



## Request for Proposals (RFP)

**The Provision of the professional services to develop and compile a Full-Scale Project Proposal and Plan for Mercury Mitigation in Non-Ferrous Metal Smelting Facilities in South Africa (Copper, Lead, Zinc, Industrial Gold) as part of the Global Elimination of Mercury in Non-ferrous Metals Production (GEMINI) – South Africa Project**

**RFP No. 1218/13/03/2026**

Date of Issue	27 February 2026	
Enquiries	Strategic Procurement Unit	E-mail: <a href="mailto:tender@csir.co.za">tender@csir.co.za</a>
	Please use RFP No and RFP Description as subject reference	
Last date for submission of enquiries/clarifications	06 March 2026 @ 16h30	
Electronical Submission	<a href="mailto:tender@csir.co.za">tender@csir.co.za</a> (If tender submission exceeds 25MB multiple emails can be sent)	
CSIR business hours	08h00 – 16h30	
Category	Professional Services	
Closing Date and Time	13 March 2026 @ 16h30	

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**RFP STRUCTURE**

SECTION A: GENERAL RFP TERMS AND CONDITIONS

SECTION B: EVALUATION METHODOLOGY / PROCESS

## LIST OF ANNEXURES

- Annexure A – Standard Bidding Document (SBD) 1 Form
- Annexure B – Technical Specification
- Annexure C – Technical Evaluation Matrix/Rubrics
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- Annexure E – Proposal Form and List of Returnable Documents
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**SECTION A****GENERAL RFP TERMS AND CONDITIONS****1 INTRODUCTION**

The Council for Scientific and Industrial Research (CSIR) is one of the leading scientific research and technology development organisations in Africa. In partnership with national and international research and technology institutions, the CSIR undertakes directed and multidisciplinary research and technology innovation that contributes to the improvement of the quality of life of South Africans. The CSIR's main site is in Pretoria while it is represented in other provinces of South Africa through regional offices.

**2 SUBMISSION OF PROPOSALS**

- 2.1 All proposals are to be submitted electronically to [tender@csir.co.za](mailto:tender@csir.co.za). No late proposals will be accepted.
- 2.2 All proposals will only be considered if received by the CSIR before the closing date and time (***as indicated on the cover page***). The CSIR business hours are between **08h00** and **16h30**.
- 2.3 All proposal submissions are to be clearly subject-referenced with the **RFP number and RFP Description**. Proposals must consist of two parts, each of which must be sent in two separate emails with the following subject:

**PART 1: Technical Proposal (Please indicated the RFP Number on each File/folder)**

**PART 2: Pricing Proposal, Specific Goals claim documentation: RFP No.: (Please indicated the RFP Number on each File/folder)**

- 2.4 Proposals submitted must be signed by a person or persons duly authorised.
- 2.5 Proposals submitted at incorrect location and/or address, will not be accepted for considerations and where practicable, will be returned unopened to the Bidder(s).

- 2.6 Proposals received after the closing date and time, at the address indicated in the bid documents, will not be accepted for consideration and where practicable, will be returned unopened to the Bidder(s).
- 2.7 All dates and times in this bid are South African standard time.
- 2.8 Any time or date in this bid is subject to change at the CSIR's discretion. The establishment of a time or date in this bid does not create an obligation on the part of the CSIR to take any action or create any right in any way for any bidder to demand that any action be taken on the date established. The bidder accepts that, if the CSIR extends the deadline for bid submission (the Closing Date) for any reason, the requirements of this bid otherwise apply equally to the extended deadline.
- 2.9 Documents submitted via cloud solutions such as: WeTransfer, Google Drive, Dropbox, etc. will not be considered.
- 2.10 The naming / labelling syntax of files or documents must be short and simple.
- 2.11 The CSIR will award the contract to qualified bidder(s)' whose proposal is determined to be the most advantageous to the CSIR, taking into consideration the technical (functional) solution, price, specific goals and objective criteria.

### **3 COUNTER CONDITIONS**

Bidders' attention is drawn to the fact that amendments to any of the RFP Conditions or setting of counter conditions by Bidders or qualifying any RFP Conditions will result in the invalidation of such bids.

### **4 FRONTING**

- 4.1 Government supports the spirit of broad based black economic empowerment and recognizes that real empowerment can only be achieved through individuals and businesses conducting themselves in accordance with the Constitution and in an honest, fair, equitable, transparent and legally compliant manner. Against this background the Government condemn any form of fronting.

- 4.2 The Government, in ensuring that Bidders conduct themselves in an honest manner will, as part of the RFP evaluation processes, conduct or initiate the necessary enquiries/investigations to determine the accuracy of the representation made in bid documents. Should any of the fronting indicators as contained in the Guidelines on Complex Structures and Transactions and Fronting, issued by the Department of Trade and Industry, be established during such enquiry / investigation, the onus will be on the Bidder / contractor to prove that fronting does not exist. Failure to do so within a period of 14 days from date of notification may invalidate the bid / contract and may also result in the restriction of the Bidder /contractor to conduct business with the public sector for a period not exceeding ten years, in addition to any other remedies the CSIR may have against the Bidder / contractor concerned.

## 5 PRICING PROPOSAL

- 5.1 Pricing must be provided in South African Rand (including all applicable taxes less all unconditional discounts).
- 5.2 Prices that are subject to escalation and exchange rate fluctuations are to be clearly indicated, with the currency and ROE used in the quotation must be clearly indicated.
- 5.3 Price should include additional cost elements such as travel cost, freight, insurance until acceptance, duty where applicable, etc.
- 5.4 Payment will be according to the [CSIR Payment Terms and Conditions](#).
- 5.5 Please provide a detail pricing using a Pricing Schedule/Bill of Quantities outlined under **Annexure D. Pricing must strictly be in accordance with the Pricing Schedule.**

## 6 APPOINTMENT OF SERVICE PROVIDER

- 6.1 The contract will be awarded to the bidder who scores the highest total number of points during the evaluation process, except where the law permits otherwise.
- 6.2 Appointment as a successful service provider shall be subject to the parties agreeing to mutually acceptable contractual terms and conditions. In the event of the parties failing to reach such agreement, CSIR reserves the right to appoint an alternative supplier.

- 6.3 Awarding of contracts will be published on the same platform where the bid was published, and no regret letters will be sent to unsuccessful bidders.

## **7 ENQUIRIES AND CONTACT WITH THE CSIR**

Any enquiry regarding this RFP shall be submitted in writing to CSIR to the email and format outlined in the table on cover page of this RFP document.

Any other contact with CSIR personnel involved in this tender is not permitted during the RFP process other than as required through existing service arrangements or as requested by the CSIR as part of the RFP process.

## **8 MEDIUM OF COMMUNICATION**

All documentation submitted in response to this RFP must be in English.

## **9 CORRECTNESS OF RESPONSES**

- 9.1 The bidder must confirm satisfaction regarding the correctness and validity of their proposal and that all prices and rates quoted cover all the work/items specified in the RFP. The prices and rates quoted must cover all obligations under any resulting contract.
- 9.2 The bidder accepts that any mistakes regarding prices and calculations will be at their own risk.

## **10 VERIFICATION OF DOCUMENTS**

- 10.1 Bidders should check the numbers of the pages to satisfy themselves that none is missing or duplicated. No liability will be accepted by the CSIR in regard to anything arising from the fact that pages are missing or duplicated.
- 10.2 Pricing schedule and specific goals credentials should be submitted with the proposal, but as a separate document and no such information should be available in the technical proposal.

## **11 RESPONSIBILITY FOR SUB-CONTRACTORS AND BIDDER'S PERSONNEL**

A bidder is responsible for ensuring that its personnel (including agents, officers, directors, employees, advisors and other representatives), its sub-contractors (if any) and personnel of its sub-contractors comply with all terms and conditions of this bid. In the event that the CSIR allows a bidder to make use of sub-contractors, such sub-contractors will at all times remain the responsibility of the bidder and the CSIR will not under any circumstances be liable for any losses or damages incurred by or caused by such sub-contractors.

## **12 ADDITIONAL TERMS AND CONDITIONS**

12.1 A bidder shall not assume that information and/or documents supplied to CSIR, at any time prior to this request, are still available to CSIR, and shall consequently not make any reference to such information document in its response to this request.

12.2 Copies of any affiliations, memberships and/or accreditations that support your submission must be included in the tender.

12.3 In case of proposal/s from a joint venture, the following must be submitted together with the proposal/s:

- A joint venture agreement signed by both parties clearly indication the lead partner, including split of work;
- Copy of a valid certificate or consolidated B-BBEE score card;
- The Tax Compliance Status (TCS) or CSD Report of each joint venture partner;
- Proof of ownership/shareholder certificates/copies; and
- Company registration certificate/s.

12.4 An omission to disclose material information, a factual inaccuracy, and/or a misrepresentation of fact may result in the disqualification of a tender, or cancellation of any subsequent contract.

12.5 No goods and/or services should be delivered to the CSIR without an official CSIR Purchase order or signed supplier agreement. The CSIR purchase order number must be

quoted on the invoice. Invoices without CSIR purchase order numbers will be returned to supplier.

- 12.6 Failure to comply with any of the terms and conditions as set out in this document will invalidate the Proposal.

### **13 SPECIAL CONDITIONS**

The CSIR reserves the right to:

- 13.1 Extend the closing date of this RFP;
- 13.2 Correct any mistakes before closing date and time of the tender that may have been in the Bid documents or occurred at any stage of the tender process;
- 13.3 Verify any information contained in the bidder's submission;
- 13.4 Request documentary proof regarding the bidder's submission;
- 13.5 Carry out site inspections, product evaluations or explanatory meetings in order to verify the nature and quality of the product/service offered by the bidder(s) or verify any information whether before or after the adjudication of this RFP;
- 13.6 Award this tender to a bidder that did not score the highest total number of points, only in accordance with Section 2(1)(f) of the PPPFA (Act 5 of 2000);
- 13.7 Request audited financial statements or other documents for the purpose of a due diligence exercise to determine if the bidder will be able to execute the contract;
- 13.8 Award this RFP as a whole or in part;
- 13.9 Award this RFP to multiple bidders;
- 13.10 Cancel and/or terminate the tender process at any stage, including after the Closing Date and/or after presentations have been made, and/or after tenders have been evaluated and/or after the preferred bidder(s) have been notified of their status as such;

13.11 Post tender negotiate on any elements on the bid, including but not limited to technical, transformation, price, and contractual terms and conditions.;

13.12 Not to award a contract to a bidder who is associated with a security breach that materially adversely affects other entities or if any directors or officers of a bidder are formally charged of fraudulent or illegal conduct which, would harm the CSIR's reputation by its continued association with the bidder.

#### **14 CONFLICT OF INTEREST, CORRUPTION AND FRAUD**

14.1 The CSIR reserves its right to disqualify any bidder who either itself or any of whose members (save for such members who hold a minority interest in the bidder through shares listed on any recognised stock exchange), indirect members (being any person or entity who indirectly holds at least a 15% interest in the bidder other than in the context of shares listed on a recognised stock exchange), directors or members of senior management, whether in respect of CSIR or any other government organ or entity and whether from the Republic of South Africa or otherwise ("Government Entity")

- a. engages in any collusive tendering, anti-competitive conduct, or any other similar conduct, including but not limited to any collusion with any other bidder in respect of the subject matter of this bid;
- b. seeks any assistance, other than assistance officially provided by a Government Entity, from any employee, advisor or other representative of a Government Entity in order to obtain any unlawful advantage in relation to procurement or services provided or to be provided to a Government Entity;
- c. makes or offers any gift, gratuity, anything of any value or other inducement, to any Government Entity's officers, directors, employees, advisors or other representatives in order to obtain any unlawful advantage in relation to procurement or services provided or to be provided to a Government Entity;
- d. accepts anything of value or an inducement that would or may provide financial gain, advantage or benefit in relation to procurement or services provided or to be provided to a Government Entity;

- e. pays or agrees to pay to any person any fee, commission, percentage, brokerage fee, gift or any other consideration, that is contingent upon or results from, the award of any tender, contract, right or entitlement which is in any way related to procurement or the rendering of any services to a Government Entity;
- f. has in the past engaged in any matter referred to above; or
- g. has been found guilty in a court of law on charges of fraud and/or forgery, regardless of whether or not a prison term was imposed and despite such bidder, member or director's name not specifically appearing on the List of Tender Defaulters kept at National Treasury.

## **15 MISREPRESENTATION DURING THE LIFECYCLE OF THE CONTRACT**

- 15.1 The bidder should note that the terms of its Tender will be incorporated in the proposed contract by reference and that the CSIR relies upon the bidder's Tender as a material representation in making an award to a successful bidder and in concluding an agreement with the bidder.
- 15.2 It follows therefore that misrepresentations in a Tender may give rise to service termination and a claim by the CSIR against the bidder notwithstanding the conclusion of the Service Level Agreement between the CSIR and the bidder for the provision of the Service in question. In the event of a conflict between the bidder's proposal and the Service Level Agreement concluded between the parties, the Service Level Agreement will prevail.

## **16 PREPARATION COSTS AND LIMITATION OF LIABILITY**

The Bidder will bear all its costs in preparing, submitting and presenting any response or Tender to this bid and all other costs incurred by it throughout the bid process. Furthermore, no statement in this bid will be construed as placing the CSIR, its employees or agents under any obligation whatsoever, including in respect of costs, expenses or losses incurred by the bidder(s) in the preparation of their response to this bid.

