

**BID FOR:  
INTERNAL AUDIT SERVICES AT THE PLAYHOUSE COMPANY  
FOR A PERIOD OF 3 YEARS.**

**BID NUMBER: PHC 78/2023**

<b>The Playhouse Company</b> The KwaZulu-Natal Performing Arts Company Contact: Mr. Siya Manqele Email: <a href="mailto:accountingassistant@playhousecompany.com">accountingassistant@playhousecompany.com</a> Tel: (031) 369 9507 Fax: (031) 306 2166	<b>The Playhouse Company</b> The KwaZulu-Natal Performing Arts Company Contact: Mrs. Kay Khan Email: <a href="mailto:KayK@playhousecompany.com">KayK@playhousecompany.com</a> Tel: (031) 369 9425 Fax: (031) 306 2166
<b>Name of bidder:</b> ..... ..... <b>Amount (VAT Inclusive): R</b> .....	

**Closing date : 04 September 2023**

**Time : 11:00 am**

**Validity period: 90 days from closing date**

**BIDDERS ARE RESPONSIBLE TO ENSURE THAT THE BID DOCUMENTS ARE COMPLETE AND ALL  
PAGES ARE SECURELY ATTACHED.**

## **TABLE OF CONTENTS**

<b>Section 1</b>	<b>Invitation to bid</b>	<b>3</b>	<b>SBD 1</b>
<b>Section 2</b>	<b>Special instructions to service providers</b>	<b>6</b>	
<b>Section 3</b>	<b>Tax clearance requirements</b>	<b>8</b>	<b>SBD 2</b>
<b>Section 4</b>	<b>Application for Tax clearance (deleted)</b>	<b>8</b>	
<b>Section 5</b>	<b>Information for service providers</b>	<b>9</b>	
<b>Section 6</b>	<b>Contractual documentation</b>	<b>11</b>	
<b>Section 7</b>	<b>Supplier database registration</b>	<b>12</b>	
<b>Section 8</b>	<b>Pricing Schedule – Professional services (deleted)</b>		<b>SBD 3.3</b>
<b>Section 8</b>	<b>Pricing Schedule – Firm prices</b>	<b>16</b>	<b>SBD 3.1</b>
<b>Section 8</b>	<b>Pricing Schedule – Non-Firm prices (deleted)</b>		<b>SBD 3.2</b>
<b>Section 9</b>	<b>Declaration of interest</b>	<b>17</b>	<b>SBD 4</b>
<del><b>Section 10</b></del>	<del><b>Site Inspection Certificate</b></del>	<del><b>20</b></del>	
<b>Section 11</b>	<b>Evaluation criteria</b>	<b>21</b>	
<b>Section 12</b>	<b>Functionality Evaluation criteria</b>	<b>22</b>	
<b>Section 13</b>	<b>Declaration of Service Provider’s Past Supply Chain Management Practices</b>	<b>23</b>	<b>SBD 8</b>
<b>Section 14</b>	<b>Contract form</b>	<b>25</b>	<b>SBD 7.1</b>
<b>Section 15</b>	<b>Preference Points Claim Form</b>	<b>27</b>	<b>SBD 6.1</b>
<b>Section 16</b>	<b>Certification of Independent bid determination action</b>	<b>31</b>	<b>SBD 9</b>
<b>Section 17</b>	<b>Scope of Work</b>	<b>34</b>	
<b>Annexure A</b>	<b>Government Procurement: General Conditions of Contract</b>	<b>GC1</b>	

## PART A INVITATION TO BID

<b>YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF THE (NAME OF DEPARTMENT/ PUBLIC ENTITY)</b>					
BID NUMBER:	PHC 78/2023	CLOSING DATE:	04 September 2023	CLOSING TIME:	11:00am
DESCRIPTION	INTERNAL AUDIT SERVICES AT THE PLAYHOUSE COMPANY FOR A PERIOD OF 3 YEARS.				
<b>THE SUCCESSFUL BIDDER WILL BE REQUIRED TO FILL IN AND SIGN A WRITTEN CONTRACT FORM (SBD7).</b>					
BID RESPONSE DOCUMENTS MAY BE DEPOSITED IN THE BID BOX SITUATED AT (STREET ADDRESS)					
The Playhouse Company					
29 Acutt Street					
Durban central					
4001					
<b>SUPPLIER INFORMATION</b>					
NAME OF BIDDER					
POSTAL ADDRESS					
STREET ADDRESS					
TELEPHONE NUMBER	CODE		NUMBER		
CELLPHONE NUMBER					
FACSIMILE NUMBER	CODE		NUMBER		
E-MAIL ADDRESS					
VAT REGISTRATION NUMBER					
		TCS PIN:		OR	CSD No:
B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE [TICK APPLICABLE BOX]		<input type="checkbox"/> Yes		B-BBEE STATUS LEVEL SWORN AFFIDAVIT	
		<input type="checkbox"/> No		<input type="checkbox"/> Yes  <input type="checkbox"/> No	
IF YES, WHO WAS THE CERTIFICATE ISSUED BY?					
AN ACCOUNTING OFFICER AS CONTEMPLATED IN THE CLOSE CORPORATION ACT (CCA) AND NAME THE APPLICABLE IN THE TICK BOX		<input type="checkbox"/>	AN ACCOUNTING OFFICER AS CONTEMPLATED IN THE CLOSE CORPORATION ACT (CCA)		
		<input type="checkbox"/>	A VERIFICATION AGENCY ACCREDITED BY THE SOUTH AFRICAN ACCREDITATION SYSTEM (SANAS)		
		<input type="checkbox"/>	A REGISTERED AUDITOR NAME:		
<b>[A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE/SWORN AFFIDAVIT(FOR EMEs&amp; QSEs) MUST BE SUBMITTED IN ORDER TO QUALIFY FOR PREFERENCE POINTS FOR B-BBEE]</b>					

ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES ENCLOSE PROOF]	ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES ANSWER PART B:3 BELOW ]
SIGNATURE OF BIDDER	.....	DATE	
CAPACITY UNDER WHICH THIS BID IS SIGNED (Attach proof of authority to sign this bid; e.g. resolution of directors, etc.)			
TOTAL NUMBER OF ITEMS OFFERED		TOTAL BID PRICE (ALL INCLUSIVE)	
<b>BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO:</b>		<b>TECHNICAL INFORMATION MAY BE DIRECTED TO:</b>	
DEPARTMENT/ PUBLIC ENTITY	SCM	CONTACT PERSON	Siya Mangele
CONTACT PERSON	Siya Mangele	TELEPHONE NUMBER	031-369 9507
TELEPHONE NUMBER	031-369 9507	FACSIMILE NUMBER	031-306 2166
FACSIMILE NUMBER	031-306 2166		
E-MAIL ADDRESS	accountingassistant@playhousecompany.com		

