



public works  
& infrastructure

Approved 12/02/2024  
Bg.

Department:  
Public Works and Infrastructure  
**REPUBLIC OF SOUTH AFRICA**

Private BagX65, Pretoria, 0001

## TENDER DOCUMENT

### INVITATION TO TENDER FOR PROFESSIONAL SERVICES:

### **ENVIRONMENTAL ASSESSMENT PRACTITIONER**

#### FOR THE PROJECT

**Drafting of Environmental Implementation Plans and Environmental Management Plans and General Waste Strategies for each of the 13 Proclaimed Fishing Harbours in the Western Cape**

**WCS:  
REFERENCE NO:**

**TENDER NO: HP23/028GS**

**November 2023**

**Name of tenderer: .....**

**ISSUED BY:  
THE DIRECTOR-GENERAL  
DEPARTMENT OF PUBLIC WORKS AND INFRASTRUCTURE**

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## **T1: TENDERING PROCEDURES**

### **T1.1 Notice and Invitation to Tender**

T1.1.1 The words “**tender**” and “**bid**” in this document or any documents referred to in this document are interchangeable and are deemed to have the same meaning, similarly the words “tenderer” and “tendering Service Provider” are interchangeable and are deemed to have the same meaning. Unless inconsistent with the context, the masculine gender includes the feminine and neuter genders and *vice versa*, and the singular includes the plural and *vice versa*. In this document, words and expressions signified in the text by the use of capital initial letters, shall have the meanings defined in the Standard Professional Services Contract except where the context otherwise requires.

T1.1.2 The Government of the Republic of South Africa in its Department of Public Works invites tenders for the provision of **ENVIRONMENTAL ASSESSMENT SERVICES** as further fully described in C3 Scope of Services hereof.

T1.1.3 The address for collection of tender documents and the telephone number of the tender section are as advertised in the Tender Bulletin.

T1.1.4 Tender documents may be collected on working days **between 07:30 and 12:45** and **between 13:30 and 15:30**.

T1.1.5 A non-refundable deposit of **R100-00** is payable, in cash only, on collection of the tender documents.

T1.1.6 Queries relating to these documents may be addressed to the Employer’s authorised and designated representative who is the departmental project manager:

**Mr Basson Geldenhuys**  
**Tel no:** (021) 402 2174  
**Cell no:** 071 648 8656  
**Fax:** 086 272 8660

**Physical address:** Room 1419, 14<sup>th</sup> Floor, Customs House  
Lower Heerengracht Street  
Cape Town

**Postal address:** Private Bag X9027  
Cape Town  
8000

T1.1.7 The closing time for receipt of tenders is as advertised in the Tender Bulletin. Telephonic, facsimile, electronic and late tenders will not be accepted.

T1.1.8 Requirements for sealing, addressing, delivery, opening and assessment of tenders are stated in T1.2 Tender Data.

### **T1.2 Tender Data**

#### **T1.2.1 Standard Conditions of Tender**

The conditions of tender are the **Standard Conditions of Tender** as contained in **Annexure C** of the **Construction Industry Development Board Standard for Uniformity in Engineering and Construction Works Contracts** as per Government Notice No. 423 published in Government Gazette No. 42622 of **8 August 2019** and as amended from time to time.

The Standard Conditions of Tender is not included in this tender document. Tenderers must obtain it on the CIDB’s Website at:

[http://www.CIDB.org.za/procurement/procurement\\_toolbox/CIDB\\_pub/default.aspx](http://www.CIDB.org.za/procurement/procurement_toolbox/CIDB_pub/default.aspx)

The Standard Conditions of Tender make several references to the Tender Data for details that apply specifically to this tender. The Tender Data shall have precedence in the interpretation of any ambiguity or inconsistency between it and the Standard Conditions of Tender.

**Each item of data given below is cross-referenced to the clauses marked [C] in the Standard Conditions of Tender to which it mainly applies.**

By submitting a tender in response to T1.1 Notice and Invitation to Tender above, the tenderer binds himself to a *pactum de contrahendo* (contract aimed at conclusion of another contract), the terms of which are contained in the Standard Conditions of Tender and T1.2 Tender Data.

| Clause number |  |
|---------------|--|
| [C.1.1]       | The Employer is the <b>Government of the Republic of South Africa in its Department of Public Works and Infrastructure.</b>  |
| [C.1.2]       | <p>For this Contract the single volume approach is adopted.</p> <p>This procurement document has been formatted and compiled under the headings for a single volume approach as contained in table 5 of the CIDB's "Standard for Uniformity in Construction Procurement."</p> <p>The tendering Service Provider's attention is specifically drawn to the T2 Returnable Documents identified in the T2.1 List of Returnable Documents and in the T2.2 Returnable Schedules. The Returnable Documents must be obtained and the Returnable Schedules must be completed by a tenderer when submitting a tender. The tenderer must complete these documents, including the "Offer" document in C1.1 Form of Offer and Acceptance, and deliver his tender back to the Employer bound as it was received.</p> <p>The tender document, issued by the Employer, comprises the following separate identifiable documents collectively forming the "Tender Document in a single volume":</p> <p><u>The Tender</u></p> <p>T1: Tendering Procedures</p> <p style="padding-left: 40px;">T1.1 Notice and Invitation to Tender</p> <p style="padding-left: 40px;">T1.2 Tender Data</p> <p>T2: Returnable Documents</p> <p style="padding-left: 40px;">T2.1 List of Returnable Documents</p> <p style="padding-left: 40px;">T2.2 Returnable Schedules</p> <p><u>The Contract</u></p> <p>C1: Agreement and Contract Data</p> <p style="padding-left: 40px;">C1.1 Form of Offer and Acceptance</p> <p style="padding-left: 40px;">C1.2 Contract Data</p> <p>C2: Pricing Data</p> <p style="padding-left: 40px;">C2.1 Pricing Assumptions</p> <p style="padding-left: 40px;">C2.2 Activity Schedule</p> <p>C3: Scope of Services</p> <p>C4: Site Information</p> |

|                 |   |
|-----------------|---|
| [C<br>.1.<br>4] | The Employer's agent for the purpose of this tender is deemed to be the authorised and designated representative of the Employer, who will be the departmental project manager as noted in T1.1.6 Notice and Invitation to Tender.  |
| [C<br>.2.<br>1] | <p><b><u>Tenders will only be considered for acceptance if</u></b> (i.e. will only be regarded as responsive if):</p> <ol style="list-style-type: none"> <li>1. The tendering Service Provider is an <b>Environmental Management Consulting practice</b> which is owned by a Registered Environmental Assessment Practitioner, or at least one of the Directors is a Registered Environmental Assessment Practitioner with the Environmental Assessment Practitioners Association of South Africa (EAPASA) in terms of the National Environmental Management Act, 1998 (Act 107 of 1998) (NEMA), Section 24H Registration Authority Regulations, 2016 as amended, and who will hereafter be referred to as <b>registered principals</b> of the practice.</li> </ol> <p><b>or</b></p> <p>a multi-disciplinary professional practice, that also practises Environmental Assessment Management Consultancy work, which division/section is under the fulltime supervision of a registered Environmental Assessment Practitioner, and which is owned and controlled by registered professionals by at least a percentage determined by any of the relevant professional Councils/Associations for cases pertaining to multi-disciplinary practices/business undertakings, in their respective Codes of Professional Conduct, in terms of number, shareholding and voting power, who are registered in terms of the Architectural Profession Act, 2000 (Act no 44 of 2000), Landscape Architectural Profession Act, 2000 (Act no 45 of 2000), Engineering Profession Act, 2000 (Act no 46 of 2000), Project and Construction Management Professions Act, 2000 (Act no 48 of 2000) and/or Quantity Surveying Profession Act, 2000 (Act no 49 of 2000), Planning Profession Act, 2002 (Act no 36 of 2002) Environmental Assessment Practitioners registered in terms of Section 24H of NEMA, 1998 (Act 107 of 1998) and who will hereafter be referred to as <b>registered principals</b>.</p> <p>In the event of any legal entity, as meant above, being a listed public Company on the stock exchange, the percentages related to ownership and control referred to are to be made relevant to persons duly appointed as Directors of such entity.</p> <ol style="list-style-type: none"> <li>2. Copies of certificates or other documentation clearly proving current professional registration with the relevant council, including registration numbers, of all the registered principals mentioned in 1 above are included with the tender as part of the returnable documentation. In the event of any legal entity, as meant above, being a private Company with shareholding, the same information/documentation as for registered principals must be provided with the tender, in respect of all Directors formally appointed to manage the business undertaking. Sole Proprietors, Partners in Partnerships, and Members of Close Corporations are principals as defined in 1 above and information/documentation in respect of such persons must be provided as described;</li> <li>3. The information, required in respect of 1 and 2 above, has been provided for all Service Providers tendering in consortium or joint venture;</li> <li>4. At least a maximum of three registered professional environmental assessment practitioner, of whom the same documentation as in 2 above has been included in the tender, of the tendering Service Provider has been listed in C1.2.3, clause 7.1.2 Key Persons;</li> </ol> <p>[The Employer retains the right to verify current professional registration required in terms of 2, 3 and 4 above with the relevant association as part of the tender evaluation process. <b>In the event of any such person not currently being registered with the relevant association, it will render the tender as unacceptable (i.e. non-responsive) and excluded from further consideration.</b>]</p> <ol style="list-style-type: none"> <li>5. All Returnable Documents mentioned in T2: Returnable Documents (T2.1 List of Returnable Documents and T2.2 Returnable Schedules) have been included in the tender;</li> </ol> |

**6. Method to be used to calculate points for specific goals**

**6.1 For procurement transaction with rand value greater than R2 000,00 and up to R1 Million (Inclusive of all applicable taxes) the specific goals listed below are applicable.**

| Serial No | Specific Goals  | Preference Points Allocated out of 20 | Documentation to be submitted by bidders to validate their claim   |
|-----------|---|---------------------------------------|--|
| 1.        | An EME or QSE which is at least 51% owned by black people (Mandatory)   | 10                                    | <ul style="list-style-type: none"> <li>• SANAS Accredited BBBEE Certificate or Sworn Affidavit where applicable.</li> </ul>  |
| 2.        | Located in a specific Local Municipality or District Municipality or Metro or Province area for work to be done or services to be rendered in that area (Mandatory) | 2                                     | <ul style="list-style-type: none"> <li>• Official Municipal Rates Statement which is in the name of the bidder.</li> </ul> <p>Or</p> <ul style="list-style-type: none"> <li>• Any account or statement which is in the name of the bidder.</li> </ul> <p>Or</p> <ul style="list-style-type: none"> <li>• Permission To Occupy from local chief in case of rural areas (PTO) which is in the name of the bidder.</li> </ul> <p>Or</p> <ul style="list-style-type: none"> <li>• Lease Agreement which is in the name of the bidder.</li> </ul>   |
| 3.        | An EME or QSE which is at least 51% owned by black women (Mandatory)  | 4                                     | <ul style="list-style-type: none"> <li>• SANAS Accredited BBBEE Certificate or Sworn Affidavit where applicable.</li> </ul>  |
| 4.        | An EME or QSE which is at least 51% owned by black people with disability (Mandatory)   | 2                                     | <ul style="list-style-type: none"> <li>• SANAS Accredited BBBEE Certificate or Sworn Affidavit where applicable.</li> </ul> <p>and</p> <ul style="list-style-type: none"> <li>• Medical Certificate indicating that the disability is permanent.</li> </ul> <p>Or</p> <ul style="list-style-type: none"> <li>• South African Social Security Agency (SASSA) Registration indicating that the disability is permanent.</li> </ul> <p>Or</p> <ul style="list-style-type: none"> <li>• National Council for Persons with Physical Disability in South Africa registration (NCPDPSA).</li> </ul> |
| 5.        | An EME or QSE which is at least 51% owned by black youth (Mandatory)  | 2                                     | <ul style="list-style-type: none"> <li>• ID Copy and SANAS Accredited BBBEE Certificate or Sworn Affidavit where applicable.</li> </ul>  |

**6.2 For procurement transaction with rand value greater than R1 Million and up to R50 Million (Inclusive of all applicable taxes) the specific goals listed below are applicable.**



| Serial No | Specific Goals  | Preference Points Allocated out of 20 | Documentation to be submitted by bidders to validate their claim  |
|-----------|---|---------------------------------------|---|
| 1.        | An EME or QSE or any entity which is at least 51% owned by black people (Mandatory)   | 10                                    | <ul style="list-style-type: none"> <li>SANAS Accredited BBBEE Certificate or Sworn Affidavit where applicable.</li> </ul>   |
| 2.        | Located in a specific Local Municipality or District Municipality or Metro or Province area for work to be done or services to be rendered in that area (Mandatory) | 2                                     | <ul style="list-style-type: none"> <li>Official Municipal Rates Statement which is in the name of the bidder.</li> </ul> Or <ul style="list-style-type: none"> <li>Any account or statement which is in the name of the bidder.</li> </ul> Or <ul style="list-style-type: none"> <li>Permission To Occupy from local chief in case of rural areas (PTO) which is in the name of the bidder.</li> </ul> Or <ul style="list-style-type: none"> <li>Lease Agreement which is in the name of the bidder.</li> </ul>   |
| 3.        | An EME or QSE or any entity which is at least 51% owned by black women (Mandatory)  | 4                                     | <ul style="list-style-type: none"> <li>SANAS Accredited BBBEE Certificate or Sworn Affidavit where applicable.</li> </ul>   |
| 4.        | An EME or QSE or any entity which is at least 51% owned by black people with disability (Mandatory)   | 2                                     | <ul style="list-style-type: none"> <li>SANAS Accredited BBBEE Certificate or Sworn Affidavit where applicable.</li> </ul> and <ul style="list-style-type: none"> <li>Medical Certificate indicating that the disability is permanent.</li> </ul> Or <ul style="list-style-type: none"> <li>South African Social Security Agency (SASSA) Registration indicating that the disability is permanent.</li> </ul> Or <ul style="list-style-type: none"> <li>National Council for Persons with Physical Disability in South Africa registration (NCPDPSA).</li> </ul> |
| 5.        | An EME or QSE or any entity which is at least 51% owned by black youth (Mandatory)  | 2                                     | <ul style="list-style-type: none"> <li>ID Copy and SANAS Accredited BBBEE Certificate or Sworn Affidavit where applicable.</li> </ul>   |

**6.3 For procurement transaction with rand value greater than R50 Million (Inclusive of all applicable taxes) the specific goals listed below are applicable.**

| Serial No | Specific Goals | Preference Points Allocated out of 10 | Documentation to be submitted by bidders to validate their claim |
|-----------|----------------|---------------------------------------|--|
|-----------|----------------|---------------------------------------|--|

|                          |                             |   |   |  |
|--------------------------|-----------------------------|---|---|--|
| <input type="checkbox"/> | 1.                          | An EME or QSE or any entity which is at least 51% owned by black people (Mandatory)   | 4 | <ul style="list-style-type: none"> <li>SANAS Accredited BBBEE Certificate or Sworn Affidavit where applicable.</li> </ul>  |
|                          | 2.                          | Located in a specific Local Municipality or District Municipality or Metro or Province area for work to be done or services to be rendered in that area (Mandatory)   | 2 | <ul style="list-style-type: none"> <li>Official Municipal Rates Statement which is in the name of the bidder.</li> </ul> Or <ul style="list-style-type: none"> <li>Any account or statement which is in the name of the bidder.</li> </ul> Or <ul style="list-style-type: none"> <li>Permission To Occupy from local chief in case of rural areas (PTO) which is in the name of the bidder.</li> </ul> Or <ul style="list-style-type: none"> <li>Lease Agreement which is in the name of the bidder.</li> </ul>  |
|                          | 3.                          | An EME or QSE or any entity which is at least 51% owned by black women (mandatory)  | 2 | <ul style="list-style-type: none"> <li>SANAS Accredited BBBEE Certificate or Sworn Affidavit where applicable.</li> </ul>  |
|                          | 4. <input type="checkbox"/> | An EME or QSE which is at least 51% owned by black people with disability (Mandatory)   | 2 | <ul style="list-style-type: none"> <li>SANAS Accredited BBBEE Certificate or Sworn Affidavit where applicable.</li> </ul> and <ul style="list-style-type: none"> <li>Medical Certificate indicating that the disability is permanent.</li> </ul> Or <ul style="list-style-type: none"> <li>South African Social Security Agency (SASSA) Registration indicating that the disability is permanent.</li> </ul> Or <ul style="list-style-type: none"> <li>National Council for Persons with Physical Disability in South Africa registration (NCPDSA).</li> </ul> |
|                          | 5. <input type="checkbox"/> | <p>An EME or QSE which is at least 51% owned by black youth (Mandatory)</p> <p><b>OR</b></p> <p><b>NB. (The use of this goal is mandatory however the BSC must select either one of the two and not both)</b></p> |   | <ul style="list-style-type: none"> <li>ID Copy and SANAS Accredited BBBEE Certificate or Sworn Affidavit where applicable.</li> </ul>  |

**Black people** mean Africans, Coloureds and Indians, who - (a) are citizens of the Republic of South Africa by birth or descent; or (b) became citizens of the Republic of South Africa by naturalisation - (i) before 27 April 1994; or (ii) on or after 27 April 1994 and who would have been entitled to acquire citizenship by naturalisation prior to that date. (BROAD-BASED BLACK ECONOMIC EMPOWERMENT ACT No 25899, 2003 of 9 JANUARY 2004).



## 7. Functionality criteria

Assessing this tender in terms of Functionality is **applicable**

Functionality will be applied to test the capability and capacity of the tenderer, such criteria are used to establish minimum requirements where after bids will be evaluated solely on the basis of price and preference. Failure to meet minimum functionality score will result in the tenderer being disqualified.

- (a) The tendering Service Provider has provided the required information/documentation to enable an evaluation panel to perform functionality and risk assessments as described in 6(b) and 6(c) hereafter and referred to in T2.1 – sub paragraph 4;
- (b) Tender offers are judged by an evaluation panel in terms of functionality, which functionality will be evaluated against the following criteria on a scoring system of 1 to 5, weighted as indicated:

| <b><u>Functionality Criteria</u></b>   | <b><u>Weighting Factor</u></b> |
|--|--------------------------------|
| <p><b><u>Experience</u></b></p> <p><b>Bidder must furnish adequate proof of successfully completed as well as current projects (projects to be sufficiently progressed in order to be assessed properly) in conducting Environmental Impact Assessments (EIA's) studies within the past 5 years.</b></p> <p><b>Note: The projects listed on the DPW-09 will be used to score the bidder on experience.</b></p> <p><b>Scoring:</b><br/> <b>5 Projects and above = 5 points</b><br/> <b>4 Projects = 4 points</b><br/> <b>3 Projects = 3 points</b><br/> <b>2 Projects = 2 points</b><br/> <b>1 Project = 1 point</b><br/> <b>0 Projects = 0 point</b></p>   | 20                             |
| <p><b><u>Resources</u></b></p> <p><b>Bidder must furnish adequate proof of a maximum of three (3) Environmental Assessment Practitioners (EAP) registered with the Environmental Assessment Practitioners Association of South Africa (EAPASA) with 5 years of relevant experience in conducting Environmental Impact Assessments (EIA's) studies.</b></p> <p><b>Note : The proof can be in the form of a CV or company profile highlighting the listed projects and the name of the Practitioners etc including registration certificates etc</b></p> <p><b>Scoring:</b><br/> <b>Three (3) registered EAPs as per the requirements above = 5 points</b><br/> <b>Two (2) registered EAPs as per the requirements above = 3 points</b><br/> <b>One (1) registered EAP as per the requirements above = 1 points</b><br/> <b>No registered EAPs = 0 point</b></p> | 20                             |

|  |  |                          |
|--|--|--------------------------|
|  | <p><b><u>Quality</u></b></p> <p>Bidder must forward the Quality Checklist in the tender document to the relevant references to complete. The references must provide input on the Bidder's contractual commitment and quality of performance relating to the projects as stipulated on the DPW-09. Each project listed on the DPW-09 must have it's own Quality Checklist.</p> <p><b>Note: The average of all submitted Quality Checklists will be used to determine the scoring below.</b></p> <p><b>Scoring:</b><br/> <b>5 Excellent above = 5 points</b><br/> <b>4 Good = 4 points</b><br/> <b>3 Satisfactory = 3 points</b><br/> <b>2 Poor = 2 points</b><br/> <b>1 Very Poor = 1 point</b><br/> <b>0 No submissions = 0 point</b></p> | <p><b>30</b></p>         |
|  | <p><b><u>Technical</u></b></p> <p>Bidder must demonstrate understanding of the entire scope of services by providing a clear methodology of project execution or project plan in response to the terms of reference/scope of services as detailed in section C3 of this tender document.</p> <p><b>Scoring:</b><br/> <b>Project plan addressing all required items = 5 points</b><br/> <b>No project plan or insufficient information provided = 0 points</b></p>  | <p><b>30</b></p>         |
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|  |  |                          |
|  | <p><b>Total</b></p>  | <p><b>100 Points</b></p> |

*(Weightings will be multiplied by the scores allocated during the evaluation process to arrive at the total functionality points)*

|   |           |
|---|-----------|
| <b>Minimum functionality score to qualify for further evaluation:</b> | <b>70</b> |
|---|-----------|

(c) Tender offers are judged by an evaluation panel in terms of risk to the Employer. Such risk will be evaluated against technical and commercial risk criteria listed below. Such risk will be evaluated against the criteria listed below. Each criterion carries the same weight / importance and will be evaluated individually by the Bid Evaluation Committee appointed on the project. An assessment of unacceptable risk on any single criterion will constitute unacceptable risk for the award as a whole, resulting in the tender to be disqualified and removed from further consideration.