A bidder participates in this bid process entirely at its own risk and cost. The CSIR shall not be liable to compensate a bidder on any grounds whatsoever for any costs incurred or any damages suffered as a result of the Bidder's participation in this Bid process.

**17 INDEMNITY**

If a bidder breaches the conditions of this bid and, as a result of that breach, the CSIR incurs costs or damages (including, without limitation, the cost of any investigations, procedural impairment, repetition of all or part of the bid process and/or enforcement of intellectual property rights or confidentiality obligations), then the bidder indemnifies and holds the CSIR harmless from any and all such costs which the CSIR may incur and for any damages or losses the CSIR may suffer.

**18 PRECEDENCE**

This document will prevail over any information provided during any briefing session whether oral or written, unless such written information provided, expressly amends this document by reference.

**19 TAX COMPLIANCE**

No tender shall be awarded to a bidder who is not tax compliant. If a recommended bidder is not tax compliant, the bidder will be notified in writing of their non-compliant status and the bidder will be requested to submit written proof from SARS of their tax compliant status or proof that they have made an arrangement to meet their outstanding tax obligations within seven (7) working days. Should they fail to do so CSIR will reject their bid.

The CSIR reserves the right to withdraw an award made, or cancel a contract concluded with a successful bidder in the event that it is established that such bidder was in fact not tax compliant at the time of the award or has submitted a fraudulent Tax Clearance Certificate to the CSIR, or whose verification against the Central Supplier Database (CSD) proves non-compliant. The CSIR further reserves the right to cancel a contract with a

successful bidder in the event that such bidder does not remain tax compliant for the full term of the contract.

## **20 TENDER DEFAULTERS AND RESTRICTED SUPPLIERS**

No tender shall be awarded to a bidder whose name (or any of its members, directors, partners or trustees) appear on the Register of Tender Defaulters kept by National Treasury, or who have been placed on National Treasury's List of Restricted Suppliers. The CSIR reserves the right to withdraw an award, or cancel a contract concluded with a Bidder should it be established, at any time, that a bidder has been blacklisted with National Treasury by another government institution.

## **21 GOVERNING LAW**

South African law governs this bid and the bid response process. The bidder agrees to submit to the exclusive jurisdiction of the South African courts in any dispute of any kind that may arise out of or in connection with the subject matter of this bid, the bid itself and all processes associated with the bid.

## **22 CONFIDENTIALITY**

Except as may be required by operation of law, by a court or by a regulatory authority having appropriate jurisdiction, no information contained in or relating to this bid or a bidder's tender(s) will be disclosed by any bidder or other person not officially involved with the CSIR's examination and evaluation of a Tender.

No part of the bid may be distributed, reproduced, stored or transmitted, in any form or by any means, electronic, photocopying, recording or otherwise, in whole or in part except for the purpose of preparing a Tender. This bid and any other documents supplied by the CSIR remain proprietary to the CSIR and must be promptly returned to the CSIR upon request together with all copies, electronic versions, excerpts or summaries thereof or work derived there from.

Throughout this bid process and thereafter, bidder(s) must secure the CSIR's written approval prior to the release of any information that pertains to (i) the potential work or

activities to which this bid relates; or (ii) the process which follows this bid. Failure to adhere to this requirement may result in disqualification from the bid process and civil action.

## **23 AVAILABILITY OF FUNDS**

Should funds no longer be available to pay for the execution of the responsibilities of this bid, the CSIR may terminate the Agreement at its own discretion or temporarily suspend all or part of the services by notice to the successful bidder who shall immediately make arrangements to stop the performance of the services and minimize further expenditure: Provided that the successful bidder shall thereupon be entitled to payment in full for the services delivered, up to the date of cancellation or suspension.

## **24 PERSONAL INFORMATION**

- 24.1 Each Party consents to the other Party holding and processing “personal information” (as defined in the POPI Act) relating to it for legal, personnel, administrative and management purposes (including, if applicable, any “special personal information” relating to him/her, as defined in the POPI Act). Notwithstanding the generality of the aforesaid, each Party hereby undertakes to comply with all relevant provisions of the POPI Act and any other applicable data protection laws. The bidder further agrees to comply with all CSIR’s reasonable internal governance requirements pertaining to data protection.
- 24.2 Each Party consents to the other Party making such information available to those who provide products or services to such parties (such as advisers, regulatory authorities, governmental or quasi-governmental organisations and potential purchasers of such Party or any part of their business).
- 24.3 While performing any activity where a Party is handling personal information as a “responsible party” (as defined in the POPI Act), each Party undertakes that it will process the personal information strictly in accordance with the terms of the POPI Act, this

Contract, and the other Party's instructions from time to time, and take appropriate operational measures to safeguard the data against any unauthorised access.

24.4 Each Party acknowledges that in the course of conducting business with each other, each Party intends to maintain and process personal information about the other Party in an internal database. By signing this Contract, each Party consents to the maintenance and processing of such personal information.

Where relevant, the bidder shall procure that all of its personnel, agents, representatives, contractors, sub-contractors and mandataries shall comply with the provisions of this clause 30 (Personal Information). The CSIR shall be entitled on reasonable notice to conduct an inspection or audit bidders compliance with the requisite POPI Act safeguards.

## **25 DISCLAIMER**

This RFP is a request for proposals only and not an offer document. Answers to this RFP must not be construed as acceptance of an offer or imply the existence of a contract between the parties. By submission of its proposal, bidders shall be deemed to have satisfied themselves with and to have accepted all Terms & Conditions of this RFP. The CSIR makes no representation, warranty, assurance, guarantee or endorsements to bidder concerning the RFP, whether with regard to its accuracy, completeness or otherwise and the CSIR shall have no liability towards the bidder or any other party in connection therewith.

## SECTION B

### EVALUATION METHODOLOGY

#### 26 TERMS OF REFERENCE

This RFP is for the provision of professional services to develop and compile a Full-Scale Project Proposal and Plan for Mercury Mitigation in Non-Ferrous Metal Smelting Facilities in South Africa (Copper, Lead, Zinc, Industrial Gold) as part of the Global Elimination of Mercury in Non-ferrous Metals Production (GEMINI) – South Africa Project. The service offering must include all requirements as set out in **Annexure B**.

#### 27 EVALUATION CRITERIA

The CSIR has set minimum standards that a bidder needs to meet in order to be evaluated and selected as a successful bidder. The minimum standards consist of the following:

Elimination Criteria (Phase 1)	Technical Evaluation Criteria (Phase 2)	Price and Preference Points Evaluation (Phase 3)	Objective Criteria
Only bidders that comply with ALL the criteria set on paragraph 27.1 on <b>Phase 1</b> below will proceed to Technical/Functional Evaluation (Phase 2).	Bidder(s) are required to achieve a predetermined minimum threshold on each of the individual criteria, and a predetermined minimum threshold on 100 points overall. Only bidder (s) who met and/or exceeded the minimum threshold points on <b>Phase 2</b> below will proceed to Price and Preference Points Evaluation. (Phase 3)	Bidder(s) will be evaluated out of <b>100 points</b> i.e. <b>80 points</b> for Price and <b>20 points</b> for Preference Points.	The CSIR reserves the right to award this tender to a bidder that did not score the highest total number of points in accordance with Section (2) (1) (f) of the PPPFA (Act 5 of 2000).

##### 27.1 Elimination Criteria (Phase 1)

Proposals will be eliminated under the following conditions:

- Bidder that submitted late bids will not be considered.
- Bidder that submitted to the incorrect location or email address will not be considered (Only electronic submission to [tender@csir.co.za](mailto:tender@csir.co.za) would be considered).
- Bidder that is listed on the NT database of restricted suppliers will not be considered.

- Bidder that is registered on the NT Register of Tender Defaulters will not be considered.
- Bidder that did not submit mandatory returnable documents as listed on **Annexure E: Proposal Form and List of Returnable Documents (Mandatory Returnable Documents Table)**.

## 27.2 Technical Evaluation Criteria (Phase 2)

The evaluation of the functional / technical detail of the proposal will be based on the following criteria:

No	ELEMENT	WEIGHT
1	Project Team Technical Expertise and Qualifications	30
2	Quality of the Proposal and Experience with compiling full scale project proposals with budgets for donor funded projects	20
3	Proposed Project Plan and Methodology	25
4	Experience with GEF or Similar Projects Compilation Expertise or similar internationally funded projects	25
<b>TOTAL (%)</b>		<b>100</b>

Proposals with functionality / technical points of less than the pre-determined minimum overall percentage of **70%** and less than **50%** on each of the individual criteria will be eliminated from further evaluation on Price and Preference Points Evaluation.

Refer to **Annexure C (Technical Evaluation Matrix/Rubrics)** for the scoring ranges/rubrics that will be used to evaluate functionality.

## 27.3 Price and Preference Points Evaluation (Phase 3)

Only Bidders that have met meet minimum thresholds on Technical/functional Evaluation will be evaluated for price and preference points. Price and Preference Points will be evaluated as per **Annexure G: Preference Points Award Form**.

## 28 OBJECTIVE CRITERIA

The CSIR reserves the right to award this tender to a bidder that did not score the highest total number of points in accordance with Section (2) (1) (f) of the PPPFA (Act 5 of 2000)", under the following conditions:

- The directors, shareholders or officers of the bidder must not be formally charged of fraudulent or illegal conduct which could harm the CSIR's reputation by associating with the bidder.

## **29 NATIONAL TREASURY CENTRAL SUPPLIER DATABASE (CSD) REGISTRATION**

Respondents are required to self-register on National Treasury's Central Supplier Database (CSD) which has been established to centrally administer supplier information for all organs of state and facilitate the verification of certain key supplier information. Business may not be awarded to a Respondent who has failed to register on the CSD. Only foreign suppliers with no local registered entity need not register on the CSD. In order to enable the CSIR to verify information on the CSD, Respondents are required to provide the unique registration reference number.

Before any negotiations will start with the winning bidder it will be required from the winning bidder to:

- be registered on National Treasury's Central Supplier Database (CSD). Registrations can be completed online at: [www.csd.gov.za](http://www.csd.gov.za);
- provide the CSIR of their CSD registration number.

## Annexure A

## Standard Bidding Document (SBD) 1

## PART A: INVITATION TO BID

YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF THE CSIR					
BID NUMBER:	RFP No. 1218/13/03/2026	CLOSING DATE:	13 March 2026	CLOSING TIME:	16h30
DESCRIPTION	<b>Request for Proposals (RFP) for the Provision of the professional services to develop and compile a Full-Scale Project Proposal and Plan for Mercury Mitigation in Non-Ferrous Metal Smelting Facilities in South Africa (Copper, Lead, Zinc, Industrial Gold) as part of the Global Elimination of Mercury in Non-ferrous Metals Production (GEMINI) – South Africa Project</b>				
The CSIR requires that all tender submissions be submitted electronically to <a href="mailto:tender@csir.co.za">tender@csir.co.za</a> . Should tender file size exceed 25MB, bidders submit tender in multiple emails. Use the tender number <b>RFP No. 1218/13/03/2026</b> and description of the tender as the subject on your email.					
<b>BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO</b>			<b>TECHNICAL ENQUIRIES MAY BE DIRECTED TO:</b>		
CONTACT PERSON			CONTACT PERSON		
TELEPHONE NUMBER			TELEPHONE NUMBER		
FACSIMILE NUMBER			FACSIMILE NUMBER		
E-MAIL ADDRESS	<a href="mailto:tender@csir.co.za">tender@csir.co.za</a>		E-MAIL ADDRESS	<a href="mailto:tender@csir.co.za">tender@csir.co.za</a>	
SUPPLIER INFORMATION					
NAME OF BIDDER					
POSTAL ADDRESS					
STREET ADDRESS					
TELEPHONE NUMBER	CODE		NUMBER		
CELLPHONE NUMBER					
FACSIMILE NUMBER	CODE		NUMBER		
E-MAIL ADDRESS					
VAT REGISTRATION NUMBER					
SUPPLIER COMPLIANCE STATUS	TAX COMPLIANCE SYSTEM PIN:		OR	CENTRAL SUPPLIER DATABASE No:	MAAA
<b>1</b> ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES ENCLOSE PROOF]		<b>2</b> ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES, ANSWER THE QUESTIONNAIRE BELOW]	
QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS					

IS THE ENTITY A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?  YES  
 NO

DOES THE ENTITY HAVE A BRANCH IN THE RSA?  YES  NO

DOES THE ENTITY HAVE A PERMANENT ESTABLISHMENT IN THE RSA?  YES  NO

DOES THE ENTITY HAVE ANY SOURCE OF INCOME IN THE RSA?  YES  NO

IS THE ENTITY LIABLE IN THE RSA FOR ANY FORM OF TAXATION?  YES  NO

**IF THE ANSWER IS “NO” TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER FOR A TAX COMPLIANCE STATUS SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 2.3 BELOW.**

**PART B: TERMS AND CONDITIONS FOR BIDDING**

**1. BID SUBMISSION:**

- 1.1. BIDS MUST BE DELIVERED BY THE STIPULATED TIME TO THE CORRECT ADDRESS. LATE BIDS WILL NOT BE ACCEPTED FOR CONSIDERATION.
- 1.2. **ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED–(NOT TO BE RE-TYPED) OR IN THE MANNER PRESCRIBED IN THE BID DOCUMENT.**
- 1.3. THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT, 2000 AND THE PREFERENTIAL PROCUREMENT REGULATIONS, 2022, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER SPECIAL CONDITIONS OF CONTRACT.
- 1.4. **THE SUCCESSFUL BIDDER WILL BE REQUIRED TO FILL IN AND SIGN A WRITTEN CONTRACT FORM (SBD7).**

**2. TAX COMPLIANCE REQUIREMENTS**

- 2.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.
- 2.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER’S PROFILE AND TAX STATUS.
- 2.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE WWW.SARS.GOV.ZA.
- 2.4 BIDDERS MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE BID.
- 2.5 IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER.
- 2.6 WHERE NO TCS PIN IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.
- 2.7 NO BIDS WILL BE CONSIDERED FROM PERSONS IN THE SERVICE OF THE STATE, COMPANIES WITH DIRECTORS WHO ARE PERSONS IN THE SERVICE OF THE STATE, OR CLOSE CORPORATIONS WITH MEMBERS PERSONS IN THE SERVICE OF THE STATE.”