## PART B

### TERMS AND CONDITIONS FOR BIDDING

<b>1. BID SUBMISSION:</b>								
<p>1.1. BIDS MUST BE DELIVERED BY THE STIPULATED TIME TO THE CORRECT ADDRESS. LATE BIDS WILL NOT BE ACCEPTED FOR CONSIDERATION.</p> <p>1.2. ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED–(NOT TO BE RE-TYPED) OR ONLINE</p> <p>1.3. BIDDERS MUST REGISTER ON THE CENTRAL SUPPLIER DATABASE (CSD) TO UPLOAD MANDATORY INFORMATION NAMELY: ( BUSINESS REGISTRATION/ DIRECTORSHIP/ MEMBERSHIP/IDENTITY NUMBERS; TAX COMPLIANCE STATUS; AND BANKING INFORMATION FOR VERIFICATION PURPOSES). B-BBEE CERTIFICATE OR SWORN AFFIDAVIT FOR B-BBEE MUST BE SUBMITTED TO BIDDING INSTITUTION.</p> <p>1.4. WHERE A BIDDER IS NOT REGISTERED ON THE CSD, MANDATORY INFORMATION NAMELY: (BUSINESS REGISTRATION/ DIRECTORSHIP/ MEMBERSHIP/IDENTITY NUMBERS; TAX COMPLIANCE STATUS MAY NOT BE SUBMITTED WITH THE BID DOCUMENTATION. B-BBEE CERTIFICATE OR SWORN AFFIDAVIT FOR B-BBEE MUST BE SUBMITTED TO BIDDING INSTITUTION.</p> <p>1.5. THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT 2000 AND THE PREFERENTIAL PROCUREMENT REGULATIONS, 2017, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER LEGISLATION OR SPECIAL CONDITIONS OF CONTRACT.</p>								
<b>2. TAX COMPLIANCE REQUIREMENTS</b>								
<p>2.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.</p> <p>2.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VIEW THE TAXPAYER'S PROFILE AND TAX STATUS.</p> <p>2.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) OR PIN MAY ALSO BE MADE VIA E-FILING. IN ORDER TO USE THIS PROVISION, TAXPAYERS WILL NEED TO REGISTER WITH SARS AS E-FILERS THROUGH THE WEBSITE WWW.SARS.GOV.ZA.</p> <p>2.4 BIDDERS MAY ALSO SUBMIT A PRINTED TCS TOGETHER WITH THE BID.</p> <p>2.5 IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE PROOF OF TCS / PIN / CSD NUMBER.</p> <p>2.6 WHERE NO TCS IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.</p>								
<b>3. QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS</b>								
<table style="width: 100%; border: none;"> <tr> <td style="width: 70%;">3.1. IS THE BIDDER A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?</td> <td style="text-align: right;"><input type="checkbox"/> YES <input type="checkbox"/> NO</td> </tr> <tr> <td>3.2. DOES THE BIDDER HAVE A BRANCH IN THE RSA?</td> <td style="text-align: right;"><input type="checkbox"/> YES <input type="checkbox"/> NO</td> </tr> <tr> <td>3.3. DOES THE BIDDER HAVE A PERMANENT ESTABLISHMENT IN THE RSA?</td> <td style="text-align: right;"><input type="checkbox"/> YES <input type="checkbox"/> NO</td> </tr> <tr> <td>3.4. DOES THE BIDDER HAVE ANY SOURCE OF INCOME IN THE RSA?</td> <td style="text-align: right;"><input type="checkbox"/> YES <input type="checkbox"/> NO</td> </tr> </table> <p>IF THE ANSWER IS "NO" TO ALL OF THE ABOVE, THEN, IT IS NOT A REQUIREMENT TO OBTAIN A TAX COMPLIANCE STATUS / TAX COMPLIANCE SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 2.3 ABOVE.</p>	3.1. IS THE BIDDER A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?	<input type="checkbox"/> YES <input type="checkbox"/> NO	3.2. DOES THE BIDDER HAVE A BRANCH IN THE RSA?	<input type="checkbox"/> YES <input type="checkbox"/> NO	3.3. DOES THE BIDDER HAVE A PERMANENT ESTABLISHMENT IN THE RSA?	<input type="checkbox"/> YES <input type="checkbox"/> NO	3.4. DOES THE BIDDER HAVE ANY SOURCE OF INCOME IN THE RSA?	<input type="checkbox"/> YES <input type="checkbox"/> NO
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3.2. DOES THE BIDDER HAVE A BRANCH IN THE RSA?	<input type="checkbox"/> YES <input type="checkbox"/> NO							
3.3. DOES THE BIDDER HAVE A PERMANENT ESTABLISHMENT IN THE RSA?	<input type="checkbox"/> YES <input type="checkbox"/> NO							
3.4. DOES THE BIDDER HAVE ANY SOURCE OF INCOME IN THE RSA?	<input type="checkbox"/> YES <input type="checkbox"/> NO							

**NB: FAILURE TO PROVIDE ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.**

## SECTION 2: SPECIAL INSTRUCTIONS TO BIDDERS

### 1. RESPONSIVENESS CRITERIA

The Playhouse Company will consider no BID unless it meets the following responsiveness criteria. If a NO response is indicated for any one of the items below, the BID WILL BE DISQUALIFIED:

- ☐

Yes	No
-----	----

 The BID must be properly received in a sealed envelope clearly indicating the description of the service and the Bid number for which the Bid is submitted.
  
- ☐

Yes	No
-----	----

 The Bid must be deposited in the relevant Bid box as indicated on the notice of the Bid on or before the closing date and time of the Bid.
  
- ☐

Yes	No
-----	----

 A Valid Tax Clearance Certificate or SARS issued pin must be submitted with the Bid on or before the closing time and date of the Bid.
  
- ☐

Yes	No
-----	----

 Bid forms must be completed in full.
  
- ☐

Yes	No
-----	----

Certified copy of the company registration certificate must be submitted together with the Bid documents on or before the closing time and date of the Bid.
  
- ☐

Yes	No
-----	----

 Complies with the Bid requirements.
  
- ☐

Yes	No
-----	----

 Copies of Identity Documents of Members/ Shareholders/ Partners.
  
- ☐

Yes	No
-----	----

 A full set of recently audited financial statements for the past 3 years.
  
- ☐

Yes	No
-----	----

 CSD Registration report submitted.
  
- ☐

Yes	No
-----	----

 A valid B-BBEE Certificate/Affidavit.
  
- ☐

Yes	No
-----	----

 Estimate based on 700 hours audit hours (including Audit committee meetings).
  
- ☐

Yes	No
-----	----

 Pricing schedule included.

### 2. BID PROCEDURES AND INSTRUCTIONS

**In the procedures described below, kindly interpret the singular to include the plural. (A list of contact telephone numbers appear at the end of this section and on the cover).**

Bidders are asked to:

- ☐

Yes	No
-----	----

 Review all the documentation as listed above.
  
- ☐

Yes	No
-----	----

 Complete the bid documents as indicated. (Use black ball point pen). Any alterations made must be initialed.
  
