



UMKHANYAKUDE DISTRICT MUNICIPALITY

PROVISION OF BANKING SERVICES (5 YEARS)

BID No:

SCMU 003/2025/2026

BID DESCRIPTION:	PROVISION OF BANKING SERVICES (5 YEARS)
TOTAL BID AMOUNT:
AMOUNT IN WORDS
CLOSING DATE:	12h00, Monday, 30 March 2026
NAME OF BIDDER:
CONTACT PERSON:
STREET ADDRESS
TEL:	CELL:
FAX:	E-MAIL:

PREPARED FOR:

Umkhanyakude District
Municipality
13433 Kingfisher Avenue
Mkuze

INVITATION TO BID

uMkhanyakude District Municipality invites prospective service providers to submit bids in accordance with the Terms of Reference provided in this invitation.

BID NO.	PROJECT NAME
SCMU 003/2025/2026	PROVISION OF BANKING SERVICES (5 YEARS)
COLLECTION OF BID DOCUMENTS:	Bid documents available on www.etenders.gov.za and on UMkhanyakude District Municipality Website (www.ukdm.gov.za) from 30 January 2026.
COMPULSORY SITE INSPECTION AND BRIEFING MEETING:	NONE
BID CLOSING:	12h00, Monday, 30 March 2026. Bids must be delivered at uMkhanyakude District Municipality Offices no later than the time and date indicated above. The delivery address is 13433 Kingfisher Avenue, Mkuze, KwaZulu-Natal.

The Bid evaluation process will be conducted in five stages as follows:

Stage One: Mandatory Requirements

Stage Two: Compliance Requirements

Stage Three: Capability Requirements

Stage Four: Price and Preference

Stage Five: Risk Analysis

PREFERENCE POINT SYSTEM		
PRICE SPECIFIC GOALS	80 20	Requirements to claim points
Enterprise owned by Black People ≥ 51%	4	Certified ID Copies (Directors)/ CSD Report/ Shareholders Certificate
Enterprise owned by Women ≥ 51%	4	Certified ID Copies (Directors)/ CSD Report/ Shareholders Certificate
Enterprise owned by Youth	4	Certified ID Copies (Directors)/ CSD Report/ Shareholders Certificate
Enterprise owned by Disabled Persons	4	Medical Certificate
Enterprise owned by SMMEs- QSE and EME	4	CSD report/ Proof of municipal accounts/ affidavit/ proof of residence signed by Ward Councillor (for those residing in rural areas/ lease agreement

✓ **See attached Evaluation Criteria**

Bids must be sealed, externally endorsed with the **Project Name: “PROVISION OF BANKING SERVICES (5 YEARS)”**. Bid No: **SCMU 003/2025/2026** to be deposited in the uMkhanyakude District Municipality Tender Box, 13433 Kingfisher Avenue, Mkuze.

All technical enquiries regarding this bid must be directed **in writing** to the uMkhanyakude District Municipality Office of the Municipal Manager contact person: Mr. S. Ndabandaba or SCM Unit.

Should you not receive communication from uMkhanyakude District Municipality within 120 days of submitting your bid; accept your submission is unsuccessful.

TENDER SUBMISSION CHECKLIST

The Checklist below is attached hereto to assist Tenderers with the completion of the tender document. Tenderers are required to **TICK** the relevant boxes for verification purposes. Where information is not applicable to the tender, the symbols **N/A** must be inserted in the space provided.

***** D: Failure to comply with these Sections will prejudice the tender.**

No.	Description	Tenderer to Tick (✓)	For Official Use Only
1	Has the Tender Document been completed in BLACK INK and all corrections counter-signed? (No correction fluid used)		D
2	Have all tendered rates been priced in BLACK INK and corrections counter-signed? (No correction fluid used)		D
3	Has all tendered amounts been arithmetically checked and the correct total amounts carried forward to the Summary Page and Tender Form?		
4	Has all information as required in terms of the Tender Document been submitted with the tender?		D
5	Has the compulsory "Site Inspection/Tender Briefing" meeting been attended and has the "Site Inspection/Tender Briefing" Certificate been completed and signed at the meeting?	N/A	D
6	Have all Declarations contained in the Tender Document been completed and signed by the Tenderer, and before a Commissioner of Oaths (if applicable)?		D
7	Has the "Tender Form" been completed and signed?		D
8	Has the "Special Goals Claim Form in terms of the UKDM SCM Policy" been completed in its entirety and signed?		
9	As an EME , is a certificate issued by an Accounting Officer or a Verification Agency accredited by SANAS or a Registered Auditor attached to the tender document?		
11	Is a valid Tax Clearance Status Verification Pin attached to the tender document?		D
12	Has the CSD Supplier Number and Unique Registration Reference Number been submitted with the Tender Document? (Not older than 3 three months)		D
13	Has the Proof of payment of municipal services (Not older than 3 three months) or Lease Agreement attached?		
14	Has the tenderer submitted all the required information in terms of claiming the specific goals as noted in this tender document? (ID Copy, Company Registration, Full CSD Report not older than 3 months, Medical Certificate – in case of a disabled person)		

Name of Tenderer : _____

Signature : _____

TENDER NOTICE

UMkhanyakude District Municipality hereby invites tenders from qualifying service providers to assist the Municipality with the **Provision of BANKING SERVICES (5 YEARS)**.

Tender documents will be made available to tenderers from 30 January 2026.

Tender documents can be downloaded and printed at the tenderer's cost from the National Treasury eTender Publication Portal on www.etenders.gov.za

Any enquiries relating to this tender must be forwarded to scm@ukdm.gov.za (SCM related). Note that no enquiries will be attended to within the last three (3) calendar days before the date of tender closing.

Tenders must be submitted in hard copy contained in sealed envelopes and marked with “**Contract No.** and the **Contract Description**” must be placed in the Tender Box located in the Foyer, Municipal Building, not later than **12h00 on Monday 30 March 2026** when they will be publicly opened. Only tenders placed in the Tender Box shall be accepted.

Tender Validity Period: Four (4) months commencing from the closing date of tender.

Tender Adjudication/Evaluation Criteria: The tender shall be evaluated on a Two Stage Evaluation System – Stage One: Functionality and Stage Two: 80/20 Special Goals System in accordance with the UKDM SCM Policy. The Functionality for Stage One shall be evaluated on the following criteria:

Criteria	Maximum Points	Required documentation
Experience 5 years of experience in similar work = 50 3 years of experience in similar work= 20 2 years of experience in similar work = 10 <i>(Additional 5 points per Letter of Appointment submitted)</i>	65	Company Profile Letters of appointments (Max of 3)
Methodology	20	Execution Plan Annual Financial Statements Infrastructure to execute the project
Financial Service Provider	15	Registration with relevant statutory body

The UMkhanyakude District Municipality does not bind itself to accept the lowest or any tender and reserves the right to accept the whole or any part of a tender. Each tenderer will be informed of the tender result.

DR SR NTULI

ACTING MUNICIPAL MANAGER

PART A
INVITATION TO BID

YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF THE UMKHANYAKUDE DISTRICT MUNICIPALITY				
BID NUMBER: SCMU 003/2025/2026		CLOSING DATE:	30 MARCH 2026	
CLOSING TIME: 12.00				
DESCRIPTION PROVISION OF BANKING SERVICES (5 YEARS)				
THE SUCCESSFUL BIDDER WILL BE REQUIRED TO FILL IN AND SIGN A WRITTEN CONTRACT FORM (MBD7).				
BID RESPONSE DOCUMENTS MAY BE DEPOSITED IN THE BID BOX SITUATED AT (STREET ADDRESS)				
UMkhanyakude District Municipality				
HarlingenNo.13433,				
Kingfisher Road				
Mkuze				
3965				
SUPPLIER INFORMATION				
NAME OF BIDDER				
POSTAL ADDRESS				
STREET ADDRESS				
TELEPHONE NUMBER	CODE		NUMBER	
CELLPHONE NUMBER				
FACSIMILE NUMBER	CODE		NUMBER	
E-MAIL ADDRESS				
VAT REGISTRATION NUMBER				
TAX COMPLIANCE STATUS	TCS PIN:		OR	CSD No:
B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE [TICK APPLICABLE BOX]	<input type="checkbox"/> Yes <input type="checkbox"/> No		B-BBEE STATUS LEVEL SWORN AFFIDAVIT	<input type="checkbox"/> Yes <input type="checkbox"/> No
[A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE/ SWORN AFFIDAVIT (FOR EMES & QSEs) MUST BE SUBMITTED IN ORDER TO QUALIFY FOR PREFERENCE POINTS FOR B-BBEE]				
ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No <small>[IF YES ENCLOSURE PROOF]</small>		ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No <small>[IF YES, ANSWER PART B:3]</small>
TOTAL NUMBER OF ITEMS OFFERED			TOTAL BID PRICE	R
SIGNATURE OF BIDDER		DATE	
CAPACITY UNDER WHICH THIS BID IS SIGNED				
BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO:			TECHNICAL INFORMATION MAY BE DIRECTED TO:	
DEPARTMENT	FINANCE		CONTACT PERSON	Mr. S. Ndabandaba
CONTACT PERSON	Mr. K.S Ntshangase		TELEPHONE NUMBER	035 573 8600
TELEPHONE NUMBER	035 573 8600		FACSIMILE NUMBER	
FACSIMILE NUMBER			E-MAIL ADDRESS	
E-MAIL ADDRESS	scm@ukdm.gov.za			

PART B

TERMS AND CONDITIONS FOR BIDDING

1. BID SUBMISSION:

- 1.1. BIDS MUST BE DELIVERED BY THE STIPULATED TIME TO THE CORRECT ADDRESS. LATE BIDS WILL NOT BE ACCEPTED FOR CONSIDERATION.
- 1.2. **ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED-(NOT TO BE RE-TYPED) OR ONLINE**
- 1.3. THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT AND THE PREFERENTIAL PROCUREMENT REGULATIONS, 2022, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER SPECIAL CONDITIONS OF CONTRACT.

2. TAX COMPLIANCE REQUIREMENTS

- 2.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.
- 2.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VIEW THE TAXPAYER'S PROFILE AND TAX STATUS.
- 2.3 APPLICATION FOR THE TAX COMPLIANCE STATUS (TCS) CERTIFICATE OR PIN MAY ALSO BE MADE VIA E-FILING. IN ORDER TO USE THIS PROVISION, TAXPAYERS WILL NEED TO REGISTER WITH SARS AS E-FILERS THROUGH THE WEBSITE WWW.SARS.GOV.ZA.
- 2.4 FOREIGN SUPPLIERS MUST COMPLETE THE PRE-AWARD QUESTIONNAIRE IN PART B:3.
- 2.5 BIDDERS MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE BID.
- 2.6 IN BIDS WHERE CONSORCIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER.
- 2.7 WHERE NO TCS IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.

3. QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS

3.1. IS THE ENTITY A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?	<input type="checkbox"/> YES <input type="checkbox"/> NO
3.2. DOES THE ENTITY HAVE A BRANCH IN THE RSA?	<input type="checkbox"/> YES <input type="checkbox"/> NO
3.3. DOES THE ENTITY HAVE A PERMANENT ESTABLISHMENT IN THE RSA?	<input type="checkbox"/> YES <input type="checkbox"/> NO
3.4. DOES THE ENTITY HAVE ANY SOURCE OF INCOME IN THE RSA?	<input type="checkbox"/> YES <input type="checkbox"/> NO
3.5. IS THE ENTITY LIABLE IN THE RSA FOR ANY FORM OF TAXATION?	<input type="checkbox"/> YES <input type="checkbox"/> NO

IF THE ANSWER IS "NO" TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER FOR A TAX COMPLIANCE STATUS SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 2.3 ABOVE.

NB: FAILURE TO PROVIDE ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID. NO BIDS WILL BE CONSIDERED FROM PERSONS IN THE SERVICE OF THE STATE

SIGNATURE OF BIDDER:

CAPACITY UNDER WHICH THIS BID IS SIGNED:

DATE:

TELEPHONE NUMBER:

STANDARD CONDITIONS OF TENDER

1. DOCUMENTS

This document comprises of the Standard Conditions of Tender, Standard Conditions of Contract, Special Conditions of Contract (if any), Legislation, Definitions, Specifications, Pricing Schedule, Tender Form, Drawings (if any), Data Sheets and Annexures thereto.

2. COMPULSORY SITE INSPECTION/TENDER BRIEFING MEETING

None

3. SUBMISSION OF TENDERS

Tenders must be made out on the Tender Form annexed hereto. Tenderers are advised that this document must be completed in black ink and submitted in its entirety. Failure to comply with this condition shall result in the tender being disqualified.

Only original hand written priced tender documents will be considered. Tenders submitted by electronic mail, telex or facsimile shall not be considered. ***The use of correction fluid is strictly prohibited.*** All corrections are to be countersigned.

Tenderers using Courier Companies or any other mode of transport to deliver their tender documents must ensure that the tender documents are delivered to the District Municipal Offices by the close of tenders. The Council shall not be held liable for any tender document which is not timeously delivered, mislaid or incorrectly delivered due to the negligence of the Courier Company or any other party involved in the delivery of the tender documents, including any employee of the Council.

Sealed tenders endorsed with the appropriate contract number, must reach the District Municipal Offices, no later than the closing date and time stated in the public advertisement inviting tenders, when they will be opened in public. Under no circumstances will any extension of time be allowed for the submission of tenders. Tenders shall remain valid for three (3) calendar months from the date of opening, except for the initial five (5) working days grace period after the close of tenders within which period a tenderer may be permitted to withdraw its tender subject to an application with good and sufficient reasons being submitted in writing to obtain approval of such withdrawal, at the sole discretion of the Head: Supply Chain Management.

All literature submitted must be securely attached to the tender. The Council shall not be held liable for any loss or damages sustained due to the Tenderer's failure to comply with this condition.

In the case of a Tenderer withdrawing its tender after the expiry of the grace period, the Council may refuse to receive or consider, for such period as it may think fit, any further tenders from that Tenderer.

4. COMMUNICATION WITH MEMBERS OF THE COUNCIL OR COUNCIL EMPLOYEES

Without detracting from any prevailing law, no Tenderer shall offer, promise or give any person or persons connected with the adjudication, or awarding of the tender, any gratuity, bonus, discount or consideration of any kind in connection with the obtaining of a contract. Nor shall any Tenderer communicate with any member of the Council or a Council employee on a question affecting the awarding of a contract which is the subject of a tender, during the period between the closing date of tenders and the date of notification of the successful Tenderer; provided always that the Head: Supply Chain Management may, in exceptional circumstances, obtain additional information from a Tenderer to enable her to formulate her recommendation to Council.

