES**

Estimated quantities only – for pricing purposes. Final volumes may vary.

**22. TERMS AND CONDITIONS OF PROPOSALS**

- 22.1. All costs and expenses incurred by potential service providers relating to their project proposal will be borne by each respective service provider. NHC is not liable to pay such costs and expenses or to reimburse or compensate service providers in the process under any circumstances, including the rejection of any proposal or the cancellation of this project.
- 22.2. While NHC endeavours to ensure that all information provided to all potential service providers is accurate, it makes no warranty as to the accuracy or completeness of any information provided by it.
- 22.3. NHC reserves the right to waive deficiencies in project proposals. The decision as to whether a deficiency will be waived or will require the rejection of a project proposal will be solely within the discretion of NHC.
- 22.4. NHC reserves the right to request new or additional information regarding each service provider and any individual or other persons associated with its project proposal.
- 22.5. NHC reserves the right not to make any appointment from the proposals submitted.
- 22.6. Service providers shall not make available or disclose details pertaining to their project proposal with anyone not specifically involved, unless authorised to do so by NHC.
- 22.7. Service providers shall not issue any press release or other public announcement pertaining to details of their project proposal without the prior written approval of the NHC.
- 22.8. Service providers are required to declare any conflict of interest they may have in the transaction for which the tender is submitted or any potential conflict of interest. NHC

reserves the right not to consider further any proposal where such a conflict of interest exists or where such potential conflict of interest may arise.

- 22.9. A good standing Tax compliance pin, issued by the South African Revenue Services, must be submitted.
- 22.10. All project proposals shall become the property of NHC and shall not be returned.
- 22.11. Service providers are advised that submission of a project proposal gives rise to no contractual obligations on the part of the NHC.
- 22.12. NHC reserves the right not to accept any proposal which does not comply with the terms of reference and conditions set out in the proposal documents.
- 22.13. NHC reserves the right not to award or not award the proposal to the service provider that scores the highest points.
- 22.14. Disputes that may arise between NHC and a service provider must be settled by means of mutual consultation, mediation (with or without legal representation) or, when unsuccessful, in a South African Court of Law.
- 22.15. All returnable proposal documents must be completed in full and submitted together with the service provider's quote.
- 22.16. The "Requirements of content of the project proposal" section above outlines the information that must be included in proposal offers. Failure to provide all or part of the information may result in your proposal being excluded from the evaluation process.
- 22.17. All goods and/or services to be supplied to NHC shall be in full compliance with South African approved standards and in compliance to the specifications provided.
- 22.18. NHC reserves the right to revise any aspect of these timeframes at any stage, and to amend the process at any stage.
- 22.19. If foreign exchange (forex) transactions are applicable, the bidder must comply with Treasury Regulation 8.2 and provide full disclosure of any forex exposure, including the mitigation measures in place to manage such exposure. At a minimum, the bidder must:
  - 22.19.1 Clearly outline the nature and extent of the forex risk;
  - 22.19.2 Specify the currencies involved and the anticipated impact of potential fluctuations;
  - 22.19.3 Indicate any hedging strategies to be employed, including forward exchange contracts, options, or other approved financial instruments;
  - 22.19.4 Disclose any financial institutions and financial instruments to be utilised for risk mitigation;
  - 22.19.5 Provide evidence of internal or external approvals obtained for the proposed forex management approach, where applicable.

All forex management measures must align with the requirements of Treasury Regulation demonstrate prudent financial risk management, and must be duly approved.

### **23. EVALUATION PROCESS AND CRITERIA**

All quotations will be evaluated based on compliance with compulsory requirements, compliance with specifications / Terms of Reference, Technical Functionality Criteria and the 80/20 preference point system prescribed by the Preferential Procurement Policy Framework Act 5 of 2000 and its Regulations.

The evaluation will be performed according to the following Phases:

#### **23.1.1 Evaluation Phase One (1):**

Compliance with administrative requirements stated in the standard bidding documents and mandatory requirements as listed above. In this evaluation stage, all bidders that fail to provide the required information and documentation will be disqualified from further evaluation on Technical / Functionality criteria as stipulated below.

#### **23.1.2 Eligibility Criteria**

Service providers that comply with the following eligibility criteria, will be considered for evaluation on compliance (Phase 2) with the requirements stipulated in this document. Service providers must:

| <b>No</b> | <b>Description</b>   | <b>Document included<br/>Yes / No</b> |
|-----------|--|---------------------------------------|
| 23.1.2.1  | A valid certificate of registration as a bank, issued by the South African Reserve Bank  |                                       |
| 23.1.2.2  | Valid Annual license to conduct the business of a bank from the South African Reserve Bank (SARS).   |                                       |
| 23.1.2.3  | Draft Service level Agreement  |                                       |
| 23.1.2.4  | Draft Agreement for cash management facility   |                                       |
| 23.1.2.5  | At least three written reference letters of banking services rendered to other municipalities / departmental entities / departments in the last ten (10) years |                                       |
| 23.1.2.6  | Business proposal  |                                       |
| 23.1.2.7  | Audited Financial Statements for past 2 years  |                                       |

Failure to submit any of the mandatory documents listed under Eligibility Criteria will result in automatic disqualification of the bid. No exceptions will be made.

#### **23.1.3 CONTACTABLE REFERENCES**

**Each reference must:**

23.1.3.1 Be on the institution's letterhead

23.1.3.2 Be signed and dated

- 23.1.3.3 Include detailed description of services
- 23.1.3.4 Indicate project duration and level of satisfaction
- 23.1.3.5 Be verifiable (contact numbers and email addresses)

**23.2 Evaluation Phase Two (2): Technical / Functionality Criteria**

Bidders must indicate their ability to execute the services as per criteria below and must complete the column as well as make reference to the page or section in the bidder’s proposal.

Only bids that comply with the above criteria as per phase 1, will be considered for evaluation on functional criteria. The minimum qualification score for functionality / technical evaluation is 70%. All bids that fail to achieve the minimum qualification score on functionality shall not be considered for further evaluation on Price and strategic goals.

NB: No Briefing Session or any presentation will be held. All enquiries to be directed to [procurement@nhc.org.za](mailto:procurement@nhc.org.za).

**23.2.1 Technical evaluation**

| <b>FUNCTIONALITY CRITERIA</b>   | <b>DESCRIPTION</b>                           | <b>POINTS ALLOCATED</b> | <b>WEIGHT</b> |
|---|--|-------------------------|---------------|
| <b>a) Company Experience</b>  |  |                         | <b>40</b>     |
| Bidder must provide at least three written reference letters for banking services rendered to other municipalities / departmental entities / departments in the last ten years.   | Five (5) plus letters                        | 40                      |               |
|   | Four (4) letters                             | 30                      |               |
|   | Three (3) letters                            | 20                      |               |
|   | Less than three (3)                          | 0                       |               |
| <b>b) Years of existence</b>  |  |                         | <b>30</b>     |
| A valid certificate of registration as a bank, issued by the South African Reserve Bank.  | Fifteen (15) plus years                      | 30                      |               |
|   | Evelen (11) to Fourteen (14) years           | 20                      |               |
|   | Ten (10) years                               | 10                      |               |
|   | Less than ten (10) years                     | 0                       |               |
| <b>c) Project Implementation Plan / Methodology</b>   |  |                         | <b>30</b>     |
| Bidder must provide a project implementation plan which details how the service will be phased in. The project plan must have deliverables and time frames. Time frames must be clearly indicated, indicating delivery times. | Project plan includes all key targets        | 30                      |               |
|   | Project plan did not include all key targets | 10                      |               |
|   | No Project Plan                              | 0                       |               |

| <b>FUNCTIONALITY CRITERIA</b>   | <b>DESCRIPTION</b> | <b>POINTS ALLOCATED</b> | <b>WEIGHT</b> |
|---|--------------------|-------------------------|---------------|
| Key project plan targets <ul style="list-style-type: none"> <li>• Clearly defined Steps and achievable milestones to achieve each objective.</li> <li>• Clearly defined Goals and objectives</li> <li>• Implementation timelines in line with the NHC timelines</li> <li>• Payment scheduled indicated in percentages and costing per project stage</li> <li>• Comprehensive business proposal</li> <li>• Added benefits (value add)</li> </ul> |                    |                         |               |
| <b>Total</b>  |                    |                         | <b>100</b>    |

***The bidder will have to score at least 70% or more to proceed to the next stage of evaluation.***

NB: Bidders who fail to obtain a minimum score of 70% on functionality will not be considered for further evaluation on price and preference points.

### **23.3 Evaluation on price and preference points**

23.3.1 The 80/20 principle will apply in terms of the Preferential Procurement Policy Framework Act of 2000.

23.3.2 80 Points will be allocated to price, and 20 Points will be allocated to the preference points as stated below. In terms of the Amended Preferential Procurement Regulations, 2022 will be allocated as follows:

| <b>No</b>        | <b>Price and Preference Points Evaluation Criteria</b>  | <b>Weight</b> | <b>Points allocated</b> |
|------------------|---|---------------|-------------------------|
| <b>23.3.2.1.</b> | <b>Price</b>  |               | <b>80</b>               |
|                  | Bidders must submit a comprehensive and detailed line-item (VAT inclusive) budget, as well as proposed hours and rates of personnel involved with reference to different levels of proposed resources to be utilized and an estimate of the recoverable expenses. (Pricing guide in 9.4 is applicable). |               |                         |
| <b>23.3.2.2.</b> | <b>Strategic Goals</b>  |               | <b>20</b>               |

| No | Price and Preference Points Evaluation Criteria   | Weight | Points allocated |
|----|---|--------|------------------|
|    | <p>The promotion of entities that are B-BBEE compliant. Points will be allocated as follows:</p> <ul style="list-style-type: none"> <li>- Level 1 B-BBEE level of Contribution – 10 points</li> <li>- Level 2 B-BBEE level of Contribution– 8 points</li> <li>- Level 3 B-BBEE level of Contribution – 7 points</li> <li>- Level 4 B-BBEE level of Contribution – 6 points</li> <li>- Level 5 B-BBEE level of Contribution – 5 points</li> <li>- Level 6 B-BBEE level of Contribution - 4 points</li> <li>- Level 7 B-BBEE level of Contribution – 3 points</li> <li>- Level 8 B-BBEE level of Contribution – 2 points</li> <li>- Level 9 – non-contributor - 0 points</li> </ul> <p>Only bidders that submit a valid current certified copy of the B-BBEE Certificate or original B-BBEE certificate issued by the verification agency accredited by SANAS or Sworn Affidavit signed by the EME representative and attested by a Commissioner of Oaths i.r.o (EMEs), will be considered for scoring.</p> | 10     |                  |
|    | The promotion of youth owned enterprises according to the percentage ownership.   | 10     |                  |
|    | <b>Total achievable score</b>   |        | <b>100</b>       |

**24. PRICING GUIDE**

Bidders are required to submit the bid proposal as per the following pricing guide: Any other costing must be added as an addendum.

| No    | Description / Project Phases                  | Year 1 | Year 2 | Year 3 | Year 4 | Year 5 |
|-------|---|--------|--------|--------|--------|--------|
| 24.1  | Minimum monthly fee per account.              |        |        |        |        |        |
| 24.2  | Monthly Electronic Banking Fee                |        |        |        |        |        |
| 24.3  | Cash Handling Fee                             |        |        |        |        |        |
| 24.4  | Audit confirmation letters / certificates     |        |        |        |        |        |
| 24.5  | Cash deposits under / over a prescribed value |        |        |        |        |        |
| 24.6  | Stop / reverse payments                       |        |        |        |        |        |
| 24.7  | Balance certificates                          |        |        |        |        |        |
| 24.8  | Inter account transfers                       |        |        |        |        |        |
| 24.9  | Download Bank Statements                      |        |        |        |        |        |
| 24.10 | Debit orders                                  |        |        |        |        |        |

| No  | Description / Project Phases                                      | Year 1 | Year 2 | Year 3 | Year 4 | Year 5 |
|---|---|--------|--------|--------|--------|--------|
| 24.11   | Transitional costs  |        |        |        |        |        |
| 24.12   | Forex payments  |        |        |        |        |        |
| 24.13   | Other (Specify) – If other payments are necessary, please include |        |        |        |        |        |
| <b>Sub-Total</b>  |   |        |        |        |        |        |
| VAT @ 15%   |   |        |        |        |        |        |
| <b>Total including VAT (To be taken to Form of Offer)</b> |   |        |        |        |        |        |

**All costs must be included / specified. No changes will be made to the bid offer after closure of the bid.**

The detailed breakdown of the cost for the period of five (5) years must be linked with the project plan. The costing must be customised according to the modules required.