- ☐

Yes	No
-----	----

 Deposit the completed bids on or before bid closing date, in the bid box situated in the reception area of **The Playhouse Company at 29 Acutt Street, Durban, on or before bid closing date and time.**
  
- ☐ No bids will be accepted after the cut-off time.
  
- ☐ Bids submitted will be reviewed in accordance with our SCM Policy, National Treasury Regulations and the PFMA and the PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT AND THE PREFERENTIAL PROCUREMENT REGULATIONS, 2011, THE GENERAL CONDITIONS OF CONTRACT (GCC)
  
- ☐ Acceptance of contract of Agreement shall be simultaneous with the award of the bid to the successful bidder.
  
- ☐ There shall be a **public opening** of the Bids received, with the **names of the bidders announced only** and a list of the bids received will be published on The Playhouse Company website. There shall be no discussions with any enterprise until evaluation of the bid has been complete. Any subsequent discussions shall be at the discretion of The Playhouse Company. Unless specifically provided for in the bid document, bids submitted by means of telegram, telex, facsimile or similar means shall not be considered.

It is a condition of bid that the taxes of the successful bidder **must** be in order, or that satisfactory arrangements have been made with South African Revenue Services (SARS) to meet the bidder's tax obligations.

1. In order to meet this requirement bidders are required to complete in full the attached form TCC 001 "Application for a Tax Clearance Certificate" and submit it to any SARS branch office nationally. The Tax Clearance Certificate Requirements are also applicable to Foreign bidders/individuals who wish to submit bids.
2. SARS will then furnish the bidder with a Tax Clearance Certificate or SARS Issued pin that will be valid for a period of 1 (one) year from the date of approval.
3. The original tax clearance certificate or SARS Issued pin must be submitted together with the bid. Failure to submit a compliant tax status will result in invalidation of the bid.
4. In bids where Consortia/Joint Ventures/Sub-contractors are involved, each party must submit a separate tax clearance certificate.
5. Copies of the TCC 001 "Application for a tax clearance certificate" form are available from any SARS branch office nationally or on the website [www.sars.gov.za](http://www.sars.gov.za)
6. Applications for the tax clearance certificate may also be made via eFiling. In order to use this provision, taxpayers will need to register with SARS as eFilers through the website [www.sars.gov.za](http://www.sars.gov.za)

**Section 4: APPLICATION FOR TAX CLEARANCE CERTIFICATE**  
**Refer to Annexure A**



## SECTION 5: INFORMATION FOR SERVICE PROVIDERS

### 1. BACKGROUND

The Playhouse Company comprises prime live theatre venues in the Province of KwaZulu Natal (KZN). The Playhouse Company is situated in the heart of Durban Central Business District. It is a multi venue complex housed within Tudor and Moorish style buildings. The theatres were restored to their former glory and were combined to form KwaZulu Natal's live entertainment complex known as The Playhouse Company which re-opened in 1986. The Playhouse Company employs its resources and facilities for a variety of artistic programs. The objectives of The Playhouse Company are to:

- ☐ Empower talented, gifted and previously disadvantaged people of the Province of KwaZulu Natal
- ☐ To provide artistic skills through projects and programs.
- ☐ To create an environment that will nurture growth, innovation and excellence in all performance art forms and
- ☐ Ultimately to contribute towards a vibrant culture in the leisure and entertainment industry that will provide employment to cultural practitioners in the region as well as make a significant contribution to KwaZulu Natal.

The KwaZulu-Natal Performing Arts Company trading as The Playhouse Company was declared a Cultural Institution by the Minister of Arts Culture, Science & Technology in March 2003, in terms of Section 3 (1) of the Cultural Institutions Act 1998 (Act No 119 of 1998)

The Playhouse Company is a Schedule 3 Public Entity classified in terms of the Public Financial Management Act. The Playhouse Company was de-registered for VAT by SARS effective 31/03/2005 as for all such Public Entities.

### 2. REQUIREMENT OF THE BID

The requirement of this bid is for the provision of services/goods as stated on the cover page.

#### 2.1 TERMS OF ENGAGEMENT

The bid will be for the duration of the services/goods as stated on the cover page. The timelines are as follows:

- |                                      |                             |
|--------------------------------------|-----------------------------|
| 1. Placing of advert                 | 04 August 2023              |
| 2. Closing of tender                 | 04 September 2023 @ 11:00am |
| 3. Tender award to successful bidder | 01 December 2023            |
| 4. Contractor commencement           | 01 April 2024               |

We do not guarantee that the award date and contract commencement date will materialise as indicated, we reserve the right to change them as and when required.

#### 2.2 SCOPE OF WORK

Refer to SECTION 17 for detailed documentation and instructions.

### 3. REQUIRED BIDDING FORMAT AND CONTENT

The bid should include at least the following information:

#### 1. First / Front page listing clearly:

1. Service Provider's registered name of company and company registration number.
2. Contact details for tender clarifications purposes (person/s name, telephone, cell phone and fax numbers, e-mail address, physical and postal addresses)

#### 2. Description of business:

1. The bidder's business outline (focus area, turnover, profit, structure, BEE status, shareholding, information technology, staff training etc.)
  - 1.1. Involvement of SMME/s
  - 1.2. Location of Business Base

#### 3. Track Record

- 3.1 ☐ Yes ☐ No Similar contracts performed or currently being performed (experience within the industry). A minimum of five (5) current references for verification purposes.

Company Name	Contact Person	Contact Number	Contract Value
1.			
2.			
3.			
4.			
5.			

- 3.2 ☐ Yes ☐ No Client references to be submitted including individual names and contact telephone numbers. The bidder's permission is required by The Playhouse Company to pursue references on the Contractors performance

#### 4. Financial bid should provide as much detail as possible.

- 4.1 See section 17 for financial proposal.

## 5. Proposed Contract Workers

5.1 Organogram of management and ownership.

1. Partner/s or Director/s with detailed CV/s.

2. Summary CV/s (experience, skills, qualifications) of senior supervisory individuals.

## 6. Commencement of contract

6.1. ☐ Yes ☐ No The successful service provider will be required to commence with the services as per the dates detailed under 2.1 Terms of Engagement above.

## SECTION 6: CONTRACTUAL DOCUMENTATION

Contractual documentation required in respect of the tender are as follows:-

☐ ☐ Yes ☐ No Proof of insurance – Public Liability Insurance

## SECTION 7: REGISTRATION OF SUPPLIERS ON THE PLAYHOUSE COMPANY DATABASE

Suppliers must be registered on the National Treasury's Central Supplier Database (CSD) on [www.csd.gov.za](http://www.csd.gov.za).

A CSD Registration report must accompany this document on submission.

CSD Registration Report attached?