Any attempt to contravene this condition which is brought to the notice of the Head: Supply Chain Management shall result in the disqualification of the Tenderer.

Prospective Tenderers are further advised that s118 of the Municipal Finance Management Act

prohibits the interference, by any person, with the supply chain management system of the Municipality and the amendment of or tampering with any tender, quotation, contract or bid after its submission.

5. IMPORT PERMITS

The Council will not undertake to secure any import permits or currency for the import of any goods or materials required for the execution of this contract. The Tenderer must apply directly for any import permits or currency needed. However, the Council will furnish the successful Tenderer with a supporting statement, if required.

6. REGISTRATION WITH THE CENTRAL SUPPLIER DATABASE (CSD)

The National Treasury's Central Supplier Database (CSD) has been open for registration from 01 September 2015. The CSD serves as one single source of supplier information to all spheres of government.

Within this system, suppliers are required to register once when they do business with the state. This will significantly reduce the administrative burden for businesses, especially small and medium sized enterprises. The database interfaces with the South African Revenue Service (SARS), the Companies and Intellectual Property Commission (CIPC) and the payroll system. It will electronically verify a supplier's tax status and enable public sector officials doing business with the state to be identified.

All prospective suppliers can register any time on the CSD website www.csd.gov.za

uMkhanyakude District Municipality will not award any tender to a supplier not registered as a prospective supplier on the CSD. Accordingly, negotiations for the tender award will only be concluded with the qualify tenderer(s) who is/are registered on the CSD.

In order for Council to verify your Company's registration with CSD, please provide the following information for verification purposes:

CSD Supplier Number	
Unique Registration Reference Number	

Failure to provide the above information shall render the tender to be disqualified.

7. TAX CLEARANCE CERTIFICATE REQUIREMENTS

It is a condition of tender that the taxes of the successful tenderer must be in order, or that satisfactory arrangements have been made with the South African Revenue Service (SARS) to meet the tenderer's tax obligations.

Tenderers shall be required to submit, together with the tender document, a valid Tax Clearance Certificate and a Tax Compliance Status Verification Pin issued by SARS. Failure to submit a valid Tax Clearance Certificate and a Tax Compliance Status Verification Pin will result in the invalidation and disqualification of the tender.

Further to the above, Tenderers are to note that the Tax Clearance Certificate must be valid for the full duration of the tender validity period i.e., three (3) months commencing from the closing date of the tender.

Should the validity of the Tax Clearance Certificate expire prior to the final award of the contract being made, the Council reserves the right to request the Tenderer to submit a further valid Tax Clearance Certificate. In this instance, the Tenderer shall be given seven (7) working days' written notice in which to comply. Should the Tenderer fail to comply with this request, the Council further reserves the right to make no award to the Tenderer and the Council shall not be held liable for any loss or damages

sustained by the Tenderer.

If a tenderer has already submitted a Tax Clearance Certificate when registering on the Central Supplier Database (CSD), then there is no need to submit a hardcopy of another Tax Clearance Certificate provided that the Tax Clearance Certificate is still valid for the full duration of the validity period for this tender. In this instance, the Tenderer will be required to indicate below the CSD Supplier Number and Unique Registration Reference Number for verification purposes:

CSD Supplier Number	
Unique Registration Reference Number	

8. RATES

The prices, rates or percentages quoted in the proposal shall be deemed to include all costs, including but not limited to materials, plant, labour, patent rights and royalties, freight, insurance, customs, railage, delivery, etc, unless the Service Provider states otherwise in the proposal.

9. INCOMPLETE TENDERING

Tenders may be rejected if they show any additional, conditional or incomplete offers or irregularities of any kind in either the Tender Form or the Pricing Schedule, or if the prices tendered in the Schedule are not market related i.e., the tendered rates do not conform to current day prices.

Partial awards **may** be made where this is perceived by the Accounting Officer to be in the best interests of the Council. Council reserves the right to take into account the principle of the distribution of works in order to empower SMME's and BEE's.

Should there be any difference or discrepancy between the prices and particulars contained in the Tender Form and those contained in any covering letter submitted by the Tenderer, the prices and particulars contained in the Tender Form shall prevail.

10. ACCEPTANCE OF ANY TENDER

The Council does not bind itself to accept the lowest or any tender and reserves the right to accept the whole or any part of a tender.

Where less than three (3) tenders are received, the Head: Supply Chain Management reserves the right to purchase such items on the open market notwithstanding the acceptance of an offer.

The procedure which will be followed with the acceptance of a tender is as follows:

Formal agreement will be signed.

A Service Level Agreement will be entered into with the successful Service Provider. A letter of acceptance stipulating which rate/s has been accepted will be sent by the Head: Supply Chain Management to the Tenderer. The tender documents, together with the letter of acceptance, shall constitute a binding agreement between the Tenderer and the Council.

Unless otherwise stipulated in the covering letter submitted with the tender, the Tenderer shall have waived, renounced and abandoned any conditions printed or written upon any stationery used for the purpose of, or in connection with, the submission of the tender which are in conflict with the Council's Conditions of Tender and the Standard Conditions of Contract. The Tenderer is warned that any material divergence from the official conditions or specification may render the tender liable to disqualification.

11. DOMICILIUM CITANDI ET EXECUTANDI

For the purpose of the service of all documents and the giving of notice as may be required in terms of this contract, or as a result of any action arising in conjunction with it, the Council chooses Stand 13433 King Fisher Road, Remainder of Harlingen Farm Mkuze 3965 as its *domicilium citandi et executandi*.

The Tenderer's *domicilium citandi et executandi* shall be whatever street address is given in the Tender Form attached hereto.

Either party may, at any time, give one (1) month notice, in writing, of a change of its *domicilium citandi et executandi* provided that such address shall be within the Republic of South Africa.

12. DATA SHEETS

Tenderers shall be required to complete all Data Sheets and the Tender Form attached hereto in their entirety for adjudication purposes. Where Data Sheets and/or any other documentation as contained herein are required to be commissioned, such Data Sheets and/or documentation must be stamped and signed by a Commissioner of Oaths (where applicable). ***Failure to comply with these provisions will render the offer unresponsive (invalid).***

13. PROHIBITION ON AWARDS TO PERSONS IN THE SERVICE OF THE STATE

The Supply Chain Management Regulations states that the Council may not make any award to a person:

- (a) Who is in the service of the state;
- (b) If that person is not a natural person, of which and director, manager, principal shareholder or stakeholder is a person in the service of the state; or
- (c) Who is an advisor or consultant contracted with the municipality or municipal entity.

14. MUNICIPAL FEES

All Tenderers are to sign the Declaration herein declaring that their Municipal Fees are in order, or that proper arrangements have been made with the Council. Tenderers must include the relevant account numbers in the declaration.

15. APPEALS AND/OR OBJECTIONS

Any Tenderer aggrieved by decisions or actions taken by the Municipality may lodge within fourteen (14) calendar days of the date of the decision or action, a written objection or complaint to the Municipal Manager Tribunal. In the event that an appeal and/or objection is lodged, the above procedure shall apply.

16. JOINT VENTURE AGREEMENTS AND CONSORTIUMS

Tenderers intending to tender in the form of Joint Ventures/Consortiums **must submit** the following documentation together with the tender:

- 1) Original valid Tax Clearance Certificates or a Tax Compliance Status Verification Pins issued by SARS of all parties of the Joint Venture/Consortium;
- 2) All parties of the Joint Venture/Consortium must submit signed copies of:
 - a) The Declaration of Interest Form;
 - b) The Declaration of Bidder's Past Supply Chain Management Practices Form, and

- c) The Certificate of Independent Bid Determination Form.
- 3) An undertaking duly signed by all parties of the Joint Venture/Consortium indicating their intention to enter into an agreement for the purposes of this contract, and,

Further to the above, the name of the Joint Venture/Consortium must appear on the relevant pages of the document. Failure to comply with these requirements shall lead to disqualification.

17. COMBATIVE TENDERING

The Supply Chain Management Regulations states that Combative tendering practices are unethical and illegal. These include but are not limited to:

- (i) Suggestions to fictitious lower quotations;
- (ii) Reference to non-existent competition;
- (iii) Exploiting errors in tenders;
- (iv) Soliciting tenders from Tenderers whose names appear on the list of restricted tenderers/suppliers/persons, and,
- (v) Submission of two tenders by a Tenderer.

Any attempt by a Tenderer to contravene this condition which is brought to the notice of the Municipal Manager or the Head: Supply Chain Management shall result in the disqualification of the tender. The Council further reserves the right to take any other action as it may deem necessary.

18. ADJUDICATION CRITERIA

The tender shall be evaluated on a Two Stage Evaluation System – Stage One: Functionality and Stage Two: 80/20 Special Goals Point System in accordance with the UKDM SCM Policy

UMKHANYAKUDE DISTRICT MUNICIPALITY

DEFINITIONS

- 1) The following definitions apply: -
- 2) "**Council**" means Umkhanyakude District Municipality (UKDM).
- 3) "**Head: Supply Chain Management**" means the Head: Supply Chain Management of the day of the Umkhanyakude District Municipality or the Manager's duly appointed Representative.
- 4) "**Accounting Officer**" means the: Office of the Municipal Manager of uMkhanyakude District Municipality or the said Manager's duly appointed Representative.
- 5) "**Service Provider/Contractor**" means the person, firm, Service Provider or company whose tender has been accepted by the District Municipality and includes the Service Provider's heirs, executors, administrators, trustees, judicial managers or liquidators, as the case may be, but not, except with the written consent of the Council, any assignee of the Service Provider.
- 6) "**Special Conditions**" means any addition to or departure from or amendment of these Standard Conditions as set out in Annexure "A" hereof.
- 7) "**Drawings**" means the drawings referred to in the Specification and any modification of such drawings approved in writing by the Engineer and such other drawings as may from time to time be furnished or approved in writing by the Engineer.
- 8) "**Contract Document**" means the Conditions of Tender, Scope of Contract, Terms of Reference, these Definitions, Special Conditions (if any), Equipment Specifications, Rates, Percentages and Prices, Tender Form and Annexures thereto. Any amendments to the contract document agreed to by the Council and the Service Provider, Provisional Letter of Acceptance and the final Letter of Final Acceptance.
- 9) "**Goods**" means the equipment, plant, vehicles, service or materials to be supplied in accordance with the Contract.
- 10) "**The Tender**" means the written offer made by the Service Provider to the Council.
- 11) "**Preferential Procurement Policy**" means the Preferential Procurement Policy Framework Act, 2000 (Act No 5 of 2000).
- 12) "**SARS**" means the South African Revenue Services.

BID SPECIFICATION

PROVISION OF BANKING SERVICES (5 YEARS)

1. PURPOSE

The purpose of this document is to procure the services of a commercial bank registered in terms of the Bank Act (Act No. 94 of 1990) for a fixed term of five (5) years. The bidder's proposal should effectively and adequately, without ambiguity demonstrate how it is able to provide the banking services. The bank must offer robust and adaptable banking solutions to meet a wide range of financial needs, including managing current accounts, handling foreign currencies, and providing short-term investment options. Additionally, the bank should be well-versed in all processes related to performance and financial guarantees for major projects.

The bidder must provide solutions that will be detailed under the scope of work in the bid document/proposal. The proposal should encompass key areas of support and collaboration i.e. innovation, high quality service, value-add solutions and a proactive approach to service models.

Bid Number	Bid Name
SCMU 003/2025/2026	PROVISION OF BANKING SERVICES (5 YEARS)

2. BACKGROUND AND INTRODUCTION

- In accordance with **Section 7 (2)(b) of the Public Finance Act 1999 (Act No 56 of 2003)**, public entities are only permitted to open bank accounts with institutions registered as a bank in terms of the **Banks Act, 1990 (Act No. 94 of 1990)**.
- Section 7(2) of the Public Finance Management Act 1 of 1999 (PFMA) stipulates that:
- 'A public entity or a constitutional institution may open a bank account only:
 - with a bank registered in South Africa and approved in writing by the National Treasury;
 - as per 31.2 of the National Treasury Regulations, which further elaborate sections 7(2) and (3) of the PFMA, as follows:
 - "31.2.1 When a public entity listed in Schedule 3 of the Act intends to open a new bank account, the National Treasury must approve of the bank.
 - 31.2.2 When going to tender, and if the National Treasury has not proposed a bank, the public entity must take into account:
 - (a) That the bank is registered with the South African Registrar of Banks.
 - (b) That the bank is a member or sponsored by a member of the Payments Association of South Africa.
 - (c) The bank is contracting with persons, or categories of persons historically disadvantaged by unfair discrimination based on race, gender or disability.
 - (d) The cost effectiveness; and
 - (e) The ability of the bank to provide the required services which through adequate systems, infrastructure and branch networks."
- Therefore, institutions not registered in terms of the Bank Act above are precluded from submitting bids.

3. SCOPE OF WORKS

3.1. Core Function

The banking services to be provided cover transactional banking services including, but not limited to:

- Provision of accounts and deposit facilities
- Electronic payment distribution and
- Revenue collection
- Transaction information services
- Access to a branch network for cash deposits.

Prospective bidders are required to provide a fully digitized banking solution that will integrate/interface with UMkhanyakude District Municipality Board's financial applications, these include the following:

- On-boarding of all bank accounts
- Have a relationship manager
- Provide overdraft facilities in accordance with the PFMA. The intention is to plan upfront for a possible overdraft facility in case of need

In addition to the above UMkhanyakude District Municipality Board expects the successful bidder to provide assistance and services in respect of:

- Transitional Arrangements - Ensuring smooth transition from one service provider to the next.
- Computer Systems and Technical Equipment - Integration and compatibility with UMkhanyakude District Municipality Board's existing infrastructure
- Security Procedure and Insurance - Implementing robust security measures and ensuring adequate insurance coverage
- Social Responsibility - Commitment to corporate social responsibility initiatives

3.2. Provide an Online Banking Solution as follows:

- High Transaction Capacity - Current accounts must have the ability to handle very large transaction volumes in the salaries and current account to the estimated value of R150 million or more on a monthly basis.
- Deposit Identification - Processes to identify and deal with un-cleared/unidentified deposit transactions reflected in all UMkhanyakude District Municipality's bank accounts.
- Accurate Payment References - Internet payments and over the counter deposits to reflect an accurate reference number.
- Masked Account Numbers - Account numbers must not be revealed to the Public (Masked accounts).
- Unauthorized Debit Order Prevention - Prevent any unauthorised debit orders from going through the UMkhanyakude District Municipality's accounts.
- Transaction Reversals - The banking system must have the ability to provide reversals of any unauthorised, erroneous and fraudulent transactions.
- Supplier and Employee Bank Detail Verification - The bidder must provide confirmation manually and electronically (affirmative and/ or negative) of bank details of suppliers and/or employees upon request by authorised staff members from UMkhanyakude District Municipality. This service must provide the necessary validation of beneficiary banking details prior to input into the UMkhanyakude District Municipality's beneficiary address book. The bidder must be able to perform the account verification with all other banks within four (4) hours or less.
- Secure Account Confirmation Requests - The account confirmation requests must be transmitted to the UMkhanyakude District Municipality electronically. (i.e. in a secured format).
- Real-Time Transactions - The electronic banking system must provide real-time transactions that allow for efficient cash management, payments and receipts. The banking system must have the

capability to perform transfers between UMkhanyakude District Municipality's own bank accounts with built-in security controls to prevent unauthorised movement of funds.