The bidder must clearly disclose the interest earned on positive account balances, as well as any additional value-added benefits offered. These factors will be considered as added advantages during the final phase of the evaluation process.

**IMPORTANT:**

Bidders that failed to submit the proposal as per the provided table, will not be considered and the bid offer will be regarded as a non-responsive bid.

**25. SERVICE LEVEL AGREEMENT**

The successful service provider/s will be required to sign a service level agreement for the duration of the contract. No service may be rendered unless an official purchase order was issued and/or the Service Legal Agreement has been signed.

**26. BID SUBMISSION**

The completed bid submissions reflecting the bid number and the name of the bidder must be submitted on the eTender portal at [www.etenders.gov.za](http://www.etenders.gov.za) or via email to [tenders@nhc.org.za](mailto:tenders@nhc.org.za). The email address is an unattended email used for the submission of bid proposals only. No other submissions, including hand delivered submissions, will be accepted.

Emailed bid proposals must include in the subject line, the bid number and the bid name / project name applicable to this bid document. The name of the bidder must appear in the email address as well as the contact information. NHC reserves the right to communicate with the bidder via email if the attached document(s) cannot be opened.

Enquiries must be directed via email to Ms. Lungile Zwane at [l.zwane@nhc.org.za](mailto:l.zwane@nhc.org.za) cc [procurement@nhc.org.za](mailto:procurement@nhc.org.za) with the heading that includes the bid number and bid name in the subject line as well as the bidder name in the email. NB: No bid submission must be made on the enquiry emails.

Bid proposals must be submitted on the eTender portal or via email as stated above not later than the following date and time:

**Closing date: 11 December 2025**

**Time: 11:00am**

**IMPORTANT:** No hand delivered or couriered bid proposals will be accepted.

## **27. LATE SUBMISSION**

Bid documents submitted after the closing time and date, will not be considered. **No submissions sent by hand or facsimile will be accepted.** Bid proposals must reach the NHC via e-Tender or email by no later than the indicated date and time.

**Late bid proposals will not be accepted. (NB: Manual submission will not be accepted).**

## **28. LEGISLATIVE FRAMEWORK OF THE BID**

The bid is governed by the Public Finance Management Act (PFMA), 1999 (Act no 1 of 1999) as amended by Act 29 of 1999, Treasury Regulations, including but not limited to:

- 28.1. The Constitution of the Republic of South Africa;
- 28.2. National Treasury Instruction 03 of 2021/22 on preventing and combating abuse in SCM.
- 28.3. National Treasury Instruction No 1 and 1A of 2024/2025 on procurement processes.
- 28.4. All applicable National Treasury Instructions.

Compliance with these prescripts is mandatory.

## **29. COMPLIANCE WITH GENERAL CONDITIONS OF CONTRACT**

No alteration, variation or amendment of the Contract (of which this bid represents the offer) shall be permitted unless otherwise agreed to in writing. Should the prospective bidder, in the case of non-compliance, wish to make any amendments to the conditions stipulated by the NHC in this bid, which shall form the offer element of a Contract and if it is accepted by the NHC, then such proposed amendments shall be clearly stipulated by the prospective bidder and where possible, stating the increase or decrease in the cost involved by such proposals. The NHC reserves the right to reject such submissions.

**Misrepresentation of facts will result in disqualification and cancellation of the Contract.**

### **30. NATIONAL HERITAGE COUCIL LIABILITY**

The NHC does not bind itself to accept the lowest or any bid proposal, nor to assign any reason for the rejection of a bid proposal, not shall it be responsible for or pay expenses or losses that may be incurred by the prospective bidder in the preparation and delivery of its submission.

### **31. SUBMISSION ACCEPTANCE**

No submission shall be deemed to have been accepted, unless and until a formal appointment letter is issued to the successful bidders. Submissions shall remain open for acceptance by the NHC for a period of 90 (ninety) days from the date on which they are returnable in terms of this bid.

### **32. TERMS OF ENGAGEMENT**

- 32.1 The successful bidder shall not take more than one (1) month to deliver from date of bid award unless otherwise indicated and agreed between the bidder and the NHC.
- 32.2 The bidder shall be available for consultation with the NHC representative.
- 32.3 The Bidder shall manage confidential all data, information and insights gained in execution of work for the NHC.
- 32.4 NHC retains the right to negotiate with the successful bidder for partial execution of the proposal.
- 32.5 NHC retains the right to enter into a non-exclusive agreement with bidders that do not restrict the procurement of goods and services from other service providers.
- 32.6 NHC retains the right to require the bidder to obtain permission in writing from the NHC prior to replacement of individuals proposed for execution of the bid.
- 32.7 The proposals presented are to be as comprehensive as possible and NHC reserves the right to request the Bidder to provide more details.
- 32.8 Bidders shall adhere to the conditions stipulated in the General Conditions of Contract as prescribed by the National Treasury.
- 32.9 Bidders must ensure that the complete bid document is submitted with all additional required information and any other documents that the bidder wishes to supply to substantiate or clarify specific aspects in the proposal.

### **33. CONTENTS OF THE SUBMISSION**

The bid proposal must be structured in accordance with the requirements stipulated in this bid document, following the guideline as set below:

- 33.1 Company information, track record and relevant documentation. The bid proposal must include all relevant information about the bidder, which is thought appropriate to assist the NHC to assess its capabilities, capacity, outputs, value adding abilities, competitive advantage, etc.
- 33.2 Expertise and capacity of the bidder

- 33.3 Accredited professional membership
- 33.4 Quality control
- 33.5 Resource planning
- 33.6 Core management team proposed
- 33.7 The breakdown of the complete terms of reference with associated cost.
- 33.8 All Standard Bidding Documents (SBD) completed and signed.

**34. AMPLIFICATION OF SUBMISSIONS**

The NHC may, after the opening of submissions, call on the prospective bidder to amplify in writing any matter which is not clear in the prospective bidder's submission and such amplification shall form part of the original submission. In the event of the prospective bidder failing to supply such information, the submission will be liable to rejection.

**35. COST OF PROPOSAL**

Bidders shall bear the cost associated with the preparation and submission of their proposals, the NHC will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the bid.

**36. BID DOCUMENTS**

This document in its entirety serves as the complete bid document. Proposals offering only part of the requirements will be rejected. The bidder is expected to examine all corresponding instructions, forms, terms and specifications contained in this document. Failure to comply with these documents will be at the Bidder's risk and may affect the evaluation of their proposal.

**37. DOCUMENTS COMPRISING THE PROPOSAL**

In preparing the technical and price components of the submissions, all references to descriptive material and brochures should be included in the appropriate response paragraph, although material documents themselves may be provided as annexes to the proposal / response. Bidders are requested to focus on the provision of relevant information and to limit the amount of marketing and "boilerplate" material. The successful bidder's proposal may be incorporated in whole or in part in the final contract. Any information that the Bidder considers propriety should be marked as such.

**38. INFORMATION**

Information that the bidder considers propriety, if any, should be clearly marked "propriety" next to the relevant part of the text and it will be treated as such accordingly.

**39. PERIOD OF VALIDITY**

Proposals shall remain valid for hundred-and-twenty (120) days after the date of proposal submission. A proposal valid for a shorter period may be rejected by the NHC on the grounds that it is non-responsive.

In exceptional circumstances, the NHC may solicit the Bidder's consent to an extension of the period of validity. The request and the responses thereto shall be made in writing.

**40. INTERLINEATIONS**

A proposal shall contain no interlineations, erasures, or overwriting except, as necessary to correct errors made by the bidder, in which case such corrections shall be initiated by the person or persons signing the proposal.

**41. PAYMENTS**

The successful bidder shall be paid upon submission of an invoice for each transaction of satisfactory work detailed in the scope.

**42. DUE DILIGENCE EXERCISE**

The NHC reserves the right to perform due diligence exercise for the purpose of appointing a credible bidder.

**43. CANCELLATION OF THE BID**

The NHC reserves the right to cancel the bid at any time of the process should be recommended bidders fail to meet the requirements of the bid.

**44. SITE INSPECTION**

The NHC reserves the right to conduct a site inspection to the premises of the recommended bidder and/or the recommended bidder's clients at any given time.

**45. SUB-CONTRACTING / JOINT VENTURE BUSINESS**

Bidders must ensure that both / all bidders submit their tax compliant pin numbers, standard bidding documents and Central Supplier Database report.

The bid must be clear where it is submission by an individual company or Joint Venture or where sub-contracting is done. The agreements must be included and be specific to this bid document. The following, but not limited to, must be included:

- 45.1. Name of the companies in the Joint Venture
- 45.2. Tender number and tender description
- 45.3. Purpose of the agreement
- 45.4. Names and addresses of members

- 45.5. Roles and responsibilities / Duties and obligations in terms of this bid for each party
- 45.6. Percentage share of the work performed
- 45.7. Start and ending date of the joint agreement
- 45.8. Resources allocated to this project by each party
- 45.9. Voting and formal meeting requirements
- 45.10. Profit or loss allocation

**PART A  
INVITATION TO BID**

| YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF THE NATIONAL HERITAGE COUNCIL   |   |               |   |  |         |
|---|---|---------------|---|--|---------|
| BID NUMBER:   | NHC/03/11/2025  | CLOSING DATE: | 2025-12-11                              | CLOSING TIME:  | 11:00am |
| DESCRIPTION   | APPOINTMENT OF A SERVICE PROVIDER TO PROVIDE BANKING SERVICES FOR A PERIOD OF FIVE (5) YEARS TO THE NATIONAL HERITAGE COUNCIL |               |   |  |         |
| BID RESPONSE DOCUMENTS MAY BE SUBMITTED TO THE FOLLOWING ONLINE MEDIUMS   |   |               |   |  |         |
| eTender portal <a href="http://www.etender.gov.za">www.etender.gov.za</a>   |   |               |   |  |         |
| Email <a href="mailto:tenders@nhc.org.za">tenders@nhc.org.za</a> No hand delivered proposals for NHC/03/11/2025 will be accepted. |   |               |   |  |         |
| BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO  |   |               | TECHNICAL ENQUIRIES MAY BE DIRECTED TO: |  |         |
| CONTACT PERSON  | Mr. Sipehelele Skenjana   |               | CONTACT PERSON                          | Ms. Lungile Zwane  |         |
| TELEPHONE NUMBER  | 012 748-3910  |               | TELEPHONE NUMBER                        | 012 748-3910   |         |
| FACSIMILE NUMBER  |   |               | FACSIMILE NUMBER                        |  |         |
| E-MAIL ADDRESS  | <a href="mailto:procurement@nhc.org.za">procurement@nhc.org.za</a>  |               | E-MAIL ADDRESS                          | <a href="mailto:n.gawula@nhc.org.za">n.gawula@nhc.org.za</a> |         |
| SUPPLIER INFORMATION  |   |               |   |  |         |
| NAME OF BIDDER  |   |               |   |  |         |
| POSTAL ADDRESS  |   |               |   |  |         |
| STREET ADDRESS  |   |               |   |  |         |
| TELEPHONE NUMBER  | CODE  |               | NUMBER                                  |  |         |
| CELL PHONE NUMBER   |   |               |   |  |         |
| FACSIMILE NUMBER  | CODE  |               | NUMBER                                  |  |         |
| E-MAIL ADDRESS  |   |               |   |  |         |
| VAT REGISTRATION NUMBER   |   |               |   |  |         |
| SUPPLIER COMPLIANCE STATUS  | TAX COMPLIANCE SYSTEM PIN:  |               | <b>OR</b>                               | CENTRAL SUPPLIER DATABASE No:                                | MAAA    |

|  |  |   |  |
|--|--|---|--|
| ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES OFFERED? | <input type="checkbox"/> Yes <input type="checkbox"/> No<br>[IF YES ENCLOSE PROOF] | ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES OFFERED? | <input type="checkbox"/> Yes <input type="checkbox"/> No<br>[IF YES, ANSWER THE QUESTIONNAIRE BELOW] |
|--|--|---|--|

**QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS**

- IS THE ENTITY A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?  YES  NO
- DOES THE ENTITY HAVE A BRANCH IN THE RSA?  YES  NO
- DOES THE ENTITY HAVE A PERMANENT ESTABLISHMENT IN THE RSA?  YES  NO
- DOES THE ENTITY HAVE ANY SOURCE OF INCOME IN THE RSA?  YES  NO
- IS THE ENTITY LIABLE IN THE RSA FOR ANY FORM OF TAXATION?  YES  NO

**IF THE ANSWER IS “NO” TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER FOR A TAX COMPLIANCE STATUS SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 2.3 BELOW.**

**PART B  
TERMS AND CONDITIONS FOR BIDDING**

**1. BID SUBMISSION:**

- 1.1. BIDS MUST BE DELIVERED BY THE STIPULATED TIME TO THE CORRECT ADDRESS. LATE BIDS WILL NOT BE ACCEPTED FOR CONSIDERATION.
- 1.2. **ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED (NOT TO BE RE-TYPED) OR IN THE MANNER PRESCRIBED IN THE BID DOCUMENT.**
- 1.3. THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT, 2000 AND THE PREFERENTIAL PROCUREMENT REGULATIONS, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER SPECIAL CONDITIONS OF CONTRACT.
- 1.4. **THE SUCCESSFUL BIDDER WILL BE REQUIRED TO FILL IN AND SIGN A WRITTEN CONTRACT FORM (SBD7).**

**2. TAX COMPLIANCE REQUIREMENTS**

- 2.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.
- 2.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER'S PROFILE AND TAX STATUS.
- 2.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE WWW.SARS.GOV.ZA.
- 2.4 BIDDERS MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE BID.
- 2.5 IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED; EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER.
- 2.6 WHERE NO TCS PIN IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.
- 2.7 NO BIDS WILL BE CONSIDERED FROM PERSONS IN THE SERVICE OF THE STATE, COMPANIES WITH DIRECTORS WHO ARE PERSONS IN THE SERVICE OF THE STATE, OR CLOSE CORPORATIONS WITH MEMBERS PERSONS IN THE SERVICE OF THE STATE."

**NB: FAILURE TO PROVIDE / OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.**

SIGNATURE OF BIDDER: .....

CAPACITY UNDER WHICH THIS BID IS SIGNED: .....

(Proof of authority must be submitted e.g. company resolution)

DATE: .....

**PRICING SCHEDULE – FIRM PRICES  
(PURCHASES)**

**NOTE: ONLY FIRM PRICES WILL BE ACCEPTED. NON-FIRM PRICES (INCLUDING PRICES SUBJECT TO RATES OF EXCHANGE VARIATIONS) WILL NOT BE CONSIDERED**

**IN CASES WHERE DIFFERENT DELIVERY POINTS INFLUENCE THE PRICING, A SEPARATE PRICING SCHEDULE MUST BE SUBMITTED FOR EACH DELIVERY POINT**

|                     |                   |
|---------------------|-------------------|
| Name of bidder..... | Bid number.....   |
| Closing Time 11:00  | Closing date..... |

OFFER TO BE VALID FOR.....DAYS FROM THE CLOSING DATE OF BID.

| ITEM NO. | QUANTITY   | DESCRIPTION | BID PRICE IN RSA CURRENCY<br>** (ALL APPLICABLE TAXES INCLUDED) |
|----------|--|-------------|---|
| -        | Required by:                                     | .....       |   |
| -        | At:  | .....       |   |
| -        | Brand and model                                  | .....       |   |
| -        | Country of origin                                | .....       |   |
| -        | Does the offer comply with the specification(s)? |             | *YES/NO   |
| -        | If not to specification, indicate deviation(s)   | .....       |   |
| -        | Period required for delivery                     | .....       | *Delivery: Firm/not firm  |
| -        | Delivery basis                                   | .....       |   |

Note: All delivery costs must be included in the bid price, for delivery at the prescribed destination.

\*\* "all applicable taxes" includes value- added tax, pay as you earn, income tax, unemployment insurance fund contributions and skills development levies.

\*Delete if not applicable

**BIDDER’S DISCLOSURE**

**1. PURPOSE OF THE FORM**

Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

**2. Bidder’s declaration**

2.1 Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest<sup>1</sup> in the enterprise, employed by the state?

**YES/NO**

2.1.1 If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

| Full Name | Identity Number | Name of State institution |
|-----------|-----------------|---------------------------|
|           |                 |                           |
|           |                 |                           |
|           |                 |                           |
|           |                 |                           |

2.2 Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution? **YES/NO**

2.2.1 If so, furnish particulars:

---

1 the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.

.....  
.....

2.3 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are bidding for this contract? **YES/NO**

2.3.1 If so, furnish particulars:

.....  
.....

**3. DECLARATION**

I, the undersigned, name).....  
in submitting the accompanying bid, do hereby make the following statements that I certify to be true and complete in every respect:

- 1.1 I have read and I understand the contents of this disclosure;
- 1.2 I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect;
- 1.3 The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium<sup>2</sup> will not be construed as collusive bidding.
- 1.4 In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.
- 1.5 The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.
- 1.6 There have been no consultations, communications, agreements or arrangements made by the bidder with any official of the procuring institution in relation to this procurement process prior to and during the bidding process except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.

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<sup>2</sup> Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

1.7 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 1, 2 and 3 ABOVE IS CORRECT.

I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

|           |                |
|-----------|----------------|
| .....     | .....          |
| Signature | Date           |
| .....     | .....          |
| Position  | Name of bidder |

**DECLARATION OF INTEREST**

In order to assess the conflict of interest that may arise as a consequence of your potential future employment / contractual agreement by the National Heritage Council (NHC), you are required to disclose all interest, direct or indirect that may have the potential to undermine your impartiality as an employee / service provider of the NHC and/or impact on the interests of the NHC. These include interests which may be actual or potential, financial or non-financial, direct or indirect interest of a candidate / service provider, or of a close family member or related party of the candidate / service provider, in any business entity, undertaking or investor as a shareholder, director, associate, member, advisor / consultant, or in any other capacity.

|                            |  |   |  |
|----------------------------|--|---|--|
| <b>Name and Surname:</b>   |  | <b>Position Applied for and Division or RFQ / Bid No and Name</b> |  |
| <b>Nationality:</b>        |  | <b>Identify Number:</b>   |  |
| <b>Current Occupation:</b> |  | <b>Current Employer:</b>  |  |

1.

**EXECUTIVE AND NON-EXECUTIVE DIRECTORSHIPS AND PARTNERSHIPS**

| <b>Name of Company</b> | <b>Company Reg No</b> | <b>Directorship Type</b> | <b>Nature of Business</b> | <b>Address</b> | <b>% of Interest</b> | <b>Remuneration<br/>✧</b> | <b>Period</b> |
|------------------------|-----------------------|--------------------------|---------------------------|----------------|----------------------|---------------------------|---------------|
|                        |                       |                          |                           |                |                      |                           |               |
|                        |                       |                          |                           |                |                      |                           |               |
|                        |                       |                          |                           |                |                      |                           |               |
|                        |                       |                          |                           |                |                      |                           |               |
|                        |                       |                          |                           |                |                      |                           |               |

2.

**CURRENT COMPANY NOMINEE DIRECTORSHIP**

| Title / Business Unit | Name of Entity | Date Commenced | No. of Meetings | Remuneration payable / Received ✧ | Comments |
|-----------------------|----------------|----------------|-----------------|-----------------------------------|----------|
|                       |                |                |                 |                                   |          |
|                       |                |                |                 |                                   |          |
|                       |                |                |                 |                                   |          |

3.

**SHARES AND OTHER BUSINESS / FINANCIAL INTEREST (including JV, SPV, etc.)**

| Name of Company | Details | Date | Nominal Value |
|-----------------|---------|------|---------------|
|                 |         |      |               |
|                 |         |      |               |
|                 |         |      |               |

4.

**ALL REMUNERATED AND NON-REMUNERATED WORK OUTSIDE OF CURRENT EMPLOYMENT**

| Details of Interest | Sector | Remuneration ✧ |
|---------------------|--------|----------------|
|                     |        |                |
|                     |        |                |

| Details of Interest | Sector | Remuneration ✦ |
|---------------------|--------|----------------|
|                     |        |                |

5.

**BUSINESS INTEREST WITH NHC GROUP CLIENTS**

| Name of Business | Details of Business Relationship including financial implications | Date |
|------------------|---|------|
|                  |   |      |
|                  |   |      |

6.

**DIRECT OR INDIRECT RELATIONSHIP WITH SERVICE PROVIDERS OF NHC (Incl. Associates that are vendors to the NHC)**

| Company Name | Details of Relationship | Date Commenced |
|--------------|-------------------------|----------------|
|              |                         |                |
|              |                         |                |
|              |                         |                |

7.

**ANY OTHER FINANCIAL INTEREST**

| Details | Date Commenced |
|---------|----------------|
|         |                |

| Details | Date Commenced |
|---------|----------------|
|         |                |
|         |                |

8.

**DIRECTORSHIPS RESIGNED IN THE:**

**i) PAST 12 MONTHS**

| Company Name | Company Reg | Past 12 months |
|--------------|-------------|----------------|
|              |             |                |
|              |             |                |
|              |             |                |
|              |             |                |
|              |             |                |

**ii) PAST 5 YEARS**

| Company Name | Company Reg | Past 5 years |
|--------------|-------------|--------------|
|              |             |              |
|              |             |              |
|              |             |              |

| Company Name | Company Reg | Past 5 years |
|--------------|-------------|--------------|
|              |             |              |
|              |             |              |

9.

**LAND AND PROPERTY – BUSINESS RELATED ONLY**

| Details | Land Size | Land Area | Value |
|---------|-----------|-----------|-------|
|         |           |           |       |
|         |           |           |       |
|         |           |           |       |
|         |           |           |       |
|         |           |           |       |

10.

ARE YOU A POLITICALLY EXPOSED PERSON? YES  NO

ARE YOU A CLOSE FAMILY TO A POLICITICALLY EXPOSED PERSON? YES  NO

ARE YOU CLOSELY ASSOCIATED TO A POLITICALLY EXPOSED PERSON? YES  NO

“Politically Exposed Person” – According to the Financial Intelligence Centre (FIC) a politically exposed person (PEP) is the term used for an individual who is or has in the past been entrusted with prominent public functions in a particular country.

**“Close Family”** – are defined as individuals who are related to the PEP either directly (consanguinity) or through marriage or similar (civil) forms of partnership.

**“Close Associated”** – are individuals who are closely connected to a PEP, either socially or professionally.

| Name and Surname of PEP | Organisation Name where PEP is currently employed | Details of Relationship (e.g. PEP, Close Family or Closely Associated with the PEP) | Example of Relationship (e.g. Mother, Father, Aunt, etc.) | Date Commenced | Status (Active or Inactive) |
|-------------------------|---|---|---|----------------|-----------------------------|
|                         |   |   |   |                |                             |
|                         |   |   |   |                |                             |
|                         |   |   |   |                |                             |

11.