Yes

No

### 1. OWNERSHIP

1.1 Is there equity ownership by BEE?

YES / NO

1.2 Is this a joint Venture Entity?

YES / NO

1.3 If 1.1 or 1.2 is yes, provide names of Black partners hereunder and % shareholding/ holding company:

	%		%
	%		%

1.4 What is HDI ownership percentage? .....

### 2. EXECUTIVE MANAGEMENT & BOARD MEMBERS' INVOLVEMENT

#### 2.1

Full Name	ID Number	SA Citizen before 27/4/94 Yes/No	Capacity: Member/ Partner/ Shareholder/ Trustee/ Beneficiary	% Owner ship/Part nership/ Trust/ Interest	M/F	Disabled Yes/No	HDI Yes/ No	(*) Race W/B/ I/C/ Other	%of Time devoted to the Business

(\*) NB: The request for the Race of a person herein is required for STATISTICAL PURPOSES ONLY and is not intended to prejudice any Service Provider in any manner whatsoever.

#### LEGEND

(M) – Male      (F) – Female      (W) – White      (B) – Black      (I) – Indian      (C) – Coloured

2.2 What percentage comprises Black Senior Management? .....

### 3. HUMAN RESOURCES

3.1 Is there an Employment Equity plan in your organisation **YES / NO**

3.1.1 If yes, indicate the DOL (Dept of Labour) status with regard to the plan and attach relevant certificate:

3.2 Please complete the following Employment Equity Statistics:

Occupational Levels	MALE				FEMALE				TOTAL
	B	W	C	I	B	W	C	I	
Top Management									
Senior Management									
Professionally qualified experienced specialists and mid management									
Skilled technical and academically qualified workers, junior management, supervisors, superintendents									
Semi-skilled and discretionary decision making									
Unskilled and defined decision making									
<b>TOTAL</b>									

Key: B – Black, W – White, C – Coloured, I – Indian

3.3 Skills development expenditure as a proportion of total payroll:

3.3.1 What is the total payroll per annum **R**

3.3.2 What is the total training expenditure for the past financial year **R**

3.3.3 What percentage of training expenditure was allocated to HDI's \_\_\_\_\_%

4. **INDIRECT EMPOWERMENT**

4.1 Does your company have a Preferential Procurement Policy? **YES/NO**  
And if so do you have a scorecard to measure that?

4.2 What percentage of goods/services were sourced from Black suppliers with a Dept of Trade and Industry weighted average of 20% and above in the past twelve months\_\_\_\_\_%

4.3 Have you invested or offered business and non-business related support to BEE companies **YES/NO**

If yes, state:

Company	Total Assets	Nature of Investment/Support

5. **SMME STATUS**

Total full-time paid employees	Total annual turnover	Total gross asset value (fixed property excluded)	REMARKS (for office use)

6. **TRADE REFERENCES**

Please provide at least three trade references:

Client	Contact Person	Contact Number	Account Number

I hereby declare the information provided above and contained in the CSD Report is true and correct.

---

**FOR AND ON BEHALF OF THE APPLICANT COMPANY**

---

**DATE**

---

**NAME OF SIGNATORY**

---

**CAPACITY OF SIGNATORY (POSITION HELD IN COMPANY)**

**SECTION 8: PRICING SCHEDULE – FIRM PRICES (PURCHASES)**

**NOTE: ONLY FIRM PRICES WILL BE ACCEPTED. NON-FIRM PRICES (INCLUDING PRICES SUBJECT TO RATES OF EXCHANGE VARIATIONS) WILL NOT BE CONSIDERED IN CASES WHERE DIFFERENT DELIVERY POINTS INFLUENCE THE PRICING, A SEPARATE PRICING SCHEDULE MUST BE SUBMITTED FOR EACH DELIVERY POINT**

NAME OF BIDDER: .....

BID NO.: PHC 78/2023

CLOSING DATE: 04 September 2023

CLOSING TIME 11:00 AM

OFFER TO BE VALID FOR .....DAYS FROM THE CLOSING DATE OF BID.

ITEM NO	DESCRIPTION	BID PRICE IN RSA CURRENCY **(ALL APPLICABLE TAXES INCLUDED)
------------	-------------	--

Item No.	Item Description	Qty	Unit Price	Total Price (VAT exclusive)	Total Price (VAT inclusive)

- Require by: \_\_\_\_\_

- At: \_\_\_\_\_

- Brand and model \_\_\_\_\_

- Country of origin \_\_\_\_\_

- Does the offer comply with the specification(s)? \*YES/NO

- If not to specification, indicate deviation(s) \_\_\_\_\_

- Period required for delivery \_\_\_\_\_

- Method of delivery \_\_\_\_\_

Note: All delivery costs must be included in the bid price, for delivery at the prescribed destination.



**1. PURPOSE OF THE FORM**

Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

**2. Bidder's declaration**

2.1 Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest<sup>1</sup> in the enterprise, employed by the state? **YES/NO**

2.1.1 If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

Full Name	Identity Number	Name of State institution

---

<sup>1</sup> the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.

2.2 Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution?  
**YES/NO**

2.2.1 If so, furnish particulars:

.....  
.....

2.3 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are bidding for this contract?  
**YES/NO**

2.3.1 If so, furnish particulars:

.....  
.....

### 3 DECLARATION

I, the undersigned, (name)..... in submitting the accompanying bid, do hereby make the following statements that I certify to be true and complete in every respect:

- 3.1 I have read and I understand the contents of this disclosure;
- 3.2 I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect;
- 3.3 The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium<sup>2</sup> will not be construed as collusive bidding.
- 3.4 In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.
- 3.4 The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.
- 3.5 There have been no consultations, communications, agreements or arrangements made by the bidder with any official of the procuring institution in relation to this procurement process prior to and during the bidding process except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.
- 3.6 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

---

<sup>2</sup> Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 1, 2 and 3 ABOVE IS CORRECT.  
I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF  
PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY  
CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

.....  
Signature

.....  
Date

.....  
Position

.....  
Name of bidder

## SECTION 10: SITE INSPECTION CERTIFICATE

NB: THIS FORM IS ONLY TO BE INCLUDED AND COMPLETED WHEN APPLICABLE TO THE BID.

Site/building/institution involved:.....

Bid no:.....

Service:.....

THIS IS TO CERTIFY THAT (NAME).....

ON BEHALF OF.....

VISITED AND INSPECTED THE SITE ON (DATE).....

AND IS THEREFORE FAMILIAR WITH THE CIRCUMSTANCES AND THE SCOPE OF THE SERVICE TO BE RENDERED.

.....  
**SIGNATURE OF SERVICE PROVIDER OR AUTHORISED REPRESENTATIVE**  
(PRINT NAME)

**DATE:**.....

.....  
**SIGNATURE OF DEPARTMENTAL REPRESENTATIVE**  
(PRINT NAME)

.....  
**DEPARTMENTAL STAMP**  
(OPTIONAL)

**DATE:**.....

## SECTION 11: EVALUATION CRITERIA

Evaluation of the bid responses will be done in 3 phases (referred to as gate 0, gate I and gate II) and is based on predetermined weightings.