**NB: FAILURE TO PROVIDE / OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.**

SIGNATURE OF BIDDER: .....

CAPACITY UNDER WHICH THIS BID IS SIGNED: .....  
 (Proof of authority must be submitted e.g. company resolution)

DATE: .....

**Annexure B****Scope of Services for the provision of professional services to develop and compile a Full-Scale Project Proposal and Plan for Mercury Mitigation in Non-Ferrous Metal Smelting Facilities in South Africa (Copper, Lead, Zinc, Industrial Gold) as part of the Global Elimination of Mercury in Non-ferrous Metals Production (GEMINI) – South Africa Project to the CSIR**

RFP No. 1218/13/03/2026

**1. INVITATION FOR PROPOSAL**

Proposals are hereby invited for the supply of professional services to develop and compile a Full-Scale Project Proposal and Plan for Mercury Mitigation in Non-Ferrous Metal Smelting Facilities in South Africa (Copper, Lead, Zinc, Industrial Gold) as part of the Global Elimination of Mercury in Non-ferrous Metals Production (GEMINI) – South Africa Project to the CSIR's.

The purpose of the Request for Proposal (RFP) is to obtain capability, pricing and general information on the business of potential Contractors for the CSIR to determine the Contractors most capable of providing the service.

This RFP document details and incorporates, as far as possible, the tasks and responsibilities of the potential bidder required by the CSIR.

This RFP does not constitute an offer to do business with the CSIR, but merely serves as an invitation to bidder(s) to facilitate a requirements-based decision process.

Responses to this Request for Proposal (RFP) (hereinafter referred to as a Bid or a Proposal) are requested from suitably qualified entities (hereinafter referred to as a Respondent or Bidder) for the provision of professional services to develop and compile a Full-Scale Project Proposal and Plan for Mercury Mitigation in Non-Ferrous Metal Smelting Facilities in South Africa (Copper, Lead, Zinc, Industrial Gold) as part of the Global Elimination of Mercury in Non-ferrous Metals Production (GEMINI) – South Africa Project

## 2. PROPOSAL REQUIREMENTS

All proposals are to be submitted in a format specified in this enquiry. However, bidders are welcome to submit additional / alternative proposals over and above the originally specified format.

### 2.1. Technical Proposal

The following must be submitted as part of the **technical** proposal:

- a. Company profile
- b. Detailed CVs for the Project Lead Team members
- c. Detailed Technical Proposal with Project Plan and Methodology

### 2.2. Financial Proposal:

The following must be submitted as part of the **financial** proposal:

- Cover Letter
- Completed Pricing Schedule (**Annexure D**) on official company letterhead.
- CSD registration report (RSA suppliers only)

## 3. PROPOSAL SPECIFICATION

### 3.1. Introduction and Background

The **GEMINI** project is a transformative initiative aimed at promoting mercury-free production and supply of nonferrous metals. Its ambition is to encourage the adoption of safe and sustainable practices through the sharing of scientific knowledge, policies and standards, practical solutions and demonstrative case studies, and enhanced financial instruments.

Additionally, the program prioritizes environmental protection, economic development, knowledge dissemination, and gender-inclusive development, ensuring a comprehensive, gender-mainstreamed approach to achieving long-term global sustainability in nonferrous metal production. The GEMINI project will significantly benefit the private sector and local industry by sharing sustainable practices, technologies, and knowledge, particularly regarding mercury speciation and treatment in emissions and releases.

The focus on mercury emissions from nonferrous metal production in the Global South arises from the growing demand for these metals in industries such as automotive, aerospace, healthcare, and construction. Key metals like zinc, large-scale gold, copper, and lead contribute approximately 43%, 26%, 15%, and 10% of global mercury emissions, respectively. Currently, the Global South accounts for two-thirds of mercury emissions despite representing only one-fifth of the global economy. Projections indicate that mercury emissions from nonferrous metallurgy could rise by 10% to 50% by 2030, necessitating urgent emission reduction efforts in these regions. Technological advancements in these areas can significantly lower mercury emissions, and further research into improving mineral processing should also be prioritized. The main global environmental benefit (GEB) of the GEMINI Program corresponds to Chemicals of global concern, namely Mercury and their waste reduction. A number of core sectors are currently being targeted including Industrial Gold, Lead, Zinc, Aluminium, Copper and PGMs. These sectors are informed by the mercury emissions profiles estimated in the South African Minamata Initial Assessment Report of 2021 (report available on the South African Air Quality Information System).

The GEMINI Program aims to promote a mercury-free production and supply of nonferrous metals while disseminating knowledge to facilitate the transition to mercury-free, safe alternatives and responsible practices in the nonferrous metal production industry. This is a GEF Funded programme, through which, the Government of South Africa will be assisted to meet their obligations under the Minamata Convention.

### 3.2. Project Objectives

The objective of the GLOBAL ELIMINATION OF MERCURY IN NON-FERROUS METALS INITIATIVE in South Africa – **GEMINI SA** Project is to:

- Help industries and countries meet their environmental commitments on the Minamata Convention on Mercury.
- Provide mercury free supply of nonferrous metals, specifically by reducing mercury emissions produced during non-ferrous metals production.
- Facilitate the implementation of innovative practices and demonstrative technologies supported by a collaborative approach and knowledge sharing to minimize harmful environmental impacts and support local responsible economies.

The project activities will specifically target the Industrial Gold, Zinc, Lead and Copper nonferrous metals industries and include extensive stakeholder collaborations including financial institutions, sector associations and various industry participants to leverage co-financing and support to the project.

### 3.3. Scope of works

The appointed service provider will be working closely with the Department of Forestry, Fisheries, and the Environment (DFFE) and National Cleaner Production Centre South Africa (NCPC), and it shall carry out all the work required to generate the following outputs that will result in the development of a Full Scale Project Proposal and Project Plan including Budget and Resource requirements that will be submitted as part of a GEF CEO Endorsement Document for review and approval by the Global Environment Facility (GEF). **It is anticipated that all outputs will be conducted and completed within 6 weeks of commencement.** A framework for the proposed GEF CEO Endorsement Document template has been provided and will guide the bidder in preparation of the document detail (GEF Request for FSP CHILD and MSP CHILD CEO Endorsement/Approval Framework Document - Annexure K). The immediate outputs of the project are framed as detailed in the subsequent sections:

- Output 1. Full-Scale Project Proposal and Budget (GEF Request for FSP CHILD and MSP CHILD CEO Endorsement / Approval) Report
- Output 2. Project Proposal and Financial Annexures Package
- Output 3. Supporting Project Documentation Appendices Package
- Output 4. Consolidated CEO Endorsement / Approval Report
- Output 1: Full-Scale Project Proposal and Budget (GEF Request for FSP CHILD and MSP CHILD CEO Endorsement / Approval) Report**

#### Task 1.1: Project Information

The bidder will be required to provide a summary of the proposed project. This should include a brief description of what is the problem to be addressed; what is the project's objective; how is this objective to be achieved; and what are expected outcomes i.e. Global Environmental Benefits (GEBs) and/or adaptation benefits. The summary must also be explicit about the project's location, and the sectors it covers. If the project is intended to be transformative, or innovative, briefly explain how this ambition will be achieved, and how barriers or enablers will be addressed.

Based on the Theory of Change, define a concise project objective that is measurable and can be achieved by completion. It should refer to outcomes that can realistically be achieved given the project duration, resources and approach and include costing of the respective components of the project as detailed in the GEF Request for FSP CHILD and MSP CHILD CEO Endorsement/Approval Framework Document (Annexure K).

This bidder will also outline M&E activities required for monitoring of project progress and performance including the cost as prescribed by the GEF Guidelines on the Project and Program Cycle Policy ([GEF/C.59/Inf.03](#)).

## **Task 1.2: Child Project Outline**

### ***Project Rationale***

The Bidder will describe the current situation i.e. the global environmental problems and/or climate vulnerabilities that the project will address, the key elements of the system, and underlying drivers of environmental change in the project context, such as population growth, economic development, climate change, sociocultural and political factors, including conflicts, or technological changes. Further detail provided in Annexure K

### ***CHILD Project Description***

This section asks for a theory of change as part of a joined-up description of the project as a whole. The project description is expected to cover the key elements of good project design in an integrated way. It is also expected to meet the GEF's policy requirements on gender, stakeholders, private sector, and knowledge management and learning (See section 2.4 of GEF Request for FSP CHILD and MSP CHILD CEO Endorsement/Approval Framework Document - Annexure K). Additional elements to be included but not limited to are:

- i. Outline of how the project would ensure that expected outcomes are enduring and resilient to possible future changes in the drivers.
- ii. Description of the child project components (interventions and activities) identified in the theory of change. Provide brief information on each intervention, the main thrust and basis (including scientific) of the proposed solutions, how they address the problem, and the critical assumptions and risks to achieving them.
- iii. Explain how the child project will generate global environmental benefits and/or adaptation benefits which would not have accrued without the GEF project (additionality).

- iv. Indicate how relevant stakeholders will contribute to implementing the child project, their respective roles. How will these stakeholders benefit from the project to ensure that the global environmental benefits and/or adaptation benefits will be enduring (co-benefits) using the provided stakeholder mapping and engagement plans.
- v. Explain how the child project will benefit from existing knowledge, how it will generate new knowledge, how that knowledge will be captured, stored and shared to benefit project stakeholders and benefit future projects.
- vi. Explain how this child project will improve or develop national policies and strategies, including an improved alignment of existing policies (policy coherence).
- vii. Will the child project success depend on enhancing human, institutional and technical capacities on national and/ or local level and how will this be addressed (via GEF or co-finance)?
- viii. Attach the GEF budget table showing the component budget over time and make sure the Monitoring and Evaluation (M&E) costs and Project Management Costs (PMC) are included.
- ix. If the child project is specifically intended to be transformative, or innovative, explain how scaling up could be achieved.

*Institutional arrangement and coordination with ongoing initiatives and Project*

The bidder will outline the Institutional Arrangements for the execution of this child project, including financial management and procurement. If possible, please include a summary of the flow of funds (diagram), accountabilities for project management and financial reporting (organogram), including audit, and staffing plans.

*Project core indicators*

This section will outline the expected results in each relevant indicator using methodologies indicated in the GEF Results Measurement Framework Guidelines. Further detail provided in Annexure K

*Risk to achieving project outcomes*

Provide a Summary of risks that might affect the achievement of project outcomes and the mitigation measures which are planned or already undertaken to address these. The risk rating should reflect the residual risk to achieving project outcomes after considering the

implementation of mitigation measures. Further detail is provided in Annexure ?? on the risk scales and rankings to be applied.

### ***Alignment with GEF Programming Strategies and Country / Regional Priorities***

This section explains how the proposed interventions are aligned with GEF- 8 programming strategies and country and regional priorities, including how these country strategies and plans relate to the multilateral environmental agreements. Inputs should identify if any country policies contradict with intended outcomes, and how the project will address this.

### ***Policy Requirements***

#### ***Gender Equality and Women Empowerment***

The Bidder is required to review any documentation developed on gender equality and women empowerment and verify dimensions relevant to the project have been addressed during Project Preparation as per GEF Policy, and are clearly articulated in the Child Project Description (Section B). Further detail provided in Annexure K

#### ***Stakeholder Engagement***

The Bidder is required to consolidate and report any documentation developed pertaining to stakeholder engagement and mapping and verify that key stakeholders were consulted during Project Preparation as required per GEF policy, their relevant roles to project outcomes have been clearly articulated in the Child Project Description (Section B) and that a Stakeholder Engagement Plan (not part of this scope of work) has been developed before CEO endorsement. The Bidder will facilitate uploading of relevant supporting documentation onto the GEF portal.

#### ***Private Sector***

The Bidder is required to consolidate and report any documentation developed pertaining to private sector engagement and verify any roles have been clearly articulated in the Child Project Description (Section B).

#### ***Environmental and Social Safeguards***

The Bidder is required to consolidate and report any information regarding Environmental and Social risks associated with the proposed child project or program, including risk screenings/ assessments and, if applicable, management plans or other measures to address identified risks and impacts. The Bidder inputs must also include an overall Project Risk Classification. Further detail is provided in Annexure K

**Other Requirements***Knowledge Management*

This section requires confirmation by the Bidder that knowledge Management and Learning has been clearly articulated in the Project Description and that these activities have been budgeted and an anticipated timeline for delivery of relevant outputs has been provided. Further detail available in Annexure K

*Socio Economic Benefits*

This section requires confirmation by the Bidder that socio-economic benefits have been considered and clearly articulated in the Project Description and will be monitored and reported on during project implementation at Mid Term Review (MTR) and in the final Terminal Evaluation Report (TER).