**ARE YOU OR ANY OF THE DIRECTORS DEFENDANTS IN ANY COURT OF LAW? YES  NO**

If Yes, state the nature of the case: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**DO YOU OR THE COMPANY HAVE ANY PENDING LITIGATION CASES? YES  NO**

If Yes, state the nature of the case: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**WERE YOU OR ANY OF THE DIRECTORS DECLARED INSOLVENT / TECHNICAL INSOLVENT? YES  NO**

**ARE YOU OR ANY OF THE DIRECTORS A GOING CONCERN? YES  NO**

**HAVE YOU OR ANY OF THE DIRECTORS FILED FOR VOLUNTARY / FORCED LIQUIDATION YES  NO**

| Name and Surname | Company Name<br>(Where applicable) | Voluntary / Forced<br>Liquidation | Date<br>Commenced |
|------------------|------------------------------------|-----------------------------------|-------------------|
|                  |                                    |                                   |                   |
|                  |                                    |                                   |                   |
|                  |                                    |                                   |                   |

I certify that all information is accurate and correct to the best of my knowledge. I further acknowledge that providing inaccurate, incorrect or misrepresented information will lead to me not being considered for any position / contract in the NHC.

You authorise the NHC to make enquiries to confirm any information provided by you (the candidate / service provider) including, but not limited to, to credit information, tertiary qualifications, employment references, identity verification, driver’s license verification, fraud prevention and Companies and Intellectual Property Commission (CIPC) related data and that the NHC may obtain such information from any agency when assessing your application, RFQ or Bid proposal.

\_\_\_\_\_ **Date:**

\_\_\_\_\_ **Signature:**

**This document must be signed and submitted together with your bid**

## **THE NATIONAL INDUSTRIAL PARTICIPATION PROGRAMME**

### **INTRODUCTION**

The National Industrial Participation (NIP) Programme, which is applicable to all government procurement contracts that have an imported content, became effective on the 1 September 1996. The NIP policy and guidelines were fully endorsed by Cabinet on 30 April 1997. In terms of the Cabinet decision, all state and parastatal purchases / lease contracts (for goods, works or services) entered into after this date, are subject to the NIP requirements. NIP is obligatory and therefore must be complied with. The Industrial Participation Secretariat (IPS) of the Department of Trade and Industry (DTI) is charged with the responsibility of administering the programme.

### **1. PILLARS OF THE PROGRAMME**

- 1.1. The NIP obligation is benchmarked on the imported content of the contract. Any contract having an imported content equal to or exceeding US\$ 10 million or other currency equivalent to US\$ 10 million will have a NIP obligation. The threshold of US\$ 10 million can be reached as follows:
  - (a) Any single contract with imported content exceeding US\$ 10 million.  
or
  - (b) Multiple contracts for the same goods, works or services each with imported content exceeding US\$ 3 million awarded to one seller over a 2-year period which in total exceeds US\$ 10 million.  
or
  - (c) A contract with a renewable option clause, where should the option be exercised the total value of the imported content will exceed US\$ 10 million.  
or
  - (d) Multiple suppliers of the goods, works or services under the same contract, where the value of the imported content of each allocation is equal to or exceeds US\$ 3 million worth of goods, works or services to be the same government institution, which in total over two (2) year period exceeds US\$ 10 million.
- 1.2. The NIP obligation applicable to suppliers in respect of sub-paragraphs 1.1 (a) to 1.1 (c) above will amount to 30% of the imported content whilst suppliers in respect of paragraph 1.1 (d) shall incur 30% of the total NIP obligation on a pro-rata basis.
- 1.3. To satisfy the NIP obligation, the DTI would negotiate and conclude agreements such as investments, joint ventures, sub-contracting, licensee production, export promotion, sourcing arrangements and research and development (R&D) with partners or suppliers.

- 1.4. A period of seven years has been identified at the time frame within which to discharge the obligation.

## **2. REQUIREMENTS OF THE DEPARTMENT OF TRADE AND INDUSTRY**

- 2.1 In order to ensure effective implementation of the programme, successful bidders (contractors) are required to, immediately after the award of a contract that is in excess of **R 10 million** (ten million Rands), submit details of such a contract to the DTI for reporting purposes.
- 2.2 The purpose for reporting details of contracts in excess of the amount of R 10 million (ten million Rands) is to cater for multiple contracts for the same goods, works or services; renewable contracts and multiple suppliers for the same goods, works or services under the same contract as provided for in paragraphs 1.1 (b) to 1.1 (d) above.

## **3. BID SUBMISSION AND CONTRACT REPORTING REQUIREMENTS OF BIDDERS AND SUCCESSFUL BIDDERS (CONTRACTORS)**

- 3.1. Bidders are required to sign and submit this Standard Bidding Document (SBD 5) together with the bid on the closing date and time.
- 3.2. In order to accommodate multiple contracts for the same goods, works or services, renewable contracts and multiple suppliers for the same goods, works or services under the same contract as indicated in sub-paragraphs 1.1 (b) to 1.1 (d) above and to enable the DTI in determining the NIP obligation, successful bidders (contractors) are required, immediately after being officially notified about any successful bid with a value in excess of R 10 million (ten million Rands), to contact and furnish the DTI with the following information:
  - Bid / contract number
  - Description of the goods, works or services
  - Date on which the contract was accepted
  - Name, address and contact details of the government institution
  - Value of the contract
  - Imported content of the contract, if possible.
- 3.3. The information required in paragraph 3.2 above must be sent to the Department of Trade and Industry, Private Bag X 84, Pretoria, 0001 for the attention of Mr. Elias Malapane within five (5) working days after award of the contract. Mr. Malapane may be contacted on telephone (012) 394-1401, facsimile (012) 394-2401 or email at [Elias@thedti.gov.za](mailto:Elias@thedti.gov.za) for further details about the programme.

## **4. PROCESS TO SATISFY THE NIP OBLIGATION**

- 4.1. Once the successful bidder (contractor) has made contact with and furnished the DTI with the information required, the following steps will be followed:
  - a. The contractor and the DTI will determine the NIP obligation;
  - b. The contractor and the DTI will sign the NIP obligation agreement;
  - c. The contractor will submit a performance guarantee to the DTI;
  - d. The contractor will submit a business concept for consideration and approval by the DTI;



- e. Upon approval of the business concept by the DTI, the contractor will submit detailed business plans outlining the business concepts;
  - f. The contractor will implement the business plans; and
  - g. The contractor will submit bi-annual progress reports on approved plans to the DTI.
- 4.2. The NIP obligation agreement is between the DTI and the successful bidder (contractor) and, therefore, does not involve the purchasing institution.

|                                   |                                 |
|-----------------------------------|---------------------------------|
| <b>Bid Number: NHC/03/11/2025</b> | <b>Closing date: 2025-12-11</b> |
| Name of Bidder: _____             |                                 |
| Postal address: _____<br>_____    |                                 |
| Signature: _____                  | Name (in print): _____          |
| Date: _____                       |                                 |



## PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL PROCUREMENT REGULATIONS 2022

This preference form must form part of all tenders invited. It contains general information and serves as a claim form for preference points for specific goals.

**NB: BEFORE COMPLETING THIS FORM, TENDERERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF THE TENDER AND PREFERENTIAL PROCUREMENT REGULATIONS, 2022**

### 1. GENERAL CONDITIONS

1.1 The following preference point systems are applicable to invitations to tender:

- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
- the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

### 1.2 To be completed by the organ of state

*(delete whichever is not applicable for this tender).*

- a) The applicable preference point system for this tender is the **80/20** preference point system.
- b) The **80/20 preference point system** will be applicable in this tender. The lowest / highest acceptable tender will be used to determine the accurate system once tenders are received.

1.3 Points for this tender (even in the case of a tender for income-generating contracts) shall be awarded for:

- (a) Price; and
- (b) Specific Goals.

### 1.4 To be completed by the organ of state:

The maximum points for this tender are allocated as follows:

|  | POINTS     |
|--|------------|
| PRICE  | 80         |
| SPECIFIC GOALS                                   | 20         |
| <b>Total points for Price and SPECIFIC GOALS</b> | <b>100</b> |



- 1.5 Failure on the part of a tenderer to submit proof or documentation required in terms of this tender to claim points for specific goals with the tender, will be interpreted to mean that preference points for specific goals are not claimed.
- 1.6 The organ of state reserves the right to require of a tenderer, either before a tender is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the organ of state.

## **2. DEFINITIONS**

- (a) **“tender”** means a written offer in the form determined by an organ of state in response to an invitation to provide goods or services through price quotations, competitive tendering process or any other method envisaged in legislation;
- (b) **“price”** means an amount of money tendered for goods or services, and includes all applicable taxes less all unconditional discounts;
- (c) **“rand value”** means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes;
- (d) **“tender for income-generating contracts”** means a written offer in the form determined by an organ of state in response to an invitation for the origination of income-generating contracts through any method envisaged in legislation that will result in a legal agreement between the organ of state and a third party that produces revenue for the organ of state, and includes, but is not limited to, leasing and disposal of assets and concession contracts, excluding direct sales and disposal of assets through public auctions; and
- (e) **“the Act”** means the Preferential Procurement Policy Framework Act, 2000 (Act No. 5 of 2000).

## **3. FORMULAE FOR PROCUREMENT OF GOODS AND SERVICES**

### **3.1. POINTS AWARDED FOR PRICE**

#### **3.1.1 THE 80/20 OR 90/10 PREFERENCE POINT SYSTEMS**

A maximum of 80 or 90 points is allocated for price on the following basis:

|   |           |   |  |
|---|-----------|---|--|
| <b>80/20</b>  | <b>or</b> | <b>90/10</b>  |  |
| $Ps = 80 \left( 1 - \frac{Pt - P_{min}}{P_{min}} \right)$ | or        | $Ps = 90 \left( 1 - \frac{Pt - P_{min}}{P_{min}} \right)$ |  |

Where

- Ps = Points scored for price of tender under consideration  
 Pt = Price of tender under consideration  
 Pmin = Price of lowest acceptable tender

### **3.2. FORMULAE FOR DISPOSAL OR LEASING OF STATE ASSETS AND INCOME GENERATING PROCUREMENT**



### 3.2.1. POINTS AWARDED FOR PRICE

A maximum of 80 or 90 points is allocated for price on the following basis:

$$\begin{array}{ccc} 80/20 & \text{or} & 90/10 \\ \\ Ps = 80 \left( 1 + \frac{Pt - P_{max}}{P_{max}} \right) & \text{or} & Ps = 90 \left( 1 + \frac{Pt - P_{max}}{P_{max}} \right) \end{array}$$

Where

- Ps = Points scored for price of tender under consideration
- Pt = Price of tender under consideration
- Pmax = Price of highest acceptable tender

## 4. POINTS AWARDED FOR SPECIFIC GOALS

- 4.1. In terms of Regulation 4(2); 5(2); 6(2) and 7(2) of the Preferential Procurement Regulations, preference points must be awarded for specific goals stated in the tender. For the purposes of this tender the tenderer will be allocated points based on the goals stated in table 1 below as may be supported by proof/ documentation stated in the conditions of this tender:
- 4.2. In cases where organs of state intend to use Regulation 3(2) of the Regulations, which states that, if it is unclear whether the 80/20 or 90/10 preference point system applies, an organ of state must, in the tender documents, stipulate in the case of—
  - (a) an invitation for tender for income-generating contracts, that either the 80/20 or 90/10 preference point system will apply and that the highest acceptable tender will be used to determine the applicable preference point system; or
  - (b) any other invitation for tender, that either the 80/20 or 90/10 preference point system will apply and that the lowest acceptable tender will be used to determine the applicable preference point system,then the organ of state must indicate the points allocated for specific goals for both the 90/10 and 80/20 preference point system.