In order for the evaluation reports to be prepared by the Bid Evaluation Committee, the Tenderer is obliged to provide comprehensive information on form DPW-09 (EC). Failure to complete the said form will cause the tender to be declared non-responsive and removed from any further consideration. Information provided in the returnable documentation must

be provided in sufficient detail to enable the evaluation panel to evaluate the risk criteria set out below.

The Employer reserves the right to request further clarification, elucidation, additional documentation / information, etc. as may be required to evaluate the tender. The aforementioned can also entail that the persons, named in the schedule of Key Persons (C1.2.3 Data provided by the Service Provider, clause 7.1.2), be invited to an interview.

The information must be provided by the Tenderer within the stipulated time as determined by the Bid Evaluation Committee, failing which the tender offer will *mutatis mutandis* be declared non-responsive.

The risk criteria are as follows:

**Technical risks:**

**Criterion 1: Experience on comparable projects during the past 5 years.**

The tendering Service Provider's experience on comparable projects in environmental related services during the past 5 years. The number of current and previous comparable projects performed by the Tenderer as per the evaluation report prepared by the Bid Evaluation Committee, based on its research and inspection of a representative sample of the Tenderer's current and previous work as reflected on form DPW-09 (EC), as well as, if necessary, of any additional work executed by the Tenderer, not reflected on form DPW-09 (EC).

Aspects to be regarded as "comparable" includes (but may be extended according to circumstances): size of projects (measured against monetary value or other project quantifying parameters), nature of projects (**Environmental Impact Assessment and related services etc.**), locality/area of execution (site-specific influences, knowledge of local conditions, etc.), complexity of project, projects for similar client department irrespective of end purpose of buildings/facilities created or in progress of being created and time scales of projects (normal, fast track, etc.) and stage of its/their development.

[An opinion will be formed by each of the members of an evaluation panel according to his/her assessment of the experience and performance of the tendering Service Provider from information submitted with the tender (in written-, report- and/or brochure format), and upon further investigations/reference checks that may be performed, for which purpose the tendering Service Provider must include names and contact particulars of present and previous Employers to whom services are/were rendered. The Employer retains the right to contact references not mentioned by the tendering Service Provider. Members of the evaluation panel will discuss their respective risk perceptions in order to reach consensus, failing which the specific risk will be put to the vote. **No risk assessment will be performed for this criterion in the absence of relevant information with the tender and will therefore render the tender as unacceptable and excluded from further consideration.**]

**Criterion 2: Contractual commitment and quality of performance on comparable projects during the past 5 years.**

Adherence to contractual commitments and quality of performance of comparable current and previous projects performed by the Tenderer as per the evaluation report prepared by the Bid Evaluation Committee, based on its research and inspection of a representative sample of the Tenderer's current and previous work as reflected on form DPW-09 (EC), as well as, if necessary, of any additional work executed by the Tenderer, not reflected on form DPW-09 (EC).

Aspects to be considered include but not limited to time management & programming, quality of detailed designs, extent of variations to scope due to shortcomings in original designs, compliance to relevant regulations, personnel resources & technical experience of representatives, turnover in representatives, decision making & problem solving skills,

promptness and quality of contract administration in terms of reporting and issuing contract documents, attending site meetings, scope management, leadership and accountability, conformance to specification and quality compliance, risk Identification and mitigation, all with respect to specific aspects of the project / comparable projects and the project tendered for.

[An opinion will be formed by each of the members of an evaluation panel according to his/her assessment of the experience and performance of the tendering Service Provider from information submitted with the tender (in written-, report- and/or brochure format), and upon further investigations/reference checks that may be performed, for which purpose the tendering Service Provider must include names and contact particulars of present and previous Employers to whom services are/were rendered. The Employer retains the right to contact references not mentioned by the tendering Service Provider. Members of the evaluation panel will discuss their respective risk perceptions in order to reach consensus, failing which the specific risk will be put to the vote. **No risk assessment will be performed for this criterion in the absence of relevant information with the tender and will therefore render the tender as unacceptable and excluded from further consideration.**]

**Criterion 3: Suitably qualified and appropriately experienced human resources**

Allocation of suitably qualified and appropriately experienced human resources, both in respect of principals and/or other staff (professional, technical and/or administrative) of the tendering Service Provider to the project, as described in the schedule of Key Persons in terms of clause 7.1.2 of the General Conditions of Contract, as proof that the tendering Service Provider will be able to react/respond appropriately to the Services required herein. The Company Organogram with CV's and certified ID's of all principals and employed workforce as well as proof of Professional Registration will be verified. Current and future workload of the tenderer in relation to capacity and capability will also be considered. The tenderer should demonstrate that he or she possesses the necessary professional and technical qualifications, and professional and technical competence in relation to the scope of work and service to be rendered.

[An opinion will be formed by each of the members of an evaluation panel according to his/her assessment of the qualifications and experience of the human resources allocated to the project in terms of the Key Persons (C1.2.3 Data provided by the Service Provider, clause 7.1.2), from information contained in curriculum/curricula vitae submitted with the tender. Members of the evaluation panel will discuss their respective risk perceptions in order to reach consensus, failing which the specific risk will be put to the vote. **No risk assessment will be performed for this criterion in the absence of relevant information / curricula vitae with the tender and will therefore render the tender as unacceptable and excluded from further consideration.**]

**Criterion 4: Proof of Professional Indemnity Insurance**

Confirmation of the required level of professional indemnity insurance specified in terms of Contract Data clause 5.4.1 (C1.2.3 Data provided by the Service Provider).

[If confirmation/proof of professional indemnity insurance is not duly confirmed in C1.2.3 Data provided by the Service Provider, the risk to Employer will be regarded as unacceptable and render the tender unacceptable on grounds of not being to specification. The Employer retains the right to request documentary proof of such insurance as part of the tender evaluation process. **Unconfirmed professional indemnity insurance will render the tender as unacceptable in terms of risk to the Employer and excluded from further consideration.**]

**Criterion 5: Attendance of compulsory bid clarification meeting, if applicable**

If applicable, submission of confirmation of DPW-16.1 (PSB) attendance of compulsory bid clarification meeting or proof of attending the compulsory virtual meeting by a suitably qualified and experienced representative of the tendering Service Provider in terms of clause [C.2.7] (T1.2 - Tender Data).

|            |   |
|------------|---|
|            | <p>[Non-attendance, if compulsory in terms of [C.2.7], will be regarded as a risk to the Employer in that salient information required for tender purposes would not have been to the knowledge of the tendering Service Provider, rendering any resultant tender to be incomplete. <b>Non-attendance will render the tender a risk to the Employer and therefore excluded from further consideration.</b></p> <p>In the event of the clarification meeting not being compulsory, this risk criterion will not be applicable.]</p> <p><b>Commercial risks:</b><br/>The financial viability assessment evaluates the risk over the life of the service contract period, as to whether the tenderer will be able to deliver the goods and services which are specified in the contract and / or be able to fulfil guarantees or warranties provided for in the contract in order to complete the project successfully for the amount tendered.</p> <p>Aspects to be considered include but not limited to, the respective rates tendered, bank rating, financial capability and capacity whether the tenderer has or has access to sufficient financial resources to deliver the goods or services described in the tender documentation (including fulfilling any guarantees or warranty claims), whether the tenderer is not subject to any current or impending legal action (either formal proceedings or notification of legal action) which could impact on the financial standing of the tenderer or the delivery of the goods or services, management accounts / financial report from auditors as proof of current liquidity, and company or any parent company or investor guarantee/s and financial statements.</p> <p>[An opinion will be formed by each of the members of an evaluation panel according to his/her assessment of the financial viability of the amount tendered in order to render the service. Members of the evaluation panel will discuss their respective risk perceptions in order to reach consensus, failing which the specific risk will be put to the vote. <b>No risk assessment will be performed for this criterion in the absence of relevant information/ curricula vitae with the tender and will therefore render the tender as unacceptable and excluded from further consideration.</b>]</p> <p>Other <u>project specific</u> risk criteria: <b>Not applicable</b></p> <p><b>Note: Any tender not complying with <u>all</u> of the above-mentioned stipulations will be regarded as non-responsive and will therefore <u>not</u> be considered for further evaluation.</b></p> |
| [C.2.7]    | <p>A tender clarification meeting will be held in respect of this tender by way of virtual meeting.</p> <p>Attendance of said clarification meeting is compulsory.<br/>The particulars for said tender clarification meeting are:<br/>Location: <b>Customs House, Cape Town</b><br/>Venue: <b>11<sup>th</sup> Floor Boardroom</b><br/>Virtual Meeting: N/A<br/>Date: <b>22/02/2024</b><br/>Starting time: <b>11:00</b></p>  |
| [C.2.13.3] | <p>Each tender offer communicated on paper shall be submitted as an original. <b>Each page of the tender document shall be initialled by the Authorised Signatory as per the Resolution of the Board of Directors, Consortia or Joint Venture in terms of PA15.1, PA15.2 or PA15.3.</b></p>   |
| [C.2.13.4] | <p>Delete the last sentence of the paragraph: "Signatories for ... of the tender offer."</p>  |
| [C.2.13.5] | <p>The Employer's addresses for delivery of tender offers are as advertised in the Tender Bulletin.</p> <p>In addition, the following identification details must be provided on the <u>back</u> of the envelope:<br/><b>Tenderer's name, contact address and telephone number</b></p>  |

|            |  |
|------------|--|
|            | and in the top left corner on the back of the envelope:<br>"Tender no. <b>HP23/028GS</b><br>"WCS no. "<br>"Tender for Environmental Assessment Services ".   |
| [C.2.13.6] | A two-envelope procedure will not be followed.   |
| [C.2.15]   | The closing time for submission of tenders is as advertised in the Tender Bulletin.  |
| [C.2.16]   | The tender validity period is 84 days (12 weeks) days from date of tender closure.   |
| [C.2.19]   | The tenderer shall provide access for inspections to his offices as may be required by the Employer.   |
| [C.2.22]   | Not a requirement.   |
| [C.2.23]   | The tenderer is required to submit with his tender all documents listed in T2 Returnable Documents, T2.1 and T2.2.   |
| [C.3.4]    | The time and location for opening tender offers are: <b>11:00am, Pretoria</b><br>Time: tenders will be opened immediately or as soon as possible after the closing time as advertised in the Tender Bulletin;<br>Location: <b>Room 121, CGO Building, 256 Madiba Street, Pretoria, 0001.</b>   |
| [C.3.5]    | A two-envelope procedure will not be followed.   |
| [C.3.9.3]  | Omit the wording and replace with the following:<br>"Notify the tenderer of all errors, omissions and/or rate imbalances that are identified in the tender offer and request the tenderer to, within a stipulated time, accept the total of prices as corrected in accordance with C.3.9.4."   |
| [C.3.9.4]  | Omit the wording of the first sentence and replace with:<br>"In cases where tender offers contain errors, omissions and/or rate imbalances, these are to be corrected as follows:"<br><br>Add sub-paragraph c) as follows:<br>"c) If the tenderer does not accept the corrected tender offer, or cannot reach consensus with the Employer on a corrected tender offer, the tenderer is to be classified as not acceptable/non-responsive and removed from further contention." |
| [C.3.11]   | The procedure for the evaluation of responsive tenders is Method 2: Financial Offer and Preference.  |
| [C.3.17]   | The number of paper copies of the signed contract to be provided by the employer is <u>one</u> .   |

## T2: RETURNABLE DOCUMENTS

This tender document in its entirety, all returnable documents which must be attached to this tender document, and all returnable schedules must be returned when the tender is submitted.

**T2.1 List of Returnable Documents** (to be obtained/compiled by the tenderer and attached to this tender). All documents must be duly completed and signed where applicable.

1. Copies of present registration with the **Environmental Assessment Practitioners Association of South Africa (EAPASA)** as "Environmental Assessment Practitioner", with the registration numbers, of all the registered principals and professionals mentioned under T1.2 Tender Data, clause C.2.1, item 2, as well as in C1.2.3 Data provided by the Service Provider, clause 7.1.2 Key Persons.
2. A valid original or certified copy of B-BBEE status level verification certificate.
3. An exposition, with necessary annexures, in suitable format and in sufficient detail, providing all the information necessary for the evaluation panel to be able to evaluate the functionality and risk set out in T1.2 Tender Data, clause [C.2.1].

**T2.2 Returnable Schedules** (all bound into this tender document – to be completed by tenderer)  
All documents must be duly completed and signed where applicable.

### T2.2.1 SUBSTANTIVE COMPLIANCE RESPONSIVENESS CRITERIA

**Failure to submit fully completed documents as stated hereunder shall result in the tender offer being disqualified from further consideration:**

|    |                                     |  |
|----|-------------------------------------|--|
| 1  | <input checked="" type="checkbox"/> | Only those tenderers who satisfy the eligibility criteria stated in the Tender Data may submit tenders.  |
| 2  | <input checked="" type="checkbox"/> | Tender offer must be properly received on the tender closing date and time specified on the invitation, completed either electronically (if issued in electronic format), or by writing legibly in non-erasable ink. (All as per Standard Conditions of Tender). |
| 3  | <input checked="" type="checkbox"/> | Use of correction fluid is prohibited.   |
| 4  | <input checked="" type="checkbox"/> | Submission of signed Form of Offer and Acceptance (C1.1)   |
| 5  | <input checked="" type="checkbox"/> | Submission of DPW-09 (PSB): Particulars of Tenderer's Projects.  |
| 6  | <input type="checkbox"/>            | Submission of fully completed (C2.2.2) Activity Schedule for Value Based Fees OR (C2.2.3) Activity Schedule for Time Based Fees, whichever is applicable in accordance with C2.1.1.1.  |
| 7  | <input checked="" type="checkbox"/> | Submission of acceptable Professional Indemnity insurance as per C1.2.3  |
| 8  | <input checked="" type="checkbox"/> | Provide proof of valid professional registration, qualification, CV's and other documentation relating to registered principals and key personnel as contained in C2.1 (2) and 7.2.  |
| 9  | <input checked="" type="checkbox"/> | Bidders must comply with DPW-21 (PSB): Record of Addenda to tender documents, if any.  |
| 10 | <input checked="" type="checkbox"/> | Submission of DPW-16.1 (PSB): Tender Clarification Meeting Certificate signed by the authorised official and completion of bid briefing attendance register.   |
| 11 | <input checked="" type="checkbox"/> | Submission of fully completed (C2.2.2) Activity Schedule for Activity Based Fees as per the tender document  |
| 12 | <input type="checkbox"/>            |  |
| 13 | <input type="checkbox"/>            | <b><i>Specify other responsiveness criteria</i></b>  |
| 14 | <input type="checkbox"/>            | <b><i>Specify other responsiveness criteria</i></b>  |

|    |                          |   |
|----|--------------------------|---|
| 15 | <input type="checkbox"/> | <b><i>Specify other responsiveness criteria</i></b> |
|----|--------------------------|---|

## T2.2.2 ADMINISTRATIVE RESPONSIVENESS CRITERIA

The Employer reserves the right to request further information regarding the undermentioned criteria. Failing to submit further clarification and/or documentation within 7 calendar days from request will disqualify the tender offer from further consideration.

|    |                                     |  |
|----|-------------------------------------|--|
| 1  | <input checked="" type="checkbox"/> | Any correction to be initialled by the person authorised to sign the tender documentation as per PA 15.1 or PA 15.2 resolution of board/s of directors / or PA15.3 Special Resolution of Consortia or JV's.  |
| 2  | <input checked="" type="checkbox"/> | Submission of applicable (PA-15.1, PA-15.2, PA-15.3): Resolution by the legal entity, or consortium / joint venture, authorising a dedicated person(s) to sign documents on behalf of the firm / consortium / joint venture.                           |
| 3  | <input checked="" type="checkbox"/> | All parts of tender documents submitted must be fully completed in ink and signed where required.  |
| 4  | <input checked="" type="checkbox"/> | Submission of (PA-11): Bidder's disclosure   |
| 5  | <input checked="" type="checkbox"/> | Submission of PA-16.1 (PSB): Ownership Particulars   |
| 6  | <input checked="" type="checkbox"/> | Submission of documentation relating to <b>risk assessment criteria</b> as contained in C 2.1 of T1.2 Tender Data.   |
| 7  | <input checked="" type="checkbox"/> | Data provided by the Service Provider (C1.2.3) fully completed.  |
| 8  | <input checked="" type="checkbox"/> | Submission of (PA 40): Declaration of Designated Groups  |
| 9  | <input checked="" type="checkbox"/> | Submission of proof of Registration on National Treasury's Central Supplier Database (CSD). Insert the Supplier Registration Number on the form of offer, including proposed sub-consultants if any  |
| 10 | <input checked="" type="checkbox"/> | Upon request, submission of fingerprints obtainable from local SAPS including any other additional documentation and information required for vetting purposes.  |
| 11 | <input checked="" type="checkbox"/> | Upon request, submission of a fully completed security clearance application form with supporting documentation and information as required. The security clearance form will be provided by the Employer for projects requiring a security clearance. |
| 12 | <input type="checkbox"/>            | <b><i>Specify other responsiveness criteria</i></b>  |
| 13 | <input type="checkbox"/>            | <b><i>Specify other responsiveness criteria</i></b>  |
| 14 | <input type="checkbox"/>            | <b><i>Specify other responsiveness criteria</i></b>  |
| 15 | <input type="checkbox"/>            | <b><i>Specify other responsiveness criteria</i></b>  |

## T2.2.3 ADMINISTRATIVE REQUIREMENTS APPLICABLE FOR SPECIFIC GOALS.

***Tenderers will not be required to submit the below documents if not provided in the original tender proposals. Failure to comply with the criteria stated hereunder shall result in the tenderer not allocated points for specific goals.***

|   |                                     |  |
|---|-------------------------------------|--|
| 1 | <input checked="" type="checkbox"/> | Submission of (PA-16): Preference Points Claim Form in terms of the Preferential Procurement Regulations 2022.   |
| 2 | <input checked="" type="checkbox"/> | A trust, consortium or joint venture (including unincorporated consortia and joint ventures) must submit a consolidated B-BBEE Certificate issued by a SANAS accredited service provider |



## PA-16.1 (PSB): OWNERSHIP PARTICULARS

- NB:** 1. This form is to be read with the Notice and Invitation to Tender and [C.2.1] sub paragraphs 1 and 2 of the Tender Data pertaining to this Tender, and completed according to the definitions and information contained in said documents.
2. **Failure to complete this form may result in the tender being disqualified.**

|                               |  |
|-------------------------------|--|
| <b>Project title:</b>         | <b>Environmental Assessment Services for:</b> Drafting of Environmental Implementation Plans and Environmental Management Plans for each of the 13 Proclaimed Fishing Harbours in the Western Cape |
| <b>Tender / Quotation no:</b> | HP23/028GS   |

### 1. REQUIRED DOCUMENTARY PROOF

The following documentation must be included in the tender as part of the Returnable Documents. Failure to provide the said documentation may result in the tender being disqualified.

| <b>Legal Status of Tendering Entity:</b><br><b>If the Tendering Entity is:</b>  | <b>Documentation to be submitted with the tender:</b>  |
|---|--|
| a. A close corporation, incorporated prior to 1 May 2011 under the Close Corporations Act, 1984 (Act 69 of 1984, as amended)  | Copies of the Founding Statement – CK1   |
| b. A profit company duly registered as a private company.<br><br>[including a profit company that meets the criteria for a private company, whose Memorandum of Incorporation states that the company is a personal liability company in terms of Section 8(2)(c) of the Companies Act, 2008 (Act 71 of 2008, as amended)]. | Copies of:<br>i. Certificate of Incorporation – CM1;<br>ii. Shareholding Certificates of all Shareholders of the company, plus a signed statement of the company's Auditor, certifying each Shareholder's ownership / shareholding percentage relative to the total; and/or<br>iii. Memorandum of Incorporation in the case of a personal liability company. |
| c. A profit company duly registered as a private company in which any, or all, shares are held by one or more other close corporation(s) or company(ies) duly registered as profit or non-profit company(ies).  | Copies of documents referred to in a. and/or b. above in respect of all such close corporation(s) and/or company(ies).   |
| d. A profit company duly registered as a public company.  | Copy of Certificate of Incorporation – CM1, and a signed statement of the company's Secretary or Auditor confirming that the company is a public company.  |
| e. A non-profit company, incorporated in terms of Section 10 and Schedule 1 of the Companies Act, 2008 (Act 71 of 2008, as amended).  | Copies of:<br>i the Founding Statement – CK1; and<br>ii the Memorandum of Incorporation setting out the object of the company, indicating the public benefit, cultural or social activity, or communal or group interest.  |
| f. A natural person, sole proprietor or a Partnership   | Copy(ies) of the Identity Document(s) of:<br>i. such natural person/ sole proprietor, or<br>ii. each of the Partners to the Partnership.   |
| g. A Trust  | Deed of Trust duly indicating names of the Trustee(s) and Beneficiary (ies) as well as the purpose of the Trust and the mandate of the Trustees.   |



## DPW-09 (PSB): PARTICULARS OF TENDERER'S PROJECTS

|                               |  |                         |            |
|-------------------------------|--|-------------------------|------------|
| <b>Project title:</b>         | <b>Environmental Assessment Services for: Drafting of Environmental Implementation Plans and Environmental Management Plans for each of the 13 Proclaimed Fishing Harbours in the Western Cape</b> |                         |            |
| <b>Tender / quotation no:</b> | HP23/028GS   | <b>Closing date:</b>    | 06/03/2024 |
| <b>Advertising date:</b>      | 13/02/2024   | <b>Validity period:</b> | 84 days    |

*Note: The Tenderer is required to furnish the following particulars and to attach additional pages if more space is required.*

### 1. PARTICULARS OF THE TENDERER'S CURRENT AND PREVIOUS COMMITMENTS

#### 1.1. Current projects

| Projects currently engaged in | Name of Employer or Representative of Employer | Contact tel. no. | Contract sum of Project | Scope of Services (Work stages appointed for – eg 1 to 6) | Work stages completed | Work stages in progress |
|-------------------------------|--|------------------|-------------------------|---|-----------------------|-------------------------|
| 1                             |  |                  |                         |   |                       |                         |
| 2                             |  |                  |                         |   |                       |                         |
| 3                             |  |                  |                         |   |                       |                         |
| 4                             |  |                  |                         |   |                       |                         |
| 5                             |  |                  |                         |   |                       |                         |
| 6                             |  |                  |                         |   |                       |                         |
| 7                             |  |                  |                         |   |                       |                         |

WCS no.