**Output 2: Project Proposal and Financial Annexures Package**

This output requires compilation and population of the relevant project proposal annexures as detailed below, using the prescribed GEF templates and formats done in consultation with the NCPC, DFFE and UNEP.

**Task 2.1. ANNEX A: FINANCING TABLES**

The bidder will be required to compile the relevant financial details and co-funding commitments into the prescribed templates provided by UNEP and the NCPC. This work will be required to be done in consultation with the NCPC, DFFE and UNEP including the below listed Annexures A1 – A5. Further details on the tables and formats are provided in Annexure K

- A1. GEF Financing Table
- A2. Project Preparation Grant
- A3. Sources of Funds for Country STAR Allocation
- A4. Focal Area Elements
- A5. Confirmed Co-Financing for project, by name and type

**Task 2.2. ANNEX B: ENDORSEMENTS**

The Bidder with the assistance of the NCPC and the DFFE (Operational Focal Point) will attach the endorsement letter(s) in this Annexure. These documents will be made available through the NCPC and DFFE.

- B1. Compilation Letters of Endorsement

**Task 2.3. ANNEX C: PROJECT RESULTS FRAMEWORK**

The Bidder shall compile a project results and M&E framework from the Implementing and Executing Agency provided documentation. The project results framework should make explicit references to Core and Sub-indicators used, and indicate unit of measure, baseline and target data as available. The M&E framework should include definition, frequency of data collection, data source, methodology and responsibility for data collection for indicators.

**Task 2.4. ANNEX D: STATUS OF UTILISATION OF PROJECT PREPARATION GRANT (PPG)**

The Bidder shall compile an itemised statement of the PPG funds utilisation extracted from the NCPC provided project information as per categories listed in the GEF guidelines, copies to be provided by UNEP and NCPC.

**Task 2.5. ANNEX E: PROJECT MAP AND COORDINATES**

The Bidder will extract geo-referenced information and mapping of the project smelter operations and report these as Annexure E. Further details of the of the required information are provided in Annexure K

**Task 2.6. ANNEX F: ENVIRONMENTAL AND SOCIAL SAFEGUARDS DOCUMENTS INCLUDING RATINGS**

The Bidder will prepare and insert agency safeguard datasheet/assessment report(s), including ratings of risk types and overall project/program risk classification as well as any management plans or measures to address identified risks and impacts (as applicable).

**Task 2.7. ANNEX G: BUDGET TABLE**

The Bidder will compile a Budget in collaboration with the NCPC, DFFE and UNEP of the activities/services that will be paid with the project's resources, so it is possible to assess the reasonability of using the three sources (project's components, M&E and PMC) to cover these expenses. Provision should also be made for the Knowledge management activities.

**Output 3: Supporting Project Documentation Appendices Package**

The Bidder will be required to develop and incorporate the following appendices into the final endorsement document.

APPENDIX 1: Acronyms and abbreviation

APPENDIX 2: Co-finance letters

APPENDIX 3: Logical framework

APPENDIX 4: Budget and forecast

APPENDIX 5a: Stakeholder engagement plan

APPENDIX 5b: Gender assessment

APPENDIX 5c: Gender action plan

APPENDIX 6: ToR for core project staff

APPENDIX 7: Monitoring and evaluation plan and budget

APPENDIX 8: Implementation arrangements and coordination

APPENDIX 9: Workplan

APPENDIX 10: Procurement plan

APPENDIX 11: Site assessment report (Mercury Baseline Review)

APPENDIX 12: Risk management and mitigation

In the case where inputs are reliant on independent studies or reviews i.e. Baseline review, Co-Financing and Investment commitments etc., such information will be provided by the appointed specialist and shared with the successful bidder.

**Output 4:** Consolidated CEO Endorsement / Approval Report

The Bidder will consolidate the final Endorsement document and facilitate submission for review to UNEP and incorporate the necessary comments and amendments for final submission in April 2026

## Annexure C

## Technical Evaluation Matrix/Rubrics

**The Provision of professional services to develop and compile a Full-Scale Project Proposal and Plan for Mercury Mitigation in Non-Ferrous Metal Smelting Facilities in South Africa (Copper, Lead, Zinc, Industrial Gold) as part of the Global Elimination of Mercury in Non-ferrous Metals Production (GEMINI) – South Africa Project to the CSIR**

**RFP No. 1218/13/03/2026**

**Scoring sheet to be used to evaluate functionality**

<b>Criteria</b>	<b>Score description</b>	<b>Weighting (%)</b>
<p><b>Project Team Technical Expertise and Qualifications</b></p> <p>Project team has appropriate expertise and knowledge pertaining to project coordination and management including formulation of scope, schedule and cost. (Attach team CVs including copies of qualifications)</p>	Project team includes members with engineering degree or equivalent in environmental management or science fields. Includes postgraduate in Project Management and certified project manager as the project lead from a recognised certification body= <b>10 Points</b>	<b>30</b>
	Project team includes members with engineering degree or equivalent in environmental management or science fields. Includes postgraduate in Project Management= <b>7 Points</b>	
	Project Team includes members with undergraduate qualification in engineering degree or equivalent in environmental management or science fields= <b>5 Points</b>	
	Project Team members have no qualification in engineering degree or equivalent= <b>0 Points</b>	
<p><b>Quality of the Proposal and Experience with compiling full scale project proposals with budgets for donor funded projects</b></p> <p>Understanding of Assignment &amp; Methodology – Clearly indicating all CEO package elements and integrating Theory of Change elements into the design</p>	Detailed methodology covering all CEO package elements as per Annexure K Includes provision for UNEP reviews and incorporates comment/response matrices= <b>10 Points</b>	<b>20</b>
	Detailed methodology covering all CEO package elements as per Annexure K = <b>7 Points</b>	
	Summarised methodology covering all CEO package elements as per Annexure K = <b>5 Points</b>	
	No methodology covering all CEO package elements as per Annexure K = <b>0 Points</b>	
<p><b>Proposed Project Plan and Methodology</b></p> <p>Demonstrating work planning and timeline management – Clearly indicating all project milestones, deliverable timeframes.</p>	Project Plan – Clear workplan and Gantt chart with itemised milestones and deliverables. Timeframe aligned to the project objectives including review cycles and risk mitigation= <b>10 Points</b>	<b>25</b>
	Summarised Project Plan – Clear workplan and Gantt chart with itemised milestones and deliverables. Timeframe aligned to the project objectives including review cycles and risk mitigation= <b>7 Points</b>	

	Project Plan includes high-level milestones and deliverables only. Lacks contingency and risk mitigation= <b>5 Points</b>	
	Project Plan – No project milestones and deliverables with a timeframe and budget= <b>0 Points</b>	
<b>Global Environment Facility or Similar Projects Compilation Expertise</b>  Demonstration of exposure to development of GEF or similar internationally funded projects (attach contactable reference letters or list Previous projects done (include Company name & contact details, Scope and Year)	5 or more Completed GEF Endorsement, international or locally funded Project Packages, strong familiarity with GEF or United Nations funded Programme templates and/or portal processes= <b>10 Points</b>	<b>25</b>
	Between 3 and less than 5 Completed GEF Endorsement, international or locally funded Project packages, good familiarity with GEF or United Nations funded Programmes templates and/or portal processes= <b>7 Points</b>	
	Between 1 and less than 3 Completed GEF Endorsement, international or locally funded project packages, familiarity with GEF or United Nations funded programme templates= <b>5 Points</b>	
	No Completed GEF Endorsement or similar international funded project packages= <b>0 Points</b>	
<b>TOTAL</b>		<b>100</b>

## Annexure D

### Pricing Schedule

RFP No. 1218/13/03/2026

Item No	Description of Output	Task	Quantity	Unit Price (VAT Excl.)	Total Price (VAT Excl.)
1	Detailed Workplan and Schedule	Development of detailed Workplan and Schedule	1		
2	Full-Scale Project Proposal and Budget (GEF-8 Request for FSP CHILD and MSP CHILD CEO Endorsement / Approval) Report	Project Proposal and Budget <ul style="list-style-type: none"> <li>• Project Information</li> <li>• Project Rationale</li> <li>• Project Description</li> <li>• GEF alignment</li> <li>• Policy Requirements (Gender, Stakeholder, Pvt Sector, Environmental &amp; Social Safeguards)</li> <li>• Knowledge Management</li> <li>• Socio-Economic Benefits</li> </ul>	1		
3	Project Proposal and Financial Annexures Package	Compilation and population of the relevant project proposal annexures: <ul style="list-style-type: none"> <li>• Finances</li> <li>• Endorsements</li> <li>• Project Results Framework</li> <li>• PPG Funds Utilisation</li> <li>• Project Mapping &amp; Coordinates</li> <li>• Environmental Safeguards</li> <li>• Budget Table</li> </ul>	1		
6	Supporting Project Documentation Appendices Package	Compilation of Supplementary Annexures Status of Utilisation of PPG Funds <ul style="list-style-type: none"> <li>• Acronyms and abbreviation</li> <li>• Co-finance letters</li> <li>• Logical framework</li> <li>• Budget and forecast</li> <li>• Stakeholder engagement plan</li> <li>• Gender assessment &amp; action plan</li> </ul>	1		

		<ul style="list-style-type: none"> <li>• ToR for core project staff</li> <li>• M&amp;E plan and budget</li> <li>• Implementation arrangements</li> <li>• Workplan &amp; Procurement plan</li> <li>• Mercury Baseline Review</li> <li>• Risk management and mitigation</li> </ul>			
7		Consolidation and Review of PPG Endorsement Document	1		
<b>Total Price (VAT Excl.)</b>					
<b>VAT (15%)</b>					
<b>Total Price (VAT Incl.)</b>					

## Annexure E

### Proposal Form and List of Returnable Documents

**The Provision of professional services to develop and compile a Full-Scale Project Proposal and Plan for Mercury Mitigation in Non-Ferrous Metal Smelting Facilities in South Africa (Copper, Lead, Zinc, Industrial Gold) as part of the Global Elimination of Mercury in Non-ferrous Metals Production (GEMINI) – South Africa Project to the CSIR**

**RFP No. 1218/13/03/2026**

I/We \_\_\_\_\_

[name of entity, company, close corporation or partnership] of [full address]

\_\_\_\_\_

\_\_\_\_\_

carrying on business trading/operating as

represented by \_\_\_\_\_ in my capacity as

\_\_\_\_\_

being duly authorised thereto by a Resolution of the Board of Directors or Members or Certificate of Partners, dated \_\_\_\_\_ to enter into, sign execute and complete any documents relating to this proposal and any subsequent Agreement. The following list of persons are hereby authorised to negotiate on behalf of the abovementioned entity, should CSIR decide to enter into Post Tender Negotiations with shortlisted bidder(s).

FULL NAME(S) CAPACITY SIGNATURE

\_\_\_\_\_

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I/We hereby offer to supply the abovementioned Services at the prices quoted in the schedule of prices in accordance with the terms set forth in the documents listed in the accompanying schedule of RFP documents.

I/We agree to be bound by those conditions in CSIR's:

1. General RFP Terms and Conditions; and [CSIR's Purchasing Terms and Conditions](#) or Any other standard or special conditions mentioned and/or embodied in this Request for Proposal.

I/We accept that unless CSIR should otherwise decide and so inform me/us in writing of award/intent, this Proposal [and, if any, its covering letter and any subsequent exchange of correspondence], together with CSIR's acceptance thereof shall constitute a binding contract between CSIR and me/us.

I/We further agree that if, after I/we have been notified of the acceptance of my/our Proposal, I/we fail to enter into a formal contract if called upon to do so, or fail to commence the supply of Services within 4 [four] weeks thereafter, CSIR may, without prejudice to any other legal remedy which it may have, recover from me/us any expense to which it may have been put in calling for Proposals afresh and/or having to accept any less favourable Proposal.

I/We accept that any contract resulting from this offer will be for a period as determined by the CSIR.

Furthermore, I/we agree to a penalty clause/s which will allow CSIR to invoke a penalty against us for non-compliance with material terms of this RFP including the delayed delivery of the Services due to non-performance by ourselves, failure to meet Subcontracting.

I/we agree that non-compliance with any of the material terms of this RFP, including those mentioned above, will constitute a material breach of contract and provide CSIR with cause for cancellation.

**ADDRESS FOR NOTICES**

The law of the Republic of South Africa shall govern any contract created by the acceptance of this RFP. The domicilium citandi et executandi shall be a place in the Republic of South Africa to be specified by the Respondent hereunder, at which all legal documents may be served on the Respondent who shall agree to submit to the jurisdiction of the courts of the Republic of South Africa. Foreign Respondents shall, therefore, state hereunder the name of their authorised representative in the Republic of South Africa who has the power of attorney to sign any contract which may have to be entered into in the event of their Proposal being accepted and to act on their behalf in all matters relating to such contract.