**Table 1: Specific goals for the tender and points claimed are indicated per the table below.**

***(Note to organs of state: Where either the 90/10 or 80/20 preference point system is applicable, corresponding points must also be indicated as such.***

***Note to tenderers: The tenderer must indicate how they claim points for each preference point system.)***



| The specific goals allocated points in terms of this tender   | Number of points allocated (80/20 system) (To be completed by the organ of state) | Number of points claimed (80/20 system) (To be completed by the tenderer) |
|---|---|---|
| <p>The promotion of entities that are B-BBEE compliant. Points will be allocated as follows:</p> <ul style="list-style-type: none"> <li>- Level 1 B-BBEE level of Contribution – 10 points</li> <li>- Level 2 B-BBEE level of Contribution– 8 points</li> <li>- Level 3 B-BBEE level of Contribution – 7 points</li> <li>- Level 4 B-BBEE level of Contribution – 6 points</li> <li>- Level 5 B-BBEE level of Contribution – 5 points</li> <li>- Level 6 B-BBEE level of Contribution - 4 points</li> <li>- Level 7 B-BBEE level of Contribution – 3 points</li> <li>- Level 8 B-BBEE level of Contribution – 2 points</li> <li>- Level 9 – non-contributor - 0 points</li> </ul> <p>Only bidders that submit a valid current certified copy of the B-BBEE Certificate or original B-BBEE certificate issued by the verification agency accredited by SANAS or Sworn Affidavit signed by the EME representative and attested by a Commissioner of Oaths i.r.o (EMEs), will be considered for scoring.</p> | 10  |   |
| The promotion of youth owned enterprises according to the percentage ownership.   | 10  |   |

**DECLARATION WITH REGARD TO COMPANY/FIRM**

- 4.3. Name of company/firm.....
- 4.4. Company registration number: .....
- 4.5. TYPE OF COMPANY/ FIRM



- Partnership/Joint Venture / Consortium
- One-person business/sole propriety
- Close corporation
- Public Company
- Personal Liability Company
- (Pty) Limited
- Non-Profit Company
- State Owned Company

[TICK APPLICABLE BOX]

4.6. I, the undersigned, who is duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the specific goals as advised in the tender, qualifies the company/ firm for the preference(s) shown and I acknowledge that:

- i) The information furnished is true and correct;
- ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;
- iii) In the event of a contract being awarded as a result of points claimed as shown in paragraphs 1.4 and 4.2, the contractor may be required to furnish documentary proof to the satisfaction of the organ of state that the claims are correct;
- iv) If the specific goals have been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the organ of state may, in addition to any other remedy it may have –
  - (a) disqualify the person from the tendering process.
  - (b) recover costs, losses or damages it has incurred or suffered as a result of that person’s conduct;
  - (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
  - (d) recommend that the tenderer or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied; and
  - (e) forward the matter for criminal prosecution, if deemed necessary.

|  |
|--|
| .....<br><b>SIGNATURE(S) OF TENDERER(S)</b>      |
| <b>SURNAME AND NAME:</b> .....                   |
| <b>DATE:</b> .....                               |
| <b>ADDRESS:</b> .....<br>.....<br>.....<br>..... |



**Annexure C: POPIA**

**POPIA: SUPPLIER NOTICE AND CONSENT FORM**

I confirm that I am duly authorised to sign this consent form.

|  |  |
|--|--|
| <b>Full Name (Print)</b>               |  |
| <b>Capacity</b>                        |  |
| <b>Signature</b>                       |  |
| <b>Name of Company (If Applicable)</b> |  |
| <b>Date</b>                            |  |

(Hereinafter referred to as the “**Data Subject**”)

A company / organisation duly incorporated under the laws of the Republic of South Africa, having its main place of business at:

\_\_\_\_\_,  
with Registration number \_\_\_\_\_.

**Preparatory Statement**

Whereas the “**Data Subject**” agrees with the contents of this Notice and Consent Form and grants NHC permission to process certain confidential / personal information, for purposes of

\_\_\_\_\_

whereas the “Data Subject” is considering making an offer (the “Offer”) to NHC on a solicited Bid/RFQ/RFP/RFI, subject to conducting due diligence, because of which certain confidential / personal information of the Data Subject may be disclosed to NHC.

The Data Subject hereby gives consent to the following:

Where the supplier is a juristic person, this consent applies to the personal information of its representative’s directors, or employees whose data may be processed during the procurement process

**1. Purposes**

NHC will process, including collect, personal information (as set out in point 2 below) for the following purposes:

1.1. Strategic sourcing



- 1.2. Procurement
- 1.3. Contract management
- 1.4. Supplier management
- 1.5. Invoice management
- 1.6. Debt recovery
- 1.7. Fraud prevention
- 1.8. Supplier discovery

The provision of personal information is voluntary. However, if personal information is not provided, NHC might not be able to perform the above-mentioned purposes.

## **2. Legal basis for the processing**

Personal information is processed on the basis that:

- i) processing information is necessary for pursuing the NHC's legitimate interests (according to section 11(1) of the Protection of Personal Information Act , No 4 of 2013 (POPIA), which lies in achieving the purposes as set out in point 1 above,
- ii) processing is necessary to carry out actions for the conclusion or performance of "supply chain management functions" for which you are party (according to section 11(1)(b) of POPIA) or
- iii) processing complies with an obligation imposed by law on NHC (according to section 11(1)(c) of POPIA).

NHC process the following personal information (for specific natural or juristic person and can be used to identify you or that person):

### **2.1. Master data**

- a) Name
- b) Addresses
- c) Contact numbers
- d) Email address
- e) Other contact details of the supplier
- f) Supplier primary contact person's name and contact information

- g) Job position and role / qualifications
- h) Partner roles of the suppliers needed for invoicing and ordering
- i) Identification / company registration number
- j) BBBEE status
- k) Central Supplier Database number

**2.2. Accounting and payment information**

- a) VAT and Income tax numbers
- b) Tax clearance pin
- c) Bank details
- d) Bank account type and number
- e) Name of the account holder
- f) Attachment of confirmation documents
- g) Terms of payment
- h) Accounting correspondence

**2.3. Supplier classification**

- a) Category
- b) Vender portfolio
- c) Product categories
- d) Main product category
- e) Additional product categories
- f) Vendor category

**2.4. Declared conflict or potential conflict of interest**

**2.5. Information on goods and/or services offered by supplier**

- a) Quantity and quality of offered goods and/or services
- b) Other commercial terms of the offer





## 2.6. Contract information

- a) Commercial terms of the contract
- b) Legal terms of the contract
- c) Any other contractual documentation
- d) Information about contract performance and instances of non-performance

## 3. Retention periods

Personal information will only be kept for as long as the NHC reasonably consider necessary for achieving the purposes set out in point 1 above and as is permissible under applicable laws. NHC will, in any case, retain personal information for as long as there are statutory retention obligations or potential legal claims are not yet time barred.

## 4. Law enforcement

NHC may disclose personal information if required:

- a) By a subpoena or court order
- b) To comply with any law
- c) To protect the safety of any individual or the public
- d) To prevent violation of supplier relation terms.

## 5. Regulators

NHC may disclose personal information as required by law or governmental audit.

## 6. Sharing

NHC may share personal information with:

- a) other divisions or public entities within the South African Government as the South African Weather Service (SAWS) is a Section 3(a) public entity under the Ministry of Environmental Affairs and is governed by a Board, so as to provide joint content and services like registration, for transactions and customer support, to help detect and prevent potentially illegal acts and violations of our policies, and to guide decisions about our products, services.
- b) an affiliate, in which case we will seek to require the affiliates to honour this privacy notice.
- c) our goods or services providers under contract who help provide certain goods or services or help with parts of our business operations, including fraud prevention, bill collection, marketing technology services (our contracts dictate that these goods or services providers only use information in connection with the goods or services they supply or services they perform for the SAWS and not for their own benefit).

- d) credit bureaus to report account information, as permitted by law
- e) banking partners as required by credit card association rules for inclusion on their list of terminated merchants (in the event that you utilize the services to receive payments and you meet their criteria)
- f) other third parties who provide us with relevant services, where appropriate.

## **7. Supplier rights**

Under applicable law, you have, among others, the rights (under the conditions set out in applicable law):

- i) To check whether and what kind of personal data we hold about you and to request access to and the right to rectify the information collected
- ii) In certain circumstances, to object to the processing of personal information, in the prescribed manner, on reasonable grounds relating to your particular situation, unless legislation provides for such processing or to object for the purposes of direct marketing; or

For complaints or queries, you may contact the Information Regulator:

**Address:** 33 Hoofd Street, Forum III, 3rd Floor, Braampark, Braamfontein, Johannesburg

**Email:** [inforeg@justice.gov.za](mailto:inforeg@justice.gov.za)

**Website:** <https://www.justice.gov.za/inforeg>

## **8. Your obligations**

You may only send us your own personal information or the information of another data subject where you have their permission to do so.

## **9. Security**

We take the security of personal information very seriously and always do our best to comply with applicable data protection laws. Our website is hosted in a secure server environment that uses a firewall and other advanced security measures to prevent interference or access from outside intruders. We authorize access to personal information only for those employees who require it to fulfil their job responsibilities. We implement disaster recovery procedures where appropriate.

## **10. Data Storage**

We will try to keep the personal information we collect as accurate, complete, and up to date as is necessary for the purposes defined in this notice. Please note that to better protect you and safeguard your personal information, please inform us of any required corrections to your personal information.



**11. Limitation**

We are not responsible for, give no warranties, nor make any representations in respect of the privacy policies/notices or practices of any third parties.

**12. Enquiries**

Any queries or concerns arising from this notice and consent from or the way in which NHC handle personal information, please contact the National Heritage Council as follows:

NATIONAL HERITAGE COUNCIL  
2<sup>nd</sup> floor, Building 3, 221 Summit Place Office Park  
Menlyn, Pretoria  
+27 12 748-3910  
Email: [enquiries@nhc.org.za](mailto:enquiries@nhc.org.za)



## **Annexure D: NHC's Supplier Code of Conduct**

### **ADHERENCE TO THE NATIONAL HERITAGE COUNCIL'S CODE OF ETHICS**

#### **1. INTRODUCTION**

The National Heritage Council (NHC) is committed to maintaining the highest standards of ethics and integrity in all its operations. The Code of Conduct outlines the expectations and requirements for all suppliers, contractors and service providers (collectively referred to as "Suppliers") who wish to do business with the NHC.

#### **2. COMPLIANCE WITH LAWS AND REGULATIONS**

Suppliers must comply with all applicable laws, regulations and standards, including but not limited to:

- 2.1. Section 195 and Section 217 of the Constitution of the Republic of South Africa
- 2.2. The Prevention and Combating of Corrupt Activities Act, 2004 (PRECCA)
- 2.3. The Public Financial Management Act, 1999 (PFMA)
- 2.4. The Protection of Personal Information Act, 2013 (POPIA)
- 2.5. Treasury Regulations as issued in terms of the PFMA
- 2.6. Any other legislative requirements conducting crime in public procurement.

#### **3. ETHICAL BUSINESS PRACTICES**

Suppliers are expected to conduct their business in an ethical manner and act with integrity. This includes:

##### **3.1 Anti-Corruption and Bribery**

Suppliers must not engage in any form of bribery, corruption, or unethical practices. Offering, giving, receiving or soliciting any form of bribe or kickback is strictly prohibited.

##### **3.2 Fraud Prevention**

Suppliers must not engage in fraudulent activities, including misrepresentation of facts, falsification of documents or any other form of deceit.

##### **3.3 Fair Competition**

Suppliers must compete fairly and not engage in anti-corruptive practices.





#### **4. CONFLICT OF INTEREST**

Suppliers must avoid any conflict of interest that could impair their ability to act impartially and in the best interest of the NHC. Any potential conflicts of interest must be disclosed to the NHC immediately.