### ☐ **GATE 0: Prequalification gate**

1. Central Supplier Registration (CSD);
2. SARS Issued pin or Valid Tax Clearance Certificate;
3. A valid B-BBEE Certificate/Affidavit,
4. Recent audited financial statements for the past 3 years;
5. Completed and signed SBD documents;

**Please note: Failure to submit the mandatory documentation and information stated above will result to the bid failing the PPPFMA Evaluation**

### ☐ **GATE I: Technical Evaluation**

1. Only bids with all information supplied in SECTION 12 as requested will be evaluated in Gate I (Technical).
2. A minimum of **75%** on the Gate I Technical Evaluation is required for bids to be considered for Gate II evaluation.

#### **Financial analysis:**

Bids that pass through gate I will be evaluated for financial stability, capability and ability to execute the contract

### ☐ **GATE II: PPPFMA 80/20 Evaluation**

1. Only bids achieving the minimum GATE I technical evaluation pass rate will be considered for Gate II evaluation.
2. The Gate II evaluation will be conducted according to PPPFMA treasury act rules.
  - Price 80 points
  - Specific Goals (B-BBEE Status Level of Contributor) 20 points

## SECTION 12: FUNCTIONALITY EVALUATION CRITERIA

Functionality covers the bidder's technical competency, capability, experience and financial proposal.

**Bidders scoring less than 75 out of 100 will be regarded as having submitted a non-responsive bid and will be disqualified. All bidders that score 75 points and more will be evaluated further on points for price and special goals in terms of the 80/20 system.**

**Please indicate under REFERENCE where the relevant supporting documentation to score you points can be found in your submission.**

Quality criteria	Sub-criteria	Weight	Reference
1. Technical competence	Bidder submitted a proposal that demonstrates understanding of the scope of work; explains the approach including the audit methodology, nature, timing and extent of audit procedures to be performed.	15	
	Submitted a proposed 3 year rolling plan to achieve the objective of the internal audit function that includes: a) Individual auditable areas with budgeted timelines b) The annual Risk Workshop c) Strategic and APP audit d) Attendance of audit & governance meetings.	15	
	Submitted a comprehensive line-item budget for 3 years showing description and total cost of the services as well as hourly rates of personnel to be involved.	15	
	Describe how the approach to performing the audit would be affected if this were a one year contract; and included an action/execution plan.	10	
	Geographic location of the engagement partner, audit manager and audit team.	5	
2. Capability	Resumés of key engagement members with between 3 and 5 years' relevant experience in internal auditing: 5 years = 6 points 4 years = 4 points 3 years = 2 points  Management is registered with a professional board: (Certificates submitted) = 2 points.  Project specific organogram submitted = 2 points.	10	
3. Track record and previous experience	The bidder must have completed at least 5 projects of similar nature and scale in internal auditing within the last 5 years: 5 projects = 15 points 4 projects = 12 points 3 projects = 9 points 2 projects = 6 points 1 project = 3 points 0 projects = 0 points  Note: Reference letters to include the value of the projects completed.	15	
4. Financial capability	The bidder is financially stable. There is availability of financial resources to deliver timely service: Signed audit report (unqualified) = 5 points Positive cashflow in the balance sheet = 5 points Profitability over the previous three years = 5 points	15	
Score for quality		100	

### SECTION 13: DECLARATION OF SERVICE PROVIDER'S PAST SUPPLY CHAIN MANAGEMENT PRACTICES SBD 8

1. This Standard Bidding Document must form part of all bids invited.
2. It serves as a declaration to be used by institutions in ensuring that when goods and services are being procured, all reasonable steps are taken to combat the abuse of the supply chain management system.
3. The bid of any bidder may be disregarded if that bidder, or any of its directors have-
  - a. abused the institution's supply chain management system;
  - b. committed fraud or any other improper conduct in relation to such system; or
  - c. failed to perform on any previous contract.
4. In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.

Item	Question	Yes	No
4.1	<p>Is the bidder or any of its directors listed on the National Treasury's Database of Restricted Suppliers as companies or persons prohibited from doing business with the public sector?</p> <p><b>(Companies or persons who are listed on this Database were informed in writing of this restriction by the Accounting Officer/Authority of the institution that imposed the restriction after the <i>audi alteram partem</i> rule was applied)</b></p> <p>The Database of Restricted Suppliers now resides on the National Treasury's website(<a href="http://www.treasury.gov.za">www.treasury.gov.za</a>) and can be accessed by clicking on its link at the bottom of the home page.</p>	Yes	No
4.1.1	If so, furnish particulars:		
4.2	<p>Is the bidder or any of its directors listed on the Register for Tender Defaulters in terms of section 29 of the Prevention and Combating of Corrupt Activities Act (No 12 of 2004)?</p> <p><b>The Register for Tender Defaulters can be accessed on the National Treasury's website (<a href="http://www.treasury.gov.za">www.treasury.gov.za</a>) by clicking on its link at the bottom of the home page.</b></p>	Yes	No
4.2.1	If so, furnish particulars:		
4.3	Was the bidder or any of its directors convicted by a court of law (including a court outside of the Republic of South Africa) for fraud or corruption during the past five years?	Yes	No

4.3.1	If so, furnish particulars:		
4.4	Was any contract between the bidder and any organ of state terminated during the past five years on account of failure to perform on or comply with the contract?	Yes	No
4.4.1	If so, furnish particulars:		

### CERTIFICATION

I, THE UNDERSIGNED (FULL NAME).....

CERTIFY THAT THE INFORMATION FURNISHED ON THIS DECLARATION FORM IS TRUE AND CORRECT.

I ACCEPT THAT, IN ADDITION TO CANCELLATION OF A CONTRACT, ACTION MAY BE TAKEN AGAINST ME SHOULD THIS DECLARATION PROVE TO BE FALSE.

.....

**Signature**

.....

**Date**

.....

**Position**

.....

**Name of Bidder**



## SECTION 14: CONTRACT FORM - PURCHASE OF GOODS/WORKS

SBD7.1

**THIS FORM MUST BE FILLED IN DUPLICATE BY BOTH THE SUCCESSFUL BIDDER (PART 1) AND THE PURCHASER (PART 2). BOTH FORMS MUST BE SIGNED IN THE ORIGINAL SO THAT THE SUCCESSFUL BIDDER AND THE PURCHASER WOULD BE IN POSSESSION OF ORIGINALLY SIGNED CONTRACTS FOR THEIR RESPECTIVE RECORDS.**

### **PART 1 (TO BE FILLED IN BY THE BIDDER)**

1. I hereby undertake to supply all or any of the goods and/or works described in the attached bidding documents to (name of institution)..... in accordance with the requirements and specifications stipulated in bid number..... at the price/s quoted. My offer/s remain binding upon me and open for acceptance by the purchaser during the validity period indicated and calculated from the closing time of bid.
2. The following documents shall be deemed to form and be read and construed as part of this agreement:
  - (i) Bidding documents, viz
    - Invitation to bid
    - Tax clearance certificate
    - Pricing schedule(s)
    - Technical Specification(s)
    - Preference claims for Broad Based Black Economic Empowerment Status Level of Contribution in terms of the Preferential Procurement Regulations 2011
    - Declaration of interest
    - Declaration of bidder's past SCM practices;
    - Certificate of Independent Bid Determination
    - Special Conditions of Contract;
  - (i) General Conditions of Contract; and
  - (i) Other (specify)
3. I confirm that I have satisfied myself as to the correctness and validity of my bid; that the price(s) and rate(s) quoted cover all the goods and/or works specified in the bidding documents; that the price(s) and rate(s) cover all my obligations and I accept that any mistakes regarding price(s) and rate(s) and calculations will be at my own risk.
4. I accept full responsibility for the proper execution and fulfillment of all obligations and conditions devolving on me under this agreement as the principal liable for the due fulfillment of this contract.
5. I declare that I have no participation in any collusive practices with any bidder or any other person regarding this or any other bid.
6. I confirm that I am duly authorised to sign this contract.