1.2. Completed projects

| Projects completed in the last 5 (five) years | Name of Employer or Representative of Employer | Contact tel. no. | Contract sum of Project | Scope of Services (Work stages appointed for – eg 1 to 6) | Date of appointment | Date of completion |
|---|--|------------------|-------------------------|---|---------------------|--------------------|
| 1   |  |                  |                         |   |                     |                    |
| 2   |  |                  |                         |   |                     |                    |
| 3   |  |                  |                         |   |                     |                    |
| 4   |  |                  |                         |   |                     |                    |
| 5   |  |                  |                         |   |                     |                    |
| 6   |  |                  |                         |   |                     |                    |
| 7   |  |                  |                         |   |                     |                    |
| 8   |  |                  |                         |   |                     |                    |
| 9   |  |                  |                         |   |                     |                    |

|                  |                |
|------------------|----------------|
|                  |                |
| Name of Tenderer | Signature Date |

**PA- 40: DECLARATION OF DESIGNATED GROUPS**

Name of Tenderer .....

☐ EME<sup>1</sup> ☐ QSE<sup>2</sup> ☐ Non EME/QSE (tick applicable box)**1. LIST ALL PROPRIETORS, MEMBERS OR SHAREHOLDERS BY NAME, IDENTITY NUMBER, CITIZENSHIP AND DESIGNATED GROUPS.**

| Name and Surname # | Identity/<br>Passport<br>number<br>and<br>Citizenship## | Percentage<br>owned | Black  | Indicate if<br>youth                                     | Indicate if<br>woman                                     | Indicate if<br>person with<br>disability                 | Indicate if living<br>in rural / under<br>developed<br>area/township | Indicate if military<br>veteran                          |
|--------------------|---|---------------------|--|--|--|--|--|--|
| 1.                 |   | %                   | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No             | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 2.                 |   | %                   | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No             | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 3.                 |   | %                   | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No             | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 4.                 |   | %                   | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No             | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 5.                 |   | %                   | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No             | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 6.                 |   | %                   | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No             | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 7.                 |   | %                   | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No             | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 8.                 |   | %                   | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No             | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 9.                 |   | %                   | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No             | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 10.                |   | %                   | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No             | <input type="checkbox"/> Yes <input type="checkbox"/> No |

# Where Owners are themselves a Company, Close Corporation, Partnership etc, identify the ownership of the Holding Company, together with Registration number  
## State date of South African citizenship obtained (not applicable to persons born in South Africa )

<sup>1</sup> EME: Exempted Micro Enterprise<sup>2</sup> QSE: Qualifying Small Business Enterprise

WCS no.

**2. DECLARATION:**

**The undersigned, who warrants that he/she is duly authorized to do so on behalf of the Tenderer, hereby confirms that:**

- 1 The information and particulars contained in this Affidavit are true and correct in all respects;
- 2 The Broad-based Black Economic Empowerment Act, 2003 (Act 53 of 2003), Preferential Procurement Policy Framework Act, 2000 (Act 5 of 2000), National Small Business Act 102 of 1996 as amended and all documents pertaining to this Tender were studied and understood and that the above form was completed according to the definitions and information contained in said documents;
- 3 The Tenderer understands that any intentional misrepresentation or fraudulent information provided herein shall disqualify the Tenderer's offer herein, as well as any other tender offer(s) of the Tenderer simultaneously being evaluated, or will entitle the Employer to cancel any Contract resulting from the Tenderer's offer herein;
- 4 The Tenderer accepts that the Employer may exercise any other remedy it may have in law and in the Contract, including a claim for damages for having to accept a less favourable tender as a result of any such disqualification due to misrepresentation or fraudulent information provided herein;
- 5 Any further documentary proof required by the Employer regarding the information provided herein, will be submitted to the Employer within the time period as may be set by the latter;

**Signed by the Tenderer**

|                               |                  |             |
|-------------------------------|------------------|-------------|
|                               |                  |             |
| <b>Name of representative</b> | <b>Signature</b> | <b>Date</b> |

## DPW-16.1(PSB): TENDERCLARIFICATION MEETING CERTIFICATE

|                               |  |                      |  |
|-------------------------------|--|----------------------|--|
| <b>Project title:</b>         | <b>Environmental Assessment Services</b> for: Drafting of Environmental Implementation Plans and Environmental Management Plans for each of the 13 Proclaimed Fishing Harbours in the Western Cape |                      |  |
| <b>Tender / Quotation no:</b> | HP23/028GS   | <b>Reference no:</b> |  |

This is to certify that I, \_\_\_\_\_

representing \_\_\_\_\_

attended the tender clarification meeting on: \_\_\_\_\_

I further certify that I am satisfied with the description of the work and explanations given at the tender clarification meeting and that I understand the work to be done, as specified and implied, in the execution of this contract.

|                  |           |      |
|------------------|-----------|------|
|                  |           |      |
| Name of Tenderer | Signature | Date |

|                            |           |      |
|----------------------------|-----------|------|
|                            |           |      |
| Name of DPW Representative | Signature | Date |

## DPW-21 (EC): RECORD OF ADDENDA TO TENDER DOCUMENTS

|                               |   |                      |  |
|-------------------------------|---|----------------------|--|
| <b>Project title:</b>         | <b>Environmental Assessment Services</b> for: Drafting of Environmental Implementation Plans and Environmental Management Plans for each of the 13 Proclaimed Fishing Harbours in the Western Cape. |                      |  |
| <b>Tender / Quotation no:</b> | HP23/028GS  | <b>Reference no:</b> |  |

1. I / We confirm that the following communications received from the Department of Public Works before the submission of this tender offer, amending the tender documents, have been taken into account in this tender offer: *(Attach additional pages if more space is required)*

|     | Date | Title or Details |
|-----|------|------------------|
| 1.  |      |                  |
| 2.  |      |                  |
| 3.  |      |                  |
| 4.  |      |                  |
| 5.  |      |                  |
| 6.  |      |                  |
| 7.  |      |                  |
| 8.  |      |                  |
| 9.  |      |                  |
| 10. |      |                  |

|                  |           |      |
|------------------|-----------|------|
|                  |           |      |
| Name of Tenderer | Signature | Date |

2. I / We confirm that no communications were received from the Department of Public Works before the submission of this tender offer, amending the tender documents.

|                  |           |      |
|------------------|-----------|------|
|                  |           |      |
| Name of Tenderer | Signature | Date |



## PA-11: BIDDER'S DISCLOSURE

|                               |  |                      |  |
|-------------------------------|--|----------------------|--|
| <b>Project title:</b>         | <b>Environmental Assessment Services for:</b> Drafting of Environmental Implementation Plans and Environmental Management Plans for each of the 13 Proclaimed Fishing Harbours in the Western Cape |                      |  |
| <b>Tender / Quotation no:</b> | HP23/028GS   | <b>Reference no:</b> |  |

### 1. PURPOSE OF THE FORM

Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

### 2. BIDDER'S DECLARATION

- 2.1 Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest<sup>3</sup> in the enterprise, employed by the state?

☐ YES ☐ NO

- 2.1.1 If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

| Full Name | Identity Number | Name of State institution |
|-----------|-----------------|---------------------------|
|           |                 |                           |
|           |                 |                           |
|           |                 |                           |
|           |                 |                           |
|           |                 |                           |
|           |                 |                           |

<sup>(3)</sup> the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.

Any reference to words "Bid" or Bidder" herein and/or in any other documentation shall be construed to have the same meaning as the words "Tender" or "Tenderer".

For External Use

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2.2 Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution?

☐ YES ☐ NO

2.2.1 If so, furnish particulars:

.....  
.....

2.3 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are bidding for this contract?

☐ YES ☐ NO

2.3.1 If so, furnish particulars:

.....  
.....

### 3. DECLARATION

I, the undersigned, (name)..... in submitting the accompanying bid, do hereby make the following statements that I declare to be true and complete in every respect:

3.1 I have read and I understand the contents of this disclosure;

3.2 I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect;

3.3 The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium<sup>4</sup> will not be construed as collusive bidding.

3.4 In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.

3.5 The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.

3.6 There have been no consultations, communications, agreements or arrangements made by the bidder with any official of the procuring institution in relation to this procurement process prior to and during the bidding process except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.

---

<sup>4</sup> Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

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- 3.7 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

**I DECLARE THAT THE INFORMATION FURNISHED IN PARAGRAPHS 1, 2 and 3 ABOVE IS CORRECT.  
I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.**

|                |           |      |          |
|----------------|-----------|------|----------|
|                |           |      |          |
| Name of Bidder | Signature | Date | Position |

This form is aligned to SBD 4.

## PA-15.1: RESOLUTION OF BOARD OF DIRECTORS

**RESOLUTION** of a meeting of the Board of \*Directors / Members / Partners of:

\_\_\_\_\_  
(legally correct full name and registration number, if applicable, of the Enterprise)

Held at \_\_\_\_\_ (place)

on \_\_\_\_\_ (date)

**RESOLVED that:**

- 1 The Enterprise submits a Tender to the Department of Public Works in respect of the following project:

\_\_\_\_\_  
(project description as per Tender Document)

Tender Number: \_\_\_\_\_ (Tender Number as per Tender Document)

- 2 \*Mr/Mrs/Ms: \_\_\_\_\_

in \*his/her Capacity as: \_\_\_\_\_ (Position in the Enterprise)

and who will sign as follows:

\_\_\_\_\_  
be, and is hereby, authorised to sign the Tender, and any and all other documents and/or correspondence in connection with and relating to the Tender, as well as to sign any Contract, and any and all documentation, resulting from the award of the Tender to the Enterprise mentioned above.

|    | Name | Capacity | Signature |
|----|------|----------|-----------|
| 1  |      |          |           |
| 2  |      |          |           |
| 3  |      |          |           |
| 4  |      |          |           |
| 5  |      |          |           |
| 6  |      |          |           |
| 7  |      |          |           |
| 8  |      |          |           |
| 9  |      |          |           |
| 10 |      |          |           |
| 11 |      |          |           |
| 12 |      |          |           |

|    |  |  |  |
|----|--|--|--|
| 13 |  |  |  |
| 14 |  |  |  |
| 15 |  |  |  |
| 16 |  |  |  |
| 17 |  |  |  |
| 18 |  |  |  |
| 19 |  |  |  |
| 20 |  |  |  |

**Note:**

1. \* Delete which is not applicable.
2. **NB:** This resolution must, where possible, be signed by all the Directors / Members / Partners of the Tendering Enterprise.
3. In the event that paragraph 2 cannot be complied with, the resolution must be signed by Directors / Members / Partners holding a majority of the shares / ownership of the Tendering Enterprise (attach proof of shareholding / ownership hereto).
4. Directors / Members / Partners of the Tendering Enterprise may alternatively appoint a person to sign this document on behalf of the Tendering Enterprise, which person must be so authorized by way of a duly completed power of attorney, signed by the Directors / Members / Partners holding a majority of the shares / ownership of the Tendering Enterprise (proof of shareholding / ownership and power of attorney are to be attached hereto).
5. Should the number of Directors / Members / Partners exceed the space available above, additional names and signatures must be supplied on a separate page.

**ENTERPRISE STAMP**

## PA-15.2: RESOLUTION OF BOARD OF DIRECTORS TO ENTER INTO CONSORTIA OR JOINT VENTURES

**RESOLUTION** of a meeting of the Board of \*Directors / Members / Partners of:

\_\_\_\_\_  
*(legally correct full name and registration number, if applicable, of the Enterprise)*

Held at \_\_\_\_\_ *(place)*

on \_\_\_\_\_ *(date)*

**RESOLVED that:**

1. The Enterprise submits a Tender, in consortium/joint venture with the following Enterprises:

\_\_\_\_\_  
*(list all the legally correct full names and registration numbers, if applicable, of the Enterprises forming the consortium/joint venture)*

to the Department of Public Works in respect of the following project:

\_\_\_\_\_  
*(project description as per Tender Document)*

Tender Number: \_\_\_\_\_ *(Tender Number as per Tender Document)*

- 1 \*Mr/Mrs/Ms: \_\_\_\_\_

in \*his/her Capacity as: \_\_\_\_\_ *(Position in the Enterprise)*

and who will sign as follows:

\_\_\_\_\_  
be, and is hereby, authorised to sign a consortium/joint venture agreement with the parties listed under item 1 above, and any and all other documents and/or correspondence in connection with and relating to the consortium/joint venture, in respect of the project described under item 1 above.

- 2 The Enterprise accept joint and several liability with the parties listed under item 1 above for the due fulfilment of the obligations of the joint venture deriving from, and in any way connected with, the Contract to be entered into with the Department in respect of the project described under item 1 above.
- 3 The Enterprise chooses as its *domicilium citandi et executandi* for all purposes arising from this joint venture agreement and the Contract with the Department in respect of the project under item 1 above:

Physical address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_ *(code)*

Postal Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_ (code)

Telephone number: \_\_\_\_\_ Fax number: \_\_\_\_\_

|    | Name | Capacity | Signature |
|----|------|----------|-----------|
| 1  |      |          |           |
| 2  |      |          |           |
| 3  |      |          |           |
| 4  |      |          |           |
| 5  |      |          |           |
| 6  |      |          |           |
| 7  |      |          |           |
| 8  |      |          |           |
| 9  |      |          |           |
| 10 |      |          |           |

The tendering enterprise hereby absolves the Department of Public Works from any liability whatsoever that may arise as a result of this document being signed.

**Note:**

1. \* Delete which is not applicable.
2. **NB:** This resolution must, where possible, be signed by all the Directors / Members / Partners of the Tendering Enterprise.
3. In the event that paragraph 2 cannot be complied with, the resolution must be signed by Directors / Members / Partners holding a majority of the shares / ownership of the Tendering Enterprise (attach proof of shareholding / ownership hereto).
4. Directors / Members / Partners of the Tendering Enterprise may alternatively appoint a person to sign this document on behalf of the Tendering Enterprise, which person must be so authorized by way of a duly completed power of attorney, signed by the Directors / Members / Partners holding a majority of the shares / ownership of the Tendering Enterprise (proof of shareholding / ownership and power of attorney are to be attached hereto).
5. Should the number of Directors / Members / Partners exceed the space available above, additional names and signatures must be supplied on a separate page.

**ENTERPRISE STAMP**

## PA-15.3: SPECIAL RESOLUTION OF CONSORTIA OR JOINT VENTURES

**RESOLUTION** of a meeting of the duly authorised representatives of the following legal entities who have entered into a consortium/joint venture to jointly tender for the project mentioned below: *(legally correct full names and registration numbers, if applicable, of the Enterprises forming a consortium/joint venture)*

1 \_\_\_\_\_  
\_\_\_\_\_  
2 \_\_\_\_\_  
\_\_\_\_\_  
3 \_\_\_\_\_  
\_\_\_\_\_  
4 \_\_\_\_\_  
\_\_\_\_\_  
5 \_\_\_\_\_  
\_\_\_\_\_  
6 \_\_\_\_\_  
\_\_\_\_\_  
7 \_\_\_\_\_  
\_\_\_\_\_  
8 \_\_\_\_\_  
\_\_\_\_\_

Held at \_\_\_\_\_ *(place)*

on \_\_\_\_\_ *(date)*

### RESOLVED that:

- A. The above-mentioned Enterprises submit a tender in consortium/joint venture to the Department of Public Works & Infrastructure in respect of the following project:

\_\_\_\_\_  
\_\_\_\_\_  
*(project description as per Tender Document)*

Tender Number: \_\_\_\_\_ *(tender number as per Tender Document)*



B. Mr/Mrs/Ms: \_\_\_\_\_

in \*his/her Capacity as: \_\_\_\_\_ (position in theEnterprise)

and who will sign as follows: \_\_\_\_\_

be, and is hereby, authorised to sign the tender, and any and all other documents and/or correspondence in connection with and relating to the tender, as well as to sign any Contract, and any and all documentation, resulting from the award of the tender to the Enterprises in consortium/joint venture mentioned above.

C. The Enterprises constituting the consortium/joint venture, notwithstanding its composition, shall conduct all business under the name and style of:

\_\_\_\_\_

D. The Enterprises to the consortium/joint venture accept joint and several liability for the due fulfilment of the obligations of the consortium/joint venture deriving from, and in any way connected with, the Contract entered into with the Department in respect of the project described under item A above.

E. Any of the Enterprises to the consortium/joint venture intending to terminate the consortium/joint venture agreement, for whatever reason, shall give the Department 30 days' written notice of such intention. Notwithstanding such decision to terminate, the Enterprises shall remain jointly and severally liable to the Department for the due fulfilment of the obligations of the consortium/joint venture as mentioned under item D above.

F. No Enterprise to the consortium/joint venture shall, without the prior written consent of the other Enterprises to the consortium/joint venture and of the Department, cede any of its rights or assign any of its obligations under the consortium/joint venture agreement in relation to the Contract with the Department referred to herein.

G. The Enterprises choose as the *domicilium citandi et executandi* of the consortium/joint venture for all purposes arising from the consortium/joint venture agreement and the Contract with the Department in respect of the project under item A above:

Physical address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_ (code)

Postal Address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_ (code)

Telephone number \_\_\_\_\_ Fax number: \_\_\_\_\_

E-mail address: \_\_\_\_\_

|    | Name | Capacity | Signature |
|----|------|----------|-----------|
| 1  |      |          |           |
| 2  |      |          |           |
| 3  |      |          |           |
| 4  |      |          |           |
| 5  |      |          |           |
| 6  |      |          |           |
| 7  |      |          |           |
| 8  |      |          |           |
| 9  |      |          |           |
| 10 |      |          |           |
| 11 |      |          |           |
| 12 |      |          |           |
| 13 |      |          |           |
| 14 |      |          |           |
| 15 |      |          |           |

The tendering enterprise hereby absolves the Department of Public Works & Infrastructure from any liability whatsoever that may arise as a result of this document being signed.

**Note:**

1. \* Delete which is not applicable.
2. **NB:** This resolution must be signed by all the Duly Authorised Representatives of the Legal Entities to the consortium/joint venture submitting this tender, as named in item 2 of Resolution PA-15.2.
3. Should the number of the Duly Authorised Representatives of the Legal Entities joining forces in this tender exceed the space available above, additional names, capacity and signatures must be supplied on a separate page.
4. Resolution PA-15.2, duly completed and signed, from the separate Enterprises who participate in this consortium/joint venture, must be attached to this Special Resolution (PA-15.3).