- Next-Day Bank Statements - Provide electronic bank statement the following day after deposits are made.
- User Access Control - The banking system must be able to have adequate controls to prevent a user from being duplicated when created.
- Multi-Layered Security - Multi-layered security enabling individual access to transfers and/or enquiries with audit trial capabilities
- Automated User Deactivation - The banking system de-activation functionality to be automated if user has not logged in for more than 30 days.
- System Reliability & Performance - The banking system must operate effectively and timeously at all times, meeting UMkhanyakude District Municipality's operational requirements which include:
 - High system reliability
 - Fast recoverability capabilities
 - Response time measured in a few seconds
 - High levels of security
 - 99.9% uptime assurance
 - Strong network infrastructure
 - Backup capacity, risk mitigations strategies
 - User-friendly interface
- Automatic Logoff - The system must be able to log off automatically if idle for more than a specified time to be agreed on in the Service Level Agreement, to prevent access by unauthorised third parties if left unattended.
- Ad-Hoc Electronic Transfers – The system must support adhoc electronic transferred for 3rd party payments and internal transactions.
- Bank Statement Delivery - The banking system must:
 - Provide electronic bank statements via e-mail to designated UMkhanyakude District Municipality's officials on all accounts, Load statements on a centralised file path for ERP system reconciliation.
 - Allow UMkhanyakude District Municipality users to download and access bank statements of all bank accounts at any given point from the banking platform.
- Audit and Exception Reporting - The banking system must be able to generate:
 - audit trails for all user activities.
 - investigation reports for detailed analysis.
 - exception reports based on predetermined thresholds.
- Secure Authentication & Password Management - The banking system must:
 - Enforce unique passwords for a predetermined period.
 - Support complex passwords such as, alpha-numeric and case sensitive.
 - Implement multi-factor authentication.
- Self-Administration Capabilities - The banking system must enable administrators to:
 - Create, update and deactivate transactional operators.
 - Assign and restricting access permissions.
 - Set financial limits.
- Comprehensive Reporting - The banking system must generate the following reports but not limited to:
 - User Activity Reports- All actions performed by any user must be recorded in the Audit Log and be accessible by authorised users. All actions performed by any user includes creating, deleting and updating or viewing of any data. Active users not logging in, user activity after cut-off time as well as password re-issue history per user.
 - Transactional Reports - The system must be able to generate detailed and summarized transactional reports not limited to:
 - Payments detailed report
 - Receipts detailed report

- Service Processing Timeframes - The bidder must specify timeframes for offering services or for processing new entrants on the banking application.
- System Integration – The system must be capable of interfacing with UMkhanyakude District Municipality's payroll and line of business applications currently used and applications which may be implemented in the future.

3.3. Implementation of the Host-to-Host Banking Solution.

- The ability to import payment batch files from UMkhanyakude District Municipality's Financial System to online or Host- to-Host banking to avoid manual capturing of payments to the bank. (i.e. provide a secured compatible pre-defined file format).
- Administer the integration function of the Banking Solution to the UMkhanyakude District Municipality's financial systems.

3.4. Petty Cash management solution

The successful bidder must provide a comprehensive and secure petty cash management solution that meets UMkhanyakude District Municipality's operational requirements. This solution should include:

- **Real-Time Tracking and Visibility:** A platform enabling real-time monitoring of petty cash transactions across different sections, providing finance managers and authorized personnel with full visibility and ensuring transparency and accountability.
- **Pre-Approval and Workflow Automation:** The system must automate the approval process for petty cash withdrawals, ensuring that disbursements are pre-approved by designated managers, maintaining control over expenses and compliance with internal policies.
- **Expense Categorization and Reconciliation:** The solution must allow for categorization of expenses and automatic reconciliation of petty cash transactions with supporting documentation, generating detailed reports to streamline the reconciliation process.
- **Cash Top-Up Management:** Automated reloading features must be available, triggering top-ups when petty cash balances fall below a predefined threshold, with notifications sent to the finance team for approval before processing.
- **Multi-User Access with Role-Based Permissions:** The bank must implement role-based access controls, ensuring different levels of access for users (e.g., requestors, approvers, auditors) and preventing unauthorized actions.
- **Integration with Financial Systems:** The petty cash management system must integrate seamlessly with the UMkhanyakude District Municipality's financial systems, ensuring automatic recording of all petty cash transactions in the general ledger and related reports.
- **Audit Trail and Reporting:** A full audit trail must be provided for all petty cash activities, along with comprehensive reporting capabilities for internal audits and financial reviews to maintain compliance with governance standards.
- **Security and Compliance:** The bank must ensure that petty cash transactions are processed in a secure environment, utilizing encryption, multi-factor authentication, and fraud detection mechanisms. The system must comply with both local and international financial regulations.

This solution will ensure efficient and secure petty cash management, enhancing control, visibility, and compliance within UMkhanyakude District Municipality.

3.5. Provide Foreign Exchange Services.

- Ability to make payments to a foreign supplier.
- Comprehensive currency hedging services to manage UMkhanyakude District Municipality foreign exchange risk.
- Ability to receive payments from foreign depositors.

3.6. Investment Portfolio

- A bidder is required to submit a comprehensive overview of the services they can provide related to the administration of the Investment Portfolio, management of charges, handling of commissions, and facilitation of fund switching.
 - The bidder must provide a call/investment account that caters to both short- and medium-term deposit needs, with flexible terms ranging from 32 days to 12 months. The account should offer:
 - Competitive interest rates based on the duration of the deposit.
 - The ability to withdraw funds on short notice without penalty for short-term liquidity needs.
 - Transparent investment options that align with UMkhanyakude District Municipality's cash flow and investment strategies.
 - Detailed monthly reporting on account performance including interest accrual and maturity options for reinvestment.
- This solution must meet the UMkhanyakude District Municipality's requirement for liquidity and yield management.

3.7. Settlement Agent

- The Bidder must indicate whether it is an official settlement agent of the Bond Exchange of South Africa.
- Other Services (If there is a cost involved for this service please include it in the Pricing Schedule. If the cost relating to this offering is for UMkhanyakude District Municipality or the Employee, then this must be clearly stated on the pricing schedule)

3.8. Provide an automated solution for archiving and backups of the banking services.

- The bidder must have the capacity to keep UMkhanyakude District Municipality's records (including but not limited to Administrators and User activities log files) for a period of at least five (5) years after the end of the contract.
- The bidder must be able to archive the bank statements for the duration of the contract.
- Archiving must comply with financial and data protection regulation act.
- The bidder must have IT Systems backup facilities.
 - **RTO (Recovery Time Objective):** How quickly the bank should restore data after a failure. **RTO ≤ 2 hours** (data must be restored within 2 hours of failure).
 - **RPO (Recovery Point Objective):** The maximum period of data loss UMkhanyakude District Municipality can tolerate. **RPO ≤ 15 minutes** (no more than 15 minutes of data loss is acceptable).

3.9. Computer Systems and Technical Equipment

- Please provide a technical specification that will enable interface with the computer systems of UMkhanyakude District Municipality. Any additional hardware that would be required to ensure that UMkhanyakude District Municipality's IT system functions effectively with Bidder's system.
- The Communication Software that will be made available to allow the systems to link and talk to each other. Information on the connection protocol or service provider that the Bidder must subscribe to.
- Security assurance and confidentiality in connectivity between UMkhanyakude District Municipality and the Bidder.
- All IT related roles and responsibilities must be clearly outlined.

3.10. Security Procedure and Insurance

- Please provide information as to what security procedures are being followed to prevent fraudulent practices in terms of commerce, cheques, cash, etc.

- Please provide information as to what insurance arrangements are in place or should be put in place as part of the Proposal to protect UMkhanyakude District Municipality against any loss, and the cost thereof.
- Outline a plan to provide UMkhanyakude District Municipality's senior management and its employees with ongoing advice and training on fraud prevention and methods of detecting fraud.

3.11. Advice and facilities to detect money-laundering activities.

3.12. Training

- Training, support, maintenance and enhancements of the Banking Services solutions to be provided for the initial implementation as and when required.
- Identify training requirements and time frames for the implementation of solutions. Dedicated implementation team to facilitate a smooth transition of banking services.
- On-going electronic banking and systems training to support UKDM officials.

3.13. Non-financial and Additional Services

- General Advice
- Personal financial management training for UMkhanyakude District Municipality staff
- Risk Management

3.14. Other products for UMkhanyakude District Municipality Employees

- Please provide in detail any tailor-made products and services to Employees of UMkhanyakude District Municipality amongst other the following:
- Vehicle Schemes;
- Retirement Funds and Other Insurance Scheme;
- Housing / Bond Facility;
- Financial Advisory Services
- And any other services that can be accessible to staff which have not been mentioned above

4. SPECIFIC REQUIREMENTS

- Short listed bidders will be required to make a presentation prior to an award being made.

5. IMPLEMENTATION SCHEDULE

6. The service provider will be responsible for the compilation of the "Project Programme", detailing activities and time frames for provision of the scope of work. The Project Programme should be provided and presented to UMKHANYAKUDE DISTRICT MUNICIPALITY within the agreed timeframe after the appointment.

7. EVALUATION PROCESS

Bidders must submit all required documents indicated hereunder with the bid documents at the closing date and time of the bid. During the evaluation, the bidder's responses will be evaluated based on the documents submitted under mandatory requirements. Any bid that does not meet these requirements will be disqualified and will be considered as non-responsive. The received bid proposals will be evaluated in five stages to arrive at the final stage of the bid award, and the stages will be as follows:

STAGE 1 – MANDATORY REQUIREMENTS

In this stage, all bids received will be verified for compliance and completeness of the submitted proposal per the below set of requirements. Bidders who fail to comply with the requirements below will be eliminated and bidders who comply with the below progresses to the next stage.

The following declaration forms must be completed and signed in full:

- MBD 1: Invitation to Bid.
- MBD 3.2: Pricing Schedule: Total Price offer/rate must be transferred to MBD 1.
- MBD 4: Bidder's disclosure:
NB: Bidder must ensure that 2.3 of MBD 4 is duly completed in all aspects including all or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are bidding for this contract.
- FORM A: AUTHORITY TO SIGN: In the case of a Bid being submitted on behalf of a company, close corporation, or partnership, the evidence must be submitted to UMkhanyakude District Municipality at the time of submission of the Bid that the Bid has been signed by persons properly authorised thereto by resolution of the Board of Directors.
- FORM B: The schedule of previous banking services contracts satisfactorily completed at least for a period of three years.

All forms and declarations must be signed and completed and returned with the Bid Document as a whole. Failure to sign and/or complete the forms and declarations will result in the bid being disqualified.

NB: The bidder must initial or sign next to any alterations or corrections made.

STAGE 2 – COMPLIANCE REQUIREMENTS

The bidder must confirm compliance to the below requirements by indicating Yes/No and provide evidence of compliance. Failure to submit the below Mandatory Information required will lead to disqualification of the bid.

DESCRIPTION	CONFIRM COMPLIANCE YES/NO	REFERENCE PAGE NUMBER IN PROPOSAL
1. Proof of registration in terms of the Bank Act (Act No. 94 of 1990) – (Banking License from the Prudential Authority and certificate of registration with the South African Reserve Bank)		
2. Proof of membership or sponsorship by a member of the Payments Association of South Africa.		
3. Confirmation letter of business insurance cover (public liability insurance).		

4. Proof of registration in terms of Financial Advisory Intermediary Services Act (Act No 37 of 2002). (FSP Certificate from the Financial Sector Conduct Authority)		
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NB: The bidder must initial or sign next to any alterations or corrections made.

STAGE 3 – CAPABILITY REQUIREMENTS

Only bidders who meet the following requirements will be considered and bidders who fail to comply will be considered non-responsive.

No.	Evaluation Criteria	Details	Compliant or non-compliant	Documentary Proof is to be attached during the bid submission
1	Previous experience in provision of banking services	The company must provide full details of successfully completed projects for banking services from contactable previous clients which are Government Institutions (National, Provincial, Local Government and Public Entities/State Owned Entities		Completed, signed, and stamped B1, B2 and B3 . NB: Reference letters will not be accepted. Company profile reflecting relevant experience.

No.	Evaluation Criteria	Details	Compliant or non-compliant	Documentary Proof is to be attached during the bid submission
		and Private Entities) within the last 10 years.		
2	Key Personnel	Relationship Manager: 1. Finance/ banking qualification with a minimum of 10 years' experience in a banking services managerial role.		Detailed CV and certified copy of qualifications not older than 6 months.
3	Financial Viability	A rating letter of not less than code B		Credit rating report prepared by an independent credit rating agency within the last 12 months.

4	Business Continuity Plan	<p>The bidder must provide a Business Continuity Plan which will be evaluated based on the guidelines below:</p> <ul style="list-style-type: none"> • Risk and impact analysis • Recovery timelines • Availability of a contactable project and support team • Communication plan • Training and testing plan 		<p>Business Continuity Plan</p> <ul style="list-style-type: none"> • Risk register • Disaster Recovery Plan with clear Recovery Time Objective and Recovery Point Objective (RTO ≤ 2 hours and RPO ≤ 15 minutes) • List of key personnel with the escalation matrix. • Incident response plan. • Training plan & testing schedule.
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No.	Evaluation Criteria	Details	Compliant or non-compliant	Documentary Proof is to be attached during the bid submission
5	Project Implementation Plan	<p>The bidder must provide a Project Implementation Plan outlining tasks, resources, and time frames that will be necessary to ensure a smooth transition from one institution to another</p> <p>The Project Implementation Plan must include all the guidelines listed below:</p> <ul style="list-style-type: none"> • Activities of the implementation • Duration of implementation • System support and maintenance 		Methodology Report/plan

STAGE 4 – PRICE AND PREFERENCE 80/20

In terms of the Preferential Procurement Policy Framework Act (PPPFA), the 80/20 scoring system will be applicable to this tender.