Respondent to indicate the details of its domicilium citandi et executandi hereunder:

Name of Entity:

---

Facsimile: \_\_\_\_\_

Address: \_\_\_\_\_

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**NOTIFICATION OF AWARD OF RFP**

As soon as possible after approval to award the contract(s), the successful Respondent [**the Service provider**] will be informed of the acceptance of its Proposal. Unsuccessful Respondents may be advised in writing of the name of the successful Service provider and the reason as to why their Proposals have been unsuccessful, for example, in the category of price, delivery period, quality, B-BBEE or for any other reason.

**VALIDITY PERIOD**

CSIR requires a validity period of 90 [Ninety calendar Days from closing date] against this RFP.

Bidders are to note that they may be requested to extend the validity period of their bid, at the same terms and conditions, if the internal evaluation process has not been finalised within the validity period. However, once the adjudication body has approved the process and award of the business to the successful bidder(s), the validity of the successful bidder(s)' bid will be deemed to remain valid until a final contract has been concluded.

**NAME(S) AND ADDRESS / ADDRESSES OF DIRECTOR(S) OR MEMBER(S)**

The Respondent must disclose hereunder the full name(s) and address(s) of the director(s) or members of the company or close corporation [C.C.] on whose behalf the RFP is submitted.

1. Registration number of company / C.C.

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2. Registered name of company / C.C.

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3. Full name(s) of director/member(s) Address/Addresses ID Number(s)

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## RETURNABLE DOCUMENTS

**Returnable Documents** means all the documents, Sections and Annexures, as listed in the tables below.

### a) **Mandatory Returnable Documents**

**Failure to provide any Mandatory Returnable Documents at the closing date and time of this bid will result in a Respondent's disqualification. Bidders are therefore urged to ensure that all these documents are returned with their Proposals.**

Please confirm submission of the mandatory Returnable Documents detailed below by so indicating [**Yes** or **No**] in the table below:

<b>MANDATORY RETURNABLE DOCUMENTS</b>	<b>SUBMITTED [Yes/No]</b>
<b>Annexure D: Pricing Schedule</b>	
In the case of Joint Ventures, bidder must submit a copy of the <b><u>signed</u></b> Joint Venture Agreement.	
In the case of subcontracting arrangements, bidder must submit a copy of the <b><u>signed</u></b> subcontracting agreement.	

### b) **Essential Returnable Documents**

In addition to the requirements of section (a) above, Respondents are further required to submit with their Proposals the following **essential Returnable Documents** as detailed below.

#### **Essential Returnable Documents required for evaluation purposes:**

**Failure to provide any essential Returnable Documents used for purposes of scoring a bid, by the closing date and time of this bid will not result in a Respondent's disqualification. However, Bidders will receive an automatic score of zero for the applicable evaluation criterion. Bidders are therefore urged to ensure that all these documents are returned with their Proposals.**

Please confirm submission of these essential Returnable Documents by so indicating [Yes or No] in the table below:

ESSENTIAL RETURNABLE DOCUMENTS USED FOR SCORING	SUBMITTED
<p><b>Annexure H:</b> Preference Points Award Form in Terms of the Preferential Procurement Regulations 2022 (Mandatory documents to claim preference points)</p> <ul style="list-style-type: none"> <li>• Valid copy of BBEE certificate/ sworn affidavit <ul style="list-style-type: none"> <li>✓ In case of unincorporated trust, consortium or joint venture, they must submit their consolidated B-BBEE scorecard with their <b><u>individual B-BBEE Certificate or Sworn Affidavit</u></b>.</li> <li>✓ In case of sub-contracting both parties must submit copies of their valid BBEE certificates.</li> </ul> </li> </ul> <p>NB: Non-submission or invalid submission will result in zero points. Should the individual entity's B-BBEE Certificate or Sworn Affidavit of the unincorporated trust, consortium or joint venture parties <b><u>be invalid</u></b>, the joint venture scorecard will also be invalid.</p>	

**Other Essential Returnable Documents:**

**Failure to provide other essential Returnable Documents may result in a Respondent's disqualification. Bidders are therefore urged to ensure that all these documents are returned with their Proposals.**

Please confirm submission of these essential Returnable Documents by indicating Yes or No in the table below

<u>OTHER</u> ESSENTIAL RETURNABLE DOCUMENTS	SUBMITTED [Yes/No]
<b>Annexure A:</b> Standard Bidding Document (SBD) 1 Form	
<b>Annexure E:</b> Proposal Form and List of Returnable documents ( <i><u>This document</u></i> )	
<b>Annexure G:</b> Certificate of Acquaintance with RFP, Terms & Conditions & Applicable Documents	
<b>Annexure J:</b> Standard Bidding Document (SBD) 4 Form	
<b>Annexure I:</b> RFP Declaration and Breach of Law Form	
<b>Annexure J:</b> Mutual Non-Disclosure Agreement	

**CONTINUED VALIDITY OF RETURNABLE DOCUMENTS**

The successful Respondent will be required to ensure the validity of all returnable documents, including but not limited to its Tax Clearance Certificate and valid B-BBEE Verification Certificate, for the duration of any contract emanating from this RFP. Should the Respondent be awarded the contract [**the Agreement**] and fail to present CSIR with such renewals as and when they become due, CSIR shall be entitled, in addition to any other rights and remedies that it may have in terms of the eventual Agreement, to terminate such Agreement forthwith without any liability and without prejudice to any claims which CSIR may have for damages against the Respondent.

SIGNED at \_\_\_\_\_ on this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_\_

SIGNATURE OF WITNESSES AND NAME OF WITNESSES

1 \_\_\_\_\_

Name \_\_\_\_\_

2 \_\_\_\_\_

Name \_\_\_\_\_

SIGNATURE OF RESPONDENT'S AUTHORISED REPRESENTATIVE:

\_\_\_\_\_

Name: \_\_\_\_\_

Designation: \_\_\_\_\_

## Annexure F

### Certificate of Acquaintance with RFP, Terms & Conditions & Applicable Documents

The Provision of professional services to develop and compile a Full-Scale Project Proposal and Plan for Mercury Mitigation in Non-Ferrous Metal Smelting Facilities in South Africa (Copper, Lead, Zinc, Industrial Gold) as part of the Global Elimination of Mercury in Non-ferrous Metals Production (GEMINI) – South Africa Project to the CSIR

RFP No. 1218/13/03/2026

**By signing this certificate the Respondent is deemed to acknowledge that he/she has made himself/herself thoroughly familiar with, and agrees with all the conditions governing this RFP. This includes those terms and conditions contained in any printed form stated to form part hereof, including but not limited to the documents stated below. As such, CSIR will recognise no claim for relief based on an allegation that the Respondent overlooked any such condition or failed properly to take it into account for the purpose of calculating tendered prices or any other purpose:**

Should the Bidder find any terms or conditions stipulated in any of the relevant documents quoted in the RFP unacceptable, it should indicate which conditions are unacceptable and offer alternatives by written submission on its company letterhead, attached to its submitted Bid. Any such submission shall be subject to review by CSIR's Legal Counsel who shall determine whether the proposed alternative(s) are acceptable or otherwise, as the case may be. A material deviation from any term or condition may result in disqualification.

Bidders accept that an obligation rests on them to clarify any uncertainties regarding any bid which they intend to respond on, before submitting the bid. The Bidder agrees that he/she will have no claim based on an allegation that any aspect of this RFP was unclear but in respect of which he/she failed to obtain clarity.

The bidder understands that his/her Bid will be disqualified if the Certificate of Acquaintance with RFP documents included in the RFP as a returnable document, is found not to be true and complete in every respect.

SIGNED at \_\_\_\_\_ on this \_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

SIGNATURE OF WITNESSES AND NAME OF WITNESSES

1 \_\_\_\_\_

Name \_\_\_\_\_

2 \_\_\_\_\_

Name \_\_\_\_\_

SIGNATURE OF RESPONDENT'S AUTHORISED REPRESENTATIVE:

\_\_\_\_\_

Name: \_\_\_\_\_

Designation: \_\_\_\_\_

## Annexure G

### Preference Points Award Form in Terms of the Preferential Procurement Regulations 2022

The Provision of professional services to develop and compile a Full-Scale Project Proposal and Plan for Mercury Mitigation in Non-Ferrous Metal Smelting Facilities in South Africa (Copper, Lead, Zinc, Industrial Gold) as part of the Global Elimination of Mercury in Non-ferrous Metals Production (GEMINI) – South Africa Project to the CSIR

RFP No. 1218/13/03/2026

This preference form must form part of all bids invited. It contains general information and serves as a claim form for the preference points allocated on the basis of specific goals outlined in point 3 below.

**NB: BEFORE COMPLETING THIS FORM, BIDDERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF SPECIFIC GOALS, AS PRESCRIBED IN THE PREFERENTIAL PROCUREMENT REGULATIONS, 2022**

#### 1. GENERAL CONDITIONS

- 1.1 The following preference point systems are applicable to this bid:
- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included).
- 1.2 Points for this bid shall be awarded for:
- (a) Price; and
  - (b) Preference Points based on specific goals.
- 1.3 The maximum points for this bid are allocated as follows:

	POINTS
PRICE	80
Preference Points	20
<b>Total points for Price and Preference Points must not exceed</b>	<b>100</b>

- 1.4 Failure on the part of a bidder to submit proof of preference points together with the bid, will be interpreted to mean that preference points are not claimed.
- 1.5 The CSIR reserves the right to require of a bidder, either before a bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the CSIR.

## 2. POINTS AWARDED FOR PRICE

### 2.1 The 80/20 preference points systems

A maximum of 80 points is allocated for price on the following basis:

#### 80/20

$$P_s = 80 \left( 1 - \frac{P_t - P_{\min}}{P_{\min}} \right)$$

Where

P<sub>s</sub> = Points scored for price of bid under consideration

P<sub>t</sub> = Price of bid under consideration

P<sub>min</sub> = Price of lowest acceptable bid

## 3. PREFERENCE POINTS AWARDED

3.1 In terms of Regulation 4 (2) and 4 (2) of the Preferential Procurement Regulations, preference points may be awarded to a bidder for the specific goal specified for the tender in accordance with the table below:

3.2 Specific goals must be determined per tender.

Specific Goals	Preference Points
Black Ownership	20
<b>Total</b>	<b>20</b>

3.3 Total preference points per specific goal to be determined per tender.

3.3.1. Total preference points per specific goal to be awarded as follows:

3.3.1.1. Preferential points for black ownership will be awarded as follows:

Black Ownership	% of Preferential points
Bidder with 100% black ownership	100%
Bidder with 51% to 99% black ownership	50%
Bidder with less than 51% black ownership	0%

### 3.4. Joint Ventures, Consortiums and Trusts

A trust, consortium or joint venture<sup>1</sup> will qualify for preference points as a legal entity (Incorporated), provided that the entity submits its valid B-BBEE certificate. Only valid BBEE certificates issued by SANAS accredited verification agency will be considered for allocation of points.

A trust, consortium or joint venture will qualify for preference points as an unincorporated entity, provided that the entity submits their consolidated B-BBEE scorecard as if they were a group structure and that such a consolidated B-BBEE scorecard is prepared for every separate bid. Only valid consolidated BBEE certificates issued by SANAS accredited verification agency will be considered for allocation of points.

Bidders must submit concrete proof of the existence of joint ventures and/or consortium arrangements. The CSIR will accept signed agreements as acceptable proof of the existence of a joint venture and/or consortium arrangement. Furthermore, in bids where unincorporated joint venture and/or consortium/sub-contractors are involved, each party must submit a separate TCS PIN and CSD number.

The joint venture and/or consortium agreements must clearly set out the roles and responsibilities of the Lead Partner and the joint venture and/or consortium party. The agreement must also clearly identify the Lead Partner, who shall be given the power of attorney to bind the other party/parties in respect of matters pertaining to the joint venture and/or consortium arrangement.

### 3.5. Sub-contracting

A bidder must not be awarded preference points if it is indicated in the tender documents that such a bidder intends sub- contracting more than 25% of the value of the contract to any other enterprise that does not qualify for at least the points that such a bidder qualifies for, unless the intended sub-contractor is an EME that has the capability and ability to execute the sub-contract.

A bidder awarded a contract may not sub-contract more than 25% of the value of the contract to any other enterprise that does not have an equal or higher B-BBEE status level than the bidder concerned, unless the contract is sub-contracted to an EME that has the capability and ability to execute the sub-contract.

## 4. BID DECLARATION

Bidders who claim points in respect of specific goals **must** submit the following documents:

Mandatory documents to claim preference points	Submitted	
	Yes √	No √
Valid copy of BBEE certificate/ sworn affidavit to claim Black Ownership preference points		

<sup>1</sup> Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

## DECLARATION WITH REGARD TO COMPANY/FIRM

Name of company/firm:.....

VAT registration number:.....

Company registration number:.....

I/we, the undersigned, who is / are duly authorised to do so on behalf of the company/firm, certify that the documents submitted to claim preference points based on the specific goals are valid, and I / we acknowledge that:

- i) The information furnished is true and correct;
- ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 3 of this form;
- iii) In the event of a contract being awarded as a result of points claimed as shown in paragraphs 3, the contractor may be required to furnish further documentary proof to the satisfaction of the CSIR that the awarded are correct;
- iv) If any document is obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the CSIR may, in addition to any other remedy it may have –
  - (a) disqualify the person from the bidding process;
  - (b) recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;
  - (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
- (d) recommend that the bidder or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted by the National Treasury from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied; and
- (e) forward the matter for criminal prosecution.
- v) If the CSIR is of the view that a bidder submitted false information regarding a specific goal, it must—
  - (a) inform the bidder accordingly; and
  - (b) give the bidder an opportunity to make representations within 14 days as to why the tender may not be disqualified or, if the tender has already been awarded to the bidder, the contract should not be terminated in whole or in part.
- vi) After considering the representations referred to in subregulation (v)(b), the CSIR may, if it concludes that such information is false—
  - (a) disqualify the bidder or terminate the contract in whole or in part; and
  - (b) if applicable, claim damages from the bidder.