**B-BBEE EXEMPTED AFFIDAVIT FOR EXEMPTED MICRO ENTERPRISES  
(ISSUED IN TERMS OF THE AMENDED CONSTRUCTION SECTOR CODE)**

**(Gazette Vol. 630 No. 41287)**  
Issued in terms of paragraph 3.6.2.4.1 (B)

I, the undersigned,

|                                |  |
|--------------------------------|--|
| <b>Full name &amp; Surname</b> |  |
| <b>Identity number</b>         |  |

Hereby declare under oath as follows:

- 1) The contents of this statement are to the best of my knowledge a true reflection of the facts.
- 2) I am a Member ☐ / Director ☐ / Owner ☐ **(Select one)** of the following enterprise and am duly authorised to act on its behalf:

|   |  |                   |                 |
|---|--|-------------------|-----------------|
| <b>Enterprise Name:</b>                         |  |                   |                 |
| <b>Trading Name (If Applicable):</b>            |  |                   |                 |
| <b>Registration Number:</b>                     |  |                   |                 |
| <b>Enterprise Physical Address:</b>             |  |                   |                 |
| <b>Type of Entity (CC, (Pty) Ltd, Sole Prop</b> |  |                   |                 |
| <b>Nature of Construction Business:</b>         | <b>BEP</b><br>(Built Environment Professional)   | <b>Contractor</b> | <b>Supplier</b> |
| <b>Definition of "Black People"</b>             | As per the Broad-Based Black Economic Empowerment Act 53 of 2003 as Amended by Act No 46 of 2013 "Black People" is a generic term which means Africans, Coloureds and Indians – who are citizens of the Republic of South Africa by birth or descent; or who became citizens of the Republic of South Africa by naturalization before 27 April 1994; or after 27 April 1994 and who would have been entitled to acquire  |                   |                 |
| <b>Definition of "Black Designated Groups"</b>  | "Black Designated Groups" means:<br>(a) unemployed black people not attending and not required by law to attend an educational institution and not awaiting admission to an educational institution;<br>(b) Black people who are youth as defined in the National Youth Commission Act of 1996;<br>(c) Black people who are persons with disabilities as defined in the Code of Good Practice on employment of people with disabilities issued under the Employment Equity Act;<br>(d) Black people living in rural and under developed areas; |                   |                 |

- 3) I hereby declare under Oath that as per Amended Code Series 100 of the Amended Codes of Good Practice issued under section 9 (1) of B-BBEE Act No 53 of 2003 as Amended by Act No 46 of 2013,
  - ☐ The Enterprise is \_\_\_\_\_ % Black Owned
  - ☐ The Enterprise is \_\_\_\_\_ % Black Female Owned
  - ☐ The Enterprise is \_\_\_\_\_ % Owned by Black Designated Group (provide Black Designated Group Breakdown below as per the definition in the table above)
    - Black Youth % \_\_\_\_\_ %
    - Black Disabled % \_\_\_\_\_ %
    - Black Unemployed % \_\_\_\_\_ %
    - Black People living in Rural areas % \_\_\_\_\_ %
    - Black Military Veterans % \_\_\_\_\_ %

- 4) Based on the ☐ Financial Statements or ☐ Management Accounts and other information available

on the latest financial year-end of \_\_\_\_/\_\_\_\_/\_\_\_\_, (format: day/month/year) the annual Total Revenue was less than the applicable amount confirmed by ticking the applicable box below (Select applicable).

|            |              |  |
|------------|--------------|--|
| BEP        | R1.8 million |  |
| Contractor | R3.0 million |  |
| Supplier   | R3.0 million |  |

*If the turnover exceeds the applicable amount in the table above then this affidavit is no longer applicable and an EME certificate must be obtained from a rating agency accredited by SANAS or when applicable a B-BBEE Verification Professional Regulator appointed by the Minister of Trade and Industry.*

☐ Please Confirm on the below table the B-BBEE Level Contributor, **by ticking the applicable box below.**

|                           |   |  |
|---------------------------|---|--|
| 100% Black Owned          | <b>Level One</b> (135% B-BBEE procurement recognition level)  |  |
| At least 51% Black Owned  | <b>Level Two</b> (125% B-BBEE procurement recognition level)  |  |
| At least 30% Black Owned  | <b>Level Four</b> (100% B-BBEE procurement recognition level) |  |
| Less than 30% Black Owned | <b>Level Five</b> (80% B-BBEE procurement recognition level)  |  |

5) I know and understand the contents of this affidavit and I have no objection to take the prescribed oath and consider the oath binding on my conscience and on the Owners of the Enterprise which I represent in this matter.

6) The sworn affidavit will be valid for a period of 12 months from the date signed by commissioner.

Deponent Signature \_\_\_\_\_

Date: \_\_\_\_\_

\_\_\_\_\_  
Commissioner of Oaths  
Signature & stamp

Commissioner of Oath Stamp

## PA-16: PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL PROCUREMENT REGULATIONS 2022

This preference form must form part of all tenders invited. It contains general information and serves as a claim form for preference points for specific goals.

**NB: BEFORE COMPLETING THIS FORM, TENDERERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF THE TENDER AND PREFERENTIAL PROCUREMENT REGULATIONS, 2022**

### 1. GENERAL CONDITIONS

1.1 The following preference point systems are applicable to invitations to tender:

- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
- the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

### 1.2 Preference Points System to be applied

(tick whichever is applicable).

- ☒ The applicable preference point system for this tender is the **80/20** preference point system.
- ☐ The applicable preference point system for this tender is the **90/10** preference point system.
- ☐ Either the **90/10** or **80/20** preference point system will be applicable in this tender. The lowest/ highest acceptable tender will be used to determine the accurate system once tenders are received.

### 1.3 Points for this tender shall be awarded for:

1.3.1 Price; and

1.3.2 Specific Goals

### 1.4 The maximum points for this tender are allocated as follows:

| CHOOSE APPLICABLE PREFERENCE POINT SCORING SYSTEM | <input checked="" type="checkbox"/> 80/20 | <input type="checkbox"/> 90/10 |
|---|---|--------------------------------|
| PRICE   | 80  | 90                             |
| SPECIFIC GOALS                                    | 20  | 10                             |
| Total points for Price and Specific Goals         | 100                                       | 100                            |

### 1.5 Breakdown Allocation of Specific Goals Points

1.5.1 For Procurement transactions with rand value greater than R2 000.00 and up to R1 Million (Inclusive of all applicable taxes), the specific goals as listed in table 1 below are applicable.

All Acquisitions

Table 1

| Serial No | Specific Goals  | Preference Points Allocated out of 20 | Documentation to be submitted by bidders to validate their claim  |
|-----------|---|---------------------------------------|---|
| 1.        | An EME or QSE which is at least 51% owned by black people (Mandatory) | 10                                    | <ul style="list-style-type: none"> <li>SANAS Accredited BBBEE Certificate or Sworn Affidavit where applicable.</li> </ul> |

|    |   |   |  |
|----|---|---|--|
| 2. | Located in a specific Local Municipality or District Municipality or Metro or Province area for work to be done or services to be rendered in that area (Mandatory) | 2 | <ul style="list-style-type: none"> <li>Official Municipal Rates Statement which is in the name of the bidder.</li> </ul> Or <ul style="list-style-type: none"> <li>Any account or statement which is in the name of the bidder.</li> </ul> Or <ul style="list-style-type: none"> <li>Permission To Occupy from local chief in case of rural areas (PTO) which is in the name of the bidder.</li> </ul> Or <ul style="list-style-type: none"> <li>Lease Agreement which is in the name of the bidder.</li> </ul>  |
| 3. | An EME or QSE which is at least 51% owned by black women (Mandatory)  | 4 | <ul style="list-style-type: none"> <li>SANAS Accredited BBBEE Certificate or Sworn Affidavit where applicable.</li> </ul>  |
| 4. | An EME or QSE which is at least 51% owned by black people with disability (Mandatory)   | 2 | <ul style="list-style-type: none"> <li>SANAS Accredited BBBEE Certificate or Sworn Affidavit where applicable.</li> </ul> and <ul style="list-style-type: none"> <li>Medical Certificate indicating that the disability is permanent.</li> </ul> Or <ul style="list-style-type: none"> <li>South African Social Security Agency (SASSA) Registration indicating that the disability is permanent.</li> </ul> Or <ul style="list-style-type: none"> <li>National Council for Persons with Physical Disability in South Africa registration (NCPDSA).</li> </ul> |
| 5. | An EME or QSE which is at least 51% owned by black youth (Mandatory)  | 2 | <ul style="list-style-type: none"> <li>ID Copy and SANAS Accredited BBBEE Certificate or Sworn Affidavit where applicable.</li> </ul>  |

**1.5.2 For procurement transactions with rand value greater than R1 Million and up to R50 Million (Inclusive of all applicable taxes) the specific goals as listed in table 2 below are applicable:**

All Acquisitions  
Table 2

| Serial No | Specific Goals  | Preference Points Allocated out of 20 | Documentation to be submitted by bidders to validate their claim  |
|-----------|---|---------------------------------------|---|
| 1.        | An EME or QSE or any entity which is at least 51% owned by black people (Mandatory)   | 10                                    | <ul style="list-style-type: none"> <li>SANAS Accredited BBBEE Certificate or Sworn Affidavit where applicable.</li> </ul>   |
| 2.        | Located in a specific Local Municipality or District Municipality or Metro or Province area for work to be done or services to be rendered in that area (Mandatory) | 2                                     | <ul style="list-style-type: none"> <li>Official Municipal Rates Statement which is in the name of the bidder.</li> </ul> Or <ul style="list-style-type: none"> <li>Any account or statement which is in the name of the bidder.</li> </ul> Or <ul style="list-style-type: none"> <li>Permission To Occupy from local chief in case of rural areas (PTO) which is in the name of the bidder.</li> </ul> Or <ul style="list-style-type: none"> <li>Lease Agreement which is in the name of the bidder.</li> </ul> |

|    |   |   |   |
|----|---|---|---|
| 3. | An EME or QSE or any entity which is at least 51% owned by black women (Mandatory)                  | 4 | <ul style="list-style-type: none"> <li>SANAS Accredited BBBEE Certificate or Sworn Affidavit where applicable.</li> </ul>   |
| 4. | An EME or QSE or any entity which is at least 51% owned by black people with disability (Mandatory) | 2 | <ul style="list-style-type: none"> <li>SANAS Accredited BBBEE Certificate or Sworn Affidavit where applicable.</li> </ul> and <ul style="list-style-type: none"> <li>Medical Certificate indicating that the disability is permanent.</li> </ul> Or <ul style="list-style-type: none"> <li>South African Social Security Agency (SASSA) Registration indicating that the disability is permanent.</li> </ul> Or <ul style="list-style-type: none"> <li>National Council for Persons with Physical Disability in South Africa registration (NCPDPSA).</li> </ul> |
| 5. | An EME or QSE or any entity which is at least 51% owned by black youth (Mandatory)                  | 2 | <ul style="list-style-type: none"> <li>ID Copy and SANAS Accredited BBBEE Certificate or Sworn Affidavit where applicable.</li> </ul>   |

**1.5.3 For procurement transactions with rand value greater than R50 Million (Inclusive of all applicable taxes) the specific goals as listed in table 3 below are applicable**

All Acquisitions

Table 3

| Serial No                   | Specific Goals  | Preference Points Allocated out of 10 | Documentation to be submitted by bidders to validate their claim  |
|-----------------------------|---|---------------------------------------|---|
| 1.                          | An EME or QSE or any entity which is at least 51% owned by black people (Mandatory)   | 4                                     | <ul style="list-style-type: none"> <li>SANAS Accredited BBBEE Certificate or Sworn Affidavit where applicable.</li> </ul>   |
| 2.                          | Located in a specific Local Municipality or District Municipality or Metro or Province area for work to be done or services to be rendered in that area (Mandatory) | 2                                     | <ul style="list-style-type: none"> <li>Official Municipal Rates Statement which is in the name of the bidder.</li> </ul> Or <ul style="list-style-type: none"> <li>Any account or statement which is in the name of the bidder.</li> </ul> Or <ul style="list-style-type: none"> <li>Permission To Occupy from local chief in case of rural areas (PTO) which is in the name of the bidder.</li> </ul> Or <ul style="list-style-type: none"> <li>Lease Agreement which is in the name of the bidder.</li> </ul> |
| 3.                          | An EME or QSE or any entity which is at least 51% owned by black women (mandatory)  | 2                                     | <ul style="list-style-type: none"> <li>SANAS Accredited BBBEE Certificate or Sworn Affidavit where applicable.</li> </ul>   |
| 4. <input type="checkbox"/> | An EME or QSE which is at least 51% owned by black people with disability (Mandatory)   | 2                                     | <ul style="list-style-type: none"> <li>SANAS Accredited BBBEE Certificate or Sworn Affidavit where applicable.</li> </ul> and <ul style="list-style-type: none"> <li>Medical Certificate indicating that the disability is permanent.</li> </ul> Or   |

| Serial No                   | Specific Goals  | Preference Points Allocated out of 10 | Documentation to be submitted by bidders to validate their claim  |
|-----------------------------|---|---------------------------------------|---|
| 5. <input type="checkbox"/> | <p><b>OR</b></p> <p>An EME or QSE which is at least 51% owned by black youth (Mandatory)</p> <p><b>NB. (The use of this goal is mandatory however the BSC must select either one of the two and not both)</b></p> |                                       | <ul style="list-style-type: none"> <li>South African Social Security Agency (SASSA) Registration indicating that the disability is permanent.</li> </ul> <p>Or</p> <ul style="list-style-type: none"> <li>National Council for Persons with Physical Disability in South Africa registration (NCPDPSA).</li> <li>ID Copy and SANAS Accredited BBBEE Certificate or Sworn Affidavit where applicable.</li> </ul> |

**Black people** mean Africans, Coloureds and Indians, who - (a) are citizens of the Republic of South Africa by birth or descent; or (b) became citizens of the Republic of South Africa by naturalisation - (i) before 27 April 1994; or (ii) on or after 27 April 1994 and who would have been entitled to acquire citizenship by naturalisation prior to that date. (BROAD-BASED BLACK ECONOMIC EMPOWERMENT ACT No 25899, 2003 of 9 JANUARY 2004).

- 1.6 Failure on the part of the tenderer to submit proof or documentation required in terms of this tender to claim points for specific goals, if the service provider/ tenderer did not submit proof or documentation required to claim for specific goals will be interpreted to mean that preference points for specific goals are not claimed.
- 1.7 The organ of state reserves the right to require of a service provider/tenderer, either before a tender is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the organ of state.

## 2. DEFINITIONS

- (a) **“tender”** means a written offer in the form determined by an organ of state in response to an invitation to provide goods or services through price quotations, competitive tendering process or any other method envisaged in legislation;
- (b) **“price”** means an amount of money tendered for goods or services, and includes all applicable taxes less all unconditional discounts;
- (c) **“rand value”** means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes;
- (d) **“tender for income-generating contracts”** means a written offer in the form determined by an organ of state in response to an invitation for the origination of income-generating contracts through any method envisaged in legislation that will result in a legal agreement between the organ of state and a third party that produces revenue for the organ of state, and includes, but is not limited to, leasing and disposal of assets and concession contracts, excluding direct sales and disposal of assets through public auctions; and
- (e) **“the Act”** means the Preferential Procurement Policy Framework Act, 2000 (Act No. 5 of 2000).

## 3. FORMULAE FOR PROCUREMENT OF GOODS AND SERVICES

### 3.1. POINTS AWARDED FOR PRICE



### 3.1.1. THE 80/20 OR 90/10 PREFERENCE POINT SYSTEMS

A maximum of 80 or 90 points is allocated for price on the following basis:

$$\begin{array}{ccc} \mathbf{80/20} & \mathbf{or} & \mathbf{90/10} \\ \\ Ps = 80 \left( 1 - \frac{Pt - P_{min}}{P_{min}} \right) & or & Ps = 90 \left( 1 - \frac{Pt - P_{min}}{P_{min}} \right) \end{array}$$

Where

Ps = Points scored for price of tender under consideration  
Pt = Price of tender under consideration  
Pmin = Price of lowest acceptable tender

## 3.2. FORMULAE FOR DISPOSAL OR LEASING OF STATE ASSETS AND INCOME GENERATING PROCUREMENT

### 3.2.1. POINTS AWARDED FOR PRICE

A maximum of 80 or 90 points is allocated for price on the following basis:

$$\begin{array}{ccc} \mathbf{80/20} & \mathbf{or} & \mathbf{90/10} \\ \\ Ps = 80 \left( 1 + \frac{Pt - P_{max}}{P_{max}} \right) & or & Ps = 90 \left( 1 + \frac{Pt - P_{max}}{P_{max}} \right) \end{array}$$

Where

Ps = Points scored for price of tender under consideration  
Pt = Price of tender under consideration  
Pmax = Price of highest acceptable tender

## 4. POINTS AWARDED FOR SPECIFIC GOALS

4.1. In terms of Regulation 4(2); 5(2); 6(2) and 7(2) of the Preferential Procurement Regulations, preference points must be awarded for specific goals stated in the tender. For the purposes of this tender the tenderer will be allocated points based on the goals stated in table 1,2 and 3 above as may be supported by proof/ documentation stated in the conditions of this tender:

4.2. In cases where organs of state intend to use Regulation 3(2) of the Regulations, which states that, if it is unclear whether the 80/20 or 90/10 preference point system applies, an organ of state must, in the tender documents, stipulate in the case of—

- (a) an invitation for tender for income-generating contracts, that either the 80/20 or 90/10 preference point system will apply and that the highest acceptable tender will be used to determine the applicable preference point system; or
- (b) any other invitation for tender, that either the 80/20 or 90/10 preference point system will apply and that the lowest acceptable tender will be used to determine the applicable preference point system,

then the organ of state must indicate the points allocated for specific goals for both the 90/10 and 80/20 preference point system.

**Table 1: Specific goals for the tender and points claimed are indicated per the table below.**

*(Note to organs of state: Where either the 90/10 or 80/20 preference point system is applicable, corresponding points must also be indicated as such.)*

*Note to tenderers: The tenderer must indicate how they claim points for each preference point system.)*

| The specific goals allocated points in terms of this tender  | Number of points allocated (90/10 system)<br>(To be completed by the organ of state) | Number of points allocated (80/20 system)<br>(To be completed by the organ of state) | Number of points claimed (90/10 system)<br>(To be completed by the tenderer) | Number of points claimed (80/20 system)<br>(To be completed by the tenderer) |
|--|--|--|--|--|
| 1. An EME or QSE or any entity which is at least 51% owned by <b>Historically Disadvantaged Individuals (HDI)</b>  | 4  | 10   |  |  |
| 2. <b>Located</b> in a specific Local Municipality or District Municipality or Metro or Province area for work to be done or services to be rendered in that area  | 2  | 2  |  |  |
| 3. An EME or QSE or any entity which is at least 51% owned by <b>women</b>   | 2  | 4  |  |  |
| 4. An EME or QSE or any entity which is at least 51% owned by people with <b>disability</b> or   | 2  | 2  |  |  |
| 5. An EME or QSE or any entity which is at least 51% owned by <b>youth</b> .<br>(only one specific goal is applicable between specific goal number 4 and specific goal number 5 under 90/10 Preference Point System) | 2  | 2  |  |  |

**Note:** \*in respect of the 90/10 point system a selection of either disability or youth may be made with an allocation of 2 points for either of them.

## 5. DECLARATION WITH REGARD TO COMPANY/FIRM

5.1. Name of company/firm.....

5.2. Company registration number: .....

5.3. TYPE OF COMPANY/ FIRM

Partnership/Joint Venture / Consortium  
One-person business/sole propriety

Close corporation  
Public Company  
Personal Liability Company  
(Pty) Limited  
Non-Profit Company  
State Owned Company

[TICK APPLICABLE BOX]

5.4. I, the undersigned, who is duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the specific goals as advised in the tender, qualifies the company/ firm for the preference(s) shown and I acknowledge that:

- i) The information furnished is true and correct;
- ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;
- iii) In the event of a contract being awarded as a result of points claimed as shown in paragraphs 1.4 and 4.2, the contractor may be required to furnish documentary proof to the satisfaction of the organ of state that the claims are correct;
- iv) If the specific goals have been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the organ of state may, in addition to any other remedy it may have –
  - (a) disqualify the person from the tendering process;
  - (b) recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;
  - (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
  - (d) recommend that the tenderer or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied; and
  - (e) forward the matter for criminal prosecution, if deemed necessary.

|  |                         |
|--|-------------------------|
| <p>.....</p> <p><b>SIGNATURE(S) OF TENDERER(S)</b></p> |                         |
| <b>SURNAME AND NAME:</b>                               | .....                   |
| <b>DATE:</b>   | .....                   |
| <b>ADDRESS:</b>  | .....<br>.....<br>..... |

C1: AGREEMENT AND CONTRACT DATA

C1.1 Form of Offer and Acceptance

Offer

The Employer, identified in the acceptance signature block, has solicited offers to enter into a contract for the procurement of:

Environmental Assessment Services

on the Project:

Drafting of Environmental Implementation Plans and Environmental Management Plans for each of the 13 Proclaimed Fishing Harbours in the Western Cape

The tenderer, identified in the offer signature block, has examined the documents listed in the Tender Data and addenda thereto as listed in the returnable schedules, and by submitting this offer has accepted the conditions of tender.

By the representative of the tenderer, deemed to be duly authorized, signing this part of this form of offer and acceptance, the tenderer offers to perform all of the obligations and liabilities of the Service Provider under the Contract including compliance with all its terms and conditions according to their true intent and meaning for remuneration to be determined in accordance with the conditions of Contract identified in the Contract Data.

The offered price for this service as described in C3 Scope of Services, inclusive of all applicable taxes (“all applicable taxes” includes value- added tax, pay as you earn, income tax, unemployment insurance fund contributions and skills development levies), is:

Rand (in figures) R .....

Rand (in words).....

.....

The amount in words takes precedence over the amount in figures. The award of the tender may be subjected to price negotiation with the preferred tender(s). The negotiated and agreed price will be considered for acceptance as **a firm and final offer**.

This offer may be accepted by the Employer by signing the acceptance part of this form of offer and acceptance and returning one copy of this document to the tenderer before the end of the period of validity stated in the Tender Data, whereupon the tenderer becomes the party named as the Service Provider in the conditions of Contract identified in the Contract Data.

THIS OFFER IS MADE BY THE FOLLOWING LEGAL ENTITY: (cross out block which is not applicable)

Company or close corporation:

.....

.....

whose registration number is:

.....

whose income tax reference number is:

.....

and whose National Treasury Central Supplier Database (CSD) numbers are:

CSD supplier number: .....

Tax Compliance Status Pin (TCSP).....

OR

Natural person or partnership:

.....

.....

whose identity number(s) is/are:

.....

whose income tax reference number is/are:

.....

and whose National Treasury Central Supplier Database (CSD) numbers are:

CSD supplier number: .....

Tax Compliance Status Pin (TCSP).....