NAME (PRINT) .....

CAPACITY .....

SIGNATURE .....

NAME OF FIRM .....

DATE .....

#### **WITNESSES**

1 .....

2. ....

DATE:.....

**PART 2 (TO BE FILLED IN BY THE PURCHASER)**

1. I ..... in my capacity as .....  
accept your bid under reference number .....dated.....for the supply of  
goods/services indicated hereunder and/or further specified in the annexure(s).
2. An official order indicating delivery instructions is forthcoming.
3. I undertake to make payment for the goods/works delivered in accordance with the terms and conditions of the contract, within 30 (thirty) days after receipt of an invoice accompanied by the delivery note.

ITEM NO.	PRICE (ALL APPLICABLE TAXES INCLUDED)	BRAND (if applicable)	DELIVERY PERIOD	B-BBEE STATUS LEVEL	MINIMUM THRESHOLD FOR LOCAL PRODUCTION AND CONTENT (if applicable)

4. I confirm that I am duly authorised to sign this contract.

SIGNED AT .....ON.....

NAME (PRINT) .....

SIGNATURE .....

OFFICIAL STAMP

**WITNESSES**

1. ....

2. ....

DATE .....

**PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL PROCUREMENT REGULATIONS 2022**

This preference form must form part of all tenders invited. It contains general information and serves as a claim form for preference points for specific goals.

**NB: BEFORE COMPLETING THIS FORM, TENDERERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF THE TENDER AND PREFERENTIAL PROCUREMENT REGULATIONS, 2022**

**1. GENERAL CONDITIONS**

1.1. The following preference point systems are applicable to all bids:

- the 80/20 system for requirements with a Rand value of up to R 50 000 000 (all applicable taxes included); and
- the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

1.2. The value of this bid is estimated to not exceed R50 000 000 (all applicable taxes included) and therefore the 80/10 system shall be applicable.

1.3. Preference points for this bid shall be awarded for:

- (a) Price; and
- (b) Specific Goals (B-BBEE Status Level of Contributor).

1.4. The maximum points for this tender are allocated as follows:

	<b>POINTS</b>
<b>PRICE</b>	<b>80</b>
<b>SPECIFIC GOALS</b> <b>(B-BBEE Status Level of Contributor)</b>	<b>20</b>
<b>Total points for Price and SPECIFIC GOALS</b>	<b>100</b>

1.5. Failure on the part of a tenderer to submit proof or documentation required in terms of this tender to claim points for specific goals with the tender, will be interpreted to mean that preference points for specific goals are not claimed.

1.6. Failure on the part of a tenderer to submit a B-BBEE Verification Certificate from a Verification Agency accredited by the South African National Accreditation System (SANAS), or a sworn affidavit confirming annual turnover and level of black ownership in case of an EME and QSE together with the bid, will be interpreted to mean that preference points for B-BBEE status level of contribution are not claimed.

1.7. The organ of state reserves the right to require of a tenderer, either before a tender is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the organ of state.

## 2. DEFINITIONS

- (a) **“tender”** means a written offer in the form determined by an organ of state in response to an invitation to provide goods or services through price quotations, competitive tendering process or any other method envisaged in legislation;
- (b) **“price”** means an amount of money tendered for goods or services, and includes all applicable taxes less all unconditional discounts;
- (c) **“rand value”** means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes;
- (d) **“tender for income-generating contracts”** means a written offer in the form determined by an organ of state in response to an invitation for the origination of income-generating contracts through any method envisaged in legislation that will result in a legal agreement between the organ of state and a third party that produces revenue for the organ of state, and includes, but is not limited to, leasing and disposal of assets and concession contracts, excluding direct sales and disposal of assets through public auctions; and
- (e) **“the Act”** means the Preferential Procurement Policy Framework Act, 2000 (Act No. 5 of 2000).

## 3. FORMULAE FOR PROCUREMENT OF GOODS AND SERVICES

### 3.1. POINTS AWARDED FOR PRICE

#### 3.1.1. THE 80/20 OR 90/10 PREFERENCE POINT SYSTEMS

A maximum of 80 points is allocated for price on the following basis:

**80/20**

$$P_s = 80 \left( 1 - \frac{P_t - P_{min}}{P_{min}} \right)$$

Where

$P_s$  = Points scored for price of tender under consideration

$P_t$  = Price of tender under consideration

$P_{min}$  = Price of lowest acceptable tender

**4. POINTS AWARDED FOR SPECIFIC GOALS**

- 4.1.** In terms of Regulation 4(2) and 6(2) of the Preferential Procurement Regulations, preference points must be awarded for specific goals stated in the tender. For the purposes of this tender the tenderer will be allocated points based on the goals stated in table 1 below as may be supported by proof/ documentation stated in the conditions of this tender:

<b>B-BBEE Status Level of Contributor</b>	<b>Number of points (80/20 system)</b>
1	20
2	18
3	14
4	12
5	8
6	6
7	4
8	2
Non-compliant contributor	0

**5. BID DECLARATION**

- 5.1.** Bidders who claim points in respect of B-BBEE Status Level of Contribution must complete the following:

**6. B-BBEE STATUS LEVEL OF CONTRIBUTION CLAIMED IN TERMS OF PARAGRAPHS 1.4**

- 6.1.** B-BBEE Status Level of Contribution: ..... = ..... (maximum of 20 points)

(Points claimed in respect of paragraph 6.1 must be in accordance with the table reflected in paragraph 4.1 and must be substantiated by relevant proof of B-BBEE status level of contributor).

**7. DECLARATION WITH REGARD TO COMPANY/FIRM**

- 7.1.** Name of company/firm: .....

- 7.2.** Company registration number: .....