All bidders and their representatives must acknowledge that officials of the National Heritage Council are bound by PFMA Section 50(2) fiduciary duties. Any attempts to induce or influence officials to act contrary to these duties will result in disqualification and reporting to law enforcement authorities.

#### **5. GIFTS AND HOSPITALITY**

Suppliers must not offer or accept gifts, hospitality or other benefits that could influence or appear to influence business decisions.

Acceptable tokens of appreciation must be of nominal value and in line with customary business practices.

#### **6. CONFIDENTIALITY AND DATA PROTECTION**

Suppliers must protect the confidentiality of all information received from the NHC and must not disclose any confidential information to third parties without prior written consent. Suppliers must also comply with all applicable data protection laws and regulations.

#### **7. LABOUR PRACTICES**

Suppliers must uphold the highest standards of human rights and labour practices, including:

##### **7.1. Non-Discrimination**

Suppliers must not discriminate against any employee or applicant based on race, gender, age, disability, religion or any other protected characteristic.

##### **7.2. Fair Treatment**

Suppliers must treat all employees with respect and dignity and must not engage in any form of harassment or abuse.

##### **7.3. Child Labour**

Suppliers must not use child labour and must comply with all applicable child labour laws.

#### **8. HEALTH AND SAFETY**

Suppliers must provide a safe and healthy working environment for their employees and comply with all applicable health and safety laws and regulations.



**9. ENVIRONMENTAL RESPONSIBILITY**

Suppliers must comply with all applicable environmental laws and regulations and strive to minimise their environmental impact.

**10. REPORTING AND WHITLEBLOWING**

Suppliers must report any suspected violations of this Code of Conduct or any unethical behaviour to the NHC. The NHC is committed to ensure the confidentiality of reports received.

**11. ENFORCEMENT AND COMPLIANCE**

Failure to comply with the Code of Conduct may result in the termination of the supplier’s contract and other legal actions. The NHC reserves the right to audit and monitor compliance with the Code of Conduct.

Non-compliance with this Code of Conduct may result in:

- a) Immediate termination of the supplier’s contract;
- b) Disqualification from future procurement opportunities with the NHC; and or blacklisting of the supplier and its directors with the relevant authority;
- c) Reporting to relevant regulatory or law enforcement authorities;
- d) Civil or criminal proceedings where applicable

I, the undersigned, hereby agree to the conditions as stipulated in the Code of Conduct and are committed to oblige with the terms and conditions as specified in the Code of Conduct. I confirm that I have read and understand the terms and conditions as set out in the Code of Conduct and have the Power of Attorney to sign this document on behalf of the supplier.

|                                       |  |
|---------------------------------------|--|
| <b>Full Name and Surname (Print):</b> |  |
| <b>Designation / Role in company:</b> |  |
| <b>Supplier Name:</b>                 |  |
| <b>Signature:</b>                     |  |
| <b>Date:</b>                          |  |



**Annexure E: Supplier Evaluation Checklist**

|                                      |
|--------------------------------------|
| <b>SUPPLIER EVALUATION CHECKLIST</b> |
|--------------------------------------|

|                         |  |
|-------------------------|--|
| <b>Supplier Name:</b>   |  |
| <b>Contact Person:</b>  |  |
| <b>Contact Details:</b> |  |
| <b>Date:</b>            |  |

Suppliers are required to tick the applicable box that is applicable to their environment. Failure to complete this checklist may request in the quotation to be regarded as a non-responsive quotation.

**1. COMPLIANCE WITH SUSTAINABLE DEVELOPMENT GOALS (SDGs)**

**1.1. SDG Alignment**

The supplier’s operations align with the following SDGs (check all that apply):

- 1.1.1.  SDG 1: No Poverty
- 1.1.2.  SDG 2: Zero Hunger
- 1.1.3.  SDG 3: Good Health and Well-being
- 1.1.4.  SDG 4: Quality Education
- 1.1.5.  SDG 5: Gender Equality
- 1.1.6.  SDG 6: Clean Water and Sanitation
- 1.1.7.  SDG 7: Affordable and Clean Energy
- 1.1.8.  SDG 8: Decent Work and Economic Growth
- 1.1.9.  SDG 9: Industry, Innovation and Infrastructure
- 1.1.10.  SDG 10: Reduced Inequalities
- 1.1.11.  SDG 11: Sustainable Cities and Communities
- 1.1.12.  SDG 12: Responsible Consumption and Production
- 1.1.13.  SDG 13: Climate Action
- 1.1.14.  SDG 14: Life Below Water
- 1.1.15.  SDG 15: Life On Land
- 1.1.16.  SDG 16: Peace, Justice and Strong Institutions
- 1.1.17.  SDG 17: Partnerships for the Goals

**1.2. Sustainability Practices**

- 1.2.1.  The supplier has implemented sustainability practices in their operations.
- 1.2.2.  The supplier regularly monitors and reports on their sustainability performance.



## 2. STRATEGIC GOALS

### 2.1. Small, Medium, Macro Enterprise (SMME) Status

2.1.1.  The supplier qualifies as an SMME:

|                        |  |
|------------------------|--|
| Micro enterprises      | Annual turnover of R 500k per annum                              |
| Very small enterprises | Annual turnover between R 500k and R 2,5 million per annum       |
| Small enterprises      | Annual turnover between R 2,5 million and R 10 million per annum |
| Medium enterprises     | Annual turnover between R 10 million and R 20 million per annum  |

2.1.2.  The supplier is registered with the relevant SMME authorities.

### 2.2. HDI Status

2.2.1.  The supplier qualifies as an HDI.

### 2.3. HDI Categories (check all that apply):

- |  |   |
|--|---|
| 2.3.1. <input type="checkbox"/> Black    | 2.3.6. <input type="checkbox"/> Persons with Disabilities |
| 2.3.2. <input type="checkbox"/> Coloured | 2.3.7. <input type="checkbox"/> Rural                     |
| 2.3.3. <input type="checkbox"/> Indian   | 2.3.8. <input type="checkbox"/> Unemployed                |
| 2.3.4. <input type="checkbox"/> Women    | 2.3.9. <input type="checkbox"/> Township                  |
| 2.3.5. <input type="checkbox"/> Youth    |   |

### 2.4. Support for SMMEs and HDIs

2.4.1.  The supplier actively supports and collaborates with other SMMEs and HDIs.

2.4.2. The supplier participates in initiatives aimed at promoting SMMEs and HDIs as per the following categories (check if applicable):

- a)  Black
- b)  Coloured
- c)  Indian
- d)  Women
- e)  Youth
- f)  Persons with Disabilities
- g)  Rural
- h)  Unemployed
- i)  Township



**DECLARATION**

I, the undersigned, hereby declare that the information provided in this checklist is accurate and complete to the best of my knowledge.

|   |  |
|---|--|
| <b>Full Name and Surname (Print) of Representative:</b> |  |
| <b>Signature:</b>                                       |  |
| <b>Date:</b>  |  |

**THE NATIONAL TREASURY**

**Republic of South Africa**



---

**GOVERNMENT PROCUREMENT:**

**GENERAL CONDITIONS OF CONTRACT**

**July 2010**



**GOVERNMENT PROCUREMENT**  
**GENERAL CONDITIONS OF CONTRACT**  
**JULY 2010**

**NOTES**

The purpose of this document is to:

- (i) Draw special attention to certain general conditions applicable to government bids, contracts and orders; and
- (ii) To ensure that clients be familiar with regard to the rights and obligations of all parties involved in doing business with government.

In this document words in the singular also mean in the plural and vice versa and words in the masculine also mean in the feminine and neuter.

- The General Conditions of Contract will form part of all bid documents and may not be amended.
- Special Conditions of Contract (SCC) relevant to a specific bid, should be compiled separately for every bid (if applicable) and will supplement the General Conditions of Contract. Whenever there is a conflict, the provisions in the SCC shall prevail.



## TABLE OF CLAUSES

1. Definitions
2. Application
3. General
4. Standards
5. Use of contract documents and information; inspection
6. Patent rights
7. Performance security
8. Inspections, tests and analysis
9. Packing
10. Delivery and documents
11. Insurance
12. Transportation
13. Incidental services
14. Spare parts
15. Warranty
16. Payment
17. Prices
18. Contract amendments
19. Assignment
20. Subcontracts
21. Delays in the supplier's performance
22. Penalties
23. Termination for default
24. Dumping and countervailing duties
25. Force Majeure
26. Termination for insolvency
27. Settlement of disputes
28. Limitation of liability
29. Governing language
30. Applicable law
31. Notices
32. Taxes and duties
33. National Industrial Participation Programme (NIPP)
34. Prohibition of restrictive practices

**General Conditions of Contract**

|                  |                           |  |
|------------------|---------------------------|--|
| <p><b>1.</b></p> | <p><b>Definitions</b></p> | <p>The following terms shall be interpreted as indicated:</p> <p>1.1. "Closing time" means the date and hour specified in the bidding documents for the receipt of bids.</p> <p>1.2. "Contract" means the written agreement entered into between the purchaser and the supplier, as recorded in the contract form signed by the parties, including all attachments and appendices thereto and all documents incorporated by reference therein.</p> <p>1.3. "Contract price" means the price payable to the supplier under the contract for the full and proper performance of his contractual obligations.</p> <p>1.4. "Corrupt practice" means the offering, giving, receiving, or soliciting of anything of value to influence the action of a public official in the procurement process or in contract execution.</p> <p>1.5. "Countervailing duties" are imposed in cases where an enterprise abroad is subsidized by its government and encouraged to market its products internationally.</p> <p>1.6. "Country of origin" means the place where the goods were mined, grown or produced or from which the services are supplied. Goods are produced when, through manufacturing, processing or substantial and major assembly of components, a commercially recognized new product results that is substantially different in basic characteristics or in purpose or utility from its components.</p> <p>1.7. "Day" means calendar day.</p> <p>1.8. "Delivery" means delivery in compliance of the conditions of the contract or order.</p> <p>1.9. "Delivery ex stock" means immediate delivery directly from stock actually on hand.</p> <p>1.10. "Delivery into consignees store or to his site" means delivered and unloaded in the specified store or depot or on the specified site in compliance with the conditions of the contract or order, the supplier bearing all risks and charges involved until the supplies are so delivered and a valid receipt is obtained.</p> <p>1.11. "Dumping" occurs when a private enterprise abroad market its goodson own initiative in the RSA at lower prices than that of the country of origin and which have the potential to harm the local industries in the RSA.</p> |
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|  |  | <p>1.12. "Force majeure" means an event beyond the control of the supplier and not involving the supplier's fault or negligence and not foreseeable. Such events may include, but is not restricted to, acts of the purchaser in its sovereign capacity, wars or revolutions, fires, floods, epidemics, quarantine restrictions and freight embargoes.</p> <p>1.13. "Fraudulent practice" means a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of any bidder, and includes collusive practice among bidders (prior to or after bid submission) designed to establish bid prices at artificial non-competitive levels and to deprive the bidder of the benefits of free and open competition.</p> <p>1.14. "GCC" means the General Conditions of Contract.</p> <p>1.15. "Goods" means all of the equipment, machinery, and/or other materials that the supplier is required to supply to the purchaser under the contract.</p> <p>1.16. "Imported content" means that portion of the bidding price represented by the cost of components, parts or materials which have been or are still to be imported (whether by the supplier or his subcontractors) and which costs are inclusive of the costs abroad, plus freight and other direct importation costs such as landing costs, dock dues, import duty, sales duty or other similar tax or duty at the South African place of entry as well as transportation and handling charges to the factory in the Republic where the supplies covered by the bid will be manufactured.</p> <p>1.17. "Local content" means that portion of the bidding price which is not included in the imported content provided that local manufacture does take place.</p> <p>1.18. "Manufacture" means the production of products in a factory using labour, materials, components and machinery and includes other related value-adding activities.</p> <p>1.19. "Order" means an official written order issued for the supply of goods or works or the rendering of a service.</p> <p>1.20. "Project site," where applicable, means the place indicated in bidding documents.</p> <p>1.21. "Purchaser" means the organization purchasing the goods.</p> <p>1.22. "Republic" means the Republic of South Africa.</p> <p>1.23. "SCC" means the Special Conditions of Contract.</p> <p>1.24. "Services" means those functional services ancillary to the supply of the goods, such as transportation and any other incidental services, such as installation, commissioning, provision of technical assistance, training, catering,</p> |
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|           |  | <p>gardening, security, maintenance and other such obligations of the supplier covered under the contract.</p> <p>1.25. "Written" or "in writing" means handwritten in ink or any form of electronic or mechanical writing.</p>   |
| <b>2.</b> | <b>Application</b>   | <p>2.1 These general conditions are applicable to all bids, contracts and orders including bids for functional and professional services, sales, hiring, letting and the granting or acquiring of rights, but excluding immovable property, unless otherwise indicated in the bidding documents.</p> <p>2.2 Where applicable, special conditions of contract are also laid down to cover specific supplies, services or works.</p> <p>2.3 Where such special conditions of contract are in conflict with these general conditions, the special conditions shall apply.</p>  |
| <b>3.</b> | <b>General</b>   | <p>4.1. Unless otherwise indicated in the bidding documents, the purchaser shall not be liable for any expense incurred in the preparation and submission of a bid. Where applicable a non-refundable fee for documents may be charged.</p> <p>4.2. With certain exceptions, invitations to bid are only published in the Government Tender Bulletin. The Government Tender Bulletin may be obtained directly from the Government Printer, Private Bag X85, Pretoria 0001, or accessed electronically from <a href="http://www.treasury.gov.za">www.treasury.gov.za</a>.</p>  |
| <b>4.</b> | <b>Standards</b>   | <p>4.1. The goods supplied shall conform to the standards mentioned in the bidding documents and specifications</p>   |
| <b>5.</b> | <b>Use of contract documents and information; inspection</b> | <p>5.1. The supplier shall not, without the purchaser's prior written consent, disclose the contract, or any provision thereof, or any specification, plan, drawing, pattern, sample, or information furnished by or on behalf of the purchaser in connection therewith, to any person other than a person employed by the supplier in the performance of the contract. Disclosure to any such employed person shall be made in confidence and shall extend only so far as may be necessary for purposes of such performance.</p> <p>5.2. The supplier shall not, without the purchaser's prior written consent, make use of any document or information mentioned in GCC clause 5.1 except for purposes of performing the contract.</p> <p>5.3. Any document, other than the contract itself mentioned in GCC clause 5.1 shall remain the property of the purchaser and shall be returned (all copies) to the purchaser on completion of the supplier's performance under the contract if so required by the purchaser.</p> <p>5.4. The supplier 5.4 The Supplier shall permit the purchaser to inspect the supplier's records relating to the performance of the supplier and to have them audited by auditors appointed by the purchaser, if so required by the purchaser.</p> |
| <b>6.</b> | <b>Patent rights</b>   | <p>6.1.</p>   |