**AND WHO IS** (if applicable):

|  |
|--|
| Trading under the name and style of: ..... |
|--|

**AND WHO IS:**

|  |   |
|--|---|
| Represented herein, and who is duly authorised to do so, by:<br><br>Mr/Mrs/Ms: .....<br><br>In his/her capacity as:<br>..... | <b>Note:</b><br><br><b>A resolution / power of attorney, signed by all the directors / members / partners of the legal entity must accompany this offer, authorising the representative to make this offer.</b> |
|--|---|

**SIGNED FOR THE TENDERER:**

|                        |           |      |
|------------------------|-----------|------|
|                        |           |      |
| Name of representative | Signature | Date |

**WITNESSED BY:**

|                 |           |      |
|-----------------|-----------|------|
|                 |           |      |
| Name of witness | Signature | Date |

The tenderer elects as its *domicilium citandi et executandi* in the Republic of South Africa, where any and all legal notices may be served, as (physical address):

.....  
.....

**Other contact details of the Tenderer are:**

Telephone no: ..... Cellular phone no: .....

Fax no: .....

Postal address: .....

E-mail address: .....

Banker: ..... Branch: .....

## Acceptance

By signing this part of this form of offer and acceptance, the Employer identified below accepts the tenderer's offer. In consideration thereof, the Employer shall pay the Service Provider the amount due in accordance with the conditions of Contract identified in the Contract Data. Acceptance of the tenderer's offer shall form an agreement between the Employer and the tenderer upon the terms and conditions contained in this agreement and in the Contract that is the subject of this agreement.

The terms of the Contract are contained in:

- Part C1 Agreements and Contract Data, (which includes this agreement)
- Part C2 Pricing Data
- Part C3 Scope of Services

and drawings and documents or parts thereof, which may be incorporated by reference into Parts C1 to C3 above.

Deviations from and amendments to the documents listed in the Tender Data and any addenda thereto as listed in the tender schedules as well as any changes to the terms of the offer agreed by the tenderer and the Employer during this process of offer and acceptance, are contained in the schedule of deviations attached to and forming part of this agreement. No amendments to or deviations from set documents are valid unless contained in this schedule.

The tenderer shall within two weeks after receiving a completed copy of this agreement, including the schedule of deviations (if any), contact the Employer's agent (whose details are given in the Contract Data) to arrange the delivery of any bonds, guarantees, proof of insurance and any other documentation to be provided in terms of the conditions of Contract identified in the Contract Data. Failure to fulfil any of these obligations in accordance with those terms shall constitute a repudiation of this agreement.

Notwithstanding anything contained herein, this agreement comes into effect, if sent by registered post, 4 days from the date on which it was posted, if delivered by hand, on the day of delivery, provided that it has been delivered during ordinary business hours, or if sent by fax, the first business day following the day on which it was faxed. Unless the tenderer (now Service Provider) within seven working days of the date of such submission notifies the Employer in writing of any reason why he cannot accept the contents of this agreement, this agreement shall constitute a binding contract between the Parties.

### For the Employer:

|                   |           |      |
|-------------------|-----------|------|
|                   |           |      |
| Name of signatory | Signature | Date |

|                                 |                            |
|---------------------------------|----------------------------|
| <b>Name of Organisation:</b>    | Department of Public Works |
| <b>Address of organisation:</b> |                            |

### Witnessed by:

|                 |           |      |
|-----------------|-----------|------|
|                 |           |      |
| Name of witness | Signature | Date |

## Schedule of Deviations

### Notes:

1. The extent of deviations from the tender documents issued by the Employer before the tender closing date is limited to those permitted in terms of the conditions of tender.
2. A tenderer's covering letter shall not be included in the final Contract document. Should any matter in such letter, which constitutes a deviation as aforesaid, become the subject of agreements reached during the process of offer and acceptance, the outcome of such agreement shall be recorded here.
3. Any other matter arising from the process of offer and acceptance either as a confirmation, clarification or change to the tender documents and which it is agreed by the Parties becomes an obligation of the Contract shall also be recorded here.
4. Any change or addition to the tender documents arising from the above agreements and recorded here, shall also be incorporated into the final draft of the Contract.

|                        |
|------------------------|
| <b>1.2.1. Subject:</b> |
| <b>Detail:</b>         |

|                        |
|------------------------|
| <b>1.2.2. Subject:</b> |
| <b>Detail:</b>         |

|                        |
|------------------------|
| <b>1.2.3. Subject:</b> |
| <b>Detail:</b>         |

|                        |
|------------------------|
| <b>1.2.4. Subject:</b> |
| <b>Detail:</b>         |

|                        |
|------------------------|
| <b>1.2.5. Subject:</b> |
| <b>Detail:</b>         |

|                        |
|------------------------|
| <b>1.2.6. Subject:</b> |
| <b>Detail:</b>         |

By the duly authorised representatives signing this agreement, the Employer and the tenderer agree to and accept the foregoing schedule of deviations as the only deviations from and amendments to the documents listed in the Tender Data and addenda thereto as listed in the tender schedules, as well as any confirmation, clarification or changes to the terms of the offer agreed by the tenderer and the Employer during this process of offer and acceptance.

It is expressly agreed that no other matter whether in writing, oral communication or implied during the period between the issue of the tender documents and the receipt by the tenderer of a completed signed copy of this Agreement shall have any meaning or effect in the Contract between the Parties arising from this agreement.

**C1.2 Contract Data**

**C1.2.1 Standard Professional Services Contract**

The conditions applicable to this Contract are the **Standard Professional Services Contract (July 2009 edition)** published by the **Construction Industry Development Board (CIDB)**.

The Standard Professional Service Contract is not included in this tender document. Tenderers must obtain it on the CIDB's Website at:

[http://www.CIDB.org.za/procurement/procurement\\_toolbox/CIDB\\_pub/default.aspx](http://www.CIDB.org.za/procurement/procurement_toolbox/CIDB_pub/default.aspx)

**C1.2.2 Data provided by the Employer**

| Clause |   |
|--------|---|
| 1      | <p><b>Contract Data</b></p> <p>Specific data, which together with these General Conditions of Contract, collectively describe the risks, liabilities and obligations of the contracting Parties and the procedures for the administration of the Contract. Clauses stipulated in the Contract Data are in addition to or amendments to or replace the corresponding clauses in the STANDARD PROFESSIONAL SERVICES CONTRACT (July 2009) (Third Edition of CIDB document 1014).</p> <p>The Contract Data shall have precedence in the interpretation of any ambiguity or inconsistency between it and the General Conditions of Contract.</p> <p>The General Conditions of Contract in the Standard Professional Services Contract (July 2009) make several references to the Contract Data for details that apply specifically to this tender.</p> |
| 1      | <p><b>Period of Performance</b></p> <p>The Period of Performance is the period commencing from the date of signature of the letter of acceptance until the Service Provider has completed all Deliverables in accordance with the Scope of Services and in accordance with the approved baseline programme or within the approved extended programme as per Clause 3.15 of the Standard Professional Services Contract (July 2009).</p>   |
| 3.4.1  | <p>Any notice, request, consent, approvals or other communication made between the Parties pursuant to the Contract shall be in writing and forwarded to the address specified in the Contract Data. Such communication shall be deemed to have been made when delivered in person to an authorized representative of the Party to whom the communication is addressed, or one week after having been sent by registered post, or unless otherwise indicated in the Contract Data. Communication by e-mail is permitted but the originals of all contractual documents must be submitted. Email communication shall be deemed to have been delivered to the recipient one calendar day after sending.</p>   |
| 3.5    | <p>The Services shall be executed in the Service Provider's own office and/or on the Project site as described in C3.2.2 Project description. No portion of the work may be performed by a person employed by the State. No portion of the work may be sublet to any other person or persons without the prior written approval of the Employer.</p>  |
| 3.6    | <p>Omit the following:<br/>"... within two (2) years of completion of the Service ...".</p>   |



|            |  |
|------------|--|
| 3.8.2      | <p>The cost of preparation so negotiated shall not exceed the hourly tariff for time based fees as published at the time of the Employer's instruction and the hours will be as negotiated for each Category as defined in C2.1.3.2.</p> <p>The cost, for incorporation into the Contract any variations to the Services as ordered by the Employer, shall be calculated according to the Service Provider's tendered price. Implementation of the variation in services to be rendered by the Service Provider, shall only proceed after a written agreement between the parties.</p>   |
| 3.9.1 (a)  | A change in legislation takes place in accordance with the provisions of Clause 3.2 provided the change in legislation came into effect after the completion of the tender documentation approved by the Employer.   |
| 3.9.1. (c) | The Employer, its employees or clients and/or their agents. Only extension of time without cost will considered in case where a 3 <sup>rd</sup> party has caused a delay.  |
| 3.9.1 (d)  | No Clause.   |
| 3.9.1 (e)  | The contract is restarted following a suspension period of 2 years the Service Provider is entitled to a change in contract price based on the applicable fee scales as published at the time of uplifting the suspension. The applicable fee scales as published at the time of uplifting the suspension will only be applied to the remaining work stages following the suspension   |
| 3.9.3      | <p>"Upon receiving an application from the Service Provider to amend the period of performance and/or fees, the Employer shall assess the changes to the Contract Price and/or extension of the Period of Performance on the impact of the delay on the Services based on the fee structure that the Service Provider has tendered for and appointed on.</p> <p>Additional Services ordered by the Employer shall be assessed on time-based fees as tendered for and adjusted in terms of price adjustment to time-based fees for inflation as per 3.16.</p>   |
| 3.9.4      | The application for changes to the Period of Performance is subject to the delay being on the Critical Path of the approved programme and due to no fault of the Service Provider.   |
| 3.12       | <p><b>Penalty</b></p> <p>Period of Performance shall be sub dividable in separate target dates according to the programme for each assignment to be submitted in terms of clause 3.15 hereof.</p> <p>Without prejudice to his other remedies under the Contract or in law, the Employer shall have the right to recover all cost claimed by the contractor in the event that the contractor claimed for delays resulting from actions or non-actions of the Service Provider.</p> <p>The Employer shall further have the right to recover all other cost, including but not restricted to extended rental cost resulting from the delay caused directly or indirectly by the Service Provider, certifying incorrect work for payment, delay in the construction period, remedial cost to correct incorrect designs and or documentation, including omissions.</p> <p>Without prejudice to his other remedies under the Contract or in law, the Employer shall recover a penalty amount per day, stipulated in the table below per target date for the full period of the delay.</p> <p>In the event that the delay exceeds 30 days, the Employer will have the option to either:</p> <ul style="list-style-type: none"> <li>(i) terminate the contract and recover any loss as a result of the termination from the Service Provider, or</li> <li>(ii) allow the Service Provider to continue with the Services after the agreement of a new target date and recover the penalty per calendar day, as stipulated in the table below for the full period of the delay.</li> </ul> |

|                          |             |       |             |                     |   |
|--------------------------|-------------|-------|-------------|---------------------|---|
| CALCULATION OF PENALTIES |             |       |             |                     |   |
| VALUE OF PROPERTY        |             |       |             | % PENALTIES OF FEES |   |
| 1                        | 1           | UP TO | 500,000     | 0.05000000          | % |
| 2                        | 500,001     | UP TO | 1,000,000   | 0.05000000          | % |
| 3                        | 1,000,001   | UP TO | 2,000,000   | 0.03750000          | % |
| 4                        | 2,000,001   | UP TO | 4,000,000   | 0.02500000          | % |
| 5                        | 4,000,001   | UP TO | 8,000,000   | 0.01875000          | % |
| 6                        | 8,000,001   | UP TO | 16,000,000  | 0.01250000          | % |
| 7                        | 16,000,001  | UP TO | 32,000,000  | 0.00781250          | % |
| 8                        | 32,000,001  | UP TO | 64,000,000  | 0.00468750          | % |
| 9                        | 64,000,001  | UP TO | 128,000,000 | 0.00273438          | % |
| 10                       | 128,000,001 | UP TO | 256,000,000 | 0.00156250          | % |
| 11                       | 256,000,001 | UP TO | 512,000,000 | 0.00087891          | % |
| 12                       | 512,000,001 |       | and above   | 0.00048828          | % |

Recovering of cost and or penalties may be done in the following ways:

- claim against professional indemnity insurance, or
- deduction from fee account, or
- direct payment to the contractor by the consultant, or
- litigation, or
- any other method available to the Employer

3.15.1 A baseline programme for the performance of the Service shall be submitted by the Service Provider, identified as the principal agent in terms of C3.5.1 Service Providers, to the departmental project manager, within a period of two (2) weeks following the briefing meeting and whenever a programme is amended or revised, submit for the Employer's approval a programme for the performance of the Services which shall, inter alia, include:

- the order and timing of operations by the Service Provider and any actions, access to people, places and things and work required of the Employer and Others;
- the dates by which the Service Provider plans to complete work needed to allow the Employer and Others to undertake work required of them;
- provisions for float;
- the planned completion of the Services or part thereof in relation to a Period of Performance; and
- other information as required in terms of the Scope of Work or Contract Data.

The baseline programme will be the result of the co-ordination of all appointed Service Providers' inputs and shall be in sufficient detail describing key milestones, events and activities linked to the fastest realistic timeframes in which the Service can be delivered. Milestones and events are to be listed based on the Scope of Services described in part C3 of the various appointed Service Providers' tender documents and presented in bar chart format. No milestones may, at the co-ordination stage, be extended beyond the timeframes outlined in C3.2.2.3 Project Programme, unless approved by the Employer. The programme thus compiled and presented by the principal agent must be counter-signed by all appointed Service Providers as proof that the programme was agreed upon by all during the said co-ordination action. Such signed programme shall then constitute the Service Contract Period between the Employer and all the appointed Service Providers.

In the event of the Employer not being satisfied with the submitted programme, the Parties will negotiate in good faith towards a programme that will be agreeable to both. Such an agreed-upon programme will form the basis for the management of the appointment, the Period of Performance and remuneration purposes of the respective Service Provider/s. Should circumstances change from the initial briefing, the Service Provider/s and the Employer will

|        |  |
|--------|--|
|        | <p>negotiate a revised programme to satisfy such change(s). Should the Parties fail to reach agreement on the programme or revised programme, the matter will be dealt with in terms of clause 12.1.2 of the General Conditions of Contract. Should the mediation process fail, the Contract will be deemed to have been mutually terminated and any reasonable fees accrued at that stage settled by the Employer.</p> <p>Any failure to comply with the provisions of clause 3.15.1 <i>supra</i> and submit or re-submit the programmes of works or failure to submit the programme on the date required by the Employer, which request shall at all material times be reasonable and fair, shall constitute a material breach of the terms and condition of contract which entitles the Employer to terminate the contract with due regard to clause 8.4 (CIDB Standard Professional Services Contract, July 2009).</p>   |
| 3.16.2 | <p>The adjustment to the time-based fees shall be equal to:</p> <p>(CPI<sub>n</sub> - CPI<sub>s</sub>) / CPI<sub>s</sub></p> <p>where CPI<sub>s</sub> = the indices specified in the Contract Data during the month in which the start date falls<br/>CPI<sub>n</sub> = the latest indices specified in Contract Data during the month in which the anniversary of the Start Date falls</p> <p>Where CPI<sub>s</sub> = the index of StatsSA P0141 (Table B) for the month during which the tender closed.<br/>CPI<sub>n</sub> = the index of StatsSA P0141 (Table B) for the month in which the anniversary of the tender date falls.</p> <p>The indices of StatsSA P0141 are available on the Website:<br/><a href="http://www.statssa.gov.za/Publications/statsdownload.asp?PPN=P0141">http://www.statssa.gov.za/Publications/statsdownload.asp?PPN=P0141</a></p> <p><i>The formula for calculating the adjusted hourly rate will be:</i></p> <p><math display="block">(((CPI_n - CPI_s) / CPI_s) \times \text{original hourly rate}) + \text{original hourly rate}</math></p> |
| 4.1.1  | <p>Briefing meeting:<br/>The departmental project manager shall arrange a briefing meeting, compulsory for all appointed Service Providers, as soon as practicable after the appointment of the professional team as referred to in C3.5.1 Service Providers, or after the appointment of the core members of the professional team required to commence with the Services if not appointed at the same time, during which meeting the departmental project manager, together with any supporting advisors, will verbally brief the professional team comprehensively regarding the requirements of the project and the Scope of Services and hand over, to the Service Provider/s, all documentation relevant to the execution of the Service.</p>  |
| 4.4    | <p>Others providing Services on this Project are as listed in C3.5.1 Service Providers.</p>  |
| 5.4.1  | <p>Minimum professional insurance cover as more comprehensively described in C1.2.3 Data provided by the Service Provider and in respect of which the Service Provider must provide proof of insurance as required.</p>  |
| 5.5    | <p>The Service-Provider is required to obtain the Employer's prior approval in writing before taking, inter alia, any of the following actions:</p> <ul style="list-style-type: none"> <li>a) appointing Subcontractors for the performance of any part of the Services;</li> <li>b) appointing Key Persons not listed by name in the Contract Data;</li> <li>c) travelling for which payment will be claimed, as defined in C2.1.6 Travelling and subsistence arrangements and tariffs of charges;</li> <li>d) deviate from the programme for each assignment;</li> <li>e) deviate from or change the Scope of Services;</li> <li>f) change Key Personnel on the Service;</li> </ul> <p>1 Exclusion of authority/powers</p>   |

|       |   |
|-------|---|
|       | <p>The Service Provider's authority to act and/or to execute functions or duties is <b>excluded</b> in respect of the matters listed below. The Employer shall retain its powers and authority as stipulated in the applicable clauses of the contract data which are relevant and applicable to the JBCC or GCC conditions of contract.</p> <ol style="list-style-type: none"> <li>1.1 Nomination of nominated or selected subcontractors;</li> <li>1.2 Granting of extension of time and/or ruling on claims associated with claims for extension of time;</li> <li>1.3 Acceleration of the rate of progress and determination of the cost for payment of such acceleration;</li> <li>1.4 Rulings on claims and disputes;</li> <li>1.5 Suspension of the works;</li> <li>1.6 Final payment certificate;</li> <li>1.7 Issuing of <i>mora</i> notices to the contractor;</li> <li>1.8 Cancellation of the contract between the Employer and contractor.</li> </ol> <p>Any claims, demands, notices, notifications, updated particulars and reports in writing, together with additional supporting documentation pertaining thereto, must be submitted by the contractor to the Service Provider, acting as principal agent, in respect of any of the matters listed in 1.1 to 1.8 above. This must be done within the time periods and in the format(s) as determined in the said/relevant conditions of contract. On receipt thereof, said Service Provider must study the documentation, obtain comments/advice/recommendations from the professional team members and submit a motivated recommendation to the Employer. This must be done timeously as to allow the Employer sufficient time to respond within the time periods and in the format(s) determined in said conditions of contract. The Employer's ruling will be copied to the Service Provider for information.</p> <p>2 Limitation of authority/powers</p> <p>The Service Provider's authority is <b>limited</b> in respect of the submission to the Employer of comments/recommendations/reports for prior endorsement/approval and further instructions. These pertain to any decision in respect of approval for/of:</p> <ol style="list-style-type: none"> <li>2.1 Institution of or opposing litigation;</li> <li>2.2 Issuing of variation orders/contract instructions/orders in writing which increase the value of the works/contract value and/or change the design of intended use of the project;</li> <li>2.3 Instructions to embark on dayworks;</li> <li>2.4 Dayworks rates;</li> <li>2.5 Material quotes relating to dayworks;</li> <li>2.6 Adjustment of general items relating to dayworks;</li> <li>2.7 Expenditure on prime cost items;</li> <li>2.8 Issuing of practical completion, completion, works completion, final completion and/or final approval certificates.</li> </ol> <p>In respect of the matters listed in 2.1 to 2.8 above, the Service Provider must submit all necessary documentation in order to enable the Employer to formulate decisions and to obtain the Employer's formal endorsement/approval prior to acting and/or executing functions or duties in respect of the contract between the Employer and the contractor. This must be done timeously so as to allow the Employer sufficient time for decision-making in terms of the said conditions of contract.</p> <p>Failure to adhere to the above stipulation will cause the Service Provider to be liable in terms of the Contract between Service Provider and Employer for all such unintended costs and damages.</p> |
| 5.8   | <p>The Service Providers shall finalize their work and complete the first final account were the contractor's contract has been terminated, where after the Service Providers contract shall terminate, unless the Employer elects to retain the services of the Service Providers'.</p>  |
| 7.1.1 | <p>The Service Provider shall employ and provide all qualified and experienced Personnel required to perform the Services. Service Provider/s including personnel performing service must be fit and proper persons with positive security screening and South African identity document (south African citizens).</p>  |