**7.3. TYPE OF COMPANY/ FIRM**

- ☐ Partnership/Joint Venture / Consortium
  - ☐ One-person business/sole propriety
  - ☐ Close corporation
  - ☐ Public Company
  - ☐ Personal Liability Company
  - ☐ (Pty) Limited
  - ☐ Non-Profit Company
  - ☐ State Owned Company
- [TICK APPLICABLE BOX]

**7.4. I, the undersigned, who is duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the specific goals as advised in the tender, qualifies the company/ firm for the preference(s) shown and I acknowledge that:**

- i) The information furnished is true and correct;
- ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;
- iii) In the event of a contract being awarded as a result of points claimed as shown in paragraphs 1.4 and 4.2, the contractor may be required to furnish documentary proof to the satisfaction of the organ of state that the claims are correct;
- iv) If the specific goals have been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the organ of state may, in addition to any other remedy it may have –
  - a) disqualify the person from the tendering process;
  - b) recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;
  - c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
  - d) recommend that the tenderer or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted from obtaining business from any organ of state for a period not exceeding 10 years, after the audi alteram partem (hear the other side) rule has been applied; and
  - e) forward the matter for criminal prosecution, if deemed necessary.

..... <b>SIGNATURE(S) OF TENDERER(S)</b>	
<b>SURNAME AND NAME:</b>	.....
<b>DATE:</b>	.....
<b>ADDRESS:</b>	..... ..... ..... .....

## SECTION 16: CERTIFICATE OF INDEPENDENT BID DETERMINATION

SBD 9

- 1 This Standard Bidding Document (SBD) must form part of all bids<sup>1</sup> invited.
- 2 Section 4 (1) (b) (iii) of the Competition Act No. 89 of 1998, as amended, prohibits an agreement between, or concerted practice by, firms, or a decision by an association of firms, if it is between parties in a horizontal relationship and if it involves collusive bidding (or bid rigging).<sup>2</sup> Collusive bidding is a *pe se* prohibition meaning that it cannot be justified under any grounds.
- 3 Treasury Regulation 16A9 prescribes that accounting officers and accounting authorities must take all reasonable steps to prevent abuse of the supply chain management system and authorizes accounting officers and accounting authorities to:
  - a. disregard the bid of any bidder if that bidder, or any of its directors have abused the institution's supply chain management system and or committed fraud or any other improper conduct in relation to such system.
  - b. cancel a contract awarded to a supplier of goods and services if the supplier committed any corrupt or fraudulent act during the bidding process or the execution of that contract.
4. This SBD serves as a certificate of declaration that would be used by institutions to ensure that, when bids are considered, reasonable steps are taken to prevent any form of bid-rigging.
5. In order to give effect to the above, the attached Certificate of Bid Determination (SBD 9) must be completed and submitted with the bid:

<sup>1</sup> Includes price quotations, advertised competitive bids, limited bids and proposals.

<sup>2</sup> Bid rigging (or collusive bidding) occurs when businesses, that would otherwise be expected to compete, secretly conspire to raise prices or lower the quality of goods and / or services for purchasers who wish to acquire goods and / or services through a bidding process. Bid rigging is, therefore, an agreement between competitors not to compete.

## CERTIFICATE OF INDEPENDENT BID DETERMINATION

I, the undersigned, in submitting the accompanying bid:

---

(Bid Number and Description)

in response to the invitation for the bid made by:

---

(Name of Institution)

do hereby make the following statements that I certify to be true and complete in every respect:

I certify, on behalf of: \_\_\_\_\_ that:

(Name of Bidder)

1. I have read and I understand the contents of this Certificate;
2. I understand that the accompanying bid will be disqualified if this Certificate is found not to be true and complete in every respect;
3. I am authorized by the bidder to sign this Certificate, and to submit the accompanying bid, on behalf of the bidder;
4. Each person whose signature appears on the accompanying bid has been authorized by the bidder to determine the terms of, and to sign the bid, on behalf of the bidder;
5. For the purposes of this Certificate and the accompanying bid, I understand that the word "competitor" shall include any individual or organization, other than the bidder, whether or not affiliated with the bidder, who:
  - (a) has been requested to submit a bid in response to this bid invitation;
  - (b) could potentially submit a bid in response to this bid invitation, based on their qualifications, abilities or experience; and
  - (c) provides the same goods and services as the bidder and/or is in the same line of business as the bidder
6. The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However communication between partners in a joint venture or consortium<sup>3</sup> will not be construed as collusive bidding.



7. In particular, without limiting the generality of paragraphs 6 above, there has been no consultation, communication, agreement or arrangement with any competitor regarding:
- (a) prices;
  - (b) geographical area where product or service will be rendered (market allocation)
  - (c) methods, factors or formulas used to calculate prices;
  - (d) the intention or decision to submit or not to submit, a bid;
  - (e) the submission of a bid which does not meet the specifications and conditions of the bid; or
  - (f) bidding with the intention not to win the bid.
8. In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications and conditions or delivery particulars of the products or services to which this bid invitation relates.
9. The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.

**<sup>3</sup> Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.**

10. I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

.....  
Signature

.....  
Date

.....  
Position

.....  
Name of Bidder

## **SECTION 17: SCOPE OF WORK**

### **1. TERMS OF ENGAGEMENT**

The contract for internal audit services will be effective from 1 April 2024 for a 3 year period ending on 31 March 2027.

Annual reports are available on our website [www.playhousecompany.com](http://www.playhousecompany.com)

### **2. INTERNAL AUDIT OBJECTIVITY**

The internal audit objective will be an objective assurance and consulting activity, which will assist The Playhouse Company to accomplish their objectives, by bringing a systematic and disciplined approach to evaluate and improve the effectiveness of risk Management, control and governance processes.

The proposer must demonstrate the capability to perform the internal audit in accordance with the Institute of Internal Audit Services and practices, and any relevant legislation. Auditing/Accounting firms that have performed or are providing internal audits for similar entities are encouraged to submit tenders.

### **3. SCOPE OF INTERNAL AUDIT WORK**

All the internal audit work will be carried out at the premises of The Playhouse Company.

The successful tenderer will be required to perform the following services:

- a. Financial auditing
- b. Compliance auditing
- c. Performance auditing
- d. Computer auditing
- e. Investigative (forensic) auditing as and when required, and
- f. Ad hoc projects as and when required.

The scope of the internal audit work entails testing and evaluating the adequacy and effectiveness of the organisations system of internal control. This includes:

- g. Review the current risk register of The Playhouse Company. Establishing a rolling three year strategic and annual auditing plan based on the results of the risk review and execute audits in accordance with these plans.
- h. Reviewing the reliability and integrity of financial and operating information and the means used to identify, measure, classify and report such information.
- i. Reviewing the systems established by Management to ensure compliance with those policies, plans, procedures, laws and regulations that could have a significant impact on operations and determine whether The Playhouse Company is in compliance.
- j. Reviewing the means of safeguarding assets and verifying the existence of assets.
- k. Appraising the economy and efficiency with which resources are employed and identifying opportunities to improve operating performance.
- l. Reviewing operations or programmes to ascertain whether the results are consistent with established objectives or goals and whether the operations or programmes are being carried out as planned.
- m. Performing any ad hoc projects as may be required by Management and/or the Audit Committee.
- n. Liaise with the external auditors on the institutions' audits where necessary.