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| <p><b>7.</b></p> | <p><b>Performance security</b></p>            | <p>7.1. Within thirty (30) days of receipt of the notification of contract award, the successful bidder shall furnish to the purchaser the performance security of the amount specified in SCC.</p> <p>7.2. The proceeds of the performance security shall be payable to the purchaser as compensation for any loss resulting from the supplier's failure to complete his obligations under the contract.</p> <p>7.3. The performance security shall be denominated in the currency of the contract, or in a freely convertible currency acceptable to the purchaser and shall be in one of the following forms:</p> <p>b) a bank guarantee or an irrevocable letter of credit issued by a reputable bank located in the purchaser's country or abroad, acceptable to the purchaser, in the form provided in the bidding documents or another form acceptable to the purchaser; or</p> <p>c) a cashier's or certified cheque.</p> <p>7.4. The performance security will be discharged by the purchaser and returned to the supplier not later than thirty (30) days following the date of completion of the supplier's performance obligations under the contract, including any warranty obligations, unless otherwise specified in SCC.</p>   |
| <p><b>8.</b></p> | <p><b>Inspections, tests and analyses</b></p> | <p>8.1. All pre-bidding testing will be for the account of the bidder.</p> <p>8.2. If it is a bid condition that supplies to be produced or services to be rendered should at any stage during production or execution or on completion be subject to inspection, the premises of the bidder or contractor shall be open, at all reasonable hours, for inspection by a representative of the Department or an organization acting on behalf of the Department.</p> <p>8.3. If there are no inspection requirements indicated in the bidding documents and no mention is made in the contract, but during the contract period it is decided that inspections shall be carried out, the purchaser shall itself make the necessary arrangements, including payment arrangements with the testing authority concerned.</p> <p>8.4. If the inspections, tests and analyses referred to in clauses 8.2 and 8.3 show the supplies to be in accordance with the contract requirements, the cost of the inspections, tests and analyses shall be defrayed by the purchaser.</p> <p>8.5. Where the supplies or services referred to in clauses 8.2 and 8.3 do not comply with the contract requirements, irrespective of whether such supplies or services are accepted or not, the cost in connection with these inspections, tests or analyses shall be defrayed by the supplier.</p> |



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|            |                               | <p>8.6. Supplies and services which are referred to in clauses 8.2 and 8.3 and which do not comply with the contract requirements may be rejected.</p> <p>8.7. Any contract supplies may on or after delivery be inspected, tested or analysed and may be rejected if found not to comply with the requirements of the contract. Such rejected supplies shall be held at the cost and risk of the supplier who shall, when called upon, remove them immediately at his own cost and forthwith substitute them with supplies which do comply with the requirements of the contract. Failing such removal, the rejected supplies shall be returned at the suppliers cost and risk. Should the supplier fail to provide the substitute supplies forthwith, the purchaser may, without giving the supplier further opportunity to substitute the rejected supplies, purchase such supplies as may be necessary at the expense of the supplier.</p> <p>8.8. The provisions of clauses 8.4 to 8.7 shall not prejudice the right of the purchaser to cancel the contract on account of a breach of the conditions thereof, or to act in terms of Clause 23 of GCC.</p> |
| <b>9.</b>  | <b>Packing</b>                | <p>9.1. The supplier shall provide such packing of the goods as is required to prevent their damage or deterioration during transit to their final destination, as indicated in the contract. The packing shall be sufficient to withstand, without limitation, rough handling during transit and exposure to extreme temperatures, salt and precipitation during transit, and open storage. Packing, case size and weights shall take into consideration, where appropriate, the remoteness of the goods' final destination and the absence of heavy handling facilities at all points in transit.</p> <p>9.2. The packing, marking, and documentation within and outside the packages shall comply strictly with such special requirements as shall be expressly provided for in the contract, including additional requirements, if any, specified in SCC, and in any subsequent instructions ordered by the purchaser.</p>  |
| <b>10.</b> | <b>Delivery and documents</b> | <p>10.1. Delivery of the goods shall be made by the supplier in accordance with the terms specified in the contract. The details of shipping and/or other documents to be furnished by the supplier are specified in SCC.</p> <p>10.2. Documents to be submitted by the supplier are specified in SCC.</p>  |
| <b>11.</b> | <b>Insurance</b>              | <p>11.1 The goods supplied under the contract shall be fully insured in a freely convertible currency against loss or damage incidental to manufacture or acquisition, transportation, storage and delivery in the manner specified in the SCC.</p>   |
| <b>12.</b> | <b>Transportation</b>         | <p>12.1 Should a price other than an all-inclusive delivered price be required, this shall be specified in the SCC.</p>   |
| <b>13.</b> | <b>Incidental services</b>    | <p>13.1 The supplier may be required to provide any or all of the following services, including additional services, if any, specified in SCC:</p>  |



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|                   |                           | <ul style="list-style-type: none"> <li>a) performance or supervision of on-site assembly and/or commissioning of the supplied goods;</li> <li>b) furnishing of tools required for assembly and/or maintenance of the supplied goods;</li> <li>c) furnishing of a detailed operations and maintenance manual for each appropriate unit of the supplied goods;</li> <li>d) performance or supervision or maintenance and/or repair of the supplied goods, for a period of time agreed by the parties, provided that this service shall not relieve the supplier of any warranty obligations under this contract; and</li> <li>e) training of the purchaser's personnel, at the supplier's plant and/or on-site, in assembly, start-up, operation, maintenance, and/or repair of the supplied goods.</li> </ul> <p>13.2 Prices charged by the supplier for incidental services, if not included in the contract price for the goods, shall be agreed upon in advance by the parties and shall not exceed the prevailing rates charged to other parties by the supplier for similar services.</p> |
| <p><b>14.</b></p> | <p><b>Spare parts</b></p> | <p>14.1 As specified in SCC, the supplier may be required to provide any or all of the following materials, notifications, and information pertaining to spare parts manufactured or distributed by the supplier:</p> <ul style="list-style-type: none"> <li>a) such spare parts as the purchaser may elect to purchase from the supplier, provided that this election shall not relieve the supplier of any warranty obligations under the contract; and</li> <li>b) in the event of termination of production of the spare parts: <ul style="list-style-type: none"> <li>i) Advance notification to the purchaser of the pending termination, in sufficient time to permit the purchaser to procure needed requirements; and</li> <li>ii) following such termination, furnishing at no cost to the purchaser, the blueprints, drawings, and specifications of the spare parts, if requested.</li> </ul> </li> </ul>   |
| <p><b>15.</b></p> | <p><b>Warranty</b></p>    | <p>15.1 The supplier warrants that the goods supplied under the contract are new, unused, of the most recent or current models, and that they incorporate all recent improvements in design and materials unless provided otherwise in the contract. The supplier further warrants that all goods supplied under this contract shall have no defect, arising from design, materials, or workmanship (except when the design and/or material is required by the purchaser's specifications) or from any act or omission of the supplier, that may develop under normal use of the supplied goods in the conditions prevailing in the country of final destination.</p>   |



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|            |   | <p>15.2 This warranty shall remain valid for twelve (12) months after the goods, or any portion thereof as the case may be, have been delivered to and accepted at the final destination indicated in the contract, or for eighteen (18) months after the date of shipment from the port or place of loading in the source country, whichever period concludes earlier, unless specified otherwise in SCC.</p> <p>15.3 The purchaser shall promptly notify the supplier in writing of any claims arising under this warranty.</p> <p>15.4 Upon receipt of such notice, the supplier shall, within the period specified in SCC and with all reasonable speed, repair or replace the defective goods or parts thereof, without costs to the purchaser.</p> <p>15.5 If the supplier, having been notified, fails to remedy the defect(s) within the period specified in SCC, the purchaser may proceed to take such remedial action as may be necessary, at the supplier's risk and expense and without prejudice to any other rights which the purchaser may have against the supplier under the contract.</p> |
| <b>16.</b> | <b>Payment</b>                              | <p>16.1 The method and conditions of payment to be made to the supplier under this contract shall be specified in SCC.</p> <p>16.2 The supplier shall furnish the purchaser with an invoice accompanied by a copy of the delivery note and upon fulfilment of other obligations stipulated in the contract.</p> <p>16.3 Payments shall be made promptly by the purchaser, but in no case later than thirty (30) days after submission of an invoice or claim by the supplier.</p> <p>16.4 Payment will be made in Rand unless otherwise stipulated in the SCC.</p>   |
| <b>17.</b> | <b>Prices</b>                               | <p>17.1 Prices charged by the supplier for goods delivered and services performed under the contract shall not vary from the prices quoted by the supplier in his bid, with the exception of any price adjustments authorized in SCC or in the purchaser's request for bid validity extension, as the case may be.</p>   |
| <b>18.</b> | <b>Contract amendments</b>                  | <p>18.1. No variation in or modification of the terms of the contract shall be made except by written amendment signed by the parties concerned.</p>   |
| <b>19.</b> | <b>Assignment</b>                           | <p>19.1. The supplier shall not assign, in whole or in part, its obligations to perform under the contract, except with the purchaser's prior written consent.</p>   |
| <b>20.</b> | <b>Subcontracts</b>                         | <p>20.1. The supplier shall notify the purchaser in writing of all subcontracts awarded under this contract if not already specified in the bid. Such notification, in the original bid or later, shall not relieve the supplier from any liability or obligation under the contract.</p>  |
| <b>21.</b> | <b>Delays in the supplier's performance</b> | <p>21.1. Delivery of the goods and performance of services shall be made by the supplier in accordance with the time schedule prescribed by the purchaser in the contract.</p>   |