|           |   |
|-----------|---|
| 8.1       | The Service Provider is to commence the performance of each assignment immediately with due diligence after the approval of the PROGRAMME as specified in clause 3.15 above. Failure to comply shall result in penalties in terms of clause 3.12 and / or termination in terms of 8.4.1.  |
| 8.2.4     | <p>The Employer shall, within 30 Days of receipt of a detailed request, grant such extension to the Period of Performance as may be justified, either prospectively or retrospectively, if the extension requested is below 20% of the original period of performance, and 60 days if more than 20% of the original period of performance or, inform the Service Provider that he is not entitled to an extension.</p> <p>Should the Service Provider find the decision of the Employer to be unacceptable he shall, nevertheless, abide by such decision in the performance of the Services and the matter shall be dealt with as a dispute in terms of Clause 12 inform the Service Provider that he is not entitled to an extension. Should the Service Provider find the decision of the Employer to be unacceptable he shall, nevertheless, abide by such decision in the performance of the Services and the matter shall be dealt with as a dispute in terms of Clause 12.</p>   |
| 8.4.1     | <p>The Employer may terminate the Contract with the Service Provider:</p> <ul style="list-style-type: none"> <li>(a) where the Services are no longer required;</li> <li>(b) where the funding for the Services is no longer available;</li> <li>(c) where the project has been suspended for a period of two (2) years or more;</li> <li>(d) if the Service Provider does not remedy a failure in the performance of his obligations under the Contract within fourteen (14) Days after having been notified thereof, or within any further period as the Employer may have subsequently approved in writing;</li> <li>(e) if the Service Provider becomes insolvent or liquidated; or</li> <li>(f) if, as the result of <i>Force Majeure</i>, the Service Provider is unable to perform a material portion of the Services for a period of not less than sixty (60) Days;</li> <li>(g) should the Service Provider cease to exist or be incapacitated for whatever reasons, the Employer is entitled, if it so elects;</li> <li>(h) at its sole discretion, where the Service Provider is not performing Services in accordance with clause 5.1.1;</li> <li>(i) where the Service Provider has engaged in corrupt or fraudulent practices in tendering for the service contract, or in executing the service contract or in managing the construction contract.</li> <li>(j) at its sole discretion, where the Service Provider is in violation of clause 5.1.1.</li> </ul> |
| 8.4.3 (c) | The period of suspension under clause 8.5 is not to exceed two (2) years.   |
| 8.4.4     | Upon termination of this Contract pursuant to Clauses 8.4.1 or 8.4.3, the Employer shall remunerate the Service Provider in terms of the Contract for Services satisfactorily performed prior to the effective date of termination and reimburse the Service Provider any reasonable cost incident to the prompt and orderly termination of the Contract, except in the case of termination pursuant to events (d) and (e) of Clause 8.4.1.   |
| 8.4.6     | Upon termination of this Contract or any part thereof, a copy of any drawings and documents produced pursuant to the Contract being ended and not previously provided by the Service Provider to the Employer shall be delivered to the Employer by the Service Provider within 7 working days after the date of termination.   |
| 8.4.7     | Any deviation or failure to comply with the provision of clause 8.4.6 supra would entitle the Employer to withhold any payment due to the Service Provider and to invoke any other remedies available in law to enforce compliance therewith.   |
| 9.1       | Copyright of documents prepared for the Project shall be vested with the Employer.  |
| 10.2      | An assignment shall be valid only if it is a written agreement between the Employer and Service Provider by which the Service Provider transfers his rights and obligations under the Contract, or part thereof, to others.   |
| 10.3      | The Service Provider shall not, without the prior written consent of the Employer, assign the Contract or any part thereof, or any benefit or interest thereunder.  |

|        |   |
|--------|---|
| 12.1.2 | Interim settlement of disputes is to be by mediation.   |
| 12.2.1 | In the event that the Parties fail to agree on a mediator, the mediator is to be nominated by the Association of Arbitrators (Southern Africa).   |
| 12.3   | No Clause.  |
| 12.4   | No Clause.  |
| 12.5   | Add Clause 12.5:<br><br>Final settlement is by litigation.  |
| 13.1.3 | All partners in a joint venture or consortium shall carry the same professional indemnity insurance as per clause 5.4.1 of the General Conditions of Contract.  |
| 13.4   | Neither the Employer nor the Service Provider is liable for any loss or damage resulting from any occurrence unless a claim is formally made within 5 years from the date of termination or completion of the Performance Contract.   |
| 13.5   | The amount of compensation is as per Clause 5.4.1.  |
| 13.6   | No Clause.  |
| 14.2   | Amounts due to the Service Provider shall be paid by the Employer within thirty (30) Days of receipt by him of the relevant invoices and source documents, which are correct in all respects. If the Service Provider does not receive payment by the due date, he shall be entitled to charge interest on the unpaid amount, at the Prescribed Rate of interest as determined by the appropriate Minister at the time when <i>mora</i> interest is charged.  |
| 14.4   | In respect of Services charged for on a time-basis and all other reimbursable expenses the Service Provider shall maintain records in support of such charges and expenses for a period of sixty months after the completion or termination of the Contract. Within this period the Employer may, on not less than 14 Days' notice, require that a reputable and independent firm of accountants, nominated by him at his expense, audit any claims made by the Service Provider for time charges and expenses by attending during normal working hours at the office where the records are maintained. |
| 15     | In respect of any amount owed by the Service Provider to the Employer, the Service Provider shall pay the Employer interest at the rate as determined by the Minister of Finance, from time to time, in terms of section 80(1)(b) of the Public Finance Management Act, 1999 (Act no1 of 1999).   |

C1.2.3 Data provided by the Service Provider

| Clause |   |
|--------|---|
|        | Each item of data given below is cross-referenced to the clause in the General Conditions of Contract to which it mainly applies.   |
| 1      | The Service Provider is the company, close corporation, natural person or partnership named in C1.1 Form of Offer and Acceptance by the tendering Service Provider.       |
| 5.3    | The authorised and designated representative of the Service Provider is the person named in the resolution PA-15.1, PA-15.2 or PA-15.3 by the tendering Service Provider. |

5.4.1

Indemnification of the Employer

I, the undersigned, being duly authorized by the Service Provider, in terms of the completed resolution (PA-15.1, PA-15.2 or PA-15.3)

.....(Name of authorized person)

hereby confirm that the Service Provider known as:

.....(Legal name of entity tendering herein)

tendering on the project:

.....

.....(Name of project as per C1.1 Form of offer and acceptance)

holds professional indemnity insurance cover, from an approved insurer, duly registered with the Finance Services Board, of not less than R1,5 Million at the time of tender.

I shall submit proof of acceptable Professional Indemnity insurance where the minimum insurance cover is R1,5 Million or 15% of the Value of the Work for the applicable discipline, whichever the greater, to the Client Representative within 30 days upon completion of the planning based on the pre-tender estimate, prior to the commencement of construction. The limit of indemnity will remain intact for Each and Every Claim, no matter how many claims are made.

I further confirm that should the professional indemnity insurance, with no knowledge of the Employer, be allowed to lapse at any time or in the event of the Service Provider cancelling such professional indemnity insurance, with no knowledge of the Employer, at any time or if such professional indemnity cover is not sufficient, then the Service Provider, (i) accepts herewith full liability for the due fulfilment of all obligations in respect of this Service; and (ii) hereby indemnifies, and undertakes to keep indemnified, the Employer in respect of all actions, proceedings, liability, claims, damages, costs and expenses in relation to and arising out of the agreement and/or from the aforesaid Service Provider's intentional and/or negligent wrongful acts, errors and/or omissions in its performance on this Contract.

I confirm that the Service Provider undertakes to keep the Employer indemnified, as indicated above, beyond the Final Completion Certificate/Final Certificate by the Employer (whichever is applicable) for a period of five (5) years after the issue of such applicable certificate.

I confirm that the Service Provider renounces the benefit of the *exceptionis non causa debiti, non numeratae pecuniae* and *excussionis* or any other exceptions which may be legally raised against the enforceability of this indemnification.

Notwithstanding the indemnification required above, the Employer reserves the right to claim damages from the Service Provider for this Project where the Service Provider neglects to discharge its obligations in terms of this agreement.

**NAME:** .....

**CAPACITY:** .....

**SIGNATURE:** .....

7.1.2

As an extension of the definitions contained in clause 1 hereof, Key Persons must, for the purposes of this Contract, include one or more of the professionally registered principal(s) of the Service Provider, **and/or**, one or more professional(s) employed to render professional services, for whom copies of certificates or other documentation clearly proving current professional registration with the relevant council, including registration numbers, must be included with the tender as part of the returnable documentation.

The Key Persons and their jobs / functions in relation to the Services are:

| Name | Principal and/or employed professional(s) | Specific duties |
|------|---|-----------------|
| 1.   |   |                 |
| 2.   |   |                 |
| 3.   |   |                 |
| 4.   |   |                 |
| 5.   |   |                 |
| 6.   |   |                 |
| 7.   |   |                 |
| 8.   |   |                 |
| 9.   |   |                 |
| 10.  |   |                 |

7.2

A Personnel Schedule is required.

If the space provided in the table above is not sufficient to describe the **specific duties**, this space may be utilized for such purpose:



## PRICING DATA

### C2.1 Pricing Assumptions

C2.1.1 Basis of remuneration, method of tendering and estimated fees

C2.1.1.1 Professional fees for Environmental Assessment Services will be paid on an **activity basis**

C2.1.1.2 **Tenderers are to tender:**

**The different rates for the different levels** in C2.2.2 Activity Schedule for Activity Based Fees, column (c)

all as set out below.

C2.1.2 Remuneration for drafting and obtaining of approval for Environmental Implementation Plans (EIP) and Environmental Management Plans (EMP) for Proclaimed Fishing Harbours in South Africa.

C2.1.2.1 **Professional fees for Services rendered by the Service Provider shall be calculated as follows:**

**The different rates tendered for the different levels in “C2.2.2 Activity Schedule for Activity Based Fees”,** plus Value Added Tax, all according to the provisions under C2.1.3.

C2.1.2.2 Reimbursable rates for typing, printing and duplicating work and forwarding charges as set out under C2.1.5 herein will be paid in full, irrespective of the rates tendered as referred to in C2.1.1.2 and C2.1.2.1 above.

C2.1.2.3 **Disbursements in respect of all travelling and related expenses**(including all travelling costs, time charges and subsistence allowances related thereto) **as may be described in fee scale documents issued by EAPASA will not be paid for.**

The site, and/or venues for meetings, and/or offices for applications must be visited as often as the Services require for the execution of all duties on the Project. All travelling disbursement costs in this regard will be deemed to be included in travelling disbursements tendered in the Activity Schedule C2.2.2.

C2.1.2.4 All fee accounts are to be signed by a principal of the Service Provider and submitted in original format, failing which the accounts will be returned. Copies, facsimiles, electronic and other versions of fee accounts will not be considered for payment.

C2.1.2.5 For all Services provided on a time basis, time sheets giving full particulars of the work, date of execution and time duration, should be submitted with each fee account.

C2.1.2.6 Payments to the Service Provider will be made electronically according to the banking details furnished by the Service Provider. Any change in such banking details must be communicated to the departmental project manager timeously. Fee accounts, correct in all respects, will be deemed submitted when received by the Employer and settled when electronically processed by the Employer. The Employer reserves the right to dispute the whole account, any item or part of an item at any time and will deal with such case in terms of clause 14.3 of the General Conditions of Contract.

C2.1.2.7 Accounts for Services rendered may be submitted on the successful completion of each stage of work as per the agreed PEP. No interim accounts prior to completion of stages will be entertained. Payment of accounts rendered will be subject to the checking thereof by the departmental project manager. The Employer reserves the right to amend the amounts claimed in order to conform to the rates stipulated in this Contract and make payment on the basis of the balance of the account in accordance with clause 14.3 of the General Conditions of Contract.

C2.1.2.8 Fee accounts shall be submitted on the Employer's prescribed format, if available, obtainable on the Employer's Website: [http://www.publicworks.gov.za/under "Documents"; "Consultants Guidelines"](http://www.publicworks.gov.za/under%20Documents%20Guidelines).

**C2.1.3 Set off**

The Employer reserves the right to set off against any amount payable to the Service Provider, any sum which is owing by the Service Provider to the Employer in respect of this or any other project.

**C2.1.4 Typing, printing and duplicating work and forwarding charges**

**C2.1.4.1 Reimbursable rates**

The costs of typing, printing and duplicating work in connection with the documentation which must of necessity be done, except those which must in terms of the relevant Manual or other instructions be provided free of charge, shall be reimbursable at rates applicable at the time of the execution of such work. The document "Rates for Reimbursable Expenses" as amended from time to time and referred to below, is obtainable on the Employer's Website: <http://www.publicworks.gov.za/> under "Documents"; "Consultants Guidelines"; item 1.

**C2.1.4.2 Typing and duplicating**

If the Service Provider cannot undertake the work himself, he may have it done by another service provider which specialises in this type of work and he shall be paid the actual costs incurred upon submission of statements and receipts which have been endorsed by him confirming that the tariff is the most economical for the locality concerned.

If the Service Provider undertakes the work himself, he shall be paid in respect of actual expenses incurred subject to the maximum tariffs per A4 sheet as set out in Table 1 in the "Rates for Reimbursable Expenses".

Typing and duplicating expenses shall only be refunded in respect of the final copies of the following documents namely formal reports, formal soil investigation reports, specifications, feasibility reports, bills of quantities, material lists, minutes of site meetings and final accounts. The cost of printed hard covers shall only be paid in respect of documents which will be made available to the public such as bills of quantities and specifications or where provision of hard covers is specifically approved.

The typing of correspondence, appendices and covering letters are deemed to be included in the value based fees and time based fees paid.

**C2.1.4.3 Drawing duplication**

(a) For drawing duplication the standard rate as set out in Table 2 in the "Rates for Reimbursable Expenses" may be claimed **or** may be claimed according to the provisions as in (b) or (c) below.

(b) If the Service Provider undertakes the duplication of drawings, using his own duplication equipment, he shall be paid the actual cost incurred on condition that it is not higher than the lowest of three quotations of local firms doing drawing duplication in his locality. Such quotations must accompany his account.

(c) If the Service Provider does not undertake his own drawing duplication, he shall be paid the lowest of three quotations of local firms doing plan printing in his locality. Such quotations must accompany his account.

(d) Should there not be three firms doing drawing duplication in his locality, it must be mentioned on his account and the available quotation(s) must then accompany the account.

(The cost of providing all polyester negative prints required to form part of the original set of drawings, as-built drawings including computer assisted drawing records for all facets/disciplines involved in the project are included in the tendered fees and will not be reimbursed separately.)

C2.1.4.4 Forwarding charges

(a) Only the charges in respect of the forwarding of parcels by courier or air freight on special request by the Employer will be refunded, provided that such charges will not be refunded if the request had been made as a result of a delay caused by the Service Provider.

(b) The cost of postage, facsimile transmissions, telephone calls, e-mails, etc, is deemed to be included in the value based fees and time based fees paid.

C2.1.5 Travelling and subsistence arrangements and tariffs of charges

Notwithstanding the ruling in C2.1.2.4 above (regarding disbursements and travelling expenses which will not be paid), when the Service Provider is requested in writing by or obtained prior approval in writing from the Employer to attend specific meetings at any of the Employer's offices or any extraordinary meetings on site or elsewhere, he will be remunerated according to the provisions under C2.1.6.1 to C2.1.6.5 herein.

C2.1.5.1 General

The most economical mode of transport is to be used taking into account the cost of transport, subsistence and time. Accounts not rendered in accordance herewith may be reduced to an amount determined by the Employer.

As the tariffs referred to hereunder are adjusted from time to time, accounts must be calculated at the tariff applicable at the time of the expenditure.

Where journeys and resultant costs are in the Employer's opinion related to a Service Provider's mal-performance or failure, in terms of this Contract, to properly document or co-ordinate the work or to manage the Contract, no claims for such costs will be considered.

C2.1.5.2 Travelling time

Fees for travelling time are as set out in Table 8 in the "Rates for Reimbursable Expenses".

Fees are payable for travelling time at the tariff, as set out in C2.1.3.10 Time charges for work done under a value based fee. Travelling time will be fully reimbursed.

C2.1.5.3 Travelling costs

Fees for travelling costs are as set out in Table 3 in the "Rates for Reimbursable Expenses".

Travelling costs will be refunded for the full distance covered per return trip measured from the office of the Service Provider appointed.

Compensation for the use of private motor transport will be in accordance with the Government tariff for the relevant engine swept volume, up to a maximum of 3000 cubic centimetres, prescribed from time to time and as set out in Table 3 in the "Rates for Reimbursable Expenses".

C2.1.5.4 Hired vehicles

In cases where use is made of hired vehicles, the most economical sized vehicle available is to be used but compensation shall nevertheless be restricted to the cost of a hired car not exceeding a capacity of 1300 cc. Where use of a special vehicle is essential (e.g. four track or minibus to accommodate more people), prior approval in writing must be obtained from the departmental project manager.

C2.1.5.5 Subsistence allowance

The subsistence allowances are as set out in Tables 4 and 5 in the "Rates for Reimbursable Expenses".

Only actual costs are payable in respect of absence from office of less than 24 hours.

Should the daily tariff as set out in Table 4 be inadequate, substantiated actual costs plus a special daily allowance as shown in Table 5 for incidental expenses, may be claimed. It must be noted that claims may only be according to Table 4 or Table 5. Accommodation should be limited to the equivalent of a three star hotel and no alcoholic beverages or entertainment costs may be claimed for.

## C2.2 Activity Schedule

### C2.2.1 Activities

The tenderer must make provision for all activities necessary for the execution of the service as set out in C3 Scope of Services hereof.

### C2.2.2 This tender will be activity based.

## ACTIVITY SCHEDULE FOR ACTIVITY BASED FEES

The Service Provider to fully complete the following pricing schedule to achieve completion and the objectives of this project.

| PEPPER BAY                         |  | R | Amount in figures |
|------------------------------------|--|---|-------------------|
| Task 1:                            | Inception  | R | (1)               |
| Task 2:                            | Drafting and approval of Environmental Implementation Plan, Environmental Management Plan and General Waste Strategies | R | (2)               |
| Task 3:                            | Statutory processes and finalisation/gazetted  | R | (3)               |
|                                    | Disbursements (All costs associated with travelling, traveling time and subsistence)                                   |   | (4)               |
| Sub-total for Pepper Bay (1+2+3+4) |  | R | (5)               |

| SALDANHA BAY                         |  | R | Amount in figures |
|--------------------------------------|--|---|-------------------|
| Task 1:                              | Inception  | R | (6)               |
| Task 2:                              | Drafting and approval of Environmental Implementation Plan, Environmental Management Plan and General Waste Strategies | R | (7)               |
| Task 3:                              | Statutory processes and finalisation/gazetted  | R | (8)               |
|                                      | Disbursements (All costs associated with travelling, traveling time and subsistence)                                   |   | (9)               |
| Sub-total for Saldanha Bay (6+7+8+9) |  | R | (10)              |

| HERMANUS                             |  | R | Amount in figures |
|--------------------------------------|--|---|-------------------|
| Task 1:                              | Inception  | R | (11)              |
| Task 2:                              | Drafting and approval of Environmental Implementation Plan, Environmental Management Plan and General Waste Strategies | R | (12)              |
| Task 3:                              | Statutory processes and finalisation/gazetted  | R | (13)              |
|                                      | Disbursements (All costs associated with travelling, traveling time and subsistence)                                   |   | (14)              |
| Sub-total for Hermanus (11+12+13+14) |  | R | (15)              |

| <b>STRUIS BAY</b>                             |  | <b>R</b> | <b>Amount in figures</b> |
|---|--|----------|--------------------------|
| Task 1:                                       | Inception  | R        | (16)                     |
| Task 2:                                       | Drafting and approval of Environmental Implementation Plan, Environmental Management Plan and General Waste Strategies | R        | (17)                     |
| Task 3:                                       | Statutory processes and finalisation/gazetted  | R        | (18)                     |
|   | Disbursements (All costs associated with travelling, traveling time and subsistence)                                   |          | (19)                     |
| <b>Sub-total for Struis Bay (16+17+18+19)</b> |  | R        | (20)                     |

| <b>LAAIPLEK</b>                             |  | <b>R</b> | <b>Amount in figures</b> |
|---|--|----------|--------------------------|
| Task 1:                                     | Inception  | R        | (21)                     |
| Task 2:                                     | Drafting and approval of Environmental Implementation Plan, Environmental Management Plan and General Waste Strategies | R        | (22)                     |
| Task 3:                                     | Statutory processes and finalisation/gazetted  | R        | (23)                     |
|   | Disbursements (All costs associated with travelling, traveling time and subsistence)                                   |          | (24)                     |
| <b>Sub-total for Laaiplek (21+22+23+24)</b> |  | R        | (25)                     |

| <b>LAMBERTS BAY</b>                             |  | <b>R</b> | <b>Amount in figures</b> |
|---|--|----------|--------------------------|
| Task 1:   | Inception  | R        | (26)                     |
| Task 2:   | Drafting and approval of Environmental Implementation Plan, Environmental Management Plan and General Waste Strategies | R        | (27)                     |
| Task 3:   | Statutory processes and finalisation/gazetted  | R        | (28)                     |
|   | Disbursements (All costs associated with travelling, traveling time and subsistence)                                   |          | (29)                     |
| <b>Sub-total for Lamberts Bay (26+27+28+29)</b> |  | R        | (30)                     |

| <b>STILL BAY</b> |  | <b>R</b> | <b>Amount in figures</b> |
|------------------|--|----------|--------------------------|
| Task 1:          | Inception  | R        | (31)                     |
| Task 2:          | Drafting and approval of Environmental Implementation Plan, Environmental Management Plan and General Waste Strategies | R        | (32)                     |
| Task 3:          | Statutory processes and finalisation/gazetted  | R        | (33)                     |

|  |  |   |      |
|--|--|---|------|
|  | Disbursements (All costs associated with travelling, traveling time and subsistence) |   | (34) |
| <b>Sub-total for Still Bay (31+32+33+34)</b> |  | R | (35) |