#### 4. KEY PERFORMANCE AREAS

The successful tenderer will perform the following Key Performance Areas:

Key Responsibilities (What)	Activities (How)	Results Indicators ( Why)
Regularity audits	Internal audits on all the institutions activities as determined by the annual internal plan according to the following process: <ul style="list-style-type: none"> <li>• Planning the audit</li> <li>• Examining and evaluating information</li> <li>• Communicating results</li> <li>• Follow up actions by Management on findings and recommendations</li> </ul>	To advise Management on compliance with laws, regulations and policies
Fraud prevention, detection and action	Prevention in terms of the institutions fraud prevention plan.  Assist with investigations in terms of the institutions fraud strategy  Action in terms of the PFMA in conjunction with the SAPS	To minimise fraud in the institution  To bring perpetrators to book  To ensure disciplinary and/or criminal action against perpetrators
Forensic audits (only when required)	Co-ordinate the appointment, execution, investigation and the reporting of the forensic audit when requested	Possible recovery of Public funds  Civil, criminal and/or disciplinary action against guilty parties
Ad hoc investigations	Investigate issues as requested by Management and/or the Audit Committee	To report findings to Management in order to determine whether further actions are required
Performance audits	Executing audits in terms of the audit plan	To determine whether resources at The Playhouse Company are applied effectively and economically
Reporting	Reporting on all the activities of the Internal audit component to the Management and the Audit Committee	In order for Management and the Audit committee to evaluate the efficiency of the Internal Audit component
Managing the Internal audit component	Meetings on a regular basis  Evaluation of outputs	To ensure that Management are furnished with correct and up to date information
Advisory services	Verbal and written advice	Enhance the quality of internal audit and governance

## 5. EXTENT OF WORK

Performance of audit assignments will be as follows:

- a. Assignments are to be performed in accordance with the Institute of Internal Auditors Standards for the professional Practice of Internal Auditing. Such work shall further be subject to an external quality assurance as may be considered expedient by The Playhouse Company.
- b. All audit reports will become the property of The Playhouse Company.
- c. The performance of each assignment shall be in accordance with the annual audit plan.
- d. In carrying out their work, the successful tenderer must ensure that its staff maintains their objectivity by remaining independent of the activities they audit.
- e. On a mutually agreed basis, the consultant shall meet with the Chief Financial Officer of The Playhouse Company or his/her delegate to report on the progress of the work.
- f. For planning and conducting its work, the internal auditor should seek to identify serious defects in the internal controls, which might result in possible malpractices. Any material defects must be reported immediately to the Accounting Officer and/or the Audit Committee without disclosing these to any other members of staff. This also applies to instances where serious fraud and irregularity are uncovered.
- g. The successful tenderer may be required to attend strategic workshops from time to time. This would ensure that the successful tenderer obtains knowledge of the procedures of the institution. The cost of attendance will be for the account of The Playhouse Company.

## 6. TECHNICAL SPECIFICATIONS

### 6.1 Technical Component

To clearly describe the tenderer's understanding of the work to be done, the proposer will:

- a. Explain the proposer's approaches to performing an internal audit, including the audit methodology, nature, timing and extent of audit procedures to be performed;
- b. Describe how the approach to performing the audit would be affected if this were a one year contract;
- c. Make a statement concerning the independence of the proposer, including ANY relationship of the proposed audit team to employees of The Playhouse Company and The Playhouse Company's Audit Committee members; and
- d. Demonstrate experience and expertise of auditing public sector entities and in compliance with PFMA No.1 of 1999

### 6.2. Management Component

The proposer will furnish satisfactory evidence of its capability to provide professional and timely services. To meet this requirement, the proposer must:

- a. Provide the name of the external quality review organisation of which the proposer is a member and the proposer's proof of such membership (e.g. ABASA, IIA, etc.) should be provided;
- b. State whether the proposer is currently under the terms of a public or private reprimand/warning of a professional association;
- c. Provide an organogram or list of partners, managers, specialists and clerks in the company;
- d. Describe the proposed audit team, in terms of job positions in the firm;
- e. List the names of staff member(s) who will direct the overall audit throughout the duration of the engagement as well as those staff members who will be responsible for planning, directing, and conducting substantial portions of the fieldwork or reporting on this audit engagement. Include the educational background/detailed resumes of all staff members named and professional memberships held;
- f. Provide details of staff training and development policies and procedures with specific mention of continuing professional accounting and auditing experience/qualifications received by the proposed audit team during the last two years;
- g. Describe staff rotation plans for audit team members as this is intended to be a multiyear pact.

### 6.3. Task/Activity plan

The proposer will provide a proposal plan of action to achieve the objective of the internal audit function. The plan will specify budgeted hours, timelines, sequence for audit procedures and levels of staff to be assigned.

### 6.4. Track Record Component

The tenderer must furnish satisfactory evidence of its capability to provide professional and timely services. To meet this requirement the tenderer must do the following:

- a. Provide information that will assist The Playhouse Company to assess the service providers capabilities, capacity, competitive advantages, etc, for instance, in-house skills, previous experience, etc;
- b. Provide proof that the service provider has experience in performing internal audit services. List current and past clients (in the public and private sector) along with names and telephone numbers of contact persons, the value of and the number of years audit services were provided.
- c. Provide names and qualifications of staff members who would be on this project as well as any outside specialists and consultants who would assist the tenderer on various aspects of the functions to be performed.

### 6.5. Financial Proposal

The tenderer must submit a comprehensive line-item budget showing the total cost of the services, as well as hourly rates of personnel to be involved. The budget should show service activities proposed and budgeted hours per activity and per team member. All assumptions made in drawing up the budget, including all cost factors such as travelling, must be detailed. The headings below provide a guideline for detailed costing:

Activity/Service	Name	Designation	Number of hours	Rate per hour (R)	Total cost (R)
Service 1	XYZ	Partner	3	1 000	3 000
	MNO	Manager	1	600	600
Total for 1			4		3 600
Service 2	ABC	Manager	1	600	600
	DEF	Clerk	4	300	1 200
Total for 2			5		1 800
Audit Total			9		5 400

### 6.6. Similar details should be provided for year 2 and year 3.

- a. The proposal should estimate fees per annum for the 3 year cycle beginning 01 April 2024 and ending 31 March 2027.
- b. The Playhouse Company will pay audit fees as agreed in the engagement letter. Audit hours worked and charged must be in line with the Auditor General approved rates.
- c. The proposal should also detail the following costs:-
  - Travel rates (Project team meetings will take place at The Playhouse Company Head Office, 29 Acutt Street, Durban);
  - Meeting rates, if applicable;
  - Any other costs not included in the budget, with a detailed breakdown of such costs.
- d. The tenderer must indicate if the prices referred to above must be inclusive of value added tax (VAT).
- e. **A tender that does not include the pricing schedule as requested will be disqualified.**
- f. **The proposal must be based on an estimate of 700 hours per year, including Audit Committee meetings.**