WITNESSES

1. ....

2. ....

.....  
SIGNATURE(S) OF BIDDERS(S)

DATE: .....

ADDRESS.....

## Annexure H

### Standard Bidding Document (SBD) 4

RFQ No. 1218/13/03/2026

#### BIDDER'S DISCLOSURE

##### 1. PURPOSE OF THE FORM

Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

##### 2. Bidder's declaration

2.1 Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest<sup>2</sup> in the enterprise, employed by the state? YES  / NO

2.1.1 If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

Full Name	Identity Number	Name of State institution

<sup>2</sup> the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.

2.2 Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution? YES  /NO

2.2.1 If so, furnish particulars:  
.....  
.....

2.3 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are bidding for this contract? YES  /NO

2.3.1 If so, furnish particulars:  
.....  
.....

**3 DECLARATION**

I, the undersigned, (name).....in submitting the accompanying bid, do hereby make the following statements that I certify to be true and complete in every respect:

- 3.1 I have read and I understand the contents of this disclosure;
- 3.2 I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect;
- 3.3 The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium<sup>3</sup> will not be construed as collusive bidding.
- 3.4 In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.
- 3.4 The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.
- 3.5 There have been no consultations, communications, agreements or arrangements made by the bidder with any official of the procuring institution in relation to this procurement process prior to and during the bidding process except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.
- 3.6 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be

<sup>3</sup> Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 1, 2 and 3 ABOVE IS CORRECT.

I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

.....  
Signature

.....  
Date

.....  
Position

.....  
Name of bidder

## Annexure I

### DECLARATION BY BIDDER AND BREACH OF LAW FORM

The Provision of professional services to develop and compile a Full-Scale Project Proposal and Plan for Mercury Mitigation in Non-Ferrous Metal Smelting Facilities in South Africa (Copper, Lead, Zinc, Industrial Gold) as part of the Global Elimination of Mercury in Non-ferrous Metals Production (GEMINI) – South Africa Project to the CSIR

RFP No. 1218/13/03/2026

NAME OF ENTITY:

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We \_\_\_\_\_ do hereby certify that:

1. CSIR has supplied and we have received appropriate responses to any/all questions [as applicable] which were submitted by ourselves for RFP Clarification purposes;
2. we have received all information we deemed necessary for the completion of this Request for Proposal [RFP];
3. we have been provided with sufficient access to the existing CSIR facilities/sites and any and all relevant information relevant to the Services as well as CSIR information and Employees, and has had sufficient time in which to conduct and perform a thorough due diligence of CSIR's operations and business requirements and assets used by CSIR. CSIR will therefore not consider or permit any pre- or post-contract verification or any related adjustment to pricing, service levels or any other provisions/conditions based on any incorrect assumptions made by the Respondent in arriving at his Bid Price.
4. at no stage have we received additional information relating to the subject matter of this RFP from CSIR sources, other than information formally received from the designated CSIR contact(s) as nominated in the RFP documents;
5. we are satisfied, insofar as our entity is concerned, that the processes and procedures adopted by CSIR in issuing this RFP and the requirements requested from Bidders in responding to this RFP have been conducted in a fair and transparent manner; and
6. furthermore, we declare that a family, business and/or social relationship **exists / does not exist** [delete as applicable] between an owner / member / director / partner / shareholder of our entity and an employee or board member of the CSIR Group including any person who may be involved in the evaluation and/or adjudication of this Bid.
7. In addition, we declare that an owner / member / director / partner / shareholder of our entity **is / is not** [delete as applicable] an employee or board member of the CSIR.
8. If such a relationship as indicated in paragraph 7 exists, the Respondent is to complete the following section:

FULL NAME OF OWNER/MEMBER/DIRECTOR/  
PARTNER/SHAREHOLDER: ADDRESS:

---

---

Indicate nature of relationship with CSIR:

---

---

**[Failure to furnish complete and accurate information in this regard may lead to the disqualification of a response and may preclude a Respondent from doing future business with CSIR]**

9. We declare, to the extent that we are aware or become aware of any relationship between ourselves and CSIR [other than any existing and appropriate business relationship with CSIR] which could unfairly advantage our entity in the forthcoming adjudication process, we shall notify CSIR immediately in writing of such circumstances.
10. We accept that any dispute pertaining to this Bid will be resolved through the Ombudsman process and will be subject to the Terms of Reference of the Ombudsman. The Ombudsman process must first be exhausted before judicial review of a decision is sought.
11. We further accept that CSIR reserves the right to reverse an award of business or decision based on the recommendations of the Ombudsman without having to follow a formal court process to have such award or decision set aside.

**BREACH OF LAW**

12. We further hereby certify that I/we (the bidding entity and/or any of its directors, members or partners) have/have not been [delete as applicable] found guilty during the preceding 5 [five] years of a serious breach of law, including but not limited to a breach of the Competition Act, 89 of 1998, by a court of law, tribunal or other administrative body. The type of breach that the Respondent is required to disclose excludes relatively minor offences or misdemeanours, e.g. traffic offences. This includes the imposition of an administrative fine or penalty.

Where found guilty of such a serious breach, please disclose:

NATURE OF BREACH:

---

---

---

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DATE OF BREACH: \_\_\_\_\_

Furthermore, I/we acknowledge that CSIR reserves the right to exclude any Respondent from the bidding process, should that person or entity have been found guilty of a serious breach of law, tribunal or regulatory obligation.

SIGNED at \_\_\_\_\_ on this \_\_\_\_\_ day of \_\_\_\_\_  
20\_\_\_\_

For and on behalf of _____ duly authorised hereto	AS WITNESS:
Name:	Name:
Position:	Position:
Signature:	Signature:
Date	Registration No of Company/CC
Place	Registration Name of Company/CC

# Annexure J

## Mutual Non-Disclosure Agreement

RFP No. 1218/13/03/2026

### MUTUAL NON-DISCLOSURE AGREEMENT

#### 1. Preamble

The Parties as identified herein are engaged in discussions relating to their potential collaboration in the Field as likewise described therein; are by virtue thereof are required to disclose Confidential Information to one another, and have agreed to do so subject to the terms and conditions as set out in this agreement.

#### 2. Definitions

- 2.1. The following words and/or phrases, when used in this agreement, shall have the following meanings:
- 2.1.1. "Confidential Information" shall mean all scientific, technical, business, financial, past, present or future research, development, business activities, products, services and technical knowledge or marketing information, whether inside or outside the Field, which one party (the "Disclosing Party") discloses to the other party (the "Receiving Party") in connection with the discussions, and either has been identified in writing as confidential or is of such a nature (or has been disclosed in such a way) that it should be obvious to the Receiving Party that it constitutes Confidential Information. (Without limiting the generality of the foregoing, "Confidential Information" shall include any information that falls within the definition of 'Personal Information')
- 2.1.2. "Disclosing Party" shall mean the Party disclosing Confidential Information under this agreement;
- 2.1.3. "Disclosing Purpose" shall mean, as pertains to any particular joint opportunity(ies) in the Field, the discussions held or to be held between the Parties regarding their possible collaboration and future working relationship with regards to any such opportunity(ies);
- 2.1.4. "Effective Date" shall mean the date of the commencement of this agreement which would be a bid award date";
- 2.1.5. "Notice" shall mean a written document addressed by one Party to the other and either delivered by hand; sent per registered post or telefaxed to the addresses as indicated herein";
- 2.1.6. "Personal Information" means any information that falls within the definition of 'Personal Information' as defined in the Protection of Personal Information Act, No 4 of 2013 ("POPI");
- 2.1.7. "Receiving Party" shall mean the Party receiving Confidential Information under this agreement;

"Responsible Party" means a public or private body or any other person which, alone or in conjunction with others, determines the purpose of and means for processing personal information, as defined in POPI.

#### 3. Obligation of Confidentiality

- 3.1. The Receiving Party undertakes and agrees:
- 3.1.1. to use the Disclosing Party's Confidential Information only to give effect to the Disclosing Purpose;
- 3.1.2. to hold in strict confidence and not to publish or disclose to any unauthorised third parties any of the Confidential Information of the Disclosing Party without the prior written consent of the Disclosing Party;
- 3.1.3. to use the same degree of care (and in any event not less than reasonable care) to safeguard the confidentiality of the Disclosing Party's Confidential Information that it uses to protect its own information of like kind;
- 3.1.4. to limit any disclosure of such Confidential Information only to those of its employees and professional advisors who have a specific need -to- know to access such Confidential Information and either entered into a written agreement which impose, or are otherwise bound by the same restrictions as those imposed upon it by virtue of this agreement;
- 3.1.5. not to disclose or reveal to any third party, whomsoever, either the fact that discussions or negotiations are taking, or have taken, place between the Parties; the content of any such discussions, or other facts relating to the Disclosing Purpose;
- 3.1.6. on termination of this agreement, to act with the Disclosing Party's Confidential Information in accordance with a Notice delivered to it by the Disclosing Party, and if no such Notice is delivered to the Recipient, to destroy the Disclosing Party's Confidential Information in a similar manner to which it would destroy its own Confidential Information.

#### 4. Protection of Personal Information

- 4.1. The Party(ies) undertake(s) to:-
- 4.1.1. comply with the provisions of POPI as well as all applicable legislation as amended or substituted from time to time;
- 4.1.2. treat all Personal Information strictly as defined within the parameters of POPI;
- 4.1.3. process Personal Information only in accordance with the consent it was obtained for, for the purpose agreed, any lawful and

reasonable written instructions received from the applicable Responsible Party and as permitted by law;

- 4.1.4. process Personal Information in compliance with the requirements of all applicable laws;
  - 4.1.5. secure the integrity and confidentiality of any Personal Information in its possession or under its control by taking appropriate, reasonable technical and organisational measures to prevent loss, damage, unauthorised destruction, access, use, disclosure or any other unlawful processing of Personal Information;
  - 4.1.6. not transfer any Personal Information to any third party in a foreign country unless such transfer complies with the relevant provisions of POPI regarding transborder information flows; and
  - 4.1.7. not retain any Personal Information for longer than is necessary for achieving the purpose in terms of this Agreement or in fulfilment of any other lawful requirement.
- 4.2. The Party(ies) undertake(s) to ensure that all reasonable measures are taken to:
- 4.2.1. identify reasonably foreseeable internal and external risks to the Personal Information in its possession or under its control;
  - 4.2.2. establish and maintain appropriate security safeguards against the identified risks;
  - 4.2.3. regularly verify that the security safeguards are effectively implemented;
  - 4.2.4. ensure that the security safeguards are continually updated in response to new risks or deficiencies in previously implemented safeguards;
  - 4.2.5. provide immediate notification to the Responsible Party if a breach in information security or any other applicable security safeguard occurs; provide immediate notification to the Responsible Party where there are reasonable grounds to believe that the Personal Information has been accessed or acquired by any unauthorised person;
  - 4.2.6. remedy any breach of a security safeguard in the shortest reasonable time and provide the Responsible Party with the details of the breach and, if applicable, the reasonable measures implemented to address the security safeguard breach;
  - 4.2.7. provide immediate notification to the Responsible Party where either party has, or reasonably suspects that, Personal Information has been processed outside of the purpose agreed to or consented to;
  - 4.2.8. provide the Responsible Party, upon request, with all information of any nature whatsoever relating to the processing of the Personal Information for the purpose in terms of this Agreement and any applicable law; and

- 4.2.9. notify the CSIR, if lawful, of receipt of any request for access to Personal Information, in its possession and relating to the CSIR.
- 4.3. The CSIR reserves the right to inspect the Personal Information processing operations, as well as the technical and organisational information security measures employed by the contracting Party to ensure compliance with the provisions of clause 4.
- 4.4. The provisions of clause 4 shall survive the termination of this Agreement, regardless of cause, in perpetuity.

## **5. Exclusions**

- 5.1. The Receiving Party recognises that this agreement is not intended to restrict use or disclosure of any portion of the Disclosing Party's Confidential Information which:
- 5.1.1. is as at the Effective Date, or later, made known to the public or otherwise enters the public domain through no default by the Receiving Party of its obligations under this Agreement;
  - 5.1.2. it can show was in its possession prior to the earliest disclosure by the Disclosing Party, as evidenced by written documents in its files;
  - 5.1.3. is rightfully received by it from a third party having no obligation of confidentiality to the Disclosing Party;
  - 5.1.4. is independently developed by the Receiving Party by a person(s) who did not have access to the Confidential Information of the Disclosing Party;
  - 5.1.5. is disclosed by the Receiving Party after receipt of written permission from the Disclosing Party; or
  - 5.1.6. it is requested or required by subpoena, court order, or similar process to disclose, provided that, in such an event, it will provide the Disclosing Party with prompt written notice of such request(s) so that the latter may seek an appropriate protective order and/or waive the Receiving Party's compliance with the provisions of this agreement.