| <b>GANS BAY</b>                             |  | R | Amount in figures |
|---|--|---|-------------------|
| Task 1:                                     | Inception  | R | (36)              |
| Task 2:                                     | Drafting and approval of Environmental Implementation Plan, Environmental Management Plan and General Waste Strategies | R | (37)              |
| Task 3:                                     | Statutory processes and finalisation/gazetted  | R | (38)              |
|   | Disbursements (All costs associated with travelling, traveling time and subsistence)                                   |   | (39)              |
| <b>Sub-total for Gans Bay (36+37+38+39)</b> |  | R | (40)              |

| <b>St HELENA BAY</b>                             |  | R | Amount in figures |
|--|--|---|-------------------|
| Task 1:  | Inception  | R | (41)              |
| Task 2:  | Drafting and approval of Environmental Implementation Plan, Environmental Management Plan and General Waste Strategies | R | (42)              |
| Task 3:  | Statutory processes and finalisation/gazetted  | R | (43)              |
|  | Disbursements (All costs associated with travelling, traveling time and subsistence)                                   |   | (44)              |
| <b>Sub-total for St Helena Bay (41+42+43+44)</b> |  | R | (45)              |

| <b>ARNISTON BAY</b>                             |  | R | Amount in figures |
|---|--|---|-------------------|
| Task 1:   | Inception  | R | (46)              |
| Task 2:   | Drafting and approval of Environmental Implementation Plan, Environmental Management Plan and General Waste Strategies | R | (47)              |
| Task 3:   | Statutory processes and finalisation/gazetted  | R | (48)              |
|   | Disbursements (All costs associated with travelling, traveling time and subsistence)                                   |   | (49)              |
| <b>Sub-total for Arniston Bay (46+47+48+49)</b> |  | R | (50)              |

| <b>KALK BAY</b> |           | R | Amount in figures |
|-----------------|-----------|---|-------------------|
| Task 1:         | Inception | R | (51)              |

|   |  |   |      |
|---|--|---|------|
| Task 2:                                     | Drafting and approval of Environmental Implementation Plan, Environmental Management Plan and General Waste Strategies | R | (52) |
| Task 3:                                     | Statutory processes and finalisation/gazetted  | R | (53) |
|   | Disbursements (All costs associated with travelling, traveling time and subsistence)                                   |   | (54) |
| <b>Sub-total for Kalk Bay</b> (51+52+53+54) |  | R | (55) |

| <b>GORDONS BAY</b>                             |  | <b>R</b> | <b>Amount in figures</b> |
|--|--|----------|--------------------------|
| Task 1:  | Inception  | R        | (56)                     |
| Task 2:  | Drafting and approval of Environmental Implementation Plan, Environmental Management Plan and General Waste Strategies | R        | (57)                     |
| Task 3:  | Statutory processes and finalisation/gazetted  | R        | (58)                     |
|  | Disbursements (All costs associated with travelling, traveling time and subsistence)                                   |          | (59)                     |
| <b>Sub-total for Gordons Bay</b> (56+57+58+59) |  | R        | (60)                     |

| <b>HOUT BAY</b>                             |  | <b>R</b> | <b>Amount in figures</b> |
|---|--|----------|--------------------------|
| Task 1:                                     | Inception  | R        | (61)                     |
| Task 2:                                     | Drafting and approval of Environmental Implementation Plan, Environmental Management Plan and General Waste Strategies | R        | (62)                     |
| Task 3:                                     | Statutory processes and finalisation/gazetted  | R        | (63)                     |
|   | Disbursements (All costs associated with travelling, traveling time and subsistence)                                   |          | (64)                     |
| <b>Sub-total for Hout Bay</b> (61+62+63+64) |  | R        | (65)                     |

|   |   |                |
|---|---|----------------|
| <b>Sub-total</b> (5+10+15+20+25+30+35+40+45+50+55+65)                   | R | (66)           |
| <b>Add Provisional Amount for specialist sub consultant appointment</b> | R | 75 000.00 (67) |
| <b>Sub-total</b> (66+67)  | R | (68)           |
| <b>Add Vat @ 15%</b> [(68) x 15%]                                       | R | (69)           |
| <b><u>TOTAL FINANCIAL OFFER</u></b> (68+69)                             | R | (70)           |

- NOTE:** 1. Total Financial Offer, (70) above, **must be carried over to C1.1 Form of Offer and Acceptance.** Failure to carry this over to the Form of Offer and Acceptance **may render the tender non-responsive.**
2. Any Additional Services, not provided for in the Activity Schedule above, which become necessary/required in terms of the C3: Scope of Services at any stage of the Service after constitution of the contract, requiring further sub-contracting, will be the subject of prior negotiation with, and approval by, the department project manager, who will instruct the Service Provider on the procedure for such negotiation and the approvals required in the process. **Any additional services, procured on sub-contracting basis, without the involvement of the departmental project manager, will not be reimbursed.**
3. **Time spent on travelling, as well as any other travel related expenses such as travelling costs, subsistence allowance and accommodation is deemed to be included in the Traveling Disbursements per return trip to site and any other trips required to complete this assignment.**
4. In the event of a variation in the Service Contract Period which results in additional travelling over and above the allowed number of trips in Table A, the additional Traveling will be paid in accordance with the "Rates for Reimbursable Expenses" as amended from time to time and referred to below, is obtainable on the Department's Website: <http://www.publicworks.gov.za/Consultants.asp> or from the Departmental Project Manager. These expenses are reimbursable at rates applicable on the time of the actual execution of the specific service contract. The first 2 hours of travelling time is deductible as per Clause C2.1.7.2.
5. **The Employer reserve the right to exclude portions of the work forming part of the Scope of Services subject to the availability of funding at the time of executing the work.**

**C3: SCOPE OF SERVICES**

**C3.1 Employer's objectives**

This tender is for:

A Service Provider performing environmental assessment work for the drafting and obtaining of approval for Environmental Implementation Plans (EIP's) and Environmental Management Plans (EMP's) which should also include a general waste strategy for each of the Proclaimed Fishing Harbours in South Africa.

These services may entail any or all of the Services described in C3.2 as circumstances may dictate and fact become known after the onset of the project. Services anticipated at the time of compilation of this tender are ticked below.

**C3.2 Description of the Services**

**C3.2.1 Project description**

The project is to perform environmental assessment work for the drafting and obtaining of approval for EIP's, EMP's which should also include a general waste strategy for each of the Proclaimed Fishing Harbours in South Africa.

This document contains environmental objectives and targets which are set by the Operation Phakisa team under the B4 Initiative: Environmental Protection of Small Harbours Development, relating to the management, monitoring and continuous improvement in environmental performance.

**Discussion**

In August 2013, Former President Jacob Zuma undertook a state visit to Malaysia. He was introduced to the Big Fast Results Methodology through which the Malaysian government achieved significant government and economic transformation within a very short time. Using this



approach, they addressed national key priority areas to alleviate the triple challenge of such as poverty, crime and unemployment.

With the support of the Malaysian government, the Big Fast Results approach was adapted to the South African context. To highlight the urgency of delivery the approach was renamed to Operation Phakisa ("Phakisa" meaning "hurry up" in Sesotho).

Operation Phakisa is a results-driven approach, involving setting clear plans and targets, on-going monitoring of progress and making these results public.

Operation Phakisa represents that new spirit of moving faster in meeting government's targets. South African Government's starting point was that South Africa is flanked for the most part by a vast ocean which has not fully taken advantage of the immense potential of this untapped resource.

The oceans have the potential to contribute up to R177 billion to the gross domestic product (GDP) and create just over one million jobs by 2033. To further explore this potential, we brought together teams from government, labour, business, academia and other sectors to work together in experimental laboratories, to explore all possibilities and further unlock the potential of our country's vast coastline.

As part of the initiatives identified by role players, *initiative 4B is related to Environmental Protection of Small Harbours Developments within South Africa*. A detailed action plan has been developed through engagement processes, but due to resource shortages, a basic in-house process was initiated with assistance of the Operation Phakisa: Chemicals and Waste Economy Programme.



Fig 1: The locations of the 13 Proclaimed Fishing Harbours

The 13 Proclaimed Fishing Harbours include: Pepper Bay, Saldanha Bay, Hermanus, Struis Bay, Laaiplek, Lamberts Bay, Still Bay, Gans Bay, St Helena Bay, Arniston, Kalk Bay, Gordons Bay and Hout Bay.

#### **Environmental Context:**

The National Environmental Management Act (NEMA) (Act No. 107 of 1998) is the statutory framework to enforce Section 24 of the Constitution of the Republic of South Africa. The goal of NEMA is amongst others to promote co-operative governance and ensure that the rights of people are upheld, but also recognising the necessity of economic development while ensuring the protection of the environment.

Section 11 (1) and (2) of NEMA deals with the drafting of EIP's and EMP's and states as follows: (1) Every national department listed in Schedule 1 as exercising functions which may affect the environment and every provincial department responsible for environmental affairs must prepare an environmental implementation plan within five years of the coming into operation of this Act, and at intervals of not more than five years thereafter. (2) Every national department listed in Schedule 2 as exercising functions involving the management of the environment must prepare an environmental management plan within five years of the coming into operation of this Act, **and at intervals of not more than five years thereafter.**

Please note that the Department of Public Works and Infrastructure (DPWI) is listed in Schedule 1 (Section 11(1)) and Department of Forestry, Fisheries and the Environment (DFFE) is listed in Schedule 1 and 2 (Section 11(2) of NEMA (which deals with National Departments exercising functions that involve the management of the environment). DPWI is mandated to Section 156 of the Constitution to manage the infrastructural terrestrial and DFFE is responsible for the operational management of the harbours. The NEMA is used as a regulatory tool to ensure the management and conservation of natural resources and the environment. Sections 12 – 14 of the NEMA details the objectives and content of an EIP and EMP.

#### **Deliverables:**

To provide an EIP's and EMP's which include general waste strategies for the **operation of the 13 Proclaimed Fishing Harbours in the Western Cape Province** in accordance with the content required in term of Sections 13 and 14 of the NEMA, 1998.

Ensuring compliance with regulatory authority stipulations and guidelines which may be local, provincial and / or national. Please note the content of the **deliverables are contained in the 3 tasks discussed under C3.2.1.1 below.**

#### **C3.2.1.1 Scope of Project**

The entire scope of the project entails tasks and the details for the drafting of Environmental Implementation Plans, Environmental Management Plans which must include a general waste strategy for each of the 13 Proclaimed Fishing Harbours to the relevant legislation.

The EMP's and EIP's must be drafted and aligned as contained and prescribed to sections 11-16 of the NEMA. The EMP and EIP must describe and provide for the maintenance and possible future refurbishment and/or expansion of existing proclaimed fishing harbours situated along the coastline of the Western Cape, South Africa. Each of the tasks is summarised below.

## **TASK 1: INCEPTION**

This activity-based deliverable involves the scope of work for the **Environmental Assessment Practitioner (EAP)** which amongst others includes at least the following:

- 1.1 Attending briefing with DPWI Project Manager (PM).
- 1.2 Briefing of sub-consultants as required.
- 1.3 Site inventory and analysis (i.e. such as: desktop research on policies, procedures, programmes, existing/upcoming/ earmarked/scheduled plans and project, etc.)
- 1.4 Site orientation (i.e. lay-out and structure) and assessment.
- 1.5 Initiation of comprehensive status quo report/first draft of the EIP's and EMP's and general waste strategies.
- 1.6 Attending all project meetings as and when required (type of meeting would be depended on whether it would be virtual and or physical).

## **TASK 2: THE DRAFTING OF ENVIRONMENTAL IMPLEMENTATION PLANS AND ENVIRONMENTAL MANAGEMENT PLANS**

This tasked-based deliverable involves the scope of work for the **EAP**, which amongst others includes at least the following:

- 2.1 Companies are invited to submit a tender for the compilation of an Environmental Implementation Plan (EIP) and Environmental Management Plan (EMP) for **Operation of Proclaimed Fishing Harbours (PFH) in the Western Cape Province**.
- 2.1.1 The EMP's and EIP's must be drafted and aligned as contained and prescribed its sections 11-16 of the NEMA.
- 2.1.2 The EMP and EIP must discuss and provide for the maintenance and possible future refurbishment and/or expansion of existing small harbours, situated along the coastline of the Western Cape, South Africa.
- 2.1.3 The EMP and EIP must provide for various footprints in different locations, as the client operates over multiple sites.
- 2.1.4 The EMP and EIP must include a comprehensive listing of all the operational aspects/activities to be monitored, across all the sites, and stipulate that Environmental Authorisation is to be obtained from the Regulating Authority in lieu of any listed activities to be triggered by possible future refurbishment and/or expansion in terms of the:
- 2.1.5 **National Environmental Management Act 107 of 1998**, EIA Listing Notices 2014 (as amended).
- 2.2 The EMP's and EIP's compiled must also provide a general waste strategy for the PFH economy that must be aligned to the waste management strategy of 2021 and that should include but not be limited to:
  - 2.2.1 Subject to the expected throughput of general waste per day in tonnes/m<sup>3</sup>, the following legislation and listed activities which require a Waste Management License in terms of the **National Environmental Management: Waste Act 59 of 2008** may apply (**National Environmental Management Laws Amendment Act 25 of 2014**, *Government Notice 448 in Government Gazette 37713 dated 2 June 2014. Commencement date: 2 September 2014.*
  - 2.2.2 **Schedule 3, Category A: Hazardous waste, 1(a)**
  - 2.2.3 **Schedule 3, Category B: General waste, 1(a)**
  - 2.2.4 **National Water Act 36 of 1998**
  - 2.2.5 Any other legislation applicable to the activities as described below.
  - 2.2.6 Maintenance and operation of harbours for **small to medium-sized vessels**.
  - 2.2.7 Potential **pollution of the marine and terrestrial environment** resulting from these vessels.
  - 2.2.8 Management and disposal of general **solid waste**.
  - 2.2.9 Management and disposal of general **liquid waste**.
  - 2.2.10 Management and disposal of **sewerage**.

- 2.2.11 Temporary storage, management, and disposal of **dangerous waste** (used oil, diesel and other chemicals).
- 2.2.12 Temporary storage, management and disposal of **hazardous waste** generated by the operation of the harbour and vessels.
- 2.2.13 Management and disposal of **fish wastes/aquaculture wastes, waste from boats for recreational purposes etc. (wet and dry)** generated by commercial and recreational fishing activities.
- 2.2.14 To provide potential of the waste economy to create jobs.
- 2.2.15 **Water use** in terms of commercial operation of the fleet and sites.
- 2.3 Application and approval of water use and disposal licenses (if required).
- 2.4 Submission of EMP's and EIP's and the obtaining of an approval (It must be noted that when you provide your proposal/draft EMP's and EIP's that it should include the completion of the Public Participation Process, which includes but not limited to the following: Advertising, Public meetings i.e. venues and dealing with comments/objections (please do not include an exclusion on the PPP as it will disqualify your proposal). Furthermore a provision should be made if an appeal has been lodge to deal with the appeal until the approval has been obtained. It is also imperative to costs for the gazetting of the respective plans.
- 2.5 **Attend all project meetings as and when required** (2 site visits and a further 4 meetings at DPW offices in Cape Town should be catered for).
- 2.6 Please only tender on the Terms of Reference as provided (It must be noted that an exclusion will disqualify your proposal, further to the afore-mentioned it must be noted that no escalation of the fees will be allowed during the contract period). If unforeseen studies arises and or are required which were not covered in the TOR the Project Manager can apply for additional funding. If you are of the opinion that the scope does not cover the required activities please inform the Project Manager well in advance that an addendum with updated information can be circulated.
- 2.7 IT IS VERY IMPORTANT TO NOTE THAT IN YOUR SUBMISSION YOU HAVE TO PRICE FOR EACH OF THE 13 HARBOURS SEPARATELY (THIS MATTER WILL BE DISCUSSED AT THE COMPULSORY BRIEFING MEETING IN MORE DETAIL).

### **TASK 3: STATUTORY PROCESSES AND FINALISATION**

This activity-based deliverable involves the scope of work for the appointed **EAP**, which amongst others includes but not limited to:

- 3.1 Submission of second draft plans to DPWI PM for discussion in order to facilitate review with relevant stakeholders.
- 3.2 **Undertake** all necessary Public Participation Processes (PPP) as may be detected by the process (which include the presentation of the plans at the Operation Phakisa Working Group 8).
- 3.3 Attending and responding to comments (verbally and in writing) related to the submitted EIP's and EMP's during the PPP.
- 3.4 Reviewing by DPWI PM and Operation Phakisa Working Group 8 of the final Plans in order to facilitate amongst others the discussions with the relevant stakeholders such as DFFE before the plans are submitted to the competent authorities for approval.
- 3.5 Submission of the plans for and attainment of approvals from the competent authorities.
- 3.6 **Submit the Environmental Implementation Plans and Environmental Management Plans to be gazetted.**
- 3.7 Attending all project meetings as and when required

Please note the following additional information: The contract period will be 36 months.

### C3.2.1.2 Location of the Project

The location of the project is at the **listed 13 Proclaimed Fishing Harbours in figure 1 as follows: Pepper Bay, Saldanha Bay, Hermanus, Struis Bay, Laaiplek, Lamberts Bay, Still Bay, Gans Bay, St Helena Bay, Arniston, Kalk Bay, Gordons Bay and Hout Bay.**

### C3.2.1.3 Project Programme

The programme for the execution of the project is dependent on the various approval processes and a programme with specific time frames / dates can therefore not be provided at tender stage since it will depend on the time frames required by the consultants for completion of the assessments, compilation of the required documentation as per deliverables, as well as approval processes within the Department and other legislative bodies from whom approvals may be required. The final programme will be in accordance with the approved programme in terms of clause 3.15 of the Standard Professional Services Contract and clause 3.15.1 of the Contract Data. The following table depicts provisional project milestone dates envisaged for tendering purposes only:

| <b>Project Milestones</b> |  | <b>Anticipated Date</b>                                  |
|---------------------------|--|--|
| 1                         | Appointment of consultant                                  | <b>Within the validity period</b>                        |
| 2                         | Briefing meeting   | <b>Within 2 weeks after the appointment</b>              |
| 3                         | Finalisation of PEP  | <b>2 weeks after the briefing meeting</b>                |
| 4                         | Facilitate pre-consultation meeting                        | <b>1 week after tender was approved</b>                  |
| 5                         | Submission of First draft to DPWI PM                       | <b>12 months after briefing meeting.</b>                 |
| 6                         | Facilitate PPP (In order to incorporate relevant comments) | <b>14 months after briefing meeting.</b>                 |
| 7                         | Submit Second draft to DPWI PM                             | <b>18 months after briefing meeting.</b>                 |
| 8                         | To complete PPP  | <b>18 Months after briefing meeting.</b>                 |
| 9                         | Submission of final draft to DPWI PM                       | <b>24 Months after briefing meeting.</b>                 |
| 10                        | Submission of draft plans to obtain approval               | <b>24 Months after briefing meeting.</b>                 |
| 11                        | Attainment of approval                                     | <b>28 Months after briefing meeting.</b>                 |
| 12                        | Close out meeting  | <b>When approval was obtained and plans was gazetted</b> |

The service contract period should not exceed (36) thirty six months from date of issuing the Letter of Acceptance.

With the above milestones in mind, a Project Execution Plan (PEP) must be submitted in the format and within the time period stated in 3.15 of the Contract Data.

The PEP shall depict the work procedure proposed to obtain required result. It must, *inter alia*, include:

- a) cost per key milestone events/activities and/or deliverables;
- b) programme of key milestone events or activities for completion of Services;
- c) responsibilities, and facilities/resources that will be provided,
- d) CV of all persons whom the consultant proposes to use where qualifications or experience are of crucial importance. **Please note that the contract period will be 12 months.**

#### C3.2.1.4 Information available from Employer

Please see information provided in par C 3.2.1.1. and C3.3 Extent of Services. Due to security reasons no more information is available.

#### C3.2.1.5 Reporting Requirements and Approval Procedure

As identified in C3.3 extent of the Services and the to-be-submitted PEP.

Notwithstanding any other requirements as listed elsewhere, **the Service Provider shall submit a monthly report indicating progress of the Services before the 25<sup>th</sup> of each month.**

### C3.3 Extent of the Services

The specific environmental assessment services required on this Project and referred to in C3.2 above entails the following: [The Employer reserves the right to increase/decrease the services marked herein].

#### C3.3.1 EIP's and EMP's and a general waste strategies

To draft EIP's and EMP's for each of the 13 PFH in the Western Cape.

**Deliverable: For the respective EIP'S and EMP's and general waste strategies**

The respective plans to show/cover the aspects into sections 13 and 14 of NEMA. The said plans to cover the following basic information, duly supplemented with annexures:

|  |
|--|
| <b>Property description</b>                |
| <b>Locality Map</b>                        |
| <b>Land Use Map (Current)</b>              |
| <b>Ownership</b>                           |
| <b>Property Size</b>                       |
| <b>Zoning and Land Use Rights</b>          |
| <b>Heritage aspects to be investigated</b> |
| <b>Access/Accessibility</b>                |

#### C3.3.2 Professional inputs required

Specialist/professional services that may be required for this appointment/project may include any of the following:

|  |
|--|
| <b>Environmental Assessment Practitioner</b> |
| <b>Heritage Specialist</b>                   |
| <b>Geotechnical Specialist</b>               |
| <b>Soil Scientist</b>                        |
| <b>Contamination/Pollution Specialist</b>    |

|   |
|---|
| <b>Water Use Specialist</b>   |
| <b>Wetland specialist</b>   |
| <b>Geo-hydrologist</b>  |
| <b>Topographical Specialist</b>   |
| <b>Any specialist as maybe required depending on the activities triggered</b> |

Where such appointments are deemed necessary the appointed Service Provider will obtain Three (3) quotations for consideration and approval by the Project Manager. The appointment will be as a sub consultant to the Service Provider and shall be reimbursed set off against the Provisional Amount allowance stipulated in the pricing schedule C2.2.2. The project Manager will apply for additional funding should the Provisional Amount be insufficient.