The maximum points for this tender are allocated as follows:

	POINTS
PRICE	80
SPECIFIC GOALS	20
Total points for Price and SPECIFIC GOALS	100

PRICE EVALUATION (80)

The price points out of 80 will be allocated to the Price Tendered or evaluated price.

Adjudication Criteria	Points
Price Evaluation $Ps = 80 \left(1 - \frac{Pt - P_{\min}}{P_{\min}} \right)$	80

Where:

Ps = Points scored for price of Bid under consideration

Pt = Rand value of Bid under consideration

P_{\min} = Rand value of lowest acceptable Bid

SPECIFIC GOALS (20)

Specific goals for the tender and points claimed are indicated per the table below.

Note to tenderers: The tenderer must indicate how they claim points for each preference point system.)

The specific goals allocated points in terms of this tender	Number of points allocated (80/20 system) (To be completed by the organ of state)
Enterprise owned by Black People ≥ 51%	4
Enterprise owned by Women ≥ 51%	4
Enterprise owned by Youth	4
Enterprise owned by Disabled Persons	4
Enterprise owned by SMMEs- QSE and EME	4

MBD 6.1 must be fully completed and signed by the bidders in order to claim the above points, failure to claim points will result in non-awarding of points.

STAGE 5 – RISK ANALYSIS

UMkhanyakude District Municipality will perform a risk analysis in respect of the following

Bidders will be expected to demonstrate their capability to provide a fully digitized Banking Solution that will integrate/interface with UMkhanyakude District Municipality's financial applications.

Bidders will be evaluated based on the following criteria:

- Presentation demonstrates an understanding of the assignment, including proposed methodology and approach that is aligned to the scope of work
- Presentation demonstrates an understanding of the requirements for the assignment
- Presentation demonstrates the ability to translate the project implementation plan into action
- Ability to answer the questions to the satisfaction of the Committee

Conclusion drawn from the risk analysis will be used by the Bid Evaluation Committee in determining the acceptability of the Tender.

NOTE: If the above proposed individuals are not available at time of award, it is a **CONDITION OF AWARD** requirement that equally qualifying or better key staff are made available for the execution of this Contract.

SECTION B: RETURNABLE DOCUMENTS

The following documents must be completed in full and submitted with this bid:

SBD 1: Invitation to Bid

FORM A: Authority to Sign

FORM B : SCHEDULE OF PREVIOUS CONTRACTS SATISFACTORILY CARRIED OUT BY THE TENDERER

FORM B1 must be completed, signed and stamped.

FORM B2 must be completed, signed and stamped.

FORM B3 must be completed, signed and stamped.

MBD 4: Bidder's Disclosure

MBD 3.1: Pricing Schedule: Firm prices (IF APPLICABLE)

MBD 3.2: Pricing Adjustments: Non- firm prices

The following documents must be submitted with this bid:

- Tax Compliant status or Tax Pin as per CSD (Central Supplier Database);
- CSD Full report; (not older than 3 months)
- Copy of company registration Certificate;
- Certified copies ID of shareholders
- Latest Municipal statement (rates and taxes) not older than 3 months and a copy of a lease agreement and the confirmation of the landlord that the payments are up to date.
- Letter of Authority for signatory

FORM A: AUTHORITY TO SIGN DOCUMENTS

Authority for Signatory

Indicate the status of the tenderer by ticking the appropriate box hereunder. The tenderer must complete the certificate set out below for the relevant category.

A Company	B Partnership	C Joint Venture	D Sole Proprietor	E Close Corporation

A. Certificate for Company

I, , chairperson

of the board of ,

hereby confirm that by resolution of the board (copy attached) taken on

..... 20.... , Mr/Ms

acting in the capacity of..... , was authorised to sign all documents in connection with this tender for Contract No SCMU003/2025/2026 and any contract resulting from it on behalf of the company.

As witnesses:

1. Chairman:

2. Date:

B. Certificate for Partnership

We, the undersigned, being the key partners in the business trading as

..... , hereby authorise

Mr/Ms , acting in the capacity of

..... , to sign all documents in connection with this tender for Contract No SCMU 003/2025/2026 and any contract resulting from it on our behalf.

Name	Address	Signature	Date

Note: This certificate is to be completed and signed by all key partners upon whom rests the direction of the affairs of the

c. Certificate for Joint Venture

We, the undersigned, are submitting this tender offer in Joint Venture and hereby authorise Mr/Ms , authorised signatory of the company....., acting in the capacity of lead

Partner, to sign all documents in connection with this tender for Contract No. SCMU 003/2025/2026 and any contract resulting from it on our behalf.

This authorisation is evidenced by the attached power of attorney signed by legally authorised signatories of all the partners to the Joint Venture.

Name of Firm	Address	Authorising Name and Capacity	Authorising Signature
Lead Partner:			

d. Certificate for Sole Proprietor

I, , hereby confirm that I am the sole owner of the business trading as

As witnesses:

1. Sole Owner:
2. Date:

E. Certificate for Close Corporation

We, the undersigned, being the key members in the business trading as , hereby authorise Mr/Ms

acting in the capacity of....., to sign all documents in connection with this tender for Contract No SCMU 003/2025/2026 and any contract resulting from it on our behalf.

Name	Address	Signature	Date

Note: This certificate is to be completed and signed by all key partners upon whom rests the direction of the affairs of the

FORM B: Schedule of previous related contracts satisfactorily carried out by the tenderer.

Service Providers should very briefly describe their experience in this regard by completing the schedule below by providing details of at **least three (3) completed contracts for banking services** within the last 10 (Ten) years relating to the **PROVISION OF BANKING SERVICES FOR THE PERIOD OF FIVE (5) YEARS** to corporate clients or public entities. All the required information requested below should be provided and a **general listing of contracts completed will not suffice.**

Comparable supply contracts of the entity within the last 5 years	Short Description	Contract Value (incl. VAT)	Dates (Commencement; Completion)	Client and contactable reference (include phone no.)

SIGNED ON BEHALF OF TENDERER:

FORM B.1: CONFIRMATION OF REFERENCES TO UMKHANYAKUDE DISTRICT MUNICIPALITY

NAME OF BIDDING COMPANY:	
PREVIOUS CLIENT/EMPLOYER NAME:	
TENDER/BID NUMBER OF COMPLETED CONTRACT/PROJECT	
DESCRIPTION OF CONTRACT/ PROJECT PREVIOUSLY COMPLETED	
VALUE OF WORK COMPLETED	
DURATION AND DATE COMPLETED:	

The above-mentioned Bidding Company is in the process of submitting a Bid for **SCMU 003/2025/2026: PROVISION OF BANKING SERVICES (5 YEARS)** for UMkhanyakude District Municipality. If your company had prior exposure with the Bidding Company, as part of the evaluation process for this bid, UMkhanyakude District Municipality requires your company to confirm goods/services supplied by the above Bidding Company as per below questionnaire.

1. Were the goods/ services supplied according to the required quality as per the description/specification and were delivered on time?	Select applicable rating <input type="checkbox"/> Excellent , <input type="checkbox"/> Good , <input type="checkbox"/> Satisfactory , <input type="checkbox"/> Poor
2. Kindly, indicate their overall performance on the project.	Select applicable rating <input type="checkbox"/> Excellent , <input type="checkbox"/> Good , <input type="checkbox"/> Satisfactory , <input type="checkbox"/> Poor

Full Name of Authorised Signatory

Contact Number Email address.....

Signature..... Date.....

*CLIENT (EMPLOYER) STAMP HERE

*Incomplete, unstamped, and-unsigned form will not be accepted and UMkhanyakude District Municipality reserves the right to contact any Client Company listed as a reference. Hand-written imprints on the stamp area will not be accepted. **No reference letters will be accepted.**

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FORM B.2: CONFIRMATION OF REFERENCES TO UMKHANYAKUDE DISTRICT MUNICIPALITY

NAME OF BIDDING COMPANY:	
PREVIOUS CLIENT/EMPLOYER NAME:	
TENDER/BID NUMBER OF COMPLETED CONTRACT/PROJECT	
DESCRIPTION OF CONTRACT/ PROJECT PREVIOUSLY COMPLETED	
VALUE OF WORK COMPLETED	
DURATION AND DATE COMPLETED:	

The above-mentioned Bidding Company is in the process of submitting a Bid for **SCMU 003/2025/2026: : PROVISION OF BANKING SERVICES (5 YEARS)** for UMKhanyakude District Municipality. If your company had prior exposure with the Bidding Company, as part of the evaluation process for this bid, UMKhanyakude District Municipality requires your company to confirm goods/services supplied by the above Bidding Company as per below questionnaire.

3. Were the goods/ services supplied according to the required quality as per the description/specification and were delivered on time?	Select applicable rating <input type="checkbox"/> Excellent , <input type="checkbox"/> Good , <input type="checkbox"/> Satisfactory , <input type="checkbox"/> Poor
4. Kindly, indicate their overall performance on the project.	Select applicable rating <input type="checkbox"/> Excellent , <input type="checkbox"/> Good , <input type="checkbox"/> Satisfactory , <input type="checkbox"/> Poor

Full Name of Authorised Signatory

Contact Number Email address.....

Signature..... Date.....

*CLIENT (EMPLOYER) STAMP HERE

*Incomplete, unstamped and-unsigned form will not be accepted and UMKhanyakude District Municipality reserves the right to contact any Client Company listed as a reference. Hand-written imprints on the stamp area will not be accepted. **No reference letters will be accepted.**

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FORM B.3: CONFIRMATION OF REFERENCES TO UMKHANYAKUDE DISTRICT MUNICIPALITY

NAME OF BIDDING COMPANY:	
PREVIOUS CLIENT/EMPLOYER NAME:	
TENDER/BID NUMBER OF COMPLETED CONTRACT/PROJECT	
DESCRIPTION OF CONTRACT/ PROJECT PREVIOUSLY COMPLETED	
VALUE OF WORK COMPLETED	
DURATION AND DATE COMPLETED:	

The above-mentioned Bidding Company is in the process of submitting a Bid for **SCMU 003/2025/2026: : PROVISION OF BANKING SERVICES (5 YEARS)** for UMKhanyakude District Municipality. If your company had prior exposure with the Bidding Company, as part of the evaluation process for this bid, UMKhanyakude District Municipality requires your company to confirm goods/services supplied by the above Bidding Company as per below questionnaire.

5. Were the goods/ services supplied according to the required quality as per the description/specification and were delivered on time?	Select applicable rating <input type="checkbox"/> Excellent , <input type="checkbox"/> Good , <input type="checkbox"/> Satisfactory , <input type="checkbox"/> Poor
6. Kindly, indicate their overall performance on the project.	Select applicable rating <input type="checkbox"/> Excellent , <input type="checkbox"/> Good , <input type="checkbox"/> Satisfactory , <input type="checkbox"/> Poor

Full Name of Authorised Signatory

Contact Number Email address.....

Signature..... Date.....

*CLIENT (EMPLOYER) STAMP HERE

***Incomplete, unstamped and-unsigned form will not be accepted and UMKhanyakude District Municipality reserves the right to contact any Client Company listed as a reference. Hand-written imprints on the stamp area will not be accepted. No reference letters will be accepted.**

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**PRICING SCHEDULE – FIRM PRICES
(PURCHASES)**

MBD 3.1

NOTE: ONLY FIRM PRICES WILL BE ACCEPTED. NON-FIRM PRICES (INCLUDING PRICES SUBJECT TO RATES OF EXCHANGE VARIATIONS) WILL NOT BE CONSIDERED IN CASES WHERE DIFFERENT DELIVERY POINTS INFLUENCE THE PRICING, A SEPARATE PRICING SCHEDULE MUST BE SUBMITTED FOR EACH DELIVERY POINT

Name of Bidder..... Bid Number.....

Closing Time: Closing Date: 30 March 2026

OFFER TO BE VALID FOR.....DAYS FROM THE CLOSING DATE OF BID.

ITEM CURRENCY NO.	QUANTITY	DESCRIPTION	BID PRICE IN RSA
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**(ALL APPLICABLE TAXES INCLUDED)

- Required by:
- At:
- Brand and Model
- Country of Origin
- Does the offer comply with the specification(s)? *YES/NO
- If not to specification, indicate deviation(s)
- Period required for delivery
- *Delivery: Firm/Not firm
- Delivery basis

Note: All delivery costs must be included in the bid price, for delivery at the prescribed destination.

** "all applicable taxes" includes value- added tax, pay as you earn, income tax, unemployment insurance fund contributions and skills development levies.

*Delete if not applicable

**PRICING SCHEDULE – NON-FIRM PRICES
(PURCHASES)**

NOTE: PRICE ADJUSTMENTS WILL BE ALLOWED AT THE PERIODS AND TIMES SPECIFIED IN THE BIDDING DOCUMENTS.

IN CASES WHERE DIFFERENT DELIVERY POINTS INFLUENCE THE PRICING, A SEPARATE PRICING SCHEDULE MUST BE SUBMITTED FOR EACH DELIVERY POINT

Name of Bidder..... 003/2025/2026	Bid number: SCMU
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OFFER TO BE VALID FOR 90 DAYS FROM THE CLOSING DATE OF BID.
PRICING SCHEDULE

PART A – Schedule of bank charges

The tenderer is required to fill in the pricing schedule and complete the pricing calculations set down in the section below

Unit rates – the tenderer is required to review the scope of work defined in Section 3 above and to determine all the resources required resulting in the unit rates to achieve the work components within the scope.