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|                   |                                       | <p>21.2. If at any time during performance of the contract, the supplier or its subcontractor(s) should encounter conditions impeding timely delivery of the goods and performance of services, the supplier shall promptly notify the purchaser in writing of the fact of the delay, its likely duration and its cause(s). As soon as practicable after receipt of the supplier's notice, the purchaser shall evaluate the situation and may at his discretion extend the supplier's time for performance, with or without the imposition of penalties, in which case the extension shall be ratified by the parties by amendment of contract.</p> <p>21.3. No provision in a contract shall be deemed to prohibit the obtaining of supplies or services from a national department, provincial department, or a local authority.</p> <p>21.4. The right is reserved to procure outside of the contract small quantities or to have minor essential services executed if an emergency arises, the supplier's point of supply is not situated at or near the place where the supplies are required, or the supplier's services are not readily available.</p> <p>21.5. Except as provided under GCC Clause 25, a delay by the supplier in the performance of its delivery obligations shall render the supplier liable to the imposition of penalties, pursuant to GCC Clause 22, unless an extension of time is agreed upon pursuant to GCC Clause 21.2 without the application of penalties.</p> <p>21.6. Upon any delay beyond the delivery period in the case of a supplies contract, the purchaser shall, without cancelling the contract, be entitled to purchase supplies of a similar quality and up to the same quantity in substitution of the goods not supplied in conformity with the contract and to return any goods delivered later at the supplier's expense and risk, or to cancel the contract and buy such goods as may be required to complete the contract and without prejudice to his other rights, be entitled to claim damages from the supplier.</p> |
| <p><b>22.</b></p> | <p><b>Penalties</b></p>               | <p>22.1. Subject to GCC Clause 25, if the supplier fails to deliver any or all of the goods or to perform the services within the period(s) specified in the contract, the purchaser shall, without prejudice to its other remedies under the contract, deduct from the contract price, as a penalty, a sum calculated on the delivered price of the delayed goods or unperformed services using the current prime interest rate calculated for each day of the delay until actual delivery or performance. The purchaser may also consider termination of the contract pursuant to GCC Clause 23.</p>  |
| <p><b>23.</b></p> | <p><b>Termination for default</b></p> | <p>23.1. The purchaser, without prejudice to any other remedy for breach of contract, by written notice of default sent to the supplier, may terminate this contract in whole or in part:</p> <p>a) if the supplier fails to deliver any or all of the goods within the period(s) specified in the contract, or within any extension thereof granted by the purchaser pursuant to GCC Clause 21.2;</p>  |



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|  |  | <p>b) if the Supplier fails to perform any other obligation(s) under the contract; or</p> <p>c) if the supplier, in the judgment of the purchaser, has engaged in corrupt or fraudulent practices in competing for or in executing the contract.</p> <p>23.2. In the event the purchaser terminates the contract in whole or in part, the purchaser may procure, upon such terms and in such manner as it deems appropriate, goods, works or services similar to those undelivered, and the supplier shall be liable to the purchaser for any excess costs for such similar goods, works or services. However, the supplier shall continue performance of the contract to the extent not terminated.</p> <p>23.3. Where the purchaser terminates the contract in whole or in part, the purchaser may decide to impose a restriction penalty on the supplier by prohibiting such supplier from doing business with the public sector for a period not exceeding 10 years.</p> <p>23.4. If a purchaser intends imposing a restriction on a supplier of any person associated with the supplier, the supplier will be allowed a time period of not more than fourteen (14) days to provide reasons why the envisaged restriction should not be imposed. Should the supplier fail to respond within the stipulated fourteen (14) days the purchaser may regard the intended penalty as not objected against and may impose it on the supplier.</p> <p>23.5. Any restriction imposed on any person by the Accounting Officer / Authority will, at the discretion of the Accounting Officer / Authority, also be applicable to any other enterprise or any partner, manager, director or other person who wholly or partly exercises or exercised or may exercise control over the enterprise of the first-mentioned person, and with which enterprise or person the first-mentioned person, is or was in the opinion of the Accounting Officer / Authority actively associated.</p> <p>23.6. If a restriction is imposed, the purchaser must, within five (5) working days of such imposition, furnish the National Treasury, with the following information:</p> <p>a) the name and address of the supplier and / or person restricted by the purchaser;</p> <p>b) the date of commencement of the restriction</p> <p>c) the period of restriction; and</p> <p>d) the reasons for the restriction.</p> |
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|     |  | 23.7. If a court of law convicts a person of an offence as contemplated in sections 12 or 13 of the Prevention and Combating of Corrupt Activities Act, No. 12 of 2004, the court may also rule that such person's name be endorsed on the Register for Tender Defaulters. When a person's name has been endorsed on the Register, the person will be prohibited from doing business with the public sector for a period not less than five years and not more than 10 years. The National Treasury is empowered to determine the period of restriction and each case will be dealt with on its own merits. According to section 32 of the Act the Register must be open to the public. The Register can be perused on the National Treasury website.  |
| 24. | <b>Anti-dumping and countervailing duties and rights</b> | 24.1. When, after the date of bid, provisional payments are required, or anti-dumping or countervailing duties are imposed, or the amount of a provisional payment or anti-dumping or countervailing right is increased in respect of any dumped or subsidized import, the State is not liable for any amount so required or imposed, or for the amount of any such increase. When, after the said date, such a provisional payment is no longer required or any such anti-dumping or countervailing right is abolished, or where the amount of such provisional payment or any such right is reduced, any such favourable difference shall on demand be paid forthwith by the contractor to the State or the State may deduct such amounts from moneys (if any) which may otherwise be due to the contractor in regard to supplies or services which he delivered or rendered, or is to deliver or render in terms of the contract or any other contract or any other amount which may be due to him. |
| 25. | <b>Force Majeure</b>                                     | 25.1. Notwithstanding the provisions of GCC Clauses 22 and 23, the supplier shall not be liable for forfeiture of its performance security, damages, or termination for default if and to the extent that his delay in performance or other failure to perform his obligations under the contract is the result of an event of force majeure.<br><br>25.2. If a force majeure situation arises, the supplier shall promptly notify the purchaser in writing of such condition and the cause thereof. Unless otherwise directed by the purchaser in writing, the supplier shall continue to perform its obligations under the contract as far as is reasonably practical, and shall seek all reasonable alternative means for performance not prevented by the force majeure event.   |
| 26. | <b>Termination for insolvency</b>                        | 26.1. The purchaser may at any time terminate the contract by giving written notice to the supplier if the supplier becomes bankrupt or otherwise insolvent. In this event, termination will be without compensation to the supplier, provided that such termination will not prejudice or affect any right of action or remedy which has accrued or will accrue thereafter to the purchaser.  |
| 27. | <b>Settlement of Disputes</b>                            | 27.1. If any dispute or difference of any kind whatsoever arises between the purchaser and the supplier in connection with or arising out of the contract, the parties shall make every effort   |



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|            |                                | <p>to resolve amicably such dispute or difference by mutual consultation.</p> <p>27.2. If, after thirty (30) days, the parties have failed to resolve their dispute or difference by such mutual consultation, then either the purchaser or the supplier may give notice to the other party of his intention to commence with mediation. No mediation in respect of this matter may be commenced unless such notice is given to the other party.</p> <p>27.3. Should it not be possible to settle a dispute by means of mediation, it may be settled in a South African court of law.</p> <p>27.4. Mediation proceedings shall be conducted in accordance with the rules of procedure specified in the SCC.</p> <p>27.5. Notwithstanding any reference to mediation and/or court proceedings herein,</p> <p>a) the parties shall continue to perform their respective obligations under the contract unless they otherwise agree; and</p> <p>b) the purchaser shall pay the supplier any monies due to the supplier.</p> |
| <b>28.</b> | <b>Limitation of liability</b> | <p>28.1. Except in cases of criminal negligence or wilful misconduct, and in the case of infringement pursuant to Clause 6:</p> <p>a) the supplier shall not be liable to the purchaser, whether in contract, tort, or otherwise, for any indirect or consequential loss or damage, loss of use, loss of production, or loss of profits or interest costs, provided that this exclusion shall not apply to any obligation of the supplier to pay penalties and/or damages to the purchaser; and</p> <p>b) liability of the supplier to the purchaser, whether under the contract, in tort or otherwise, shall not exceed the total contract price, provided that this limitation shall not apply to the cost of repairing or replacing defective equipment.</p>  |
| <b>29.</b> | <b>Governing language</b>      | <p>29.1. The contract shall be written in English. All correspondence and other documents pertaining to the contract that is exchanged by the parties shall also be written in English.</p>  |
| <b>30.</b> | <b>Applicable law</b>          | <p>30.1. The contract shall be interpreted in accordance with South African laws, unless otherwise specified in SCC.</p>   |
| <b>31.</b> | <b>Notices</b>                 | <p>31.1. Every written acceptance of a bid shall be posted to the supplier concerned by registered or certified mail and any other notice to him shall be posted by ordinary mail to the address furnished in his bid or to the address notified later by him in writing and such posting shall be deemed to be proper service of such notice.</p> <p>31.2. The time mentioned in the contract documents for performing any act after such aforesaid notice has been given, shall be reckoned from the date of posting of such notice.</p>   |



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| <p><b>32.</b></p> | <p><b>Taxes and duties</b></p>                                  | <p>32.1. A foreign supplier shall be entirely responsible for all taxes, stamp duties, license fees, and other such levies imposed outside the purchaser's country.</p> <p>32.2. A local supplier shall be entirely responsible for all taxes, duties, license fees, etc., incurred until delivery of the contracted goods to the purchaser.</p> <p>32.3. No contract shall be concluded with any bidder whose tax matters are not in order. Prior to the award of a bid the Department must be in possession of a tax clearance certificate, submitted by the bidder. This certificate must be an original issued by the South African Revenue Services.</p>   |
| <p><b>33.</b></p> | <p><b>National Industrial Participation (NIP) Programme</b></p> | <p>33.1 The NIP Programme administered by the Department of Trade and Industry shall be applicable to all contracts that are subject to the NIP obligation.</p>   |
| <p><b>34.</b></p> | <p><b>Prohibition of restrictive practices</b></p>              | <p>34.1 In terms of section 4 (1) (b) (iii) of the Competition Act No. 89 of 1998, as amended, an agreement between, or concerted practice by, firms, or a decision by an association of firms, is prohibited if it is between parties in a horizontal relationship and if a bidder (s) is / are or a contractor(s) was / were involved in collusive bidding (or bid rigging).</p> <p>34.2 If a bidder(s) or contractor(s), based on reasonable grounds or evidence obtained by the purchaser, has / have engaged in the restrictive practice referred to above, the purchaser may refer the matter to the Competition Commission for investigation and possible imposition of administrative penalties as contemplated in the Competition Act No. 89 of 1998.</p> <p>34.3 If a bidder(s) or contractor(s), has / have been found guilty by the Competition Commission of the restrictive practice referred to above, the purchaser may, in addition and without prejudice to any other remedy provided for, invalidate the bid(s) for such item(s) offered, and / or terminate the contract in whole or part, and / or restrict the bidder(s) or contractor(s) from conducting business with the public sector for a period not exceeding ten (10) years and / or claim damages from the bidder(s) or contractor(s) concerned.</p> |