## **6. Ownership and Provision of Information**

- 6.1. The Disclosing Party shall retain ownership of all its Confidential Information as disclosed hereunder.
- 6.2. Nothing contained in this agreement or in any disclosures made hereunder shall create or imply, or be construed as to grant to the Receiving Party any license or other rights in or to the Confidential Information and/or any intellectual property rights attached thereto, or act as a waiver of any rights that the Disclosing Party may have to prevent infringement or misappropriation of any patents, patent applications, trademarks, copyright, trade secrets, know-how or other intellectual property

rights owned or controlled by the Disclosing Party as at the Effective Date.

- 6.3. The Disclosing Party provides the Confidential Information "as is" and accordingly no disclosure thereof by it hereunder shall constitute any representation, warranty, assurance, guarantee or inducement by such Disclosing Party with respect to infringement of patents or other rights of third parties, nor is any warranty or representation as to the accuracy, completeness, or technical or scientific quality of any of the Disclosing Party's Confidential Information provided hereunder. (For the avoidance of doubt it is stated expressly that the Disclosing Party neither makes, nor have made, any representation or warranty as to the merchantability or fitness for a particular purpose of any Confidential Information disclosed hereunder).

## 7. Term of Obligation

- 7.1. The Parties' obligations concerning non-disclosure of Confidential Information contained in the above clauses shall commence on the Effective Date and shall continue for five (5) years from the date of each disclosure, unless otherwise agreed between the parties in writing, where after such obligations shall forthwith terminate.

## 8. No Violation

- 8.1. Each party represents that its compliance with the provisions of this agreement will not violate any duty which such party may have towards any third party, including obligations concerning the provision of services to others, confidentiality of information and assignment of inventions, ideas, patents or copyright.

## 9. Breach

- 9.1. It is acknowledged that the breach of this agreement by the Receiving Party would cause the Disclosing Party irreparable injury not compensable in monetary damages alone. Accordingly, in the event of a breach, or a threat of a breach, the Disclosing Party, in addition to its other remedies, is entitled to a restraining order, preliminary injunction or similar relief so as to specifically enforce the terms of this agreement or prevent, cure or reduce the adverse effects of the breach.

## 10. DOMICILIUM CITANDI ET EXECUTANDI

- 10.1. The Parties hereto respectively choose as their *domicilium citandi et executandi* for all purposes of, and in connection with this agreement, the physical addresses and contact details stated herein.

## 11. Notices

- 11.1 Any Notice to be given hereunder shall be given in writing and may be given either personally or may be sent by post or facsimile and addressed to the relevant party at its *domicilium citandi et executandi* address as chosen herein. Any notice given by post shall be deemed to have been served on the expiry of 7 (seven) working days after same is posted by recorded delivery post or air mail. Any notice delivered personally or sent by facsimile shall be deemed to have been served at the time of delivery or sending.

## 12. Governing Law and Jurisdiction

- 12.1. This agreement will be governed and construed by the laws of the Republic of South Africa and the Parties hereby submit to the exclusive jurisdiction of the South African courts to hear any dispute arising therefrom which the Parties are unable to settle amicably.

## 13. General

- 13.1. This agreement comprises the entire agreement between the parties concerning the subject matter and supersedes all prior oral and written agreements between them.
- 13.2. No waiver, alteration or cancellation of any of the provisions of the Agreement shall be binding unless made in writing and signed by the party to be bound.
- 13.3. The parties hereby warrant that the officials signing this agreement have the power to do so on behalf of the parties.
- 13.4. No public announcement, such as a media release, or disclosure beyond those disclosures authorised for Confidential Information hereunder may be made by either party concerning this agreement without the prior written approval of the other party.
- 13.5. Neither party is, by virtue of this agreement, authorised to use the name, logo(s) or trademarks of the other in connection with any advertising, publicity, marketing or promotional materials or activities, or for any other purpose whatsoever, without the prior written consent of the other party. For purposes of this clause, it is also recognised that, under the provisions of section 15 (1) of the Merchandise Marks Act, Act No 17 of 1941 of the Republic of South Africa, the use of the abbreviation of the name of the Council for Scientific and Industrial Research, "WNNR" and CSIR, is prohibited in connection with any trade, business, profession or occupation or in connection with a trade mark, mark or trade description applied to goods, other than with the consent of the CSIR.
- 13.6. Both Parties shall remain free to use, in the normal course of its business, its general knowledge, skills and experience incurred before, during or after the discussions envisaged

**hereunder. (To this end, it is also recorded that nothing in this Agreement shall be construed as constituting an exclusive arrangement between the parties and both Parties shall remain free to explore market opportunities in the Field, unless otherwise agreed to in writing in a subsequent agreement.)**

**ANNEXURE J: MUTUAL NDA**

**14. Parties to the NDA**

**THE CSIR**, a statutory council, duly established under Act 46 of 1988,

**and**

**The Bidder (Name)**.....

Company registration number:....., with limited liability duly incorporated under the applicable laws of the Republic of South Africa herein represented by ..... in his/her capacity as ..... and he/she being duly authorised thereto.

**15. Contact Details for Purposes of Clause 10:**

**15.1. The CSIR**

Physical Address:

Meiring Naude Road  
Brummeria  
Pretoria  
0002

Postal Address:

PO BOX 395  
Pretoria  
0001

Email: [Tender@csir.co.za](mailto:Tender@csir.co.za)

**The Bidder (Name)**.....

Physical Address: .....

Postal Address: .....

Email: .....

**16. Signature (Bidder):** .....

SIGNED ON THIS THE.....DAY OF.....AT.....

IN THE PRESENCE OF THE FOLLOWING WITNESSES:

1. ....

2. ....

## ANNEXURE K

RFP No. 1218/13/03/2026



### GEF Request for FSP CHILD and MSP CHILD CEO Endorsement / Approval Template

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## 1. GENERAL CHILD PROJECT INFORMATION

Child Project Title:			
Region:		GEF Project ID:	
Country(ies):		Type of Project	<b>(Choose Project Type)</b>
GEF Agency(ies):		GEF Agency Project ID:	
Project Executing Entity(s) and Type:	<b>(Choose executing Agency Type)</b>		
	<b>(Choose executing Agency Type)</b>		
GEF Focal Area(s):		Submission Date:	
Type of Trust Fund:		Project Duration (Months)	
GEF Project Grant: (a)		Agency Fee(s) Grant (b)	
PPG Amount: (c)		PPG Agency Fee: (d)	
Total GEF Financing: (a+b+c+d)		Total Co-financing:	
Total GEF Resources (a+b+c+d)			
Project Sector (CCM only)			
Rio Markers			
- Climate Change Mitigation	<input type="checkbox"/> No Contribution (0) <input type="checkbox"/> Significant Objective (1) <input type="checkbox"/> Principal Objective (2)		
- Climate Change Adaptation	<input type="checkbox"/> No Contribution (0) <input type="checkbox"/> Significant Objective (1) <input type="checkbox"/> Principal Objective (2)		
- Biodiversity	<input type="checkbox"/> No Contribution (0) <input type="checkbox"/> Significant Objective (1) <input type="checkbox"/> Principal Objective (2)		
- Land Degradation	<input type="checkbox"/> No Contribution (0) <input type="checkbox"/> Significant Objective (1) <input type="checkbox"/> Principal Objective (2)		

### 1.1 Project Summary\*

Provide a brief summary description of the project, including: (i) what is the problem and issues to be addressed? (ii) what are the project objectives, and if the project is intended to be transformative, how will this be achieved? (iii), how will this be achieved (approach to deliver on objectives), and (iv) what are the GEBs and/or adaptation benefits, and other key expected results. The purpose of

the summary is to provide a short, coherent summary for readers. (max. 250 words, approximately 1/2 page)

Please provide a summary of the proposed project. A brief description of what is the problem to be addressed; what is the project’s objective; how is this objective to be achieved; and what are expected outcomes (GEBs and/or adaptation benefits). If the project is an NGI, please briefly mention the financial structure of the project. Be explicit about the project’s location, and the sectors it covers. If the project is intended to be [transformative](#), or [innovative](#), briefly explain how this ambition will be achieved, and how barriers or enablers will be addressed.

## 1.2 Child Project Description Overview

Project Objective*:						
Child Project Components	Component Type	Child Project Outcomes	Child Project Outputs	Trust Fund	(in \$)	
					GEF Project Financing	Co-financing
	<b>(select)</b>					
	<b>(select)</b>					
M&E**	<b>(select)</b>					
Subtotal				<b>(select)</b>		
Project Management Cost (PMC)*** (if this is an MTF project, please report separate PMC lines for each TF). ***If amount requested is above limits, a pop-up menu should open for the Agency to provide an explanation***				<b>(select)</b>		
<b>Total Project Cost</b>						

Based on the Theory of Change, define a concise project objective that is measurable and can be achieved by completion. It should refer to outcomes that can realistically be achieved given the project duration, resources and approach.

Cost of M&E activities taking place for project execution purposes and exclusive of oversight, supervision and corporate reporting activities undertaken by the Agency and covered by the Agency Fee. See Annex 3 of the Guidelines on the Project and Program Cycle Policy ([GEF/C.59/Inf.03](#)) for further information.

Please assure that PMC is within 5 % (FSP) or 10% (MSP) of the GEF grant and there is proportionality between PMC and project co-finance in line with the overall project co-finance to GEF grant ratio of the project.

## **2. CHILD PROJECT OUTLINE**

### **2.1 Project Rationale**

Describe the current situation: the global environmental problems and/or climate vulnerabilities that the project will address, the key elements of the system, and underlying drivers of environmental change in the project context, such as population growth, economic development, climate change, sociocultural and political factors, including conflicts, or technological changes. Describe the objective of the project, and the justification for it. (Approximately 3-5 pages). See guidance here\*

To develop the project rationale:

- Develop simple [narratives](#) of how an uncertain future could unfold, based on an understanding of trends and interactions between the key elements of the system and its drivers.
- Describe the baseline in the absence of the project, and identify the outcomes that the project needs to achieve, how these will change the baseline, and what the key barriers and enablers are to achieving those outcomes.
- Explain why this particular project has been selected to address the drivers of environmental degradation and/or climate vulnerabilities in preference to other potential options, and how its outcomes will endure in the face of changes in the drivers described in the 'future narratives'.
- Describe the relevant stakeholders, private sector, and local actors and their roles in the system, and how they will be critical to deliver on the GEBs, adaptation benefits, and other proposed outcomes.
- The description should include: how the project will fit within the current landscape of investments; how the project will build on the baseline and ongoing investments, both GEF and non-GEF, and on lessons learned from previous projects in the country and region, and more widely; and how this approach fits with country priorities.
- If this is an NGI, please include a brief explanation on the financial barriers and how the project- and the proposed financial structure- responds to the financial barriers.

### **2.2 CHILD Project Description**

This section asks for a theory of change as part of a joined-up description of the project as a whole. The project description is expected to cover the key elements<sup>4</sup> of good project design in an integrated way. It is also expected to meet the GEF's policy requirements on gender, stakeholders, private sector, and knowledge management and learning (see section D). This section should be a narrative that reads like a joined-up story and not independent elements that answer the guiding questions contained in the guidance document. (Approximately 3-5 pages). See guidance here\*

Develop the project description to cover the following points, in a joined-up way, not as a series of responses to the individual points; this description should take into account the GEF's policy requirements (in section D). For example, by weaving into the description how gender issues will be addressed in the project, rather than describing gender as a standalone point. The theory of change will help in joining up the other key elements of [good project design](#):

- Provide a concise explanation of the [theory of change](#) that describes the child project's logic for addressing the problems described in Section A and achieving the intended global environmental benefits. Include a diagram to help show the overall project's logic, outputs, and outcomes.
- The theory of change should provide an "explicit account of how and why the proposed interventions would achieve their intended outcomes and goal, based on outlining a set of key causal pathways arising from the activities and outputs of the interventions and the assumptions underlying these causal connections".
- The child project logic should show how the project would ensure that expected outcomes are enduring and resilient to possible future changes in the drivers identified in the simple 'future narratives' (section A)
- Describe in more detail the child project components (interventions and activities) identified in the theory of change. Provide brief information on each intervention, the main thrust and basis (including scientific) of the proposed solutions, how they address the problem, and the critical assumptions and risks to achieving them.
- Explain how the child project will generate global environmental benefits and/or adaptation benefits which would not have accrued without the GEF project (additionality).

As part of the project description:

- Indicate how relevant [stakeholders](#) will contribute to implementing the child project, their respective roles. How will these stakeholders benefit from the project to ensure that the global environmental benefits and/or adaptation benefits will be enduring ([co-benefits](#)).

---

<sup>4</sup> Enabling Elements for Good Project Design: A synthesis of STAP guidance for GEF project investment:  
<https://stapgef.org/resources/advisory-documents/enabling-elements-good-project-design-synthesis-stap-guidance-gef>

- Explain how the child project will benefit from existing knowledge, how it will generate new knowledge, how that knowledge will be captured, stored and shared to benefit project stakeholders and benefit future projects.
- Explain how this child project will improve or develop national policies and strategies, including an improved alignment of existing policies ([policy coherence](#)).
- Will the child project success depend on enhancing human, institutional and technical capacities on national and/ or local level and how will this be addressed (via GEF or co-finance)?
- Please attach the GEF budget table showing the component budget over time and make sure the M&E costs and PMC align with the figures in the 'project description overview'.
- If the child project is specifically intended to be [transformative](#), or [innovative](#), explain how scaling up could be achieved.