ITEM	DESCRIPTION OF SERVICES	YES	NO	A: UNIT COST (VAT INCLUSIVE)	B: UNITS (REFERENC E DATA)	TOTAL PER ANNUM (A x B)
1	MANAGEMENT OF CASH					
	Cash deposit fee - Branch					
	Cash deposit fee - ATM					
	Petty cash Facility					
	Cash deposit errors					
	Providing copies of Deposit slips/statements					
2	ELECTRONIC BANKING SERVICES					
	EFT service to facilitate the creation of bulk payments (file importing and release)					
	Payment Per Transactions					
	Payment Rejections (Per transaction)					

	Payment Reversal/ Recall				
	Direct on-line, real-time browsing facility				
	Facility to download statements into financial system / excel				
	Audit trail of electronic transfers in/ deposits				
	EFT facility to effect salary payments				
	Proof of payment to suppliers				
	Verification and validation of suppliers/creditors branch and account numbers				
5	ELECTRONIC FOREIGN PAYMENTS				
	Facility to process foreign payments				
	Manual payment via emailed instruction				
6	GUARANTEES				
	Issuing of Financial guarantees				
	Issuing of Performance Guarantees				
7	OTHER SERVICES				
	Monthly Bank Statements				
	Monthly Service Fee				
	Returned/ disputed debit order				
8	GENERAL				
	Setup/initiation/installation fee				
	Other fees (Please list)				
TOTAL					
VAT					
GRAND TOTAL COST (INCLUDING VAT)					

PART B – Reference data

Description	Indicative per annum
RECEIPTS	
Number of EFT deposits	
Value of EFT deposits	
Number of cash deposits	
Value of cash deposits	
PAYMENTS	
Number of EFT Batches	30
Number of EFT Transactions	200
Value of EFT Transactions	R50 000 000
PAYROLL DETAILS	
Number of Employees	751
Number of EFT Batch Runs	5
Number of EFT Transactions	751
Value of Payments	R13 229 910.71
PETTY CASH PURCHASE CARDS	
Number of Employees with purchase cards	
Monthly purchase limit of cards	
Value of Payments	
NUMBER OF FOREIGN PAYMENTS	
TOTAL NUMBER OF USERS ACCESSING THE BANKING SYSTEM	
Number of system administrators	3
Number of authorisers	4
Number of capturers	8

NB: A full list of all rates charged in addition to the above must also be attached to the bid document. Bidders will be required to do a demonstration of all the functionality that has been requested. As part of this, the security features on the online banking system must be explained in detail. Any additional service offering relevant to the scope of work can also be included in the demonstration.

Bidders must take note that the above table will serve as basis for evaluation of price and the total for all services over the period of five years must be transferred to SBD1 (invitation to bid).

- Does the offer comply with the specification(s)? *YES/NO
- If not to specification, indicate deviation(s)
- Period required for delivery
- Delivery: *Firm/not firm

** "all applicable taxes" includes value- added tax, pay as you earn, income tax, unemployment insurance contributions and skills development levies.

Total rates must be transferred to MBD1, failure to do so will lead to the bid being disqualified.

A NON-FIRM PRICES SUBJECT TO ESCALATION

1. IN CASES OF PERIOD CONTRACTS, NON FIRM PRICES WILL BE ADJUSTED (LOADED) WITH THE ASSESSED CONTRACT PRICE ADJUSTMENTS IMPLICIT IN NON FIRM PRICES WHEN CALCULATING THE COMPARATIVE PRICES

IN THIS CATEGORY PRICE ESCALATIONS WILL ONLY BE CONSIDERED IN TERMS OF THE FOLLOWING FORMULA:

$$Pa = (1-V)Pt \left(D1 \frac{R1t}{R1o} + D2 \frac{R2t}{R2o} + D3 \frac{R3t}{R3o} + D4 \frac{R4t}{R4o} \right) + VPt$$

Where:

Pa	=	The new escalated price to be calculated.
(1-V) Pt	=	85% of the original bid price. Note that Pt must always be the original bid price and not an escalated price.
D1, D2..	=	Each factor of the bid price eg. labour, transport, clothing, footwear, etc. The total of the various factors D1, D2...etc. must add up to 100%.
R1t, R2t.....	=	Index figure obtained from new index (depends on the number of factors used).
R1o, R2o	=	Index figure at time of bidding.
VPt	=	15% of the original bid price. This portion of the bid price remains firm i.e. it is not subject to any price escalations.

3. The following index/indices must be used to calculate your bid price:

Index.....	Dated.....	Index.....	Dated.....	Index.....	Dated.....
Index.....	Dated.....	Index.....	Dated.....	Index.....	Dated.....

2. FURNISH A BREAKDOWN OF YOUR PRICE IN TERMS OF ABOVE-MENTIONED FORMULA. THE TOTAL OF THE VARIOUS FACTORS MUST ADD UP TO 100%.

FACTOR (D1, D2 etc. e.g. Labour, transport etc.)	PERCENTAGE OF BID PRICE

PRICES SUBJECT TO RATE OF EXCHANGE VARIATIONS

1. Please furnish full particulars of your financial institution, state the currencies used in the conversion of the prices of the items to South African currency, which portion of the price is subject to rate of exchange variations and the amounts remitted abroad.

PARTICULARS OF FINANCIAL INSTITUTION	ITEM NO	PRICE	CURRENCY	RATE	PORTION OF PRICE SUBJECT TO ROE	AMOUNT IN FOREIGN CURRENCY REMITTED ABROAD
				ZAR=		
				ZAR=		
				ZAR=		
				ZAR=		
				ZAR=		
				ZAR=		

2. Adjustments for rate of exchange variations during the contract period will be calculated by using the average monthly exchange rates as issued by your commercial bank for the periods indicated hereunder: (Proof from bank required)

AVERAGE MONTHLY EXCHANGE RATES FOR THE PERIOD:	DATE DOCUMENTATION MUST BE SUBMITTED TO THIS OFFICE	DATE FROM WHICH NEW CALCULATED PRICES WILL BECOME EFFECTIVE	DATE UNTIL WHICH NEW CALCULATED PRICE WILL BE EFFECTIVE

THE UMKHANYAKUDE DISTRICT MUNICIPALITY

SCMU 003/2025/2026

PROVISION OF BANKING SERVICES (5 YEARS)

DECLARATION OF INTEREST

1. No bid will be accepted from persons in the service of the state¹.
2. Any person, having a kinship with persons in the service of the state, including a blood relationship, may make an offer or offers in terms of this invitation to bid. In view of possible allegations of favouritism, should the resulting bid, or part thereof, be awarded to persons connected with or related to persons in service of the state, it is required that the bidder or their authorised representative declare their position in relation to the evaluating/adjudicating authority.
3. **In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.**

3.1 Full Name of bidder or his or her representative:

3.2 Identity Number:

3.3 Position occupied in the Company (director, trustee shareholder²):
.....

3.4 Company Registration Number:

3.5 Tax Reference Number:

3.6 VAT Registration Number:

3.7 The names of all directors / trustees / shareholders members, their individual identity numbers and state employee numbers must be indicated in paragraph 4 below.

3.8 Are you presently in the service of the state? **YES / NO**

3.8.1 If yes, furnish particulars.

.....

¹MSCM Regulations: "in the service of the state" means to be –

(a) a member of –

(i) any municipal council;
(ii) any provincial legislature; or
(iii) the national Assembly or the national Council of provinces;

(b) a member of the board of directors of any municipal entity;

- (c) an official of any municipality or municipal entity;
- (d) an employee of any national or provincial department, national or provincial public entity or constitutional institution within the meaning of the Public Finance Management Act, 1999 (Act No.1 of 1999);
- (e) a member of the accounting authority of any national or provincial public entity; or
- (f) an employee of Parliament or a provincial legislature.

"Shareholder" means a person who owns shares in the company and is actively involved in the management of the company or business and exercises control over the company.

3.9 Have you been in the service of the state for the past twelve months? **YES / NO**

3.9.1 If yes, furnish particulars

.....

3.10 Do you have any relationship (family, friend, other) with persons in the service of the state and who may be involved with the evaluation and or adjudication of this bid? **YES / NO**

3.10.1 If yes, furnish particulars

.....

3.11 Are you, aware of any relationship (family, friend, other) between any other bidder and any persons in the service of the state who may be involved with the evaluation and or adjudication of this bid? **YES / NO**

3.11.1 If yes, furnish particulars

.....

3.12 Are any of the company's directors, trustees, managers, principal shareholders or stakeholders in service of the state? **YES / NO**

3.12.1 If yes, furnish particulars

.....

3.13 Are any spouse, child or parent of the company's director's trustees, managers, principal shareholders or stakeholders in service of the state? **YES / NO**

3.13.1 If yes, furnish particulars

.....

3.14 Do you or any of the directors, trustees, managers, principal shareholders, or stakeholders of this company have any interest in any other related companies or business whether or not they are bidding for this contract? **YES / NO**

3.14.1 If yes, furnish particulars:

.....

4. Full details of Directors / Trustees / Members / Shareholders.

Full Name	Identity Number	State Employee Number

CERTIFICATION

I, THE UNDERSIGNED, (NAME)

CERTIFY THAT THE INFORMATION FURNISHED ON THIS DECLARATION FORM IS CORRECT.

I ACCEPT THAT THE STATE MAY ACT AGAINST ME SHOULD THIS DECLARATION PROVE TO BE FALSE.

.....
Signature

.....
Date

.....
Position

.....
Name of Bidder

THE UMKHANYAKUDE DISTRICT MUNICIPALITY

SCMU 003/2025/2026

PROVISION OF BANKING SERVICES (5 YEARS)

DECLARATION FOR PROCUREMENT ABOVE R10 MILLION
(ALL APPLICABLE TAXES INCLUDED)

For all procurement expected to exceed R10 million (all applicable taxes included), bidders must complete the following questionnaire:

* Delete if not applicable

1. Are you by law required to prepare annual financial statements for auditing?

***YES / NO**

1.1 If yes, submit audited annual financial statements for the past three years or since the date of establishment if established during the past three years.

.....
.....

2. Do you have any outstanding undisputed commitments for municipal services towards any municipality for more than three months or any other service provider in respect of which payment is overdue for more than 30 days?

***YES / NO**

2.1 If no, this serves to certify that the bidder has no undisputed commitments for municipal services towards any municipality for more than three months or other service provider in respect of which payment is overdue for more than 30 days.

2.2 If yes, provide particulars.

.....
.....

3. Has any contract been awarded to you by an organ of state during the past five years, including particulars of any material non-compliance or dispute concerning the execution of such contract?

***YES / NO**

3.1 If yes, furnish particulars

.....
.....

4. Will any portion of goods or services be sourced from outside the Republic, and, if so, what portion and whether any portion of payment from the municipality / municipal entity is expected to be transferred out of the Republic?

***YES / NO**

4.1 If yes, furnish particulars

.....
.....

CERTIFICATION

I, THE UNDERSIGNED, (NAME)

CERTIFY THAT THE INFORMATION FURNISHED ON THIS DECLARATION FORM IS CORRECT.

I ACCEPT THAT THE STATE MAY ACT AGAINST ME SHOULD THIS DECLARATION PROVE TO BE FALSE.

.....
Signature

.....
Date

.....
Position

.....
Name of Bidder

THE UMKHANYAKUDE DISTRICT MUNICIPALITY**SCMU 003/2025/2026****PROVISION OF BANKING SERVICES (5 YEARS)**

This preference form must form part of all bids invited. It contains general information and serves as a claim form for preference points for specific goals.

NB: BEFORE COMPLETING THIS FORM, BIDDERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF SPECIFIC GOALS, AS PRESCRIBED IN THE PREFERENTIAL PROCUREMENT REGULATIONS, 2022.

1. GENERAL CONDITIONS

1.1 The following preference point systems are applicable to all bids:

- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
- the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

1.2 The value of this bid is estimated not to exceed R50 000 000 (all applicable taxes included) and therefore the 80/20 preference point system shall be applicable.

1.3 Preference points for this bid shall be awarded for:

(a) Price; and

(b) Specific goals

1.4 The maximum points for this bid are allocated as follows:

	POINTS
PRICE	80
SPECIFIC GOALS OF CONTRIBUTION	20
Total points for Price and Specific Goals must not exceed	100

1.5 Failure of a bidder to submit proof of specific goals claimed will be interpreted to mean that preference points for specific goals are not claimed.

1.6 The purchaser reserves the right to require of a bidder, either before a bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the purchaser.

2. DEFINITIONS

- (a) **“all applicable taxes”** includes value-added tax, pay as you earn, income tax, unemployment insurance fund contributions and skills development levies;
- (b) **“bid”** means a written offer in the form determined by an organ of state in response to an invitation to provide goods or services through price quotations, competitive tendering process or any other method envisaged in legislation and “bid” has a corresponding meaning
- (c) **“comparative price”** means the price after the factors of a non-firm price and all unconditional discounts that can be utilized have been taken into consideration;
- (d) **“consortium or joint venture”** means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract;
- (e) **“contract”** means the agreement that results from the acceptance of a bid by an organ of state;
- (f) **“EME”** means an Exempted Micro Enterprise as defined by Codes of Good Practice issued in terms of section 9 (1) of the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);
- (g) **“Firm price”** means the price that is only subject to adjustments in accordance with the actual increase or decrease resulting from the change, imposition, or abolition of customs or excise duty and any other duty, levy, or tax, which, in terms of the law or regulation, is binding on the contractor and demonstrably has an influence on the price of any supplies, or the rendering costs of any service, for the execution of the contract;
- (h) **“non-firm prices”** means all prices other than “firm” prices;
- (i) **“person”** includes a juristic person;
- (j) **“QSE”** means a Qualifying Small Enterprise as defined by Codes of Good Practice issued in terms of section 9 (1) of the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);

- (k) “**rand value**” means the total estimated value of a contract in Rand, calculated at the time of the tender invitation;
- (l) “**Reconstruction and Development Programme**” the Reconstruction and Development Programme as published in Government Gazette No. 16085 dated 23 November 1994;
- “**specific goals**” means specific goals as contemplated in section 2(1)(d) of the Act which may include contracting with persons, or categories of persons, historically disadvantaged by unfair discrimination on the basis of race, gender and disability including the implementation of programmes of the Reconstruction and Development Programme as published in Government Gazette No. 16085 dated 23 November 1994;
- (m) “**total revenue**” bears the same meaning assigned to this expression in the Codes of Good Practice;
- (n) “**trust**” means the arrangement through which the property of one person is made over or bequeathed to a trustee to administer such property for the benefit of another person; and
- (o) “**trustee**” means any person, including the founder of a trust, to whom property is bequeathed in order for such property to be administered for the benefit of another person.
- (p) “**Disability**” means, in respect of a person, a permanent impairment of a physical, intellectual, or sensory function, which results in restricted, or lack of, ability to perform an activity in the manner, or within the range, considered normal for a human being.
- (q) “**tender for income-generating contracts**” means a written offer in the form determined by an organ of state in response to an invitation for the origination of income-generating contracts through any method envisaged in legislation that will result in a legal agreement between the organ of state and a third party that produces revenue for the organ of state, and includes, but is not limited to, leasing and disposal of assets and concession contracts, excluding direct sales and disposal of assets through public auctions.

3. DJUDICATION USING A POINT SYSTEM

3.1 If two or more tenderers score an equal total number of points, the contract must be awarded to the tenderer that scored the highest points for specific goals.