### C3.3.3 Quality Assurance System

The EAP must conduct the work in a manner which is in line with the Environmental Assessment Practitioners Association of South Africa (EAPASA) in its Code of Ethical Conduct and Practice.

### C3.4 Use of reasonable skill and care

It will be expected of the Service Provider to apply reasonable skills and due diligence in the execution of the duties stipulated in this document which shall include *inter alia* the following:

Although the Service Provider's documents may be scrutinised by the Employer, this shall in no way relieve him of his professional responsibility for the proper and prompt execution of his duties. The Employer shall also be entitled to have any documentation or calculations verified by Others. In the event of mal-performance, default or negligence, the Employer shall have the right to claim compensation or damages and set off such against any amount payable.

Occupational Health and Safety Act, 1993 (Act 85 of 1993)

The Service Provider shall be fully responsible for the compliance of his operation, equipment as well as staff and persons under his supervision in terms of the said Act, whether by invitation, instruction or otherwise and regardless of the capacity, purpose and relationship of any such persons to the appointment, to all aspects of all applicable regulations and stipulations under the Act.

Others may include, but are not limited to, Local, provincial and national authorities, statutory bodies, governmental departments, Others, as may be required from time to time, including the client department/end user(s).

### C3.5 Brief

#### C3.5.1 Targeted dates and times

The Service Provider will be expected throughout to give preference to the execution of the work involved in this commission.

All work is to be performed by the persons listed as Key Persons and persons under their supervision and further be executed as described in the Programme according to clause 3.15 of the Contract Data (and/or PEP according to the same clause, if applicable).

#### C3.5.2 Final disposal of documents

Upon approval and finalisation of the final account of projects requiring a security clearance, it is a requirement that the Service Provider forward to the Employer all documents relating to this service. The same may be requested on any other project of the Employer.

### C3.6 Applicable legislation and standards

This section applies to legislation emanating from national and provincial governments as well as that of any local authorities in whose area of jurisdiction the subject of the appointment falls and which has a bearing on the activities and facilities under this appointment.

All the applicable legislation, which do not specifically allow discretion in respect of compliance by the State, shall be followed exactly as intended by such legislation regardless of any instructions, verbal or in writing, to the contrary. (Refer *inter alia* to Section 41 of the Occupational Health and Safety Act, 1993 (Act 85 of 1993)).

Should any applicable legislation allow discretion in respect of compliance by the State, it shall be followed exactly as intended by the relevant legislation as if no discretion is allowed until such time as specific instructions in writing are issued to the appointed professional team by the departmental project manager.

The Service Provider undertakes to ensure that his actions and outcome thereof including, but not limited to, the facilities to be affected by the Service shall be in accordance with all relevant legislation and upon delivery, will function as required by said relevant legislation. The Service Provider's actions and the outcome thereof will in no way be detrimental to the health and safety of the occupants or persons present therein or in the vicinity thereof. Similarly it must not be detrimental to any aspects of the environment in its structure or operation if operated as specified in operation manual(s). The relevant legislation meant herein, as amended, consist of *inter alia* the following, but not limited to:

- Constitution of the Republic of South Africa, 1996 (Act 108 of 1996)
- Subdivision of Agricultural Land, 1971 (Act 70 of 1970)
- Marine Spatial Planning Act, 2018 (Act 16 of 1998)
- Carbon Tax Act, 2019 (Act No. 15 of 2019)
- National Forests Act, 1998 (84 of 1998)
- National Veld & Forest Fire, 1998 (Act 101 of 1998)
- Marine Living Resources Act, 1998 (Act 18 of 1998)
- Provincial Nature Conservation Ordinances
- Council for the Built Environment Act, 2000 (Act 43 of 2000);
- Electricity Act, 1987 (Act 41 of 1987);
- Electricity Regulation Act, 2006 (Act 4 of 2006)
- Special Economic Zones Act, 2014 (Act 16 of 2014);
- Environmental Conservation Act, 1989 (Act 73 of 1989);
- Fire Brigade Services Act, 1987 (Act 99 of 1987);
- Local Government Municipal Systems Act, 2000 (Act 32 of 2000), municipal by-laws and any special requirements of the local service supply authority;
- National Building Regulations and Building Standards Act, 1977 (Act 103 of 1977);
- National Environmental Management Act, 1998 (Act 107 of 1998);
- National Heritage Resources Act, 1999 (Act 25 of 1999);
- National Water Act, 1998 (Act 36 of 1998);
- Occupational Health and Safety Act, 1993 (Act 85 of 1993);
- Water Services Act, 1997 (Act 108 of 1997) and general authorizations;
- Spatial Planning and Land Use Management Act, 2013 (Act 16 of 2013)
- Infrastructure Development Act, 2014 (Act No. 23 of 2014)
- No. 49 of 1999: World Heritage Convention Act, 1999
- Gas Act, 2001 (Act 48 of 2001)
- South African Weather Service Act, 2001 (Act 8 of 2001)
- Genetically Modified Organisms Act, 1997 (Act 15 of 1997)
- Conservation of Agricultural Resources Act, 1983 (Act 43 of 1983)
- Hazardous Substances Act, 1973 (Act 15 of 1973)
- Marine Spatial Planning Framework
- Mountain Catchment Areas Act, 1970 (Act 63 of 1970)
- National Environmental Management: Air Quality Act, 2004 (Act 39 of 2004)
- National Environmental Management: Protected Areas Act, 2003 (Act 57 of 2003)



- Promotion of Access to Information Act, 2000 (Act 2 of 2000)
- Promotion of Administrative Justice Act, 2000 (Act 3 of 2000)
- National Environmental Management: Biodiversity Act, 2004 (Act 10 of 2004)
- Mineral and Petroleum Resources Development Act, (Act 28 of 2002)
- National Environmental Management: Waste Act, 2008 (Act 59 of 2008)
- National Environmental Management: Integrated Coastal Management Act, 2008 (Act 24 of 2008)
- Seashore Act, 1935 (Act 21 of 1935)
- National Monuments Act, 1969 (Act 28 of 1969)
- Hydrographic Act, 2019 (Act 35 of 2019) and;
- All regulations promulgated under the above Acts.

Although the more salient legislation has been referred to above, the *onus* remains on the Service Provider to adhere to, and apply, any and all Acts and/or Regulations not specifically mentioned in the list above but which will have an effect on the Project.

This will be a continuous process throughout the appointment, which will manifest itself during the following phases:

- development of plans and documentation;
- supervision of any Service Providers under the appointment;
- ensuring compliance of the end product;
- compiling and issuing of Instruction/Operational Manuals indicating *inter alia* what the legal and safety requirements entail for the user(s)/operator(s) of the facilities;
- providing instruction to the intended users/operators.

The Service Provider accepts full and complete responsibility (both contractually and/or in delict) regarding compliance with the Occupational Health and Safety Act, 1993 (Act 85 of 1993) for his acts and omissions as well as those of his employees and indemnifies the Employer against any legal action in this regard.

The Service Provider undertakes to ensure that the requirements of the Occupational Health and Safety Act, 1993 will similarly apply to the agreement with any sub Service Providers inclusive of indemnifying the Employer against any legal action regarding the actions and/or omissions by them.

### **C3.7 Access to land/buildings/sites**

Access to the land/buildings/sites shall be negotiated in consultation with the departmental project manager and with the pre-agreement with owner of such land/buildings/sites taking into consideration the day-to-day activities within the fishing harbours.

### **C3.8 Software application for programming**

The Service Provider must avail himself of software to be used in the Project documentation for compatibility with other Service Providers as well as the Employer.

### **C3.9 Security clearance**

It is an explicit condition of this agreement that partners, directors and/or the members of staff who will have insight into the planning of projects requiring security clearance, be kept to a minimum and that such persons will not object to being submitted to a security clearance, if the Employer so requires.

If the latter is applicable, the necessary forms will accompany this tender or be provided to the Service Provider at any stage thereafter. These forms must be completed, if attached, and returned with the tender. It is important to furnish information which is complete in every respect.

Should the authority responsible for the clearance, for security reasons not be satisfied with the classification obtained of any of the staff members of the Service Provider, it will be a further

condition of this appointment that none of such staff members be involved with any aspect of the Project.

All documents pertaining to these projects must be stored in a safe place when not in use so as to ensure that the level of security of the projects is maintained.

The Employer will not accept liability for any costs in this regard.

**FINGER PRINTS (except Defence projects)**

Persons of whom security clearance is required can obtain a finger print form SAP 91(a) from any police station. Kindly ensure that the police official responsible for taking the finger prints certifies the form since non-certification will result in the form being unacceptable.

**C3.10 Condition to accept unregistered persons with suitable built environment qualifications on secondment**

It is an express term of the contract that the Service Provider, after award of tender, accept unregistered, suitably qualified (built environment) persons in his office for the purpose of exposing the latter to the full extent of professional work, or as may be required according to specific circumstances, in order to gain experience which can be presented to the relevant Council for consideration towards professional registration. The secondment of such unregistered persons will be negotiated with the Service Provider in terms of numbers, periods of training and extent of professional work opportunity to be afforded. The conditions of secondment will be the subject of a separate Memorandum of Understanding with the Service Provider which will serve as an annexure hereto. Any secondment arrangements will cease upon the professional registration of the seconded person or as agreed on and so included in the aforesaid Memorandum of Understanding. The responsibility for salaries of seconded persons will remain with the Employer, but responsibility for operational expenses, necessary for the execution of the work, will vest with the Service Provider, all of which will be dealt with in the Memorandum of Understanding.

**C3.11 Submission of Accrual Reports**

The Service Provider shall submit accrual reports to the client representative at the end of March and September each year for the duration of the Service Contract period from the date of appointment up to and including project closeout. This is to ensure that PMTE complies with the accounting framework GRAP, which requires that PMTE disclose all its accruals as at the end of each reporting date.

**C3.12 Submission of monthly Project Execution Plans (PEP)**

The Principle Agent will submit monthly an updated Project Execution Plans (PEP) in accordance with DPWI standard proforma, on a date as agreed with the Employer's Representative.

**C3.13 Contract Skills Development Goal**

**The Contract Skills Development Goal is NOT APPLICABLE to this project.**

The CIDB Standard for Developing Skills through Infrastructure Contracts as published in the Government Gazette Notice, No. 48491 of 28 April 2023 where the Service Contract has a duration of 12 months or more, and the professional fees excluding allowances and including VAT exceeds R5 million. This best practice Standard for developing skills through infrastructure contracts standard establishes a minimum contract skills development goal which is to be achieved in the performance of a contract in relation to the provision of different types of workplace opportunities linked to work associated with a contract which culminate in or lead to registration in a professional category by one of the professional bodies listed in the standard (Table 1).

**Table 1: Categories of registration**

| Profession | Category of registration | Act |
|------------|--------------------------|-----|
|------------|--------------------------|-----|

|  |  |   |
|--|--|---|
| Architectural  | Architect, Senior Architectural Technologist, Architectural Technologist or Architectural Draughts person            | Architectural Profession Act of 2000 (Act No.44 of 2000)  |
| Construction Project Management or Construction Management | Construction Project Manager or Construction Manager   | Project and Construction Management Professions Act of 2000 (Act No. 48 of 2000)                  |
| Engineering  | Engineer, Engineering Technologist, Engineering Technician or Certificated Engineer                                  | Engineering Profession Act of 2000 (Act No. 46 of 2000)   |
| Health and Safety Practitioners                            | Construction Health and Safety Agent, Construction Health and Safety Manager, Construction Health and Safety Officer | Occupational Health and Safety Act of 1993 (Act No. 85 of 1993)<br>Construction Regulations, 2014 |
| Landscape Architectural                                    | Landscape Architect, Landscape Technologist, Landscape Technician or Landscape Assistant                             | Landscape Architectural Profession Act of 2000 (Act No. 45 of 2000)                               |
| Planning   | Planner or Technical planner   | Planning Profession Act, 2002. (Act No. 36 of 2002)   |
| Quantity surveying   | Quantity surveyor  | Quantity Surveying Profession Act of 2000 (Act No. 49 of 2000)                                    |
| Scientists   | Natural scientists   | Natural Scientific Professions Act (Act No. 27 of 2003)   |
| Surveying  | Land surveyor, Engineering surveyor or Technician engineering surveyor   | Professional and Technical Surveyors' Act (Act No. 40 of 1984)                                    |
| Valuers  | Valuer or Associate Valuer   | Property Valuers Profession Act (Act No. 47 of 2000)  |

The Contract Skills Development Goal (CSDG) is the number of hours of skills development opportunities that a Service Provider contracts to provide in relation to work directly related to the Service Contract up to completion the Professional Service Contract. The contract skills development goals, expressed in hours, shall be not less than the professional fees [(1) from the Activity Schedule + VAT] in millions of Rand multiplied by 150 where professional fees is the financial value of a professional service contract at the time of the award of the contract excluding all allowances and expenses, but including value added tax.

Example: The contract amount for a professional services contract is R5.6 m. The contract skills development goal in hours is  $R5.6m \times 150 = 840$  hours, where the contract amount is the basic fee for services excluding allowances but including VAT.

Where required in terms of the service contract, a specified proportion of the learners and candidates shall be selected from persons in the employ of the state who meet the relevant eligibility criteria for the relevant programme.

Where required in terms of the service contract, the Employer shall advise the Service Provider of the types of training to be undertaken by the learners and candidates. The mentor shall be a registered person, designated to guide a learner or candidate through structured work experience learning component of a learning programme required for the acquisition of a part or full qualification or professional designation.

#### **Achieving the Contract Skills Development Goal (CSDG)**

The Service Provider shall achieve the measurable contract skills development goal by providing opportunities to learners requiring structured workplace learning using one or a combination of any of the following in relation to work directly related to the contract or order:

Method 1: structured workplace learning opportunities for learners towards the attainment of a part or a full occupational qualification;

Method 2: structured workplace learning opportunities for apprentices or other artisan learners towards the attainment of a trade qualification leading to a listed trade (GG No. 35625, 31 August 2012) subject to at least 60% of the artisan learners being holders of public TVET college qualifications;

Method 3: work integrated learning opportunities for University of Technology or Comprehensive University students completing their national diplomas; or

Method 4: structured workplace learning opportunities for candidates towards registration in a professional category by a statutory council listed in Table 1 above.

Employed learners may not account for more than 33 percent of the contract skills development goal. Not more than one method may be applied to any individual concurrently in the calculation of the contract skills development goal. The principle is that an individual can only be counted once towards the CSDG.

Not more than one method may be applied to any individual concurrently in the calculation of the contract skills development goal.

### Contract Skills Development Goal Credits

Contract skills development credits will not be awarded for learners enrolled as beneficiaries of other funded or subsidised programmes.

In the case of engineering and construction works, design and build and services contracts:

- The contract skills development goals shall be granted by multiplying the number of people employed by the Service Providers and placed for continuous training opportunities in a three-month period by the notional values contained in Table 3, or as revised in a Gazette notice.
- The Service Provider may source beneficiaries of the contract skills development goal from the CIDB Skills Development Agency (SDA).
- All beneficiaries of the Standard must be registered with the CIDB SDA.

NOTE: The role and function of a CIDB SDA is outlined in the Standard, Annexure B.

Credits towards the contract skills development goal for professional services contracts shall be granted by summing the hours of structured workplace learning opportunities provided to P1 and P2 learners as well as professional candidates in accordance with the Act, Clause 3.3.

No more than 45 hours may be claimed per week for any individual.

Contract skills development goal credits shall be reduced to the extent that they fail to comply with the requirements of this standard.

The Service Provider shall achieve in the performance of the contract the contract skills development goal established in this Standard for developing skills through infrastructure contracts (March 2020).

**Table 3: The notional cost of providing training opportunities per quarter**

| Type of Training Opportunity | Provision for stipends (Unemployed learners only) | Provisions for mentorship | Provisions for additional costs* | Total costs         |                   |
|------------------------------|---|---------------------------|----------------------------------|---------------------|-------------------|
|                              |   |                           |                                  | Unemployed learners | Employed learners |
| Method 1                     |   |                           |                                  |                     |                   |

|  |         |         |        |         |         |
|--|---------|---------|--------|---------|---------|
| Occupational qualification                         | R7 000  | R0      | R9 000 | R16 000 | R9 000  |
| Method 2   |         |         |        |         |         |
| Unemployed TVET College graduates                  | R14 000 | R0      | R9 000 | R23 000 | N/A     |
| Method 3   |         |         |        |         |         |
| P1 and P2 learners, or a 240 credits qualification | R24 000 | R20 000 | R4 500 | R48 500 | N/A     |
| Method 4   |         |         |        |         |         |
| Candidates with a 360 credits qualification        | R37 000 | R20 000 | R4 500 | R61 500 | R20 000 |
| Candidates with 480 or more credits qualification  | R47 000 | R20 000 | R4 500 | R71 500 | R20 000 |

\* Additional provisions include provisions for personal protective equipment, insurance, medical assessments, course fees and trade tools (where applicable) assessment, moderation and monitoring of learners.

**NOTE:**

- Where an unemployed learner is employed directly by the Service Provider, the Service Provider shall pay the stipend directly to the learner
- Where an unemployed learner is sourced through an SDA, training provider or skills development facilitator the Service Provider must pay the stipend to the SDA, training provider or skills development facilitator who in turn will pay the learner
- The notional cost of providing training opportunities will be increase by CPI on an annual basis. The new, revised costs will be published on the CIDB website on 1 April in each year.

The Employer requires that employees of the state be seconded to the Service Provider in order to be provided with structured workplace learning opportunities in accordance with the provisions of this standard. The specified number of employees of the state is **Insert number or N/A if not applicable**. The Employer shall provide a list of persons for selection by the Service Provider as prescribed in the implementation guidelines. Persons selected by the Service Provider shall be seconded to the Service Provider under the terms and conditions prescribed in the implementation guidelines.

Where the contract is part of a Strategic Infrastructure Project (SIPs) the Service Provider will be required to report to the Presidential Infrastructure Coordinating Council through the respective SIP Skills Coordinators linked to the office of the SIP Coordinator, using the approved PICC reporting template. **Delete this paragraph if not applicable**

Credits towards the contract skills development goal shall be denied where the Service Provider fails to comply in terms of Clause 3.4 of the Act. Compliance requirements shall be in terms of Clause 4 of the Act and records to be provided to be in accordance with Clause 5 of the Act.

### **Role and Functions of the Skills Development Agency**

The Skills Development Agency (SDA) will provide career management and compliance reporting functions for all learners for CSDG compliance in terms of this Standard. Where the Service Providers provide direct employment to unemployed learners, or enrolls own employees for CSDG compliance, the Service Provider shall register them with the CIDB SDA. The SDA can also act as an employment intermediary for unemployed learners.

The roles and functions of the Skills Development Agency (SDA) are summarised below.

### **Career Management and Compliance Reporting**

The Service Provider shall enter into a contract agreement with the CIDB SDA, training provider or skills development facilitator to manage their learners according to the provisions given below:

- a) preparing training plans for registered learners, including details of the scope of experiential work to be covered and expected outcomes;
- b) registering learners with the appropriate Sector Educational and Training Authority established in terms of the Skills Development Act of 2008 (Act 37 of 2008);
- c) conducting entry and exit level medicals for learners at the conclusion of each placement opportunity;
- d) providing personal protective equipment;
- e) liaising with the supervisor to monitor onsite training progress of learners;
- f) liaising with the supervisor to arrange for summative assessments at appropriate stages of the training; and
- g) liaising with the supervisor to prepare reports for the Employer's representative and CIDB at practical completion of the contract.

The relevant training provider or skills development facilitator shall invoice the Service Providers for the provision of these services as per cost schedule in Table 3.

The CIDB SDA shall open a trust fund to ring-fence monies essential for all learner requirements where necessary provided for in this standard such as personal protective equipment, medical assessments, insurance, course fees, monitoring as well as top up training and assessment.

#### **Employment Intermediary**

The CIDB SDA can act as an employment intermediary for unemployed learners and provide Service Providers with learners qualifying for participation in the CSDG, as well as managing their employment functions such as payment of stipends, workman's compensation, provision of personal protective equipment, trade specific tools, etc.

In such cases, the Service Provider shall contract directly with an SDA, training provider or skills development facilitator of their choice for the recruitment, placement and management of learners. The Service Provider shall pay the SDA, training provider or skills development facilitator in accordance with the notional costs provided for in this standard, or as amended by a Gazette.

#### **Sanctions**

Failure by the Service Provider to achieve the **total** Notional Cost of the Contract Skills Development Goal (Excluding VAT), as indicated in the pricing schedule item, will result in a penalty of 30% of the value of the portion not achieved, unless the Service Provider can prove to the Employer's satisfaction that the non-achievement was beyond his/her control.

#### **C4: SITE INFORMATION**

DPWI have Spatial Economic Development Frameworks (SEDF'S) for each of the 13 Proclaimed Fishing Harbours which would be made available to the successful candidate. The aforementioned contains valuable information which would be of assistance to conclude the said plans as attained in terms of sections 13 and 14 of NEMA.