### 2.2.1 Institutional arrangement and coordination with ongoing initiatives and project

Please describe the Institutional Arrangements for the execution of this child project, including financial management and procurement. If possible, please summarize the flow of funds (diagram), accountabilities for project management and financial reporting (organogram), including audit, and staffing plans. *(max. 500 words, approximately 1 page)*

Will the GEF Agency play an execution role on this child project?

Yes       No

If so, please describe that role here and the justification.

Also, please add a short explanation to describe cooperation with ongoing initiatives and projects, including potential for co-location and/or sharing of expertise/staffing *(max. 500 words, approximately 1 page)*

### 2.2.2 Core indicators

Indicate expected results in each relevant indicator using methodologies indicated in the GEF-8 Results Measurement Framework Guidelines. There is no need to complete this table for climate adaptation projects financed solely through LDCF and SCCF.

Project Core Indicators		Expected at CEO Endorsement
1	<b>Terrestrial protected areas</b> created or under improved management (hectare)	
2	<b>Marine protected areas</b> created or under improved management (hectare)	
3	Area of <b>land and ecosystems under restoration</b> (hectare)	

Project Core Indicators		Expected at CEO Endorsement
4	Area of <b>landscapes under improved practices</b> (hectare)	
5	Area of <b>marine habitat under improved practices</b> (hectare)	
6	<b>Greenhouse Gas Emissions Mitigated</b> (metric ton of CO <sub>2</sub> e)	
7	<b>Shared water ecosystems</b> under new or improved cooperative management (count)	
8	Globally over-exploited <b>marine fisheries</b> moved to more sustainable levels (metric ton)	
9	Chemicals of global concern and their waste reduced (metric ton of toxic chemicals reduced)	
10	Persistent organic pollutants to air reduced (gram of toxic equivalent gTEQ)	
11	People benefiting from GEF-financed investments <b>disaggregated by sex</b> (count)	

Explain the methodological approach and underlying logic to justify target levels for Core and Sub-Indicators (*max. 250 words, approximately 1/2 page*)

### 2.2.3 Risks to Achieving Project Outcomes

Summarize risks that might affect the achievement of project outcomes and the mitigation measures which are planned or already undertaken to address these. The risk rating should reflect the residual risk to achieving project outcomes after considering the implementation of mitigation measures. The rating scale is: High, Substantial, Moderate, Low. See the GEF Risk *Appetite* document ([GEF/C.66/13](#)) for more information and its Annex B for a description of each risk category. Note that the rating for the “Environment and Social” category should be the same as the risk rating for Safeguards.

RISK CATEGORIES	RATINGS	ASSESSMENT AND MITIGATION MEASURES
<b>CONTEXT</b>		
Climate	< Select rating >	< Insert text >
Environment and Social	< Select rating >	< Insert text >
Political and Governance	< Select rating >	< Insert text >
<b>INNOVATION</b>		

Institutional and Policy	< Select rating >	< Insert text >
Technological	< Select rating >	< Insert text >
Financial and Business Model	< Select rating >	< Insert text >
<b>EXECUTION</b>		
Capacity for Implementation	< Select rating >	< Insert text >
Fiduciary	< Select rating >	< Insert text >
Stakeholder	< Select rating >	< Insert text >
Other	< Select rating >	< Insert text >
Overall Risk Rating	< Select rating >	< Insert text >

Safeguards Rating (endorsement level)

### 2.3 Alignment with GEF-8 Programming strategies and country/regional priorities

Explain how the proposed interventions are aligned with GEF- 8 programming strategies and country and regional priorities, including how these country strategies and plans relate to the multilateral environmental agreements.

For projects aiming to generate biodiversity benefits (regardless of what the source of the resources is - i.e., BD, CC or LD), please identify which of the 23 targets of the Kunming-Montreal Global Biodiversity Framework the project contributes to and explain how.

Confirm if any country policies that might contradict with intended outcomes of the project have been identified, and how the project will address this. *(max. 500 words, approximately 1 page)*

### 2.4 Policy Requirements

#### 2.4.1 Gender equality and women's empowerment\*:

We confirm that gender dimensions relevant to the project have been addressed during Project Preparation as per GEF Policy and are clearly articulated in the Child Project Description (Section B).

Yes  No (If –and only if– NO is selected, a pop-up field should open for the Agency to provide an explanation)

- 1) Does the project expect to include any gender-responsive-measures to address gender gaps or promote gender equality and women's empowerment?

Yes  No

If the child project expects to include any gender-responsive measures to address gender gaps or promote gender equality and women empowerment, please indicate in which results area(s) the project is expected to contribute to gender equality:

- closing gender gaps in access to and control over natural resources;
- improving women's participation and decision-making; and/or
- generating socio-economic benefits or services for women.

2) Does the child project's results framework or logical framework include gender-sensitive indicators?

Yes  No  tbd

Please upload to the portal documents tab the Gender Action Plan of equivalent and any gender analysis or equivalent socio-economic assessment carried out during project preparation that identifies and describes any gender differences, gender differentiated impacts and risks, and opportunities to address gender gaps and promote the empowerment of women that may be relevant to the proposed activity; this should include any corresponding gender-responsive measures to address differences, identified impacts and risks, and opportunities through a gender action plan or equivalent. If gender-responsive measures have been identified (during project development phase), these should be reflected in the relevant project components, results and M&E framework (e.g. through specific actions, gender-sensitive indicators and sex-disaggregated targets)

#### 2.4.2 Stakeholder engagement\*

We confirm that key stakeholders were consulted during Project Preparation as required per GEF policy, their relevant roles to project outcomes has been clearly articulated in the Child Project Description (Section B) and that a Stakeholder Engagement Plan has been developed before CEO endorsement.

Yes  No

(If –and only if– NO is selected, a pop-up field should open for the Agency to provide an explanation)

#### Select what role civil society will play in the project:

Consulted only;  Yes

No

Member of Advisory Body; Contractor;  Yes  No

Co-financier;  Yes  No

Member of project steering committee or equivalent decision-making body;  Yes  No

Executor or co-executor;  Yes  No

Other (Please explain)  Yes  No

Please upload to the portal documents tab any Stakeholder Engagement Plans or equivalent documentation, with information regarding Stakeholders who have been and will be engaged, means of engagement, dissemination of information, roles and responsibilities in ensuring effective Stakeholder Engagement, resource requirements, and timing of engagement throughout the project/ program cycle.

### 2.4.3 Private sector

Will there be private sector engagement in the child project?

Yes       No

And if so, has its role been described and justified in section B “child project description”?

Yes       No

### 2.4.4 Environmental and social safeguards

We confirm that we have provided information regarding Environmental and Social risks associated with the proposed child project or program, including risk screenings/ assessments and, if applicable, management plans or other measures to address identified risks and impacts (this information should be presented in Annex E).

Yes     No (If –and only if— NO is selected, a pop-up field should open for the Agency to provide an explanation)

Please provide overall Project/Program Risk Classification

#### Overall Project/Program Risk Classification\*

PIF	CEO Endorsement/Approval	MTR	TE
Low			

Please see for guidance on what safeguard documentations needs to be uploaded to the portal in GEF Policy on ESS: SD/PL/03 and Guidelines: SD/GN/03

## 2.5 Other Requirements

### 2.5.1 Knowledge management\*

We confirm that an approach to Knowledge Management and Learning has been clearly described during Project Preparation in the Project Description and that these activities have been budgeted and an anticipated timeline for delivery of relevant outputs has been provided.

Yes

Please see GEF/C.48/07/Rev.01, GEF Knowledge Management Approach, June 2015, for guidance on what this should include.

### 2.5.2 Socio-economic benefits

We confirm that the child project design has considered socio-economic benefits to be delivered by the project and these have been clearly described in the Project Description and will be monitored and reported on during project implementation (at MTR and TER).

Yes

## ANNEX A: FINANCING TABLES

### A1. GEF Financing Table

Trust Fund Resources Requested by Agency(ies), Country(ies), Focal Area and the Programming of Funds

GEF Agency	Trust Fund	Country/ Regional/ Global	Focal Area	Programming of Funds		(in \$)			
						GEF Project Grant (a)	GEF Project Non-Grant (for NGI only) (b)	Agency Fee (c)	Total GEF Financing (a+b+c)
(select)	GEFTF		(select)	(select)	(select)				
<b>Total GEF Resources</b>									

### A2. Project Preparation Grant (PPG)

Was a Project Preparation Grant requested?

Yes

No

If yes<sup>5</sup>: fill in PPG table (incl. PPG fee)

GEF Agency	Trust Fund	Country/ Regional/ Global	Focal Area	Programming of Funds		(in \$)		
						PPG	Agency Fee	Total PPG Funding

<sup>5</sup> Note: Make this into a "pop-up" which appears only if PPG was selected, and if amount requested is above limits, they have to justify it

(select)	GEFTF		(select)	(select) (select)	(select)			
<b>Total PPG Amount</b>								

**A3. Sources of Funds for Country STAR Allocation**

GEF Agency	Trust Fund	Country/ Regional/Glob al	Focal Area	Source of Funds	Total
(select)	GEF TF		(select)	(select as applicab	
<b>Total GEF Resources</b>					

**A4. Focal Area Elements**

Programming Directions	Trust Fund	(in \$)	
		GEF Project Financing	Co- Financing
(select) (select) (select)	(select)		
<b>Total Project Cost</b>			

**A5. Confirmed Co-Financing for the Project, by name and type**

Please include evidence for each co-financing source for this project in the tab of the portal

Sources of Co-Financing	Name of Co-financier	Type of Co-Financing	Investment Mobilized	Amount (\$)	Upload letters of Co-Finance
(select)		(select)	(select)		<< add option to link the letters of co-finance for each row>>
<b>Total Co-financing</b>					

Please describe the investment mobilized portion of the co-financing

Please assure that the letter clearly indicates the type of co-finance (in-kind versus investment mobilized) and describes the nature of the contribution. Please provide an English translation of

the letters of co-finance as needed. This does not need to be a formal translation, but can be done by the agency.

**ANNEX B: ENDORSEMENTS**

<b>Name of GEF Agency Coordinator</b>	<b>GEF Agency Coordinator Contact Information</b>
<b>Name of Agency Project Coordinator</b>	<b>Agency Project Coordinator Contact Information</b>

**B1. Compilation of Letters of Endorsement**

Please attach the Operational Focal Point endorsement letter(s) in this Annex. For SGP, use the SGP OFP endorsement letter format. For regional and global projects (as appropriate): please include a compilation of the signed LOEs in one PDF file in this annex.

**ANNEX C: Project Results Framework\***

Please indicate the page number in the Project Document where the project results and M&E frameworks can be found. Please also paste below the Project Results Framework from the Agency document.

The project results framework should make explicit references to Core and Sub-indicators used, and indicate unit of measure, baseline and target data as available. The M&E framework should include definition, frequency of data collection, data source, methodology and responsibility for data collection for indicators.

**ANNEX D: Status of Utilization of Project Preparation Grant (PPG)**

Provide detailed funding amount of the PPG activities financing status in the table below:

<b><i>Project Preparation Activities Implemented</i></b>	<b><i>GETF/LDCF/SCCF Amount (\$)</i></b>		
	<b><i>Budgeted Amount</i></b>	<b><i>Amount Spent To date</i></b>	<b><i>Amount Committed</i></b>
<b>Total</b>	1	1	1

Please itemize the use of PPG funds according to categories listed in the guidelines <<Please add link>>.

**ANNEX E: Project Map and Coordinates\***

Please provide geo-referenced information and map where the project interventions will take place.

The Location Name, Latitude and Longitude are required fields insofar as an Agency chooses to enter a project location under the set format. The Geo Name ID is required in instances where the location is not exact, such as in the case of a city, as opposed to the exact site of a physical infrastructure. The Location & Activity Description fields are optional. Project longitude and latitude must follow the Decimal Degrees WGS84 format and Agencies are encouraged to use at least four decimal points for greater accuracy. Users may add as many locations as appropriate. Web mapping applications such as [OpenStreetMap](#) or [GeoNames](#) use this format. Consider using a conversion tool as needed, such as: <https://coordinates-converter.com> Please see the Geocoding User Guide by clicking [here](#).

<b>Geo Name ID</b> <i>Required field if the location is not an exact site</i>	<b>Location Name</b> <i>Required field</i>	<b>Latitude</b> <i>Required field</i>	<b>Longitude</b> <i>Required field</i>	<b>Location Description</b> <i>Optional text field</i>	<b>Activity Description</b> <i>Optional text field</i>

**Please provide any further geo-referenced information and map where project interventions are taking place as appropriate.**

**ANNEX F: Environmental and Social Safeguards documents including Rating**

Attach agency safeguard datasheet/assessment report(s), including ratings of risk types and overall project/program risk classification as well as any management plans or measures to address identified risks and impacts (as applicable).

**ANNEX G: Budget Table**

Please upload the budget table here\*

Please explain any aspects of the budget as needed here

Please provide detailed information of the activities/services that will be paid with the project's resources, so it is possible to assess the reasonability of using the three sources (project's components, M&E and PMC) to cover these expenses. Also, please provided detailed information on the KM activities covered by the project.

Other documents to be uploaded to the GEF project portal:

- Gender Action Plan
- Gender Analysis undertaken during project preparation
- Stakeholder Engagement Plan (including or separate please include a list of people consulted during project preparation including dates and locations of consultations)
- TORs for key project staff funded by GEF grant and/or co-finance