3.2 Preference points shall be calculated after prices have been brought to a comparative basis taking into account all factors of non-firm prices and all unconditional discounts;

3.3 Points scored must be rounded off to the nearest 2 decimal places.

3.4 In the event that two or more bids have scored equal total points, the successful bid must be the one scoring the highest number of preference points for specific goals.

3.5 If two or more tenderers score equal total points in all respects, the award must be decided by the drawing of lots.

4. POINTS AWARDED FOR PRICE

4.1 THE 80/20 OR 90/10 PREFERENCE POINT SYSTEMS

A maximum of 80 or 90 points is allocated for price on the following basis:

80/20 or 90/10

$$Ps = 80 \{ 1 - \frac{Pt}{Pmin} \} \quad \text{or} \quad Ps = 90 \{ 1 - \frac{Pt}{Pmin} \}$$

Where $Pmin$ $Pmin$

Ps = Points scored for comparative price of bid under consideration

Pt = Comparative price of bid under consideration

$Pmin$ = Comparative price of lowest acceptable bid

5. POINTS AWARDED FOR LEVEL OF CONTRIBUTION TOWARDS SPECIFIC GOALS

5.1 In terms of s2(b)(i) and (ii) of the Preferential Procurement Regulations Policy Framework Act, a preference points system must be followed for contracts with a Rand value above a prescribed amount a maximum of 10 or 20 points may be allocated for specific goals as contemplated in s2(d) of the Act provided that the lowest acceptable tender scores 90 or 80 points for price, respectively, in accordance with the table below:

Table 1: Specific goals for the tender and points claimed are indicated per the table below.

(Note to organs of state: Where either the 90/10 or 80/20 preference point system is applicable, corresponding points must also be indicated as such.

Note to tenderers: The tenderer must indicate how they claim points for each preference point system.)

80/20 preference point system			
The specific goals allocated points in terms of this tender	Number of points allocated (20 points) (To be completed by the Municipality)	Proof required to Claim (20 points) (To be completed by the Municipality)	Number of points Claimed (20 points) (To be completed by the tenderer)
Refer to the table below	20	Fully completed and signed MBD 6.1; and full Central Suppliers Data Base report (CSD) not older than one month	20

PREFERENCE POINT SYSTEM			
PRICE SPECIFIC GOALS	80 20	Requirements to claim points	
Enterprise owned by Black People ≥ 51%	4	Certified ID Copies (Directors)/ CSD Report/ Shareholders Certificate	
Enterprise owned by Women ≥ 51%	4	Certified ID Copies (Directors)/ CSD Report/ Shareholders Certificate	
Enterprise owned by Youth	4	Certified ID Copies (Directors)/ CSD Report/ Shareholders Certificate	
Enterprise owned by Disabled Persons	4	Medical Certificate	
Enterprise owned by SMMEs- QSE and EME	4	CSD report/ Proof of municipal accounts/ affidavit/ proof of residence signed by Ward Councillor (for those residing in rural areas/ lease agreement	

NB: No points will be claimed by the bidder if it fails to submit proof required to score points for specific goals

90/10 preference point system

The specific goals allocated points in terms of this tender	Number of points allocated (10 points) (To be completed by the Municipality)	Proof required to Claim (10 points) (To be completed by the Municipality)	Number of points Claimed (10 points) (To be completed by the Tenderer)

5.2 Bidders must submit valid proof for specific goals

5.3 If the municipality is of the view that a tenderer submitted false information regarding a specific goal, will —

- (a) inform the tenderer accordingly; and
- (b) give the tenderer an opportunity to make representations within 14 days as to why the tender may not be disqualified or, if the tender has already been awarded to the tenderer, the contract should not be terminated in whole or in part.

5.4 After considering the representations referred to in sub regulation (1)(b), the municipality may, if concludes that such information is false—

- (a) disqualify the tenderer or terminate the contract in whole or in part; and
- (b) if applicable, claim damages from the tenderer.

6. SPECIFIC GOALS CLAIMED IN TERMS OF PARAGRAPHS 1.4 AND 5.1

Specific goals: = (maximum of 10 or 20 points)

(Points claimed in respect of paragraph 7.1 must be in accordance with the table reflected in paragraph 5.1 and must be substantiated by means of the required proof of specific goals.

7. SUB-CONTRACTING

7.1 Will any portion of the contract be sub-contracted?
(***Tick applicable box***)

YES		NO	X
-----	--	----	---

7.1.1 If yes, indicate:

- i) What percentage of the contract will be subcontracted. %
- ii) The name of the sub-contractor.....
- iii) Whether the sub-contractor is an EME.

(Tick applicable box)

YES		NO	X
-----	--	----	---

8. DECLARATION WITH REGARD TO COMPANY/FIRM

8.1 Name of company/firm:.....

8.2 VAT registration number:.....

8.3 Company registration number:.....

8.4 TYPE OF COMPANY/ FIRM

- Partnership/Joint Venture / Consortium One person business/sole propriety
- Close corporation Company
- (Pty) Limited

[TICK APPLICABLE BOX]

8.5 DESCRIBE PRINCIPAL BUSINESS ACTIVITIES

.....

8.6 COMPANY CLASSIFICATION

- Manufacturer Supplier
- Professional service provider

Other service providers, e.g. transporter, etc. **[TICK APPLICABLE BOX]**

8.7 MUNICIPAL INFORMATION

Municipality where business is situated:

Registered Account Number:

Stand Number:

8.8

Total number of years the company/firm has been in business:

I/we, the undersigned, who is / are duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the specific goal declared in paragraph 6 , indicated in paragraph 7, qualifies the company/ firm for the preference(s) shown and I / we acknowledge that:

- i) The information furnished is true and correct;
- ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;
- iii) In the event of a contract being awarded as a result of points claimed as shown in paragraph 7, the contractor may be required to furnish documentary proof to the satisfaction of the purchaser that the claims are correct;
- iv) If the specific goals have been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the purchaser may, in addition to any other remedy it may have –
 - (a) disqualify the person from the bidding process;
 - (b) recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;
 - (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
 - (d) restrict the bidder or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied; and
 - (e) Forward the matter for criminal prosecution.

WITNESSES

1.

2.

.....
SIGNATURE(S) OF BIDDERS(S)

DATE.....

ADDRESS.....
.....
.....

THE UMKHANYAKUDE DISTRICT MUNICIPALITY

SCMU 003/2025/2026

PROVISION OF BANKING SERVICES (5 YEARS)

BID DECLARATION
CONTRACT FORM - RENDERING OF SERVICES

THIS FORM MUST BE FILLED IN DUPLICATE BY BOTH THE SERVICE PROVIDER (PART 1) AND THE PURCHASER (PART 2). BOTH FORMS MUST BE SIGNED IN THE ORIGINAL SO THAT THE SERVICE PROVIDER AND THE PURCHASER WOULD BE IN POSSESSION OF ORIGINALLY SIGNED CONTRACTS FOR THEIR RESPECTIVE RECORDS.

PART 1 (TO BE FILLED IN BY THE SERVICE PROVIDER)

1. I hereby undertake to render services described in the attached bidding documents to (name of the institution) in accordance with the requirements and task directives / proposals specifications stipulated in Bid Number.....at the price/s quoted. My offer/s remains binding upon me and open for acceptance by the Purchaser during the validity period indicated and calculated from the closing date of the bid.
2. The following documents shall be deemed to form and be read and construed as part of this agreement:
 - (i) Bidding documents, *viz*
 - Invitation to bid;
 - Tax clearance certificate;
 - Pricing schedule(s);
 - Filled in task directive/proposal;
 - Declaration of interest;
 - Declaration of bidder's past SCM practices;
 - Certificate of Independent Bid Determination;
 - Special Conditions of Contract;
 - (ii) General Conditions of Contract; and
 - (iii) Other (specify)

3. I confirm that I have satisfied myself as to the correctness and validity of my bid; that the price(s) and rate(s) quoted cover all the services specified in the bidding documents; that the price(s) and rate(s) cover all my obligations and I accept that any mistakes regarding price(s) and rate(s) and calculations will be at my own risk.
4. I accept full responsibility for the proper execution and fulfilment of all obligations and conditions devolving on me under this agreement as the principal liable for the due fulfilment of this contract.
5. I declare that I have no participation in any collusive practices with any bidder or any other person regarding this or any other bid.
6. I confirm that I am duly authorised to sign this contract.

NAME (PRINT)

CAPACITY

SIGNATURE

NAME OF FIRM

DATE

CONTRACT FORM - RENDERING OF SERVICES

PART 2

1. I..... in my capacity
as..... Accept your bid under reference number
.....dated.....for the rendering of services indicated hereunder and/or further
specified in the annexure(s).
2. An official order indicating service delivery instructions is forthcoming.
3. I undertake to make payment for the services rendered in accordance with the terms and conditions of the
contract, within 30 (thirty) days after receipt of an invoice.

DESCRIPTION OF SERVICE	PRICE (ALL APPLICABLE TAXES INCLUDED)	COMPLETION DATE

4. I confirm that I am duly authorized to sign this contract.

SIGNED AT **ON**

NAME (PRINT)

SIGNATURE

OFFICIAL STAMP:

WITNESSES

1
.....

2
.....

DATE:

THE UMKHANYAKUDE DISTRICT MUNICIPALITY

SCMU 003/2025/2026

PROVISION OF BANKING SERVICES (5 YEARS)

**DECLARATION OF BIDDER'S PAST SUPPLY CHAIN
MANAGEMENT PRACTICES**

- 1 This Municipal Bidding Document must form part of all bids invited.
- 2 It serves as a declaration to be used by municipalities and municipal entities in ensuring that when goods and services are being procured, all reasonable steps are taken to combat the abuse of the supply chain management system.
- 3 The bid of any bidder may be rejected if that bidder, or any of its directors have:
 - a. abused the municipality's / municipal entity's supply chain management system or committed any improper conduct in relation to such system;
 - b. been convicted for fraud or corruption during the past five years;
 - c. wilfully neglected, reneged on or failed to comply with any government, municipal or other public sector contract during the past five years; or
 - d. been listed in the Register for Tender Defaulters in terms of section 29 of the Prevention and Combating of Corrupt Activities Act (No 12 of 2004).
- 4 ***In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.***

Item	Question	Yes	No
4.1	<p>Is the bidder or any of its directors listed on the National Treasury's Database of Restricted Suppliers as companies or persons prohibited from doing business with the public sector?</p> <p>(Companies or persons who are listed on this Database were informed in writing of this restriction by the Accounting Officer/Authority of the institution that imposed the restriction after the <i>audi alteram partem</i> rule was applied).</p> <p>The Database of Restricted Suppliers now resides on the National Treasury's website (www.treasury.gov.za) and can be accessed by clicking on its link at the bottom of the home page.</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.1.1	If so, furnish particulars:		

4.2	Is the bidder or any of its directors listed on the Register for Tender Defaulters in terms of section 29 of the Prevention and Combating of Corrupt Activities Act (No 12 of 2004)? The Register for Tender Defaulters can be accessed on the National Treasury's website (www.treasury.gov.za) by clicking on its link at the bottom of the home page.	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.2.1	If so, furnish particulars:		
4.3	Was the bidder or any of its directors convicted by a court of law (including a court of law outside the Republic of South Africa) for fraud or corruption during the past five years?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.3.1	If so, furnish particulars:		
4.4	Does the bidder or any of its directors owe any municipal rates and taxes or municipal charges to the municipality / municipal entity, or to any other municipality / municipal entity, that is in arrears for more than three months?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.4.1	If so, furnish particulars:		
4.5	Was any contract between the bidder and the municipality / municipal entity or any other organ of state terminated during the past five years on account of failure to perform on or comply with the contract?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.7.1	If so, furnish particulars:		

CERTIFICATION

I, THE UNDERSIGNED, (NAME)

CERTIFY THAT THE INFORMATION FURNISHED ON THIS DECLARATION FORM IS CORRECT.

I ACCEPT THAT THE STATE MAY ACT AGAINST ME SHOULD THIS DECLARATION PROVE TO BE FALSE.

.....
Signature

.....
Date

.....
Position

.....
Name of Bidder

THE UMKHANYAKUDE DISTRICT MUNICIPALITY

SCMU 003/2025/2026

PROVISION OF BANKING SERVICES (5 YEARS)

CERTIFICATE OF INDEPENDENT BID DETERMINATION

1. This Municipal Bidding Document (MBD) must form part of all bids¹ invited.
2. Section 4 (1) (b) (iii) of the Competition Act No. 89 of 1998, as amended, prohibits an agreement between, or concerted practice by firms, or a decision by an association of firms, if it is between parties in a horizontal relationship and if it involves collusive bidding (or bid rigging).² Collusive bidding is a *per se* prohibited meaning that it cannot be justified under any grounds.
3. Municipal Supply Regulation 38 (1) prescribes that a supply chain management policy must provide measures for the combating of abuse of the supply chain management system, and must enable the accounting officer, among others, to:
 - a. Take all reasonable steps to prevent such abuse;
 - b. Reject the bid of any bidder if that bidder or any of its directors has abused the supply chain management system of the municipality or municipal entity or has committed any improper conduct in relation to such system; and
 - c. Cancel a contract awarded to a person if the person committed any corrupt or fraudulent act during the bidding process or the execution of the contract.
4. This MBD serves as a certificate of declaration that would be used by institutions to ensure that, when bids are considered, reasonable steps are taken to prevent any form of bid-rigging.
5. In order to give effect to the above, the attached Certificate of Bid Determination (MBD 9) must be completed and submitted with the bid:

¹ Includes price quotations, advertised competitive bids, limited bids and proposals.

² Bid rigging (or collusive bidding) occurs when businesses, that would otherwise be expected to compete, secretly conspire to raise prices or lower the quality of goods and / or services for purchasers who wish to acquire goods and / or services through a bidding process. Bid rigging is, therefore, an agreement between competitors not to compete.

CERTIFICATE OF INDEPENDENT BID DETERMINATION

I, the undersigned, in submitting the accompanying bid:

(Bid Number and Description)

in response to the invitation for the bid made by:

(Name of Municipality / Municipal Entity)

do hereby make the following statements that I certify to be true and complete in every respect:
I certify, on behalf of: _____ that:

(Name of Bidder)

1. I have read and I understand the contents of this Certificate;
2. I understand that the accompanying bid will be disqualified if this Certificate is found not to be true and complete in every respect;
3. I am authorized by the bidder to sign this Certificate, and to submit the accompanying bid, on behalf of the bidder;
4. Each person whose signature appears on the accompanying bid has been authorized by the bidder to determine the terms of, and to sign, the bid, on behalf of the bidder;
5. For the purposes of this Certificate and the accompanying bid, I understand that the word "competitor" shall include any individual or organization, other than the bidder, whether or not affiliated with the bidder, who:
 - (a) has been requested to submit a bid in response to this bid invitation;
 - (b) could potentially submit a bid in response to this bid invitation, based on their qualifications, abilities or experience; and
 - (c) provides the same goods and services as the bidder and/or is in the same line of business as the bidder
6. The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium³ will not be construed as collusive bidding.
7. In particular, without limiting the generality of paragraphs 6 above, there has been no consultation, communication, agreement or arrangement with any competitor regarding:
 - (a) prices;
 - (b) geographical area where product or service will be rendered (market allocation)
 - (c) methods, factors or formulas used to calculate prices;
 - (d) the intention or decision to submit or not to submit, a bid;
 - (e) the submission of a bid which does not meet the specifications and conditions of the bid; or
 - (f) bidding with the intention not to win the bid.
8. In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications and conditions or delivery particulars of the products or services to which this bid invitation relates.

9. The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.

³ Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

10. I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the

Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act. No 12 of 2004 or any other applicable legislation.

.....
Signature

.....
Date

.....
Position

.....
Name of Bidder

THE UMKHANYAKUDE DISTRICT MUNICIPALITY

SCMU 003/2025/2026

PROVISION OF BANKING SERVICES (5 YEARS)

TENDER FORM

The Municipal Manager
Harlingen 13433
MKUZE
3965

Dear Sir,

Having examined the Conditions of Contract, Specifications, Tender and Legislation of the above contract, I/we offer to supply and deliver the whole of the said Works in conformity with the Conditions of Contract, Specification, Tender and Legislation, save as amended by any modifications under Annexure "A", for the rates as stipulated in the Pricing Schedule herein.

I/We are registered VAT vendors. I/We undertake to deliver the goods comprised in the contract within the time frames stated in this contract document.

I/We certify that I/we have satisfied myself/ourselves that the particulars inserted on all required Affidavits (if applicable) are complete and correct.

I/We confirm that I am/we are fully acquainted with the current South African laws and regulations applicable to this contract including inter alia those laws to which my/our attention has been drawn in the Legislation section of this document.

I/We are registered VAT vendors and my/our VAT vendor registration number is:

I/We are formally associated by written agreement with the following firms, corporations or companies:

(Enter Nil if no affiliations)

I/We are fully paid-up members in good standing of the following organisation(s):

(Enter Nil if no affiliations)

My/Our Tender Deposit receipt number as issued by the Council is _____
(Include a copy of the Tender Deposit Receipt only if purchased at the Umkhanyakude Municipality)

I/We bank at the _____

Branch of _____

Where I/we have a _____ account.

It is agreed and understood that should there be any changes on the banking details provided for the entity, a duly signed resolution by all its directors and minutes whereby a resolution for changing the banking details was passed will be submitted to Council including the original letter from the bank confirming the details.

It is agreed and understood that this tender is valid for four (4) months from the date hereof and that it, together with your final letter of acceptance, shall constitute a binding Contract between us.

I/We understand that the Council is not bound to accept the lowest or any tender and acknowledge that the Head: Supply Chain Management may, in her absolute discretion if good and sufficient grounds are brought to her attention in writing within five (5) working days from the date of closing of tenders, decline to consider my/our offer.

I/We the undersigned, warrants that I am/we are duly authorised to do so on behalf of the enterprise, certifies that the enterprise complies with all statutory and municipal requirements and that the information supplied in terms of this documents with additional information is correct and accurate and acknowledges that if the information supplied is found to be incorrect then the Umkhanyakude Municipality in addition to any remedies, it may have: may

- i Recover from the Enterprise all costs, losses or damages incurred or sustained by the Municipality as result of the award of the contract, and /or
- ii Cancel the contract and claim any damages which the Municipality may suffer by having to make less favourable arrangements after such cancellations, and/or
- iii Impose a penalty on the Enterprise as provided in the Tender Document, and/or
- iv Take any other action as may be deemed necessary.

I/we further undertake to submit documentary proof regarding any tendering issue to the Council when so required.

Full Name of Signatory.....

Capacity of Signatory.....

Identity Number.....

Duly authorised to sign on behalf of.....

Physical Address.....

.....

SIGNATURE..... DATE.....

THE UMKHANYAKUDE DISTRICT MUNICIPALITY

SCMU 003/2025/2026

PROVISION OF BANKING SERVICES (5 YEARS)

ALTERATIONS BY TENDERER

PAGE	SECTION OR ITEM	PROPOSED DEPARTURE/MODIFICATION

SIGNATURE..... DATE.....

GENERAL CONDITIONS OF CONTRACT 2010

1. DEFINITIONS

The following terms shall be interpreted as indicated:

- 1.1. "Closing time" means the date and hour specified in the bidding documents for the receipt of bids.
- 1.2. "Contract" means the written agreement entered into between the purchaser and the supplier, as recorded in the contract form signed by the parties, including all attachments and appendices thereto and all documents incorporated by reference therein.
- 1.3. "Contract price" means the price payable to the supplier under the contract for the full and proper performance of his contractual obligations.
- 1.4. "Corrupt practice" means the offering, giving, receiving, or soliciting of anything of value to influence the action of a public official in the procurement process or in contract execution.
- 1.5. "Countervailing duties" are imposed in cases where an enterprise abroad is subsidized by its government and encouraged to market its products internationally
- 1.6. "Country of origin" means the place where the goods were mined, grown or produced or from which the services are supplied. Goods are produced when, through manufacturing, processing or substantial and major assembly of components, a commercially recognized new product results that is substantially different in basic characteristics or in purpose or utility from its components.
- 1.7. "Day" means calendar day.
- 1.8. "Delivery" means delivery in compliance of the conditions of the contract or order.
- 1.9. "Delivery ex stock" means immediate delivery directly from stock actually on hand
- 1.10. "Delivery into consignees store or to his site" means delivered and unloaded in the specified store or depot or on the specified site in compliance with the conditions of the contract or order, the supplier bearing all risks and charges involved until the supplies are so delivered and a valid receipt is obtained.
- 1.11. "Dumping" occurs when a private enterprise abroad market its goods on own initiative in the RSA at lower prices than that of the country of origin and which have the potential to harm the local industries in the RSA.
- 1.12. "Force majeure" means an event beyond the control of the supplier and not involving the supplier's fault or negligence and not foreseeable.
- 1.13. Such events may include, but is not restricted to, acts of the purchaser in its sovereign capacity, wars or revolutions, fires, floods, epidemics, quarantine restrictions and freight embargoes.
- 1.14. "Fraudulent practice" means a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of any bidder, and includes collusive practice among bidders (prior to or after bid submission) designed to establish bid prices at artificial non-competitive levels and to deprive the bidder of the benefits of free and open competition.
- 1.15. "GCC" means the General Conditions of Contract.
- 1.16. "Goods" means all of the equipment, machinery, and/or other materials that the supplier is required to supply to the purchaser under the contract.
- 1.17. "Imported content" means that portion of the bidding price represented by the cost of components, parts or materials which have been or are still to be imported (whether by the supplier or his subcontractors) and which costs are inclusive of the costs abroad, plus freight and other direct importation costs such as landing costs, dock dues, import duty, sales duty or other similar tax or duty at the South African place of entry as well as transportation and handling charges to the factory in the Republic where the supplies covered by the bid will be manufactured.

- 1.18. "Local content" means that portion of the bidding price which is not included in the imported content provided that local manufacture does take place.
- 1.19. "Manufacture" means the production of products in a factory using labour, materials, components and machinery and includes other related value-adding activities.
- 1.20. "Order" means an official written order issued for the supply of goods or works or the rendering of a service.
- 1.21. "Project site" where applicable, means the place indicated in bidding documents.
- 1.22. "Purchaser" means the organization purchasing the goods.
- 1.23. "Republic" means the Republic of South Africa.
- 1.24. "SCC" means the Special Conditions of Contract.
- 1.25. "Services" means those functional services ancillary to the supply of the goods, such as transportation and any other incidental services, such as installation, commissioning, provision of technical assistance, training, catering, gardening, security, maintenance and other such obligations of the supplier covered under the contract.
- 1.26. "Supplier" means the successful bidder who is awarded the contract to maintain and administer the required and specified service(s) to the State.
- 1.27. "Tort" means in breach of contract.
- 1.28. "Turnkey" means a procurement process where one service provider assumes total responsibility for all aspects of the project and delivers the full end product / service required by the contract.
- 1.29. "Written" or "in writing" means handwritten in ink or any form of electronic or mechanical writing.

2. Application

- 2.1. These general conditions are applicable to all bids, contracts and orders including bids for functional and professional services, sales, hiring, letting and the granting or acquiring of rights, but excluding immovable property, unless otherwise indicated in the bidding documents.
- 2.2. Where applicable, special conditions of contract are also laid down to cover specific supplies, services or works.
- 2.3. Where such special conditions of contract are in conflict with these general conditions, the special conditions shall apply.

3. General

- 3.1. Unless otherwise indicated in the bidding documents, the purchaser shall not be liable for any expense incurred in the preparation and submission of a bid. Where applicable a non-refundable fee for documents may be charged.
- 3.2. Invitations to bid are usually published in locally distributed news media and on the municipality / municipal entity website.

4. Standards

- 4.1. The goods supplied shall conform to the standards mentioned in the bidding documents and specifications.

5. Use of contract documents and information; inspection.

- 5.1. The supplier shall not, without the purchaser's prior written consent, disclose the contract, or any provision thereof, or any specification, plan, drawing, pattern, sample, or information furnished by or on behalf of the purchaser in connection therewith, to any person other than a person employed by the supplier in the performance of the contract. Disclosure to any such employed person shall be made in confidence and shall extend only as far as may be necessary for purposes of such performance.

- 5.2. The supplier shall not, without the purchaser's prior written consent, make use of any document or information mentioned in GCC clause 5.1 except for purposes of performing the contract.
- 5.3. Any document, other than the contract itself mentioned in GCC clause 5.1 shall remain the property of the purchaser and shall be returned (all copies) to the purchaser on completion of the supplier's performance under the contract if so required by the purchaser.
- 5.4. The supplier shall permit the purchaser to inspect the supplier's records relating to the performance of the supplier and to have them audited by auditors appointed by the purchaser, if so required by the purchaser.

6. Patent Rights

- 6.1. The supplier shall indemnify the purchaser against all third-party claims of infringement of patent, trademark, or industrial design rights arising from use of the goods or any part thereof by the purchaser.
- 6.2. When a supplier developed documentation / projects for the municipality / municipal entity, the intellectual, copy and patent rights or ownership of such documents or projects will vest in the municipality / municipal entity.

7. Performance Security

- 7.1. Within thirty (30) days of receipt of the notification of contract award, the successful bidder shall furnish to the purchaser the performance security of the amount specified in SCC.
- 7.2. The proceeds of the performance security shall be payable to the purchaser as compensation for any loss resulting from the supplier's failure to complete his obligations under the contract.
- 7.3. The performance security shall be denominated in the currency of the contract or in a freely convertible currency acceptable to the purchaser and shall be in one of the following forms:
 - 7.3.1. bank guarantee or an irrevocable letter of credit issued by a reputable bank located in the purchaser's country or abroad, acceptable to the purchaser, in the form provided in the bidding documents or another form acceptable to the purchaser; or
 - 7.3.2. a cashier's or certified cheque
- 7.4. The performance security will be discharged by the purchaser and returned to the supplier not later than thirty (30) days following the date of completion of the supplier's performance obligations under the contract, including any warranty obligations, unless otherwise specified.

8. Inspections, tests and analyses

- 8.1. All pre-bidding testing will be for the account of the bidder.
- 8.2. If it is a bid condition that supplies to be produced or services to be rendered should at any stage during production or execution or on completion be subject to inspections tests and analysis, the bidder or contractor's premises shall be open, at all reasonable hours, for inspection by a representative of the purchaser or an organization acting on behalf of the purchaser.
- 8.3. If there are no inspection requirements indicated in the bidding documents and no mention is made in the contract, but during the contract period it is decided that inspections shall be carried out, the purchaser shall itself make the necessary arrangements, including payment arrangements with the testing authority concerned.
- 8.4. If the inspections, tests and analyses referred to in clauses 8.2 and 8.3 show the goods to be in accordance with the contract requirements, the cost of the inspections, tests and analyses shall be defrayed by the purchaser.
- 8.5. Where the goods or services referred to in clauses 8.2 and 8.3 do not comply with the contract requirements, irrespective of whether such goods or services are accepted or not, the cost in connection with these inspections, tests or analyses shall be defrayed by the supplier.

- 8.6. Supplies and services which are referred to in clauses 8.2 and 8.3 and which do not comply with the contract requirements may be rejected.
- 8.7. Any contract goods may on or after delivery be inspected, tested or analyzed and may be rejected if found not to comply with the requirements of the contract. Such rejected goods shall be held at the cost and risk of the supplier who shall, when called upon, remove them immediately at his own cost and forthwith substitute them with goods which do comply with the requirements of the contract. Failing such removal the rejected goods shall be returned at the suppliers cost and risk. Should the supplier fail to provide the substitute goods forthwith, the purchaser may, without giving the supplier further opportunity to substitute the rejected goods, purchase such goods as may be necessary at the expense of the supplier.
- 8.8. The provisions of clauses 8.4 to 8.7 shall not prejudice the right of the purchaser to cancel the contract on account of a breach of the conditions thereof, or to act in terms of Clause 22 of GCC.

9. Packing

- 9.1. The supplier shall provide such packing of the goods as is required to prevent their damage or deterioration during transit to their final destination, as indicated in the contract. The packing shall be sufficient to withstand, without limitation, rough handling during transit and exposure to extreme temperatures, salt and precipitation during transit, and open storage. Packing, case size and weights shall take into consideration, where appropriate, the remoteness of the goods' final destination and the absence of heavy handling facilities at all points in transit.
- 9.2. The packing, marking, and documentation within and outside the packages shall comply strictly with such special requirements as shall be expressly provided for in the contract, including additional requirements, and in any subsequent instructions ordered by the purchaser.

10. Delivery

Delivery of the goods shall be made by the supplier in accordance with the documents and terms specified in the contract. The details of shipping and/or other documents to be furnished by the supplier are specified.

11. Insurance

The goods supplied under the contract shall be fully insured in a freely convertible currency against loss or damage incidental to manufacture or acquisition, transportation, storage and delivery in the manner specified.

12. Transportation

Should a price other than an all-inclusive delivered price be required, this shall be specified.

13. Incidental

- 13.1. The supplier may be required to provide any or all of the following services, including additional services, if any:
 - 13.1.1. performance or supervision of on-site assembly and/or commissioning of the supplied goods;
 - 13.1.2. furnishing of tools required for assembly and/or maintenance of the supplied goods;
 - 13.1.3. furnishing of a detailed operations and maintenance manual for each appropriate unit of the supplied goods;
 - 13.1.4. performance or supervision or maintenance and/or repair of the supplied goods, for a period of time agreed by the parties, provided that this service shall not relieve the supplier of any warranty obligations under this contract; and

- 13.1.5. training of the purchaser's personnel, at the supplier's plant and/or on-site, in assembly, start-up, operation, maintenance, and/or repair of the supplied goods.
- 13.2. Prices charged by the supplier for incidental services, if not included in the contract price for the goods, shall be agreed upon in advance by the parties and shall not exceed the prevailing rates charged to other parties by the supplier for similar services.

14. Spare Parts

- 14.1. As specified, the supplier may be required to provide any or all of the following materials, notifications, and information pertaining to spare parts manufactured or distributed by the supplier:
 - 14.1.1. such spare parts as the purchaser may elect to purchase from the supplier, provided that this election shall not relieve the supplier of any warranty obligations under the contract; and;
 - 14.1.2. in the event of termination of production of the spare parts:
 - 14.1.2.1. advance notification to the purchaser of the pending termination, in sufficient time to permit the purchaser to procure needed requirements; and
 - 14.1.2.2. following such termination, furnishing at no cost to the purchaser, the blueprints, drawings, and specifications of the spare parts, if requested.

15. Warranty

- 15.1. The supplier warrants that the goods supplied under the contract are new, unused, of the most recent or current models, and that they incorporate all recent improvements in design and materials unless provided otherwise in the contract. The supplier further warrants that all goods supplied under this contract shall have no defect, arising from design, materials, or workmanship (except when the design and/or material is required by the purchaser's specifications) or from any act or omission of the supplier, that may develop under normal use of the supplied goods in the conditions prevailing in the country of final destination.
- 15.2. This warranty shall remain valid for twelve (12) months after the goods, or any portion thereof as the case may be, have been delivered to and accepted at the final destination indicated in the contract, or for eighteen (18) months after the date of shipment from the port or place of loading in the source country, whichever period concludes earlier, unless specified otherwise in SCC.
- 15.3. The purchaser shall promptly notify the supplier in writing of any claims arising under this warranty.
- 15.4. Upon receipt of such notice, the supplier shall, within the period specified in SCC and with all reasonable speed, repair or replace the defective goods or parts thereof, without costs to the purchaser.
- 15.5. If the supplier, having been notified, fails to remedy the defect(s) within the period specified, the purchaser may proceed to take such remedial action as may be necessary, at the supplier's risk and expense and without prejudice to any other rights which the purchaser may have against the supplier under the contract.

16. Payment

- 16.1. The method and conditions of payment to be made to the supplier under this contract shall be specified.
- 16.2. The supplier shall furnish the purchaser with an invoice accompanied by a copy of the delivery note and upon fulfilment of other obligations stipulated in the contract.
- 16.3. Payments shall be made promptly by the purchaser, but in no case later than thirty (30) days after submission of an invoice or claim by the supplier.
- 16.4. Payment will be made in Rand unless otherwise stipulated.

17. Prices

Prices charged by the supplier for goods delivered and services performed under the contract shall not vary from the prices quoted by the supplier in his bid, with the exception of any price adjustments authorized or in the purchaser's request for bid validity extension, as the case may be.

18. Variation Orders

18.1.1. In cases where the estimated value of the envisaged changes in purchase does not vary more than 15% of the total value of the original contract, the contractor may be instructed to deliver the goods or render the services as such. In cases of measurable quantities, the contractor may be approached to reduce the unit price and such offers, may be accepted provided that there is no escalation in price.

19. Assignment

The supplier shall not assign, in whole or in part, its obligations to perform under the contract, except with the purchaser's prior written consent.

20. Subcontracts

The supplier shall notify the purchaser in writing of all subcontracts awarded under this contract, if not already specified in the bid. Such notification, in the original bid or later, shall not relieve the supplier from any liability or obligation under the contract.

21. Delays in the supplier's performance

- 21.1. Delivery of the goods and performance of services shall be made by the supplier in accordance with the time schedule prescribed by the purchaser in the contract.
- 21.2. If at any time during performance of the contract, the supplier or its subcontractor(s) should encounter conditions impeding timely delivery of the goods and performance of services, the supplier shall promptly notify the purchaser in writing of the fact of the delay, its likely duration and its cause(s). As soon as practicable after receipt of the supplier's notice, the purchaser shall evaluate the situation and may at his discretion extend the supplier's time for performance, with or without the imposition of penalties, in which case the extension shall be ratified by the parties by amendment of contract.
- 21.3. The right is reserved to procure outside of the contract small quantities or to have minor essential services executed if an emergency arises, the supplier's point of supply is not situated at or near the place where the supplies are required, or the supplier's services are not readily available.
- 21.4. Except as provided under GCC Clause 25, a delay by the supplier in the performance of its delivery obligations shall render the supplier liable to the imposition of penalties, pursuant to GCC Clause 22, unless an extension of time is agreed upon pursuant to GCC Clause 22 without the application of penalties.
- 21.5. Upon any delay beyond the delivery period in the case of a supplies contract, the purchaser shall, without cancelling the contract, be entitled to purchase supplies of a similar quality and up to the same quantity in substitution of the goods not supplied in conformity with the contract and to return any goods delivered later at the supplier's expense and risk, or to cancel the contract and buy such goods as may be required to complete the contract and without prejudice to his other rights, be entitled to claim damages from the supplier.

22. Penalties

Subject to GCC Clause 25, if the supplier fails to deliver any or all of the goods or to perform the services within the period(s) specified in the contract, the purchaser shall, without prejudice to its other remedies under the contract, deduct from the contract price, as a penalty, a sum calculated on the delivered price of the delayed goods or unperformed services using the current prime interest rate calculated for each day of the delay until actual delivery or performance. The purchaser may also consider termination of the contract pursuant to GCC Clause 23.

23. Termination for default

- 23.1. The purchaser, without prejudice to any other remedy for breach of contract, by written notice of default sent to the supplier, may terminate this contract in whole or in part:
 - 23.1.1. if the supplier fails to deliver any or all of the goods within the period(s) specified in the contract, or within any extension thereof granted by the purchaser pursuant to GCC Clause 21.2
 - 23.1.2. if the Supplier fails to perform any other obligation(s) under the contract; or
 - 23.1.3. if the supplier, in the judgment of the purchaser, has engaged in corrupt or fraudulent practices in competing for or in executing the contract.

- 23.2. In the event the purchaser terminates the contract in whole or in part, the purchaser may procure, upon such terms and in such manner as it deems appropriate, goods, works or services similar to those undelivered, and the supplier shall be liable to the purchaser for any excess costs for such similar goods, works or services. However, the supplier shall continue performance of the contract to the extent not terminated.

- 23.3. Where the purchaser terminates the contract in whole or in part, the purchaser may decide to impose a restriction penalty on the supplier by prohibiting such supplier from doing business with the public sector for a period not exceeding 10 years.

- 23.4. If a purchaser intends imposing a restriction on a supplier or any person associated with the supplier, the supplier will be allowed a time period of not more than fourteen (14) days to provide reasons why the envisaged restriction should not be imposed. Should the supplier fail to respond within the stipulated fourteen (14) days the purchaser may regard the supplier as having no objection and proceed with the restriction.

- 23.5. Any restriction imposed on any person by the purchaser will, at the discretion of the purchaser, also be applicable to any other enterprise or any partner, manager, director or other person who wholly or partly exercises or exercised or may exercise control over the enterprise of the first mentioned person, and with which enterprise or person the first-mentioned person, is or was in the opinion of the purchase actively associated.

- 23.6. If a restriction is imposed, the purchaser must, within five (5) working days of such imposition, furnish the National Treasury, with the following information:

- 23.6.1. the name and address of the supplier and / or person restricted by the purchaser;
- 23.6.2. the date of commencement of the restriction
- 23.6.3. the period of restriction; and
- 23.6.4. the reasons for the restriction.

These details will be loaded in the National Treasury's central database of suppliers or persons prohibited from doing business with the public sector.

- 23.7. If a court of law convicts a person of an offence as contemplated in sections 12 or 13 of the Prevention and Combating of Corrupt Activities Act, No. 12 of 2004, the court may also rule that such person's name be endorsed on the Register for Tender Defaulters. When a person's name has been endorsed on the Register, the person will be prohibited from doing business with the public sector for a period not less than five years and not more than 10 years. The National Treasury is empowered to determine the period of restriction and each case will be dealt with on its own merits. According

to section 32 of the Act the Register must be open to the public. The Register can be perused on the National Treasury website.

24. Anti-dumping and countervailing duties and rights

When, after the date of bid, provisional payments are required, or antidumping or countervailing duties are imposed, or the amount of a provisional payment or anti-dumping or countervailing right is increased in respect of any dumped or subsidized import, the State is not liable for any amount so required or imposed, or for the amount of any such increase. When, after the said date, such a provisional payment is no longer required or any such anti-dumping or countervailing right is abolished, or where the amount of such provisional payment or any such right is reduced, any such favourable difference shall on demand be paid forthwith by the contractor to the State or the State may deduct such amounts from moneys (if any) which may otherwise be due to the contractor in regard to supplies or services which he delivered or rendered, or is to deliver or render in terms of the contract or any other contract or any other amount which may be due to him.

25. Force Majeure

- 25.1.1. Notwithstanding the provisions of GCC Clauses 22 and 23, the supplier shall not be liable for forfeiture of its performance security, damages, or termination for default if and to the extent that his delay in performance or other failure to perform his obligations under the contract is the result of an event of force majeure
- 25.2. If a force majeure situation arises, the supplier shall promptly notify the purchaser in writing of such condition and the cause thereof. Unless otherwise directed by the purchaser in writing, the supplier shall continue to perform its obligations under the contract as far as is reasonably practical, and shall seek all reasonable alternative means for performance not prevented by the force majeure event.

26. Termination for Insolvency

The purchaser may at any time terminate the contract by giving written notice to the supplier if the supplier becomes bankrupt or otherwise insolvent. In this event, termination will be without compensation to the supplier, provided that such termination will not prejudice or affect any right of action or remedy which has accrued or will accrue thereafter to the purchaser.

27. Settlement of Disputes

- 27.1. If any dispute or difference of any kind whatsoever arises between the purchaser and the supplier in connection with or arising out of the contract, the parties shall make every effort to resolve amicably such dispute or difference by mutual consultation.
- 27.2. If, after thirty (30) days, the parties have failed to resolve their dispute or difference by such mutual consultation, then either the purchaser or the supplier may give notice to the other party of his intention to commence with mediation. No mediation in respect of this matter may be commenced unless such notice is given to the other party.
- 27.3. Should it not be possible to settle a dispute by means of mediation, it may be settled in a South African court of law.
- 27.4. Notwithstanding any reference to mediation and/or court proceedings herein,
 - 27.4.1. the parties shall continue to perform their respective obligations under the contract unless they otherwise agree; and
 - 27.4.2. the purchaser shall pay the supplier any monies due for goods delivered and / or services rendered according to the prescripts of the contract.

28. Limitation of liability

- 28.1. Except in cases of criminal negligence or willful misconduct, and in the case of infringement pursuant to Clause 6;
- 28.1.1. the supplier shall not be liable to the purchaser, whether in contract, tort, or otherwise, for any indirect or consequential loss or damage, loss of use, loss of production, or loss of profits or interest costs, provided that this exclusion shall not apply to any obligation of the supplier to pay penalties and/or damages to the purchaser; and
- 28.1.2. the aggregate liability of the supplier to the purchaser, whether under the contract, in tort or otherwise, shall not exceed the total contract price, provided that this limitation shall not apply to the cost of repairing or replacing defective equipment

29. Governing Language

The contract shall be written in English. All correspondence and other documents pertaining to the contract that is exchanged by the parties shall also be written in English.

30. Applicable Law

- 30.1.1. The contract shall be interpreted in accordance with South African laws, unless otherwise specified.

31. Notices

- 31.1. Every written acceptance of a bid shall be posted to the supplier concerned by registered or certified mail and any other notice to him shall be posted by ordinary mail to the address furnished in his bid or to the address notified later by him in writing and such posting shall be deemed to be proper service of such notice
- 31.2. The time mentioned in the contract documents for performing any act after such aforesaid notice has been given, shall be reckoned from the date of posting of such notice.

32. Taxes and duties

- 32.1. A foreign supplier shall be entirely responsible for all taxes, stamp duties, license fees, and other such levies imposed outside the purchaser's country.
- 32.2. A local supplier shall be entirely responsible for all taxes, duties, license fees, etc., incurred until delivery of the contracted goods to the purchaser.
- 32.3. No contract shall be concluded with any bidder whose tax matters are not in order. Prior to the award of a bid SARS must have certified that the tax matters of the preferred bidder are in order.
- 32.4. No contract shall be concluded with any bidder whose municipal rates and taxes and municipal services charges are in arrears.

33. Transfer of contracts

The contractor shall not abandon, transfer, cede, assign or sublet a contract or part thereof without the written permission of the purchaser.

34. Amendment of contracts

No agreement to amend or vary a contract or order or the conditions, stipulations or provisions thereof shall be valid and of any force unless such agreement to amend or vary is entered into in writing and signed by the

contracting parties. Any waiver of the requirement that the agreement to amend or vary shall be in writing, shall also be in writing.

35. Prohibition of restrictive practices.

- 35.1. In terms of section 4 (1) (b) (iii) of the Competition Act No. 89 of 1998, as amended, an agreement between, or concerted practice by, firms, or a decision by an association of firms, is prohibited if it is between parties in a horizontal relationship and if a bidder(s) is / are or a contractor(s) was / were involved in collusive bidding.
- 35.2. If a bidder(s) or contractor(s) based on reasonable grounds or evidence obtained by the purchaser has / have engaged in the restrictive practice referred to above, the purchaser may refer the matter to the Competition Commission for investigation and possible imposition of administrative penalties as contemplated in section 59 of the Competition Act No 89 Of 1998.
- 35.3. If a bidder(s) or contractor(s) has / have been found guilty by the Competition Commission of the restrictive practice referred to above, the purchaser may, in addition and without prejudice to any other remedy provided for, invalidate the bid(s) for such item(s) offered, and / or terminate the contract in whole or part, and / or restrict the bidder(s) or contractor(s) from conducting business with the public sector for a period not exceeding ten (10) years and / or claim damages from the bidder(s) or contractor(s) concerned.